



## **ESI Funds Growth Programme Board**

### **Progress on ESF** *(please note this paper should be printed in colour)*

#### **Purpose:**

This paper provides a progress update to the Board about activity on the ESF Programme and the progress towards the Performance Framework and N+3 targets.

#### **Recommendations:**

That the Growth Programme Board notes the current position.

#### **Summary:**

The ESF data, used within this paper, was frozen at 30 April 2018. The Managing Authority (MA) freezes its data on a monthly basis, to enable us to have time to analyse the data and ensure its accuracy.

All data provided in this paper uses the exchange rate of €0.877 (May 18) unless otherwise stated.

### **Overall Progress on Commitment of Funds**

1. Current ESF commitment is £1.418bn, 47% of the total ESF allocation.
2. There are 136 Direct Bid projects with commitments totalling £345m and 162 MOUs for the National CFOs valued at £1.073bn.
3. There are 32 Outline Applications undergoing assessment with a total value of £41m (PA1 £18.4m, PA2 22.5m) and 64 Full Applications in appraisal, these total £229m (PA1 75m, PA2 154m).
4. ESF commitment for Technical Assistance is £21.8m and total project values are £39.5m.
5. See **Annex A** for a detailed breakdown of commitment by CoR.

#### **Calls update**

6. Following the Managing Authority consultation with the LEP network discussed at the last GPB, a forward programme of calls has been produced, with calls planned in batches through to April 2019. In constructing the timetable priority has been given to calls that reflect national priorities, urgent calls and those LEPs with low committed expenditure.
7. A total of 141 calls are in the forward plan, totalling £371.3m (ESF). However, it is notable that, following the recent ESFA Phase 2 announcement, some LEPs are asking for their calls to be

put on hold and / or reducing their value. It is likely therefore, that the number and value of calls will change further over the coming months.

8. Managing Authority LEP leads are currently consulting with their local areas to finalise specifications to be ready by the publication dates.
9. The call timetable can be found as a separate document, sent out with the agenda.
10. There are 7 live calls at 31 May to the value of £9.4m

### **Overall Progress against Targets**

#### **Performance Framework and Spend Targets**

11. Whilst committed expenditure across all Categories of Region (CORs) shows sufficient levels to meet the 2018 Performance Reserve, the actual data based on claims received indicates that there is a short fall and that the target is in danger of not being met. The Managing Authority has set up a group to specifically monitor the Performance Reserve and N+3 targets. **Annex F** provides the detail of the 2018 Performance Framework Forecast and actions that the Managing Authority is taking in mitigation
12. The rolling programme of calls, started in April, for direct bids across all CORs will further improve committed expenditure. As previously reported for the Less Developed Region commitment will improve through a transfer of allocation (€15.3m).

### **Progress – Outputs and Results**

13. **Outputs** are currently at 46% of final target against committed expenditure of 47% (as above). At national level the 'over 50's' sub group is now the only sub group with lower commitment than that expected.
14. **Results**, nationally most sub groups are performing well against their targets, exceeding (or within) 5% - IP1.4 is the main concern in the both the 'inactive participants into employment or job search upon leaving' and 'in education or training on leaving' sub groups are more than 6% below target.

### **N+3**

15. Current commitments indicate that we are on target to meet the N+3 2018 spend targets, however this is based on the assumption that projects will submit timeous claims in line with profiles, which is not currently the case. MA Contract Managers are undertaking robust Performance Management Meetings with their projects to discuss the action required to increase their levels of activity in line with profiles and forecasts and submit claims each quarter by the deadlines required.
16. Current commitment plus pre-financing is at €1,476.7bn.
17. **Annex F** provides the detail of the 2018 N+3 position and the action being taken by the Managing Authority in mitigation

### **Youth Employment Initiative (YEI)**

18. Following the discussion at the Growth Programme Board meeting in March, we sought urgent clarification from the European Commission regarding the potential for YEI contracts in the current programme.
19. The Commission has clarified the position in respect of YEI and confirmed that YEI funding can continue beyond 2018 providing the ESF Programme achieves its targets. This means that the YEI Project Change Requests (PCRs) should they be approved, can be financed from the remaining YEI allocation. However, the revised Funding Agreement will contain a break clause allowing the MA to pause/withdraw the additional funding if programme targets are not achieved. The MA will be informing Grant Recipients of the EC decision and to set out the next steps in respect of the outstanding PCRs to extend YEI projects.

#### **Update on Co-Financing Organisations (CFOs)**

20. The progress report highlights where CFOs are in terms of their claims. Key to our achievement of N+3 we are having robust Programme Management meetings to ensure profiles are being achieved. The full update can be found at page 11.

#### **Update on Claims paid by Commission**

21. MA claims activity is ramping up and IPA5 and IPA6, rescheduled due to the MA post validation exercise, were submitted in a combined payment application on 22/05/2018. The total eligible expenditure submitted within this combined application is £47,043,762.80m, of which the CA anticipate reimbursement of to be approx. £24m.
22. As three claims were submitted to the Commission in 2016-2017 for which we received total reimbursement of £17,175.808; the total amount of anticipated draw down is therefore £41.18M.
23. The EC Auditor have also sent notification that they have now formally accepted the 2016/2017 Annual Accounts.

#### **Update on Compliance**

24. The Government Internal Audit Agency (GIAA) error rate for the Programme stands at just 0.093%, and the total value for irregularities identified by the AA for the programme is £6,263.17. This was based on sampling of Direct Bids only for the financial year to June 2017.
25. During the last quarter 32 on the spot visits and 26 desk based evidence checks were carried out as part of the A125 activity. Sampling to the value of £9,194,763.72 of actual expenditure was checked and irregularities found totalling £8,929.56.

#### **Cross Cutting Themes**

26. **Annex E** provides an update on Cross Cutting Themes.

#### **Operational Programme**

27. Stage 2 of the Operational Programme review is progressing and has reached the point where we will be formally submitting the modified OP to the Commission. We will shortly be issuing the England ESF Operational Programme for GPB Members to note the modifications being proposed to the Board for approval.

28. There have been no substantial changes to Operational Programme since October, and Stage 2 is in keeping with many of the principles that were set out in the October 2017 proposal. We look forward to receiving feedback and comment from GPB.

# ESF 2014 – 2020 Performance Update

## Overall Progress on Commitment of Funds

### Performance Framework

The table below represents total commitment (2018 commitment is to Q2 of 2018). The data includes ESF and Match.

PERFORMANCE FRAMEWORK OF THE OPERATIONAL PROGRAMME

Priority Axis	Category of Region	Type	Milestones for 2018			Final Target		
			Current Commitment	Milestone target for 2018	% committed	Total Commitment	Final Allocation	% committed
1	Less-Developed	Financial	€ 26,843,691	€ 28,000,000	96%	€ 55,688,442	€ 145,618,479	38%
1	Less Developed	Participants	8,590	7,300	118%	11,063	38,900	28%
1	Transitional	Financial	€ 232,044,381	€ 113,000,000	205%	€ 347,259,517	€ 604,777,671	57%
1	Transition	Participants	104,309	43,600	239%	137,577	232,400	59%
1	More-Developed	Financial	€ 1,043,277,117	€ 462,000,000	226%	€ 1,606,911,020	€ 2,464,530,068	65%
1	More Developed	Participants	467,308	183,500	255%	637,042	976,200	65%
1 - YEI		Financial	€ 244,791,538	€ 461,099,386	53%	€ 257,599,643	€ 461,099,386	56%
1 - YEI		Participants	63,171	112,000	56%	64,486	112,000	58%
2	Less-Developed	Financial	€ 12,022,690	€ 11,000,000	109%	€ 15,632,013	€ 62,174,183	25%
2	Less Developed	Participants	3,356	2,500	134%	3,356	13,500	25%
2	Transitional	Financial	€ 151,202,712	€ 98,000,000	154%	€ 170,040,731	€ 524,126,441	32%
2	Transition	Participants	51,648	38,400	135%	56,255	204,700	27%
2	More-Developed	Financial	€ 375,448,392	€ 352,000,000	107%	€ 467,230,370	€ 1,881,841,400	25%
2	More Developed	Participants	121,901	133,300	91%	143,574	709,100	20%
PA1 (including YEI)	Total	Financial	€ 1,546,956,728	€ 1,064,099,386	145%	€ 2,267,458,623	€ 3,676,025,604	62%
PA1 (including YEI)	Total	Participants	643,378	346,400	186%	850,168	1,359,500	63%
PA2	Total	Financial	€ 538,673,794	€ 461,000,000	117%	€ 652,903,113	€ 2,468,142,024	26%
PA2	Total	Participants	176,905	174,200	102%	203,185	927,300	22%
Total		Financial	€ 2,085,630,523	€ 1,525,099,386	137%	€ 2,920,361,736	€ 6,144,167,628	48%
Total		Participants	820,283	520,600	158%	1,053,353	2,286,800	46%

Committed funding correct as of 30 Apr 18

Targets as stated in the current OP, which include both ESF and Match funding

YEI commitment includes ESF, YEI and Match funding

EUR rate calculated at 0.877 (May 18 Rate)

Participant Targets as stated in the current OP Performance Framework - excludes IP 1.5 & 2.2

## Progress on Outputs and Results

### Comparison of outputs and performance framework tables

Committed outputs at 46% (previously 44%), compared to committed expenditure of 47% (previously 45%) is strong. Therefore overall committed **output** data suggests that 46% of the final target will be achieved with current live projects.

Nationally the strongest commitment is in PA1 with committed outputs at 63% of final target against 62% of the funds being committed (an increase of 4% since the last report). Whilst the funding commitment for PA2 is 26% (a decrease of 1% since the last report) of the final target against 22% of the outputs being committed.

Nationally, only 1 out of the 17 sub-groups appears to have low level of commitment compared to committed spend (that is, the percentage of outputs is more than 10% lower than the percentage of committed spend for that IP or sub category). **See Annex B (i)**. The recent increases highlighted below are as a result of the MA responsiveness to gaps in performance by targeting and prioritising calls to increase performance.

- At national level the 'Over 50 years' sub group commitment is below that expected, however, commitment under IP1.4 for this sub group is 105% (an increase of 6% since the last report);

- Particularly strong YEI sub groups are ‘participants with disabilities’ 87% (an increase of 9%), ‘single adult household with dependent child’ at 118% (an increase of 13%) and inactive participants who are NEET 68% (an increase of 3% since the last report). Participants ‘25-29 NEET’ is low at 30%, however there has been 2% increase since the last report;
- The ‘Ethnic Minorities’ sub group, though performing well nationally (69%) in terms of committed participants against committed spend (an increase of 11% since the last report), there are still low commitments in IP1.3 and 2.1. It is likely the proportion of people from ethnic minorities participating in IP1.3 activity is affected by the fact that YEI is not operational in London. The explanation for the low rate under IP2.1 is less apparent and the MA will continue to address this with LEPs when drawing up future calls;
- Commitment under IP1.4 for participants from Ethnic minorities is 150% (an increase of 58% since the last report).

