




Department for
Business, Energy
& Industrial Strategy

DRAFT NATIONAL POLICY STATEMENT FOR GEOLOGICAL DISPOSAL INFRASTRUCTURE

A framework document for planning
decisions on nationally significant
infrastructure



Presented to Parliament pursuant to Section 5(4) and Section 9(8) of the
Planning Act 2008



© Crown copyright 2018

This publication is licensed under the terms of the Open Government Licence v3.0 except where otherwise stated. To view this licence, visit nationalarchives.gov.uk/doc/open-government-licence/version/3 or write to the Information Policy Team, The National Archives, Kew, London TW9 4DU, or email: psi@nationalarchives.gsi.gov.uk.

Where we have identified any third party copyright information you will need to obtain permission from the copyright holders concerned.

This publication is available at www.gov.uk/government/publications

Any enquiries regarding this publication should be sent to us at GDFlanduseplanning@beis.gov.uk

ISBN 978-1-5286-0088-0

CCS1017231396 01/18

Printed on paper containing 75% recycled fibre content minimum

Printed in the UK by the APS Group on behalf of the Controller of Her Majesty's Stationery Office

Contents

1. Introduction	5
1.1. Purpose	5
1.2 Infrastructure covered by this NPS	7
1.3 Geographical coverage	8
1.4 Consideration of deep borehole investigations	9
1.5 Consideration of geological disposal facilities	10
1.6 Sustainability considerations	11
1.7 Habitats considerations	12
1.8 Relationship with other NPSs	12
1.9 Period of validity and review	12
1.10 Summary of NPS Objectives	13
2. Government Policy on Management of Higher Activity Radioactive Waste	14
2.1 Government policy background	14
2.2 What is geological disposal?	16
2.3 Waste to be managed	17
2.4 Strategy for implementation	20
3. The need for geological disposal infrastructure	23
3.1 Introduction	23
3.2 The need for a geological disposal facility	23
3.3 The need for deep boreholes	26
3.4 Conclusion	27
4. Assessment Principles	28
4.1 General principles of assessment	28
4.2 Environmental Impact Assessment	29
4.3 Habitats Regulations Assessment	32
4.4 Alternatives	33
4.5 Criteria for 'good design' for geological disposal infrastructure	33
4.6 Climate Change Adaptation	35
4.7 Pollution Control and other Environmental Regulatory Regimes	37
4.8 Common Law Nuisance and Statutory Nuisance	39
4.9 Safety	40
4.10 Health	41
4.11 Security Considerations	41

5. Impacts	43
5.1 Introduction	43
5.2 Air quality	43
5.3 Noise	46
5.4 Biodiversity and Nature Conservation (including Flora and Fauna)	49
5.5 Climatic factors including climate change and adaptation	53
5.6 Historic Environment	55
5.7 Socio-economics, Population and Demographics	59
5.8 Flood risk and Coastal Change	62
5.9 Human Health	70
5.10 Landscape and Visual Impacts	71
5.11 Land use	75
5.12 Traffic and Transport	79
5.13 Waste Management	81
5.14 Water Quality (including surface and ground water quality and availability)	83
Glossary	1
Annex 1 – Illustrations of Geological Disposal Facilities	8

1. Introduction

1.1. Purpose

- 1.1.1 This National Policy Statement (NPS) sets out the need for nationally significant infrastructure projects (NSIPs) related to the geological disposal of higher activity radioactive¹ waste in England and the Government's approach to deliver them. It provides planning guidance for developers of nationally significant infrastructure projects on geological disposal infrastructure. Geological disposal infrastructure includes both:
- any deep geological facility for disposing of the waste - geological disposal facilities (GDFs). See further paragraph 1.2.1 for what constitutes a geological disposal facility.
 - the deep borehole investigations necessary to characterise the geology at a particular site to enable its suitability as a site for a geological disposal facility to be considered. See further paragraph 1.2.2 for what constitutes associated boreholes.
- 1.1.2 This NPS will be used as the primary basis for the examination by the Examining Authority, and for decisions by the Secretary of State, in considering development consent applications for geological disposal infrastructure that fall within the definition of nationally significant infrastructure projects as defined in Section 30A of the Planning Act 2008² ('the Planning Act'). Development in respect of any other type of geological disposal infrastructure (if any), other than as defined in Section 30A of the Planning Act, will be nationally significant infrastructure projects only if a direction under Section 35 of the Planning Act has been made designating the development as nationally significant³.
- 1.1.3 In making decisions on such applications, the Secretary of State must also have regard to any local impact report submitted by a local authority in accordance with the Planning Act, any matters prescribed that are relevant to the application, and any other matters which he considers are both important and relevant to any decision.
- 1.1.4 Pursuant to Section 104 of the Planning Act, the Secretary of State must decide an application for geological disposal infrastructure in accordance with this NPS, unless to do so would:
- lead to the UK being in breach of its international obligations;
 - be unlawful;
 - lead to the Secretary of State being in breach of any duty imposed by or under any legislation;
 - result in adverse impacts of the development outweighing its benefits;
 - be contrary to legislation about how the decisions are to be taken.

