



Department for
Business, Energy
& Industrial Strategy

BUSINESS IMPACT TARGET

Final report for the 2015-17 Parliament

A decorative blue curved line that starts on the left side of the page, rises to a peak, and then descends to the right, ending with a small blue dot.

May 2018
HC 300



BUSINESS IMPACT TARGET: FINAL REPORT FOR THE 2015-17 PARLIAMENT

Presented to Parliament pursuant to Section 23 of the Small Business, Enterprise and
Employment Act 2015

Ordered by the House of Commons to be printed 22 May 2018



© Crown copyright **2018**

This publication is licensed under the terms of the Open Government Licence v3.0 except where otherwise stated. To view this licence, visit nationalarchives.gov.uk/doc/open-government-licence/version/3

Where we have identified any third party copyright information you will need to obtain permission from the copyright holders concerned.

This publication is available at www.gov.uk/government/publications

Any enquiries regarding this publication should be sent to us at enquiries@beis.gov.uk

ISBN 978-1-5286-0330-0

CCS0318288658 05/18

Printed on paper containing 75% recycled fibre content minimum

Printed in the UK by the APS Group on behalf of the Controller of Her Majesty's Stationery Office

Contents

Background	6
Progress made against the Business Impact Target during the 2015-17 Parliament	8
Qualifying Regulatory Provisions with the most significant business impacts	10
Tackling burdens from tax administration	12
Annex A: Qualifying Regulatory Provisions	14
Annex B: Non-Qualifying Regulatory Provisions	156
Legislative Non-Qualifying Regulatory Provisions	157
Non-legislative Non-Qualifying Regulatory Provisions	190
Annex C: Mitigating disproportionate impacts on smaller businesses	387
Annex D: Wider impacts of the most significant measures	390

Background

The Better Regulation Framework

The Government's Better Regulation Framework aims to support good policy making and ensure that regulatory decisions are made in the light of robust, high quality evidence. It requires policy makers to ensure that the impacts of regulation are fully understood before final decisions are made and that the five principles of good regulation are met: transparent, accountable, proportionate, consistent and targeted.

The Better Regulation Framework also requires the Government of the day to set itself a Business Impact Target. On 3 March 2016 the Government announced a target to save business £10 billion in regulatory costs as its formal Business Impact Target for what was expected to be a five year Parliament, running from 2015 to 2020.

What does the Business Impact Target cover?

The Small Business, Enterprise and Employment (SBEE) Act 2015 requires the Government of the day to publish its Business Impact Target within the first year of a new Parliament and to decide which types of Regulatory Provisions¹ will count towards the target ("Qualifying Regulatory Provisions"). On 3 March 2016 the Government announced² that all Regulatory Provisions other than those that fall within a defined exclusion will count towards the Business Impact Target for the duration of the Parliament (see link in footnote for details of the formal exclusions).

There are certain provisions that the SBEE Act excludes from counting towards the Business Impact Target, such as provisions relating to taxation. Instead, HMRC operates a Customer Cost Reduction (CCR) target, which is a separate control system aimed at tackling unnecessary costs and burdens resulting from tax administration. Details of the CCR target, including the progress HMRC has made, are set out in this report.

Independent scrutiny

The SBEE Act also requires the Government to appoint an Independent Verification Body (IVB) to independently verify all savings and costs on business that count towards the Business Impact Target and to confirm that all legislative Regulatory Provisions that do not count towards the target have been classified correctly. In 2015 the Government appointed the Regulatory Policy Committee to perform this IVB role for measures that came into force during the last Parliament, which complements its wider role in scrutinising the evidence and analysis that supports regulatory proposals.

¹ A Regulatory Provision, in relation to a business activity, is defined in section 21(3) of the SBEE Act as "a statutory provision which (a) imposes or amends requirements, restrictions or conditions, or sets or amends standards or gives or amends guidance, in relation to the activity, or (b) relates to the securing of compliance with, or the enforcement of, requirements, restrictions, conditions, standards or guidance which relate to the activity."

² <http://www.parliament.uk/business/publications/written-questions-answers-statements/written-statement/Commons/2016-03-03/HCWS574/>

Reporting against the Business Impact Target

This report aims to meet the requirements of section 23 of the SBEE Act, which – amongst other things – requires:

- The Government to report on the progress it has made against its Business Impact Target (see page eight); and
- Transparency regarding all Regulatory Provisions that have come into force, regardless of whether or not they count towards the overall target (see Annexes A and B).

On 19 April 2017 Parliament agreed to call an early general election. This triggered the requirement for an end of Parliament Business Impact Target report. It was not possible to produce the full report before Parliament dissolved on 3 May 2017, so the Government instead published an interim report on 26 April 2017. This publication replaces the interim report and is the Government's final Business Impact Target report in respect of the 2015-17 Parliament.

Inclusion of listed regulators

The Enterprise Act 2016 extended the provisions of the SBEE Act so that they can apply to regulators that are legally separate from UK Ministers and are independent of Government. On 29 March 2017, secondary legislation came into force that brought a list of regulators into scope of the Business Impact Target. This requires each listed regulator to publish:

- An independently validated assessment of the economic impact on business of the Qualifying Regulatory Provisions they introduced during the 2015-17 Parliament. These impacts must also be listed in the Government's report (see Annex A, table three) and counted towards the overall Business Impact Target (see page eight).
- A summary of the Non-Qualifying Regulatory Provisions that they introduced during the 2015-17 Parliament. These must also be included in the Government's report (see the "Non-legislative, Non-Qualifying Regulatory Provisions" section of Annex B).

Progress made against the Business Impact Target during the 2015-17 Parliament

Summary of progress

The 2015-17 Parliament ran from 8 May 2015 to 8 June 2017, during which Government departments and Ministerial and listed regulators delivered £6,615.7 million net business³ savings from Qualifying Regulatory Provisions that came into force or ceased to be in force during this period. This was against a Business Impact Target of £10 billion of net savings to be achieved over what was originally envisaged to be a five year Parliament, with an interim target of £5 billion by May 2018. The savings delivered exceed the interim target and achieve 66% of the overall target.

The measures listed in this report that made the most significant contribution to this figure that were not included in the Government's first Business Impact Target report⁴ (published in June 2016) are set out on page 10, along with an accompanying explanation of the measure.

2015-17 Reporting period	Organisation	Business Impact Target score ⁵ delivered
Reporting period 1 (8 May 2015–26 May 2016)	Government departments and Ministerial regulators	–£2,267.5 million ⁶
Reporting period 2 (27 May 2016–8 June 2017)	Government departments and Ministerial regulators	–£4,380.9 million
Reporting period 1 and 2	Listed regulators	£32.7 million
Total		–£6,615.7 million
<i>Interim target (originally intended to be met by May 2018)</i>		<i>–£5,000 million</i>
<i>Business Impact Target (originally intended to be met by 2020)</i>		<i>–£10,000 million (pro-rata: –£4,000 million by June 2017)</i>

³ In this document, references to “business” are taken to refer to business and voluntary or community bodies.

⁴ <https://www.gov.uk/government/publications/business-impact-target-bit-annual-report-2015-to-2016>

⁵ A “Business Impact Target score” for the 2015-17 Parliament was the sum of the Equivalent Annual Net Direct Cost to Business (EANDCB) over the first five years for which the measure will be in force, or the sum of the EANDCB over the full lifetime of the measure for measures that are in force for less than five years. See [Written Ministerial Statement](#) of 3 March 2016 for further details on methodology.

⁶ Updated from the £885 million savings reported in the Government's first Business Impact Target Annual Report – see Annex A, Table one.

Breakdown of contributions by Government department

Government department	Business Impact Target score delivered in 2015-17 Parliament		
	Reporting period 1 (millions)	Reporting period 2 (millions)	Total (millions)
Department for Work and Pensions	-£5.7	-£1,038.0	-£1,043.7
Department for Environment, Food and Rural Affairs	-£1,394.0	-£1.0	-£1,395.0
Department for Business, Energy and Industrial Strategy	-£451.0	-£3,121.5	-£3,572.5
Department for Communities and Local Government	-£328.5	-£210.5	-£539.0
Department for Digital, Culture, Media and Sport	£2.5	£0.0	£2.5
Department for Education	£0.0	£44.0	£44.0
Cabinet Office	£0.0	£0.0	£0.0
Ministry of Justice	£20.2	£0.0	£20.2
Department of Health	£249.0	-£2.0	£247.0
Home Office	£157.0	£12.9	£169.9
HM Treasury	-£488.5	-£44.8	-£533.3
Department for Transport	-£28.5	-£20.0	-£48.5

Qualifying Regulatory Provisions with the most significant business impacts

The most significant measures from the 2015-17 Parliament that have been independently validated by the Regulatory Policy Committee since the Government's first Business Impact Target report (published in June 2016) are set out below along with an explanation of the policy.

Energy Company Obligation

The Energy Company Obligation (ECO) requires larger energy suppliers to deliver energy efficiency measures to domestic premises in Great Britain. During the last Parliament the Government:

- Ended the ECO2 scheme in March 2017: This scored as a net saving, with a Business Impact Target score of -£3,905 million.
- Introduced a transitional scheme (ECO2t) to cover the period from March 2017 to September 2018. This scored as a net cost, with a Business Impact Target score of £864 million.
- Announced it would introduce a new, more targeted scheme from October 2018. This would have resulted in a large net cost, with a Business Impact Target score of approximately £2,000 million.

The Small Business, Enterprise and Employment Act only allows measures to be counted towards the Business Impact Target once they have come into force. Therefore, the only parts of this ECO package that can count against the last Parliament's target are the expiry of the previous ECO2 scheme and the introduction of the transitional scheme. As a result, the Government is reporting a larger overall saving to business from ECO than expected.

The cost to business of any future ECO scheme cannot yet be counted, but will be fully accounted for in line with the methodology that the Government chooses to set for the current Parliament and reported in a future Business Impact Target report.

Automatic-enrolment simplifications

This measure aligns the planned increase in minimum employer and worker automatic enrolment contributions in April 2018 and April 2019 with the beginning of the tax year. This represents a significant simplification for employers by supporting them to manage increases in their contributions at the same time as other payroll changes, whilst not undermining the long-term workplace pension saving that automatic-enrolment provides eligible employees. This measure scored as a net saving with a Business Impact Target score of -£1,025 million.

Cheque imaging

This measure enables cheque imaging for banks and building societies – an innovation that uses a digital image of a cheque for clearing purposes, rather than the paper cheque itself. This benefits businesses, the banking industry and customers by enabling faster clearing and also enables banks to offer more convenient methods of depositing cheques should they wish to offer this service. This measure scored as a net saving, with a Business Impact Target score of -£519 million.

Heat Networks scope guidance

The Heat Networks (Metering and Billing) Regulations 2014 implement aspects of the EU Energy Efficiency Directive. The Regulations require, amongst other things, the fitting of individual customer meters where it is technically and economically feasible to do so in buildings that are subject to the requirements.

The 2014 Regulations originally applied to any building that is a communal Heat Network, or part of a District Heat Network (i.e. a system that distributes heating, hot water or cooling from a central source to multiple final customers or buildings). However, this would have gone beyond EU requirements and unnecessarily imposed obligations on a significant number of landlords and universities.

The Heat Networks scope guidance, issued by the then National Measurement and Regulation Office in 2015, clarified the coverage of the 2014 Regulations. For example, it made it clear that Houses in Multiple Occupation are not subject to the legislation.

In making these clarifications, the guidance removed approximately 260,000 landlords and universities from the cost of compliance that they would otherwise have had to bear had no action been taken and had the requirements taken hold. This scored as a net saving, with a Business Impact Target score of -£453.5 million.

Permitted Development Rights

Permitted Development Rights provide a simplified planning process. A temporary permitted development right was introduced in May 2013 for a three year period to allow for the change of use from office to residential, subject to prior approval by the local planning authority on specific planning matters. From 6 April 2016 legislation made permanent this right, and provided those with prior approval three years from the date of prior approval in which to change use.

This legislation also introduced, for three years, a new temporary Permitted Development Right for the change of use from light industrial to residential use. This temporary right is subject to prior approval by the local planning authority, including in respect of flooding and contamination. The legislation also provides for the change of use of a launderette to residential use, subject to prior approval. All homes, including those developed under Permitted Development Rights, are required to meet building regulations. This measure scored as a net saving, with a Business Impact Target score of -£342.5m.

Tackling burdens from tax administration

At Autumn Statement 2015, the Chancellor announced a new Customer Cost Reduction (CCR) target for HMRC to reduce the annual net cost to business of tax administration by £400 million by the end of March 2020. This system is operated separately from – but is complementary to – the Business Impact Target. In its December 2016 response⁷ to the Public Accounts Committee’s report on Better Regulation, the Government committed to include information about the impacts of changes in tax administration in its Business Impact Target report.

HMRC’s approach to tackling tax burdens

HMRC’s aim is to make it easier for business to deal with tax by bearing down on unnecessary costs and burdens. HMRC is supported in this role by two external partners:

- The Admin Burdens Advisory Board: An independent panel that operates as a critical friend to HMRC, holding it to account on its progress on tackling unnecessary burdens in the tax system; and
- The Office for Tax Simplification: A statutory body that provides suggestions for tackling unnecessary burdens from the current stock of legislation and rules relating to taxation. It also provides suggestions for improving the way in which tax policy is developed.

Progress against HMRC’s Customer Cost Reduction Target

HMRC’s progress against the CCR target is set out in its Annual Report and Accounts for 2016-17, which is available online⁸. HMRC has delivered net reductions of £29 million towards this target as of 31 March 2017. HMRC will continue to report progress against this target in its Annual Report and Accounts for 2017-18.

Examples of improvements in tax administration

HMRC continues to explore ways in which its service can be further digitalised to improve efficiency and reduce unnecessary burdens. Examples of recent improvements made include:

- Once and Done: Aims to ensure customers only have to contact HMRC once to resolve all their queries. HMRC now meets more customers’ needs at first contact, significantly reducing the need for repeat contact.
- Voluntary pay-rolling: Following a recommendation from the Office for Tax Simplification, HMRC have introduced voluntary pay-rolling of employee benefits and other changes.

7

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/577907/57980_Cm_9389_Treasury_Minute_Accessible.pdf

8

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/635587/HMRC_Annual_Report_and_Accounts_2016-17_web_.pdf

This will largely eliminate the need for P11D returns, which are completed by employers to record benefits given to their employees.

- HMRC's Business Tax Account: HMRC's new online service provides over five million small businesses with access to a personalised homepage containing everything they need to manage their tax affairs, including an overview of all their tax records with direct links to the actions they need to take and access to help and support.

Annex A: Qualifying Regulatory Provisions

Under the provisions of the Small Business, Enterprise and Employment Act 2015 the Government is required to publish:

- The economic impact on business of any Qualifying Regulatory Provisions that came into force during the first reporting period of the 2015-17 Parliament but had not been validated in time for the publication of the June 2016 Business Impact Target report. For transparency, this report includes all Qualifying Regulatory Provisions that came into force during the first reporting period (see table one);
- A list of all the Qualifying Regulatory Provisions (and their associated validated economic impact on business), which have come into force or ceased to be in force during the second reporting period of the 2015-17 Parliament, and those of listed regulators backdated to the start of the Parliament (see tables two and three respectively); and
- A description of and the reason for any Qualifying Regulatory Provisions introduced during the reporting period that go beyond the minimum provision necessary for implementing an EU or international obligation.⁹

Some of the measures listed are comprised of several individual provisions that did not all come into force during the same reporting period. In such instances, we have allocated the entire measure to one specific reporting period. This has no effect on the overall Business Impact Target score but ensures clarity by matching the measure with the independently validated Business Impact Target score in the Impact Assessment.

⁹ There are nine such measures included in this annex: The International Interests in Aircraft Equipment (Cape Town Convention) Regulations 2015, Control of Major Accident Hazard Regulations 2015, The Tobacco and Related Products Regulations 2016, Offshore Installations (Offshore Safety Directive) (Safety Case etc.) Regulations 2015, UK implementation of the EU Directive on statutory audits of annual accounts and consolidated accounts, Regulations to increase maritime liability limits, Merchant Shipping (Marine Equipment) Regulations 2016, EU Damages Directive, and The Financial Services and Markets Act 2000 (Market Abuse) Regulations 2016.

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Amendment to Employment Tribunal Act 1996 - Employment tribunal postponement procedures	Reduces the number of short notice postponements in employment tribunals.	-£2.0
Department for Business, Energy and Industrial Strategy	Amendment to Employment Tribunal Act 1996 - Penalties on the non-payment of awards	Imposes a penalty on employers who do not pay their tribunal award in full and on time.	£0.5

¹⁰ A “Business Impact Target score” for the 2015-17 Parliament was the sum of the Equivalent Annual Net Direct Cost to Business (EANDCB) over the first five years for which the measure will be in force, or the sum of the EANDCB over the full lifetime of the measure for measures that are in force for less than five years. See [Written Ministerial Statement](#) of 3 March 2016 for full details on methodology.

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Amendment to Outer Space Act 1986	Introduces a cap (set for €60m for the majority of standard missions) on licensees' indemnity to the UK Government for damages claimed from the UK Government by third parties for space activities licensed under the Outer Space Act 1986. This was previously unlimited.	-£8.0
Department for Business, Energy and Industrial Strategy	Bank Accounts for Bankrupts	Ensures that being bankrupt does not in itself prevent access to a bank account and therefore that more bankrupts are able to access bank accounts.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	The International Interests in Aircraft Equipment (Cape Town Convention) Regulations 2015	<p>Implements some of the optional provisions within the Cape Town Convention, including:</p> <p>(1) Declaring that all current and future rights to detain aircraft with priority over an interest equivalent to an international interest are retained. This is because it is important that priority given to detention rights to recover unpaid charges relating to the provision of public services is maintained to ensure these public services are funded.</p> <p>(2) Preventing other categories of non-consensual rights being registered with the International Registry. This is to maintain the current position under UK law.</p> <p>(3) Allowing interests arising out of internal transactions to be registered with the International Registry. This is to allow businesses to use one system to register all their interests rather than having two parallel systems.</p>	£0.0
Department for Business, Energy and Industrial Strategy	Clarifying the process for the appointment of an administrator by a company or director.	Clarifies that the notice of intention to appoint does not need to be sent to prescribed persons where there are no floating charge holders. This would reduce the costs of a number of administration appointments.	-£1.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Companies Act 2006 (Notices of Auditors Leaving Office) (4th Deregulation Act)	Removes unnecessary and duplicative actions where both the company and auditor have to notify the relevant bodies when an auditor leaves office.	-£3.5
Department for Business, Energy and Industrial Strategy	Competent Authority provisions in Insolvency	Removes the Secretary of State from Competent Authority provisions in Insolvency.	-£0.5
Department for Business, Energy and Industrial Strategy	Competition Appeal Tribunal Rules 2015	Updates the rules for cases at the Competition Appeal Tribunal.	-£1.0
Department for Business, Energy and Industrial Strategy	Consumer Rights Act: Consumer Law Enforcement Powers	Consolidates and simplifies consumer law investigatory powers in relation to notifications of inspections, powers of entry, and bakers' weights records.	-£29.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Consumer Rights Act: Digital Content	Modernises and simplifies consumer rights in relation to Digital Content.	£2.0
Department for Business, Energy and Industrial Strategy	Consumer Rights Act: Goods	Modernises and simplifies consumer rights in relation to Goods.	£14.0
Department for Business, Energy and Industrial Strategy	Consumer Rights Act: Private Actions and the CMA Redress power	Provides a mechanism for redress for consumers and businesses who have been harmed by a breach of competition law.	£0.0
Department for Business, Energy and Industrial Strategy	Consumer Rights Act: Services	Modernises and simplifies consumer rights in relation to Services.	£4.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Consumer Rights Act Unfair Contract Terms	Modernises and simplifies consumer rights in relation to Unfair Contract Terms.	£0.0
Department for Business, Energy and Industrial Strategy	Director appointments - other corporate entities	Simplifies filing requirements where directors are appointed.	£0.0
Department for Business, Energy and Industrial Strategy	Trade Union Act 2016	Introduces a 50% participation threshold for eligible union members, a 40% 'yes' vote threshold for essential public services (education, fire, health and transport), and reforms the certification officer role.	-£13.0
Department for Business, Energy and Industrial Strategy	Smart meter roll-out	Rolls-out smart metering to all GB residential and small and medium sized non-domestic gas and electricity customers.	£188.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Director disputes procedure	Provides a new means of resolving disputes about directors' appointments.	£0.0
Department for Business, Energy and Industrial Strategy	Enabling liquidators and administrators to assign certain rights to third parties	Enabling Liquidators and Administrators to assign to third parties certain rights of action that only they can bring under the Insolvency Act 1986 and to extend the right to bring fraudulent and wrongful trading actions to an administrator.	£0.5
Department for Business, Energy and Industrial Strategy	Insolvency Practitioner Fees upfront estimates	Requires Insolvency Practitioners seeking remuneration to include an estimate of the anticipated costs, which must be approved by creditors as part of the proposal for agreeing the basis for remuneration.	£3.0
Department for Business, Energy and Industrial Strategy	Insolvency Practitioner regulatory Regime	Introduces new regulatory powers to regulate insolvency practitioners.	£1.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Insolvency Red Tape Challenge Package (April 2015 measures)	A collection of measures that remove unnecessary regulations, enable modern business practices, and reduce the cost of insolvency proceedings.	-£14.5
Department for Business, Energy and Industrial Strategy	Modifications to the Standard Conditions of Electricity Supply & Gas Supply Licences (Machine-Readable Codes)	Requires energy suppliers to provide key personal information on consumer bills in a machine readable format.	£7.5
Department for Business, Energy and Industrial Strategy	Partial Insolvency Practitioner authorisation	Allows Insolvency Practitioners to be licensed as either a personal or corporate Insolvency Practitioner.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Public Transport Ticketing Schemes Block Exemptions	Exempts certain types of ticketing arrangements from Chapter 1 of the Competition Act 1998, for instance to allow transport operators to enter in to agreements to offer passengers tickets that are valid across multiple operators.	£0.0
Department for Business, Energy and Industrial Strategy	Pubs Statutory Code and Adjudicator	Governs the relationship between all businesses owning 500 or more tied pubs in England and Wales and their tied tenants, seeks fair and lawful dealing by pub-owning businesses in relation to their tied tenants, and aims to ensure tied pub tenants are no worse off than if they were not subject to any tie.	£17.0
Department for Business, Energy and Industrial Strategy	Reforms to Debt Relief Orders and increase to creditor to creditor petition debt limits	Changes eligibility for a Debt Relief Order and increases the Bankruptcy Creditor Petition.	£5.0
Department for Business, Energy and Industrial Strategy	Reforms to the process by which SoS and official receivers requires information on director behaviour	Introduces a single streamlined electronic report for insolvency practitioners to report on director misconduct.	-£20.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Registered office disputes procedure	Simplifies the removal of inaccurate registered office addresses from the public register.	£1.0
Department for Business, Energy and Industrial Strategy	Private Further Education and Higher Education colleges subscription to the Office of the Independent Adjudicator for Higher Education	Requires privately-funded Higher Education providers, and Further Education colleges that provide Higher Education and that have students in receipt of student support funding, to sign up to the Office of the Independent Adjudicator for Higher Education.	£3.0
Department for Business, Energy and Industrial Strategy	Onshore Hydraulic Fracturing (Protected Areas) Regulations 2016	Ensures the process of hydraulic fracturing cannot take place at depths above 1,200 below the ground in National Parks, the Broads, Areas of Outstanding Natural Beauty, World Heritage Sites, and areas that are most vulnerable to groundwater pollution.	£13.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Technical changes to insolvency practitioner regulations and appointment of an administrator	Removes the need for Insolvency Practitioners to keep a separate case record of information for matters such as progress of case administration, bonding, remuneration, and meetings.	-£12.5
Department for Business, Energy and Industrial Strategy	Technical changes to request for information on directors	Streamlines the process for obtaining information on directors' behaviour.	-£0.5
Department for Business, Energy and Industrial Strategy	The Accounting Standards (Prescribed Bodies) (United States of America and Japan) Regulations 2015	Provides a transition period for US or Japanese companies to convert to UK accounting principles for their group accounts.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	The Conduct of Employment Agencies and Employment Businesses (Amendment) Regulations 2016	Amends the Conduct Regulations to remove some business to business regulation and simplifies the legislation.	-£4.5
Department for Business, Energy and Industrial Strategy	The Insolvency (Protection of Essential Supplies) Order 2015	Prevents essential IT and utility suppliers of businesses in certain formal insolvency procedures from exercising contractual rights to terminate the supply or to increase charges to the insolvent company on account of the insolvency.	-£191.5
Department for Business, Energy and Industrial Strategy	Transfer of consenting powers for onshore wind generating to local authorities- Primary and Secondary legislation	Gives local communities the final say on applications for all onshore wind farms by transferring consent decision making from the Secretary of State to the Local Planning Authorities.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy	Update rules for Limited Liability Partnership accounts to align with the companies' framework:	Aligns the Limited Liability Partnership accounts with companies' frameworks to simplify reporting.	-£11.5
Department for Business, Energy and Industrial Strategy	National Minimum Wage (Amendment) Regulations 2015. Uprate to the Apprentice National Minimum Wage (above LPC recommendation) - October 2015	Uprates the Apprentice National Minimum Wage above the Low Pay Commission recommendation.	£56.0
Department for Business, Energy and Industrial Strategy	Zero Hour Contracts	Provides a route of redress for exclusivity clauses.	£0.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy (Insolvency Service)	Revision of Statement of Practice 16 in light of changes to pre pack administration	Revises the Standards in Practice 16 statements in light of recommendations from the Graham review.	-£0.5
Department for Business, Energy and Industrial Strategy (Regulatory Delivery Directorate)	Enforcement of the Energy Efficiency Directive (Heat Networks)	Refines the scope for enforcing the Heat Network Regulations in order to remove certain building types. It focusses on forms of shared accommodation / open plan commercial space where little or no energy efficiency gains would result from potentially burdensome compliance requirements.	-£453.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy (Regulatory Delivery Directorate)	Enforcement of EU Timber Regulations	Amends the approach to due diligence submissions under the EU Timber Regulation.	£0.0
Department for Business, Energy and Industrial Strategy (Regulatory Delivery Directorate)	Enforcement of WEEE & Battery Take Back Regulations	Amends how Business Improvement Plans are used in the enforcement of WEEE & Battery Take Back Regulations.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Business, Energy and Industrial Strategy (Regulatory Delivery Directorate)	Updated guidance in relation to the Restriction of the Use of Certain Hazardous Substances (RoHS) Directive	Provides guidance for industry in relation to the Restriction of the Use of Certain Hazardous Substances.	£0.0
Department for Communities and Local Government	Regulatory powers on the issuing of Eviction (section 21) Notices	Allows the Secretary of State to prescribe the form and content of a Section 21 notice, with a detailed proforma for landlords to use. Also allows the Secretary of State to prevent a Section 21 notice being served where a landlord has failed to make available an annual gas safety certificate and energy performance certificate, and to introduce a duty on landlords to make available information about a tenant's rights and responsibilities.	£14.5
Department for Communities and Local Government	Amendments to regulations governing the process for changing Development Consent Orders	Provides simpler and more proportionate procedures for making changes to Development Consent Orders (DCOs) for nationally significant infrastructure projects.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Communities and Local Government	Amendment to existing permitted development rights - to enable the drilling of boreholes for groundwater monitoring, seismic monitoring, and the locating and appraising of mines, preparatory to potential petroleum exploration.	Enables the drilling of boreholes for specified monitoring/appraisal activities as permitted development which was not covered by existing permitted development rights.	£0.0
Department for Communities and Local Government	Helping Home Businesses - Amendment to the Landlord and Tenant Act 1954	Amends Part 2 of the Act, so that if a landlord agrees to reasonable business use of a home this will not result in the creation of a business tenancy.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Communities and Local Government	Permitted development rights for the change of use of offices, light industrial buildings, and launderettes	Amends The Town and Country Planning (General Permitted Development) (England) Order 2015 to make permanent the existing permitted development right for change of use from office to residential, extend the existing exemption areas until 30 May 2019, and to allow three years from the date of prior approval to change use. Introduces for three years from October 2017 a new permitted development right for change of use from light industrial to residential use, subject to prior approval by local planning authorities. Amends an existing permitted development right to allow for the change of use from launderettes to residential use, subject to prior approval.	-£342.5
Department for Communities and Local Government	Serving of Section 21 Eviction Notices – Deregulation Act 2015	Stops the practice of retaliatory eviction by: a) preventing landlords from evicting tenants who have requested a repair or improvement to bring a property up to the legal minimum standard. b) stopping the practice of issuing a possession notice at the start of a tenancy, so that tenants will always receive two months' notice to vacate a dwelling.	£3.0
Department for Communities and Local Government	The Party Wall Act etc. 1996 (Electronic Communications) Order 2015	Allows for notices and other documents required under the Act to be served electronically only if both the giver and recipient agree.	-£3.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Digital, Culture, Media and Sport	Charities (Protection and Social Investment) Act 2016	Provides stronger protection for charities in England and Wales from individuals who are unfit to be charity trustees. Also equips the Charity Commission with new or strengthened powers to tackle abuse of charity more effectively and efficiently.	£5.0
Department for Digital, Culture, Media and Sport	Calling line identification and nuisance calls	Introduces a requirement for calling line identification to be used for direct marketing calls.	£0.0
Department for Digital, Culture, Media and Sport	Charities (Protection and Social Investment) Act 2016	Gives charities a new power to make social investments (i.e. investments that pursue both a financial and social return).	£2.5
Department for Digital, Culture, Media and Sport	Exempt Lotteries Legislative Reform Order	Enables small exempt lotteries to donate profits to charities.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Digital, Culture, Media and Sport	Rollover of analogue radio licences issued under the Broadcasting Act 1990	Allows commercial radio stations to roll over existing licences – due to expire in 2017 – for a further five year period.	-£5.0
Department for Education	Enabling the single registration of multiple childcare premises (Childcare (Miscellaneous Amendments) Regulations 2015)	Enables the single registration of multiple childcare premises and also for child-minders to work from non-domestic premises.	£0.0
Department for Environment, Food and Rural Affairs	Repeal of sections 63-67 of the Control of Pollution Act 1974 (Noise Abatement Zones)	Removes the introduction of Noise Abatement Zones from the Pollution Act 1974 as the majority of them were inactive (not being enforced).	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Environment, Food and Rural Affairs	Waste incineration (small waste oil burners)	Revokes and replaces the existing regulations with updated regulations and consolidates with existing legislation, specifically the Agriculture (Miscellaneous Time-Limits) Regulations 1959 and the Agriculture (Time-Limit) Regulations 1988.	£0.0
Department for Environment, Food and Rural Affairs	Amendment to Environmental Permitting (England and Wales) Regulations 2010 – Breaches of Environmental Permitting	Makes various amendments to the Environmental Permitting (England and Wales) Regulations 2010, including enabling Environment Agency and Natural Resources Wales to issue an enforcement notice that specifies steps that must be taken to prevent the breach of an environmental permit getting worse (part of the Waste Crime Action Plan).	£0.0
Department for Environment, Food and Rural Affairs	Commencement of section 15 of the Deregulation Act 2015 relating to suppliers of fuel and fireplaces	Simplifies arrangements so that fireplaces and fuels authorised for use in smoke control areas are listed on the Defra website rather than in legislation.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Environment, Food and Rural Affairs	Designation of the second tranche of Marine Conservation Zones	Designates the second tranche of Marine Conservation Zones in waters for which the Secretary of State has responsibility (English inshore, English, Welsh and Northern Irish offshore).	£1.5
Department for Environment, Food and Rural Affairs	Introduction of Fixed Penalty Notices for fly-tipping	Enables local authorities to issue Fixed Penalty Notices for fly-tipping to provide a flexible means of enforcement.	£0.0
Department for Environment, Food and Rural Affairs	Reform of Defra Guidance	Reforms Defra's and its regulators' guidance to improve users' access to information.	-£245.5
Department for Environment, Food and Rural Affairs	Single Use Plastic Carrier Bag Charge (England) Order 2015	Introduces a charge on single use plastic carrier bags in October 2015 via secondary legislation under the Climate Change Act 2008.	-£1,017.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Environment, Food and Rural Affairs	Smarter Data	Reforms and simplifies the way that businesses submit information to Defra and its regulators, including reducing duplicated requests for information and introducing digital systems.	-£36.5
Department for Environment, Food and Rural Affairs	The Agricultural Holdings Act 1986 (Variation of Schedule 8) (England) Order 2015	Modernises the current provision to bring it up to date with modern farming practices.	£0.5
Department for Environment, Food and Rural Affairs	The Agriculture (Calculation of Value for Compensation) (Revocations) (England) Regulations 2015	Revokes the 1986 regulations (which fail to reflect market value, which is subject to change) and allows the parties flexibility to settle a claim in a way that reflects current market value.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Environment, Food and Rural Affairs	The Environmental Permitting (England and Wales) (Amendment) (No. 2) Regulations 2016	Reduces burdens on developers by administratively integrating Flood Defence consents with other non-planning consents through the Environmental Permitting regime.	-£7.0
Department for Environment, Food and Rural Affairs	The Hazardous Waste (England and Wales) (Amendment) Regulations 2016	Revokes Part 5 of the Hazardous Waste (England and Wales) Regulations 2005 which requires the notification of premises at which hazardous waste is produced, collected or removed from as the Environmental Agency already captures data through the industry via Hazardous Waste consignment notes.	-£14.5
Department for Environment, Food and Rural Affairs	The Plant Health (England) (Amendment) Order 2016	Extends the existing statutory notification scheme to require notification of imports of all Prunus planting material.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Environment, Food and Rural Affairs	The Producer Responsibility Obligations (Packaging Waste) (Miscellaneous Amendments) Regulations 2016	Reviews the Producer Responsibility Regulations. As part of this Defra will look to exempt more small businesses from the battery producer responsibility regulations without reducing battery recycling rates.	-£18.0
Department for Environment, Food and Rural Affairs	Measures against the introduction of epitrix on potatoes imported from Spain	Requires all potatoes imported from non-demarcated areas of mainland Spain to be washed before being allowed into the UK.	£0.0
Department for Environment, Food and Rural Affairs	Marine Licensing (Delegation of functions) (Amendment) Order 2015	Strengthens democratic accountability of the most controversial marine licensing decisions.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Environment, Food and Rural Affairs	The Specified Diseases (Notification) (Amendment) (England) Order 2015	Makes Porcine Epidemic Diarrhoea notifiable in England and permits the Secretary of State to release information related to suspected or confirmed cases of the disease to the Agriculture and Horticulture Development Board so they can contact and provide voluntary advice to affected pig keepers.	£0.0
Department for Environment, Food and Rural Affairs	The Tuberculosis (England) (Amendment) Order 2016	Amends the Tuberculosis Order (England) 2014 to bring in compulsory Tuberculosis post-movement testing of cattle entering the Low Risk Area of England from certain holdings.	£5.0
Department for Environment, Food and Rural Affairs	The Water Supply and Sewerage Licences (Cross-Border Applications) Regulations 2016	Reduces burdens on businesses that want to provide water services to customers in England, Wales and Scotland.	£-61.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Environment, Food and Rural Affairs (Veterinary Medicines Directorate)	Review of approach to issuing animal test certificates for veterinary medicines	Simplifies the authorisation of clinical trials required for the marketing authorisation of veterinary medicines by making the Veterinary Medicines Directorate their sole regulator in the UK.	-£1.0
Department for Transport	Amendment to the Public Service Vehicles (Conduct of Drivers, Inspectors and Passengers) Regulations 1990	Simplifies and improves the Conduct Regulations for drivers, inspectors, conductors and passengers.	£0.0
Department for Transport	Abolition of the Vehicle Identity Check Scheme	Removes the requirement for vehicle identity checks to be carried out on written-off vehicles, reducing the burden and cost on the public and industry.	-£24.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Transport (Maritime and Coastguard Agency)	Merchant Shipping (Code of Safe Working Practices for Merchant Seamen) (Amendment and Revocation) Regulations 2015	Removes the mandatory requirement for ships to carry hard copies of the Code on board ships.	-£0.5
Department for Transport (Maritime and Coastguard Agency)	The Hovercraft Code	Introduces new pragmatic technical standards for small hovercrafts which exempt them from applying international standards.	£0.0
Department for Transport (Driver and Vehicle Standards Agency)	Guidance for motorcycle trainers on standard checks	Sets out changes to the conduct of standard checks.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Transport (Driver and Vehicle Standards Agency)	Guidance for special type vehicles	Sets out how to operate under special type orders.	£0.0
Department for Transport (Driver and Vehicle Standards Agency)	Internet guidance on Drivers Hours' regulations	Updates and consolidates guidance for goods vehicles and passenger carrying vehicles.	-£4.0
Department for Transport (Maritime and Coastguard Agency)	Code for High Speed Offshore Service Craft (HS OSC Code)	Provides for the carriage of industrial personnel on windfarm vessels by way of an exemption to the High Speed Craft Regulations if complying with the new standard.	£0.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Transport (Maritime and Coastguard Agency)	Marine Guidance Note – electrical installations – guidance for safe design, installation and operation of lithium-ion batteries	Informs designers, builders, owners and crew of vessels fitted with lithium-ion batteries of common unknown hazards associated with these batteries.	£0.0
Department for Work and Pensions	Control of Major Accident Hazard Regulations 2015	<p>Prescribes the format for operators to comply with the EU Seveso III Directive’s notification and permanently available public information requirements. This is to improve confidence in the regulation of major hazards. Requiring information to be provided in a common and accessible format will help improve understanding and generate greater public trust.</p> <p>Also requires Category 1 responders to be included in the testing of emergency plans at Upper Tier sites holding dangerous substances. This is to ensure that external emergency plans are properly prepared and provide public assurance that responders are engaged with the emergency procedures.</p>	£0.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Work and Pensions	Offshore Installations (Offshore Safety Directive) (Safety Case etc.) Regulations 2015	<p>As well as transposing EU Directive 2013/30/EU on the safety of oil and gas operations into UK law, this measure maintains current UK standards on: enter and leave notifications for non-production installations which allow the regulator to monitor the movements of mobile offshore installations (e.g. drilling rigs); the definition of a major accident; and the definition of an offshore installation.</p> <p>This is because the Government and industry concluded that the standards offered within the EU Offshore Safety Directive in these areas do not provide adequate protection from the risks posed (e.g. in the area of offshore diving which is a very dangerous activity) and would have a detrimental effect on offshore safety. Therefore the current UK standards are being maintained.</p>	£0.0
Department for Work and Pensions	The Occupational and Personal Pension Schemes (Automatic Enrolment) (Miscellaneous Amendments) Regulations 2016 - new exemptions	Introduces new exemptions from auto-enrolment requirements for two additional groups of workers: company directors and genuine limited liability partners.	-£3.4