The table in **Annex B (ii)** represents the level of commitment against programme-specific **results** targets. The values shown are the expected results from current commitment based upon Funding Agreements currently in place, when compared to targets set out in the Operational Programme. Underperformance is defined as results being 5% or more below target.

Key findings from this data are:

- Nationally, with the exception of YEI, all but one sub group is performing well against their targets (exceeding or within 5% of target);
- Under IP1.2 participants “below 25 years in employment including self-employment or education/training on leaving”, is improving. The target is 43% and current commitment is 52% up 19% from the last report;
- IP1.4 inactive participants into employment or job search on leaving the support (R2) is 6% below target at 21%. Recently closed and future calls will continue to improve this in addition to robust contract performance management;
- Under the same result (R2) performance for IP1.1 committed results are 53% against a target of 33%.

## N+3 – Table E

### 2018 N+3 TARGETS

Category of Region	Commitment to 2018 Q2		Pre-financing	2018 Target	Percentage Committed
	GBP	EUR			
Less-Developed	£ 28,878,864	€ 32,964,858	€ 16,887,483	€ 44,225,485	113%
More-Developed	£ 602,156,406	€ 687,353,925	€ 232,090,196	€ 507,402,400	181%
Transitional	£ 168,787,160	€ 192,668,409	€ 74,718,163	€ 143,271,218	187%
<b>ESF</b>	<b>£ 799,822,429</b>	<b>€ 912,987,191.84</b>	<b>€ 323,695,842</b>	<b>€ 694,899,103</b>	<b>178%</b>
<b>YEI</b>	<b>£ 157,917,661</b>	<b>€ 180,261,013.64</b>	<b>€ 62,916,692</b>	<b>€ 319,576,848</b>	<b>76%</b>
<b>TOTAL</b>	<b>£ 957,740,090</b>	<b>€ 1,093,248,205.48</b>	<b>€ 386,612,534</b>	<b>€ 1,014,475,951</b>	<b>146%</b>
<b>EUR rate (Mar 18)</b>	<b>0.87605</b>				

### 2019 N+3 TARGETS

Category of Region	Commitment to 2019 Q2		Pre-financing	2019 Target	Percentage Committed
	GBP	EUR			
Less-Developed	£ 43,664,116	€ 49,842,036	€ 21,567,147	€ 67,004,399	107%
More-Developed	£ 835,972,418	€ 954,251,947	€ 296,404,347	€ 924,569,665	135%
Transitional	£ 286,782,967	€ 327,359,132	€ 95,423,196	€ 298,393,384	142%
<b>TOTAL</b>	<b>£ 1,166,419,501</b>	<b>€ 1,331,453,114.81</b>	<b>€ 413,394,689</b>	<b>€ 1,289,967,448</b>	<b>135%</b>

### 2020 N+3 TARGETS

Category of Region	Commitment to 2020 Q2		Pre-financing	2020 Target	Percentage Committed
	GBP	EUR			
Less-Developed	£ 49,673,311	€ 56,701,457	€ 26,450,274	€ 90,239,219	92%
More-Developed	£ 902,866,795	€ 1,030,611,033	€ 363,514,765	€ 1,242,994,177	112%
Transitional	£ 304,555,919	€ 347,646,731	€ 117,028,448	€ 400,725,825	116%
<b>TOTAL</b>	<b>£ 1,257,096,025</b>	<b>€ 1,434,959,220.76</b>	<b>€ 506,993,487</b>	<b>€ 1,733,959,221</b>	<b>112%</b>

Committed funding correct as of 30 Apr 18

Targets as stated in the current OP Financing Plan (cumulative)

Expenditure and Targets are for ESF and YEI\* only. (\*YEI does not include the ESF element)

All pre-financing can be used toward achievement of the target (including any repaid). Figures calculated using COR allocations

## Points to note:

A working group has been established across all functions in the MA to heighten scrutiny of the N + 3 and Performance Framework targets. The working group have completed a claims investigation to establish claim rates by organisation, looking at CFO forecasts and an in depth analysis of direct-bid projects claims against their respective profiles. This information was used to model N+3 forecast in comparison to commitment.

In response the MA is having robust discussions with CFOs to bring forward claims in order to include expenditure by the claims deadline for 2018 N+3 decommitment target (Q2 2018). Contract Managers are highlighting the requirement for Grant Recipients to ensure that projects are on target with their profiles and are discussing underperformance policies to avoid slippage in claim submission

## Actual Claim Data

Reporting of actual participant numbers has changed from a manual process to an automated one. Any change in numbers is due to either; participant's records being removed from the data-set (by projects on eligibility issues etc.) or most likely due to the automated system identifying incomplete records. These participants will be reintroduced to the data-set, once the project provides a complete participant record. ESF participant reporting is cumulative and therefore subject to change.

The latest verified actual results indicate that:

- 84,238 participants have started the programme;
- 3,932 unemployed participants started a job or self-employment;
- 7,803 inactive participants found a job or were actively looking, on leaving;
- 2,859 achieved basic skills;
- 1,516 unemployed participants and 1,358 inactive participants received an offer of employment, continued education, apprenticeship or training on leaving.

The table below provides data, broken down by key participant characteristic.

Priority Axis	Category of Region	Beneficiary Total Expenditure	No of Participants	OUTPUTS								
				Unemployed, including long term unemployed	Inactive	Without Basic Skills	Female Participants		Ethnic Minorities		Over 50's	
PA1	Less-Developed	£ 3,369,988	271	181	90	4	111	41%	20	7%	86	32%
PA1	Transitional	£ 47,193,086	22,324	14,454	7,715	3,262	6,319	28%	3,221	14%	3,829	17%
PA1	More Developed	£ 140,157,225	46,102	26,847	19,252	4,860	15,411	33%	17,276	37%	8,108	18%
PA1	YEI	£ 58,465,080	14,285	11,151	3,523	-	5,699	40%	3,216	23%		
PA2	Less-Developed	£ 1,000,315	26	4	-	-	20	77%	1	4%	2	8%
PA2	Transitional	£ 1,870,176	420	-	-	64	248	59%	58	14%	85	20%
PA2	More Developed	£ 4,363,012	810	-	-	146	494	61%	220	27%	115	14%
<b>TOTAL</b>		<b>£ 256,418,882</b>	<b>84,238</b>	<b>52,637</b>	<b>30,580</b>	<b>8,336</b>	<b>28,302</b>	<b>34%</b>	<b>24,012</b>	<b>29%</b>	<b>12,225</b>	<b>15%</b>

Beneficiary Total Expenditure as of 15/05/2018

Participant data sourced from PDS returns to Q4 2017. Please note these are subject to change

Priority Axis	Category of Region	RESULTS														
		Unemployed participants into employment (including self-employment) on leaving			Inactive participants into employment or job search upon leaving			Participants gaining basic skills			Unemployed participants who receive an offer of employment, continued education, apprenticeship or traineeship on leaving			Inactive participants not in education or training who receive an offer of employment, continued education, apprenticeship or traineeship upon leaving		
		Target	Achieved %	Actual No	Target	Achieved %	Actual No	Target	Achieved %	Actual No	Target	Achieved %	Actual No	Target	Achieved %	Actual No
PA1	Less-Developed	17%	16%	29	27%	29%	26	4%	0%	-						
PA1	Transitional	18%	9%	1,359	26%	30%	2,285	4%	4%	872						
PA1	More Developed	17%	9%	2,544	25%	29%	5,492	4%	4%	1,932						
PA1	YEI								0%	-	48%	14%	1,516	32%	39%	1,358
PA2	Less-Developed							11%	19%	5						
PA2	Transitional							11%	9%	38						
PA2	More Developed							11%	1%	12						
<b>TOTAL</b>		<b>17%</b>	<b>7%</b>	<b>3,932</b>	<b>25%</b>	<b>26%</b>	<b>7,803</b>	<b>7%</b>	<b>3%</b>	<b>2,859</b>	<b>48%</b>	<b>14%</b>	<b>1,516</b>	<b>32%</b>	<b>39%</b>	<b>1,358</b>

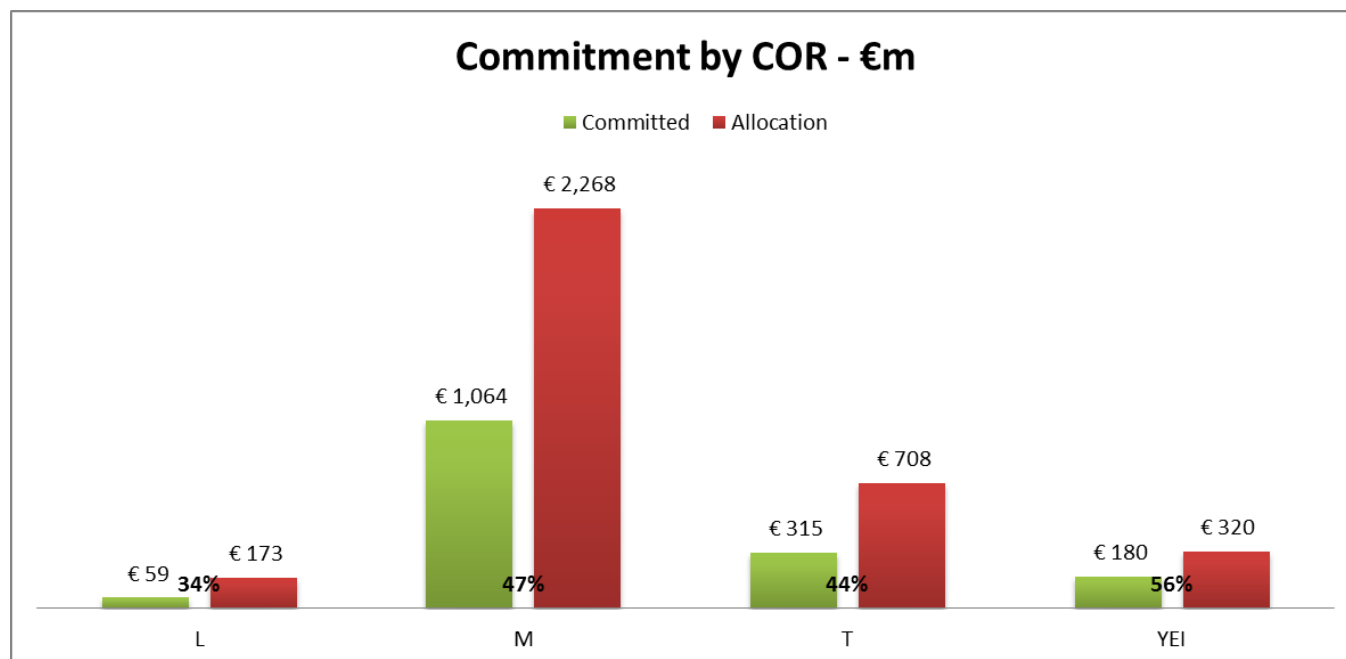


## Progress by Programme Area (Category of Region €m)

The progress on commitment for individual regions is as follows:

