

Directions Given to the Big Lottery Fund under Section 36e of the National Lottery Etc. Act 1993

The Secretary of State for Culture, Media and Sport, in exercise of the powers conferred on her by section 36E of the National Lottery etc. Act 1993 and having consulted the Big Lottery Fund ("the Fund"), National Assembly for Wales, Scottish Ministers and Northern Ireland Department of Culture, Arts and Leisure pursuant to section 36E(5) of that Act, hereby gives the following directions to the Fund:

1. In these Directions any reference to a section is a reference to a section of the National Lottery etc. Act 1993.

General Directions

2. In exercising any of its functions in relation to English devolved expenditure, Isle of Man devolved expenditure and transformational grant expenditure, the Fund shall take into account the following matters in determining the persons to whom, the purposes for which and the conditions subject to which the Fund distributes money:
 - A. The need to ensure that money is distributed under section 25(1) for projects which promote the public good and which are not intended primarily for private gain.
 - B. The need to ensure that money is distributed under section 25(1) to projects which make real and sustainable improvements to the quality of life of local communities.
 - C. The need to encourage innovation balanced with the need to manage risk in a manner commensurate with type of project and applicant.
 - D. The need to further the objectives of sustainable development.
 - E. The need to set specific time limits on the periods in respect of which grants are payable, whether for capital or revenue expenditure.
 - F. The need:
 - (i) in all cases, for applicants to demonstrate the financial viability of the project for the period of the grant;
 - (ii) where capital funding is sought:
 - (a) for a clear business plan incorporating the need for resources to be available to meet any running and maintenance costs associated with each project for a reasonable period, having regard to the size and nature of the project; and

- (b) to ensure that project evaluation and management process for major projects match those of the Office of Government Commerce's Gateway Reviews.
 - (iii) in other cases, for consideration to be given to the likely availability of other funding to meet any continuing costs for a reasonable period after completion of the Lottery award, taking into account the size and nature of the project, and for Lottery funding to be used to assist progress towards viability wherever possible.
- G. The desirability of working with other organisations, including other distributors, where this is an effective means of delivering elements of the Fund's strategy.
- H. The need to ensure that the Fund has such information as it considers necessary to make decisions on each application, including independent expert advice where required.
- I. The need to require an element of partnership funding and/or contributions in kind from other sources commensurate with the reasonable ability of different kinds of applicants, or applicants in particular areas to obtain such support.
- J. The need to include a condition in all grants to acknowledge Lottery funding using the common Lottery branding.
- K. The need to involve the public in making policies, setting priorities and making grants.

Transformational Grants

- 3. In exercising any of its functions in relation to transformational grant expenditure, the Fund shall take into account the following matters in determining the persons to whom, the purposes for which and the conditions subject to which the Fund distributes money:
 - A. The need to ensure that the Fund achieves over time the distribution of money to projects in each country of the United Kingdom.
 - B. The need for money to be distributed for the purpose of capital expenditure on projects and only to be otherwise distributed where the money:
 - (i) is distributed for the purposes of endowments or in the form of revenue grants where:
 - (a) such endowments or grants are associated with a capital project, in respect of which money has been or is proposed to be distributed; and

- (b) such endowments or grants support the delivery of the project outcomes, increase accessibility, reduce barriers to entry and ensure that such projects are sustainable; or
 - (ii) is distributed for development funding to projects which have been assessed as suitable for such funding.
- C. The need to transform and revitalise communities and the physical environment.
- D. The need for widespread public participation in the decision to award grants which:
 - (i) involve consultation, voting systems or other suitable mechanisms;
 - (ii) are free from bias, corruption and manipulation; and
 - (iii) involve partnerships with broadcasting, electronic, print and other media.

English and Isle of Man devolved expenditure

- 4. In exercising any of its functions in relation to English and Isle of Man devolved expenditure, the Fund shall take into account the following matters in determining the persons to whom, the purposes for which and the conditions subject to which the Fund distributes money:
 - A. The need to ensure that the Fund, taking into account its assessment of needs and any priorities it has identified in its strategy, achieves over time the distribution of money to a reasonably wide spread of recipients, including small organisations, those organisations operating at a purely local level, social enterprises, and organisations with a base in England and working overseas.
 - B. The need to ensure that the Fund achieves over time the distribution of money reasonably equally between the expenditure on or connected with:
 - (i) the promotion of community learning;
 - (ii) the promotion of community safety and cohesion; and
 - (iii) the promotion of physical and mental well being.
 - C. The need to have regard to the interests of England as a whole, the interests of all the different parts of England and the relative population sizes of, and the scope for reducing economic and social deprivation in, the different parts of England.
- 5. In relation to English and Isle of Man devolved expenditure the Fund shall take into account the need to distribute money under section 25(1) to projects which are intended to achieve one or more of the following outcomes:

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- A. People having better chances in life, with better access to training and development to improve their life skills.
 - B. Stronger communities, with more active citizens, working together to tackle their problems.
 - C. Improved rural and urban environments, which communities are better able to access and enjoy.
 - D. Healthier and more active people and communities.
6. In relation to English and Isle of Man devolved expenditure the Fund, in distributing money under section 25(1), shall take into account the need to ensure one or more of the following priorities are met:
- A. Improving family skills.
 - B. Improving literacy, numeracy, ICT and creative skills.
 - C. Developing consumer skills, including finance and debt management.
 - D. Developing basic business skills, particularly for social and creative enterprise.
 - E. Removing barriers to and developing employability, including through improving communication and problem solving skills.
 - F. Developing life skills, including skills which help improve personal independence and interactions with others.
 - G. Supporting the training needs of volunteers.
 - H. Celebrating community identity, culture, diversity and achievements.
 - I. Strengthening volunteering and voluntary sector infrastructure.
 - J. Building capacity for community engagement.
 - K. Broadening children and young people's experiences and raising expectations.
 - L. Reducing isolation, for example for older people, disabled people and their carers, and enabling participation in community and family life.
 - M. Supporting citizenship, leadership and local planning and delivery

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- N. Ensuring safer places, free from anti-social behaviour and crime.
- O. Better designed, clean and well-maintained public places and public art.
- P. Increasing community usage of local parks, play facilities, public places, community building and the countryside.
- Q. Enabling communities to tackle local environmental problems and improve their local environment.
- R. Promoting environmental awareness through educational projects.
- S. Increasing community participation in sport, dance, play and physical and creative activity.
- T. Developing better approaches to tackling mental health problems, including through education, public awareness and the arts.
- U. Improving opportunities for healthier eating and promoting the relationship between methods of production and the environment.
- V. Addressing health inequalities through educational and information projects.

Signed on behalf of the Secretary of State for Culture, Media and Sport

30th November 2006

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