



## Annual Prison Performance Ratings 2017/18

### Main points

***Direct comparisons cannot be made between prison performance ratings in 2017/18 and those in previous years as prison performance ratings have been derived through a revised performance framework for 2017/18.***

<b>Higher proportion of prisons rated as exceptional</b>		12% of prisons rated as exceptional in 2017/18, a higher proportion than the previous two years. Around a third of prisons of exceptional performance in 2017/18 are Male Open prisons.
<b>Lower proportion of prisons rated as meeting the majority of targets</b>		42% of prisons rated as having performance which met the majority of targets for 2017/18. 50% of prisons met majority of targets in 2016/17.
<b>Lower proportion of prisons rated as of concern</b>		33% of prisons rated as having performance of concern in 2017/18. 34% of prisons were rated as concerning in 2016/17.
<b>Higher proportion of prisons rated as of serious concern</b>		13% of prisons rated as having performance of serious concern in 2017/18, the highest proportion since 2011/12. All prisons of serious concern in 2017/18 are Male Local or Male Category C prisons.

*This publication covers reporting for the period between the 1<sup>st</sup> of April 2017 and the 31<sup>st</sup> of March 2018. Direct comparisons cannot be made to performance ratings in previous years as a new prison framework was introduced in 2017/18 to assess prison performance, however steps have been taken to baseline performance ratings against previous years through a moderation process.*

The following products are published as part of this release:

- A statistical bulletin, containing commentary on key findings;
- A technical guide, providing further information on how the data are collected and processed to derive prison performance ratings;  
[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/729331/annual\\_prison\\_performance\\_ratings\\_2017\\_18\\_technical\\_guide.ods](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/729331/annual_prison_performance_ratings_2017_18_technical_guide.ods)
- A set of supplementary tables, providing data and the performance rating for each measure by prison.  
[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/729335/annual\\_prison\\_performance\\_ratings\\_2017\\_18\\_supplementary\\_tables.ods](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/729335/annual_prison_performance_ratings_2017_18_supplementary_tables.ods)

# 1. Introduction

Her Majesty's Prison and Probation Service (HMPPS) is an executive agency of the Ministry of Justice (MoJ); with the goal of helping prison and probation services work together to manage offenders through their sentences. HMPPS replaced the National Offender Management Service (NOMS) on 1 April 2017.

For 2017/18 the Ministry of Justice commissioned HMPPS to deliver a suite of prison performance measures, resulting in performance ratings for both public sector and privately-managed prisons across England and Wales. The annual publication of prison ratings is designed to ensure transparency of the final performance assessments of all prisons.

Data presented in this report have been drawn from administrative IT systems. Although care is taken when processing and analysing the data, the level of detail collected is subject to the inaccuracies inherent in any large-scale recording system.

# 2. Custodial Performance Tool

The prison performance framework for 2017/18 reflected the changes made in April 2017 to the responsibility of commissioning for prisons. From 2009/10 to 2016/17, the Prison Rating System was used to assess the in-year performance of prisons. This was replaced by the Custodial Performance Tool (CPT) for 2017/18.

Prison performance ratings for 2017/18 are based on a data-driven assessment of a prison's performance through the CPT, with ratification by in depth scrutiny of performance over the year through an established moderation process.

Overall performance for each prison in the CPT has been graded into one of four bands. These bands are: 4: Exceptional performance; 3: Meeting majority of targets; 2: Overall performance is of concern; and 1: Overall performance is of serious concern.

Performance in the CPT has been assessed against three main outcome areas that reflect priorities for 2017/18 as set by the Ministry of Justice:

- Public Protection;
- Safety and Order;
- And Offender Reform.

Seventeen commissioned performance measures developed in consultation with HMPPS underpin the three outcome areas. To ensure a balanced view of performance is reflected, a further nineteen shadow measures deemed to be important from an operational perspective also sit within the framework. For the purpose of the CPT these measures have been categorised as the HMPPS Additional Measures. Sufficiently high or low performance in the HMPPS Additional Measures can increase, or decrease, the overall prison performance rating by a band, if this differs to performance in the weighted measures.