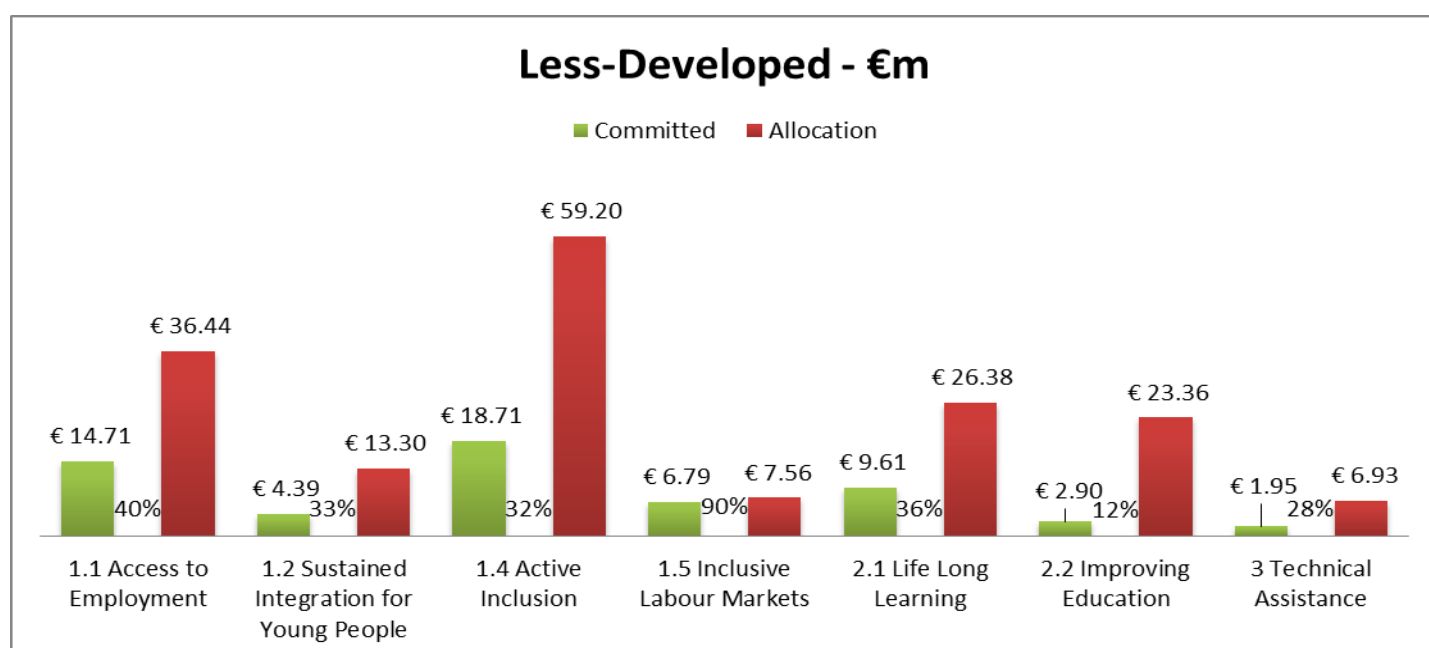
- Less Developed Region has commitment of €59m ESF (34% allocation)
- Transitional Region has commitment of €315m (44% allocation, a 1% reduction)
- More Developed Region has commitment of €1,064m (47% allocation, a 3% increase)

When drawing up the Call timetable, priority was given to LEPs with less than 50% commitment in order to improve their position.



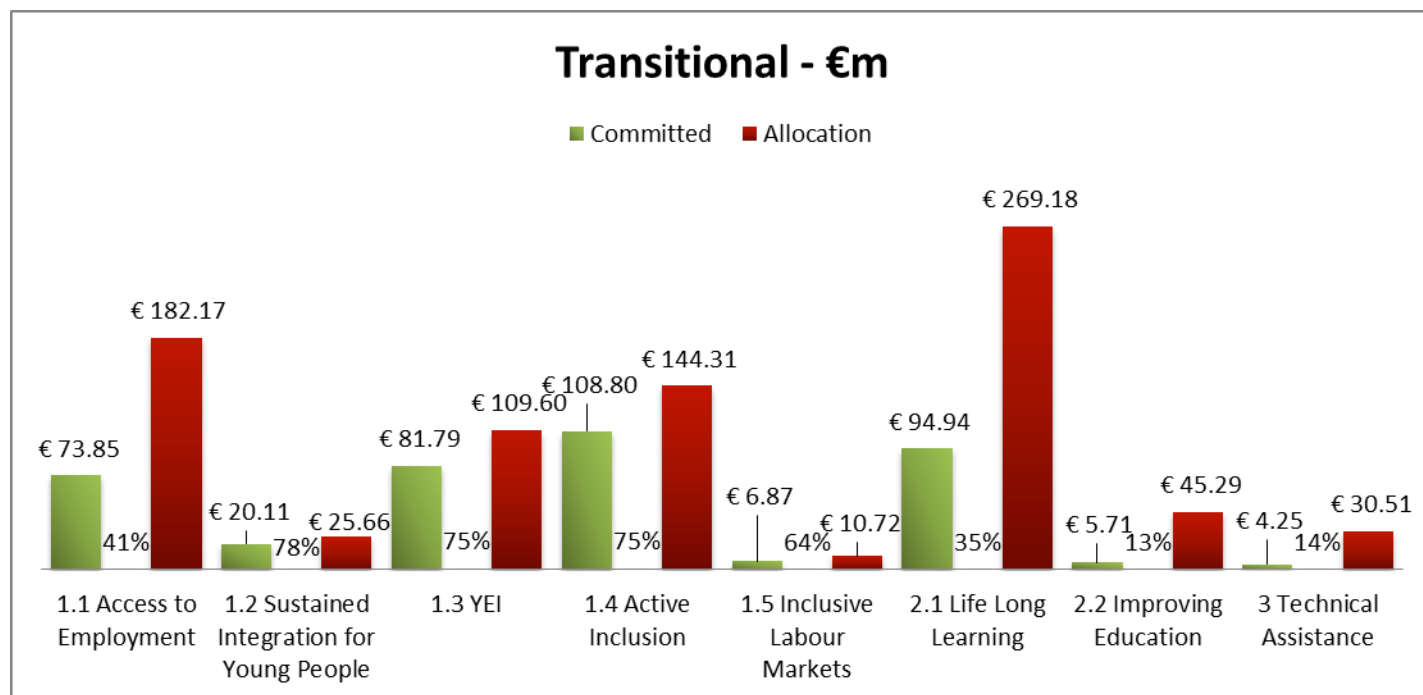
## Less Developed

The tables below represent the proportions and amounts of the allocation committed within the COR and the notional allocation of that COR – at IP level.

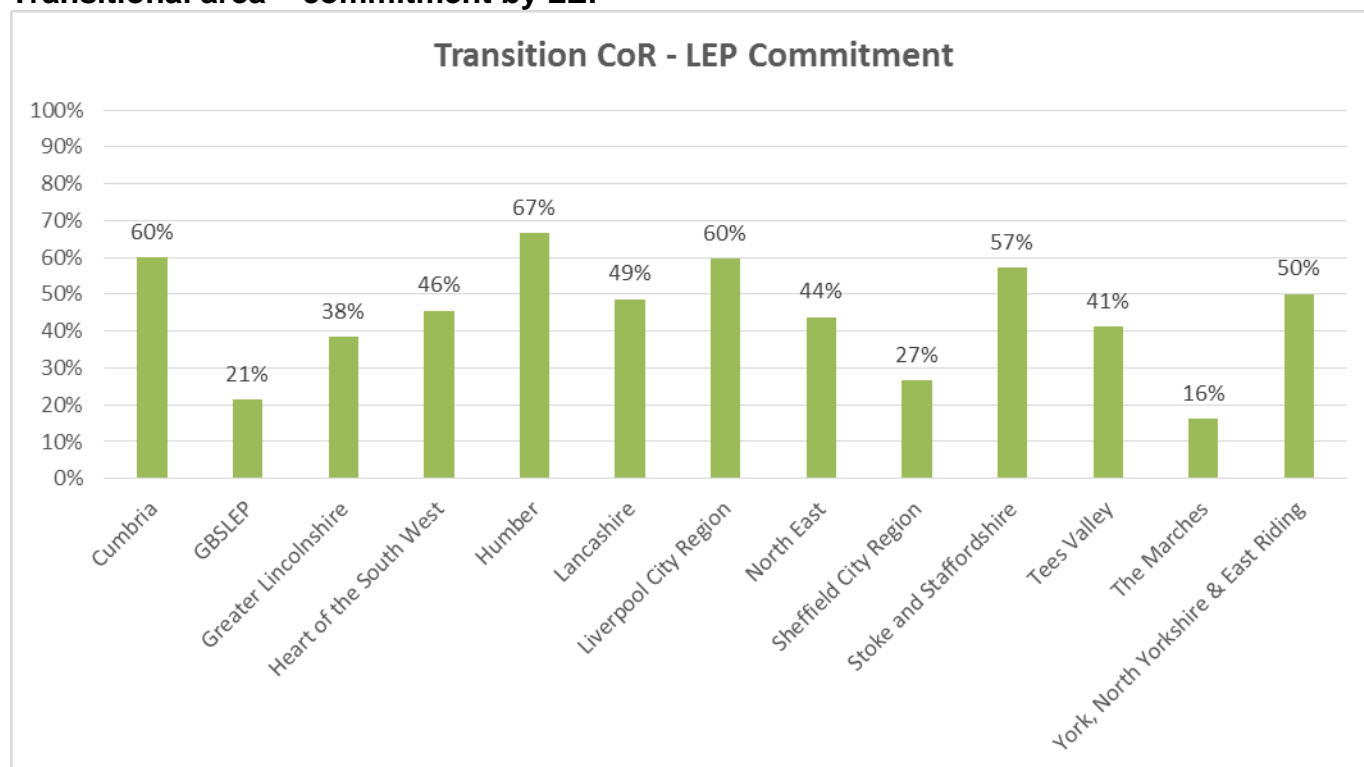


## Transitional

The tables below represent the proportions and amounts of the allocation committed within the COR and the notional allocation of that COR – at IP and LEP level.