¹ Includes the following categories of radioactive waste: high level waste, intermediate level waste, a small fraction of low level waste with a concentration of specific radionuclides sufficient to prevent its disposal as low level waste, further information on the waste to be managed is given in Section 2.3.

² Planning Act 2008, chapter 29.

³ See Section 35, Planning Act 2008 – Directions in relation to projects of national significance.

- 1.1.5 In this NPS the terms ‘effects’, ‘impacts’ or ‘benefits’ should respectively be understood to mean significant effects, impacts or benefits. In this context, environmental, social and economic benefits and adverse impacts should be considered at national, regional and local levels.
- 1.1.6 In this NPS, the terms ‘applicant’ and ‘developer’ are used interchangeably and should be construed as being the same party.
- 1.1.7 This NPS provides a clear framework for those making development consent applications for geological disposal infrastructure; in particular setting out what should be included in their assessment of the potential impacts of a particular development and how these should be mitigated (see Section 5). It also sets out the need for geological disposal infrastructure and highlights the generic impacts of the proposed development that the applicant will have to consider when making an application for development consent in respect of geological disposal infrastructure.
- 1.1.8 The policy and guidance on generic impacts in Section 5 of this NPS may be helpful to local planning authorities in preparing their local impact reports, which they will be invited to prepare under Section 60 of the Planning Act.
- 1.1.9 In England, this NPS may also be a material consideration in making decisions on applications for development that fall within local authority planning regimes (for example under the Town and Country Planning Act 1990). Whether, and to what extent, this NPS is a material consideration, will be judged on a case by case basis. This NPS is not a site-specific document. That is, it does not identify specific locations where geological disposal infrastructure should be sited, but rather provides guidance relevant to the generic impacts of geological disposal infrastructure anywhere in England (see Section 1.3 on Geographical coverage) - that must be addressed in any development consent application in relation to such infrastructure.
- 1.1.10 The process of identifying a site for geological disposal infrastructure is separate from the process of considering development consent applications (see Section 2.4 for more detail on this). Any application for development consent is expected to be made following a separate process to identify a site for a geological disposal facility. These processes are distinct and both will require extensive public engagement. In line with current government policy as outlined in the 2014 White Paper ‘Implementing Geological Disposal’⁴ (the ‘2014 White Paper’), the siting process is expected to be led by the developer. Similarly, the process by which the relevant independent statutory regulators assess the nuclear safety, security and environmental protection of the facility is also distinct from the application for development consent.
- 1.1.11 References to published documents in this NPS are to those documents as may be revised or replaced from time to time. Where a successor document has been published, references in this NPS should be read as being references to those successor documents.
- 1.1.12 On 23 June 2016, the EU referendum took place and the people of the United Kingdom voted to leave the European Union. Until exit negotiations are concluded, the UK remains a full member of the European Union and all the rights and obligations of EU membership remain in force. During this period the Government will continue to negotiate, implement and apply EU legislation. The outcome of these negotiations will

⁴ <https://www.gov.uk/government/publications/implementing-geological-disposal>

determine what arrangements apply in relation to EU legislation in future once the UK has left the EU⁵.