**Commissioned performance measures:**

<b>Public Protection</b>	<b>Safety &amp; Order</b>	<b>Reform</b>
The number of KPI escapes from prison	IRS Data Quality Audit (including subset of IRS assaults checks)	Positive random mandatory drugs tests (including months random drugs testing levels not met)
The rate of absconds	Assault on staff incidents	Hours worked by prisoners in industry
Security Audit	Prisoner-on-prisoner assaults incidents	Accredited programmes completed
	MQPL Safety	
	Barricade/prevention of access incidents	
	Concerted Indiscipline incidents	
	Hostage incidents	
	Incidents at Height	
	Self-harm incidents	

**HMPPS Additional Measures:**

<b>Resource Management &amp; Operational Effectiveness</b>	<b>Offender Management</b>	<b>Decency</b>	<b>Safety</b>	<b>Public Protection</b>
Data Quality Baseline	OASys Quality	MQPL BME Simplified	Self-Harm Audit	MAPPA Effectiveness
PNOMIS Dashboard	Basic Custody Screening (Part 1)	MQPL Decency	HMIP Safety	Generic Parole Process
INview Unassigned Costs	HMIP Resettlement	HMIP Respect		
Foreign National Prisoner Referrals	HMIP Purposeful Activity			
Staff Sickness Absence				
Prison Operating within Budget				
Tornado Commitment				
Control & Restraint (C&R) Training				

The Ministry of Justice monitored the performance of prisons on a quarterly basis through the CPT. The Tool was released to prisons with an opportunity for any data to be amended if needed due to inaccuracies. Data was available to prisons internally on a monthly basis to allow them to track performance throughout the year. The CPT also included a prison specific dashboard to allow prisons to view performance across all measures side by side, to aide operational performance management.

Each prison was assigned a comparator group; a group of prisons with similarities in a number of variables including the prison type, main security category, complexity level and operational capacity. These allow prisons to compare their performance and also form a means of highlighting exceptional and poor performance in certain measures.

Further information on the methodology used to derive the prison performance ratings can be found in the accompanying technical guide

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/729331/annual\\_prison\\_performance\\_ratings\\_2017\\_18\\_technical\\_guide.ods](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/729331/annual_prison_performance_ratings_2017_18_technical_guide.ods).

### 3. Annual Prison Performance Ratings

As part of the annual prison performance process, following the final assessment of data to the year ending 31 March 2018, a moderation process was undertaken in June 2018. A prison, HMPPS Prisons Directorate or MoJ Commissioning Operations were able make a request for the data driven performance rating to be reviewed on the basis of additional evidence. It was also possible for a prison's performance to automatically qualify for further scrutiny in the moderation process. A prison performance rating would automatically be reviewed if one of the following criteria were met:

- there had been an escape from the prison;
- the prison had received recent low ratings from Her Majesty's Inspectorate of Prisons (HMIP) between April 2017 and March 2018;
- performance in the HMPPS Additional Measures meant the overall CPT banding was inflated or deflated;
- the prison received an Urgent Notification<sup>1</sup> during the year;
- Or the prison had low volumes of staff assaults, prisoner on prisoner assaults or self-harm.

The moderation process was delivered through the HMPPS Moderation Panel and independent assurance provided by the Ministry of Justice.

There were eighteen prisons for which a moderation proposal resulted in an overall band change. These are marked with an asterisk (\*) in the table on page 5 that presents the annual prison performance ratings 2017/18.

The accompanying tables

([https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/729335/annual\\_prison\\_performance\\_ratings\\_2017\\_18\\_supplementary\\_tables.ods](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/729335/annual_prison_performance_ratings_2017_18_supplementary_tables.ods))

to this bulletin provide a breakdown of performance and bandings for each measure in each prison.

For 2017/18, 12% of prisons were rated as exceptional, whilst 13% were rated as of serious concern.