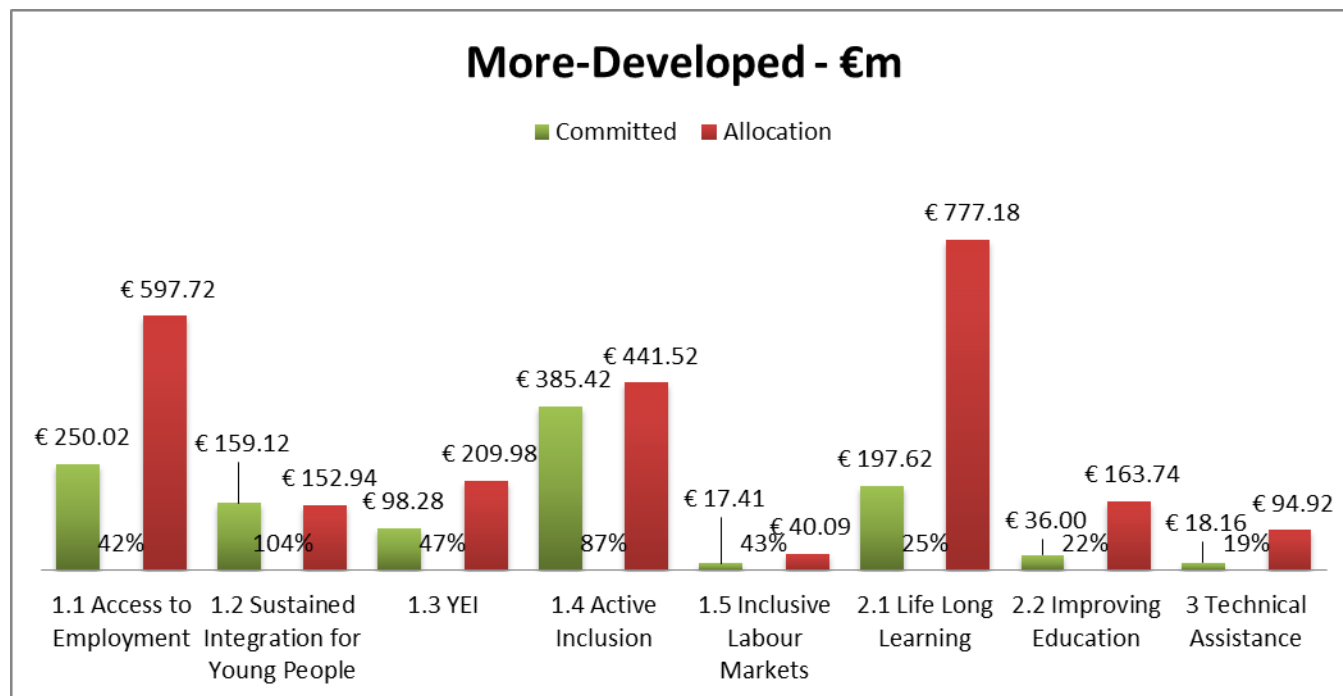


## Transitional area – commitment by LEP

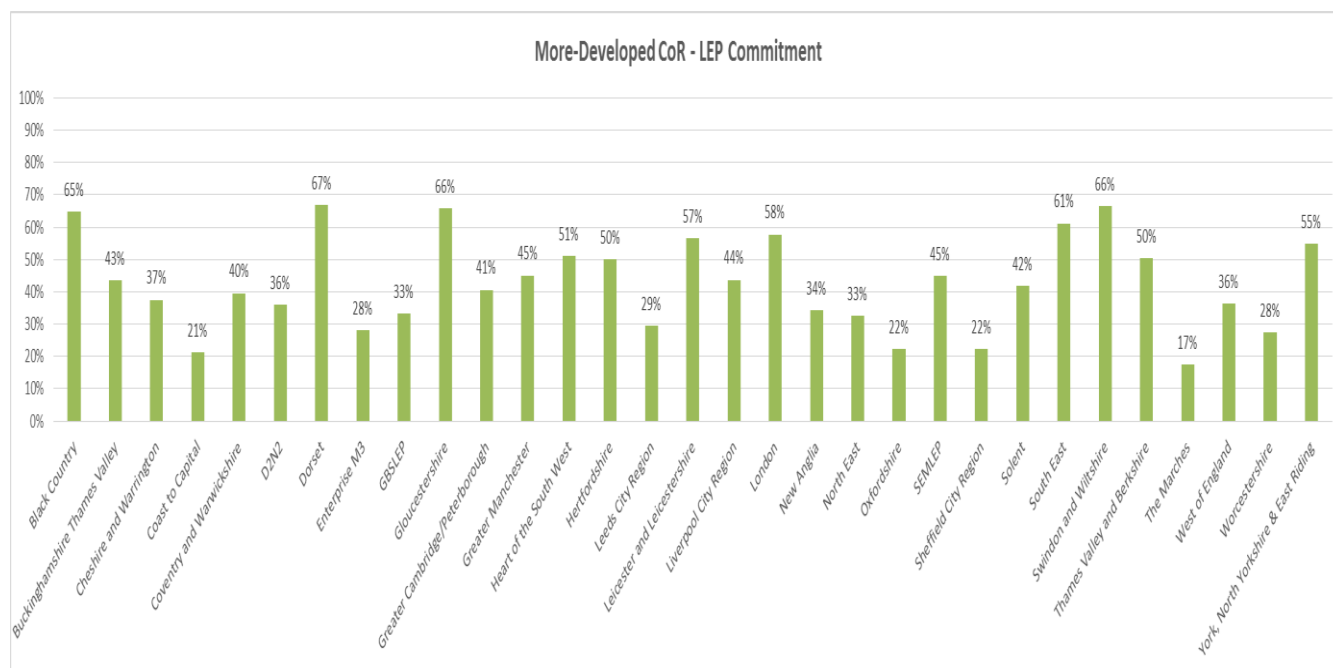


## More Developed

The tables below represent the proportions and amounts of the allocation committed within the COR and the notional allocation of that COR – at IP and LEP level.



## More developed - commitment by LEP



## **ESF Opt-in / Co-Financing Organisations (CFOs)**

The progress report below highlights where CFOs are in terms of their claims:

**BLF:** Claims total eligible expenditure of £14,654,340.02 have been submitted up to and including Q3. Payments totalling £6,994,436.28 have been issued. Q4 claims totalling £14,570,990.77 of eligible spend have been submitted and are being considered for payment. A second Performance Monitoring meeting took place in March and discussions centred around underspend and how BLF are progressing this with projects. Assurances were recorded to reflect that under performance is being challenged using the appropriate methodology.

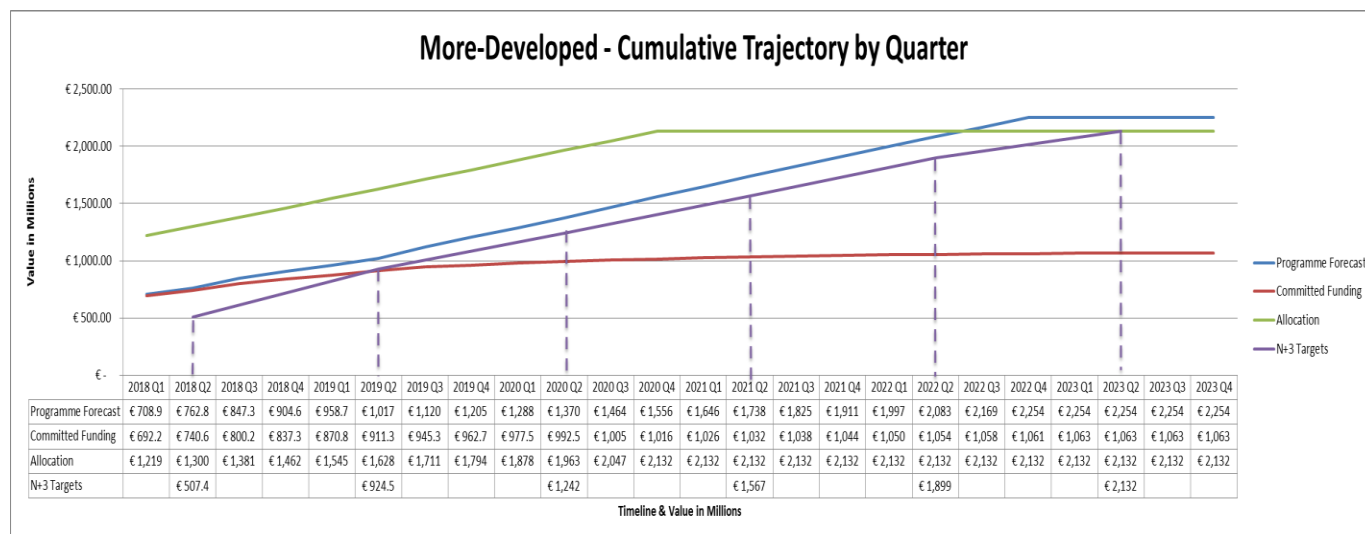
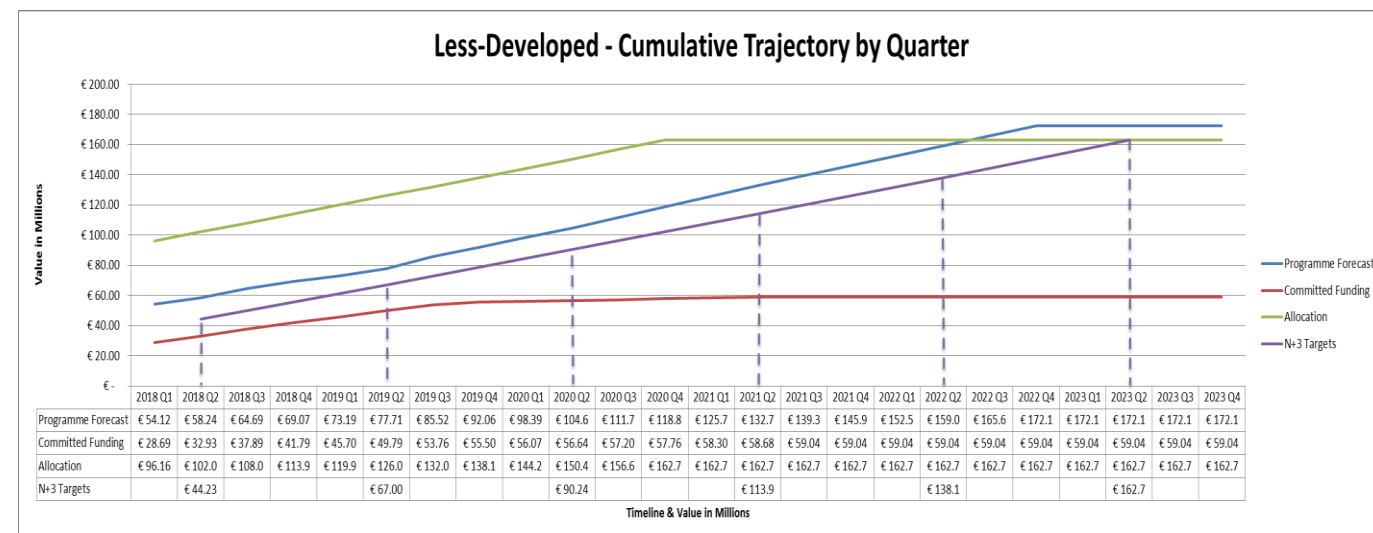
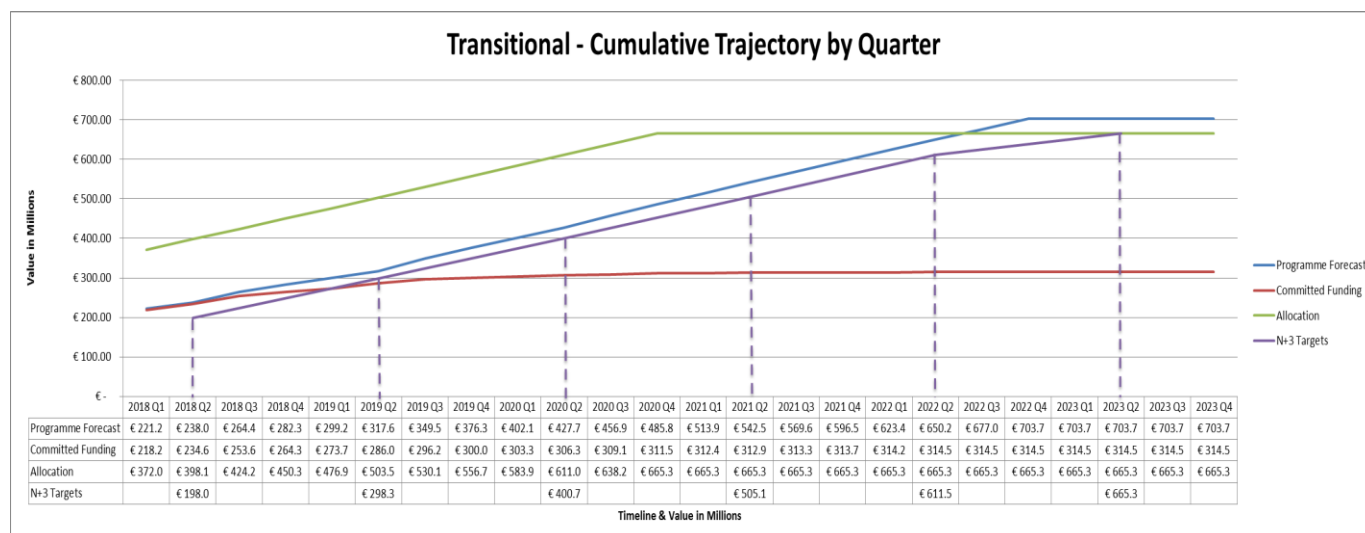
**HMPPS:** Claims total eligible expenditure of £82,314,378.22 have been submitted up to and including Q4 2017. Payments totalling £43,587,011.62 have been issued. Their Project Change Request has now been approved by the MA and a revised MOU will be issued to reflect the increased value and extension in time. This will take the full value of the MOU to £370,164,578