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Work and Pensions	The Occupational and Personal Pension Schemes (Automatic Enrolment) (Miscellaneous Amendments) Regulations 2016 - re-declaration deadlines	Simplifies the automatic enrolment process by introducing a later re-declaration deadline for all employers.	£0.0
Department for Work and Pensions	The Occupational and Personal Pension Schemes (Automatic Enrolment) (Miscellaneous Amendments) Regulations 2016 - re-declaration deadlines - staging dates	Simplifies the automatic enrolment process by making the conditions an employer must satisfy to bring forward their 'staging date' (the date at which auto-enrolment in workplace pensions for their employees must begin) less prescriptive.	-£1.2

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Work and Pensions	The Health and Safety at Work etc. Act 1974 (General Duties of Self-Employed Persons) (Prescribed Undertakings) Regulations 2015	Implements Professor Lofstedt's recommendation to exempt from Section 3(2) of the Health and Safety at Work Act 1974 those self-employed whose work activities pose no risk of harm to others.	£2.5
Department for Work and Pensions	The Occupational Pension Scheme (Charges and Governance) (Amendment) Regulations 2016	Bans new commission arrangements in occupational pension schemes used for Automatic Enrolment from April 2016.	£5.5
Department for Work and Pensions	The Occupational Pension Schemes (Requirement to obtain Audited Accounts and a Statement from the Auditor) (Amendment) Regulations 2016	Exempts large multi-employer schemes from the requirement for auditors to give a statement on contributions. Also modernises the information pension schemes must disclose on their investments, removing out of date legal requirements which no longer reflect modern UK accounting practices or pension scheme investments, thereby making scheme financial statements more useful to users.	£21.0

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department for Work and Pensions	The Pension Act 2014 (Commencement No.4) Order 2015	Abolishes short service refunds in Defined Contribution trust based schemes, limiting the refunds of member contributions to the first 30 days after the member joins the pension scheme.	£87.0
Department for Work and Pensions	The Pension Protection Fund and Occupational and Personal Pension Schemes (Miscellaneous Amendments) Regulations 2016	Requires trustees and managers to include a generic risk warning to help members of occupational pension schemes consider the risks involved with the new pension freedoms.	£0.0
Department for Work and Pensions	The Pensions Act 2014 (Contributions Equivalent Premium) (Consequential Provision) and (Savings) (Amendment) Order 2016	Removes ambiguity for schemes and sponsoring employers in relation to payment of Contributions Equivalent Premiums, which should only be required to be paid in respect of a member who ceases to be a member of a scheme without having accrued any rights in the scheme. The abolition of contracting-out should not trigger a CEP payment.	-£70.6

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department of Health	The Care Quality Commission (Fees) (Reviews and Performance Assessments) Regulations 2016	Enables the Care Quality Commission to operate a full-cost recovery system for its fee charging regime.	£15.0
Department of Health	The General Dental Council (Fitness to Practise etc.) Order 2016	Streamlines the fitness to practice process for the General Dental Council.	-£10.0
Department of Health	The Human Medicines (Amendment) (No. 3) Regulations 2015	Widens access to (injected) Naloxone in an emergency.	£0.0
Department of Health	The Nicotine Inhaling Products (Age of Sale and Proxy Purchasing) Regulations 2015	Widens access to (injected) Naloxone in an emergency.	£1.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Department of Health	The Standardised Packaging of Tobacco Products Regulations 2015	Requires that all packs of cigarettes and hand rolling tobacco be produced in brown packs with no graphics or logos.	£242.0
Department of Health	The Tobacco and Related Products Regulations 2016	As well as transposing the EU Tobacco Products Directive on various aspects of tobacco control, this measure requires packaging of certain tobacco products to contain an information message and a picture warning, which does not fully take up a derogation within the EU Tobacco Products Directive. This is in order to maintain current labelling requirements, which represent an important health protection that helps with the cessation of smoking.	£3.0
Department of Health (Medicines and Healthcare Products Regulatory Agency)	Co-ordination of safety and risk management materials for generic medicines	Introduces a scheme with the British Generic Manufacturers Association that enables the co-ordination of mandatory direct healthcare professional communications by marketing authorisation holders.	-£2.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
HM Treasury	Independent Complaints Commission	Requires the Independent Complaints Commission to produce a statutory annual report that includes an assessment of the handling of complaints by the Financial Conduct Authority.	£0.0
HM Treasury	Removing buy-to-let broking from within the scope of credit broking regulation	Ensures that regulation of buy-to-let mortgages remains proportionate to any evidence of consumer detriment and ensures minimum compliance with the Mortgage Credit Directive.	-£4.0
HM Treasury	Senior managers and certification regime: extension to foreign branches	Extends the senior managers and certification regime to foreign branches.	£34.5
HM Treasury	Cheque Imaging	Speeds up cheque payments, introducing a wide range of benefits to consumers, businesses and the banking industry, including faster clearing times and greater customer convenience.	-£519.0
Home Office	Alcohol licensing - Increase in Temporary Event Notice limit	Increases the number of occasions (from 12 to 15 per year) on which unlicensed premises can apply to supply alcohol for a limited period, or licensed premises can apply to supply alcohol outside their normal licensed hours.	-£4.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Home Office	Alcohol licensing - Late Night Refreshment Licensing	Enables licensing authorities to use discretion to implement local exemptions for the provision of late night refreshment licensing.	-£2.0
Home Office	Modern slavery - Supply chain reporting	Requires large businesses to report on steps they are taking to prevent modern slavery in their supply chains.	£7.0
Home Office	Prohibition of new psychoactive substances	Makes it an offence to produce, supply, offer to supply, possess with intent to supply, import, or export psychoactive substances.	£128.5
Home Office	Right to Rent Scheme	Requires landlords to check prospective tenants have the right to be in the UK.	£28.0
Ministry of Justice	Amendment to The Blood Tests (Evidence of Paternity) Regulations 2015	Amends existing Regulations relating to where a court has ordered DNA tests to establish a person's parentage. The amendments allow for additional types of people to take, or supervise the taking of, mouth swab samples for the purposes of such DNA tests.	-£0.5

Table one: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament			
Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹⁰
Ministry of Justice (Claims Management Regulation Unit)	Range of qualifying measures and guidance introduced by Claims Management Regulation Unit since May 2015	The range of measures includes: CMRU Special Bulletin on packaged bank accounts; Customer information, contracts and signatures; New marketing and advertising guidance for CMCs; Letters of authority to handle Payment Protection Insurance cases; Holiday Sickness Claims.	£20.7

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Business, Energy and Industrial Strategy	UK implementation of the EU Directive on statutory audits of annual accounts and consolidated accounts	As well as implementing the EU Directive on statutory audits of annual accounts and consolidated accounts, this measure introduces additional requirements. This is to facilitate a more flexible implementation and to ensure consistency with the domestic application of the 2006 EU Directive.	£0.0
Department for Business, Energy and Industrial Strategy	The Economic Growth (Regulatory Functions) Order 2017	Lists the regulators to be subject to the Growth Duty.	£1.0

¹¹ A “Business Impact Target score” for the 2015-17 Parliament was the sum of the Equivalent Annual Net Direct Cost to Business (EANDCB) over the first five years for which the measure will be in force, or the sum of the EANDCB over the full lifetime of the measure for measures that are in force for less than five years. See [Written Ministerial Statement](#) of 3 March 2016 for full details on methodology.

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Department for Business, Energy and Industrial Strategy	Preventing the use of 'omnibus' claims in patent applications	Amends the Patent Rules 2007 to reflect international practice.	£0.0
Department for Business, Energy and Industrial Strategy	The Business Impact Target (Relevant Regulators) Regulations 2017	Specifies the regulators to be subject to the Business Impact Target.	£3.0
Department for Business, Energy and Industrial Strategy	Company filing requirements and Director Appointments	Simplifies filing requirements when directors are appointed. Also gives companies flexibility to confirm whether their basic company information is correct and complete at any point in a year - instead of requiring an annual return to be completed at a set point – and simplifies the process by allowing companies to check and confirm the information.	-£84.5
Department for Business, Energy and Industrial Strategy	Applying Company Filing Requirements to other corporate entities	Provides other corporate entities flexibility to confirm whether their basic information is correct and complete at any point in a year instead of requiring an annual return to be completed at a set point. Also simplifies the process by allowing them to check and confirm the information.	-£2.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Department for Business, Energy and Industrial Strategy	Companies House Fees Regulations	Aligns Companies House fees with costs.	£0.0
Department for Business, Energy and Industrial Strategy	Pubs Statutory Code and Adjudicator secondary regulations	Exempts franchise arrangements from the Pubs Code requirements relating to the ability to request a market rent only assessment.	-£0.5
Department for Business, Energy and Industrial Strategy	EU Damages Directive	As well as implementing the EU Damages Directive, this measure also ensures the changes apply to cases which are only in scope of UK law, in order to provide greater legal clarity by operating a "single regime" rather than a "dual regime" of regulations depending on whether the case was in scope of EU law or UK law only.	-£2.0
Department for Business, Energy and Industrial Strategy	Widening the scope and scale of the second comer regime	Widens the scope and scale of the second comer regime to include independent connection providers, connections between distribution systems, section 22 agreements, and to extend the time limit from 5 to 10 years	£0.5

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Business, Energy and Industrial Strategy	The Petroleum Licensing (Exploration and Production) (Landward Areas) (Amendment) (England and Wales) Regulations 2016	Prohibits the carrying out of hydraulic fracturing from well pads located at the surface of the areas covered by the sub-surface regulations, as well as Sites of Special Scientific Interest, Ramsar, and Natura 2000 sites.	£0.0
Department for Business, Energy and Industrial Strategy	Expiry of the Energy Company Obligation scheme	Expires the previous Energy Company Obligation (ECO2) scheme.	-£3,905.0
Department for Business, Energy and Industrial Strategy	Nuclear Industry Security Regulations	Updates the nuclear cyber security legislation requiring any sites holding information on nuclear material to have a defined action plan in case of security breach.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Business, Energy and Industrial Strategy	Implementation of the Wood Review recommendations for the UK offshore oil and gas industry	Establishes the Oil and Gas Authority as an independent regulator and defines its powers and functions.	£4.5
Department for Business, Energy and Industrial Strategy	Transitional Energy Company Obligation scheme	Extends the Energy Company Obligation scheme with a greater focus on fuel poverty.	£864.0
Department for Business, Energy and Industrial Strategy	Insolvency Red Tape Challenge Package (October 2016 measures)	Removes unnecessary regulations, enables modern business practices, and reduces the cost of insolvency proceedings.	-£60.0
Department for Business, Energy and Industrial Strategy	Insolvency Rules 2016	Removes unnecessary regulations, enables modern business practices, and reduces the cost of insolvency proceedings.	-£28.5

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Business, Energy and Industrial Strategy	Trade Union Act - Ballot thresholds in important public services	Introduces a 40% 'yes' vote threshold for Trade Union ballots in essential public services.	-£0.5
Department for Business, Energy and Industrial Strategy	The Payment Practices and Performance Reporting Requirement	Requires large businesses to report electronically twice a year on their payment policies, practices, and performance.	£88.5
Department for Business, Energy and Industrial Strategy	Tackling exploitation in the labour market	Protects the employment rights of vulnerable workers and tackles organised breaches of labour market regulation.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Business, Energy and Industrial Strategy (Offshore Petroleum Regulator for Environment and Decommissioning)	Guidance Notes for Preparing Oil Pollution Emergency Plans	Details the requirements of what should be included within an Oil Pollution Emergency Plan under section 4(3)(b) of the Merchant Shipping (Oil Pollution Preparedness, Response Co-operation Convention) Regulations 1988.	£0.0
Department for Communities and Local Government	Permitted development rights to support mobile infrastructure	Relaxes the planning requirements in all areas of England to provide greater network capacity.	-£54.0
Department for Communities and Local Government	Permission in principle for brownfield registers	Establishes the principle of development before developers need to provide detailed development proposals. Aims to improve efficiency by reducing duplication of effort and uncertainty for developers, encouraging them to bring forward proposals, and/or saving them the cost of failed applications turned down due to site unsuitability for housing.	-£161.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Department for Communities and Local Government	Compulsory Purchase Order Reforms (Part of the Housing Act)	Makes the process clearer, faster, and fairer. All parties will be better informed by clearer, more accessible guidance and benefit from a faster system. The system will also be fairer for both those whose interests are compulsorily acquired and for the acquiring authorities. ¹²	£4.0
Department for Communities and Local Government	Nationally Significant Infrastructure Projects and Housing	Allow applicants of major infrastructure schemes to include an element of housing. It will allow housing where it is needed to support the construction or operation of an infrastructure project or where the housing proposed is located on the same site or close to the infrastructure.	£0.0
Department for Communities and Local Government	Amending permitted development rights for pubs	Meets a duty in the Neighbourhood Planning Act 2017 to remove permitted development rights which allow drinking establishments to change their use or be demolished. Also introduces a new right to enable drinking establishments to expand their food offer beyond that which is ancillary.	£0.5

¹² Some elements of this measure did not come into force during the 2015-17 Parliament but the impact of the entire measure has been included in order to ensure clarity and consistency with the independently validated BIT score.

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Digital, Culture, Media and Sport	Protecting digital terrestrial television viewers who access internet-protocol TV content	Gives Ofcom the power to regulate any material streamed through the internet and accessed via digital television.	£0.0
Department for Digital, Culture, Media and Sport	Internet Service Provider filtering	Requires Internet Service Providers and mobile network operators to offer filters.	£0.0
Department for Education	Paediatric first aid for nursery staff	Embeds Paediatric first aid training in the qualification requirements of new child carers.	£11.0
Department for Education	Amendments to the Education (Pupil Registration) (England) Regulations 2006	Requires schools to inform Local Authorities when a pupil's name is added to or removed from their pupil admissions register in all cases.	£3.5

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Department for Education	Foundation Stage Profile	Improves the clarity of statutory guidance and updates it to reflect policy development - for example, in relation to online safety and safeguarding of disabled children and children with special educational needs.	£1.0
Department for Education	Regulations made under section 78 of the Equality Act 2010	Requires private and voluntary sector employers in Great Britain with at least 250 employees to publish information showing the differences in pay between men and women.	£19.0
Department for Education	Repayments of postgraduate masters loans	Student loans for post-graduate masters courses.	£9.5
Department for Education	Only statutory apprenticeships to be described as apprenticeships	Creates an offence for a person to provide or offer a course or training as an apprenticeship if it is not statutory.	£0.0
Department for Education	Childcare staff GCSE requirement	Removes the requirement for L3 Early Years Educators to hold GCSE English and maths at grade C or above. Instead, staff will need to hold L2 qualifications.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Environment, Food and Rural Affairs	Water Supply (Water Quality) Regulations 2016	Supersedes the Water Supply (Water Quality) Regulations 2010 relating to the processing time for risk assessments of new sources and electronic records.	£0.0
Department for Environment, Food and Rural Affairs	The Private Water Supplies (England) Regulations 2016	Supersedes the Private Water Supplies Regulations 2009 relating to the introduction of risk assessments of new private water supplies.	£0.0
Department for Environment, Food and Rural Affairs	The Water Industry Designated Codes (Appeals to the Competition and Markets Authority) Regulations 2017	Introduces an appeal mechanism to allow water companies to appeal decisions made by Ofwat to amend or not amend codes that will regulate the competitive markets in the water sector.	-£1.0
Department for Environment, Food and Rural Affairs	Amendment of the Plant Health (Forestry) Order 2005	Introduces a statutory pre-notification scheme for all imported consignments of solid fuel wood.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Environment, Food and Rural Affairs (Animal and Plant Health Agency)	The Sheep and Goats (Records, Identification and Movement) (England) (Amendment) Order 2016	Combines sheep and goat identification inspections with disease surveillance inspections for brucellosis.	£0.0
Department for Transport	Changes to bus market legislation	Provides additional partnership options and franchising powers to Local Transport Authorities.	-£5.0
Department for Transport	Designation of Statutory Harbour Authorities with the power to give harbour directions	Confers powers on Statutory Harbour Authorities to give harbour directions for the movement, manning, and equipment of ships.	£0.0
Department for Transport	Maintenance of bus stop and locality datasets	Provides the Secretary of State with the power to mandate the maintenance of certain datasets in the future, should the voluntary approach no longer be effective.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Department for Transport	Bus Services Act - accessible information requirement (bus accessibility)	Enables the Secretary of State to make regulations requiring operators of local services in Great Britain to provide accessible information on-board their services.	£0.0
Department for Transport	Air Navigation Order 2016	Streamlines the Air Navigation Order for the benefit of the General Aviation Sector (mostly private pilots and small businesses).	-£1.0
Department for Transport	GAC-115: Reduced medical standards for national declaration-based licenses:	Removes unnecessary burdens in respect of certain national pilots' licences while maintaining requisite high standards of aviation safety.	-£0.5
Department for Transport	Introduction of E conditions for experimental aircraft	Amends the regulatory framework for developing and experimentally operating new light aircraft in the UK to encourage innovation and growth by amending overly burdensome regulation.	-£9.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Department for Transport	Regulations to increase maritime liability limits	<p>As well as implementing new liability limits for shipping incidents, as adopted by the International Maritime Organisation, this measure introduces minor changes in relation to the Bunkers Convention to clarify that the Secretary of State can refuse port entry to international ships that do not hold the necessary insurance.</p> <p>This is to correct an error in existing domestic legislation which does not enable the Secretary of State to refuse entry.</p>	£0.0
Department for Transport	The street works qualifications regulations in England: Proposed amendments	Streamlines the requirements to make them less prescriptive, simpler, clearer, and easier to understand.	-£1.5
Department for Transport	On-road motor racing regulations	Allows on-road motor racing and nominates motor sport bodies to be able to issue permits to race promoters.	£0.5

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Department for Transport	Wheelchair access to taxis	Places a duty on non-exempted taxi and private hire vehicle drivers to assist wheelchair using passengers and not to charge them extra. Commencement will be accompanied by the introduction of secondary legislation to specify the nature and content of exemption certificates, and guidance to authorities.	£0.0
Department for Transport	Merchant Shipping (Marine Equipment) Regulations 2016	As part of transposing the 2014 Directive, this measure also reduces coverage of the requirements to only those ships within the scope of the Directive. This is to remove previous gold-plating of the Directive.	-£0.5
Department for Transport (Driver and Vehicle Standards Agency)	Guidance to help pass heavy goods vehicle individual vehicle approval	Updates internet guidance for producers of heavy good vehicles that use individual vehicle approval for UK vehicle registration.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Transport (Maritime and Coastguard Agency)	MGN 553 (M+F) Inflatable Non-SOLAS Liferafts, Lifejackets, Marine Evacuation Systems, Danbuoys and Lifebuoys	Provides guidance on the acceptable standards of non-SOLAS inflatable Life-Saving Appliances for use on certain UK registered commercial vessels.	£0.0
Department for Transport (Maritime and Coastguard Agency)	MSN 1869 (M) Safety Management Code For Domestic Passenger Ships	Provides instructions regarding safety management of domestic passenger ships.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Transport (Maritime and Coastguard Agency)	MIN 539 (M) - Boatmasters' Licence Regulations: Information for boatmasters and crew members holding Standards of Training, Certification and Watchkeeping basic training certificates after 1 January 2017	Provides clarification on updating refresher training requirements, where applicable, for those boatmasters and crew members holding Standards of Training, Certification, and Watchkeeping basic training certificates in lieu of Boatmasters' Licence ancillary safety training.	-£0.5
Department for Transport (Maritime and Coastguard Agency)	MGN 546 (M) – In Water Surveys	Amends guidance on conditions to allow the conduct of inspections of the bottom of ships while the ships remain in the water.	-£2.5

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department for Transport (Maritime and Coastguard Agency)	MGN 561 (M) Surveys – Enhanced Authorisation Scheme	Provides advice on the requirements of the new Enhanced Authorisation Scheme for ship owners / operators and Recognised Organisations during its phase-in period.	£0.0
Department for Work and Pensions	The Employers' Duties (Implementation) (Amendment) Regulations 2016	Aligns the planned increase in minimum employer and worker automatic enrolment contributions with the beginning of the tax year to simplify automatic enrolment by enabling the increase to take place along with payroll changes.	-£1,025.0
Department for Work and Pensions	Occupational Pension Schemes and Social Security (Schemes that were Contracted-out and Graduated Retirement Benefit) (Miscellaneous Amendments) Regulations 2017	Clarifies the circumstances in which an inherited Guaranteed Minimum Pension will need to be paid following the introduction of the new Basic State Pension in April 2017.	£0.5

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Department for Work and Pensions	Child Support Regulations 2016 Segment 5 Compliance Opportunity	Moves compliance opportunity for non-resident parents from Child Support Agency to Child Maintenance Service.	£0.0
Department for Work and Pensions	Pensions Guidance Regulations	Defines pensions guidance and sets out the scope of the secondary annuities market.	£0.0
Department for Work and Pensions	The Employers' Duties (Implementation) (Amendment) Regulations 2017	Provides new employers with the flexibility to defer the Automatic Enrolment employer duties in respect of new workers, in a similar way to that available already to employers in existence before April 2017. Also amends employer duty trigger start dates for new employers in respect of new workers.	-£13.5
Department for Work and Pensions	The Pensions Scheme Act 2017	Makes provision for a compulsory master trust authorisation regime which sets out a framework for four authorisation criteria based on competence and integrity, systems and processes, financial stability of the arrangement, and continuity of savings. Also provides the Pensions Regulator with powers to authorise and regulate master trust pension schemes.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Department for Work and Pensions	The Dangerous Goods in Harbour Areas Regulations 2016	Removes redundant and duplicated sections of the legislation and simplifies the requirements placed on duty holders to comply with the law.	£0.0
Department of Health	Foods for Specific Groups	Ensures measures relating to food for infants, food for small children, and medical foods can be enforced.	£0.0
Department of Health	NMC Midwifery Supervision and Fitness to Practise Reform	Removes the role of midwifery supervision from the NMC and reforms certain fitness to practice processes to make them more efficient and effective.	£0.0
Department of Health	Rebalancing pharmacies - dispensing errors	Encourages community pharmacists to report dispensing errors.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department of Health (Medicines and Healthcare Products Regulatory Agency)	E-correspondence via Common European Submissions Portal	Adopts paperless communications for submissions made via the Common European Submissions Portal.	-£2.0
Department of Health (Medicines and Healthcare Products Regulatory Agency)	Improved processes on reclassification of medicines	Streamlines processes relating to the reclassification of medicines.	£0.0
Department of Health (Medicines and Healthcare Products Regulatory Agency)	Dedicated contact for backlog submissions	Provides a dedicated contact for industry to speak to regarding product licence applications and any associated regulatory activity.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Department of Health (Medicines and Healthcare Products Regulatory Agency)	Remove need to communicate with named individual over clinical trials	Allows business employees, other than the applicant named on a clinical trial application form, to access clinical trial data from the MHRA.	£0.0
HM Treasury	The Financial Services and Markets Act 2000 (Market Abuse) Regulations 2016	<p>As well as implementing the EU Market Abuse Regulation, this measure goes beyond the minimum requirements of the Market Abuse Regulation in two aspects:</p> <ol style="list-style-type: none"> 1. Retains the FCA's ability to impose unlimited fines as to do otherwise would drastically weaken the sanctioning regime. 2. Retains the lower threshold beyond which managers are expected to disclose transactions to the Regulators as, based on an FCA consultation with market participants, there was no evidence put forward that justified the higher bound. 	£0.0
HM Treasury	Limited Partnership Reform	Modernises the limited partnership law to create a new partnership regime for investment funds which removes unnecessary legal complexity and administrative burdens in establishing and operating an investment fund as a limited partnership.	-£2.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
HM Treasury	Late payment of insurance claims	Obliges firms to pay insurance claims within a reasonable time.	-£2.0
HM Treasury	Pension reform (capping exit charges)	Limits the exit charges faced by individuals wishing to access the pension freedoms before their selected pension age.	£57.2
HM Treasury	Insurance contract law: updating the Marine Insurance Act 1906	Updates the law governing insurance contracts.	-£53.5
HM Treasury	Ring-fencing regime: Requirement for the removal of Qualifying Declarations	Removes the requirement for a qualifying organisation declaration from businesses before they are transferred to a non ring-fenced bank. Also introduces a requirement for banks to inform their customers before a designation to the non ring-fenced bank is made.	-£44.5
Home Office	Immigration Act 2016 (check of alcohol and late night application licences)	Prevents people who do not have the necessary permissions to live or to work in the UK from obtaining premises or personal licences to sell alcohol or late night refreshments.	£0.5

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Home Office	Criminal Justice Act 1988 (Offensive Weapons) (Amendment) Order 2016 (Zombie Knives)	Bans knives that have a cutting edge, a serrated edge, and words or images which suggest that the blade is intended for violence.	£0.5
Home Office	Illegal working in relation to private hire vehicles etc	Mandates immigration checks and introduces safeguards to existing licensing regimes for operators and drivers.	£0.5
Home Office	Riots compensation	Modernises the Riots (Damages) Act to clarify which losses individuals and businesses may claim.	£13.0
Home Office	Alcohol licensing – alcohol definition	Clarifies the definition of alcohol to include powdered and vaporised alcohol.	£0.0
Home Office	Summary reviews of premises licences: review of interim steps	Amends the process for summary reviews of licences for premises associated with serious crime or serious disorder.	-£1.6

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions) ¹¹
Home Office	Firearms licensing - firearms provisions in the Policing and Crime Act	Creates a new offence for selling and transferring a defectively deactivated firearm.	£0.0
Home Office	Telecommunications Restriction Orders Regulations (Custodial Institutions) (England and Wales) 2016	Provides the civil courts with powers to order mobile network operators to prevent or restrict the use of communication devices (including unauthorised mobile phones) inside custodial institutions.	£0.0
Ministry of Justice	Amendment to the Criminal Appeal Act 1995: Extending the powers of the Criminal Cases Review Commission	Extends the powers of the Criminal Cases Review Commission to require disclosure of material or documents from private organisations and individuals.	£0.0

Table two: Qualifying Regulatory Provisions of Government departments and Ministerial regulators that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / Ministerial regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹¹
Ministry of Justice	Third Parties (Rights against Insurers) Act 2010	Simplifies the procedures by which a claimant (the third party) who has suffered loss or damage can claim directly against the insurer of an insolvent wrongdoer.	£0.0
Ministry of Justice	The Cremation (England and Wales) (Amendment) Regulations 2016	Amends the cremation regulations following Emstrey Inquiry and Scottish Independent Cremation Commission reports on infant cremations and MoJ's subsequent consultation.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Care Quality Commission	Mental Health Act Integration Programme	Revises the visiting framework for the regular Mental Health Act monitoring visits and develops new tools to monitor visits and increase the information gathered about the way the Mental Health Act guiding principles and the Mental Health Act Code of Practice 2015 standards are being applied locally.	£0.0
Care Quality Commission	Provider Information Request for Independent Ambulance Services	Issues a new set of Provider Information Request documents to be completed prior to inspections of the Independent Ambulance Sector to gather evidence.	£0.0
Care Quality Commission	New approach to inspecting specialist substance misuse services	Introduces a new way of inspecting specialist substance misuse services encompassing collecting information from providers prior to inspection, announcing inspections, and publishing new guidance.	£0.0

¹³ A "Business Impact Target score" for the 2015-17 Parliament was the sum of the Equivalent Annual Net Direct Cost to Business (EANDCB) over the first five years for which the measure will be in force, or the sum of the EANDCB over the full lifetime of the measure for measures that are in force for less than five years. See [Written Ministerial Statement](#) of 3 March 2016 for full details on methodology.