#### Summary of Prison Performance Ratings for 2017/18 (Source: Table 5)

2017/18 ratings	
4: Exceptional Performance	14 (12%)
3: Meeting the Majority of Targets	50 (42%)
2: Performance is of Concern	39 (33%)
1: Performance is of Serious Concern	15 (13%)

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<sup>1</sup> The Urgent Notification process allows Her Majesty's Chief Inspector of Prisons to directly alert the Lord Chancellor and Secretary of State for Justice if he has an urgent and significant concern about the performance of a prison. It was introduced in Nov 2017 - <https://www.gov.uk/government/publications/urgent-notification>

## Prison Performance Ratings 2017/18 (Source: Table 5)

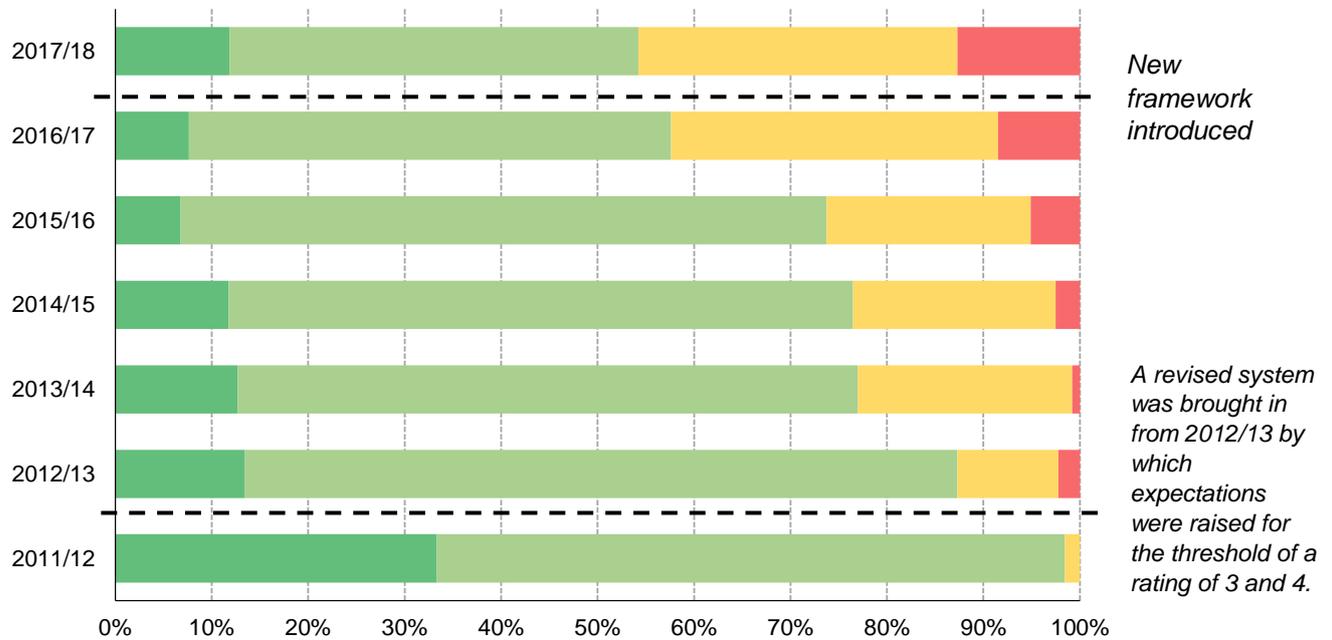
**Key:** Rating 4 = Exceptional performance  
 Rating 3 = Meeting the majority of targets  
 Rating 2 = Overall performance is of concern  
 Rating 1 = Overall performance is of serious concern