- 1.1.13 This NPS and the accompanying documents refer to relevant EU Directives, the status of which within the UK will change once the UK has left the EU. References to a Directive in Sections 4 ('Assessment Principles') and 5 ('Impacts') of this NPS should, following the UK's departure from the EU, be read as references to the domestic legislation that implemented the Directive (including that domestic legislation as it is revised or replaced from time to time). References to a Directive elsewhere should be read in the context in which they appear.
- 1.1.14 The Environment Agency (EA) regulates the disposal of radioactive waste in England under the Environmental Permitting (England and Wales) Regulations 2016 (EPR2016). This legislation introduced staged regulation of a geological disposal facility, and an environmental permit will need to be granted by the Environment Agency before a developer can start borehole drilling, construction operations or emplacement of radioactive waste. Guidance⁶ was issued in 2009 on the Environment Agency's requirements for authorisation of geological disposal facilities on land for solid radioactive waste. The Environment Agency will be responsible for regulating the environmental aspects of the development (e.g. during the operation of the facility, managing the impacts of any discharges from the facility's ventilation system and requiring safety standards are met once the facility is closed, and the monitoring required).
- 1.1.15 A geological disposal facility will be subject to the requirements of the Nuclear Installations Act 1965 and will require a licence from the Office for Nuclear Regulation (ONR) before construction and operation of the facility. The Office for Nuclear Regulation will regulate the safety and security of the licensed site.

1.2 Infrastructure covered by this NPS

- 1.2.1 Nationally significant infrastructure in relation to the geological disposal of higher activity radioactive waste is defined in Section 30A of the Planning Act 2008 ('the Planning Act'). Applications for development consent relating to a geological disposal infrastructure will be made in accordance with the Planning Act. Geological disposal infrastructure, as defined in the Planning Act, comprises a facility in England (or within England's territorial waters up to the seaward limits of the territorial sea) that meets the following conditions:
- the main purpose of the facility is expected to be the final disposal of radioactive waste;
 - the part of the facility where radioactive waste is to be disposed of is expected to be constructed at a depth of at least 200 metres beneath the surface of the ground or seabed;

⁵ In so far as the context permits or requires, a reference to the European Union includes a reference to the European Atomic Energy Community.

⁶ Environment Agency, Northern Ireland Environment Agency (NIEA) 'Geological Disposal Facilities on Land for Solid Radioactive Wastes: Guidance on Requirements for Authorisation' February 2009:

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/296504/geho0209bpjm-e-e.pdf

- the natural environment which surrounds the facility is expected to act, in combination with any engineered measures, to inhibit the transit of radionuclides from the part of the facility where radioactive waste is to be disposed of to the surface⁷.
- 1.2.2 As well as the facility itself, deep boreholes are also included within the Planning Act as geological disposal infrastructure. This is defined as the construction of one or more boreholes, or the carrying out of any associated excavation, construction or building work, where:
- the borehole or boreholes will be constructed, and any associated excavation, construction or building work will be carried out, in England or waters adjacent to England up to the seaward limit of the territorial sea;
 - the borehole is expected to be constructed to a depth of at least 150 metres beneath the surface of the ground or seabed; and
 - the main purpose of constructing the borehole is to obtain information, data or samples to determine the suitability of a site for the construction or use of a radioactive waste geological disposal facility⁸.
- 1.2.3 Therefore this NPS covers both types of infrastructure projects – the deep boreholes necessary to determine the suitability of a site for a geological disposal facility, and the construction of a geological disposal facility itself. Applications for development consent for these projects may also include ‘associated development’ within the meaning of the Planning Act⁹. Development that does not fall within the definition of geological disposal infrastructure or associated development may require a separate application for planning permission to a local authority.

1.3 Geographical coverage

- 1.3.1 This NPS provides the framework for decision making on development consent applications for the construction of nationally significant infrastructure related to the geological disposal of higher activity radioactive waste in England, and beneath the seabed in waters adjacent to England up to the seaward limits of the territorial sea.
- 1.3.2 While the NPS applies in England only, the Appraisal of Sustainability and Habitats Regulations Assessment which informed this NPS (see Sections 1.6 and 1.7) considered the potential socio-economic and environmental impacts of nationally significant infrastructure related to geological disposal in Wales and Scotland, given their common borders with England.
- 1.3.3 Radioactive waste management is a devolved policy issue. In Scotland, Wales and Northern Ireland, planning consents for all radioactive waste projects are devolved to the Scottish Government, Welsh Government and Northern Ireland Executive respectively. This NPS only applies to proposals for development in England and the Secretary of State will not decide applications for development in other parts of the UK. Scotland has a different policy for the long-term management of higher activity radioactive waste.