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament

Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Care Quality Commission	Introduction of new provider online registration and extensions of the Provider Portal	Moves registration processes to digital platforms, introduces a way that new providers can register online, and extends the number of organisations that can use the Provider Portal to submit this information.	£3.0
Care Quality Commission	Changes to Adult Social Care handbooks	Updates and introduces handbooks for the different sectors to provide information about how they are regulated.	£0.0
Care Quality Commission	Improved Factual Accuracy (FAC) Process	Issued a clearer Factual Accuracy instruction and guidance document along with a new Factual Accuracy form.	£0.0
Care Quality Commission	Provider Information Requests for Independent acute services undergoing an announced inspection	Issues a new set of Provider Information Request documents for specialist locations and revises the existing PIR for non-specialist locations.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Care Quality Commission	Provider Information Request for independent mental health services undergoing an announced inspection.	Revises the Provider Information Request documents for mental health services.	£0.0
Charity Commission	The Essential Trustee (non-statutory guidance)	Updates guidance on the common law and statutory duties of charity trustees and sets out minimum good practice trustees should observe in support of these duties.	-£0.5
Charity Commission	Guidance promoting charities' financial resilience	Publishes guidance to support charity trustees in complying with their duties in relation to fundraising and financial management. Helps charities plan for and mitigate financial risk, improve their financial resilience, and ensure good practice in fundraising is carried out on their behalf.	£0.0
Charity Commission	The Charities (Annual Return) Regulations 2015	Updates the content of the Annual Return which registered charities must complete and submit.	£0.3

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Charity Commission	Accounting guidance for charities – accruals accounts packs for smaller charities	Updates accruals accounts packs to help smaller charities to produce accruals accounts for reporting periods beginning on or after 1 January 2015.	£0.0
Charity Commission	Charities and litigation: a guide for trustees (CC38)	Introduces guidance to help trustees understand the risks and issues of legal action, and reduce the risk of charity assets being used inappropriately in legal action.	£0.0
Charity Commission	The Charities (Annual Return) (Common Investment Funds and Charity Authorised Investment Funds) Regulations 2015	Updates the content of the Annual Return that charities which are common investment funds or charitable authorised investment funds must complete and submit.	£0.0
Civil Aviation Authority	Camera Mounts on aircraft (GAU-04)	Simplifies the approval process under which the fitting of a small camera could be simply signed-off in the aircraft’s log book by a licensed engineer.	£0.0
Civil Aviation Authority	Information requests to support desktop audits (AW-01)	Requires industry to supply certain data in advance of an audit.	£0.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Civil Aviation Authority	Revised Guidance for the oversight of Subcontractors (AW-02)	Assists organisations in determining how a production organisation can ensure the quality of materials, components, and services purchased.	£0.5
Civil Aviation Authority	Pilot Maintenance Authorisations (AW-03)	Streamlines the process applied when deciding if certain maintenance tasks may safely be performed by a pilot.	-£0.5
Civil Aviation Authority	Guidance on ATOL Certificates (ATOL-01)	Guides Air Travel Organiser's Licence holders on how to complete the Air Travel Organiser's Licence Certificate.	£0.0
Civil Aviation Authority	Sale of flight Inclusive Day Trips (ATOL-02)	Guides Air Travel Organiser's Licence holders and their agents on the sale of flight-inclusive day trips.	£0.0
Civil Aviation Authority	Guidance for Charities and Fundraisers (ATOL-03)	Guides Air Travel Organiser's Licence holders by advising that any flights or flight inclusive trips that involve charities and fundraisers as part of their events or funding raising activities are covered by Air Travel Organiser's Licence regulations.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Civil Aviation Authority	Implementation of revised ATOL measures to improve system resilience (ATOL-05)	Increases the financial resilience of the Air Travel Organiser's Licence and Air Travel Trust.	£6.0
Civil Aviation Authority	CAA Website Upgrade (TT-05)	Moves the Civil Aviation Authority's website to a new content management system.	£0.0
Civil Aviation Authority	Standards for offshore helicopter landing areas (ISP -12)	Sets out the criteria applied by the Civil Aviation Authority in assessing the standards of offshore helicopter landing areas for helicopters registered in the United Kingdom.	£13.0
Civil Aviation Authority	Licensing of Flight Information Service Officers (ISP-05)	Amends the guidance regarding the licensing of Aerodrome Flight Information Service Officers.	£0.0
Civil Aviation Authority	On line forms for Flying Displays and Special Events (GAU-08)	Allows applicants requiring permits for air displays and special events to complete the application on-line instead of the paper based process currently used.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Civil Aviation Authority	Wildlife hazard management at aerodromes (ISP-13)	Assists aerodrome operators in establishing and maintaining an effective Bird Control Management Plan, including the measures necessary to assess the bird strike risk at the aerodrome.	-£5.5
Civil Aviation Authority	Gyroplane Commercial Pilot licence CPL (GAU-05)	Enables applications for a Gyroplane Commercial Pilot Licence.	£0.0
Civil Aviation Authority	Applying the principles of Certification Specification' for Standard Changes and Standard Repairs to UK aircraft (GAU-07)	Applies the principles of the European Aviation Safety Agency's 'Certification Specification' for Standard Changes and Standard Repairs to UK aircraft.	£0.0
Civil Aviation Authority	Replacement guidance for airlines about the ATOL Regulations (ATOL-07)	Gives airlines operating in the UK market an easy summary of the legal framework to help them comply with the Air Travel Organisers' Licence regulations.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Civil Aviation Authority	Replacement guidance for Brokers about the ATOL Regulations(ATOL-08)	Revises existing guidance to give brokers operating in the UK market an easy summary of the legal framework to help them comply with the Air Travel Organisers' Licence regulations.	£0.0
Civil Aviation Authority	Alternative Dispute Resolution for Consumer Disputes (CMG-09)	Guides Alternative Dispute Resolution businesses that fall under the remit of the Civil Aviation Authority.	£0.0
Civil Aviation Authority	On Line applications for flight crew licences (TT-03)	Allows applications for flight crew licences to be submitted through a website portal and online system.	-£1.0
Civil Aviation Authority	Advice on corrosion (GAU-06)	Updates guidance on recognising and dealing with corrosion of aircraft structures.	£0.0
Companies House	Limited Liability Partnership (LLP) accounts enablement (started 21/07/2016)	Gives Limited Liability Partnerships an option to file accounts electronically.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Companies House	Accounts enablement phase 2 (started 21/07/2016)	Allows parent companies to submit consolidated accounts to Companies House via an electronic service.	£0.0
Companies House	Amendment of guidance (started 30/06/2016)	Creates and updates guidance due to legislative changes brought about by the Small Business, Enterprise and Employment Act 2015.	£0.0
Companies House	Amendment of guidance (started 06/04/2017)	Updates guidance due to legislative changes brought about by The Legislative Reform (Private Fund Limited Partnerships) Order 2017.	£0.0
Competition and Markets Authority	Unfair contract terms guidance	Explains the legal provisions contained in the Consumer Rights Act 2015 on unfair terms.	£0.5
Competition and Markets Authority	Market studies and investigations guidance	Amends guidance to reflect changes to the Competition and Market Authority's approach to including 'sunset' clauses in remedies.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Competition and Markets Authority	Guidance on the public transport ticketing schemes block exemption	Explains how the block exemption applies to ticketing schemes.	£0.0
Competition and Markets Authority	Compliance guidance materials for businesses concerning consumer protection law.	Provides compliance guidance materials for businesses concerning consumer protection law.	-£0.5
Competition and Markets Authority	Compliance guidance materials for businesses concerning competition law.	Provides compliance guidance materials for businesses concerning competition law.	-£1.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Environment Agency	The enhancement of the Environment Agency's enforcement powers under The Environmental Permitting (England and Wales) (Amendment) (No. 3) Regulations 2015	Enhances the Environment Agency's enforcement powers under Environmental permitting regulations.	£0.0
Environment Agency	Amendments to land spreading standard rules	Reviews standard rules for mobile plants used for land spreading of waste for agricultural benefit.	£0.0
Environment Agency	Standard rules for onshore oil and gas activities	Enables operators in the industry to apply for standard permits to cover a wider range of on-site activities in which they are involved.	£0.0
Environment Agency	A new online service for registering waste exemptions	Delivers a new online service for registering waste exemptions to meet Government Digital Service standards.	-£2.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Environment Agency	Removal of low risk regulatory positions allowing the storage, sorting and dismantling of waste catalytic convertors	Withdraws low risk positions after becoming aware of the incorrect classification of refractory ceramic fibre in waste catalytic convertors as non-hazardous waste and the increased environmental and health and safety risks associated with handling this material.	£2.0
Environment Agency	Changes the standard rules for the deposit of waste on land for recovery	Changes the standard rules for the deposit of waste on land for recovery.	£0.5
Environment Agency	Amendments to hazardous waste guidance suite to adapt the tracking system to legal changes to premises registration	Repeals the obligations relating to premises registration from the Hazardous Waste Regulations 2005 and makes some non-legislative changes to introduce a new consignment note code format for consignee returns from 1 April 2016.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Environment Agency	Reducing the frequency of Certification Body inspections at intensive pig and poultry farms regulated under the Environmental Permitting Regulations	Reduces the number of inspections of member farms in the Scheme by removing the Certification Body inspection that took place in the same year as the Environment Agency inspection.	-£0.5
Environment Agency	Revised fire prevention plan guidance	Introduces guidance for operators to make a fire prevention plan if they store combustible waste at sites where they have a permit.	-£55.0
Environment Agency	Onshore oil and gas sector guidance	Introduces guidance for oil and gas companies that sets out which environmental permits they need for onshore oil and gas operations in England.	-£4.0
Environment Agency	Water Framework Directive assessment guidance for estuarine and coastal waters	Introduces guidance for activities located in estuarine and coastal waters.	-£1.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Environment Agency	Changing Water Company Look Up Table limit assessment from 365 days to 12 whole calendar months	Changes Water Company Look Up Table limit assessment from 365 days to 12 whole calendar months.	£0.0
Environment Agency	Environment Agency Monitoring Certification Scheme (MCERTS): performance standard for laboratories testing samples from stack emissions monitoring	Amends Environment Agency performance standards for laboratories testing samples from stack emissions monitoring.	£0.5
Environment Agency	Technical Guidance note M9: Environmental monitoring of bioaerosols at regulated facilities	Amends guidance on the environmental monitoring of bioaerosols at regulated facilities.	£0.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Environment Agency	Amendment to industry requirements for 'Corporate Radioactive Waste Adviser' status	Amends industry requirements for 'Corporate Radioactive Waste Adviser' status.	£0.0
Equality and Human Rights Commission	Technical Guidance on Age Discrimination	Provides guidance to businesses, public authorities, courts, tribunals, lawyers, and advocates on complying with the Equality Act 2010.	£0.0
Equality and Human Rights Commission	Broadcasting Guidance	Provides guidance for the television broadcasting sector on what action can lawfully be taken to increase diversity in the industry.	£0.0
Equality and Human Rights Commission	Discriminatory Advertising	Provides guidance to businesses that engage in advertising.	£0.0
Equality and Human Rights Commission	Equal Pay	Provides guidance on equal pay covering, for example, the legal provisions covered in the Equality Act 2010 relating to equal pay, and a step-by-step process to undertake an equal pay audit.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament

Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Equality and Human Rights Commission	Business and human rights: A five-step guide for company boards	Explains the United Nations Guiding Principles on Human Rights and Business, and the types of actions that businesses can take to put these principles into practice.	£0.0
Equality and Human Rights Commission	Pregnancy and Maternity Guidance and Toolkit	Supports employers in complying with their obligations under the Equality Act 2010 in relation to pregnancy and maternity discrimination.	£0.0
Equality and Human Rights Commission	Women on Boards	Sets out practical steps that companies, search firms, and recruitment agencies may take to improve diversity in boards.	£0.0
Financial Conduct Authority	Amendment to various forms	Amends a series of forms used by firms and individuals when seeking regulatory approval for certain roles and transactions.	£0.0
Financial Conduct Authority	Banking Accountability	Implements Parliamentary Commission on Banking Standards recommendations on holding individuals to account.	£275.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	Best execution arrangements in investment managers – multi firm feedback	Publishes guidance to set out instances where control and governance structures made it difficult to provide meaningful oversight of firms' best execution practices.	£0.0
Financial Conduct Authority	Buy-to-let mortgages – implementing the Mortgage Credit Directive Order 2015	Introduces a European framework of conduct standards for firms selling mortgages as required by Government legislation.	£4.5
Financial Conduct Authority	Change to Supervision Framework	Makes a number of key changes to the supervision model.	–£17.0
Financial Conduct Authority	Changes to the unfair terms legislation of the FCA Handbook	Makes changes to the Unfair Contract Terms Regulatory Guide including but not limited to changing the title, replacing all references to the previous legislation, and explaining that the Financial Conduct Authority may review terms, whether or not they have been individually negotiated.	£0.0
Financial Conduct Authority	Consumer credit – amendments to guarantor lending rules	Sets out new rules on guarantor loans.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	Consumer Credit Protecting your business from financial crime	Considers the nature, scale, and extent of financial crime risks in consumer credit, as well as the adequacy of steps consumer credit firms are taking to mitigate these risks.	£0.0
Financial Conduct Authority	Debt management (thematic review)	Reports the results of a review assessing the quality of advice provided by debt management firms.	£0.0
Financial Conduct Authority	Disapplication of CASS audit requirements to certain debt management firms	Proposes a minor rule change so that Debt Management Firms that are not entitled to hold client money are not required to have the Client Assets Sourcebook audit.	-£4.0
Financial Conduct Authority	FCA Handbook changes regarding the segregation of client money on loan-based crowdfunding platforms, the Innovative Finance ISA (IFISA), and the regulated activity of advising on peer-to-peer (P2P) agreements	Implements new ISA regulations and extends obligations that advisors already had in relation to advising on other regulated investment products to any advice they might provide on loan-based crowdfunding investments or peer-to-peer loans qualifying for inclusion in the Innovative Finance ISA.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	FG16-5 Guidance for firms outsourcing to the 'cloud' and other third party IT services	Introduces guidance on existing outsourcing rules in respect of outsourcing to the cloud.	£0.0
Financial Conduct Authority	Financial Crime Data Return	Supports the financial crime supervision strategy.	£9.0
Financial Conduct Authority	Financially vulnerable customers (thematic review)	Follows a previous thematic review on Mortgage Lenders' Arrears and Forbearance Management (Thematic Report TR14/3) where firms were asked to consider which borrowers are most likely to be affected by potential rate rises.	£0.5
Financial Conduct Authority	Guidance on the FCA's approach to the review of insurance business transfers	Introduces non-handbook guidance to assist lawyers, actuaries, and others in the preparation of Court Documents to support applications to the High Court to Sanction FSMA Part VII Insurance portfolio transfers	£0.0
Financial Conduct Authority	Handbook Notice 28 - Supervision Manual (Amendment No 21) Instrument 2015	Makes minor amendments to several parts of Chapter 16 of the Supervision manual.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	Implementation of Enforcement - CP16/10	Changes the Decision Procedure and Penalties Manual and The Enforcement Guide in order to implement the recommendations made in the Treasury's Review of enforcement decision-making at the financial services regulators (HMT Review), and Andrew Green QC's Report into the Financial Services Authority's enforcement actions following the failure of HBOS (HBOS Report), plus two internal proposals relating to enforcement action.	£0.0
Financial Conduct Authority	Implementation of the Mortgage Credit Directive	Introduces a European framework of conduct rules for firms selling both first and second charge mortgages.	£60.0
Financial Conduct Authority	Implementation of the revised Transparency Directive 2013-50-EU	Amends Disclosure Guidance and Transparency Rules to allow all investment managers to disclose their vote holdings at the EU minimum thresholds of 5%, 10% etc.	£0.0
Financial Conduct Authority	Implementation of the UCITS V Directive	In addition to the minimum implementation of the Undertakings in Collective Investment Schemes Directive, this introduces two further requirements in the UK that go beyond the minimum requirements set out in the Directive.	£0.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	Improving Complaints Handling. (Changes to the Dispute Resolution sourcebook (DISP))	Changes complaints handling rules, raises consumer awareness of the ombudsman service, introduces new rules limiting the cost of calls consumers make to firms to a maximum 'basic rate', and improves the 'complaints return' which requires firms to send data twice a year on the number of complaints they receive.	-£146.0
Financial Conduct Authority	Insistent client factsheet	Sets out good and bad practices to assist firms dealing with insistent clients.	£0.0
Financial Conduct Authority	Minor changes to our equity release rules CP16/21	Changes responsible lending rules and amends the Mortgages and Home Finance: Conduct of Business sourcebook.	-£18.0
Financial Conduct Authority	Minor Handbook changes related to home finance customers with a payment shortfall	Amends Mortgage and Home Finance: Conduct of Business rule 12.4.1BR and the Glossary definition of 'payment shortfall' to clarify expectations.	£0.0
Financial Conduct Authority	Mobile phone insurance follow up review findings	Follows up the thematic review 'Mobile phone insurance ensuring a fair deal for consumers' (Thematic Review 13/2).	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	On the customer understanding of transactions – publication of findings	Publishes a table of five examples of good practice that the Financial Conduct Authority observed.	£0.0
Financial Conduct Authority	Parliamentary Commission on Banking Standards (Remuneration)	Implements the Parliamentary Commission on Banking Standards recommendations on remuneration.	£23.5
Financial Conduct Authority	Pension reforms – proposed changes to our rules and guidance CP15-30 and PS 16-12)	Changes regulatory requirements to support the implementation of the Budget 2014, also reviews Financial Conduct Authority rules and guidance against objectives.	£29.0
Financial Conduct Authority	PS14/9: Review of the client assets regime for investment business	Changes the rules in the Client Assets Sourcebook to address specific risks, clarify the requirements firms must comply with, and enhance the client assets regime to achieve better results for consumers and increase confidence in financial markets.	£0.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	PS15/21: Changes to the Approved Persons Regime for Solvency II firms: Final rules (including feedback on CP14/25, CP15/5, and CP15/16)	Proposes changes to the Approved Persons Regime for Solvency II firms taking into account provisions in the Act that apply to insurers, changes that the Prudential Regulation Authority was making to their approval regime for these firms, and requirements in Solvency II around the governance of firms and fitness and propriety of key function holders within them.	£0.0
Financial Conduct Authority	PS15/31: Final Rules on changes to the Approved Persons Regime for insurers not subject to Solvency II	Provides feedback on responses to CP15/25 and sets out the changes to forms that will be required to implement the Approved Persons Regime for all Non-Directive Firms.	£0.0
Financial Conduct Authority	PS15-12 Proposed changes to our pension transfer rules, feedback on CP15-7 and final rules	Implements legislation that requires individual scheme members to take advice from an adviser authorised by the Financial Conduct Authority before a transfer is allowed to proceed.	£0.5
Financial Conduct Authority	Reform of the legacy Credit Unions Sourcebook	Reforms the Credit Unions Sourcebook to reflect the separation of the Financial Services Authority into the Prudential Regulation Authority and Financial Conduct Authority.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	Restrictions on the retail distribution of regulatory capital instruments	Introduces rules that prevent firms from distributing contingent convertibles in the retail market without first checking that the prospective client meets certain criteria.	£46.5
Financial Conduct Authority	Retail Mediation Activities (RMA-C) changes	Publishes final changes to the Retail Mediation Activities to obtain more accurate and useful data on client money holdings.	£0.0
Financial Conduct Authority	Retirement income data requests	Collects data on the retirement income market for supervision, policy, and competition purposes.	£2.4
Financial Conduct Authority	Smarter Communications	Amends the Financial Conduct Authority handbook to remove ineffective disclosure requirements.	-£69.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	Strengthening accountability in banking and insurance: Implementation of SM&CR and SIMR; and PRA requirements on regulatory references	Implements the Parliamentary Commission on Banking Standards recommendations on the senior managers regime for banks, insurers, building societies, and credit unions.	£2.0
Financial Conduct Authority	Thematic Review 16/7 - Inducements and conflicts of interest	Sets out the key findings of the thematic review which had assessed payments and benefits provided to retail investment advisers by providers of retail investment products.	£1.5
Financial Conduct Authority	TR 15/13 - Flows of Confidential and Inside Information	Reviews how well and consistently firms are implementing existing Financial Conduct Authority rules and guidance.	£2.0
Financial Conduct Authority	TR15/10: Fair treatment for consumers who suffer unauthorised transactions	Reviews whether consumers are being treated fairly in relation to unauthorised transactions.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	TR15/7: Delegated authority: Outsourcing in the general insurance market	Reviews whether firms have robust systems and controls in place surrounding the decision to outsource functions to other parties, exercise appropriate oversight over outsourced functions, understand and fulfil the responsibilities they have to customers both where they have outsourced to another party and where they are the party carrying out these outsourced functions, and whether they understand and fulfil the responsibilities they have to customers for related functions they perform under their own regulatory permissions.	£0.5
Financial Conduct Authority	TR15/9 Embedding the Mortgage Market Review Advice and Distribution	Changes the Financial Conduct Authority's Mortgage Conduct of Business rules following the Mortgage Market Review.	£0.0
Financial Conduct Authority	TR16/1 Assessing Suitability: Research and Due Diligence on products and services.	Sets out the high level findings of the thematic review of the research and due diligence processes carried out by advisory firms on the products and services they recommend to retail clients.	£0.0
Financial Conduct Authority	TR16/10 Early arrears management in unsecured lending	Examines how firms are treating customers who fall into arrears, including reviewing how firms are engaging with customers, what forbearance options they offer, and to what outcomes this leads.	£0.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	TR16/2 Fair treatment of long-standing customers in the life insurance sector	Introduces guidance for life insurance providers after a thematic review identified widespread practices that were creating an increased risk of unfair treatment of long-standing customers.	£7.5
Financial Conduct Authority	TR16/4: Embedding the Mortgage Market review: Responsible Lending Review	Summarises the key findings of a market-wide thematic review of how firms are applying the responsible lending rules introduced in April 2014 following the Mortgage Market Review.	£0.5
Financial Conduct Authority	TR16/5 UK equity market dark pools - Role, promotion and oversight in wholesale markets	Reviews the UK equity marketplace focusing on dark pools and broker crossing networks by examining promotional activity and the identification and management of conflicts of interest by dark pool operators.	£0.5
Financial Conduct Authority	TR16/6 Principals and their appointed representatives in the general insurance sector	Assesses whether principals had considered and managed the impacts and risks associated with appointing Appointed Representatives, and whether they had adequate control over their Appointed Representatives to enforce compliance with relevant requirements.	£2.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	TR16/7: Review of annuity sales practices	Reviews the extent to which firms conducting non-advised sales have informed existing customers about the availability of, and their potential eligibility for, enhanced annuities and the fact that customers could potentially get a higher income from an enhanced annuity with another provider.	£0.0
Financial Conduct Authority	TR16/8: Packaged bank accounts	Reviews packaged bank accounts assessing how firms implement the packaged bank account rules in the Insurance: Conduct of Business Sourcebook.	£7.0
Financial Conduct Authority	TR16/9: Review of general insurance intermediaries' professional indemnity insurance	Reviews the extent to which a sample of 186 firms' professional indemnity insurance policies complied with the existing requirements in the Financial Conduct Authority Handbook.	£1.0
Financial Conduct Authority	TR16/3 Meeting Investors' Expectations Thematic Report	Reviews whether UK authorised investment funds (Unit Trusts and OEICS) and individual customers' portfolios (segregated mandates) were operated in line with investors' expectations.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	UKLA 308.3: Related party transactions - Modified requirements for smaller related party transactions	Updates an existing technical note in relation to related party transactions in light of previous rule changes.	£0.0
Financial Conduct Authority	UKLA 407.1 Investment entities with multiple share classes	Provides guidance in relation to disclosure of a fund's published investment policy under the existing Listing Rules.	£0.0
Financial Conduct Authority	UKLA 409.1 Master-feeder structures	Provides guidance in relation to unconventional master-feeder structures.	£0.0
Financial Conduct Authority	UKLA 410.1 Definition of Investment manager	Provides guidance clarifying the definition of 'investment manager' under the Listing Rules.	£0.0
Financial Conduct Authority	UKLA 425.1: Open-ended investment companies and transfer restrictions	Highlights a scenario in which an issuer may not be able to meet Listing Rule requirements.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	UKLA 634.1: Financial information on guarantors in debt prospectuses and requests for omission	Provides clarity on when a request to omit guarantor financial information from a debt prospectus may be granted.	£0.0
Financial Conduct Authority	UKLA 911.1: Debt securities - issuer substitution	Explains the procedural mechanics of replacing a debt issuer on the Official List through a substitution as permitted under the terms and conditions of debt securities.	£0.0
Financial Conduct Authority	UKLA Technical Note 701.2 Sponsors: Conflicts of interest	Updates TN 701.1 and introduces additional guidance for sponsors around the approach they are expected to take in relation to the identification of conflicts when dealing with sponsor services at a very early stage or in circumstances where the service must be delivered urgently.	£0.0
Financial Conduct Authority	UKLA Technical Note UKLA/PN/907.2 Blocklistings	Provides guidance to give companies clarity on when they may be regarded as a frequent issuer and how to make an application.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	UKLA Technical Note UKLA/TN/405.1 Fund management agreements and independence of the board	Provides updated guidance in line with the 2014 amendments to the Listing Rules.	£0.0
Financial Conduct Authority	UKLA Technical Note UKLA/TN/406.1 Application of related party rules to funds investing in highly illiquid asset classes	Provides guidance to premium listed, closed-ended investment companies in relation to related party transactions under Listing Rule 11.	£0.0
Financial Conduct Authority	UKLA TN312.1 - Shareholder votes in relation to hypothetical transactions	Clarifies in the Listing Rules that it may not be possible to obtain a vote at an early stage until negotiations are sufficiently advanced such that the company can provide shareholders with all the necessary information to enable them to make an informed decision.	£0.0
Financial Conduct Authority	UKLA TN314.1 - Reverse takeover and uncapped consideration	Clarifies that companies must still apply the class tests in Listing Rule 10 when calculating the percentage ratio of a transaction.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	UKLA TN408.1 Eligibility of closed-ended investment	Provides guidance to premium listed, closed-ended investment companies in relation to assessing eligibility of a new fund seeking listing.	£0.0
Financial Conduct Authority	UKLA TN701.3 Sponsors - Conflicts of interest (2017)	Provides clarifying guidance to sponsors on the rules and guidance relating to sponsor conflicts of interest in Listing Rule 8. Updates existing TN 701.2 relating to sponsor conflicts and introduces two new concepts which sponsors should take into account when identifying conflicts.	£0.0
Financial Conduct Authority	UKLA TN712.2 - Additional powers to supervise and discipline	Advises sponsors on the steps they need to take in order to affect the Financial Conduct Authority's statutory powers to suspend, limit, or restrict sponsors (when it is at the request of the sponsor) and to explain how the limitation or restriction takes effect when it is imposed by the Financial Conduct Authority.	£0.0
Financial Conduct Authority	UKLA TN901.2 - Additional powers to supervise sponsors	Adds reference to the C98 primacy obligation whenever considering the potential use of section 88E powers.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	UKLA/TN/309.2 Related party transactions – Content of RIS announcement	Provides guidance in relation to the Listing Rule 11.1.10R requirement for premium listed companies to issue a Regulatory Information Service announcement disclosing smaller related party transactions.	£0.0
Financial Conduct Authority	UKLA/TN/424.1 – Removal from the Official List of listed equity shares of individual funds of Open-Ended Investment Companies (OEICs)	Clarifies that where listed shares of a sub-fund of an open-ended investment company have matured or ceased to exist, the Financial Conduct Authority will follow the administrative procedure outlined in the Decision Procedure and Penalties Manual 2.5.11G for removal of securities that have matured or otherwise ceased to exist.	£0.0
Financial Conduct Authority	UKLA/TN/713.1 – Sponsors: Application of principle to deal with the FCA in an open and co-operative manner	Sets out guidance for sponsors on how to apply 8.3.5R(1) to their obligations under Chapter 8 of the Listing Rules. Covers the responsibilities of sponsors, the sponsor's positive duty to communicate with the FCA, and the implications of 8.3.5R(1) for other rules relevant to sponsors.	£0.0
Financial Conduct Authority	UKLA/TN/717.1 – Sponsors: Record Keeping Requirements	Provides additional guidance on the application of the record keeping requirements in LR8.6.16AR, and supplements the rulebook guidance in LR8.6.16BG and LR8.6.16CG.	£1.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	Use of dealing commission – multi firm feedback	Sets out instances where control and governance structures either made it difficult for firms to adequately monitor and challenge commission expenditure, or were totally absent.	£0.0
Financial Conduct Authority	Wealth management firms and private banks - Suitability of investment portfolios, TR15/12	Presents findings from an assessment of the suitability of retail investment portfolios provided by a sample of wealth management and private banking firms.	£0.0
Financial Conduct Authority	Whistleblowing in deposit-takers, PRA-designated investment firms and insurers FCA PS15-24	Implements a package of rules designed to build-on and formalise examples of good practice of whistleblowing already found in the financial services industry.	£89.0
Financial Conduct Authority	Wind down planning guidance	Helps solo regulated firms which are preparing new or revising existing wind-down plans.	-£1.0
Financial Conduct Authority	TR15/5: Provision of premium finance to retail general insurance customers	Reviews the retail general insurance market and specifically the online purchase of private motor and household insurance products.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Financial Conduct Authority	TR15/6: Handling insurance claims for small and medium-sized enterprises (SMEs)	Reviews how property claims made by SMEs were handled, including research among SMEs to understand their experience of how their claims had been handled.	£11.5
Financial Reporting Council	Financial Reporting Standard 101 review cycle: 2014/15 and 2015/16	Gives eligible businesses the option to opt for financial reporting standards which have certain exemptions when compared to international reporting standards.	£0.0
Financial Reporting Council	Financial Reporting Standard 102: Fair Value accounting	Simplifies the fair value reporting requirements for financial institutions and retirement benefit plans.	£0.0
Financial Reporting Council	Financial Reporting Standard 101 and 102 - notification of shareholders	Removes a requirement for eligible companies to notify shareholders of the reporting regime they apply each year.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Food Standards Agency	Approval for the production of burgers intended to be eaten less than thoroughly cooked	Introduces a requirement for the specific approval of meat cutting plants that supply burgers to catering outlets serving less than thoroughly cooked burgers.	£0.0
Food Standards Agency	Reduction of purification times for shellfish purification cycles	Enables approved purification establishments to apply a reduced purification period in accordance with a Hazard Analysis Critical Control Points based food safety management system.	-£13.5
Food Standards Agency	Extended Audit Frequencies at approved meat establishments	Extends periods between audits for meat premises that achieve consecutive good audit outcomes, recognised as high compliance.	-£0.5
Food Standards Agency	Guidance for business: Listeriosis in ready to eat foods within care settings; and antibiotic residue testing for dairy producers	Introduces one new piece of guidance for healthcare and social care organisations on reducing the risk of vulnerable groups contracting listeriosis, and amends one piece of existing guidance on the testing of milk for antibiotic residues.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Food Standards Agency	Update to the Food Law Code of Practice	Amends the Food Law Code of Practice to facilitate consistent interpretation and approach by local authority officers delivering official controls.	£0.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice - marketing, advertising, and fair and open terms	Introduces a range of requirements about misleading advertising, rules around gambling advertising, marketing incentives, free bet offers, and unfair terms.	£0.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – annual assurance statements	Introduces a new requirement on the largest gambling operators to provide a statement of assurance demonstrating their commitment to compliance with the Licence Conditions and Codes of Practice, and improvements to social responsibility.	£0.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – betting intermediaries	Removes operator Licence Condition 12.1.1 relating to tic tacs as it is no longer relevant. Changes the wording for clarification of requirements in relation to the display of rules.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – pool betting annual accounts	Amends the current condition for pool betting operators to have annual accounts certified by a qualified independent accountant so that copies must now be made available to the Gambling Commission on request.	£0.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – provision of credit	Elevates the previous provision of credit to members to a social responsibility code so that operators who choose to offer credit to customers must carry out procedures such as credit scoring.	£0.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – alcoholic drinks	Extends the requirement on alcoholic drinks to all gambling activities, namely “Licensees must not make unsolicited offers of free alcoholic drinks to customers at a time when they are participating in gambling activities”.	£0.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – responsible gambling information	Requires information on responsible gambling to be available to players through relevant methods in a form that may be taken away from the premises, and may also be available through the use of links for online or smart technology.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – customer interaction	Introduces a range of provisions around self-exclusion schemes including signposting counselling and support services, minimum self-exclusion periods, and policies and procedures to prevent breaches.	£5.5
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – gambling management tools for remote operators	Introduces a range of provisions relating to setting financial limits, the introduction of time outs and reality checks, and changes to auto play functionality.	£0.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – restricting access to gambling by children and young people	Introduces a range of new provisions around age verification including conducting test purchasing and monitoring the effectiveness of policies and procedures for preventing underage gambling.	£0.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – local risk assessments	Introduces a new provision that licensees must assess – and have policies, procedures, and control measures to mitigate – local risks to the licensing objectives, taking account of the licensing authority’s statement of licensing policy.	£1.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – customer interaction	Introduces new provisions around customer interaction including offering time out facilities to customers, having customer interaction training, and sharing best practice on customer interaction.	£0.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – remote betting hosts and remote game hosts	Creates a new type of licence for business to business casino and bingo game host licensees.	£0.0
Gambling Commission	Changes to the testing strategy for compliance with remote gambling	Reviews some of the requirements set out for remote gambling and software technical standards issued by the Gambling Commission under section 89 and section 97 of the Gambling Act 2005.	-£2.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – prevention of crime related measures	Requires licensees to report their involvement in criminal investigations where the Commission could reasonably be expected to question whether they had taken sufficient steps to keep crime out of gambling.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – prevention of money laundering and terrorist financing	Requires relevant licensees to conduct an appropriate assessment of Anti Money Laundering risks to their business, take account of this assessment to develop appropriate policies, procedures and controls, and implement them effectively.	£1.5
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice - Betting Integrity	Amends the Gambling Commission's Licence Conditions and Codes of Practice section 4.2.8 regarding betting integrity.	£0.0
Gambling Commission	Placing digital adverts responsibly (Licence Condition 16.1.1)	Requires licensed gambling operators to include a termination clause in their contracts with third parties who accept or place advertisements on behalf of gambling operators.	£0.0
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – gaming machines in gambling premises	Ensures the environment and manner in which category B gaming machines are made available is consistent with the regulatory framework implemented by the Government to minimise the risk to the licensing objectives posed by gaming machines.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Gambling Commission	Changes to Operator Licence Conditions and Codes of Practice – threshold setting gambling management tools	States that customers of non-remote betting operators must be required to make an active choice whether to set time and monetary thresholds for customer and staff alerts when using category B2 machines.	£0.0
Gangmasters and Labour Abuse Authority	GLA Brief 41: How licensing applies to businesses based outside the UK	Reflects changes to the status of Bulgaria, Romania, and Croatia and clarifies the Gangmasters and Labour Abuse Authority’s position on deductions for services and on the Posted Workers Directive.	£0.0
Gangmasters and Labour Abuse Authority	GLA Brief 45: Cleaning activities that require a licence	Clarifies the circumstances under which cleaning, as an integral part of food processing, requires a licence.	£0.0
Gangmasters and Labour Abuse Authority	GLA Brief 48: Principal Authority Resume process	Collects additional information about individuals who are nominated as Principal Authorities to reduce the number of physical compliance inspections.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Health and Safety Executive	Amendments to the administration of HSE approvals of appointed doctors and approved medical examiners of divers	Improves the Health and Safety Executive's systems for approving doctors to undertake statutory medical examinations on workers including digitalising arrangements.	£0.0
Health and Safety Executive	Update to HSE's Enforcement Policy Statement	Sets out the Health and Safety Executive's approach to enforcement.	£0.0
Health and Safety Executive	Amendment to duration of classification of fireworks for transportation from five to ten years	Increases the duration of the Health and Safety Executive's assignments from five to ten years from March 2016.	£0.0
Health and Safety Executive	Various technical updates and amendments to HSE guidance	Amends the Health and Safety Executive's suite of guidance to update the guidance and provide new guidance on areas of increased or developing risk in the workplace. In no cases do these additions or amendments alter the Health and Safety Executive's regulatory requirements, or change what a compliant business would be expected to do in order to manage risks.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Health and Safety Executive	Guidance published in support of domestic legislative change	Supports business (and others') compliance with changes to the Construction (Design and Management) Regulations 2015 and the simplified requirements placed on duty holders to comply with the Dangerous Goods in Harbour Areas Regulations 2016 legislation.	£0.0
Higher Education Funding Council for England	Monitoring the Prevent duty of relevant higher education bodies	Introduces rules for relevant higher education bodies to provide evidence to the Higher Education Funding Council for England on how they are meeting their duty to prevent people from being drawn into terrorism.	£27.0
Higher Education Funding Council for England	Gateways: HEFCE activity in connection with the entry of new providers into the higher education sector	Introduces gateways, administered by the Higher Education Funding Council for England, for providers to enter the regulatory framework operated by the Department for Education.	£9.0
Higher Education Funding Council for England	Higher education quality assessment	Requires Higher Education Funding Council for England-funded providers to participate in the new approach to quality assessment.	-£23.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Higher Education Funding Council for England	Student information	Requires Higher Education providers to present information on course structure, delivery, and costs on their own websites rather than on Unistats. Also requires alternative providers to return student data to the Higher Education Statistics Agency and to take part in the National Student Survey, Destinations of Leavers from HE survey, and Key Information Set data collection.	£9.0
Human Fertilisation and Embryology Authority	Mitochondrial donation Regulations 2015 (Including Code updates)	Revises the existing code of practice, launches a new guidance note, and also makes some minor changes to other guidance notes regarding mitochondrial donation.	£0.0
Human Fertilisation and Embryology Authority	Compliance and Enforcement policy update 2016	Updates existing Compliance and Enforcement Policy and Guidance to Licensing. Sets out how the Human Fertilisation and Embryology Authority deals with non-compliant fertility clinics and research centres.	£0.0
Human Fertilisation and Embryology Authority	Code of Practice July 2016	Updates the Human Fertilisation and Embryology Authority's code of practice, which is reviewed twice a year to ensure that it is still relevant to the fertility sector. This covers revisions from July 2016.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Human Fertilisation and Embryology Authority	Code of Practice April 2017	Updates the Human Fertilisation and Embryology Authority's code of practice, which is reviewed twice a year to ensure that it is still relevant to the fertility sector. This covers revisions from April 2017.	£0.0
Human Tissue Authority	Guiding principles and the fundamental principle of consent	Offers professionals guidance about how to inform people and their families about their options.	£0.0
Human Tissue Authority	Post Mortem Examination Code and Standards	Offers professionals guidance about how to meet Human Tissue Authority requirements relating to post-mortem examination and the storage of bodies and tissue.	£0.0
Human Tissue Authority	Anatomical examination Code and Standards	Offers professionals guidance about how to meet Human Tissue Authority requirements relating to the use of human bodies and tissue for education and training.	£0.0
Human Tissue Authority	Public display Codes and Standards	Offers professionals guidance about how to meet Human Tissue Authority requirements relating to the display of bodies and body parts in museums and exhibitions.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Human Tissue Authority	Research Codes and Standards	Offers professional guidance on how to meet Human Tissue Authority requirements relating to the use of human tissues for research.	£0.0
Human Tissue Authority	Donation of Solid Organs and Tissue for Transplantation	Offers guidance to practitioners working in the field of living organ donation, and deceased organ and tissue donation.	£0.0
Information Commissioner's Office	A practical guide to IT security	Provides practical advice on IT security measures that organisations can take to safeguard personal data and how to meet the requirements of the Data Protection Act 1998.	£0.0
Information Commissioner's Office	Wi-Fi Location Analytics Guidance	Provides good practice advice to operators of Wi-Fi and other communications networks about how they may use location and other analytics data in compliance with the Data Protection Act.	£0.0
Information Commissioner's Office	Using crime and taxation exemptions (section 29)	Provides good practice advice to businesses explaining how to apply the exemption to personal data when it is processed for the purposes of crime and/or taxation.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Information Commissioner's Office	Preparing for the General Data Protection Regulations – 12 steps to take now	Provides good practice advice to prepare organisations for the enforcement of the General Data Protection Regulations in May 2018.	£0.0
Information Commissioner's Office	How to disclose information safely – removing personal data from information requests and datasets	Provides good practice advice on how to respond appropriately to requests for information without disclosing personal or other data in error.	£0.0
Information Commissioner's Office	Assessing Adequacy – International Data Transfers	Provides good practice advice on how organisations should analyse the protection of data being transferred outside of the European Economic Area.	£0.0
Information Commissioner's Office	Privacy Notices Code of Practice	Provides advice, guidance, and good practice recommendations to organisations to help them meet their obligations to tell people how their personal data is being used, and to seek consent where appropriate.	£0.0
Information Commissioner's Office	Overview of the GDPR	Provides good practice advice on the provisions of the General Data Protection Regulations and how to comply with that framework.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Intellectual Property Office	Amending Patents Forms 21, 28 and 30 and changing process for patents form 7	Makes a series of minor adjustments to make patent forms 7, 21, 28, and 30 more effective and easier to use.	£0.0
Intellectual Property Office	Guidance on invalidity and surrender of Supplementary Protection Certificates (SPC)	Introduces guidance on new Intellectual Property Office processes to check the status of the underlying patent before a Supplementary Protection Certificate enters into force. Also reminds businesses of their existing legal obligation to inform the Intellectual Property Office of any proceedings in progress when applying to surrender a Supplementary Protection Certificate.	£0.0
Intellectual Property Office	Copyright Notices	Issues Copyright Notices to provide basic guidance on copyright issues either at the request of individuals, or as a result of issues identified by the Intellectual Property Office.	£0.0
Intellectual Property Office	Orphan Works	Issues two sets of guidance on the orphan works licensing scheme.	£0.0
Land Registry	Introduction of a new Statement of Truth form, Form ST5	Aids customers in providing the necessary evidence to cancel a restriction commonly entered in the Land Register.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Land Registry	Changes to practice	Updates guidance and also introduces a new way of applying to withdraw official searches with priority, and offers an alternative option to the requirement for conveyancers to lodge original deeds and documents on first registration.	£0.5
Land Registry	Changes to the handling of Information Service applications	Withdraws facility for customers to lodge applications by fax, introduces a new method of applying for Historical Copy applications by email, and changes the process governing provision of copies of the register.	-£0.5
Land Registry	Change of practice on first registrations	Widens the practice of making protective entries on the register where applications for first registration have not provided all the deeds and documents.	£0.0
Land Registry	Introduction of an enhanced Application Enquiry Service	Introduces an enhanced application enquiry service to enable customers to self serve in making essential progress enquiries.	-£0.5
Land Registry	Changes to the handling of applications with missing identity information	Introduces an alternative to requiring the completion of a fresh application form, allowing customers to complete a separate identity form included within the letter asking for the missing information.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Land Registry	Change to the handling of adverse possession applications	Revises practice in how appeals against adverse possession applications are handled in certain cases.	£0.0
Land Registry	Housing and Planning Act 2016	Makes a number of changes in the way English Private Registered Social Housing Providers are regulated resulting in changes to legislation and practice.	£0.0
Marine Management Organisation	Improvements to marine licensing - Regional Seabed Monitoring Plan for benthic ecology	Adopts a regional approach to sea-bed monitoring allowing individual dredging companies to coordinate monitoring requirements across a region.	-£2.0
Marine Management Organisation	Regional geophysical surveys for aggregate dredging sites	Adopts a regional approach to geophysical surveys of aggregate dredging sites allowing individual dredging companies to coordinate surveys across a region.	-£0.5
Marine Management Organisation	Licensing 2015 changes: business-led marine licences	Introduces a system of licensing which allows businesses to apply for a single licence to cover multiple activities.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament

Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Marine Management Organisation	Monitoring marine licensing returns	Implements a digital system for management of licence returns which marine operators are required to send to the Marine Management Organisation to confirm that licensed works are proceeding according to the terms of the licence.	£0.0
Natural England	Strategic Licensing for Great Crested Newts	Introduces a district wide licensing scheme to replace Individual licence applications, speeding up decision making and reducing application and compliance costs for business.	-£108.5
Natural England	Intentional disturbance of Water Voles and damage/destruction of Water Vole burrows by means of displacement (to facilitate development activities)	Introduces a new Class licence to ensure developers comply with legislation when displacing Water Voles from development land.	£0.5
Natural England	Intentional disturbance of Water Voles and damage/destruction of Water Vole burrows by means of displacement (to facilitate the activities of Internal Drainage Boards)	Introduces a new Class licence to ensure Internal Drainage Boards comply with legislation when displacing Water Voles from water management works.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Natural England	Great Crested Newt Low Impact Class Licence	Provides an alternative licensing framework for 'low risk' activities that would have previously required an Individual licence. The new licence allows registered consultants to undertake activities effecting Great Crested Newts on behalf of a client rather than the client having to apply for the licence themselves.	-£0.5
Office for Nuclear Regulation	Categorisation of safety functions and classification of structures, systems and components	Informs the assessments of duty holders' arrangements for categorising safety functions and assigning safety system classification objectives in accordance with international standards.	£0.0
Office for Nuclear Regulation	LC6 Documents, records, authorities and certificates	Facilitates a consistent approach to Licence Condition 6 compliance inspection and provides assistance to inspectors carrying out their duties.	£0.0
Office for Nuclear Regulation	Land quality Management	Draws together the aspects of legislation relating to land quality management, provides a framework for assessment, and outlines a mechanism for working with environmental regulators.	£0.0
Office for Nuclear Regulation	Regulation of GB's Defence Nuclear Programme	Merges two existing guidance documents relating to the regulation of Great Britain's Defence Nuclear Programme into an updated single document.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office for Nuclear Regulation	LC17 Management Systems	Facilitates a consistent and effective approach to Licence Condition 17 compliance inspection, and describes the Office for Nuclear Regulation's expectations for 'adequate' quality management arrangements.	£0.0
Office for Nuclear Regulation	Guidance on The carriage of dangerous goods and use of transportable pressure equipment regulations 2009 – inspection of transport security requirements	Facilitates a sound and consistent approach to Transport Inspection and Enforcement compliance inspections of Carriage of Dangerous Goods 2009 regulations.	£0.0
Office for Nuclear Regulation	Licence Condition 2: marking of the site boundary	Promotes a consistent approach to inspections of arrangements made under Licence Condition 2.	£0.0
Office for Nuclear Regulation	CDG and use of transportable pressure equipment regulations 2009 – plan in writing: emergency arrangements	Aids inspection activities carried out by the Office for Nuclear Regulation's inspectors at duty holder premises, and other relevant places in judging the duty holder's compliance with the requirement for a plan in writing, as required by CDG Regulations.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office for Nuclear Regulation	Changes to permissioning guidance	Changes several documents and processes that are part of the permissioning guidance and are being introduced as a package.	£0.0
Office for Nuclear Regulation	Security Assessment Principles (SyAPs)	Introduces a set of assessment principles and associated technical assessment guides to move nuclear security to outcome focussed regulation.	£0.0
Office for Nuclear Regulation	Asset Management Technical Assessment Guidance	Clarifies expectations for managing nuclear significant assets.	£0.0
Office for Nuclear Regulation	Updated guidance on the assessment of the commissioning of security systems and infrastructure	Guides Office for Nuclear Regulation inspectors in assessing the adequacy and execution of commissioning arrangements that relate to the performance of equipment, the personnel that operate it, and the associated procedures that support its operation.	£0.0
Office for Nuclear Regulation	Guidance for undertaking Leadership and Management for Safety Reviews	Improves alignment with the Office for Nuclear Regulation's Safety Assessment Principles.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office for Nuclear Regulation	Guide to an application for UK competent authority approval of radioactive material in transport	Guides organisations applying to the Office for Nuclear Regulation for Competent Authority approval for new designs, renewal of existing approvals, validation of overseas approvals, or modifications to approved designs.	£0.0
Office for Nuclear Regulation	Special arrangements approvals	Sets out guidance on the Office for Nuclear Regulation's regulatory stance and expectations for applications for a Special Arrangement transport approval as allowed for in the regulations.	£0.0
Office for Nuclear Regulation	Licence Condition 28 examination, inspection, maintenance, and testing	Promotes a consistent approach to Licence Condition 28 compliance inspection and provides guidance to inspectors in carrying out their duties in this area.	£0.0
Office for Nuclear Regulation	Revised investigation process and guide	Guides Office for Nuclear Regulation inspectors through the steps necessary to undertake and record follow-up enquiries and formal investigations.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office for Nuclear Regulation	Transport Technical Assessment Guides for Applications for UK Competent Authority Approval (Shielding, Criticality, and Engineering)	Assists Office for Nuclear Regulation inspectors to inform regulatory judgements with respect to the shielding, engineering, and criticality assessments that support duty-holder applications for transport package.	£0.0
Office for Nuclear Regulation	Transporting radioactive material - Guidance on radiation and contamination monitoring requirements, and determining a Transport Index and associated TIG	Helps non-nuclear sector duty holders transporting radioactive material by road in Great Britain.	£0.0
Office for Nuclear Regulation	Management of regulatory Issues	Seeks to ensure that regulatory issues are not neglected by Office for Nuclear Regulation. A regulatory issue is any matter that has the potential to challenge regulatory compliance, programme regulatory strategy, or Office for Nuclear Regulation reputation.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office for Nuclear Regulation	Risk informed decision making	Sets out the framework and criteria by which ONR makes regulatory decisions using scientific evidence to judge the advantages and disadvantages of a measure.	£0.0
Office for Nuclear Regulation	Site Annual Review guidance	Describes Office for Nuclear Regulation's procedures and guidance for conducting Site Annual Reviews with licensees.	£0.0
Office for Rail and Road	CHP Guidance	Introduces guidance for train and station operators on how to meet their licence obligations with regard to complaints handling.	£0.0
Office for Rail and Road	Model Freight Contract	Revises an industry agreed model contract that is available for businesses to use to reduce the need for bespoke track access agreements.	£0.0
Office for Rail and Road	RSP 1 staff competence guidance	Introduces advice on how safety duty holders may best develop and maintain staff competence to control safety risk.	£0.0
Office for Rail and Road	Guidance - CA98 & Market studies	Introduces guidance on the application of competition law and market studies to the rail industry.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office for Rail and Road	Guidance on RSR 2017	Introduces guidance for safety duty holders on the implementation of the rail safety regulations 2017.	£0.0
Office for Rail and Road	Systematic approach to safety guidance	Reviews and revises existing safety guidance to reduce the volume of this guidance.	£0.0
Office for Rail and Road	Core data compliance monitoring	Requires train and station operators to provide regular data to monitor compliance with their licence conditions.	£0.0
Office for Rail and Road	TVM remedies	Makes proposals to train operators to improve consumer confidence when making purchases from ticket vending machines.	£0.0
Office for Rail and Road	Freight general approvals	Widens the general approvals approach for freight track access contracts.	£0.0
Office for Rail and Road	Train driver licensing portal	Introduces a voluntary electronic application process to licence train drivers.	£0.0
Office for Rail and Road	Update of ORR's General Approvals for Station and Depot Access Agreements	Adjusts and simplifies the General Approval process for businesses applying for depot or station access agreements.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office for Standards in Education, Children's Services and Skills (Ofsted)	Introduction of the common inspection framework and revised handbooks in September 2015 for early years inspections	Familiarises early years provider managers with changes to the inspection framework and inspection handbook arising from the creation of a wider common inspection framework covering early years, schools, and further education.	£7.0
Office for Standards in Education, Children's Services and Skills (Ofsted)	Introduction of the common inspection framework and revised handbooks in September 2015 for non-association independent school inspections	Familiarises independent schools managers with changes to the inspection framework and inspection handbook arising from the creation of a wider common inspection framework covering early years, schools, and further education.	£0.5
Office for Standards in Education, Children's Services and Skills (Ofsted)	Simplification of the early years compliance handbook in April 2016	Simplifies regulatory compliance and enforcement guidance for early years and sets out regulatory compliance requirements.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office of Communications (Ofcom)	Revising the penalty guidelines	Revises guidelines on how Ofcom applies penalties for breaches of licence conditions.	£0.0
Office of Communications (Ofcom)	Exception to Royal Mail's USO	Designates Boxing Day as an exemption to Royal Mail's Universal Service Obligation whenever it falls on a Saturday.	£0.0
Office of Communications (Ofcom)	Persistent misuse	Updates general policy on the exercise of Ofcom's enforcement powers.	£0.0
Office of Communications (Ofcom)	Decision to remove whole must-offer regulation	Removes 'wholesale must-offer' regulation for Sky Sports 1 and 2.	£0.0
Office of Communications (Ofcom)	Review of Royal Mail Regulation	Makes five regulatory amendments that will primarily affect Royal Mail. Other postal operators may also be affected, both directly and indirectly.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office of Communications (Ofcom)	Modification to Consumer Protection Condition 1	Modifies Consumer Protection Condition 1 to rectify an error in the drafting of the condition with regard to the calculation of the contributions of postal operators to the qualifying consumer expenses of the Consumer Advocacy Bodies.	£0.0
Office of Communications (Ofcom)	Amended the Wireless Telegraphy Act (Licensing Charges for high duty cycle)	Introduces fees for high duty cycles.	£0.0
Office of Communications (Ofcom)	Amendments to the Wireless Telegraphy Act (Exemptions Regulations) – a number of decisions	Makes several products licence exempt.	£0.0
Office of Communications (Ofcom)	Changes to fixed link use in the paired bands 1350-1375 MHz and 1492-1517 MHz.	Changes fixed link use in the paired bands 1350-1375 MHz and 1492-1517 MHz.	-£7.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office of Communications (Ofcom)	New Spectrum for Audio PMSE	Allows Programme-making and special events equipment users to access spectrum in the band 960-1164 MHz on a shared basis with civil aviation, in order to compensate for spectrum set to be lost due to the change of use of the 700 MHz band.	£0.0
Office of Communications (Ofcom)	700MHz Clearance – Management of transitional coverage issues	Amends the code of practice providing guidance to broadcasters on minimising disruption during the 700 MHz clearance programme.	£0.0
Office of Communications (Ofcom)	VHF spectrum for the Internet of Things	Makes additional spectrum available in the very high frequency (VHF) band for Internet of Things applications, and develops a new Business Radio licence to make stakeholders aware that these can be used for Internet of Things spectrum usage.	£0.0
Office of Communications (Ofcom)	Decision to introduce licensing regime for Manually Configurable White Space Devices	Introduces a licensing regime for Manually Configurable White Space Devices.	£0.0
Office of Communications (Ofcom)	Mobile Trading Regulations	Includes the 1452 – 1492 MHz, 2350-2390 MHz, and 3410-3600 MHz bands in the Mobile Trading Regulations.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office of Communications (Ofcom)	Broadcast TV Technical Codes	Updates and amends the broadcast TV Technical Codes.	£0.0
Office of Communications (Ofcom)	Extension of Recognised Spectrum Access (RSA) for Receive-only Earth Stations (ROES)	Extends existing regulations to make Recognised Spectrum Access for Receive-Only Earth Stations available in two additional frequency bands, namely 7850 – 7900 MHz and 25.5 – 26.5 GHz.	£0.0
Office of Communications (Ofcom)	Procedures for the Management of Satellite Filings	Updates the guidance set out in our procedures to take into account changes to the international regulations that govern the process for satellite filings.	£0.0
Office of Communications (Ofcom)	Review of the approach to community radio Key Commitments	Streamlines and simplifies how Key Commitments are recorded in community radio licences.	£0.0
Office of Communications (Ofcom)	Review of future licensing and technical policy for community radio	Amends the current technical regulation of community radio stations, including coverage extensions and improvements to existing services.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office of Communications (Ofcom)	Revisions to data collection on VOD accessibility	Amends the way Ofcom collects and publishes data on the accessibility of regulated on demand service providers for those with hearing or sight difficulties. Also brings the type of data collected into line with that gathered for broadcast services.	£0.0
Office of Qualifications and Examinations Regulation (Ofqual)	Introduction of the Regulated Qualifications Framework and Total Qualification Time criteria	Removes the Regulatory Arrangements for the Qualifications and Credit Framework and introduces regulations concerning the level and size of qualifications - the Regulated Qualifications Framework and Total Qualification Time.	£0.5
Office of Qualifications and Examinations Regulation (Ofqual)	New Portal for awarding organisations (AO Portal)	Introduces a new IT system for gathering regulated qualification data from awarding organisations for our public register of qualifications.	-£2.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office of Qualifications and Examinations Regulation (Ofqual)	Guidance to the General Conditions of Recognition	Publishes new and amended guidance relating to General Conditions of Recognition.	£0.0
Office of Qualifications and Examinations Regulation (Ofqual)	Removal of the Code of Practice for GCSE, A & AS level qualifications and new requirements for Reviews of Marking/ Moderation and Appeals	Removes the Code of Practice for GCSE, A-level, and AS- level qualifications and implements new requirements for post-results services.	£2.5
Office of Qualifications and Examinations Regulation (Ofqual)	Removal of the regulations in Criteria for entry level qualifications	Removes the regulations in Criteria for entry level qualifications.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office of Qualifications and Examinations Regulation (Ofqual)	Revised specifications for reasonable adjustments in general qualifications	Publishes new and amended specifications in relation to the reasonable adjustment of general qualifications (under the Equality Act 2010).	£0.0
Office of the Immigration Services Commissioner	The new principle based Commissioner's Code of Standards	Condenses the Commissioner's Code and Rules into the Code of Standards and updates the Guidance Notes to reflect this change.	£0.0
Office of the Immigration Services Commissioner	The revised Continuing Professional Development scheme	Revises the Continuing Professional Development scheme to allow organisations to make more decisions and take more responsibility.	£0.0
Office of the Immigration Services Commissioner	Changes to the Level 1 competence assessment process	Changes the Level 1 Competence assessment process for candidates applying to become OISC regulated immigration advisers.	-£0.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Office of the Immigration Services Commissioner	The new electronic application process	Allows applicants to complete application forms online.	£0.0
Ofgem	Treatment of white label providers in the domestic retail market	Extends existing regulatory arrangements for white label providers in the domestic retail market.	£0.0
Ofgem	Decision to make modifications to the gas and electricity supply licences to reform the switching process for indebted prepayment meter customers – the Debt Assignment Protocol	Increases the debt level at which suppliers cannot prevent customers switching supplier from £200 to £500.	£0.0
Ofgem	Capacity Market Rules (2015)	Sets out how participation in the Capacity Market works, and amends the Rules in line with industry consultation and feedback.	-£0.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Ofgem	The smart meter roll-out: Observations on suppliers' rollout preparations	Provides guidance, shares lessons learned, and facilitates the rollout of smart meters.	£0.0
Ofgem	ECO2t Measures Table	Contains the information on specific eligible measures that suppliers can use to meet their Energy Company Obligation.	£0.0
Ofgem	Energy Company Obligation 2015-17 (ECO2) Guidance: Administration	Provides information about how the Energy Company Obligation team administer the scheme and about the processes that suppliers need follow to meet the requirements of the Energy Company Obligation 2 Order.	£0.0
Ofgem	Energy Company Obligation (ECO): Monitoring	Provides guidance to facilitate the effective implementation of the Energy Company Obligation scheme.	£0.0
Ofgem	Supplier Guaranteed and Overall Standards of Performance reforms	Replaces existing standards with a single revised regime providing performance standards on making and keeping appointments, fixing faulty meters and faulty prepayment, and reconnection after disconnection for unpaid charges. Also increases compensation payments and requires suppliers to publish how they have performed on their website.	£0.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament

Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Ofgem	Code Governance Review (Phase 3) Final Proposals	Introduces a number of reforms to the governance of industry codes to make sure that they work in the best interests of consumers.	£0.0
Ofgem	Reforming suppliers' meter inspection obligations	Repeals the two-yearly meter inspection licence conditions in gas and electricity.	£0.0
Ofgem	Guidance note on cooperation between competitors on the smart meter roll-out	Provides general guidance on the potential risks of breaching competition law.	£0.0
Ofgem	ECO2 Appropriate Methodologies	Details the methodologies that have been approved by the Energy Company Obligation team in the past, and gives suppliers the detail they need to be able to notify measures correctly for approval.	£0.0
Ofgem	ECO2t Appropriate Guarantees	Lists the appropriate guarantees for energy efficiency measures and is re-published each time a new guarantee is deemed appropriate.	£0.0
Ofgem	Capacity Market (CM) Rules (2016)	Amends the Capacity Market Rules in line with industry consultation and feedback.	-£1.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Ofgem	ECO2t HHCRO Templates to evidence private domestic premises	Helps suppliers and the supply chain determine whether a site falls into the category of domestic premises.	£0.0
Ofgem	ECO2t Open letter: Standards of installation for room-in-roof insulation	Provides suppliers with detailed information to identify the parts of a roof that need to be insulated.	£0.0
Ofgem	Directions issued by the Authority pursuant to paragraph 3 of Standard Licence Condition 32 (Reporting on Performance) of the electricity supply licence and of the gas supply licence	Provides updated guidance that specifies what information suppliers must provide to Ofgem, how this information should be submitted, and how to ensure its accuracy and consistency.	£0.0
Ofgem	ECO2 cavity wall U-value checklist	Creates a U-Value checklist to guide installers, and subsequently suppliers, on installing energy efficiency measures.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Ofgem	Decision to modify gas and electricity supply, electricity distribution and gas transporter licences for Priority Services Register arrangements	Introduces changes to make the non-financial services that are provided by energy companies to specific groups of domestic customers more effective.	£9.0
Ofgem	ECO2t Notification Template / Data Dictionary	Provides information to assist suppliers when they complete their monthly notifications of energy efficiency measures under Energy Company Obligations 2.	£0.0
Ofgem	ECO2t Measures Table Feb2017	Contains the information on specific eligible measures that suppliers can use to meet their Energy Company Obligation.	£0.0
Ofgem	Ofgem's approach to the closedown of ECO2 CSCO obligation	Provides guidance and information for the Energy Company Obligations 2t scheme to be administered effectively.	£0.0
Ofgem	ECO2t: Pre-existing loft insulation declaration	Provides suppliers with a means to comply with technical monitoring requirements, and to declare that the insulation in a property is in fact virgin loft insulation.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Ofgem	ECO2t: Notifying a supplier of a decision to refuse or revoke approval of a measure	Issues online guidance to explain to suppliers how a measure can be refused or revoked and what it would mean for them if it were to happen.	£0.0
Ofgem	ECO2t Appropriate Guarantees	Provides guidance to the obligated suppliers as to the rules that must be followed when installing and notifying energy efficiency measures.	£0.0
Ofgem	Transfer of role in approving Meter Installers: Decision to modify the gas supply licence and decision to direct the implementation of SPAA change proposal 16-349	Moves responsibility for ensuring that all meters are installed, and subsequently inspected by a person approved as being competent for that task, from Ofgem to Gas Suppliers themselves.	£0.0
Ofgem	ECO2t Help to heat group guidance note	Facilitates the effective implementation of the Energy Company Obligation scheme.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
Ofgem	ECO2t Electric storage heater assessment checklist	Facilitates the effective implementation of the Energy Company Obligation scheme.	£0.0
Ofgem	ECO2t Guidance: Delivery and Administration	Facilitates the effective implementation of the Energy Company Obligation scheme.	£0.0
Ofgem	ECO2t Boiler assessment checklist	Facilitates the effective implementation of the Energy Company Obligation scheme.	£0.0
Oil and Gas Authority	UKCS Stewardship Survey	Introduces a new annual UK Continental Shelf Stewardship Survey as a statutory requirement upon industry operators and licensees under the new regulatory powers.	£2.0
Oil and Gas Authority	OGA Guidance documents	Introduces and amends guidance to convey and clarify the procedures with regard to the Oil and Gas Authority's new and existing powers.	£0.0
Security Industry Authority	STeP (SIA Technology Platform)	Introduces a new IT system to enable the online application for, and management of, individual licences that are legally required in order to work in certain activities in the private security industry. This replaced a largely paper-based system.	-£0.5

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
The Pensions Regulator	Simplified automatic enrolment communications and provision of improved automatic enrolment guidance	Simplifies the language of the communications that The Pensions Regulator sends to all employers ahead of the date they become subject to the automatic enrolment legislation. Also simplifies the guidance content on The Pensions Regulator website and introduces a duties checker tool.	-£158.0
The Pensions Regulator	Investment guidance	Supports the trustee boards of private sector defined benefit or hybrid benefits pension schemes and sets out the main principles they should consider when setting out an investment strategy. Also sets out The Pensions Regulator's expectation that trustees suitably document investment arrangements that are appropriate for their scheme's circumstances, including their level of complexity.	£0.0
The Pensions Regulator	Defined contribution code of practice	Updates the code of practice for trustee boards of occupational defined contribution pension schemes.	£0.0
The Pensions Regulator	Scheme return changes	Introduces changes to the questions asked of trustees of occupational schemes in their periodic return to The Pensions Regulator.	£0.0

Table three: Qualifying Regulatory Provisions of listed regulators that came into force during the 2015-17 Parliament			
Listed regulator	Title of measure	Description of measure	Business Impact Target score (millions)¹³
The Pensions Regulator	Changes to information that needs to be sent to TPR about automatic enrolment	Communicates to employers and their advisers that, in certain circumstances, they do not need to provide the statutory information about how they have complied with their automatic enrolment duties until The Pensions Regulator asks them for it.	£0.0
The Pensions Regulator	Simplifying automatic enrolment for new employers created between 2 April 2017 and 30 September 2017	Considers treating all employers created in the staging period set out in legislation as if they have one of two staging dates only. All communications from The Pensions Regulator and enforcement will reflect the staging date assigned to the employer.	£0.0

Annex B: Non-Qualifying Regulatory Provisions

Under the provisions of the Small Business, Enterprise and Employment Act 2015 the Government is required to publish:

- A list of all the legislative Non-Qualifying Regulatory Provisions which have come into force or ceased to be in force (see tables four and five); and
- A summary of all the non-legislative, Non-Qualifying Regulatory Provisions which have come into force or ceased to be in force during the most recent reporting period (see Annex B sub-section entitled “Non-legislative Non-Qualifying Regulatory Provisions” on page 191).

For transparency purposes, where a legislative Non-Qualifying Regulatory Provision has an impact on business that is deemed as more than a low cost¹⁴, the Government has assessed the impact on business and included the Equivalent Annual Net Direct Cost to Business.

¹⁴ “Low cost” is anything that is justifiably expected to have impacts of less than £1 million in Equivalent Annual Net Direct Cost to Business terms.

Legislative Non-Qualifying Regulatory Provisions

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Cabinet Office	Transposition of 2014 EU Public procurement directives - Concessions	Transposes the EU Procurement Directive on Concessions Contracts into UK law.	EU Regulations, Decisions & Directives & other international obligations	£0.3
Cabinet Office	Transposition of 2014 EU Public procurement directives - Utilities	Transposes the EU Procurement Directive on Utilities Contracts into UK law.	EU Regulations, Decisions & Directives & other international obligations	£0.1
Department for Business, Energy and Industrial Strategy	Alternative Dispute Resolution	Makes it easier for consumers to access non-court resolution of problems.	EU Regulations, Decisions & Directives & other international obligations	£12.2

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	National Minimum Wage (Amendment) Regulations 2016 - Introducing the National Living Wage - April 2016	Introduces the National Living Wage from 1 April 2016 - a new premium of 50p on top of the adult National Minimum Wage, applying to workers aged 25 and over.	National Living Wage	£399.5
Department for Business, Energy and Industrial Strategy	The International Interests in Aircraft Equipment (Cape Town Convention) Regulations 2015	Reduces the risk to creditors of lending to airlines and leasing companies by creating a harmonised international legal framework.	EU Regulations, Decisions & Directives & other international obligations	£0.0
Department for Business, Energy and Industrial Strategy	Increased National Minimum Wage financial penalty calculation - 2016	Increases the penalty to be paid by an employer for underpaying a worker the National Minimum Wage from 100% of the underpayment to 200% (which falls by half for prompt payment).	Fines & penalties	£0.0

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	National Minimum Wage (Amendment) Regulations 2016 - non-Apprentice NMW uprating - October 2015	Upates the National Minimum Wage rates as recommended by the Low Pay Commission (October 2015). This excludes the apprentice rate which is quantified separately.	Misuse of Drugs Act & National Minimum Wage	£36.4
Department for Business, Energy and Industrial Strategy	People with Significant Control Protection Regime	Enables applications to be made to protect personal information about People with Significant Control who are at risk from violence or intimidation from public disclosure.	EU Regulations, Decisions & Directives & other international obligations	£4.7
Department for Business, Energy and Industrial Strategy	Pressure Equipment (amendment regulations) 2015	Amends the Pressure Equipment Regulations 1999 to implement Article 13 of the Pressure Equipment Directive 2014.	EU Regulations, Decisions & Directives & other international obligations	£0.2

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	Pyrotechnic Articles (Safety) Regulations 2015	Transposes Directive 2013/29/EU relating to the making available on the market of pyrotechnic articles (recast) and Commission Implementing Directive 2014/58/EU setting up a system for the traceability of pyrotechnic articles.	EU Regulations, Decisions & Directives & other international obligations	£0.7
Department for Business, Energy and Industrial Strategy	The Collective Management of Copyright (EU Directive) Regulations 2016	Transposes the EU Directive on collective management of copyright and related rights and multi-territorial licensing of rights in musical works for online use in the internal market.	EU Regulations, Decisions & Directives & other international obligations	£0.4

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	Transparency and Trust: Register of People with Significant Control Fees	Allows companies to reclaim reasonable costs for providing a copy of the company's own People with Significant Control register on request.	EU Regulations, Decisions & Directives & other international obligations	£10.0
Department for Business, Energy and Industrial Strategy	Transparency and Trust: Abolition of Bearer shares.	Abolishes Bearer Shares (an anonymous way of holding shares in a company which made them opaque and subject to abuse) as a type of share certificate.	EU Regulations, Decisions & Directives & other international obligations	£0.1
Department for Business, Energy and Industrial Strategy	Transparency and Trust: change to the application of directors duties to shadow directors	Changes to the way directors' duties apply to shadow directors making them similar to 'de-jure' directors.	EU Regulations, Decisions & Directives & other international obligations	£5.7

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	Transparency and Trust: People with Significant Control register	Requires companies to keep a register of their People with Significant Control. Information in the register will be made publicly available.	EU Regulations, Decisions & Directives & other international obligations	£108.7
Department for Communities and Local Government	Part R of the Building Regulations - Broadband Cost Reduction Directive	Transposes Article 8 of the European Directive (2014/1/EU) on measures to reduce the cost of deploying high-speed electronic networks.	EU Regulations, Decisions & Directives & other international obligations	£0.2
Department for Environment, Food and Rural Affairs	Amendments to environmental permitting guidance on waste incineration (small waste oil burners)	Bans the burning of waste oil as a fuel in heaters, requiring businesses to invest in alternative heaters, to help the UK meet the obligations of the Industrial Emissions Directive.	EU Regulations, Decisions & Directives & other international obligations	£27.3

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Environment, Food and Rural Affairs	Petrol Vapour Recovery definition in Schedule 18 of the Environmental Permitting regulations	Imposes requirements on petrol vapour recovery during the refuelling of motor vehicles at service stations.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Environment, Food and Rural Affairs	Regulations to enable owners of private water supplies to sell water to water companies	Increases competition in the water supply sector.	Pro-competition	£0.4
Department for Environment, Food and Rural Affairs	The Nagoya Protocol (Compliance) Regulations 2015	Enforces the EU Regulation that implements the Nagoya Protocol.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Environment, Food and Rural Affairs	Transitional National Plan (TNP) Regulations for Large Combustion Plant	Allows more time for large combustion plants to become compliant.	EU Regulations, Decisions & Directives & other international obligations	£0.0

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Environment, Food and Rural Affairs	Welfare of Animals at Time of Killing	Provides full enforcement powers in respect of Council Regulation 1099/2009 in England.	EU Regulations, Decisions & Directives & other international obligations	£6.0
Department for Transport	Amendments to the Railways (Interoperability) Regulations 2011	Amends Annexes 5 and 6 to reflect changes in the Railway Interoperability Directive.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Transport	Implementation of tachograph regulation	Implements EU Regulation 165/2014 on tachographs in road transport.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Transport	Merchant Shipping (Standards of Training, Certification and Watch keeping) Regulations 2015	Implements the Manila Amendments to the International Convention for the Standards of Training, Certification and Watch keeping for seafarers and transposed Directive 2012/35/EU on the minimum level of training of seafarers.	EU Regulations, Decisions & Directives & other international obligations	£7.4

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Transport	The Vehicle Drivers (Certificate of Professional Competence) (Amendment) Regulations 2015	Clarifies two exemptions and aligns minimum test vehicle requirements with those used for vocational driving tests.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Transport	Train Driver Licences and Certificate (Amendment) Regulations	Transposes amendments to the Train Driver Licensing Directive in light of operational experience.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Transport	The Motor Fuel (Composition and Content) (Amendment) Regulations 2015	Transposes EU Directive 2014/77/EU into the Motor Fuel (Composition and Content) Regulations. Revises the testing standards to determine the environmental technical specifications for petrol and diesel as required by the Fuel Quality Directive.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Work and Pensions	Control of Major Accident Hazard Regulations 2015	Transposes the EU Seveso III Directive into UK law.	EU Regulations, Decisions & Directives & other international obligations	£4.5
Department for Work and Pensions	Offshore Installations (Offshore Safety Directive) (Safety Case etc.) Regulations 2015	Transposes EU Directive 2013/30/EU on the safety of oil and gas operations into UK law.	EU Regulations, Decisions & Directives & other international obligations	£22.5
Department for Work and Pensions	Transposition of the recast of Directive 93/15/EEC (Directive 2014/28/EU) – Explosives for Civil Use (Civil Uses Directive)	Strengthens the conditions associated with placing explosives for civil use on the market.	EU Regulations, Decisions & Directives & other international obligations	£0.1
Department of Health	The Tobacco and Related Products Regulations 2016	Transposes the EU Tobacco Products Directive on various aspects of tobacco control.	EU Regulations, Decisions & Directives & other international obligations	£15.8

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
HM Treasury	Appointment of the Competent Authority for the Interchange Fee Regulation	Implements the EU Interchange Fee Regulation by appointing the Payment Systems Regulator as the lead competent authority and gives the Financial Conduct Authority co-competence for some provisions.	EU Regulations, Decisions & Directives & other international obligations	£0.0
HM Treasury	European Long-Term Investment Funds Regulation	Gives the FCA powers to direct and enforce the Regulation, clarifies that marketing under the regulation is permitted and that it is a criminal offence to mislead the FCA about complying with the Regulation.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
HM Treasury	Help to Match SMEs Rejected for Finance with Alternative Lenders	Requires the largest UK banks to refer small and medium sized businesses whose applications for finance they reject to designated platforms, thus facilitating contact with potential alternative financiers.	Pro-competition	£1.5
HM Treasury	The Mortgage Credit Directive Order 2015	Implements the Mortgage Credit Directive in the UK by introducing a framework of conduct regulation for mortgage lending to consumers, and moving second charge mortgage lending from the FCA's consumer credit regime to their mortgages regime.	EU Regulations, Decisions & Directives & other international obligations	£2.0

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
HM Treasury	SME Credit Information	Requires the largest UK banks to share small and medium sized businesses credit data with designated credit reference rating agencies which will be required to provide equal access for finance providers.	Pro-competition	£1.6
HM Treasury	Transparency Directive (Amending Directive (2013/50/EU))	Implements the EU Transparency Directive, which harmonises rules on how major shareholdings should be calculated, what instruments should be included, and minimum standards for sanctions regimes related to breaches of transparency requirements.	EU Regulations, Decisions & Directives & other international obligations	£0.7

Table four: Legislative Non-Qualifying Regulatory Provisions that came into force during the first Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
HM Treasury	Undertakings for Collective Investments in Transferable Securities (UCITS V) Directive	Implements the EU Undertakings for Collective Investments in Transferable Securities Directive, which sets new rules relating to the entities eligible to assume this role, their tasks, delegation arrangements and the depositaries' liability.	EU Regulations, Decisions & Directives & other international obligations	£5.4

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	UK implementation of the EU Directive on statutory audits of annual accounts and consolidated accounts	Implements the EU Directive on statutory audits of annual accounts and consolidated accounts which increases the scope of the application of the 2006 directive on audits to cover additional entities.	EU Regulations, Decisions & Directives & other international obligations	£24.7
Department for Business, Energy and Industrial Strategy	Non-financial reporting	Introduces reporting requirements to be included in the non-financial section of the annual report and accounts of Public Interest Entities.	EU Regulations, Decisions & Directives & other international obligations	£11.6
Department for Business, Energy and Industrial Strategy	EU Damages Directive	Improves private enforcement of European competition law.	EU Regulations, Decisions & Directives & other international obligations	-£0.8