**DWP:** Claims total eligible expenditure of £13,885,163.71 have been submitted up to and including Q3 2017. Payments totalling £7,334,482.43 have been issued. Q4 claims totalling £12,321,863.03 of eligible spend have been submitted and are being considered for payment. A second Performance Management meeting has been completed in April. DWP intend to start writing to ESIF Sub-Committees in June in tranches. This will detail those MOU's that qualify for an extension to obtain the Sub-Committees agreement to submit a Project Change Request to the MA for consideration.

**ESFA:** Claims total eligible expenditure of £41,110,984.54 have been submitted up to and including Q4 2017. A number of claims have been withheld by the CFO whilst further compliance checks are completed. The Managing Authority agreed that the submission of the Participant Data Schema could be deferred until the Q1 2018 claim due to technical difficulties with the upload. Following Sub-Committee feedback on Strategic Fit and Value for Money, the ESFA Project Change Requests have now been approved to reflect an extension in time for some areas and an extension in time and money for others. Revised MOU's have been issued by the Managing Authority during May.

LEPs have direct contact with CFO performance managers and receive Management Information and Progress Reports. In the first instance, any concerns should be raised with them. Where they can, they will address this feedback and work with the Procured Delivery partner to maximise delivery. If this process fails, then they can escalate the issue with the ESF MA Lead for their area. In addition, the Managing Authority have regular informal and formal Performance Monitoring Meetings with CFOs and any issues of concerns can be raised in that forum. A high level overview of CFO Performance in a LEP Area can be provided by LEP Officers to the ESIF Sub-Committee in line with the specifications of the CFO offer agreed by the Sub-Committee.

## Annex A Trajectories by COR - comparison against target



## Annex B (i) - Outputs – commitment data to 30 April 2018

Programme Total - All CoR			TOTAL PARTICIPANTS (O1 Participants, O2	ESF-CO01 - Unemployed, including long term unemployed	ESF-CO03 - Inactive	O4 - Participants over 50 years of age	O5 - Participants from ethnic minorities	ESF-CO16 - Participants with disabilities	O6 - Participants without basic skills	ESF-CO14 - Participants who live in a single adult	YEI-O8 - Participants (below 25 years of age) who are	YEI-O3 - Participants (aged 25-29) who are unemployed	YEI-O9 - Unemployed participants (YEI)	YEI-O10 - Long-term unemployed participants (YEI)	YEI-O11 - Inactive participants not in education or training	YEI-O12 - Participants with disabilities	YEI-O13 - Participants who live in a single adult household	O7 - Participants who are offenders or ex-offenders	ESF-CO23 - Number of supported micro, small and
Priority Axis/ LEP Area	EUR Total Eligible	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed	Percentage Committed
		Eligible																	
1.1 Total	€ 666,595,404	43.16%	41%	39%	49%	38%	60%	41%	43%	45%									
1.2 Total	€ 357,239,284	97.80%	98%	101%	104%		192%	100%	94%	95%									
1.3 Total	€ 257,599,643	55.87%	47%				38%				53%	30%	48%	50%	71%	87%	118%		
1.4 Total	€ 937,930,142	78.32%	98%	91%	116%	105%	150%	107%										115%	
1.5 Total	€ 48,094,150	44.74%	61%	47%	77%	65%	50%	54%											
PA1 Total	€ 2,267,458,623	61.68%	62%	58%	81%	53%	92%	60%	56%	52%	53%	30%	48%	50%	71%	87%	118%	115%	
2.1 Total	€ 583,825,968	28.68%	22%			25%	24%	25%	42%	29%									
2.2 Total	€ 69,077,146	15.98%	37%																37%
PA2 Total	€ 652,903,113	26.45%	22%			25%	24%	25%	20%	29%									37%
3 Total	€ 46,023,118	18.46%																	
PA3 Total	€ 46,023,118	18.46%																	
Prog Total	€ 2,966,384,854	46.40%	46%	58%	82%	39%	69%	53%	38%	45%	53%	30%	48%	50%	71%	87%	118%	115%	37%

	exceeding value of committed spend
	within 10% of committed spend
	outside of 10% of not meeting committed spend

## Annex B (ii) - Results – committed participant data to 30 April 2018

Programme Total - All CoR	R1 - Unemployed participants into employment (including self-employment) on leaving		R2 - Inactive participants into employment or job search upon leaving		R3 - Participants gaining basic skills		R5 - Participants (below 25 years of age) in employment, including self-employment, or education/training		YEI-CR01 - Unemployed participants who complete the YEI supported intervention		YEI-CR02 - Unemployed participants who receive an offer of employment, continued education, apprenticeship or		YEI-CR04 - Long-term unemployed participants who complete the YEI supported intervention		YEI-CR06 - Long-term unemployed participants who are in education/training, gaining a qualification, or are in employment,		YEI-CR07 - Inactive participants not in education or training who complete the YEI supported intervention		YEI-CR08 - Inactive participants not in education or training who receive an offer of employment, continued education,		ESF-CR02 - Participants in education or training on leaving		R6 - Participants gaining level 2 or below or a unit of a level 2 or below qualification (excluding basic skills)		R7 - Participants gaining level 3 or above or a unit of a level 3 or above qualification		R9 - Small and Medium Enterprises successfully completing projects (which increase employer engagement; and/or the			
	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed	Target	Percentage Committed
Priority Axis/ LEP Area																														
1.1 Total	22%	29%	33%	53%	4%	4%																								
1.2 Total					4%	4%	43%	52%																						
1.3 Total									70%	61%	48%	32%	60%	74%	38%	44%	60%	33%	32%	60%										
1.4 Total	14%	22%	27%	21%																	17%	11%								
1.5 Total	16%	34%	29%	35%																	19%	17%								
PA1 Total	17%	19%	25%	27%	4%	5%	43%	52%	70%	61%	48%	32%	60%	74%	38%	44%	60%	33%	32%	60%	17%	12%								
2.1 Total					11%	12%																	25%	26%	8%	10%				
2.2 Total																											75%	82%		
PA2 Total					11%	12%																	25%	26%	8%	10%	75%	82%		
3 Total																														
PA3 Total																														
Prog Total	17%	19%	25%	27%	7%	7%	43%	52%	70%	61%	48%	32%	60%	74%	38%	44%	60%	33%	32%	60%	17%	12%	25%	26%	8%	10%	75%	82%		