⁷ See Section 30A (1) and (2), Planning Act 2008.

⁸ See Section 30A (4) and (5), Planning Act 2008.

⁹ https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/192681/Planning_Act_2008_-_Guidance_on_associated_development_applications_for_major_infrastructure_projects.pdf

1.4 Consideration of deep borehole investigations

- 1.4.1. Under the Planning Act, geological disposal infrastructure includes both geological disposal facilities and deep boreholes (for which see paragraph 1.2.1 and 1.2.2 of this NPS). Some of the environmental, social and economic impacts would be similar between these two activities, but they vary considerably in scale. The generic impacts of a geological disposal facility are likely to far exceed the generic impacts of deep borehole investigations as the scale of the infrastructure, both in terms of physical size and the period of time in which it will be operational, are considerably greater for the facility itself.
- 1.4.2. It is anticipated that multiple deep borehole investigations will be needed over the lifetime of the site characterisation programme¹⁰. Identifying the need for, and location of, deep boreholes during site characterisation is likely to be an iterative process; with the need and location of any deep boreholes required later in the process informed by the data obtained from earlier deep borehole investigations. For this reason, several separate applications for development consent for deep boreholes are likely to be made rather than one application for the total number of deep boreholes.
- 1.4.3. There is likely to be significant variation in the timing, phasing and number of deep boreholes required at different potential sites, as this will be highly dependent on the geological conditions at the respective sites. A full site characterisation programme is anticipated to take in the order of 10 to 15 years to complete (as an estimate of the time required for a borehole programme, based on a number of boreholes in a number of tranches).
- 1.4.4. For the purposes of this NPS, the construction and operational phase of deep borehole investigations refers to the drilling, on-going monitoring and remediation operations at any given site as well as associated excavation, construction or building work. Where the 'operational lifetime' is referred to in this NPS, this includes the construction (including any pre-construction works) and operation of a borehole, as well as including any remediation work or required borehole closure/decommissioning.
- 1.4.5. Due to the long-term nature of the site characterisation programme, and the anticipated iterative nature of the placement of deep boreholes, the applicant may need to make several applications for development consent for deep boreholes. To avoid having to make a separate application for every deep borehole that is constructed, applicants may submit one application for multiple deep boreholes (even if located many kilometres away from each other). Using this method, a tranche of deep boreholes can be considered under a single application for development consent. The applicant should be able to identify the approximate location of all the deep boreholes in that application to such a degree to enable the applicant to provide a specific and relevant Environmental Statement.
- 1.4.6. Applicants should seek advice from the statutory and other relevant consultees on their expectations for the nature and scope of information to be presented in an Environmental Statement¹¹ for the proposed boreholes locations.

¹⁰ When a potential site is identified, a programme of focussed geological investigations will take place (site characterisation); this will include a number of deep borehole investigations and will aim to characterise the subsurface to such a degree that the developer is confident a safety case can be made for a geological disposal facility.

¹¹ An Environmental Statement is a publicly available document. It sets out the developer's own assessment of the likely environmental effects of his proposed development. It is prepared by the developer and submitted with his planning application.

- 1.4.7. Where an application for development consent is for more than one borehole, the application should acknowledge that the details of the programme of deep borehole investigations may need to evolve over a number of years. The applicant should discuss the parameters of the programme with the Planning Inspectorate in advance of making an application for development consent. The applicant must set out in its application those clearly defined parameters within which that programme is expected to evolve. The Environmental Statement must take account of the likely evolution of the programme of boreholes within these parameters and reflect the likely significant effects of such flexibility within the proposed development. Further guidance on this approach is given in Advice Note No. 9 published by the Planning Inspectorate¹².