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	Capacity Allocation and Congestion Management electricity network regulation	Ensures that inter-connectors in the EU are used efficiently and to support a competitive market operating with common rules for cross-border transfers.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	The Offshore Petroleum Production and Pipelines (Environmental Impact Assessment and other Miscellaneous Provisions) (Amendment) Regulations 2017	Transposes the requirements of the amended Environmental Impact Assessment Directive 2014/52/EU in relation to BEIS's legislative consent regimes for offshore oil / gas and pipe-line projects and onshore pipe-line developments. Also makes minor corrections to the Offshore Petroleum Licensing (Offshore Safety Directive) Regulations 2015 and amends the Offshore Petroleum Activities (Conservation of Habitats) Regulations 2001.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	The Electricity Works (Environmental Impact Assessments) (England and Wales) Regulations 2017	Transposes the revised Environmental Impact Assessment Directive 2014/52/EU in respect of the granting of consents by BEIS for consents under sections 36 and 37, and variations under section 36C, of the Electricity Act 1989.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Business, Energy and Industrial Strategy	Section 72 of Copyright, Designs and Patents Act	Amends section 72 of the Copyright, Designs and Patents Act 1988 in line with EU legislation.	EU Regulations, Decisions & Directives & other international obligations	£0.0
Department for Business, Energy and Industrial Strategy	Repeal of section 52 Copyright, Designs and Patents Act 1988	Repeals section 52 of the Copyright, Designs and Patents Act 1988 with an appropriate transitional period, reducing the copyright term for industrially manufactured artistic works.	EU Regulations, Decisions & Directives & other international obligations	£0.0

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	Posted Enforcement Workers Directive	Implements the Posted Workers Enforcement Directive 2014/67/EU, which puts in place measures to ensure that posted workers can enforce their rights in other EU Member States.	EU Regulations, Decisions & Directives & other international obligations	£0.0
Department for Business, Energy and Industrial Strategy	National Minimum Wage (Amendment) (No.2) Regulations 2016 - updating NMW rates (October 2016)	Uprates National Minimum Wage rates in line with the Low Pay Commission's October 2016 recommendations.	Misuse of Drugs Act & National Minimum Wage	£28.3
Department for Business, Energy and Industrial Strategy	National Minimum Wage (Amendment) Regulations 2017 - updating NLW and NMW (April 2017)	Uprates the National Living Wage rate and all National Minimum Wage rates in line with the Low Pay Commission's April 2017 recommendations.	Misuse of Drugs Act & National Minimum Wage and National Living Wage	£131.6

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Business, Energy and Industrial Strategy	Alignment of various EU directives with the New Legislative Framework	Aligns nine EU Directives to a framework of general principles and rules, which aims to make legislation on the Single Market for Goods clearer, more consistent, and more effective. Measures include: Lifts, Measuring Instruments and Non Automatic Weighing Instruments, Pressure Equipment, Electromagnetic Compatibility, Simple Pressure Vessels, Electrical Safety, and Equipment and protective systems for use on potentially explosive atmospheres.	EU Regulations, Decisions & Directives & other international obligations	£16.9

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Communities and Local Government	Amendments to the Planning (Hazardous Substances) Regulations 2015	Amends the Planning (Hazardous Substances) Regulations 2015 which list the hazardous substances and quantities of those substances which are controlled under the Planning (Hazardous Substances) Act 1990.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Communities and Local Government	Transposition of Environmental Impact Assessment Directive	Implements the EU Directive on the assessment of the effects of certain public and private projects on the environment.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Communities and Local Government	Rogue landlord provisions: civil penalties and rent repayment orders	Sets out a wider range of sanctions for local authorities to use in relation to existing criminal offences committed by landlords.	Fines & penalties	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Digital, Culture, Media and Sport	2006 licence conditions	Requires infrastructure owners to give high speed internet providers access to and information about physical infrastructure at a fair and reasonable price.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Digital, Culture, Media and Sport	EU open internet access Directive	Confers powers to Ofcom to implement the EU Directive including powers to monitor Internet Service Providers delivery under the Directive, to gather information on quality of service and internet speeds, to set up an enforcement mechanism, and to impose penalties for breaches.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Environment, Food and Rural Affairs	The Marketing of Fruit Plant and Propagating Material (England) 2017	Implements EU directives 96/2014, 97/2014, and 98/2014.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Environment, Food and Rural Affairs	The Environmental Impact Assessment (Agriculture) (England) (No.2) (Amendment) Regulations 2017	Adjusts the procedure that determines whether an Environmental Impact Assessment is required.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Environment, Food and Rural Affairs	The Marine Works (Environmental Impact Assessment) (Amendment) Regulations 2017	Implements EU Directive 2014/52/EU relating to environmental impact assessments concerning the marine environment in the UK marine area.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Environment, Food and Rural Affairs	The Environmental Impact Assessment (Land Drainage Improvement Works) (Amendment) Regulations 2017	Implements EU Directive 2014/52/EU relating to environmental impact assessments concerning land drainage works in England and Wales.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Environment, Food and Rural Affairs	The Environmental Impact Assessment (Forestry) (England and Wales) (Amendment) Regulations 2017	Implements EU Directive 2014/52/EU relating to environmental impact assessments concerning forestry projects in England and Wales.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Environment, Food and Rural Affairs	The Water Resources (Environmental Impact Assessment) (England and Wales) (Amendment) Regulations 2017	Implements EU Directive 2014/52/EU in relation to water management projects for agriculture, including irrigation projects, in England and Wales.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Environment, Food and Rural Affairs	Water Supply (Water Quality) Regulations 2016	Supersedes the Water Supply (Water Quality) Regulations 2010 and transposes the Euratom Directive (EU 2013/51).	EU Regulations, Decisions & Directives & other international obligations	£0.0

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Environment, Food and Rural Affairs	The Private Water Supplies (England) Regulations 2016	Supersedes the Private Water Supplies Regulations 2009 and transposes the Euratom Directive (EU 2013/51).	EU Regulations, Decisions & Directives & other international obligations	£0.0
Department for Environment, Food and Rural Affairs	The Waste (Meaning of Recovery) (Miscellaneous Amendments) Regulations 2016	Amends the distinction between a waste recovery facility and a waste disposal facility.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Environment, Food and Rural Affairs	The Environmental Permitting (England and Wales) Regulations 2016	Improves the protections for the treatment and disposal of fluorescent lamps that contain mercury.	EU Regulations, Decisions & Directives & other international obligations	£0.1
Department for Environment, Food and Rural Affairs	The Detergents (Amendment) Regulations 2016	Prevents consumer automatic dishwasher detergents that do not conform to EU Regulations from being placed on the market.	EU Regulations, Decisions & Directives & other international obligations	£0.0

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Transport	Railways Infrastructure (Access and Management) and (Licensing of Undertakings) Regulations 2015	Implements EU requirements relating to railways service provisions.	EU Regulations, Decisions & Directives & other international obligations	£0.0
Department for Transport	Changes to bus market legislation	Provides additional partnership options and franchising powers to Local Transport Authorities.	Pro-competition	£36.0
Department for Transport	Changes to bus service registration requirements	Reduces the threat of anti-competitive behaviour by incumbent bus operators, which deters other potential operators from entering the market.	Pro-competition	£0.3

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Transport	Amendment to Air Navigation (Dangerous Goods) Regulations 2002	Updates the Air Navigation (Dangerous Goods) Regulations 2002 in line with the most recent version of The International Civil Aviation Organisation's Technical Instructions for the Safe Transport of Dangerous Goods.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Transport	The Civil Aviation (Denied Boarding, Compensation and Assistance and Access to Air Travel for Disabled Persons and Persons with Reduced Mobility) (Amendment) Regulations 2016	Designates two specific Alternative Dispute Resolution bodies as complaint handlers under the denied boarding and disability access regulations.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Transport	Regulations to increase maritime liability limits	Implements new liability limits for shipping incidents, as adopted by the International Maritime Organisation.	EU Regulations, Decisions & Directives & other international obligations	£0.0
Department for Transport	Merchant Shipping and Fishing Vessels (Protection from Electromagnetic Fields at Work) Regulations	Implements Directive 2013/35/EU on the protection of workers from risks related to exposure to electromagnetic fields at work for seafarers.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
Department for Transport	Amendment to EU General Circulation Directive	Allows extra length for manufacturers to design more fuel efficient, aerodynamic, and safer vehicles without loss to load space. Also allows up to one tonne extra weight for heavier alternative fuel technologies.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department for Transport	Merchant Shipping (Marine Equipment) Regulations 2016	Transposes Directive 2014/90/EU on marine equipment.	EU Regulations, Decisions & Directives & other international obligations	£0.0
Department for Work and Pensions	The Control of Electromagnetic Fields and Work Regulations 2016	Sets minimum health and safety requirements to protect workers from the risks arising from exposure to Electromagnetic fields in the workplace.	EU Regulations, Decisions & Directives & other international obligations	£1.7
Department for Work and Pensions	Amendment to the International Maritime Organisation (IMO) Freight Containers (Safety Convention) Regulations 1984	Updates current regulations to reflect amendments to the International Maritime Organisation International Convention for safe containers.	EU Regulations, Decisions & Directives & other international obligations	£0.2

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
Department of Health	Fees for Tobacco Products	Introduces fees for the notification and testing of tobacco products.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
HM Treasury	Payment Accounts Directive	Implements the Payment Accounts Directive, which sets common regulatory standards across EU Member States.	EU Regulations, Decisions & Directives & other international obligations	£10.9

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
HM Treasury	The Financial Services and Markets Act 2000 (Market Abuse) Regulations 2016	Implements the EU's Market Abuse Regulation, which updates the existing EU civil market abuse regime by expanding the scope to cover new trading platforms and instruments, abuse in commodity and related derivative markets, and the manipulation of benchmarks such as LIBOR.	EU Regulations, Decisions & Directives & other international obligations	£0.0
HM Treasury	Banking Recovery and Resolution Directive Implementation	Clarifies and strengthens the UK's transposition of the EU Banking Recovery and Resolution Directive.	EU Regulations, Decisions & Directives & other international obligations	£0.0

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament				
Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
HM Treasury	FPC powers of direction in the buy-to-let market	Grants the Financial Policy Committee (FPC) powers of direction relating to loan-to-value ratios and interest coverage ratios in the buy-to-let market.	Systemic financial risk	£0.4
HM Treasury	Reforms to the investment bank special administration regime (SAR)	Reforms the special administration regime to make it simpler and more robust.	Systemic financial risk	-£4.7
HM Treasury	Amendment to the Companies Act (2006) - Part 23 (Distributable Profits)	Updates the Companies Act to reflect new insurance rules.	Systemic financial risk	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
HM Treasury	The Financial Services and Markets Act 2000 (Transparency of Securities Financing Transactions and of Reuse) Regulations 2016	Ensures that supervisors have the necessary powers to enforce the Securities Financing Transactions EU Regulation.	EU Regulations, Decisions & Directives & other international obligations	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Table five: Legislative Non-Qualifying Regulatory Provisions that came into force during the second Business Impact Target reporting period of the 2015-17 Parliament

Department / listed regulator	Title of measure	Description of measure	Business Impact Target exemption	Equivalent Annual Net Direct Cost to Business (millions)
HM Treasury	The Financial Services and Markets Act 2000 (Ring-fenced Bodies, Core Activities, Excluded Activities and Prohibitions) (Amendment) Order 2016	Provides technical amendments to aid the implementation of the ring-fencing regime.	Systemic financial risk	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision
HM Treasury	Including payment institutions as 'participants' under the Settlement Finality Regulations	Provides HMT with the powers to bring payment institutions - non-bank payment service providers such as some 'fintech' firms – within the scope of the Settlement Finality Regulations.	Pro-competition	Confirmed by RPC as a low cost Non-Qualifying Regulatory Provision

Non-legislative Non-Qualifying Regulatory Provisions

Animal and Plant Health Agency (APHA)	
Summary of activity	Business Impact Target Exemption
<p>Measures have been implemented that have not changed the regulatory requirements but allowed greater use of scientific interpretation of risks.</p> <p>New rules have been introduced to adhere to EU legislation replacing species-specific rules and processes.</p> <p>Registration that was previously verbal now requires submission of a signed application form containing details of the land to be used by a farm business. This affects livestock keepers who use land on a temporary basis in England and around 3000 applications have been processed in the first 6 months.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
<p>No activity undertaken during the period.</p>	<p>Economic Regulation</p>
<p>No activity undertaken during the period.</p>	<p>Price Control</p>
<p>Avian Influenza (High Pathogenic Avian Influenza (HPAI)) in England and Wales which involved measures being put in place to prevent spread of disease between January and April 2017.</p> <p>APHA also dealt with an incident involving Asian hornets in 2016 which pose a serious threat to UK native bee colonies and also Sweet Chestnut blight in 2017, producing joint guidance with the Environment Agency.</p>	<p>Civil Emergencies</p>
<p>While APHA use administrative and cross-compliance penalties in some work areas to improve the level of compliance with disease control legislation and in support of compliant businesses, there have been no new measures introduced or any amendments to existing processes in this period.</p>	<p>Fines and Penalties</p>

Animal and Plant Health Agency (APHA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
No activities in this section represent a change to the burden of regulation on business.	Casework
Hosted 40 Events.	Education, communications and promotion
No activity undertaken during the period.	Activity related to policy development

Animal and Plant Health Agency (APHA)	
Summary of activity	Business Impact Target Exemption
Organisational Reform project undertaken aimed at designing a new operational structure to implement and embed a sustainable, effective, and integrated field service and specialised administration functions.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/animal-and-plant-health-agency-business-impact-target-2015-to-2017	

Animals In Science Regulation Unit (ASRU)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Animals In Science Regulation Unit (ASRU)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>ASRU carries out inspections each calendar year and takes individual project licensing decisions and individual licensing decisions each year. It also undertakes non-compliance investigations. No activities listed in this section represent a change in the burden of regulation placed on business.</p> <p>ASRU publishes a comprehensive report of its activities annually and these reports can be found at: https://www.gov.uk/government/collections/animals-in-science-regulation-unit-annual-reports</p>	Casework
Four guidance documents have been updated and amended during the period.	Education, communications and promotion
No activity undertaken during the period.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/collections/animals-in-science-regulation-unit-annual-reports	

British Hallmarking Council (BHC)	
Summary of activity	Business Impact Target Exemption
<p>A process was devised by the BHC's Technical Committee for considering the equivalence of EEA hallmarks and work with the RD was completed in 2015.</p> <p>The Retailers Guide to Recognised Hallmarks was amended in 2016 to include Spanish hallmarks recognised under the Council's recognition procedure.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
<p>No activity undertaken during the period.</p>	<p>Economic Regulation</p>
<p>No activity undertaken during the period.</p>	<p>Price Control</p>
<p>No activity undertaken during the period.</p>	<p>Civil Emergencies</p>
<p>One of the BHC's statutory functions is to consider applications to open Assay sub-offices. Six sub-Assay Offices were approved in the period, one of which is in India.</p>	<p>Fines and Penalties</p>
<p>No activity undertaken during the period.</p>	<p>Pro-competition</p>
<p>No activity undertaken during the period.</p>	<p>Large Infrastructure projects</p>

British Hallmarking Council (BHC)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>BHC's procedure was invoked by a retailer in 2015. Such complaints are considered by the Council's Technical Committee which makes a recommendation to the Council. In this case, the complaint was not upheld. The procedure was not invoked in 2016.</p> <p>BHC's primary function is to ensure that there are adequate facilities for hallmarking as required in the UK from time to time. The Royal Mint conducts annual inspections of the Assay offices and provides a copy of their report to the Council, which assists the Council in assessing its performance in respect of this function. The Royal Mint conducted its inspection of the hallmarking and assaying operations of the four Assay Offices in October 2015 and concluded that their methods and procedures were satisfactory.</p>	Casework
<p>With the support of the Assay Offices, BHC ran the Touchstone Award twice.</p> <p>The Assay Offices produced guidance on the Hallmarking Act 1973 which included updated guidance on the hallmarking of mixed metals.</p> <p>BHC received numerous queries from members of the public, usually relating to the application of hallmarking law or concerns about jewellery purchased which did not appear to be hallmarked.</p>	Education, communications and promotion

British Hallmarking Council (BHC)	
Summary of activity	Business Impact Target Exemption
BHC implemented the recommendations of the Triennial review by establishing and publishing a risk register. BHC also reviewed and agreed the list of approved hallmarks put together by NMRO/RDD/BEIS.	Activity related to policy development
During the period the Chairman, Christopher Jewitt, and Secretary, Geraldine Swanton, stood down and were replaced by Noel Hunter as Chairman and Katrina Ritters as Secretary.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/british-hallmarking-council-business-impact-target	

Care Quality Commission (CQC)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Care Quality Commission (CQC)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>During the period, CQC completed 34,998 registration processes which included new registration of services, cancellations, and variations in registration.</p> <p>CQC undertook programme inspections of 15,293 adult social care locations, 4,110 GP practices, 988 dental practices, 210 NHS hospital trusts, and 306 independent hospital trusts.</p> <p>Its National Customer Service Centre received 259,735 calls as well as e-mails, letters, and online contacts.</p> <p>CQC took 1,090 enforcement actions including 828 serving warning notices, 80 non-urgent cancellations of registration, 68 urgent procedures for suspension, variation or imposition, or removal of conditions, 49 non-urgent variations or imposition or removal of conditions, and issued 55 fixed penalty notices.</p>	Casework
<p>CQC regularly engaged with providers, including those that were businesses and the public.</p> <p>On its strategy (March 2015 - May 2016), it received in the final round of engagement a total of 768 consultation responses, including responses from 359 health and adult social care providers, 142 members of the public, 42 representatives from the voluntary and community sector, and 33 CQC staff members.</p> <p>CQC spoke to more than 300 people at discussion events and ran 12 half-day events across England.</p>	Education, communications and promotion

Care Quality Commission (CQC)	
Summary of activity	Business Impact Target Exemption
<p>CQC undertook five thematic reviews reporting on people’s experiences of particular services. These included reviews of care for diabetes, end-of-life, integrated care for older people, mental health crisis, and independent ambulance services. None of the thematic reviews led to changes to or clarification of guidance issued to businesses.</p> <p>CQC also published the sixth annual monitoring report on how hospitals and care homes in England are using the Deprivation of Liberty Safeguards.</p>	<p>Activity related to policy development</p>
<p>CQC made 31 changes related to internal handbooks written for its inspectors that do not directly require providers of care, including businesses, to do anything.</p> <p>There were also changes in CQC internal processes and systems that do not directly affect providers, including businesses.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator’s full NQRP summary can be found at: http://www.cqc.org.uk/about-us/transparency/business-impact-target</p>	

Charity Commission	
Summary of Activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-Competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Charity Commission	
Summary of Activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
<p>Supporting the sector-led Charity Governance Code which is owned by an independent coalition of charity sector bodies. Following the Code is not a regulatory requirement but we encourage all charities to sign up to it and apply it proportionately to their circumstances.</p> <p>The Code Steering Group made no changes to the Code during this reporting period. (A new version was launched in July 2017.)</p>	Industry Codes
<p>Falls into five broad categories of: registration – over 8,000 applications per year, advice and permissions – granting permission for charities to, among other things, sell property and pay trustees, regulatory compliance - looking into concerns raised about charities and taking action to put charities back on track, statutory inquiry (investigation) including use of certain powers, monitoring - proactive work to anticipate problems, desk-based research, corresponding with or interviewing trustees, visiting the charity’s premises, and inspecting books and records.</p> <p>Conducted several thousand cases each year. Details for each financial year are given in the annual report.</p> <p>No activities listed in this section represent a change in the burden of regulation placed on charities.</p>	Casework

Charity Commission	
Summary of Activity	Business Impact Target Exemption
<p>The Commission publishes news stories on its website and a quarterly newsletter, Charity Commission News.</p> <p>The Commission also publishes alerts and warnings about particular risks or vulnerabilities which could affect charities and their operations. In some cases it publishes decisions it has made, statements on live cases, and reports on completed inquiries and other regulatory cases.</p> <p>The Commission organises public meetings in different locations each year, providing update on the Commission’s activities and a chance to meet and put questions to senior staff. Commission staff also participate in seminars and conferences organised by charities and other organisations.</p> <p>None of the material produced creates a new regulatory standard that charities will be expected to follow. Attendance at educational and promotional events is not compulsory.</p>	<p>Education, communications and promotion</p>
<p>The Commission conducted 10 formal consultations during the reporting period, and other informal consultations. These included consultations on draft guidance, and our policy approach to using new powers under the Charities (Protection and Social Investment) Act 2016.</p> <p>The Commission commissioned research into public trust and confidence in charities (2016) and trust and confidence in the Commission (2015, 2017) and partnered with Cass Business School to conduct research into charity trusteeship (2016-17, awaiting publication).</p> <p>In early 2016 the Commission launched a beta version of a new charity registration portal Apply to Register a Charity (ARC). Testing and development are ongoing.</p>	<p>Activity related to policy development</p>

Charity Commission	
Summary of Activity	Business Impact Target Exemption
<p>The Commission has been undertaking a significant change programme throughout the reporting period. This has included restructuring and reorganisation of our Registration, Operations, Investigations and Policy and Communications functions. We have published an updated risk framework and Statement of Regulatory Approach. Other changes include the appointment of a new Chief Operating Officer and new Board members.</p> <p>These changes have no direct impact on charities in terms of any change in the burden of regulation placed on them.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/business-impact-target-bit-reporting-2015-2017</p>	

Civil Aviation Authority (CAA)	
Summary of activity	Business Impact Target Exemption
Implemented new or changed obligations and published guidance under a number of EU or international regulations, but no activities within this exemption placed an additional burden on business beyond those required under the legislation.	EU Regulations, Decisions and Directives and other international obligations
<p>Issued guidance to stakeholders on the CAA's approach to the enforcement of economic regulation in the sector.</p> <p>Continued economic regulation of Heathrow and Gatwick Airport Ltd.</p> <p>Issued documents on how the CAA will adapt its existing approach to economic regulation to facilitate the planning and delivery of the new runway at Heathrow.</p> <p>Monitored the Resilience condition for Heathrow and Gatwick, which is a condition in the licences and flows from the CAA's economic regulation duties.</p> <p>Monitored compliance on the service standards set under Heathrow and Gatwick's economic licence.</p> <p>Continued monitoring of NATS en-route plc's compliance with the licence.</p> <p>Worked on reforms to the NERL Licence.</p> <p>Implemented the recommendations of the independent enquiry into a December 2014 NATS system failure.</p>	Economic Regulation
Consultation on extension of the Q6 price control for Heathrow.	Price Control

Civil Aviation Authority (CAA)	
Summary of activity	Business Impact Target Exemption
Preparing for the next price control for the economic regulation of NATS.	
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
No activities undertaken which represent a change in the burden of regulation placed on business.	Casework

Civil Aviation Authority (CAA)	
Summary of activity	Business Impact Target Exemption
None of the material produced created a new regulatory standard that businesses will be expected to follow.	Education, communications and promotion
<p>Consulted on the development of aviation competition (runway policy).</p> <p>Reviewed surface access to airports and advice to airports on consumer and competition law.</p> <p>Reviewed requirements for Air Travel Organiser's Licence (ATOL) holders with regards to corporate governance structures.</p> <p>Consulted on updates to the UK Air Navigation Order (ANO).</p> <p>Consulted on medical standards for UK private pilots.</p> <p>Consulted on the changes to standards for competence of rescue & fire-fighting services at airports.</p> <p>Carried out a Post Implementation Review of enhancements introduced following the CAA's review of UK civil air displays, following the accident at the Shoreham air show in August 2015.</p> <p>Made two Consultations on the CAA's improved airspace change decision-making process.</p> <p>Looked at the issues the aviation industry faces prior to any new runway capacity being delivered.</p> <p>Undertook an independent review to try and understand the root causes of disruption at Gatwick.</p>	Activity related to policy development

Civil Aviation Authority (CAA)	
Summary of activity	Business Impact Target Exemption
<p>Internal re-organisation of the General Aviation Unit to create a business delivery function to facilitate the implementation of Performance Based Regulation and improve operating efficiency.</p> <p>Merger of the Consumer Protection Group and the Markets and Consumers Group and the formation of a new ATOL Compliance team.</p> <p>Internal re-organisation of the Shared Service Centre to include two further services areas.</p> <p>Review of the Business Management department to integrate teams to improve coordination and support.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found at: https://www.caa.co.uk/Our-work/About-us/Better-Regulation/</p>	

Claims Management Regulation Unit (CMRU)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
CMR fined businesses over £3.1 million in total during the period for a range of rule breaches.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Claims Management Regulation Unit (CMRU)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
During the period, CMR started 147 investigations, cancelled 133 licences, issued 435 warnings, carried out 688 audits, conducted 2,135 visits, and contacted 11,503 consumers.	Casework
CMR published Annual Reports, updated its website, issued regular quarterly business bulletins, held regular quarterly stakeholder group meetings, and responded to media enquiries and articles.	Education, communications and promotion
CMR conducted policy reviews on the level of fees business should charge customers and the level of fees business pay for authorisation, and issued consultation papers on these. Also took part in policy reviews to tackle nuisance/cold calling and fraudulent personal injury claims via various Government task forces/groups.	Activity related to policy development
Following a review of CMR, responsibility for regulating Claims Management Companies will be transferred from MoJ/CMR to the Financial Conduct Authority, to implement a new regulatory regime.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/claims-management-regulation-business-impact-target-assurance-statement	

Coal Authority	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	All Business Impact Target exemptions

Commissioner of Irish Lights	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	All Business Impact Target exemptions

Companies House	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
Compliance action taken against Directors who do not file their annual accounts and confirmation statement (annual return) on time.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Companies House	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
Enforcement action taken against directors who do not meet their legal obligations.	Casework
Held 27 first time director seminars, 16 legislative seminars, 37 exhibitions, and four IFA/Reuters/Iris World/AAT/ACCA conferences. Published 13 news stories on the website in 2015/16 with three events planned during 2016/17.	Education, communications and promotion
Work on enabling a digital application to close a company (form DS01 - striking off application by a company). The online DS01 is currently available to a limited number of invited customers as part of a 'private beta' testing. Work is also being carried out to enable companies to update their records electronically for filings that can currently only be done on paper, including digitally enabling share capital forms, 'promise to file', and other forms.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/companies-house-business-impact-targets	

Competition & Markets Authority (CMA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
During the reporting period, the CMA published two guidance documents relating to redress schemes as follows, "Competition law redress: a guide to taking action for breaches of competition law" and "Approval for voluntary redress schemes for infringements of competition law".	Fines and Penalties

Competition & Markets Authority (CMA)	
Summary of activity	Business Impact Target Exemption
<p>During the reporting period, the CMA made Orders as a result of seven previous market investigations, and revoked one obsolete Order, as follows:</p> <p>Payday Lending Market Investigation Order 2015 Revocation of Credit Cards (Merchant Acquisition) Order 1990 Orders implementing the Supply or acquisition of aggregates, cement, and ready-mix concrete market investigation Groceries (Supply Chain Practices) Market Investigation Order Private Motor Insurance Market Investigation Order Orders implementing remedies under the Domestic Bulk LPG Market Investigation Orders implementing remedies under the Energy Market Investigation Orders implementing remedies under the Retail Banking Market Investigation</p>	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Competition & Markets Authority (CMA)	
Summary of activity	Business Impact Target Exemption
<p>During the reporting period the CMA launched, reached a significant milestone in, or closed 16 anti-trust and civil cartel enforcement cases as follows:</p> <ul style="list-style-type: none"> Property sales and lettings investigation Private ophthalmology Paroxetine investigation Bathroom fittings sector Commercial catering equipment sector Sports equipment sector Online sale of posters and frames Phenytoin sodium capsules: suspected unfair pricing Conduct in the modelling sector Supply of galvanised steel tanks for water storage: civil investigation Suspected anti-competitive behaviour in the funfair sector Cleanroom laundry services and products Light fittings sector Residential estate agency services Hydrocortisone tablets Supply of products to the furniture industry <p>During the reporting period the CMA launched or secured undertakings/commitments in six cases using its Consumer Enforcement powers:</p> <ul style="list-style-type: none"> Fake online reviews Groceries pricing Misleading online practices: non-disclosure of paid endorsements Online Gambling investigation 	<p>Casework</p>

Competition & Markets Authority (CMA)	
Summary of activity	Business Impact Target Exemption
<p>The CMA Issued 42 advisory letters (relating to 20 cases and complaints) and 145 warning letters (relating to 29 cases and complaints) to businesses where there were concerns about possible law-breaking, and to promote compliance.</p> <p>Under the merger control regime, the CMA considers facts and circumstances specific to each case under the relevant statutory tests. The outcome of each case is in relation to the individual companies related to the merger in question. The CMA cannot set policy for markets through its mergers work.</p> <p>At Phase 1, CMA made 19 references to Phase 2, 80 unconditional clearances, 21 Undertakings in Lieu of Reference decisions. Seven were de minimis clearances and three were found not to qualify. One case was abandoned after an SLC finding.</p> <p>At Phase 2, CMA made one prohibition, eight clearances, and five impositions of remedies (two behavioural and four requiring divestment).</p> <p>CMA have varied one and released 62 merger undertakings, and released two market undertakings.</p> <p>CMA started consideration or made a determination in five regulatory appeals:</p> <p>Energy price control appeal: Northern Powergrid Energy price control appeal: British Gas Trading Bristol Water plc price determination Superfast broadband price control appeals: British Telecommunications and TalkTalk Telecom Group Leased lines price control appeals: CityFibre and TalkTalk</p>	

Competition & Markets Authority (CMA)	
Summary of activity	Business Impact Target Exemption
<p>Published a free 'e-learning' tool to help procurement officers identify potential anti-competitive behaviour in their supply chains.</p> <p>Published information on how companies can pay merger fees and how the mergers intelligence function works.</p> <p>Published information on the CMA's policies on data breaches, transparency, and disclosure and templates for confidentiality rings and disclosure rooms.</p> <p>Published information on the CMA's approach to its consumer protection powers.</p> <p>Published information on how the CMA will work with Ofwat during water and sewerage mergers.</p> <p>Organised a number of opportunities to speak at business-facing events across the UK on compliance issues.</p>	<p>Education, communications and promotion</p>
<p>Launched, updated, or published final reports on 11 projects:</p> <ul style="list-style-type: none"> Commercial use of consumer data report Online reviews and endorsements findings report Short term car hire in the EU report Response to Which? Super-complaint on grocery pricing Public Transport Ticketing Schemes Block Exemption Report Passenger Rail Services Policy document Energy Market Investigation Report Retail Banking Market Investigation Report Care homes market study launch Legal services market study report Digital comparison tool market study 	<p>Activity related to policy development</p>

Competition & Markets Authority (CMA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/business-impact-target-bit-cma-reporting-2015-to-2017	

Drinking Water Inspectorate	
Summary of activity	Business Impact Target Exemption
Issued information letters, “Radon Aspect (IL 05/2016)”, and “Publication of research: Understanding the implications of the European requirements relating to Radon in drinking water’ (IL 05/2015)”.	EU Regulations, Decisions and Directives and other international obligations
<p>Updated code of practice on technical aspects of fluoridation of water supplies 2016 (IL 01/2016).</p> <p>Issued guidance on the use of ultra-violet (UV) irradiation for the disinfection of public water supplies.</p> <p>Undertook research into the health effects of Chromium VI (IL 04/2015).</p> <p>Published ‘Issue of Chromium VI’ (IL 02/2017).</p> <p>Wrote an Information Letter (IL 05/2016) to the water industry on non-radon aspects outlining new requirements for companies applying for radioactivity monitoring waivers under new Regulations.</p> <p>Wrote an Information Letter (IL 03/2015) on Regulation 31 approval of products and substances intended for disinfestation, disinfection, or cleaning agents of waterworks apparatus and distribution systems.</p>	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties

Drinking Water Inspectorate	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
Served 220 Legal Instruments and issued 28 Radioactivity Notices to water companies.	Casework
No activity undertaken during the period.	Education, communications and promotion
No activity undertaken during the period.	Activity related to policy development

Drinking Water Inspectorate	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: http://www.dwi.gov.uk/about/better-reg/index.html	

Driver & Vehicle Licensing Agency (DVLA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	All Business Impact Target exemptions

Driver & Vehicle Standards Agency (DVSA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
Updated four pieces of guidance on Enforcement sanctions.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Driver & Vehicle Standards Agency (DVSA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>In 2015-16, DVSA encountered over 189,000 LGV vehicles and drivers at the roadside, and carried out over 3,200 non roadside checks.</p> <p>Issued over 4,058 fixed penalty notices on the new lorry Road User Levy for foreign operators.</p> <p>355 individuals were arrested by the Police as a result of DVSA investigations, 202 individuals received a police caution, 69 individuals were convicted of offences in the courts, and DVSA revoked 111 driving licences.</p> <p>There were 98 reported cases of illegal driving instruction and 14 individuals were arrested by the Police. 6 individuals received a police caution and 4 received a court conviction.</p> <p>19 LGV Operators, 5 PSV Operators, and 11 MOT garages were investigated, resulting in 69 prosecutions, 66 referrals to the Traffic Commissioner for Public Inquiry, and the removal of 20 MOT Authorised Examiners or Authorised Testers.</p> <p>Issued over 4000 fixed penalty notices on the Road User Levy.</p>	Casework

Driver & Vehicle Standards Agency (DVSA)	
Summary of activity	Business Impact Target Exemption
<p>In 2015-16, DVSA issued 10 Guidance notes that were educational in, for example, telling people about how DVSA operates, or giving advice on aspects of driving and vehicle safety that businesses would find useful.</p> <p>Worked with the freight/logistics and passenger carrying industries to improve driver recruitment by regulatory change and other measures.</p> <p>Worked with the Institute of the Motor Industry, the Retail Motor Industry Federation, City and Guilds, and ABC Awards to help produce a set of national occupational standards for MOT testers and managers.</p> <p>Issued updated guidance on the fit and proper criteria so that those regulated by DVSA better understand the criteria to become and remain an Approved Driving Instructor.</p> <p>Worked closely with stakeholders and trade member organisations to publicise specific van safety messages.</p> <p>In 2016-17, DVSA issued a further three educational guidance updates providing industry with 'how to' guides.</p>	<p>Education, communications and promotion</p>

Driver & Vehicle Standards Agency (DVSA)	
Summary of activity	Business Impact Target Exemption
<p>In 2015-16, DVSA developed plans to respond to the November 2015 Spending Review announcement and the Motoring Services Strategy consultation undertaken between November 2015 and January 2016.</p> <p>Completed a trial on changes to the car practical test to assess whether candidates felt better prepared for driving independently. Set out plans to improve motorcycle training, in particular Compulsory Basic Training (CBT).</p> <p>Continued a programme to take large goods vehicle testing closer to the customer.</p> <p>Continued to develop an earned recognition scheme, which will formally recognise exemplar operators with a strong track record of compliance.</p> <p>Set out plans to improve motorcycle training, in particular CBT for learner motorcyclists. Introduced changes to the CBT standards check and piloted unannounced CBT compliance visits.</p> <p>Produced a set of national occupational standards for MOT testers and managers.</p> <p>Worked with stakeholders and the European Commission to modernise roadside inspection processes ahead of meeting the Roadside Inspection Directive by May 2018.</p> <p>Worked with DfT to ensure that all preparations are in place ahead of the necessary legislative changes for the introduction of fixed penalty notice/financial deposit procedures for historical drivers' hours offences.</p> <p>Continued to develop proposals for an ADI earned recognition scheme and to replace the current ADI part 3 test with the ADI standards check.</p> <p>In 2016-17, DVSA consulted on modernising motorcycle training, piloted the delivery of Driver CPC (module 4) testing by delegated examiners during the year, developed and piloted a new approach to the car practical test, and published a consultation on changing the first MOT date to 4 years in January 2017.</p>	<p>Activity related to policy development</p>