	exceeding target
	within 5% of target
	outside of 5% of target

## Annex C - Breakdown of LEP allocation/committed spend by IP

LEP Breakdown by IP (£m)		1.1 Access to Employment			1.2 Sustained Integration for Young People			1.3 YEI			1.4 Active Inclusion			1.5 Inclusive Labour Markets			2.1 Life Long Learning			2.2 Improving Education						
LEP	Category of Region	Allocation	Commitment EUR and GBP	Percentage	Allocation	Commitment EUR and GBP	Percentage	Allocation	Commitment EUR and GBP	Percentage	Allocation	Commitment EUR and GBP	Percentage	Allocation	Commitment EUR and GBP	Percentage	Allocation	Commitment EUR and GBP	Percentage	Allocation	Commitment EUR and GBP	Percentage				
Black Country	M	€ 6.82	€ 2.98	£ 2.61	44%	€ 1.50	€ -	£ -	0%	€ 46.00	€ 38.77	£ 34.00	84%	€ 19.52	€ 14.78	£ 12.96	76%	€ 33.18	€ 13.30	£ 11.67	40%	€ 1.88	€ 0.68	£ 0.59	36%	
Buckinghamshire Thames Valley	M										€ 0.85	€ 0.68	£ 0.60	81%				€ 0.80	€ -	£ -	0%	€ 2.32	€ 1.04	£ 0.91	45%	
Cheshire and Warrington	M	€ 17.81	€ 7.35	£ 6.44	41%	€ 8.06	€ 4.26	£ 3.74	53%		€ 10.81	€ 6.27	£ 5.50	58%				€ 24.69	€ 5.07	£ 4.44	21%	€ 2.39	€ 0.80	£ 0.70	33%	
Coast to Capital	M	€ 6.62	€ 1.70	£ 1.49	26%	€ 1.68	€ -	£ -	0%		€ 6.91	€ 3.04	£ 2.67	44%				€ 14.37	€ 1.96	£ 1.72	14%	€ 3.01	€ -	£ -	0%	
Cornwall and Isles of Scilly	L	€ 36.44	€ 14.71	£ 12.90	40%	€ 13.30	€ 4.39	£ 3.85	33%		€ 59.20	€ 18.71	£ 16.40	32%	€ 7.56	€ 6.79	£ 5.95	90%	€ 26.38	€ 9.61	£ 8.43	36%	€ 23.36	€ 2.90	£ 2.54	12%
Coventry and Warwickshire	M	€ 15.76	€ 4.22	£ 3.70	27%	€ 4.01	€ 3.61	£ 3.17	90%	€ 9.80	€ 6.31	£ 5.53	64%	€ 18.97	€ 6.33	£ 5.55	33%	€ 19.91	€ 6.18	£ 5.42	31%	€ 4.17	€ 1.86	£ 1.63	45%	
Cumbria	T	€ 10.61	€ 7.79	£ 6.83	73%	€ 2.27	€ 0.91	£ 0.80	40%		€ 3.70	€ 2.85	£ 2.50	77%				€ 18.19	€ 10.77	£ 9.44	59%	€ 2.56	€ -	£ -	0%	
D2N2	M	€ 30.00	€ 10.68	£ 9.37	36%	€ 11.39	€ 5.15	£ 4.52	45%	€ 9.60	€ 8.54	£ 7.49	89%	€ 24.05	€ 8.93	£ 7.84	37%	€ 39.70	€ 10.59	£ 9.29	27%	€ 8.74	€ -	£ -	0%	
Dorset	M	€ 6.38	€ 5.17	£ 4.53	81%						€ 6.23	€ 5.04	£ 4.42	81%				€ 8.57	€ 3.89	£ 3.41	45%					
Enterprise M3	M	€ 5.20	€ 2.22	£ 1.94	43%	€ 1.32	€ -	£ -	0%		€ 3.81	€ 1.14	£ 1.00	30%				€ 8.37	€ 2.22	£ 1.94	26%	€ 1.75	€ -	£ -	0%	
Gloucestershire	M	€ 3.60	€ 1.54	£ 1.35	43%						€ 3.18	€ 1.87	£ 1.64	59%				€ 7.05	€ 7.82	£ 6.86	111%	€ 4.70	€ 0.98	£ 0.86	21%	
GBSLEP	M	€ 15.14	€ 0.81	£ 0.71	5%	€ 9.82	€ -	£ -	0%	€ 45.60	€ 38.31	£ 33.60	84%	€ 16.63	€ -	£ -	0%	€ 37.43	€ -	£ -	0%	€ 5.25	€ 3.14	£ 2.75	60%	
GBSLEP	T																	€ 8.07	€ -	£ -	0%	€ 1.14	€ 0.24	£ 0.21	21%	
Greater Cambridge/Peterborough	M	€ 10.27	€ 3.42	£ 3.00	33%	€ 3.01	€ -	£ -	0%		€ 3.52	€ 2.85	£ 2.50	81%	€ 4.23	€ 1.21	£ 1.06	29%	€ 12.85	€ 7.23	£ 6.34	56%	€ 2.69	€ -	£ -	0%
Greater Lincolnshire	T	€ 12.47	€ 5.82	£ 5.11	47%	€ 1.90	€ 0.44	£ 0.39	23%		€ 10.60	€ 2.85	£ 2.50	27%				€ 24.65	€ 7.98	£ 7.00	32%	€ 3.61	€ 3.04	£ 2.67	84%	
Greater Manchester	M	€ 34.51	€ 28.84	£ 25.30	84%	€ 30.99	€ 9.64	£ 8.46	31%		€ 39.44	€ 32.96	£ 28.91	84%				€ 59.86	€ 12.43	£ 10.90	21%	€ 22.34	€ -	£ -	0%	
Heart of the South West	M					€ 1.72	€ 0.32	£ 0.28	18%		€ 3.92	€ 2.75	£ 2.41	70%				€ 9.79	€ 4.69	£ 4.11	48%	€ 0.88	€ 0.57	£ 0.50	65%	
Heart of the South West	T	€ 0.78	€ 0.63	£ 0.56	81%	€ 5.32	€ 0.95	£ 0.83	18%		€ 7.56	€ 6.12	£ 5.37	81%				€ 17.70	€ 6.92	£ 6.07	39%	€ 2.35	€ 0.70	£ 0.62	30%	
Hertfordshire	M	€ 8.13	€ 4.18	£ 3.67	51%	€ 2.07	€ 0.63	£ 0.56	31%		€ 5.66	€ 3.24	£ 2.84	57%				€ 14.23	€ 7.29	£ 6.39	51%	€ 3.56	€ 1.52	£ 1.33	43%	
Humber	T	€ 7.42	€ 6.82	£ 5.98	92%	€ 1.41	€ 1.14	£ 1.00	81%	€ 9.00	€ 7.53	£ 6.60	84%	€ 4.38	€ 3.55	£ 3.11	81%	€ 6.35	€ 3.38	£ 2.96	53%	€ 14.79	€ 8.08	£ 7.09	55%	
Lancashire	T	€ 21.28	€ 13.05	£ 11.44	61%	€ 9.39	€ 5.07	£ 4.44	54%		€ 22.18	€ 15.79	£ 13.85	71%				€ 46.81	€ 17.74	£ 15.56	38%	€ 6.46	€ -	£ -	0%	
Leeds City Region	M	€ 52.88	€ 21.24	£ 18.62	40%	€ 24.54	€ 12.44	£ 10.91	51%		€ 17.85	€ 5.43	£ 4.76	30%	€ 11.65	€ 1.16	£ 1.02	10%	€ 73.23	€ 15.42	£ 13.52	21%	€ 10.71	€ -	£ -	0%
Leicester and Leicestershire	M	€ 15.16	€ 9.97	£ 8.74	66%	€ 5.26	€ 4.26	£ 3.74	81%	€ 9.80	€ 0.68	£ 0.60	7%	€ 10.53	€ 8.04	£ 7.05	76%	€ 20.91	€ 11.52	£ 10.10	55%	€ 4.38	€ 2.25	£ 1.97	51%	
Liverpool City Region	M	€ 2.25	€ 0.82	£ 0.72	36%	€ 1.13	€ 0.05	£ 0.04	4%	€ 4.38	€ 2.80	£ 2.46	64%	€ 1.69	€ 0.47	£ 0.41	28%	€ 1.94	€ 0.97	£ 0.85	50%	€ 0.41	€ 0.01	£ 0.01	2%	
Liverpool City Region	T	€ 19.11	€ 12.49	£ 10.95	65%	€ 4.88	€ 0.64	£ 0.56	13%	€ 48.82	€ 37.07	£ 32.51	76%	€ 13.28	€ 3.82	£ 3.35	29%	€ 16.50	€ 8.01	£ 7.03	49%	€ 2.32	€ 0.30	£ 0.27	13%	
London	M	€ 162.41	€ 96.51	£ 84.64	59%	€ 87.13	€ 80.89	£ 70.94	93%	€ 85.58	€ -	£ -	0%	€ 113.68	€ 130.27	£ 114.25	115%	€ 113.68	€ 13.60	£ 11.93	12%	€ 21.65	€ 14.14	£ 12.40	65%	
New Anglia	M	€ 4.19	€ 0.40	£ 0.35	10%	€ 5.00	€ 3.06	£ 2.69	61%		€ 11.95	€ 4.99	£ 4.37	42%				€ 20.37	€ 6.03	£ 5.29	30%	€ 4.27	€ 0.94	£ 0.82	22%	
North East	M	€ 37.25	€ 16.40	£ 14.38	44%	€ 9.47	€ 2.84	£ 2.49	30%		€ 21.24	€ 11.08	£ 9.72	52%	€ 9.86	€ 5.92	£ 5.19	60%	€ 88.95	€ 19.00	£ 16.67	21%	€ 18.64	€ 4.84	£ 4.25	26%
North East	T	€ 8.07	€ 3.85	£ 3.38	48%	€ 7.35	€ 0.70	£ 0.61	9%	€ 18.00	€ 14.57	£ 12.78	81%	€ 9.91	€ 2.29	£ 2.00	23%	€ 21.71	€ 6.33	£ 5.56	29%	€ 3.93	€ 1.42	£ 1.25	36%	
Oxfordshire	M	€ 2.13	€ -	£ -	0%	€ 0.97	€ -	£ -	0%		€ 2.17	€ 2.10	£ 1.84	97%				€ 3.34	€ -	£ -	0%	€ 0.78	€ -	£ -	0%	
Sheffield City Region	M	€ 2.67	€ 0.32	£ 0.28	12%	€ 0.68	€ -	£ -	0%		€ 2.92	€ 0.33	£ 0.29	11%				€ 4.92	€ 1.95	£ 1.71	40%	€ 1.03	€ -	£ -	0%	
Sheffield City Region	T	€ 16.63	€ 2.21	£ 1.94	13%	€ 2.58	€ -	£ -	0%		€ 19.32	€ 1.95	£ 1.71	10%				€ 28.23	€ 13.44	£ 11.79	48%	€ 4.22	€ -	£ -	0%	
Solent	M	€ 5.55	€ 1.32	£ 1.16	24%	€ 2.65	€ 1.32	£ 1.16	50%		€ 5.93	€ 3.53	£ 3.10	60%				€ 5.65	€ 2.57	£ 2.26	46%	€ 1.18	€ -	£ -	0%	
South East	M	€ 32.65	€ 11.40	£ 10.00	35%	€ 9.00	€ 18.75	£ 16.44	208%	€ 3.60	€ 2.86	£ 2.51	80%	€ 11.27	€ 9.12	£ 8.00	81%	€ 7.04	€ 1.15	£ 1.00	16%	€ 20.47	€ 12.90	£ 11.31	63%	
SEMLEP	M	€ 19.99	€ 6.01	£ 5.27	30%	€ 5.22	€ 1.92	£ 1.69	37%		€ 10.65	€ 5.67	£ 4.98	53%	€ 5.63	€ 4.61	£ 4.04	82%	€ 22.86	€ 12.41	£ 10.89	54%	€ 5.03	€ -	£ -	0%
Stoke and Staffordshire	T	€ 22.63	€ 14.17	£ 12.42	63%	€ 11.17	€ 8.23	£ 7.22	74%		€ 13.46	€ 9.69	£ 8.50	72%				€ 22.09	€ 8.80	£ 7.72	40%	€ 3.11	€ -	£ -	0%	
Swindon and Wiltshire	M	€ 5.57	€ 4.50	£ 3.95	81%	€ 3.24	€ 2.05	£ 1.80	63%		€ 2.82	€ 2.28	£ 2.00	81%				€ 10.07	€ 5.58	£ 4.89	55%					
TEES Valley	T	€ 21.89	€ 6.84	£ 6.00	31%	€ 3.08	€ -	£ -	0%	€ 22.93	€ 22.62	£ 19.84	99%	€ 12.97	€ 6.29	£ 5.52	49%	€ 24.61	€ 3.42	£ 3.00	14%	€ 3.47	€ -	£ -	0%	
Thames Valley and Berkshire	M	€ 1.41	€ -	£ -	0%	€ 3.38	€ 2.74	£ 2.40	81%		€ 3.52	€ 1.95	£ 1.71	55%				€ 3.45	€ 1.73	£ 1.51	50%	€ 2.11	€ 0.57	£ 0.50	27%	
The Marches	M	€ 4.33	€ -	£ -	0%	€ 1.41	€ 0.76	£ 0.67	54%		€ 2.82	€ 1.01	£ 0.88	36%				€ 4.53	€ 0.63	£ 0.56	14%	€ 1.04	€ -	£ -	0%	
The Marches	T	€ 11.87	€ -	£ -	0%	€ 2.50	€ 1.77	£ 1.56	71%		€ 6.74	€ 2.34	£ 2.05	35%				€ 10.86	€ 1.27	£ 1.11	12%	€ 1.72	€ -	£ -	0%	
West of England	M	€ 10.40	€ 3.40	£ 2.98	33%	€ 5.65	€ 2.17	£ 1.90	38%		€ 5.74	€ 3.42	£ 3.00	60%				€ 2.93	€ 0.38	£ 0.34	13%	€ 8.50	€ 2.67	£ 2.35	31%	
Worcestershire	M	€ 7.92	€ 1.60	£ 1.40	20%	€ 2.01	€ 1.50	£ 1.31	74%		€ 5.58	€ 1.89	£ 1.66	34%				€ 14.43	€ 3.87	£ 3.40	27%	€ 3.02	€ -	£ -	0%	
York, North Yorkshire & East Riding	M	€ 4.42	€ 3.02	£ 2.65	68%	€ 1.13	€ 0.76	£ 0.66	67%		€ 5.39	€ 4.37	£ 3.83	81%	€ 4.01	€ 3.36	£ 2.95	84%	€ 14.33	€ 6.38	£ 5.59	44%	€ 3.81	€ -	£ -	0%
York, North Yorkshire & East Riding	T	€ 1.83	€ 0.16	£ 0.14	9%	€ 0.26	€ 0.25	£ 0.22	99%		€ 1.88	€ 1.46	£ 1.28	78%	€ 1.40	€ 1.42	£ 1.25	102%	€ 5.30	€ 2.18	£ 1.91	41%	€ 0.94	€ -	£ -	0%
		€ 722.47	€ 338.57	£ 296.93	47%	€ 308.86	€ 183.62	£ 161.03	59%	€ 313.11	€ 180.07	£ 157.92	58%	€ 584.44	€ 363.55	£ 318.83	62%	€ 60.25	€ 31.07	£ 27.24	52%	€ 1,002.76				