1.5 Consideration of geological disposal facilities

- 1.5.1. The application for development consent for a geological disposal facility will require significantly more assessment than an application for deep boreholes. Although the generic environmental and socio-economic impacts identified in Section 5 of this NPS apply to both geological disposal facility and deep boreholes, a broader assessment will be required for the geological disposal facility applications. The applicant should include information about the generic environmental and socio-economic impacts referred to in Section 5 of this NPS of the proposed development throughout the pre-construction, construction, operation and closure phases of the facility. The developer need not assess the generic impacts in Section 5 over the post-closure period as the Environment Agency will consider environmental impacts in the post-closure safety case submitted by the developer; environmental impacts arising during the post-closure period are therefore not considered as part of this NPS.
- 1.5.2. Construction of the underground disposal vaults and tunnels for the disposal of the waste are likely to continue during the operational period of the facility, i.e. in parallel with waste emplacement operations. This operational period is estimated to be approximately 150 years¹³. Development consent applications must therefore consider the long-term impacts of the facility, including explaining how the needs of future generations have also been considered. As part of any application for development consent, the applicant should be clear about the estimated operational lifetime and potential variances in this estimated timescale.
- 1.5.3. For the purposes of this NPS, 'closure' refers to the process of permanently closing the facility, by sealing any drifts, tunnels and access, as well as disassembling and decommissioning surface facilities. The period after the closure, once the facility has been sealed and the waste successfully disposed of, is referred to as post-closure. Where the 'operational lifetime' is referred to in this NPS, this refers to the construction (including pre-construction works) and operation of the facility, up to and including closure.
- 1.5.4. It is expected that a geological disposal facility will comprise both surface and underground facilities. For the purposes of this NPS, 'surface facilities' refers to any part of the facility that is built at or above the surface of the ground that is required for the appropriate operation of the facility. In any application, assessment of the generic impacts should include the cumulative impacts of surface and underground facilities.

¹² <http://infrastructure.planninginspectorate.gov.uk/wp-content/uploads/2013/05/Advice-note-9.-Rochdale-envelope-web.pdf>

¹³ 'Implications of the 2013 'Derived Inventory on the generic Disposal System Safety Case' report, available online at: <http://www.nda.gov.uk/publication/implications-of-2013-derived-inventory-on-gdssc/>.

- 1.5.5. It is possible that surface facilities and underground facilities could be located a significant distance apart depending on the characteristics of the potential site. When locating the surface facilities an applicant should give good reasons for the location of the site, which should be chosen to limit the total adverse impacts of the development as far as reasonably possible within safety and reasonable financial constraints.
- 1.5.6. Due to the long-term nature of the development, the applicant should take into consideration the need to retain the opportunity to maintain or upgrade infrastructure surrounding the facility over the lifetime of the proposed development. For example, the surface facility must be resilient to the variability in climate over the operational lifetime of the facility, and be able to operate efficiently as transport systems evolve over the lifetime of the proposed development.
- 1.5.7. Should an application be made for development consent for both deep boreholes and the construction of a geological disposal facility in a single application, the applicant should apply the assessment principles set out in Section 1.4 and 1.5 for the relevant part of the application.
- 1.5.8. The surface facilities could cover an area of approximately one square kilometre, although the layout of these facilities will be tailored to the site. The primary purpose of the surface facilities will be to receive waste packages from a port or the rail and road network, and transfer them to the underground disposal facilities. Illustrated diagrams can be found in Annex 1.

1.6 Sustainability considerations

- 1.6.1 Section 5(3) of the Planning Act requires that an Appraisal of Sustainability is carried out of the policy set out in the NPS. An Appraisal of Sustainability has been published alongside this NPS and has been carried out in such a way that it also satisfies the requirements of the Strategic Environmental Assessment (SEA) Directive¹⁴.
- 1.6.2 The Appraisal of Sustainability was undertaken alongside the development of this NPS and has informed the preparation of this NPS; the conclusions of this appraisal and how these have influenced the NPS are given in the separate Appraisal of Sustainability Report ('Appraisal of Sustainability of the National Policy Statement for Geological Disposal Infrastructure').
- 1.6.3 The Appraisal of Sustainability appraised the likely sustainability effects of implementing the draft NPS in delivering the Government's policy of geological disposal for higher activity radioactive waste, with a particular focus on:
 - the proposed NPS objectives set out in Section 1.10 of the draft NPS;
 - the proposed assessment principles and guidance on impacts and general siting considerations contained within Parts 4 and 5 of the draft NPS;
 - the reasonable alternatives to the draft NPS.
- 1.6.4 The assessments are largely qualitative in nature, due to a lack of quantitative data specific to project-level developments; quantitative data will be available at a later stage once a site has been identified. Expertise from the Department for Business, Energy

¹⁴ European Parliament and Council Directive 2001/42/EC on the assessment of the effects of certain plans and programmes on the environment. The Strategic Environmental Assessment Directive has been transposed in England by the UK Strategic Environmental Assessment Regulations, SI 2004/1633.

and Industrial Strategy's (BEIS) appointed contractor for the Appraisal of Sustainability report and reference to relevant legislation and guidance was used to predict effects where data was limited.