Altcourse	3	Gartree	3	Oakwood	3
Ashfield	4	Grendon	4	Onley	1
Askham Grange	4	Guys Marsh	2	Parc	2
Aylesbury	2	Hatfield	4	Pentonville	2
Bedford*	1	Haverigg	3	Peterborough Female	3
Belmarsh	3	Hewell	2	Peterborough Male*	1
Birmingham*	1	High Down	2	Portland	1
Brinsford	3	Highpoint	3	Prescoed	4
Bristol	1	Hindley	2	Preston*	3
Brixton	3	Hollesley Bay	3	Ranby	2
Bronzefield	3	Holme House	2	Risley	2
Buckley Hall	2	Hull	3	Rochester	2
Bullingdon	2	Humber	3	Rye Hill	3
Bure*	4	Huntercombe	3	Send	3
Cardiff	2	Isis	3	Spring Hill	4
Channings Wood*	2	Isle of Wight	3	Stafford	3
Chelmsford*	1	Kirkham	3	Standford Hill	3
Coldingley	2	Kirklevington Grange	3	Stocken	3
Cookham Wood	3	Lancaster Farms	3	Stoke Heath	3
Dartmoor	2	Leeds	2	Styal	3
Deerbolt	4	Leicester	2	Sudbury	3
Doncaster	3	Lewes	2	Swaleside*	2
Dovegate	4	Leyhill	4	Swansea	2
Downview	2	Lincoln	2	Swinfen Hall	2
Drake Hall*	2	Lindholme	1	Thameside	2
Durham	2	Littlehey	3	Thorn Cross	3
East Sutton Park	4	Liverpool	1	Usk	3
Eastwood Park	2	Long Lartin	3	Wakefield	3
Elmley*	2	Low Newton	3	Wandsworth	1
Erlestoke*	2	Lowdham Grange	2	Warren Hill	4
Exeter*	1	Maidstone	3	Wayland	3
Featherstone	3	Manchester	3	Wealstun	2
Feltham	2	Moorland	3	Werrington	3
Ford	3	Mount*	1	Wetherby	3
Forest Bank	3	New Hall	3	Whatton	3
Foston Hall*	2	North Sea Camp	4	Whitemoor	3
Frankland	3	Northumberland	2	Winchester*	1
Full Sutton*	4	Norwich	2	Woodhill	2
Garth	2	Nottingham*	1	Wormwood Scrubs*	1
				Wymott	3

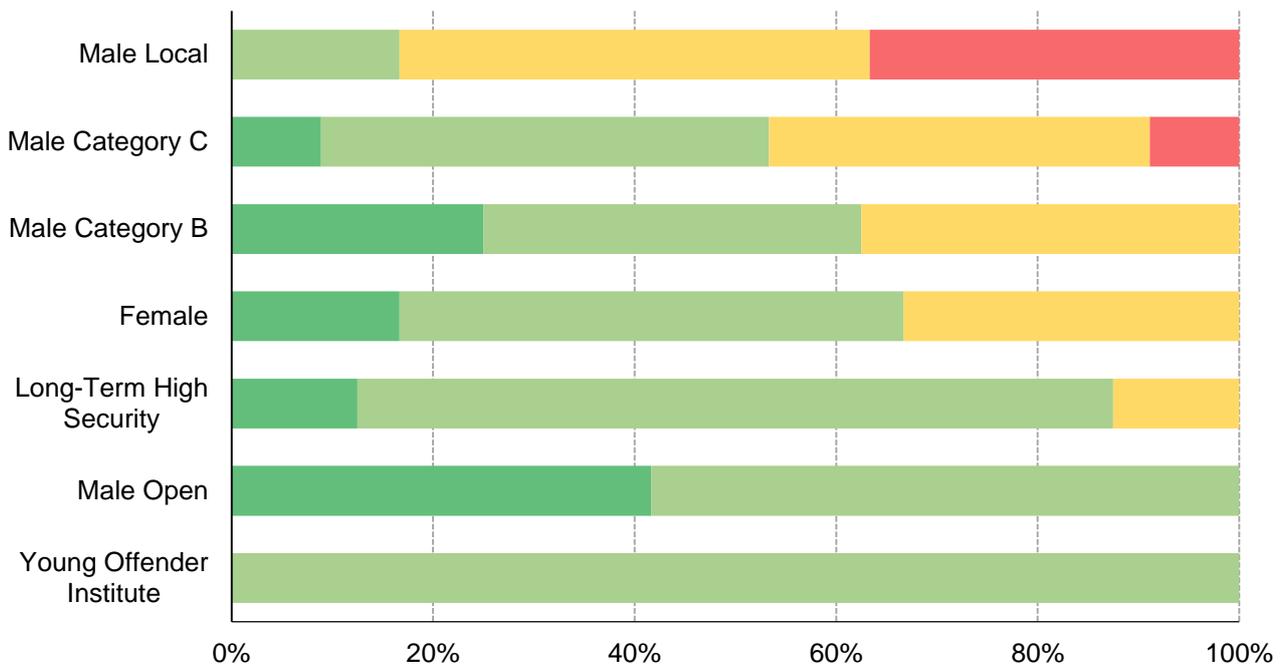
\*A moderation proposal resulted in an overall band change for these prisons.