Euro value is calculated using exchange rate of

0.877



## Annex D – LEP committed output data

LEP Participant Breakdown by IP		1.1 Access to Employment			1.2 Sustained Integration for Young People			1.3 YEI			1.4 Active Inclusion			1.5 Inclusive Labour Markets			2.1 Life Long Learning			2.2 Improving Education					
LEP	Category of Region	Target	Commitment	Percentage	Target	Commitment	Percentage	Target	Commitment	Percentage	Target	Commitment	Percentage	Target	Commitment	Percentage	Target	Commitment	Percentage	Target	Commitment	Percentage			
Black Country	M	6,710	3,723	55%	1,500	-	0%	16,730	11,761	70%	8,790	7,734	88%	3,100	3,099	100%	30,310	9,201	30%	150	800	533%			
Buckinghamshire Thames Valley	M										380	293	77%				730	347	48%	180	680	378%			
Cheshire and Warrington	M	17,530	6,165	35%	8,050	4,178	52%				4,870	2,685	55%				22,550	3,687	16%	190	100	53%			
Coast to Capital	M	6,510	853	13%	1,680	-	0%				3,110	1,303	42%				13,130	1,347	10%	230	-	0%			
Cornwall and Isles of Scilly	L	21,600	4,297	20%	5,400	1,788	33%				11,900	4,978	42%				13,500	3,356	25%	1,800	500	28%			
Coventry and Warwickshire	M	15,510	3,095	20%	4,000	3,331	83%	3,560	2,310	65%	8,540	3,054	36%				18,190	3,289	18%	320	250	78%			
Cumbria	T	8,680	5,800	67%	1,880	749	40%				1,580	976	62%				13,510	6,050	45%	230	-	0%			
D2N2	M	29,520	8,005	27%	11,370	5,043	44%	3,490	7,161	205%	10,830	3,824	35%				36,260	7,144	20%	680	-	0%			
Dorset	M	6,280	3,799	60%							2,800	2,210	79%				7,830	2,619	33%						
Enterprise M3	M	5,120	2,284	45%	1,320	-	0%				1,720	488	28%				7,650	3,520	46%	140	-	0%			
Gloucestershire	M	3,540	1,438	41%							1,430	801	56%				6,440	6,936	108%	360	450	125%			
GBSLEP	M	14,900	1,141	8%	9,800	-	0%	16,590	8,500	51%	7,490	-	0%				34,190	-	0%	410	540	132%			
GBSLEP	T													5,990	-	0%	100	320	320%						
Greater Cambridge/Peterborough	M	10,100	4,004	40%	3,010	-	0%				1,590	1,211	76%	3,370	-	0%	11,740	4,877	42%	210	-	0%			
Greater Lincolnshire	T	10,200	4,018	39%	1,570	363	23%				4,510	974	22%	9,290	1,067	11%	18,300	5,167	28%	330	600	182%			
Greater Manchester	M	33,950	36,966	109%	30,940	9,456	31%				17,760	17,724	100%				54,680	8,383	15%	1,730	-	0%			
Heart of the South West	M				1,720	307	18%				1,770	1,199	68%				8,940	3,971	44%	70	119	170%			
Heart of the South West	T	640	543	85%	4,400	777	18%				3,220	2,226	69%				13,140	4,753	36%	210	85	40%			
Hertfordshire	M	8,000	3,789	47%	2,070	1,280	62%				2,550	1,391	55%				13,000	5,428	42%	280	500	179%			
Humber	T	6,910	4,851	70%	1,160	522	45%	2,910	2,220	76%	1,870	2,379	127%	4,140	2,510	61%	10,980	5,440	50%	210	-	0%			
Lancashire	T	17,400	10,597	61%	7,750	4,141	53%				9,430	7,639	81%	34,770	9,969	29%	590	-	0%						
Leeds City Region	M	52,030	23,883	46%	24,500	12,194	50%				8,040	2,050	25%	9,290	1,067	11%	66,890	20,926	31%	830	-	0%			
Leicester and Leicestershire	M	14,910	5,853	39%	5,260	4,178	79%	3,560	336	9%	4,740	3,441	73%	1,660	1,660	100%	19,100	7,768	41%	340	220	65%			
Liverpool City Region	M	2,220	768	35%	1,130	70	6%	1,590	1,251	79%	760	210	28%				1,770	650	37%	30	1	3%			
Liverpool City Region	T	15,630	12,064	77%	4,030	830	21%	15,790	15,405	98%	5,660	1,537	27%				12,250	4,621	38%	210	28	13%			
London	M	159,800	104,432	65%	87,000	76,327	88%	31,130	-	0%	51,190	84,480	165%				103,840	13,245	13%	1,680	828	49%			
New Anglia	M	4,120	502	12%	4,990	5,614	113%				5,380	2,304	43%	7,870	5,699	72%	18,610	4,144	22%	330	117	35%			
North East	M	36,500	14,441	40%	9,460	2,784	29%				9,560	4,619	48%				81,250	2,306	3%	1,440	-	0%			
North East	T	6,600	2,617	40%	6,070	572	9%	5,830	5,830	100%	4,220	848	20%				16,120	3,878	24%	360	145	40%			
Oxfordshire	M	2,090	280	13%	970	-	0%				980	842	86%				3,050	-	0%	60	-	0%			
Sheffield City Region	M	2,630	613	23%	680	-	0%				1,310	132	10%				4,490	1,316	29%	80	-	0%			
Sheffield City Region	T	13,610	3,579	26%	2,140	-	0%				8,230	135	2%				20,960	7,553	36%	380	-	0%			
Solent	M	5,460	1,357	25%	2,640	1,294	49%				2,670	2,339	88%				5,160	1,735	34%	90	-	0%			
South East	M	18,250	5,084	28%	23,080	19,343	84%	1,310	1,310	100%	5,070	3,905	77%				5,620	1,000	18%	18,700	8,700	47%	600	-	0%
SEMLEP	M	19,670	5,246	27%	3,660	1,887	52%				2,580	3,190	124%				4,500	2,295	51%	10,290	8,369	81%	200	-	0%
Stoke and Staffordshire	T	18,510	9,427	51%	9,230	6,724	73%				5,730	3,730	65%	3,200	3,700	116%	16,410	4,948	30%	280	-	0%			
Swindon and Wiltshire	M	5,480	2,957	54%	3,230	2,014	62%				1,270	976	77%				9,200	3,760	41%						
TEES Valley	T	17,910	5,879	33%	2,550	-	0%	9,510	8,402	88%	5,520	2,153	39%				18,280	1,922	11%	310	-	0%			
Thames Valley and Berkshire	M	1,390	-	0%	3,380	3,380	100%				1,590	833	52%				3,150	1,357	43%	160	150	94%			
The Marches	M	4,260	-	0%	1,410	740	52%				1,270	441	35%				4,130	425	10%	80	-	0%			
The Marches	T	9,710	-	0%	2,070	1,444	70%				2,870	854	30%				8,070	710	9%	150	-	0%			
West of England	M	10,230	3,508	34%	5,640	2,129	38%				2,580	1,464	57%				2,680	1,159	43%	660	251	38%			
Worcestershire	M	7,790	1,713	22%	2,010	1,971	98%				2,510	809	32%				13,180	2,667	20%	230	-	0%			
York, North Yorkshire & East Riding	M	4,350	2,390	55%	1,120	747	67%				2,430	2,576	106%				3,200	3,700	116%	13,090	4,298	33%	300	-	0%
York, North Yorkshire & East Riding	T	650	460	71%	210	-	0%				800	1,197	150%	920	1,300	141%	3,930	1,244	32%	90	-	0%			
		656,900	312,421	48%	304,080	176,177	58%	112,000	64,486	58%	243,100	188,154	77%	43,670	22,330	51%	850,430	203,185	24%	17,310	6,684	39%			