1.7 Habitats considerations

- 1.7.1 The NPS has also been assessed under the Habitats and Wild Birds Directives¹⁵ and the implementing regulations (the Conservation of Habitats and Species Regulations 2017) (the 'Habitats Regulations').
- 1.7.2 This NPS sets out the UK Government policy rather than specifying locations for new infrastructure, so the Habitats Regulations Assessment has been undertaken at a strategic level. The Habitats Regulations Assessment Report has been published alongside this NPS.
- 1.7.3 The Habitats Regulations Assessment considered the likely significant effects on European designated nature conservation sites (Special Areas of Conservation (SAC), Special Protection Areas (SPA) and Ramsar Sites) of delivering the Government's policy of implementing geological disposal for higher activity radioactive waste through the NPS. The approach adopted in this assessment covered:
- screening;
 - appropriate assessment;
 - assessment of alternatives; and
 - assessment of imperative reasons of overriding public interest (IROPI) and identification of compensatory measures.
- 1.7.4 The conclusions of this assessment are given in the separate Habitats Regulations Assessment Report ('National Policy Statement for Geological Disposal Infrastructure Habitats Regulations Assessment').

1.8 Relationship with other NPSs

- 1.8.1 This is a stand-alone NPS and does not form part of the suite of energy NPSs under EN-1. It is also separate from the NPS on hazardous waste infrastructure (hazardous waste facilities being distinct from the facilities for the geological disposal of higher activity radioactive waste).

1.9 Period of validity and review

- 1.9.1 The NPS will remain in its entirety unless withdrawn or suspended in whole or in part by the Secretary of State. It will be kept under review by the Secretary of State, in accordance with the requirements of the Planning Act, to ensure that it remains appropriate for decision making.

¹⁵ The European Council Directive (92/43/EEC) on the Conservation of Natural Habitats and of Wild Flora and Fauna (the Habitats Directive) and Directive 2009/147/EC (Codified version of Directive 79/409/EEC) on the conservation of wild birds.

1.10 Summary of NPS Objectives

1.10.1 In summary, this NPS addresses the following objectives:

- implementation of government policy on geological disposal for higher activity radioactive waste and the need for such infrastructure;
- to establish a clear and transparent planning process to guide the preparation and development of nationally significant infrastructure projects relating to the geological disposal of higher activity radioactive waste in England;
- to provide a planning process that enables infrastructure to be developed which will provide a long-term, secure, safe and sustainable solution to the disposal of higher activity radioactive waste;
- to provide guidance to nationally significant infrastructure developers on the relevant infrastructure, generic impacts and general siting considerations that may be needed to be taken into account when planning for the development of geological disposal infrastructure;
- to provide the primary basis for examination by the Examining Authority and for decisions by the Secretary of State, on development consent applications for geological disposal infrastructure;
- to provide policy and guidance on generic impacts to support any relevant local planning authorities in preparing their local impact reports, which they will be invited to prepare under Section 60 of the Planning Act.