Driver & Vehicle Standards Agency (DVSA)	
Summary of activity	Business Impact Target Exemption
Gareth Llewellyn became Chief Executive in April 2016.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/dvsa-business-impact-target-2015-to-2017	

Drugs Licensing Unit (DLU)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Drugs Licensing Unit (DLU)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
The Drugs Licensing Unit issued controlled drugs and precursor chemicals import and export licences and controlled drugs and precursor chemicals domestic licences. Data for these non-qualifying regulatory provisions are published quarterly. The most recent data set can be found at: https://www.gov.uk/government/publications/home-office-august-2017	Casework
No activity undertaken during the period.	Education, communications and promotion
No activity undertaken during the period.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/home-office-august-2017	

Employment Agencies Standards Inspectorate (EAS)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Employment Agencies Standards Inspectorate (EAS)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
In 2016/17, EAS received 826 complaints and issued 290 warning notices covering 36,140 workers. EAS also conducted 5 targeted intelligence lead operations and a further 3 joint operation with HMRC National Minimum Wage team and the Gang masters Labour Abuse Authority. EAS recovered over £57K for workers.	Casework
No activity undertaken during the period.	Education, communications and promotion
No policy measures have been enacted during the period although EAS have contributed to the development of the Director of Labour Market Enforcements Strategy via the 2016 Immigration Act and have been involved in the Independent Review into Modern Working Practices headed up by Matthew Taylor.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/employment-agencies-standards-inspectorate-business-impact-target	

Environment Agency (EA)	
Summary of activity	Business Impact Target Exemption
<p>Introduced a cost-benefit analysis tool to inform applications for derogation from the requirements of the IED.</p> <p>Updated Regulatory Guidance Note No.2 'Understanding the meaning of regulated facility' to provide interpretation and clarification of the Directive's requirements.</p> <p>Published guidance and forms on the Transitional National Plan trading scheme for operators of large combustion plants, such as power stations.</p> <p>Published updated performance standards for continuous ambient air quality monitoring systems in accordance with the requirements of Directive 2008/50/EC on ambient air quality and cleaner air for Europe.</p> <p>Re-focussed compliance resource using a waste stream approach to ensure compliance with separate collection directed at collectors, major mixed dry recyclables (MDR) producers and sites accepting MDR.</p> <p>Introduced quality protocol checker tools for outputs from anaerobic digestion and pulverised fuel ash.</p> <p>Published revised guidance on assessing permit applications for the recovery of waste on land to better align with the EU Waste Framework Directive definition.</p> <p>Published a methodology that sets out how a substance is determined as hazardous for the purpose of the Groundwater Directive.</p> <p>None of these changes of European origin place additional burdens on business beyond those required under EU legislation.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
<p>No activity undertaken during the period.</p>	<p>Economic Regulation</p>

Environment Agency (EA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
Introduced a new redress scheme to give the victims of environmental crime the right to review decisions not to prosecute those suspected of committing offences in certain circumstances.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
Worked with industry on a code of practice for using surface water source heat pumps and a national quality mark scheme for land contamination management.	Industry Codes

Environment Agency (EA)	
Summary of activity	Business Impact Target Exemption
<p>National Permitting Service completed 25,458 permit determination decisions, 369 of which were permit variations to secure the required environmental outcomes from the Water Company Periodic Review process (asset management plan outputs).</p> <p>Area teams completed 658 permit determination decisions across the entire radioactive substances regulation environmental permitting regime.</p> <p>EA issued 4,802 permits to move live fish to or from fisheries and issued 1,484 authorisations for commercial fishing.</p> <p>National Permitting Service received 24 appeals against permitting decisions.</p> <p>EA carried out 33 independent internal reviews of regulatory decisions made under the provisions of the Regulators' Code.</p> <p>EA issued 36,250 compliance assessment report (CAR) forms for permitted waste operations and facilities which carry out industrial processes, 28,800 CAR forms and letters for permitted water discharge activities, approximately 6,500 compliance inspection report forms to water abstraction and impoundment licence holders, and 2,135 CAR forms across the entire radioactive substances regulation environmental permitting regime.</p> <p>EA registered 352,359 exempt activities and de-registered 8,829 under the Environmental Permitting Regulations.</p> <p>EA registered 920 commercial boats. EA issued 1,287 consents for international waste shipments, 13 of which were for radioactive wastes.</p> <p>EA's Producer Responsibility Regulatory Service handled 13,652 registrations and carried out 12,838 compliance assessment actions.</p>	<p>Casework</p>

Environment Agency (EA)	
Summary of activity	Business Impact Target Exemption
<p>EA's Climate Change Trading and Regulatory Services registered 7,344 entrants and de-registered 730, completed 1,182 permitting decisions, received 11 appeals, carried out 3,299 compliance checks and issued 280 civil penalty notices for climate change regimes.</p> <p>427 prosecution cases were completed in this period, 153 formal cautions were accepted, 517 enforcement notices were served, and 1721 warning letters were sent.</p> <p>EA used civil sanctions on 90 occasions (these are issued under the Regulatory Enforcement and Sanctions Act 2008).</p>	
<p>Set out how EA will take account of the Land Forum's national quality mark scheme for land contamination management.</p> <p>Worked with industry on the 'Right waste, right place' campaign to help waste producers meet their waste duty of care obligations.</p> <p>Continued to support the Oil Care Campaign, a joint initiative between the UK environmental regulators, professional bodies, and industry to help oil users reduce pollution risks and to promote collection and reuse of used oils.</p> <p>None of the material produced creates a new regulatory standard that businesses will be expected to follow.</p>	<p>Education, communications and promotion</p>
<p>Published river basin management plans for 8 river basin districts.</p> <p>Published sector strategies for 13 waste and industrial process regulatory sectors.</p> <p>Carried out 11 national external consultations with stakeholders.</p>	<p>Activity related to policy development</p>

Environment Agency (EA)	
Summary of activity	Business Impact Target Exemption
EA has moved from 16 to 14 areas. EA is part of the Defra Group Transformation Programme which includes estates rationalisation and the creation of corporate centres for human resources, information technology, and finance and communications.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/environment-agency-business-impact-target/environment-agency-business-impact-target-assessments	

Equality and Human Rights Commission (EHRC)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Equality and Human Rights Commission (EHRC)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
Four Enforcement Cases dealt with during the period.	Casework
52 items recorded under this heading (refer to NQRP Summary for details).	Education, communications and promotion
<p>Funded publication of research to improve participation and experience of people in sport and physical activity.</p> <p>Conducted roundtable with public and third sector organisations.</p> <p>Published “Is Scotland Fairer?” report covering 5 year progress on equality and human rights.</p> <p>Made Stakeholder Engagement Visits to Edinburgh, Kirkcaldy, and Motherwell.</p> <p>Undertook Religion or Belief consultation with employers, service providers, employees, service users, and RoB orgs.</p> <p>Introduced resource for Welsh employers on addressing under representation of Muslim people in Welsh workforce inc. digital resource and report.</p>	Activity related to policy development

Equality and Human Rights Commission (EHRC)	
Summary of activity	Business Impact Target Exemption
<p>Engaged with stakeholders including legal and third sector to gather intelligence on scale of problem in accessing justice in Wales.</p> <p>Conducted two Pregnancy BIT Pilots to test behavioural change on recruitment, health and safety, or flexible working.</p> <p>Conducted research into employment conditions in fish/farm private sector.</p> <p>Engaged with public, voluntary, and private sectors to look at disability and housing.</p> <p>Made recommendations on improved appointment process for women on boards.</p>	
<p>No activity undertaken during the period.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found here: https://www.equalityhumanrights.com/en/publication-download/business-impact-target-bit-reporting-2015-2017</p>	

Financial Conduct Authority (FCA)	
Summary of activity	Business Impact Target Exemption
<p>Implemented EU obligations including the Mortgage Credit Directive and the publication of a new standard form for the notification of major holdings by the European Securities and Markets Authority.</p> <p>Made amendments to the Prospectus Rules as a result of Commission Delegated Regulation (EU) No. 2016/301. These changes were made to ensure that the UK complies with the standards set by ESMA and the EU.</p>	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
FCA's enforcement activity requires firms to provide redress and restitution. This is mostly done on an individual firm basis and is therefore within the Casework exclusion.	Fines and Penalties
A significant amount of the regulatory provisions made by the FCA fall under this Exclusion, which has particular importance for remedies following on from its market studies. During the period, market studies on the investment and corporate banking and the credit card market were completed by the FCA.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects

Financial Conduct Authority (FCA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
Examples of FCA's work under this Exclusion during the period included the publication of 'Financial Benchmarks: Thematic Review of Oversight and Controls' and issuing guidance on the FCA's approach to the implementation of ring-fencing transfer schemes.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
Activities undertaken during the period included processing 23,920 applications for authorisation from firms, 3,983 applications for approval of a change of control, 2,655 cancellations of permission, and 1,332 individual waivers.	Casework
A particular example of this Exclusion during the period included the FCA's Regulation Round-up, which referred to its multi-firm work that considered the Consumer Rights Act 2015, Insurance Conduct of Business Sourcebook (ICOBS), and the Principles for Business.	Education, communications and promotion
The FCA issued 83 consultations during the period. In addition to these, the FCA will engage with firms on policy issues and, when considered beneficial, will meet with groups of firms to receive feedback on emerging issues or proposals.	Activity related to policy development

Financial Conduct Authority (FCA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.fca.org.uk/publication/corporate/enterprise-act-annual-report-2017.pdf	

Financial Reporting Council (FRC)	
Summary of activity	Business Impact Target Exemption
The Audit Regulation and Directive requires all Member States to identify a Competent Authority for the regulation of statutory audits of Public Interest Entities and this is the FRC in the UK.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Financial Reporting Council (FRC)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>The FRC inspected 137 audits in 2015/16 and 140 audits in 2016/17.</p> <p>As of 27th May 2015, there were 17 investigations involving audit with a total of 44 subjects under investigation for the audit work.</p> <p>Up to May 2016, four further investigations commenced into 11 further subjects, three investigations concluded with settlements made with five subjects and cases closed for three subjects, there were five Reprimands / Severe reprimands, and no exclusions.</p> <p>Up to 3rd May 2017, seven further investigations commenced into 18 further subjects. Of these 18 subjects, seven were under Audit Enforcement Procedure (AEP). Four investigations concluded with tribunals held for two subjects (all resulting in sanction) and settlements made with six subjects. There were seven Reprimands/Severe reprimands and one exclusion.</p> <p>As of 3rd May 2017, there were 21 investigations with a total of 57 subjects under investigation for audit work. Of these 57 subjects, seven are under AEP, with the rest under the Accountancy Scheme.</p> <p>Between 27th May 2015 and 3rd May 2017, the FRC wrote 151 substantive letters (letters where there were direct questions for companies regarding their annual report and accounts).</p>	Casework

Financial Reporting Council (FRC)	
Summary of activity	Business Impact Target Exemption
The FRC published two Annual Reports.	Education, communications and promotion
During the period, the FRC consulted and informed its stakeholders in a number of policy areas and held various meetings including roundtables to discuss the impact of Brexit. As per its annual Business Plan cycle, the FRC consulted twice and produced two final Plan & Budget reports. The FRC also held two open meetings with stakeholders and published a new three year strategy.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.frc.org.uk/about-the-frc/procedures-and-policies/business-impact-target	

Fish Health Inspectorate	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
Applied statutory controls on 33 fisheries, one farm, and one fish trader in order to control the listed (notifiable) condition Koi Herpesvirus (KHV) disease. Following detection of oyster Herpesvirus (Os-HV1 μ var) in the Thames estuary, the confirmed designations in North Kent and Essex were amalgamated into a single disease control area.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects

Fish Health Inspectorate	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>Served 14 enforcement notices, issued 23 official warning letters, and undertook 61 enforcement visits, all of which related to non-compliant businesses. Also involved in several multi-agency investigations with other regulatory bodies including UK Border Force, the Environment Agency, the Marine Management Organisation, and Southern Inshore Fisheries Conservation Authority.</p> <p>None of the activities listed in this section represent a change in the burden of regulation on business, and all of the potential offences investigated relate to existing longstanding regulatory requirements.</p>	Casework
Attendance at trade body events, scientific conferences, and meetings.	Education, communications and promotion

Fish Health Inspectorate	
Summary of activity	Business Impact Target Exemption
<p>Gave advice to Defra on policy-related issues in a number of areas such as aquatic animal health, non-native species, trade in live aquatic animals, and aquaculture development.</p> <p>The Fish Health Inspectorate has no responsibilities in relation the development of government policy, review of policy, or the undertaking of consultations.</p>	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator
<p>For more detail, this regulator’s full NQRP summary can be found here: https://www.gov.uk/government/publications/the-fish-health-inspectorate-and-business-impact-target-bit</p>	

Food Standards Agency (FSA)	
Summary of activity	Business Impact Target Exemption
<p>Brought in a provision for the execution and enforcement of Commission Implementing Regulation (EU) No. 2015/1375, laying down specific rules on official controls for Trichinella in meat.</p> <p>Implemented improved identification verification procedures for wild or semi-wild equine animals (equidae) presented for slaughter for human consumption. The procedures resulted directly in the Equine Passport Regulations that came into force in January 2016, and no significant impacts to business were identified from the procedures.</p> <p>Revised the Wild Game Guide to take account of changes that were made to the directly applicable EU regulations that entered into force in 2014 regarding the export of unskinned bodies of large wild game animals.</p> <p>The Guidance update does not go beyond the requirements of the directly applicable EU legislation and is therefore a NQRP.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition

Food Standards Agency (FSA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>Led on the 24/7 Government response to food and feed incidents and co-ordinated the management of all food/feed incidents in England, Wales, and Northern Ireland, including liaison with national and international stakeholders.</p> <p>The FSA National Food Crime Unit (NFCU) gathered, analysed, and disseminated intelligence in relation to fraud and other offences of dishonesty within food supply chains.</p> <p>Undertook criminal investigations into legislative non-compliance at FSA approved establishments.</p> <p>Routinely responded to business enquiries, including through a dedicated stakeholder helpline.</p>	Casework

Food Standards Agency (FSA)	
Summary of activity	Business Impact Target Exemption
<p>Used a wide range of social media techniques to inform, educate, and influence behaviours on a range of issues intended to support consumer protection and their other interests.</p> <p>Produced a range of factsheets and information leaflets.</p> <p>Undertook a routine update of the Safer Food Better Business (SFBB) packs.</p> <p>Routinely communicated with business on a range of subjects through industry forums, working groups, roundtable discussions, and 1-2-1 meetings as well as written correspondence with FSA approved establishments on matters that directly affect them.</p> <p>Consulted stakeholders on all changes to UK food law and routinely consulted with stakeholders when developing the regulatory approach and other policy changes that may impact stakeholders.</p>	<p>Education, communications and promotion</p>
<p>Developed agreed UK lines for EU negotiation and influenced the EU Commission and other Member States during the negotiation process.</p> <p>Developed UK legislation to provide enforcement provisions for directly applicable EU regulations.</p> <p>Monitored and reviewed business compliance as well as the delivery of official controls and enforcement to ensure the effectiveness of the UK Regulatory approach to food law.</p> <p>The FSA is currently focused on two key priority areas: preparations for exiting the EU and its Regulating Our Future programme.</p>	<p>Activity related to policy development</p>

Food Standards Agency (FSA)	
Summary of activity	Business Impact Target Exemption
Introduced a change of approach to the approval process for shellfish purification establishments. The change will have no direct impact on business.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.food.gov.uk/enforcement/regulation/betregs	

Forestry Commission (FC)	
Summary of activity	Business Impact Target Exemption
<p>Enforced the Environmental Impact Assessment (Forestry) (England and Wales) Regulations 1999, which implement EU Council Directive 85/337/EEC as amended by Council Directive 97/11/EC. These Directives have since been replaced by Directive 2011/92/EU, as amended by Directive 2014/52/EU.</p> <p>Enforced the European Union Timber Regulation EU No 995/2010 and the Timber and Timber Products (Placing on the Market) Regulations 2013 within UK law.</p>	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
Issued 206 Statutory Plant Health Notices under the Plant Health Act 1967 during this reporting period. Of which 196 were for <i>Phytophthora ramorum</i> , five for <i>Cryphonectria</i> , and five for Oriental Chestnut Gall Wasp.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects

Forestry Commission (FC)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
Issued 45 Restocking Notices during the period in relation to alleged illegal felling of which 11 proceeded to Enforcement Notices.	Casework
Chaired the Applicants Focus Group on a bi-annual basis to discuss grant and regulatory changes with members of the sector.	Education, communications and promotion
Undertook a policy review in relation to the Environmental Impact Assessment thresholds that apply to afforestation projects. A joint consultation on the future of the forestry and other Environmental Impact Assessment regulations was undertaken with Defra and other environmental organisations.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator

Forestry Commission (FC)	
Summary of activity	Business Impact Target Exemption
For more detail, this regulator’s full NQRP summary can be found here: https://www.forestry.gov.uk/forestry/infd-74adb6	

Gambling Commission	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
Two voluntary settlements were made by industry during the period following agreement between the Gambling Commission and the operator to secure compliance with regulatory requirements. Such voluntary settlements relate to businesses which are not compliant with existing regulatory requirements and do not represent an additional burden placed on businesses.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects

Gambling Commission	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>Revoked 13 personal licences, one personal licence holder received a warning, two personal licence holders received warnings with conditions attached, three operating licences were suspended, one operating licence was terminated, one operator surrendered its licence, two operators received warnings, and two operators received warnings with conditions attached.</p> <p>Two individuals were charged with offences under the Gambling Act 2005.</p> <p>187 new operating licences were issued.</p>	Casework
<p>Gave over 21 presentations to external stakeholders at trade body national conferences and regulatory briefing events, and over 60 presentations to co-regulatory partners.</p> <p>Exhibited at 6 trade fairs.</p> <p>Provided general information to co-regulatory partners via 11 Licensing Authority bulletins.</p> <p>Issued 27 E bulletins and 49 press releases and made various updates to website content.</p>	Education, communications and promotion

Gambling Commission	
Summary of activity	Business Impact Target Exemption
<p>Opened six consultations on topics such as: ‘changes to the Gambling Commission’s enforcement strategy’, ‘the collection of regulatory data’, ‘remote gambling and software technical standards’, ‘the placing of digital adverts responsibly’, ‘extending the requirement to assess money laundering risk to the non-remote lottery sector’ as well as a separate consultation specifically on society lotteries to cover ‘greater transparency to players/consumers, and the removal of the requirement of one step self-exclusion’.</p> <p>Issued a discussion paper on ‘virtual currencies, eSports and social gaming’.</p> <p>Carried out a joint consultation with DCMS on ‘the proposals for Gambling Commission fees from April 2017’.</p> <p>Published new evidence which informed advice to the Government under a call for evidence - Review of Gaming Machines and Social Responsibility Measures - conducted by DCMS.</p> <p>Undertook two policy reviews which resulted in clarification of the existing regulatory requirements and did not create additional regulatory burden.</p> <p>Undertook a review of Licence, Codes and Conditions of Practice (LCCP) in relation to the prevention of crime associated with gambling.</p> <p>Undertook a review of the first year of operation under Alternative Dispute Regulations and impact of the industry standards.</p> <p>As part of the review of the Licence Conditions and Codes of Practice in 2014, reviewed the (mandatory) social responsibility code of practice provision on combating problem gambling.</p> <p>The Gambling Commission made minor changes to its Guidance to Licensing Authorities to reflect changes to its Licence Conditions and Codes of Practice for operators in relation to gaming machine environments.</p>	<p>Activity related to policy development</p>
<p>Bill Moyes was appointed as new the Chair of the Gambling Commission for a five year term, commencing September 2016. Tim Miller, new Executive Director (Corporate Affairs), was appointed in June 2016.</p>	<p>Changes to management of regulator</p>

Gambling Commission	
Summary of activity	Business Impact Target Exemption
For more detail, this regulator's full NQRP summary can be found at: http://www.gamblingcommission.gov.uk/about/Being-open-about-what-we-do/Enterprise-Act/Enterprise-Act-assessments.aspx	

Gangmasters and Labour Abuse Authority (GLAA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Gangmasters and Labour Abuse Authority (GLAA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>GLAA's full NQRP summary provides data on the following:</p> <ul style="list-style-type: none"> Compliance Inspections completed Applications inspections required Revocations Refusals of licences Licences granted Enforcement cases closed (including prosecutions) Prosecutions completed (Gangmasters (Licensing) Act Offences) Appeals 	Casework
<p>GLAA issued:</p> <ul style="list-style-type: none"> GLA Brief 42 (When to issued P45 to workers) GLA Brief 43 (Updates regarding charge rate guidance) GLA Brief 44 (Certificate in Professional Development: Investigating Modern Slavery) GLA Brief 46 (National Living Wage) GLA Brief 47 (Charge rate guidance) GLA Brief 49 (Charge rate guidance – supersedes GLA Brief 47) GLA Brief 50 (Labour Market Enforcement Undertakings and Orders) GLA Brief 51 (Additional labour market powers) 	Education, communications and promotion

Gangmasters and Labour Abuse Authority (GLAA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: http://www.gla.gov.uk/media/3281/rpc-4126-ho-glaa-nqrp-summary-statement.pdf	

Groceries Code Adjudicator (GCA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Groceries Code Adjudicator (GCA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
One investigation and publication of a full report on conclusions, namely GCA Investigation into Tesco plc, who had acted in breach of the Groceries Supply Code of Practice (the Code).	Casework
In addition to general information and promotional material such as newsletters, GCA published four educational documents in response to concerns raised by suppliers and regulated retailers: Forecasting best practice statement Code clarification case study: requests for lump sum payments Supplementary de-listing “guidance” for the fresh produce sector Consumer complaints best practice statement.	Education, communications and promotion
Published a consultation and the outcome of that consultation following the investigation into Tesco plc specified at Exclusion L1. The response document summarised responses received and set out the GCA’s conclusions.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator

Groceries Code Adjudicator (GCA)	
Summary of activity	Business Impact Target Exemption
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/gca-report-on-its-performance-against-the-business-impact-target	

Health & Safety Executive (HSE)	
Summary of activity	Business Impact Target Exemption
<p>Provision of minimum health and safety requirements to protect workers from the risks arising from exposure to Electromagnetic fields in the workplace through the Control of Electro-magnetic Fields and Work Regulations 2016.</p> <p>Amendment to the International Maritime Organisation (IMO) Freight Containers (Safety Convention) Regulations 1984.</p> <p>Miscellaneous Amendments Regulation 2017: instrument introduces miscellaneous amendments to existing primary and secondary legislation.</p> <p>Guidance in support of legislative changes which are EU or international in origin.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
<p>No activity undertaken during the period.</p>	<p>Economic Regulation</p>
<p>HSE do not implement Government-mandated minimum or maximum prices that can be charged for specified goods or services.</p>	<p>Price Control</p>
<p>No activity undertaken during the period.</p>	<p>Civil Emergencies</p>
<p>No activity undertaken during the period.</p>	<p>Fines and Penalties</p>
<p>No activity undertaken during the period.</p>	<p>Pro-competition</p>

Health & Safety Executive (HSE)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Large Infrastructure projects
Exclusion not applicable to HSE.	Misuse of Drugs/National Minimum Wage
Exclusion not applicable to HSE.	Systemic Financial Risk
Exclusion not applicable to HSE.	Industry Codes
No activities listed in this section represent a change in the burden of regulation placed on business, except where these result from a separate qualifying regulatory provision that has been assessed.	Casework
None of the material produced creates a new regulatory standard that businesses will be expected to follow and attendance at educational and promotional events is not compulsory.	Education, communications and promotions
Public consultations held during the reporting period have been on the: Dangerous Goods in Harbour Areas Regulations 2016; Control of Electromagnetic Fields at Work Regulations 2016; Freight Containers (Safety Convention) Regulations 1984; Gas Safety (Installation and Use) Regulations 1998 (GSIUR);	Activity related to policy development

Health & Safety Executive (HSE)	
Summary of activity	Business Impact Target Exemption
<p>Ionising Radiation Regulations 1999 (IRR1999).</p> <p>Informal consultation has included the first phase of a review to simplify occupational health and safety regulations on chemicals, to promote proportionate risk management, and to make it easier for duty holders to understand and comply with their duties.</p> <p>Informal consultation has also taken place via an online survey held to test stakeholder views on proportionality of requirements for inspection of work equipment.</p> <p>Consultation on the Health and Work strategy and 19 sector plans took place in early 2017 using online format and engagement events covering Scotland, England, and Wales.</p> <p>HSE peer-reviewed publications, as well as its annual Science Review, highlighting the benefits and value of its work on the workplace health and safety system.</p> <p>HSE worked with signatories to the Work-related Deaths Protocol, reviewing the arrangements to ensure the protocols and liaison between the various organisations emphasised the importance of joint investigation and avoiding delay.</p> <p>HSE completed its Post-Implementation Review of the Control of Asbestos Regulations 2012. The report detailing the analysis and conclusions was published in March 2017.</p> <p>HSE issued a Certificate of Exemption for the Oban Project to certain requirements of the Gas Safety (Management) Regulations 1996 (GS(M)R) to Scotland Gas Networks plc (SGN).</p>	

Health & Safety Executive (HSE)	
Summary of activity	Business Impact Target Exemption
<p>Dame Judith Hackitt's tenure as Board Chair ended on 31st March 2016. George Brechin appointed interim Chair from 1st April 2016 and Martin Temple took up post substantively on 1st May 2016.</p> <p>HSE Board members are all Non-Executive Directors (NEDs), appointed by the Secretary of State. During this period three NED appointments ended and four were appointed.</p> <p>A Science and Engineering Assurance Committee was put in place from April 2016.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found at: http://www.hse.gov.uk/regulation/bit.htm</p>	

Higher Education Funding Council for England (HEFCE)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
<p>HEFCE funds Unistats, the official site that enables comparisons between HE providers. A Key Information Set is given for each provider, containing information that students have indicated they would find useful in making their decisions. During 2016 HEFCE has, alongside the other UK HE funding bodies, undertaken a review of this information and consulted.</p> <p>HEFCE has also contributed to the Teaching Excellence Framework (TEF) Delivery Group during the reporting period and was asked in the 2016-17 Grant letter to take responsibility for delivering it in Year 2.</p>	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects

Higher Education Funding Council for England (HEFCE)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
As part of its accountability framework, HEFCE requires the Higher Education Institutions that it funds to provide financial forecasts and uses these to provide an overview of the financial health of the HEFCE-funded sector. This is covered under Exemption L3 (Policy development).	Systemic Financial Risk
<p>In its work to assess the quality of the education in providers that it funds HEFCE uses a number of HE sector-led codes of practice, such as the HE code of governance, developed by the Committee of University Chairs, and the Good Practice Framework developed by the Office of the Independent Adjudicator.</p> <p>Home Office has issued guidance for relevant higher education bodies on the Prevent duty. While this is not an Industry Code in the sense that it was produced by the HE sector, it applies to the sector and is monitored by HEFCE on behalf of the Home Office.</p> <p>The Government issues guidance from time to time on entry to the HE sector. Again, this is not an Industry Code but applies specifically to the sector.</p>	Industry Codes
<p>HEFCE's accountability framework that underpins public investment in higher education institutions is made up of three elements:</p> <p>Accountability returns submitted by institutions through the Annual Accountability Return (AAR) process. A 5 year cycle of review visits by HEFCE, known as a HEFCE assurance review (HAR). A programme of data assurance.</p>	Casework

Higher Education Funding Council for England (HEFCE)	
Summary of activity	Business Impact Target Exemption
<p>These returns are used to provide assurance and to enable HEFCE to assess risk to individual providers and to the sector as a whole. This framework has been in place for several years and no major change has been introduced during the reporting period.</p> <p>Gathering information from universities and colleges is an important aspect of HEFCE's work, which enables the Council to assess systemic risk.</p> <p>Universities that are exempt charities report to HEFCE rather than direct to the Charities Commission, since the requirements are broadly similar (including accounts and assurances around meeting public benefit tests). This reporting is subsumed within the AAR process and no major change has been introduced during the reporting period.</p>	
<p>There were 176 publications during the period, 78 events including Annual Meetings, consultation activities, and other events organised by HEFCE's Corporate Communications team, and 251 speaking engagements by HEFCE staff.</p>	<p>Education, communications and promotion</p>
<p>HEFCE published two reports that contribute to policy development based on the work it undertakes as part of its accountability framework: Financial health of the higher education sector 2014-15 to 2017-18 forecasts (HEFCE 2015/29), and Financial health of the higher education sector: 2015-16 to 2018-19 forecasts (HEFCE 2016/34).</p> <p>After the publication of the Higher Education and Research Bill in May 2016, HEFCE was involved in preliminary discussions about the kinds of information that should be published in the regulatory framework, required by clause 71 of the Bill, which will be implemented by the proposed Office for Students.</p> <p>HEFCE has provided members for the HE Landscape Reform programme board and for working groups to support the work of the DfE. It has also provided specialist support in the drafting of the technical notes that have accompanied the passage of the Bill through Parliament.</p>	<p>Activity related to policy development</p>

Higher Education Funding Council for England (HEFCE)	
Summary of activity	Business Impact Target Exemption
<p>HEFCE moved in October 2015 from accommodation on the University of the West of England campus to Nicholson House, Lime Kiln Lane, Stoke Gifford, Bristol.</p> <p>Six new Board members were announced in March 2017.</p> <p>The Higher Education and Research Act 2017 indicates in section 81 that HEFCE will cease to exist and makes provision for the establishment of an Office for Students.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found at: http://www.hefce.ac.uk/reg/bit/</p>	

Historic England	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Historic England	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>Issued Section 42 Licences for geophysical surveys of scheduled monuments, including the use of metal detectors.</p> <p>Casework advice of various kinds to local planning authorities, owners, and developers as follows:</p> <p>Acting as a statutory consultee under the Planning (Listed Building and Conservation Areas) Act 1990 for various strands of planning and listed building consent applications made to local planning authorities.</p> <p>Acting as a consultee under the Ancient Monuments and Archaeological Areas Act 1979 on applications for scheduled monument consent made to the Department of Culture, Media and Sport, before the Secretary of State grants consent.</p> <p>Being consulted by DCMS under the 1990 Act on all applications for the listing of buildings as buildings with special architectural and historic importance, and under the 1979 Act on all applications for scheduling of ancient monuments of national importance.</p>	Casework
Provided advice for applicants and owners to help them carry out works in appropriate ways, including issuing Good Practice Advice documents, published with assistance of the Historic Environment Forum, and Historic England Advice notes which are generated by Historic England.	Education, communications and promotion

Historic England	
Summary of activity	Business Impact Target Exemption
Historic England is the statutory advisor to the Secretaries of State for Culture, Media and Sport and Communities and Local Government and gives policy advice on all matters relating to the historic environment to both departments.	Activity related to policy development
English Heritage split between Historic England, dealing with advice, research, and policy development concerning the wider historic environment, and English Heritage Trust which manages the former English Heritage historic properties.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: https://historicengland.org.uk/services-skills/our-planning-services/how-we-perform	

HM Revenue and Customs (HMRC)	
Summary of activity	Business Impact Target Exemption
<p>HMRC continued to provide support to businesses in complying with the regulations.</p> <p>HMRC carried out more than 3,800 direct interventions, including face-to-face visits and remote compliance activity, on its supervised businesses.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
<p>No activity undertaken during the period.</p>	<p>Economic Regulation</p>
<p>No activity undertaken during the period.</p>	<p>Price Control</p>
<p>No activity undertaken during the period.</p>	<p>Civil Emergencies</p>
<p>HMRC enforced the National Minimum Wage (NMW) and National Living Wage (NLW) on behalf of the Department for Business, Energy and Industrial Strategy and imposed penalties in line with the requirements set out in the National Minimum Wage Act 1998 and the National Minimum Wage Regulations 2015.</p>	<p>Fines and Penalties</p>
<p>No activity undertaken during the period.</p>	<p>Pro-competition</p>
<p>No activity undertaken during the period.</p>	<p>Large Infrastructure projects</p>

HM Revenue and Customs (HMRC)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
HMRC's enforcement of NMW is governed by a Service Level Agreement (SLA) with BEIS. In line with the SLA, HMRC responds to every worker complaint referred via the Acas helpline and opens risk-based targeted enforcement campaigns into high risk sectors.	Casework
HMRC delivered a programme of activities to promote understanding of NMW rules including webinars, presentations to employer forums, email contact, eLearning, and the production of sectoral guides.	Education, communications and promotion
No activity undertaken during the period.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator

HM Revenue and Customs (HMRC)	
Summary of activity	Business Impact Target Exemption
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/business-impact-target-hmrc-report-of-non-qualifying-regulatory-provisions-2015-to-2017	

Homes & Communities Agency (HCA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	All Business Impact Target exemptions

Human Fertilisation & Embryology Authority (HFEA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Human Fertilisation & Embryology Authority (HFEA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
The HFEA operates a four year inspection cycle for treatment licences and three years for research licences. It has a statutory obligation to conduct site visits at every centre at least every two years so each licence has both a full renewal and a targeted interim inspection within the life of the licence. Because of the established cycle, annual inspection volumes are not uniform, typically alternating between busier and quieter years.	Casework
Produced a monthly newsletter for clinics (Clinic Focus), various pieces of guidance, and a factsheet for NHS commissioners using HFEA's data and expertise on fertility treatment and multiple births. Hosted ten public and stakeholder meetings in 2015-16 and ran a consultation on HFEA's innovation plan.	Education, communications and promotion
Launched a survey in Clinic Focus to help drive down the number of incidents, identifying through user feedback the quality factors most relevant for patients. These are being implemented as part of HFEA's Information for Quality programme, which is a Qualifying Regulatory Provision and will be included in the Summary Report for the 2017/18 reporting period.	Activity related to policy development
HFEA moved offices to share space with NICE to make best use of Crown Estate property, with further shared services and efficiencies realised from proximity of other similar organisations.	Changes to management of regulator

Human Fertilisation & Embryology Authority (HFEA)	
Summary of activity	Business Impact Target Exemption
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/business-impact-target-hmrc-report-of-non-qualifying-regulatory-provisions-2015-to-2017	

Human Tissue Authority (HTA)	
Summary of activity	Business Impact Target Exemption
<p>The EU Import and Coding Directives will affect businesses regulated by the HTA. However, it is expected that none of the changes of European/International origin place additional burdens on HTA business beyond those required under legislation of EU or international origin.</p> <p>The HTA issued three updates to guidance and policy documents that provide clarity on complying with EU requirements in the Human Application sector. This encompasses:</p> <p>Guidance clarifying testing requirements for Human T-lymphotropic Virus, type I (HTLV-1) for donors of tissues and cells intended for human application, as set out in Annex II of Commission Directive 2006/17/EC.</p> <p>Extension of existing HTA and MHRA policy on the Regulation of Blood as a Starting Material for Advanced Therapy Medicinal Product (ATMP) Manufacture.</p> <p>Guidance for establishments on meeting HTA licensing requirements for the procurement of tumour samples to be used as a starting material in the manufacture of an Advanced Therapy Medicinal Product (ATMP), specifically on demonstrating how this meets with the requirements of EU Directive 2004/23/EC with respect to donation, procurement and testing. This consolidated guidance on existing requirements.</p> <p>HTA do not expect that this guidance will result in any additional burdens of HTA-regulated businesses beyond those already required under legislation of the EU or international origin.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies

Human Tissue Authority (HTA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>Performed 234 site visits to licenced premises, including three non-routine inspections.</p> <p>Made a decision on 1,172 organ donations from living donors – a panel of HTA Authority Members made a decision on 238 of these.</p> <p>No activities listed in this section represent a change in the burden of regulation placed on business, except where these result from a separate qualifying regulatory provision that has been assessed.</p>	Casework