## **Annex E - Cross Cutting Themes**

### **Equality Training**

One of the main aims of the ESIF Equality Mainstreaming Plan is to deliver equality training to all MA staff. The ESIF Equality and Diversity National Sub Committee agreed a project brief for the training earlier in 2017. MA staff were asked to attend two consecutive workshops. The first workshop ('Workshop 1') covered the basics of equality, including key principles and legislation and will involve both ESF and ERDF staff. The second round of workshops ('Workshop 2') will be more job-focused, covering the equality aspects of appraisal, contract management and verification (Article 125 checks). In 2017 a total of 8 equality workshops were delivered.

In 2018, two equality training workshops (workshop 2') were delivered in Leeds for Education and Skills Funding Agency CFO staff. (Each session followed-on from the sustainable development 'workshop 2' training). Two further workshops were delivered for ESFA CFO staff in London.

Three Equality training workshops were delivered in Birmingham in March. The training mainly supported MA staff – although some DWP CFO staff also attended. Another two workshops were delivered in Sheffield. In total, a further 9 equality workshop have been delivered so far this year. Nearly 80 members of staff have benefited from the training and support provided through these workshops.

Further training is currently being organised for the Greater London Authority (GLA) - and it is likely that this will be delivered in mid-June 2108. The MA is also liaising with the Big Lottery Fund regarding the possibility of delivering training to some of their staff.

### **Sustainable Development Training**

Sustainable development training was piloted in Leeds with ESFA staff in February 2018 and a subsequent workshop was held. Twenty ESFA staff attended sustainable development and equality workshops. This training aimed to show how sustainable development should be covered in key processes such as: application assessment and project inception visits. Two further workshops were delivered for ESFA CFO staff in London.

Three workshops on sustainable development 'workshop 3'; were delivered in Birmingham. The training mainly supported MA staff (although some DWP CFO staff were present). Another two workshops were held in Sheffield.

### **Sustainable, Development and Equality Business Process Checks**

Updated application forms and guidance for direct bid applicants were published in May. The ESF Managing Authority is aiming to update and publish the remaining forms and guidance such as CFO application forms, PIV forms and claims guidance - by the end of June.

**Annex F - 2018 Performance Framework Forecast:**

The Performance Framework (PF) forecast covers both financial and participant aspects of the 2018 PF milestones (as agreed in the current OP). We have added a RAG rating for each target as explained in the table footnote. Above 85% of the target is the level the Commission will deem a target as met and below 65% is the level the Commission will consider a significant failure, which may draw programme suspension and possible financial correction.

Currently we forecast, using the claim rate of projects, only the Transition and More-Developed category of regions in Priority Axis 1 will meet the 2018 PF milestones (for both financial and participants). All other targets are below the 65% threshold.

Priority Axis	Category of Region	Total Commitment	Forecast at the Claim Rate	Actuals (not declared)	Actuals (declared)	Milestone total for 2018	Forecast Over/ Under	Percentage	Milestone men for 2018	Forecast Over/ Under	Percentage	Milestone women for 2018	Forecast Over/ Under	Percentage
1	Less-Developed	€ 27,900,179	€ 9,260,399	€ 3,872,233.25	€ 92,174	€ 28,000,000	-€ 14,775,194	47%						
1	Less Developed	8,847	3,629	271	-	7,300	-3,400	53%	3,700	-1,737	53%	3,600	-1,553	57%
1	Transition	€ 256,193,323	€ 93,264,899	€ 43,550,119	€ 11,867,521	€ 113,000,000	€ 35,682,539	132%						
1	Transition	104,495	31,342	22,324	-	43,600	10,066	123%	24,700	11,112	145%	18,900	5,273	128%
1	More-Developed	€ 1,174,016,966	€ 441,465,370	€ 148,519,346	€ 19,050,553	€ 462,000,000	€ 147,035,269	132%						
1	More Developed	480,404	169,853	46,102	-	183,500	32,455	118%	105,300	25,042	124%	78,200	22,825	129%
<b>YEI Financial</b>		<b>€ 246,745,405</b>	<b>€ 54,807,538</b>	<b>€ 59,570,137</b>	<b>€ 6,873,017</b>	<b>€ 461,099,386</b>	<b>-€ 339,848,694</b>	<b>26%</b>						
<b>YEI Participants</b>		<b>51,171</b>	<b>11,080</b>	<b>14,285</b>	<b>-</b>	<b>112,000</b>	<b>-86,635</b>	<b>23%</b>	<b>59,400</b>	<b>-37,387</b>	<b>37%</b>	<b>52,600</b>	<b>-34,962</b>	<b>34%</b>
2	Less-Developed	€ 12,118,652	€ 3,452,193	€ 1,482,499	€ -	€ 11,000,000	-€ 6,065,308	45%						
2	Less Developed	3,613	1,332	26	-	2,500	-1,142	54%	1,200	-513	57%	1,300	-609	53%
2	Transition	€ 152,409,575	€ 53,830,529	€ 2,588,382	€ -	€ 98,000,000	-€ 41,581,089	58%						
2	Transition	52,643	19,092	420	-	38,400	-18,888	51%	18,800	-8,696	54%	19,600	-9,944	49%
2	More-Developed	€ 378,445,130	€ 130,361,889	€ 11,071,965.09	€ -	€ 352,000,000	-€ 210,566,146	40%						
2	More Developed	125,657	46,398	810	-	133,300	-86,092	35%	65,300	-40,779	38%	68,000	-44,819	34%

RAG rating is Green = 85% of target or higher, Amber = 65% to 85% and Red less than 65%.

**Mitigating Actions:**

- The group will continue to work with CFO partners and Direct-Bid projects to ensure as much expenditure is claimed as possible by the deadline of Q2 2018.
- Further analysis will be completed on project claim rates and CFO forecasts, to breakdown on a monthly/ quarterly basis the best/ worst case scenarios together with the progress on actual expenditure, from receipt to certification with the Commission.
- Further work will be completed with Contract Managers to a) provide the latest MI for their projects to identify backlogs etc. and b) provide lines to take regarding N+3 & PF including clarification on participant scenarios e.g. what can be counted/ when is a record complete etc.
- We will also expand the participant numbers to incorporate an additional quarter submission from projects, as they should be received before the 31/12/18 deadline.
- We have received additional information from ESFA on the number of participants currently recorded on their system, which have not been reported, which will be factored into the next forecast.
- The group will also create an N+3 & PF plan and timeline, for future board reporting, including a log of actions/ decisions taken.

We will also explore the possibility of amending the PF milestones, as part of the OP review exercise.

## Annex G - N+3 & Performance Framework Group Update

### Current Position for 2018 N+3:

The current N+3 position, in terms of actual payment applications made to the Commission is set out below. Actual payment application's represent only 5% achievement of the target, however when factoring in pre-finance payments from the Commission, it increases achievement to 43%.

YEAR	COR	TARGET	ACTUAL	PREFINANCING	PA'S REQUIRED	% ACHIEVED
2018	Less developed	€ 44,225,485	€ 438,835	€ 16,887,483	€ 26,899,167	39.18%
2018	Transition	€ 143,271,218	€ 14,180,748	€ 74,718,163	€ 54,372,308	62.05%
2018	More developed	€ 507,402,400	€ 24,233,658	€ 232,090,196	€ 251,078,545	50.52%
2018	YEI	€ 319,576,848	€ 13,312,002	€ 62,916,692	€ 243,348,154	23.85%
<b>TOTAL</b>		<b>€ 1,014,475,951</b>	<b>€ 52,165,243</b>	<b>€ 386,612,534</b>	<b>€ 575,698,174</b>	<b>43.25%</b>

### 2018 N+3 Forecast:

The forecast, using current claim rate of projects and additional match funding of £123.6m (from HMPPS and DWP), is set out below.

COR	Commitment (at the agreed intervention rate)	Forecast at the Claim Rate (at the agreed intervention rate)	Received Expenditure (not authorised)	Authorised Expenditure (not in a payment application)	Actual Payment Applications	Pre-financing	Target	Forecasted Over/ Under Spend	Percentage
Less developed	€ 33,700,400	€ 10,049,220	€ 749,722	€ 4,113,489	€ 438,835	€ 16,887,483	€ 44,225,485	-€ 11,986,736	72.90%
Transition	€ 248,795,826	€ 78,924,150	€ 12,681,730	€ 19,282,985	€ 14,180,748	€ 74,718,163	€ 143,271,218	€ 56,516,558	139.45%
More developed	€ 792,378,615	€ 252,179,982	€ 35,898,290	€ 69,361,474	€ 24,233,658	€ 232,090,196	€ 507,402,400	€ 106,361,201	120.96%
<b>TOTAL</b>	<b>€ 1,074,874,841</b>	<b>€ 341,153,352</b>	<b>€ 49,329,742</b>	<b>€ 92,757,949</b>	<b>€ 38,853,241</b>	<b>€ 323,695,842</b>	<b>€ 694,899,103</b>	<b>€ 150,891,023</b>	<b>121.71%</b>
<b>YEI</b>	<b>€ 171,013,281</b>	<b>€ 28,131,210</b>	<b>€ 10,708,284</b>	<b>€ 31,884,430</b>	<b>€ 13,312,002</b>	<b>€ 62,916,692</b>	<b>€ 319,576,848</b>	<b>-€ 172,624,230</b>	<b>45.98%</b>