2. Government Policy on Management of Higher Activity Radioactive Waste

2.1 Government policy background

- 2.1.1. In 2001, the UK Government and devolved administrations started the Managing Radioactive Waste Safely programme, with the aim of finding a practical long-term management solution for the UK's higher activity radioactive waste that:
- achieved long-term protection of people and the environment;
 - did this in an open and transparent way that inspired public confidence;
 - was based on sound science; and
 - ensured the effective use of public monies.
- 2.1.2. Between 2003 and 2006, a wide range of options for how to deal with the UK's higher activity radioactive waste was considered, from indefinite storage on or below the surface through to propelling the waste into space. This work was carried out by the independent Committee on Radioactive Waste Management (CoRWM) and involved extensive consultation with the public and expert groups.
- 2.1.3. In July 2006, the Committee on Radioactive Waste Management recommended¹⁶ that geological disposal, coupled with safe and secure interim storage, was the best available approach for the long-term management of the UK's legacy of higher activity radioactive waste. The Committee on Radioactive Waste Management stated that the aim should be to progress disposal as soon as practicable, consistent with developing and maintaining public confidence.
- 2.1.4. In October 2006, the UK Government and the devolved administrations published a response broadly accepting these recommendations¹⁷. After public consultation, two subsequent White Papers published in 2008 confirmed the Government's commitment to geological disposal for legacy waste¹⁸ and set out the Government's position on the use of geological disposal to dispose of higher activity radioactive waste generated as a result of new nuclear power stations¹⁹.
- 2.1.5. In addition to accepting the Committee on Radioactive Waste Management's recommendations on geological disposal as the best approach for the long-term management of the UK's legacy higher activity radioactive waste, the Government also accepted:

¹⁶ 'Managing our Radioactive Waste Safely' – the Committee on Radioactive Waste Management's Recommendations to Government, July 2006, available online at: <https://www.gov.uk/government/publications/managing-our-radioactive-waste-safely-corwm-doc-700>

¹⁷ Response to the Report and Recommendations from the Committee on Radioactive Waste Management, Defra, 2006, available online at: <http://130.88.20.21/uknuclear/pdfs/corwm-govresponse.pdf>

¹⁸ 'Managing Radioactive Waste Safely: A Framework for Implementing Geological Disposal', Defra, 2008, available online at: <https://www.gov.uk/government/publications/managing-radioactive-waste-safely-a-framework-for-implementing-geological-disposal>

¹⁹ Nuclear White Paper 2008: 'Meeting the Energy Challenge', Cm 7296, DBERR, 2008, pg.99, available online at: <https://www.gov.uk/government/publications/meeting-the-energy-challenge-a-white-paper-on-nuclear-power>

- a commitment to an intensified programme of research and development into the long-term safety of geological disposal; and
 - that developments in alternative waste management options should be actively pursued through monitoring of, and participation in, national or international research and development programmes.
- 2.1.6. In line with this other long-term management options will continue to be reviewed by the Nuclear Decommissioning Authority (NDA) and Radioactive Waste Management (RWM). At the moment, no credible alternatives have emerged that would accommodate all of the categories of waste in the inventory for disposal. In any realistic future scenario some form of geological disposal facility will remain necessary²⁰.
- 2.1.7. After the previous geological disposal facility siting process came to an end in 2013, the UK Government set out a new approach to siting a geological disposal facility that is based on the willingness of local communities to participate. The overarching policy framework for implementing geological disposal, including initial actions led by the UK Government and the developer to support the siting process, is set out in the 2014 White Paper. The 2014 White Paper updates and replaces the earlier 2008 White Paper, 'Managing Radioactive Waste Safely'. The siting process is separate from the process of considering development consent applications (see Section 2.4 for more detail on this).
- 2.1.8. The UK Government remains committed to the policy of geological disposal of higher activity radioactive waste for the reasons set out in the Committee on Radioactive Waste Management's 'Recommendations to Government', subsequent UK Government policy documents on radioactive waste management and Section 3 of this NPS. In June 2013, the Committee on Radioactive Waste Management issued a statement reiterating its commitment to geological disposal²¹.
- 2.1.9. In July 2011, following public consultation, the UK Government published the National Policy Statement for Nuclear Power Generation (EN-6)²² which provided guidance for decision-makers on the application of Government policy in determining development consent for new nuclear power stations. It concluded that the 2006 Committee on Radioactive Waste Management recommendations (that geological disposal, coupled with safe and secure interim storage, was the best available approach for the long-term management of the UK's legacy of higher activity radioactive waste) were also appropriate for the wastes from new nuclear power stations. It stated that the Government considers, based on scientific consensus and international experience, that despite some differences in characteristics, waste and spent fuel from new nuclear power stations would not raise such different technical issues compared with nuclear waste from legacy programmes as to require a different technical solution.
- 2.1.10. The UK Government remains satisfied that effective arrangements will exist to manage and dispose of the waste from new nuclear power stations. In EN-6, the Government set out the reasons why it was satisfied that such arrangements will exist. The Government has considered these conclusions in the production of the 2014 White Paper and this NPS and continues to be satisfied that they apply.