In 2017/18, a higher proportion of prisons were rated as exceptional performance than in 2016/17 or 2015/16. However, the proportion of prisons rated as performance of serious concern was higher in 2017/18 than in any of the previous six years. As the Custodial Performance Tool is the result of a revised performance framework, **direct comparisons cannot be made to performance ratings from previous years derived through the Prison Rating System.**

**Prison Performance ratings 2011/12 to 2017/18 (Source: Table 6)**



**Prison Performance Ratings 2017/18 by Function Type (Source: Table 7)**



All prisons deemed to be of serious concern were either Male Category C or Male Local prisons. Around 80% of Male Local prisons and were rated as having performance of concern or of serious concern. Offenders accommodated at Male Local prisons are either on remand or serving short-term custodial sentences, a likely driver to poor performance given environments will be more dynamic than those prisons with longer-term serving offenders, with a limited time to rehabilitate offenders.

All Male Open prisons, Young Offender Institutes and nearly 90% of Long-Term High Security prisons were rated as exceptional or meeting the majority of targets. Whilst around 70% of female prisons were performing exceptionally or were meeting the majority of targets. The remainder were rated as having performance of concern.

All prisons that received an Urgent Notification during 2017/18 were rated as performance of serious concern.

Drugs misuse was the measure by which prisons performed most poorly, with around 45% rated as a band 1, whilst prisons performed well on security, with around 35% achieving a band 4 rating on the security audit.

## **4. Statistical Code of Practice**

This publication has followed the principles and practices from the Code of Practice:

### **Trustworthiness**

The ratings and data in this publication have been produced with the most recent data available. Prisons have had the opportunity to scrutinise and challenge data they deemed to be inaccurate through an established assurance process, undertaken by a specified data assurance unit in Analytical Services MoJ. This process ensured accurate information it is reflected in the CPT score.

### **Quality**

Appropriate data sources were used for each measure, identified through engagement with prison staff and colleagues in HMPPS HQ. Technical notes accompany each performance measure. The prison framework was agreed at the start of the year and information on the methodology used for each measure was made available on internal HMPPS systems. Prisons were informed that the overall end of year scores were to be published nationally.

The data in this publication has been quality assured alongside the Official-Statistics HMPPS Annual Digest 2017/18 and National Statistics Safety in Custody Quarterly: March 2018 to ensure consistency. Any intentional differences have been highlighted in the data of this publication.

### **Value**

This data in the publication provides an overview of prisons performance within the year. Making this information accessible provides ministers and users with an overview of prisons performance, while helping to reduce the administrative burden of answering Parliamentary Questions, Freedom of Information requests and ad hoc queries. This information also allows MOJ/ HMPPS to monitor and performance manage prisons, and provide all users with transparent data that underpins overall prison performance.

Data is published in Open Document format to ensure compatibility across different systems. Information is also available on the Justice Data website that enables users to access all data used to assess prison performance.

## Further information

General information about the official statistics system of the UK is available from:

[statisticsauthority.gov.uk/about-the-authority/uk-statistical-system](https://statisticsauthority.gov.uk/about-the-authority/uk-statistical-system)

Ministry of Justice publishes data relating to offender management in England and Wales. Equivalent statistics for Scotland and Northern Ireland can be found at:

[www.scotland.gov.uk/Topics/Statistics/Browse/Crime-Justice](http://www.scotland.gov.uk/Topics/Statistics/Browse/Crime-Justice)

[www.dojni.gov.uk/index/statistics-research/stats-research-publications.htm](http://www.dojni.gov.uk/index/statistics-research/stats-research-publications.htm)

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## Next Update: 25 July 2019

**URL:** <https://www.gov.uk/government/collections/prison-and-probation-trusts-performance-statistics>

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Alternative formats are available on request from [statistics.enquiries@justice.gsi.gov.uk](mailto:statistics.enquiries@justice.gsi.gov.uk).