Human Tissue Authority (HTA)	
Summary of activity	Business Impact Target Exemption
<p>An external newsletter is circulated to Designated Individuals of licensed premises every two months, containing general information about the HTA's activities and signposting new/updated guidance and policies. Newsletters are also sent to Independent Assessors on a quarterly basis.</p> <p>HTA hosted a number of training sessions and workshops during the period, including training for Independent Assessors, Accredited Assessors, and Coroner's Officers.</p> <p>Position statements are issued by HTA to provide greater clarity where needed: a new statement regarding extending existing licences to cover the removal of tissue from the deceased for research was published during the reporting period.</p> <p>Ad-hoc information is also circulated by HTA where necessary, such as the dissemination of advisory bodies' advice on the Zika virus in February 2016 and a regulatory alert on Syphilis testing products in November 2016.</p>	<p>Education, communications and promotion</p>
<p>HTA issued consultations relating to proposed updates to the HTA Codes and Standards, and regarding the Fees Model Review (both 2016).</p>	<p>Activity relating to policy development</p>
<p>No activity undertaken during the period.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found at: https://www.hta.gov.uk/business-impact-target-bit</p>	

Information Commissioner's Office (ICO)	
Summary of activity	Business Impact Target Exemption
<p>The ICO has produced guidance documents for business to explain the requirements of the new EU General Data Protection Regulation (GDPR).</p> <p>None of the changes of European origin place additional burdens of business beyond those required under legislation of EU origin.</p>	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Information Commissioner's Office (ICO)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
The ICO's regulatory activities which can be classed as 'casework' include requests for assessment under section 42 of the Data Protection Act, enforcement work, audits, and a helpline and written enquiries service.	Casework
The ICO has published a number of videos, webinars, and conference recordings on data protection matters.	Education, communications and promotion
From February to March 2016 the ICO carried out a consultation on its draft Code of Practice on privacy notices. The ICO is currently carrying out a consultation on its draft guidance on consent under the EU General Data Protection Regulation (GDPR).	Activity related to policy development
In July 2016 Elizabeth Denham succeeded Christopher Graham as Information Commissioner. A new Senior Leadership Team, comprising the Commissioner, Deputy Commissioner (Operations), Deputy Commissioner (Policy), the Deputy Chief Executive Officer, and the General Legal Counsel (to be appointed), has been put in place. There has been further reorganisation within departments, and the ICO is implementing an internal Change Programme in order to prepare for its responsibilities as the Data Protection Authority under the GDPR. These are internal arrangements and do not impose any obligations or costs on business.	Changes to management of regulator

Information Commissioner's Office (ICO)	
Summary of activity	Business Impact Target Exemption
For more detail, this regulator's full NQRP summary can be found at: https://ico.org.uk/about-the-ico/our-information/research-and-reports/business-impact-targets-bit/	

Insolvency Service	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Insolvency Service	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
No activity undertaken during the period.	Casework
<p>The Recognised Professional Bodies (RPBs) hold annual conferences, workshops, and educational talks which count towards an Insolvency Practitioner's (IP) CPD points.</p> <p>Dear IP is an article based publication which is circulated quarterly directly to the IPs via email.</p> <p>Other issued documents include the Annual Review of IP Regulation 2016, all monitoring visits to the RPBs, sanctions against IPs imposed by the RPBs, and related guidance.</p>	Education, communications and promotion
<p>The RPBs provide input to themed reviews, which included a review of complaints handling, an ongoing review of bonding, and a review of monitoring visits to IPs.</p> <p>The RPBs meet regularly with the Insolvency Service on the Complaints Gateway Joint Insolvency committee. The RPBs and the Insolvency service collectively sit on a committee which issues Statements of Insolvency Practice and the Ethical code for Insolvency Practitioners.</p> <p>There has been preparatory work with the RPBs for the removal of the Memorandum of Understanding, which will move regulation towards a more outcomes-based approach.</p>	Activity related to policy development

Insolvency Service	
Summary of activity	Business Impact Target Exemption
The Association of Chartered Accountants has outsourced all functions to the Insolvency Practitioner Association (except authorisations), to assist in moving towards a more consistent approach to regulation with the reduction of RPBs dealing particularly with monitoring and complaints.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/non-qualifying-regulatory-provisions-summary-reporting	

Intellectual Property Office (IPO)	
Summary of activity	Business Impact Target Exemption
<p>IPO implemented the following EU Regulations:</p> <p>European Regulation of Trade Mark Regulations 2016: to update out of date references to the relevant EU legislation in the Trade Marks Act 1994 and in the Community Trade Mark Regulations 2006.</p> <p>Section 72 of Copyright, Designs and Patents Act: to amend Section 72 of the Copyright Designs and Patents Act in line with EU legislation.</p> <p>The Collective Management of Copyright (EU Directive) Regulations 2016: the EU passed the Collective Rights Management (CRM) Directive in 2014 and the UK was obliged to transpose it by 10th April 2016. As part of this process IPO:</p> <p>Prepared a guidance document and instituted a complaints procedure.</p> <p>Made consequential changes to the Extended Collective Licensing (ECL) Regulations 2014 no. 2588 to reflect more detailed requirements on collecting societies, as well as updating guidance on Extended Collective Licensing, which was altered because of the Directive.</p> <p>Repealed the Copyright (Regulation of Relevant Licensing Bodies) Regulations 2014 No. 898 in order to implement the CRM Directive with the maximum certainty for collecting societies, right holders and licensees.</p> <p>Repealed Section 52 Copyright, Designs and Patents Act 1988 to update UK legislation in line with EU law.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies

Intellectual Property Office (IPO)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
IPO dealt with 42,774 patents applications, 115,078 Trade-mark applications, and 19,514 design applications.	Casework
<p>From May 2015 IPO has spoken with 92,072 businesses regarding Intellectual Property (IP) through seminars, workshops, and business exhibitions.</p> <p>IPO has delivered intensive IP training to 492 businesses or business advisors during the period and facilitated IP audits for 671 businesses. In addition, IPO's network of Patent Libraries have provided advice to 22,039 individuals and 15,779 people have used its suite of online tools for businesses to help them better use their IP.</p>	Education, communications and promotion

Intellectual Property Office (IPO)	
Summary of activity	Business Impact Target Exemption
<p>Through IPO's ongoing engagement with business advisors, and their ability to share their IP knowledge with their clients, plus the channels outlined above, the IPO calculate that they have been able to engage with over 310,000 businesses and provide them with IP advice since May 2015.</p> <p>In addition, IPO published information to promote awareness with users of how they prioritise re-examination of patent applications. IPO also published information and education material for users concerning a package of changes to the Patents Rules.</p> <p>The orphan works licensing scheme is a permissive application process for copyright works where the right holder is unknown or cannot be found. Information has been published over the period to encourage applicants.</p>	
<p>IPO issued 14 consultations and two calls for views, including a consultation about proposed changes to the Patents Rules, consulting a user representative group about the development of a new international standard for reporting of patent legal status information by IPOs, and consulting a user representative group on changes to how and when IPO issue certain reminder letters concerning patent applications. In addition, IPO published a 12 month review on the operation of the orphan works licencing scheme.</p>	<p>Activity related to policy development</p>
<p>No activity undertaken during the period.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/the-business-impact-target/business-impact-target-non-qualifying-regulatory-proposals</p>	

Land Registry	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Land Registry	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
During the period Land Registry received approximately 30,020,012 applications for services including 4,721,574 substantive registration applications.	Casework
Land Registry produced a range of around 70 Practice Guides and 12 supplements setting out requirements in relation to individual casework registrations to ensure compliance with relevant legislation.	Education, communications and promotion
<p>Land Registry issued two consultations in the following areas:</p> <p>Land Registration Rules amendments</p> <p>Local Land Charges rules</p> <p>Land Registry undertook policy reviews in relation to:</p> <p>Review of forms to simplify and make more e-friendly</p> <p>Customer complaint forms to facilitate development of cross-government complaints policy review</p>	Activity related to policy development

Land Registry	
Summary of activity	Business Impact Target Exemption
<p>Land Registry is undertaking a number of policy reviews (including conducting trials in dialogue with stakeholders and customers) in the following areas:</p> <ul style="list-style-type: none"> First registration to support register completion Leasehold registrations Digital register Digital mortgage Scanned copy deeds 	
<p>Land Registry has relocated one office within Coventry and its Scanning Centre from Coventry to Gloucester as part of its estates strategy and to rationalise excess office space and increase efficiency in delivery.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/hm-land-registry-business-impact-target-bit-2015-to-2017</p>	

Marine Management Organisation (MMO)	
Summary of activity	Business Impact Target Exemption
<p>Fisheries closure measures - measures target specific species and came directly from the EU.</p> <p>Area closure measures - the closure of specific areas comes directly from Europe to protect specific species of fish. No decision by MMO is required as to when or where the measures are applied and this is not considered as gold-plating under the exemption.</p> <p>Technical measures - encapsulate net size and the type of fishing gear used, coming directly from Europe and implemented verbatim from the EU.</p> <p>Technical measures - landing obligations (discards ban) - programme of work will be implemented on a rolling basis until 2019 and the EU will provide specific measures regarding what is required of fishers on landing in port.</p> <p>Fishers are required to report fish catches using logbooks while at sea and declare these catches on landing into a port (relevant to Exclusion category L4).</p> <p>The MMO produces a blue book that provides links to all the EU measures that apply to English waters and those which the MMO regulate. This emergency measure is still in place as there is a continued risk of collapse to the nephrops stock in the Farne Deeps area (relevant to Exclusion category D).</p> <p>Offshore marine protected areas and related management were brought about through Article 11 of the Common Fisheries Policy. There is no domestic legislation in place for the requirement of offshore MPA management.</p> <p>UK fisheries administrations must establish measures to ensure compliance with the rules of the Common Fisheries Policy (Council Regulation (EC) No 1224/2009). The regulation requires member states to verify the declared engine power of fishing vessels and, as part of this process, MMO will conduct physical tests of engine power of some of its fleets.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>

Marine Management Organisation (MMO)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
Under the Sea Fish (Conservation) Act 1967 as read with Sea Fish Licensing (England) Order 2015 (No. 647), common conditions in fishing vessel licences can be developed as well as conditions that restrict fishing activity in a particular area. A single set of conditions were developed for the “Farne Deeps” area of the North Sea on an emergency basis as the nephrops stock was close to collapse.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk

Marine Management Organisation (MMO)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Industry Codes
No activity undertaken during the period.	Casework
<p>Delivered an awareness-raising campaign to reinforce customer understanding and knowledge of fisheries legislation.</p> <p>Targeted unlicensed vessels to make sure they are aware their catches cannot be bought.</p> <p>MMO has a regulatory responsibility to ensure compliance with, and where required enforce, legislation relating to fisheries activity. UK fisheries management is predominantly driven by the Common Fisheries Policy, and MMO has introduced a different focus for compliance monitoring and enforcement activities, ensuring they are more focused on achieving a pragmatic and proportionate outcome rather than centring on punishing offending which is often timely and costly for both parties. This work has been internally focused, delivering training to staff and making changes to the compliance and enforcement programme of work.</p> <p>There are no direct impacts on MMO customers/stakeholders and it is therefore an NQRP under this category.</p>	Education, communications and promotion
No activity undertaken during the period.	Activity related to policy development

Marine Management Organisation (MMO)	
Summary of activity	Business Impact Target Exemption
<p>Under existing EU requirements, fishers are required to report fish catches using logbooks while at sea and declare these catches on landing into a port. Merchants are required to report purchases of fish at the first point of sale.</p> <p>In the UK, data has been submitted via a single electronic hub hosted by an external service provider under a joint contract funded with the UK Devolved Administrations. This contract is ending and there is a drive to reduce Government costs by developing an in-house hosted portal. Project Proteus is developing the hub for the UK fisheries administrations in England, Wales, Northern Ireland, and the Isle of Man.</p> <p>The new system will not require any additional information from fishers and will minimise the impact on industry from the change.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator’s full NQRP summary can be found at: https://www.gov.uk/government/publications/marine-management-organisation-mmo-business-impact-target-bit-assessments</p>	

Maritime and Coastguard Agency (MCA)	
Summary of activity	Business Impact Target Exemption
<p>MCA has implemented the following 12 EU/International obligations:</p> <p>The Merchant Shipping (Marine Equipment) Regulations 2016 implementing EU Directive 2014/90/EU on marine equipment.</p> <p>The Merchant Shipping and Fishing Vessels (Health and Safety at Work) (Electromagnetic Fields) Regulations 2016 implementing Directive 2013/35/EC.</p> <p>The Merchant Shipping Act 1995 (Amendment) Order 2016.</p> <p>The Merchant Shipping and Fishing Vessels (Port Waste Reception Facilities) (amendment) Regulations 2016 implementing EU Directive 2015/2087.</p> <p>MGN 560 (M) Lifeboats, Rescue Boats, Launching Appliances, Winches and On-load Release Gear - Operational and Test Procedures.</p> <p>MGN 558 (M) Life-Saving Appliances - Marine Evacuation Systems (MES) - Servicing and Deployments.</p> <p>MGN 562 (M+F) Radio - Radio Regulations Amendments & GMDSS Radio Equipment.</p> <p>MGN 566 (M+F) STCW Manila Amendments: Medical Certification, Hours of Work and Alcohol Limits.</p> <p>MGN 417 (M+F): companies offering shore-based maintenance of radio equipment offer a service in accordance with IMO Resolution A.702 (17).</p> <p>MSN 1875: Carriage of Dangerous Goods and Marine Pollutants in Packaged Form incorporating the 38th amendment to the IMDG Code.</p> <p>MGN 556 (M+F) The Merchant Shipping and Fishing Vessels (Safety Signs and Signals) Regulations 2001 implementing European Commission Directive 92/58/EEC.</p> <p>MGN 567 (M) Life-Saving Appliances - Marine Evacuation Systems, Survival Craft and Launching Appliances.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>

Maritime and Coastguard Agency (MCA)	
Summary of activity	Business Impact Target Exemption
<p>MCA published 15 documents providing guidance on international requirements for:</p> <p>Personal protective equipment and the procedure for applying for a substantial equivalence on UK flagged vessels under the international Maritime Labour Convention, 2006</p> <p>MSIS 23 Instructions to surveyors - survey and certification of ships under SOLAS and the recent Merchant Shipping (Survey and Certification) Regulations 2015</p> <p>Lifeboat release and retrieval systems and fall preventer devices under IMO Resolutions and Circular MSC.Res 317(89), 320(89) and 321(89), and MSC.1/Circ. 1327, 1392 and 1466</p> <p>Guidance showing revisions under IMO MEPC 118(52) to Annex II MARPOL</p> <p>Carriage of cargo guidance under IMO Circulars MSC.1/Circ.1475, 1497, 1498, 1548 under SOLAS Chapter VI Regulation 2</p> <p>Solid Bulk Cargo – Safe Carriage of Bauxite under IMO Circular CCC.1/Circ.2</p> <p>Report on the UK National Enforcement Body under EU Regulation 1177/2010</p> <p>These guidance documents reflect international requirements, do not gold plate and do not place additional burdens on business beyond those required under international legislation.</p>	
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control

Maritime and Coastguard Agency (MCA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
Undertook 2182 Port State Control inspections of which 90 resulted in detaining the ship, 12,910 Flag State Control inspections/surveys of which 22 resulted in detaining the ship, issued 140 Prohibition and Improvement Notices, conducted 28 prosecutions, undertook 337 training course approvals, examined 10,373 seafarers and boatmasters for competency, conducted 173 sight tests, and conducted 354 audits.	Casework

Maritime and Coastguard Agency (MCA)	
Summary of activity	Business Impact Target Exemption
<p>MCA published over 100 documents in the following areas:</p> <p>Approved doctors, medical referees, and health seminar presentations. Approved radio surveyors, MARPOL surveyors, and Certifying Authorities. Approved service stations. Recruitment and placement agencies. Marine safety bulletins, safety information, and Scientific, Technical and Operational Advice Notes. Training materials for counter pollution training courses. National Contingency Planning and Search and Rescue Cooperation Plans. Categorisation of Waters. Companies offering maintenance of radio equipment. Local fuel oil suppliers register. Human element guidance for maritime safety.</p>	<p>Education, communications and promotion</p>
<p>MCA launched 29 consultations in addition to consultation of the legislation in Exclusion A:</p> <p>Transposition of EU Directive on Electromagnetic Fields on Merchant and Fishing Vessels</p> <p>Changing MCA survey and inspection</p> <p>The National Contingency Plan for marine pollution from shipping and offshore installations</p> <p>The proposed Code for High Speed Offshore Craft</p> <p>Means of recovery</p> <p>Updating of schedules in the Merchant Shipping (Small Workboats and Pilot Boats) Regulations and the Merchant Shipping (Vessels in Commercial Use for Sport or Pleasure) Regulations</p>	<p>Activity related to policy development</p>

Maritime and Coastguard Agency (MCA)	
Summary of activity	Business Impact Target Exemption
<p>The proposed Workboat (Code of Practice) Regulations</p> <p>The proposed Fishing Vessels (Codes of Practice) Regulations</p> <p>The revised voluntary Fishing Vessels Codes of Practice to include carbon monoxide alarms</p> <p>Proposed amendments to bridge visibility requirements for small passenger ships.</p> <p>Guidance on single point of failure.</p> <p>Guidance on fishing vessels operating in submarine exercise areas.</p> <p>Proposed Merchant Shipping (Prevention of Pollution from Noxious Liquid Substances in Bulk) Regulations.</p> <p>Proposed amendments to Merchant Shipping Notice (MSN) 1823 – Safety Code for Passenger Ships operating Solely in UK Categorised Waters.</p> <p>Proposed Merchant Shipping (Working Time: Inland Waterways) (Amendment) Regulations.</p> <p>Consolidation of the Merchant Shipping (Hours of Work) Regulations 2002 and amendments.</p> <p>Proposed Fishing Vessels (Codes of Practice) Regulations.</p> <p>Implementation of the 2014 Amendments to the Maritime Labour Convention 2006.</p> <p>Guidance on inflatable non-SOLAS lifesaving appliances</p> <p>Proposed Small Seagoing Passenger Ship Code</p>	

Maritime and Coastguard Agency (MCA)	
Summary of activity	Business Impact Target Exemption
<p>Proposed MCA Fees amendments</p> <p>Proposed information on the issue of annual certificates for passenger ships</p> <p>Post Implementation Review of the Merchant Shipping (Safety of Navigation) (Amendment) Regulations 2011</p> <p>Changes to MCA survey and certification</p> <p>Post Implementation Review of the Merchant Shipping (Vessel Traffic Monitoring and Reporting Requirements) (Amendment) Regulations 2011</p>	
<p>No activity undertaken during the period.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/646611/NQRP_Summary_June_2017_MCA_FINAL.pdf</p>	

Medicines & Healthcare Products Regulatory Agency (MHRA)	
Summary of activity	Business Impact Target Exemption
A European Medicines Agency consultation on a simplified template for Good Pharmacovigilance Practice was completed and guidance template published, addressing the request from industry for a simplified risk management plan format for standard generic medicines.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Medicines & Healthcare Products Regulatory Agency (MHRA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>A list of marketing authorisations, traditional herbal registrations, manufacturing and wholesale dealer's licences, parallel import licences granted, and early access to medicines scheme scientific opinions were published on gov.uk website.</p> <p>In the build up to the 2016 Olympics, the Medicines Borderline Section carried out a review of the sale of unlicensed medicines marketed as sports supplements.</p> <p>In addition to routine criminal investigations conducted in relation to illegal activity involving medicines and medical devices, a specific issue concerning the diversion of certain Controlled Drugs/ Prescription medicines from the UK authorised supply chain was identified. A distinct investigation has been set up and a number of separate investigations are underway.</p>	Casework
<p>Carried out significant proactive engagement with industry in the wake of the EU Referendum result through stakeholder meetings to capture views and information to explore the potential for a new regulatory landscape for medicines in the UK.</p> <p>Hosted several conferences and smaller events to inform and share best practice about regulatory affairs across the year.</p>	Education, communications and promotion
MHRA issued seven consultations during the period and work started on the Post-Implementation Review of the Human Medicines Regulations 2012.	Activity related to policy development

Medicines & Healthcare Products Regulatory Agency (MHRA)	
Summary of activity	Business Impact Target Exemption
The MHRA appointed a new Chief Operating Officer during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: https://www.gov.uk/government/publications/mhra-business-impact-target	

Natural England (NE)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Natural England (NE)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
During the period Natural England responded to approximately 90,000 casework consultations during the period.	Casework
<p>Natural England concluded the transfer of 433 publications onto the GOV.UK single platform during the period making content more accessible, easier to read, and meeting a target to delete unnecessary or out of date guidance.</p> <p>NE continued to invest in enhancing its online services such as its Integrated Risk Zone mapping tool to make it easier for planners and developers to identify their potential impact on Sites of Special Scientific Interest.</p>	Education, communications and promotion
<p>Undertook two consultations on proposed changes to NE's protected species licensing process.</p> <p>Undertook an additional 47 site specific consultations relating to the England Coast Path (4), Marine Special Protection Areas (5) and open access restrictions (38).</p> <p>Undertook two consultations on proposals to change the current protected species licensing policies and a consultation on official statistics.</p> <p>Undertook an additional 28 site specific consultations relating to the England Coast Path (4), Marine Special Protection Areas (5) and open access restrictions (19).</p>	Activity related to policy development

Natural England (NE)	
Summary of activity	Business Impact Target Exemption
Reorganisation from a functional model to an area-based model, with 14 Area Teams supported by a number of national teams, was concluded during the period. This included a substantial change in NE's management structure. We relocated our offices in Worcester, Bristol, and Exeter in 2016 and 2017.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/natural-england-business-impact-target-assessments-may-2015-to-june-2017	

Northern Lighthouse Board	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	All Business Impact Target exemptions

Office for Fair Access (Offa)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Office for Fair Access (Offa)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
Offa has addressed a number of minor access agreement breaches.	Casework
Offa is devising new means to communicate evidence effectively through topic briefings on its website and other routes identified through its stakeholder engagement plan. Offa has set up an Access Agreement Reference Group to provide an opportunity for the schools and universities it regulates to make an input into how Offa operates.	Education, communications and promotion
Following sector consultation, since 2015 Offa has published a list of higher education providers for which it has identified minor breaches of access agreements.	Activity related to policy development
In order to improve regulatory practices and to extend its remit in line with new ministerial guidance, Offa has altered its management structure to increase the membership of its senior management team and its overall staff headcount.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.offa.org.uk/about/how-we-regulate/	

Office for Nuclear Regulation (ONR)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Office for Nuclear Regulation (ONR)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
No activity undertaken during the period.	Casework
<p>ONR's published 5 year strategic plan provides detail around the factors that influence its work, assumptions made about the nuclear environment going forward, and how it intends to deliver on the commitments made to key stakeholder groups.</p> <p>ONR has engaged with a broad range of stakeholders through interventions such as:</p> <p>Attending quarterly site stakeholder group meetings in the vicinity of each nuclear licensed site.</p> <p>Holding two industry conferences with key representatives of government, industry, regulators, and academia.</p> <p>Holding two engagement meetings with non-government organisations.</p> <p>Responding to more than 200 enquiries from a broad range of national and international media.</p>	Education, communications and promotion

Office for Nuclear Regulation (ONR)	
Summary of activity	Business Impact Target Exemption
<p>During the period ONR consulted industry on 20 International Atomic Energy Agency (IAEA) draft safety standards.</p> <p>ONR attended SDF Regulators' Forum meetings along with other nuclear industry regulators, the Nuclear Decommissioning Authority, and representatives from DECC/BEIS, using these meetings to discuss concepts of efficient and effective regulation to influence the delivery of strategic safety and security improvements.</p>	<p>Activity related to policy development</p>
<p>A new Chief Executive was appointed in January 2016, followed by a new Chief Nuclear Inspector in March 2016.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found here: http://www.onr.org.uk/corporate-publications.htm</p>	

Office for Standards in Education (Ofsted)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Office for Standards in Education (Ofsted)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
No activity undertaken during the period.	Casework
Ofsted provides information to enquirers via its general helpline and via its website. Ofsted held framework launch events before the common inspection framework was introduced in September 2015.	Education, communications and promotion
Ofsted worked with DfE and the Better Regulation Executive in the 'cutting red tape' review of childcare during 2016. Ofsted has been reviewing the relevant findings covering registration and inspection policy.	Activity related to policy development
Ofsted brought in-house the management, selection, and quality assurance of additional inspectors and inspection support for schools and further education and skills inspections. Ofsted brought in-house the management and quality assurance of inspectors for early years inspection/regulation. This was previously done by external contractors. Both were changes of structure/governance/employment for efficiency purposes and did not change Ofsted's responsibilities and functions.	Changes to management of regulator

Office for Standards in Education (Ofsted)	
Summary of activity	Business Impact Target Exemption
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/ofsted-business-impact-target-bit	

Office of Communications (Ofcom)	
Summary of activity	Business Impact Target Exemption
<p>Ofcom implemented EU regulations as follows:</p> <p>The Broadband Cost Reduction Directive.</p> <p>Made mobile user terminals in the 3400 to 3800 MHz (3.4 GHz band) that comply with certain technical parameters licence exempt.</p> <p>Retained a requirement for all postal operators to establish, make available, and comply with transparent, simple, and inexpensive procedures for dealing with complaints of users of postal services.</p> <p>Made a decision relating directly to the implementation of the AVMS Directive in relation to non-domestic channels.</p> <p>We made changes to our licensing procedures regulations due to provisions in the Wireless Telegraphy Act which implemented the European Framework Directives.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
<p>Ofcom made seven provisions falling under this exemption, the most significant of which includes its Narrowband Market Review, and quality of service provisions for BT. The provisions mostly relate to regulation of BT because of its SMP and are as follows:</p> <p>Business Connectivity Market Review</p> <p>Charge controls on leased lines and dark fibre pricing</p> <p>Assessment of the VULA margin</p> <p>Narrowband Market Review</p>	<p>Economic Regulation</p>

Office of Communications (Ofcom)	
Summary of activity	Business Impact Target Exemption
<p>Reform of Openreach</p> <p>Quality of Service for WLR and MPF</p> <p>Review of Royal Mail Regulation</p>	
<p>As part of its Review of Regulation on Royal Mail, Ofcom decided to retain the safeguard cap, which falls under this exclusion category.</p>	Price Control
<p>Ofcom designated 105 as the single number for the national power cut and electricity network safety service.</p>	Civil Emergencies
<p>No activity undertaken during the period.</p>	Fines and Penalties
<p>Ofcom simplified charges to consumers for calling non-geographic numbers and, because of this, made minor modifications to the telephone number application form, necessary to implement its pro-competition decision. A second measure related to some aspects of Ofcom's Review of Royal Mail Regulation.</p>	Pro-competition
<p>No activity undertaken during the period.</p>	Large Infrastructure projects
<p>No activity undertaken during the period.</p>	Misuse of Drugs/National Minimum Wage

Office of Communications (Ofcom)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
Ofcom approved the 14th edition of the PhonePayPlus Code of Practice.	Industry Codes
Ofcom's casework included investigations into contraventions of the General Conditions, awarding community radio licences, investigating breaches of the Broadcasting Code, applying Code Powers to several operators, and individual spectrum enforcement cases, including taking individuals to court. Ofcom's other casework included considering requests to change radio licence formats and giving consent to the BBC and ITV to broadcast listed events.	Casework
No activity undertaken during the period.	Education, communications and promotion
Ofcom undertook a review of Public Service Broadcasting and set out a framework for spectrum sharing. It also set out its strategy for space spectrum, setting out advice on the design of a potential broadband USO, and is designing the auction for 2.3 and 3.4 GHz spectrum.	Activity related to policy development
Ofcom appointed new Board members and brought video-on-demand regulation in-house.	Changes to management of regulator

Office of Communications (Ofcom)	
Summary of activity	Business Impact Target Exemption
For more detail, this regulator's full NQRP summary can be found here: https://www.ofcom.org.uk/about-ofcom/policies-and-guidelines/business-impact-target	

Office of Gas and Electricity Markets (Ofgem)	
Summary of activity	Business Impact Target Exemption
Ofgem published 33 documents to reflect changes in EU regulations and did not include gold plating.	EU Regulations, Decisions and Directives and other international obligations
Ofgem published 108 documents about the energy network and/or system.	Economic Regulation
Ofgem published 133 documents regarding Revenue = Incentives + Innovation + Outputs.	Price Control
No activity undertaken during the period.	Civil Emergencies
Ofgem published 13 documents relevant to this exclusion.	Fines and Penalties
Ofgem published 67 documents relating to regulatory provisions that promote competition in the following areas: Competition in Connections Code of Practice Competition Market Authority Remedies Modifications to SLC 14 and 15 Offshore Transmission Owners	Pro-competition

Office of Gas and Electricity Markets (Ofgem)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
Ofgem published 175 decisions on industry's proposals to Industry Codes.	Industry Codes
Ofgem published 594 documents regarding specific investigation and specific enforcement activity, and individual licence decisions.	Casework
Ofgem published 139 documents which were educational.	Education, communications and promotion
Ofgem published 233 documents regarding its own policy development.	Activity related to policy development

Office of Gas and Electricity Markets (Ofgem)	
Summary of activity	Business Impact Target Exemption
Ofgem published five documents relating to changes to the organisation and management of its regulatory practices.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.ofgem.gov.uk/system/files/docs/2017/06/non-qualifying_regulatory_provisions_report.pdf	

Office of Qualifications and Examinations Regulation (Ofqual)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Office of Qualifications and Examinations Regulation (Ofqual)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>Issued two Notices of a Monetary Penalty with Notices of Cost Recovery, three Notices of Intention to Fine, one Direction to an awarding organisation to take specific action, 11 Undertakings by awarding organisations, and imposed seven Special Conditions.</p> <p>On local management information, Ofqual undertook four investigations of awarding organisations, 134 audits of awarding organisations, 119 recognition applications, and 403 complaint and whistleblowing cases.</p>	Casework
<p>Six communications were issued to awarding organisations in relation to the removal of the Regulatory Arrangements for the Qualifications and Credit Framework, closure of the unit bank, and introduction of a Regulated Qualifications Framework and Total Qualification Time criteria.</p> <p>Four communications were issued to awarding organisations in 2016 about changes in regulation arrangements for vocational qualifications in Northern Ireland.</p>	Education, communications and promotion
<p>Ofqual undertook consultations on:</p> <p>Removal of the Regulatory Arrangements for the Qualifications and Credit Framework and introduction of the Regulated Qualifications Framework/ Total Qualification Time criteria</p> <p>Removal of the Code of Practice for GCE & GCSE, setting of grade boundaries, and changes to arrangements for reviews of marking/ moderation and appeals</p> <p>New and amended statutory guidance to 13 General Conditions of Recognition</p>	Activity related to policy development

Office of Qualifications and Examinations Regulation (Ofqual)	
Summary of activity	Business Impact Target Exemption
<p>Changes to specifications for reasonable adjustments under Section 96 of the Equality Act 2010. Withdrawal of criteria for Entry Level qualifications</p> <p>Ofqual’s statistical releases</p> <p>Amending statutory guidance for condition G4</p> <p>Updating rules & guidance for project qualifications</p> <p>Withdrawing principal learning and Diploma rules</p> <p>Piloted a proposal to extend the grounds on which appeals can be made to an exam board against a review of marking decision.</p> <p>Conducted a risk-based audit pilot including four awarding organisations.</p> <p>Undertaken research in the following areas, which involved engagement with/requests for information from awarding organisations and/or schools:</p> <p>Validity of qualifications</p> <p>Proficiency specifications</p> <p>Mastery assessment (Functional Skills)</p> <p>For GCSE/ GCE:</p> <p>Standards comparability</p> <p>Standard setting</p> <p>Quality of marking</p> <p>Moderation</p> <p>Reviews of marking, moderation and appeals</p> <p>Investigation into ‘Sawtooth effect’ in assessment</p> <p>Modular v Linear qualifications</p> <p>Summer awarding</p> <p>Predictability of examined assessments</p> <p>The National Reference Test will provide additional information to support the awarding of GCSEs, with information from the Test considered each year by Ofqual and the exam boards prior to GCSEs being awarded.</p>	

Office of Qualifications and Examinations Regulation (Ofqual)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/business-impact-target-ofqual	

Office of Rail and Road (ORR)	
Summary of activity	Business Impact Target Exemption
<p>ORR carried out the following reviews of its published processes and guidance for addressing specific requirements of EU railway safety legislation:</p> <p>Reviewed risk prioritisation evidence that underpins the safety supervision strategy ORR is required to implement through Commission Regulation 2012/1077/EU on a common safety method for supervision, and published revised parts of that strategy.</p> <p>Updated guidance on the requirements of Directive 2007/59/EC on the licensing and certification of train drivers.</p> <p>Reviewed the process for certifying “entities in charge of maintenance” of rail vehicles in accordance with Commission Regulation 2011/445/EU.</p> <p>Reviewed the process for producing an annual safety report to the European Railway Agency, as required by Directive 2004/49/EC.</p> <p>Implemented Commission Regulation 2015/1136/EU, which updated one specific aspect of an existing regulation (from 2012) on railway safety risk assessment.</p> <p>The Railways (Access, Management and Licensing of Railway Undertakings) Regulations 2016 which transposed EU Directive 2012/34/EU came into force in July 2016. This new legislation meant that ORR had to make changes to its track access guidance and economic enforcement policy.</p> <p>ORR published new guidance and policy on access to the rail network and infrastructure management resulting only from changes to domestic legislation implementing revised EU law.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
<p>No activity undertaken during the period.</p>	<p>Economic Regulation</p>

Office of Rail and Road (ORR)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
Approval of changes to Network Rail's Network Code and the Delay Attribution Guide. Network Rail manages and maintains the Network Code. ORR has an approval role for changes prepared and sponsored by other parties.	Industry Codes

Office of Rail and Road (ORR)	
Summary of activity	Business Impact Target Exemption
<p>ORR's safety casework falls in four key areas:</p> <p>Giving regulatory approvals for regulated businesses' operational safety arrangements.</p> <p>Granting approvals (or exemptions) against requirements in railway-specific technical safety legislation, for example around train protection and level crossings.</p> <p>Enforcing compliance with health and safety law through proactive inspection of regulated businesses and reactive investigation of incidents.</p> <p>Licensing of train drivers on the mainline railway.</p>	Casework
<p>Introduced or updated seven factsheets on railway health and safety issues, including electrical safety and effluent discharge, and updated the Licensing Guidance to reflect the four week response timescales required by EU legislation.</p>	Education, communications and promotion
<p>Carried out a statutory Post-implementation Review of the Railways & Other Guided Transport Systems (Safety) Regulations 2006, which included a public consultation (including of affected regulated businesses).</p>	Activity related to policy development
<p>No activity undertaken during the period.</p>	Changes to management of regulator
<p>For more detail, this regulator's full NQRP summary can be found here: http://orr.gov.uk/about-orr/how-we-work/better-regulation</p>	

Office of the Immigration Services Commissioner	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-Competition
No activity undertaken during the period.	Large Infrastructure Projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Office of the Immigration Services Commissioner	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>The OISC carries out 350 audits per year (1 April – 31 March). There were 30 prosecutions and 228 appealable decisions during this period.</p> <p>No activities listed in this section represent a change in the burden of regulation placed on business, except where these result from a separate qualifying regulatory provision that has been assessed.</p>	Casework
<p>Held 17 seminars during this period on various aspects of complying with OISC Codes. There are ten Practice Notes and three Guidance documents that have been updated and amended during this period. There have been nine OISC Newsletters published during this period.</p> <p>No activities listed in this section represent a change in the burden of regulation placed on business, except where these result from a separate qualifying regulatory provision that has been assessed</p>	Education, communications and promotion
No activity undertaken during the period.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator

Office of the Immigration Services Commissioner	
Summary of activity	Business Impact Target Exemption
For more detail, this regulator’s full NQRP summary can be found here: https://www.gov.uk/government/publications/business-impact-target	

Office of the Regulator of Community Interest Companies (CIC)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Office of the Regulator of Community Interest Companies (CIC)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
Yearly statistics are published in the CIC Regulators Annual report.	Casework
The CIC Regulator raises awareness of CICs through promotion, primarily through digital engagement, including through webinars and other online communication tools.	Education, communications and promotion
The CIC Regulator is exploring avenues for making processes for CICs more efficient and is looking to develop better service level delivery times through new efficiencies in existing processing procedures and e-enablement.	Activity related to policy development
A new CIC Regulator was appointed in September 2015.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/non-qualifying-regulatory-provisions-summary-report	

Offshore Petroleum Regulator for Environment and Decommissioning (OPRED)	
Summary of activity	Business Impact Target Exemption
<p>BEIS (OPRED/Energy Infrastructure Planning Team) introduced in April 2017 the Offshore Petroleum Production and Pipe-lines (Environmental Impact Assessment & Other Miscellaneous Provisions) (Amendment) Regulations 2017 which:</p> <p>Transposed by 16th May 2017 the requirements of the amending EIA Directive 2014/52/EU with respect to the granting of consents by BEIS for offshore oil / gas and pipe-line projects and onshore pipe-line developments.</p> <p>Made minor corrections to the Offshore Petroleum Licensing (Offshore Safety Directive) Regulations 2015.</p> <p>Amended the Offshore Petroleum Activities (Conservation of Habitats) Regulations 2001 (which implement the requirements of the Habitats Directive 92/43/EEC and the Wild Birds Directive 79/409/EEC).</p> <p>In October 2016, BEIS/OPRED published an updated guidance document for the offshore hydrocarbons sector on the EU F-Gases Regulation and UK implementing legislation.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition

Offshore Petroleum Regulator for Environment and Decommissioning (OPRED)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>OPRED issued five enforcement notices (three under the Offshore Petroleum Activities (Oil Pollution Prevention and Control) Regulations 2005 and two under the Fluorinated Greenhouse Gases Regulations 2015), and one improvement notice under the Offshore Installations (Offshore Safety Directive) (Safety Case etc.) Regulations 2015.</p> <p>OPRED completed one prosecution and referred three further cases to the relevant prosecuting authorities.</p> <p>Over the same period, six civil penalties under the Greenhouse Gas Emissions Trading Scheme Regulations 2012 were issued.</p> <p>OPRED have also approved 12 Decommissioning Programmes for offshore oil and gas installations and pipelines under Section 29 of the Petroleum Act 1998 and retained eight Financial Security Agreements.</p> <p>No activities listed in this section represent a change in the burden of regulation placed on business.</p>	Casework

Offshore Petroleum Regulator for Environment and Decommissioning (OPRED)	
Summary of activity	Business Impact Target Exemption
<p>OPRED published an updated Guidance document on the Offshore Installations (Emergency Pollution Control) Regulations 2002 in July 2016.</p> <p>OPRED participated in regular meetings with Oil & Gas UK (OGUK), the industry representative body, and participates in the technical working groups set up by OGUK to discuss specific areas of interest.</p> <p>OPRED presented at two industry conferences on decommissioning as well as giving numerous presentations to operators and other stakeholders throughout the year.</p>	<p>Education, communications and promotion</p>
<p>In August/September 2016, BEIS circulated questionnaires to the offshore hydrocarbon and onshore pipe-line sectors which outlined the Department's proposals for transposing the amending EIA Directive 2014/52/EU by the specified deadline of 16th May 2017 and sought views on what the likely costs to industry would be as a result of complying with the Directive's amended/new requirements. Following the receipt of responses to the questionnaires, BEIS (OPRED/Energy Infrastructure Planning Team) launched a public consultation (running from 16th February to 16th March 2017) with the offshore hydrocarbon and onshore pipe-line sectors plus other interested stakeholders on legislative proposals for transposing the amended Directive.</p>	<p>Activity related to policy development</p>
<p>No activity undertaken during the period.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/business-impact-target-bit</p>	

Offshore Renewables Decommissioning (ORD)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Offshore Renewables Decommissioning (ORD)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>ORD issued 16 section 105 notices under the terms of the Energy Act 2004 requesting decommissioning programmes from developers of offshore renewable energy installations.</p> <p>ORD authorised six decommissioning programmes on behalf of the Secretary of State under the terms of the Energy Act 2004.</p> <p>ORD laid The Scotland Act 2016 (Commencement No. 4, Transitional and Savings) Regulations 2017, which transferred the Secretary of State's Energy Act functions in relation to the decommissioning of offshore renewable energy installations to the Scottish Ministers in relation to new sites from 1st April 2017.</p>	Casework
No activity undertaken during the period.	Education, communications and promotion
No activity undertaken during the period.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator

Offshore Renewables Decommissioning (ORD)	
Summary of activity	Business Impact Target Exemption
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/offshore-renewables-decommissioning-business-impact-target	

Oil & Gas Authority (OGA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
Following a public consultation, guidance has been published with regard to what the OGA will take into account when determining the amount of financial penalty to be imposed by a financial penalty notice under Section 45 of the Energy Act 2016. This is related to an administrative exclusion as published in the Written Ministerial Statement (3rd March 2016) of provisions concerning fines and penalties.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects

Oil & Gas Authority (OGA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>The OGA approved six Field Development Plan cases for offshore oil and gas fields.</p> <p>The OGA assisted companies in disputes over third Party Access to Upstream Oil and Gas infrastructure and intervened formally if necessary. During the reporting period, there was one Variation Notice issued and one minded to letter sent in connection with the formal powers.</p> <p>The OGA issued a total of 1,169 consents for production, venting, and flaring and 725 Pipeline Works Authorisations and associated consents from 8th May 2015. Awarded 143 Onshore and 58 Offshore licences.</p>	Casework
<p>The OGA published its Corporate Plan on 4th March 2016 outlining key priorities and plans for the period 2016-2021.</p> <p>The OGA published its 2015-16 Annual Report and Accounts highlighting the Authority's progress across the seven priority areas highlighted in the Corporate Plan.</p> <p>The OGA published an 'OGA Activity Plan 2017 and 2018' in March 2017, which described the ten priority areas where the Authority will direct its efforts to continue to ensure maximum impact over the next two years.</p>	Education, communications and promotion

Oil & Gas Authority (OGA)	
Summary of activity	Business Impact Target Exemption
<p>Seven strategies have been published by the OGA to support the Maximising Economic Recovery Strategy for the UK:</p> <ul style="list-style-type: none"> Asset Stewardship Strategy Supply Chain Strategy Information Management Strategy Exploration Strategy Technology Strategy Enhanced Oil Recovery Strategy Decommissioning Strategy <p>A Competition and Collaboration Note describing matters for industry to consider related to existing collaboration and competition laws in the relevant oil and gas markets.</p> <p>The OGA has presented its work at various workshops and conferences.</p>	
<p>The OGA issued consultation documents on the following areas:</p> <ul style="list-style-type: none"> Proposal to change the Model Clauses for Seaward Production Licences Proposed new OGA fees and amendment of the methodology to calculate the industry levy Proposed Financial Penalty Guidance OGA Corporate Plan (seeking views of industry and other stakeholders on the OGA's draft Plan and the 2016-17 industry levy) Habitats Regulations Assessment of 14th onshore licensing round 	Activity related to policy development

Oil & Gas Authority (OGA)	
Summary of activity	Business Impact Target Exemption
The OGA was established on 1st April 2015 as an executive agency of BEIS (formerly DECC), and transitioned to an arms-length Government Company (GovCo) on 1st October 2016, with the SoS for BEIS as the sole shareholder. This formalised the transfer of the SoS's regulatory powers in respect of oil and gas to the OGA, and granted it new powers.	Changes to management of regulators
For more detail, this regulator's full NQRP summary can be found here: https://www.ogauthority.co.uk/about-us/performance/business-impact-target-bit/	

Payment Systems Regulator (PSR)	
Summary of activity	Business Impact Target Exemption
<p>PSR implemented two EU Regulations: the Interchange Fee Regulation and the Payment Account Directive (PAD). In both cases, transposition was completed by HM Treasury, and PSR has implemented the regulations consistent with the Directive and these transpositions.</p> <p>PSR has also commenced work to implement the parts of the Second Payments Services Directive (PSD-2) that the PSR is expected to be responsible for.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
<p>No activity undertaken during the period.</p>	<p>Economic Regulation</p>
<p>No activity undertaken during the period.</p>	<p>Price Control</p>
<p>No activity undertaken during the period.</p>	<p>Civil Emergencies</p>
<p>No activity undertaken during the period.</p>	<p>Fines and Penalties</p>
<p>Introduced four measures to improve access to payment systems and the transparency of payment systems decision making:</p> <p>PSR General Direction 2: requires Bacs, CHAPS, C&CC and FPS to have objective, risk-based, and publicly disclosed access requirements which permit fair and open access (the 'Access Rule') and to report on compliance with the Access Rule (the 'Reporting Rule').</p> <p>PSR General Direction 3: requires LINK, Mastercard and Visa to publicly disclose their access requirements and to report on compliance with Part 8 of the PSRs 2009 ('Reporting Rule').</p>	<p>Pro-competition</p>

Payment Systems Regulator (PSR)	
Summary of activity	Business Impact Target Exemption
<p>PSR General Direction 4: requires Bacs, CHAPS, C&CC, FPS, and LINK to ensure appropriate representation of service-users' interests in the decision-making processes of their governing bodies and to report on compliance with this direction.</p> <p>PSR Specific Direction 1: Barclays, HSBC, Lloyds, and RBS must each publish clear and up-to-date information on its sponsor bank services in respect of access to, and use of, any non-card regulated payment system which is not Northern Ireland Cheque Clearing by an indirect payment service provider.</p>	
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>PSR received a single complaint regarding authorized push payment fraud.</p> <p>PSR undertook normal reporting activity for the regulated payment systems and banks against the applicable General and Specific Directions, and undertook initial enquiries on a number of matters to consider if they raised competition or regulation issues that needed to be addressed.</p>	Casework

Payment Systems Regulator (PSR)	
Summary of activity	Business Impact Target Exemption
PSR undertook communications and stakeholder management activity as part of fulfilling its role, and in relation to particular projects, including holding stakeholder meetings, workshops, consultations, and calls for input.	Education, communications and promotion
PSR undertook two market reviews in relation to payment systems infrastructure and indirect access to payment systems, as well as policy development work in relation to card payments. Additionally, PSR undertook policy scoping work in a number of areas, which have not imposed direct costs on business.	Activity related to policy development
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.psr.org.uk/psr-publications/news-announcements/business-impact-target	

Regulatory Delivery (RD)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
Over the past year, RD has issued ten Advice Notes, 43 Warning Letters, 14 Notices of Remedial Action, three civil sanctions, two Stop Notices, and one Enforcement Undertaking.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Regulatory Delivery (RD)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
Over the past year RD has undertaken over 2000 assessments and over 200 investigations.	Casework
Over the past year RD has answered 651 enquiries from industry and attended 187 seminars, exhibitions, trade shows, and individual support focussed meetings.	Education, communications and promotion
In the reporting period RD's interactions with policy leads have involved sharing expertise around the potential implications of EU Exit, as well as on impact assessments of changes to the regulations that it enforces, but where policy responsibility lies elsewhere.	Activity related to policy development
RD was created in April 2016 and since then a comprehensive review of team structure and approach to enforcement has been carried out.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/630241/rd-nqrp-summary-2016-17.pdf	

Rural Payments Agency	
Summary of activity	Business Impact Target Exemption
<p>RPA is the English 'Paying Agency' as defined in EU regulation and is responsible for administering Common Agricultural Policy schemes as defined in regulation.</p> <p>None of the activities associated with the administration and payment of schemes represent a change in burden of regulation on business.</p>	<p>EU Regulations, Decisions and Directives and other international obligations</p>
<p>No activity undertaken during the period.</p>	<p>Economic Regulation</p>
<p>No activity undertaken during the period.</p>	<p>Price Control</p>
<p>No activity undertaken during the period.</p>	<p>Civil Emergencies</p>
<p>No activity undertaken during the period.</p>	<p>Fines and Penalties</p>
<p>No activity undertaken during the period.</p>	<p>Pro-competition</p>
<p>No activity undertaken during the period.</p>	<p>Large Infrastructure Projects</p>
<p>No activity undertaken during the period.</p>	<p>Misuse of Drugs/National Minimum Wage</p>

Rural Payments Agency	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.</p> <p>RPA does enforce scheme rules and undertakes risk based selection. The selection of inspection and type conducted is defined in regulation (in particular 809/2014 but also associated guidance such as DSCG/2014/32) and so is exempt from the reporting requirements.</p>	Casework
<p>Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.</p> <p>Any guidance or other literature on gov.uk, sets out in plain English what is required should individuals or business wish to access support and provisions made available under the Common Agricultural Policy schemes. None of the material produced creates a new regulatory standard that businesses will be expected to follow.</p>	Education, communications and promotion
<p>Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.</p> <p>The RPA is an Executive Agency of the Department for Environment, Food and Rural Affairs and an EU Paying Agency. It is not responsible for policy development.</p>	Activity related to policy development

Rural Payments Agency	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Changes to management of regulator

Security Industry Authority (SIA)	
Summary of activity	Business Impact Target Exemption
European Directive 2005/36/EC on Recognition of Professional Qualifications is relevant to the SIA in respect of this exclusion.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
On Counter Terrorism, the SIA works alongside others in the public and private sector to facilitate greater collaboration between the state's counter-terrorism law enforcement community and the large numbers of private security operatives in areas such as door supervision, guarding, and CCTV in particular. The SIA has also worked with partner organisations and security businesses to produce successful Counter Terrorism workshops.	Civil Emergencies
The SIA revokes licences where the licensing criteria are no longer met and suspends licences if there is a threat to public safety. The SIA withdraws Approved Contractor Scheme (ACS) approval for any of the reasons detailed in Section 2.3 of the ACS terms and conditions. As of 28th February 2017, the SIA were conducting 25 criminal investigations relating to 32 businesses and 72 individuals.	Fines and Penalties
No activity undertaken during the period.	Pro-competition

Security Industry Authority (SIA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Large Infrastructure projects
SIA enforcement activity involves working with partners on national minimum wage infringement.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
As of 1st March 2017, the SIA is working on 37 compliance cases (lowest risk), 142 intervention cases, and two criminal investigations.	Casework
<p>The SIA held two Stakeholder Conferences to update stakeholders on SIA activity and discuss the private security industry, and hosted six industry events to communicate the changes to regulation from the SIA Technology Platform (STeP).</p> <p>There were six ACS Forums held to discuss the approved contractor scheme and changes to regulation from SteP. The SIA sends out monthly e-newsletters to Approved Contractors.</p> <p>On Child Sexual Exploitation, the SIA supported Nottinghamshire Police, Nottingham City Council, and Nottinghamshire Safeguarding Board in their efforts to combat Child Sexual Exploitation in the county. The SIA is also working with the Glasgow Child Sexual Exploitation Community Engagement Working Group, which concentrates on risks in the Night Time Economy (NTE).</p>	Education, communications and promotion

Security Industry Authority (SIA)	
Summary of activity	Business Impact Target Exemption
On Violence Reduction, the SIA has established internal and external working groups to lead its approach.	
<p>The Home Office conducted a periodic review of the SIA during 2016-17. Policy issues examined during this review included an examination of whether the SIA should continue to regulate the private security industry, the introduction of the licensing of businesses, the introduction of licensing of private investigations which would encourage buyers of private security to buy only services that are operating legally and/or above a certain quality standard, and deregulating individual licensing.</p> <p>In February 2017 the SIA concluded a public consultation on two topics relating to Door Supervision.</p> <p>In March 2017 the SIA revised its policy on the display of SIA licences.</p>	Activity related to policy development
The current Chief Executive, Alan Clamp, joined in June 2015.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.sia.homeoffice.gov.uk/Pages/about-business-impact-target.aspx	

Sports Ground Safety Authority (SGSA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Sports Ground Safety Authority (SGSA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
SGSA issued 94 annual licences in June 2015, one of which contained specific licensing change. SGSA issued 94 annual licences in June 2016, one of which contained specific licensing change.	Casework
Published guidance on “Alternative uses of sports grounds” which provides advice to stadium owners, operators, local authorities, promoters, and event organisers wishing to adapt existing sports grounds to safely accommodate a range of events, and to designers and architects to help inform the design of new multi-purpose venues. Published “Accessible Stadia Supplementary Guidance” which provides an update to earlier guidance.	Education, communications and promotion
In 2016 SGSA began a review of its oversight and licensing policies which led to a consultation on a wider definition of safety at sports grounds and the SGSA’s oversight and licensing policy.	Activity related to policy development
In April 2016 the SGSA appointed a Chief Inspector to oversee and manage the work of the inspectorate with a view to ensuring its regulatory approach remained consistent and proportionate across the country.	Changes to management of regulator
For more detail, this regulator’s full NQRP summary can be found here: http://www.safetyatsportsgrounds.org.uk/sites/default/files/documents/pdf/Business%20Impact%20Target.pdf	

The Pensions Regulator (TPR)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
In respect of the regulation of work-based pension schemes, TPR issued 72 penalty notices. In respect of the regulation of the automatic enrolment duties under the Pensions Act 2008, TPR issued 12,502 fixed penalty notices and 2038 escalating penalty notices.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects

The Pensions Regulator (TPR)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
In respect of the regulation of the automatic enrolment duties under the Pensions Act 2008, TPR's casework activity included 35,078 compliance notices, 1,379 unpaid contributions notices, 147 inspections, and one report published on cases of non-compliance under section 89 of the Pensions Act 2004.	Casework
<p>In respect of TPR's regulation of work-based pension schemes, there were four campaign drops since May 2015 supporting pension scams, 82 email-campaigns undertaken in this period, and 31 events to raise awareness of key areas of legislation such as the new provisions around Master Trusts (in the current Pensions Bill) were delivered.</p> <p>In respect of the regulation of the automatic enrolment duties under the Pensions Act 2008, TPR:</p> <p>Conducted eight campaigns raising awareness among employers about their automatic enrolment duties under the legislation.</p> <p>Conducted 12 webinars and participated at a total of 747 speaking events.</p>	Education, communications and promotion

The Pensions Regulator (TPR)	
Summary of activity	Business Impact Target Exemption
<p>Organised a total of 14 events and round tables to raise awareness about aspects of the automatic enrolment legislation with regular stakeholder forums.</p> <p>Sent over four million letters to around one million employers to let them know about the requirements they must comply with under automatic enrolment legislation.</p> <p>Updated its detailed guidance on automatic enrolment to reflect changes in legislation from April 2016 and April 2017.</p> <p>In respect of both the regulation of automatic enrolment and work-based pension schemes, TPR published a total of 81 publications, including monthly bulletins on declarations of compliance with automatic enrolment duties, quarterly bulletins on the use of TPR's powers, and the landscape of Annual Defined Benefit (DB) and Annual Defined Contribution (DC) pensions.</p> <p>TPR published an Annual Defined Benefit Funding Statement in May 2016.</p> <p>In respect of both the regulation of the automatic enrolment duties and work-based pension schemes, over the period TPR received and processed a total of 134 complaints and received and processed a total of around 130 Fol requests.</p>	
<p>In terms of consultations, TPR:</p> <p>Carried out one consultation on its Monetary Penalty Policy.</p> <p>Consulted on changes to the DC code of practice and its accompanying guides.</p> <p>Consulted on the changes to the DC compliance and enforcement policy and to its prosecution policy.</p>	Activity related to policy development

The Pensions Regulator (TPR)	
Summary of activity	Business Impact Target Exemption
<p>Carried out a consultation on its Innovation Plan.</p> <p>Regarding the regulation of work-based pension schemes, in this reporting period, TPR:</p> <p>Carried out five surveys on DC schemes to understand compliance with the DC code and legal duties and also to support the changes to the DC code of practice.</p> <p>Carried out a survey on DB schemes to monitor behaviour from trustees and employers and inform our strategy.</p> <p>Undertook two surveys on Public Service Pension Schemes to understand the audience and the standards of governance of these schemes.</p> <p>Carried out a survey and further qualitative research to understand record keeping practices among trust-based schemes.</p> <p>Carried out three surveys on the use of the new pension flexibilities introduced by the Government from April 2015 and on the exit charges applied by schemes to members who make use of some of these flexibilities.</p> <p>Regarding the regulation of automatic enrolment, during the period TPR:</p> <p>Carried out three surveys over different stages which looked at the level of employers' and intermediaries' understanding of the automatic enrolment duties.</p> <p>Carried out a survey over eight stages that employers must fill out once they complete their declaration of compliance with the regulator to inform TPR how they have complied with their duties.</p> <p>Carried out two surveys to support TPR's communications with employers regarding their duty to re-enrol certain staff every three years.</p>	

The Pensions Regulator (TPR)	
Summary of activity	Business Impact Target Exemption
<p>Carried out two surveys and qualitative interviews to inform its communications strategy regarding employers of carers and employers who will be subject to automatic enrolment duty from the first time they employ someone from October 2017.</p> <p>Developed two surveys on compliance issues and on the costs faced by micro employers in implementing automatic enrolment.</p> <p>Carried out a specific survey on the ongoing costs for employers of complying with the duties under the automatic enrolment legislation.</p> <p>Regarding the regulator as a whole, TPR carried out surveys on its website, on Customer Service, and on the perception among its regulated community on its performance against its objectives and values.</p>	
<p>No activity undertaken during the period.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found here: http://www.thepensionsregulator.gov.uk/docs/non-qualifying-regulatory-provision-summary-assurance-statement-nqrp.pdf</p>	

Traffic Commissioners for Great Britain	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	All Business Impact Target exemptions

Trinity House	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	All Business Impact Target exemptions

United Kingdom Assay Offices (UKAO)	
Summary of activity	Business Impact Target Exemption
The Assay Offices provide statistics to BEIS on a regular basis on cases where the EU Mutual Recognition Regulation had been invoked, and conserved and adopted technical recommendations of the International Hallmarking Convention.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large Infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

United Kingdom Assay Offices (UKAO)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
In 2016, the Assay Offices between them hallmarked 9,751,898 articles.	Casework
A set of guidance notes for hallmarking was produced by the Assay Offices and published on the website of the individual offices and on the British Hallmarking Council (BHC) website. The Assay Offices also funded the Touchstone Award. None of the material produced created a new regulatory standard that businesses will be expected to follow.	Education, communications and promotion
The Assay Offices formed the Technical Committee of the BHC and prepared suggestions for the latter in relation to changes of the Hallmarking Act and other related matters.	Activity related to policy development
The Assay Master of the Birmingham Assay Office changed during the reporting period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found at: https://www.assayofficelondon.co.uk/media/2067/rpc17-4129-beis-ao-nqrp-summary-fin.pdf	

UK Space Agency (UKSA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

UK Space Agency (UKSA)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
UKSA granted 24 Outer Space Act licences during the reporting period and wrote to 18 space operators to check they were complying with their licence conditions. No non-compliance notices were issued. Separately, UKSA obtained satellite orbital location details from other sources (not licensees).	Casework
<p>An amendment to cap the previously unlimited liability for operators licensed under the Outer Space Act came into force on 1st October 2015. A consultation was undertaken, an impact assessment was produced and validated, and all relevant procedures were followed.</p> <p>Additionally, UKSA co-chaired a Regulatory Advisory Group with representatives of the space industry which considered, amongst other things, the competitiveness of the UK's space regulatory regime. UKSA also presented aspects of its regulatory regime at various fora.</p> <p>UKSA helped organise two information events pertaining to the Space Flight Bill.</p>	Education, communications and promotion
<p>UKSA conducted a review to evaluate how its regulatory approach might be tailored for small satellite systems, the outcome of which was a series of recommendations. Comments on these recommendations and associated observations/suggestions were invited from industry via UKSA's website.</p> <p>The UKSA worked closely with DfT, CAA and other partners to create the Space Flight Bill which was published in February 2017.</p>	Activity related to policy development

UK Space Agency (UKSA)	
Summary of activity	Business Impact Target Exemption
A new UK Space Agency CEO has been appointed in April 2017.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/uk-space-agency-business-impact-target-2016-17	

Vehicle Certification Agency	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	All Business Impact Target exemptions

Veterinary Medicines Directorate (VMD)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
No activity undertaken during the period.	Economic Regulation
No activity undertaken during the period.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
No activity undertaken during the period.	Pro-competition
No activity undertaken during the period.	Large infrastructure projects
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage

Veterinary Medicines Directorate (VMD)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Systemic Financial Risk
Worked with industry on revisions to the SQP Retailer Code of Practice.	Industry Codes
<p>VMD issued 300 marketing authorisations for veterinary medicines and 55 animal test certificates for clinical trials for veterinary medicines. It also issued two autogenous vaccine authorisations.</p> <p>VMD issued 479 warning letters and 207 advisory letters relating to illegal veterinary medicines. VMD also issued 17 Seizure notices, 21 Improvement notices, and referred 61 cases to its investigation team. VMD completed 648 cases relating to medicinal claims for unauthorised medicinal products.</p> <p>VMD carried out inspections into 440 Feed Business Operators, 757 SQP retailers, 1048 Vet practice premises, 83 veterinary medicines manufacturing sites, and 110 veterinary medicines wholesale dealing sites.</p> <p>VMD processed 12,064 reports of adverse events from veterinary medicines.</p> <p>VMD issued 40,971 import certificates and 1,234 export certificates.</p> <p>VMD took 57,630 samples from food producing animals and their products and issued 245 non-compliant results for residues of veterinary medicines and prohibited substances.</p>	Casework

Veterinary Medicines Directorate (VMD)	
Summary of activity	Business Impact Target Exemption
<p>Attended a number of events with VMD publicity stand.</p> <p>Sent out various newsletters and articles aimed at stakeholders and retailers of veterinary medicines.</p> <p>Delivered three sector engagement forums and participated in European Antibiotic Awareness Day/World Antibiotics Awareness Week. Also held the second Antibiotic Resistance Summit.</p>	<p>Education, communications and promotion</p>
<p>No activity undertaken during the period.</p>	<p>Activity related to policy development</p>
<p>VMD's Director of authorisations, Jackie Atkinson, left the organisation and was replaced by Marie-Odile Hendrickx.</p>	<p>Changes to management of regulator</p>
<p>For more detail, this regulator's full NQRP summary can be found here: https://www.gov.uk/government/publications/publications-under-section-24a-small-business-enterprise-and-employment-act-2015</p>	

Water Services Regulation Authority (Ofwat)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	EU Regulations, Decisions and Directives and other international obligations
Published the company performance and monitoring framework in which OFWAT set out annual information requirements for monopoly companies to publish in their annual performance reports.	Economic Regulation
OFWAT's regulatory accounting guidance set out the information that companies must publish to allow it (and others) to assess company performance against their commitments (including in price controls) and compliance with their obligations within their licence and legislation.	Price Control
No activity undertaken during the period.	Civil Emergencies
No activity undertaken during the period.	Fines and Penalties
OFWAT opened the business retail market for water and waste water services.	Pro-competition
The Thames Tideway Tunnel is a major infrastructure project which will achieve compliance with the Urban Waste Water Treatment Directive.	Large infrastructure projects

Water Services Regulation Authority (Ofwat)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Misuse of Drugs/National Minimum Wage
No activity undertaken during the period.	Systemic Financial Risk
No activity undertaken during the period.	Industry Codes
<p>The water and sewerage companies and sector that Ofwat regulates are subject to a series of obligations set out in legislation and/or in water and waste water companies' licences. Ofwat has specific legal responsibilities with respect to implementing and/or enforcing these obligations which are managed through its casework function.</p> <p>Ofwat opened 23 cases under the Water Industry Act 1991 of which 16 have been closed (ten resulting in the issuing of determinations/decisions) and seven remain open.</p> <p>Ofwat granted 24 water licenses, 25 sewerage licenses, and 13 new appointment and variation licenses.</p>	Casework
No activity undertaken during the period.	Education, communications and promotion
Ofwat has engaged the sector to gain a deeper understanding of how companies approach and manage customer data.	Activity related to policy development

Water Services Regulation Authority (Ofwat)	
Summary of activity	Business Impact Target Exemption
No activity undertaken during the period.	Changes to management of regulator
For more detail, this regulator's full NQRP summary can be found here: http://www.ofwat.gov.uk/about-us/our-performance/business-impact-target/	

Annex C: Mitigating disproportionate impacts on smaller businesses

The Small Business, Enterprise and Employment Act 2015 requires the Government's Business Impact Target Report to describe the action taken by Government departments to mitigate any disproportionate economic impacts on smaller businesses (including voluntary or community bodies) from Regulatory Provisions (see table six).

Table six: Action taken by Government departments to mitigate any disproportionate economic impacts on smaller business during the first and second Business Impact Target reporting period

Department	Title of measure	Description of mitigating action
Department for Environment, Food and Rural Affairs	Single Use Plastic Carrier Bag Charge (England) Order 2015	In response to industry concerns, the Government decided to only apply the requirement to large retailers. However, since the charge was introduced the Government has encouraged small retailers to introduce a voluntary 5p charge for carrier bags. The 25 Year Plan for the Environment committed the Government to extend the charge on a mandatory basis to small retailers if voluntary approaches were deemed not to be working effectively.
Department for Environment, Food and Rural Affairs	Amendments to environmental permitting guidance on waste incineration (small waste oil burners)	The policy allowed time for users of small waste oil burners to allow them to use waste oil until they transitioned to their preferred source of heating in April 2016. This was expected to help mitigate the potentially disproportionate impact on small and micro businesses of requiring users of small waste oil burners to consider alternative sources of heating.

Table six: Action taken by Government departments to mitigate any disproportionate economic impacts on smaller business during the first and second Business Impact Target reporting period

Department	Title of measure	Description of mitigating action
Department for Business, Energy and Industrial Strategy	Pubs Statutory Code and Adjudicator secondary regulations	The Code only applies to pub-owning businesses with 500 or more tied pubs, none of which are small businesses.
HM Treasury	SME Credit Information	No lenders that are micro-enterprises are caught by the requirement to share all relevant credit data on their small and medium enterprise customers with Credit Reference Agencies that hold information on businesses for the purpose of credit scoring.
Department for Business, Energy and Industrial Strategy	Modifications to the Standard Conditions of Electricity Supply & Gas Supply Licences (Machine-Readable Codes)	The Government set a threshold that means smaller suppliers are not caught by these requirements – only suppliers with more than 50,000 gas or electricity accounts are in scope.
Home Office	Right to rent scheme	The Government developed a number of mitigations to ease burdens on landlords and letting agents including a simplified list of documents, no retrospective application, and a transition period to allow time for familiarisation with the new requirements.

Table six: Action taken by Government departments to mitigate any disproportionate economic impacts on smaller business during the first and second Business Impact Target reporting period

Department	Title of measure	Description of mitigating action
Department for Transport	Changes to bus legislation	The Government has attempted to mitigate the impacts on small businesses by requiring local authorities to state clearly in their consultation materials how they propose to facilitate the involvement of small enterprises, in conducting franchising procurement processes. The local authority will also be required to consult all incumbent operators to get their views on the proposed approach to involving small enterprises in the process. It is anticipated that local authorities are likely to take the views of incumbents into account and think carefully about small enterprises when designing their procurement processes.
Department for Transport	Bus Services Act - accessible information requirement (bus accessibility)	Any small and micro operator that is required to provide Audio and Visual announcements will be provided with financial support.
Department for Education	Regulations made under section 78 of the Equality Act 2010	There will be no effect on small and micro businesses because they are not caught by this legislation – it only applies to those with at least 250 employees.

Annex D: Wider impacts of the most significant measures

In its December 2016 response¹⁵ to the Public Accounts Committee's report on Better Regulation the Government committed to set out the wider impact of each significant measure. Consequently, the Qualifying Regulatory Provisions of Government departments with the most significant impact on business are listed below alongside a description of wider impacts (see table seven).

Table seven: Wider impacts of the most significant Qualifying Regulatory Provisions

Department	Title of measure	Description of wider impacts
Department for Business, Energy and Industrial Strategy	Energy Company Obligation scheme (expiry of pre-existing scheme and introduction of transitional scheme)	This measure is intended to drive an increase in the uptake of energy efficiency measures in the residential sector. Households that have energy efficiency measures installed will benefit from energy savings, increased comfort from warmer homes, and improved health. Society will also benefit from reduced carbon emissions as well as improved air quality.
Department for Work and Pensions	The Employers' Duties (Implementation) (Amendment) Regulations 2016	Aligning contribution rate increases with the start of the tax year creates small costs for individuals. Individuals will receive marginally less income related in-work benefits and overall levels of social welfare from "consumption smoothing" will be negligibly lower as a result of this change. Individuals will receive trivially more income related retirement benefits.

¹⁵ https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/577907/57980_Cm_9389_Treasury_Minute_Accessible.pdf

Table seven: Wider impacts of the most significant Qualifying Regulatory Provisions		
Department	Title of measure	Description of wider impacts
Department for Environment, Food and Rural Affairs	Single Use Plastic Carrier Bag Charge (England) Order 2015	This measure is expected to reduce single-use plastic bag consumption, which is expected to reduce litter, greenhouse gas emissions, resource use, waste generation, and the associated costs of waste treatment.
HM Treasury	Cheque Imaging	Speeding up cheque clearing means consumers will be able to receive funds more quickly, provide recipients of cheques with greater certainty over when they will receive the funds, and enable greater customer convenience and choice in ways to pay. In particular, this may help customers with limited access to a bank branch, since some banks may offer customers the ability to pay in cheques using their smart phone.
Department for Communities and Local Government	Permitted development rights for the change of use of offices, light industrial buildings, and launderettes	The permitted development right for the change of use reduces planning bureaucracy and provides greater planning certainty. The measure will reduce the administrative cost of the planning system on individuals and planning authorities, support an increase in housing supply to meet the needs of the community and the wider economy, and make better use of existing buildings.
Department for Environment, Food and Rural Affairs	Reform of Defra Guidance	Reforming guidance issued by the Defra and the methods of data collection by Defra's regulators will benefit the taxpayer through a reduction in IT, data, and data administration costs.

Table seven: Wider impacts of the most significant Qualifying Regulatory Provisions		
Department	Title of measure	Description of wider impacts
Department of Health	The Standardised Packaging of Tobacco Products Regulations 2015	Standardised tobacco packaging is intended to improve public health by discouraging young people from taking up smoking, supporting quitting among smokers who want to quit, and helping people who have quit avoid a relapse back into smoking. Achieving these aims will improve the health of those who never start to smoke and those who succeed in quitting smoking. There may also be wider benefits such as a narrowing of health inequalities and a reduction in the levels of exposure to second hand smoke, which is particularly harmful to the health of children.
Department for Business, Energy and Industrial Strategy	The Insolvency (Protection of Essential Supplies) Order 2015	This measure is intended to enhance the prospects of successful business rescue, leading to improved returns to creditors and greater employment preservation.
Department for Business, Energy and Industrial Strategy	Smart meter roll-out	The provision of accurate, timely information on energy use is intended to encourage customers to reduce their energy consumption, which in turn will save customers money on their energy bills and, through reduced energy usage, will contribute to an improvement in air quality. Smart metering is also likely to result in stronger competition between energy suppliers due to increased ease of consumer switching and improved information on consumption and tariffs. An end to estimated billing and more convenient switching between credit and pre-payment arrangements will improve the customer experience.
Department for Communities and Local Government	Permission in principle for brownfield registers	The policy is intended to support a general increase in housing. It is envisaged that permission in principle through registers will provide early certainty for developers and communities on the suitability of sites for housing development and encourage investment in local areas.

CCS0318288658

978-1-5286-0330-0