²⁰ 'Geological Disposal – Review of Alternative Radioactive Waste Management Options', NDA Report No. NDA/RWM/146, March 2017, available online at: <https://rwm.nda.gov.uk/publication/geological-disposal-review-of-alternative-radioactive-waste-management-options/>

²¹ CoRWM Statement on Geological Disposal, CoRWM Doc. 3122, June 2013, available online at: <http://bit.ly/1mCaHXv>

²² National Policy Statement for Nuclear Power Generation (EN-6) Volume II of II – Annex B, DECC, July 2011, available online at: <https://www.gov.uk/government/publications/national-policy-statements-for-energy-infrastructure>

2.2 What is geological disposal?

- 2.2.1. Geological disposal involves disposal of solid radioactive waste in a disposal facility located underground in a stable geological formation to provide long-term containment of the waste and its isolation from the surface environment.
- 2.2.2. This involves the use of multiple barriers that work together to provide protection over hundreds of thousands of years. It is not a case of simply depositing waste underground. The multiple barriers that provide safety are a combination of the following:
- the form of the radioactive waste itself e.g. high level waste that arises initially as a liquid is converted into a durable, stable, solidified-glass form before storage and disposal;
 - the packaging of the waste e.g. copper or stainless steel canisters or containers;
 - the engineered barriers (buffer) that protect the waste packages and limit the movement of radionuclides if they are released from the waste packages e.g. the use of an infill such as bentonite to surround emplaced packages;;
 - the engineered features of the facility that the waste packages are placed in e.g. the design and construction of the vaults and tunnels into which wastes are disposed; and
 - the stable geological setting (rock) in which the facility is sited.
- 2.2.3. Current forecasts show that a geological disposal facility could be open for construction and waste emplacement for around one hundred and fifty years²³.
- 2.2.4. Permanently sealing and closing a geological disposal facility once emplacement of waste has finished and operations have ceased provides for the greatest safety and security in the long term, and minimises the burden on future generations.
- 2.2.5. The purpose of a geological disposal facility is the final disposal of waste, not long-term storage of waste.

Number of geological disposal facilities

- 2.2.6. The UK Government has a strong preference to manage the inventory for disposal²⁴ in one geological disposal facility. The development of one site for geological disposal of the entire inventory would allow for the sharing of surface facilities, access tunnels, construction support and security provisions, leading to major cost savings, and lower environmental impacts²⁵.
- 2.2.7. However, it may be deemed impossible to dispose of all the waste in one geological disposal facility and so, it cannot be ruled out that more than one such facility will be required.

²³ These forecasts are provided by Radioactive Waste Management.

²⁴ The wastes that will be disposed of in a geological disposal facility are referred to in this document as the 'inventory for disposal'.

²⁵ The development of a geological disposal facility requires significant initial supporting infrastructure that will be required regardless of the size of a geological disposal facility. The inventory for disposal is therefore expected to have a limited impact on the scale of these facilities. Because this infrastructure does not scale linearly with the inventory the financial costs and environmental impact of developing these facilities would be duplicated should multiple geological disposal facilities be required.

2.3 Waste to be managed

- 2.3.1. Higher activity radioactive waste comprises a number of categories of radioactive waste, including high level waste , intermediate level waste , and low level waste that is not suitable for near-surface disposal in current facilities.
- 2.3.2. Higher activity radioactive waste is produced as a result of the generation of electricity in nuclear power stations, from the associated production and reprocessing of the nuclear fuel, from the use of radioactive materials in industry, medicine and research, and from defence-related nuclear programmes.
- 2.3.3. As a pioneer of nuclear technology, the UK has accumulated a legacy of higher activity radioactive waste and material. Some of this has already arisen as waste and is being stored on an interim basis at nuclear sites across the UK. More will arise as existing facilities reach the end of their lifetime and are decommissioned and cleaned up, and through the operation and decommissioning of any new nuclear power stations.
- 2.3.4. In addition to existing wastes, there are some radioactive materials that are not currently classified as waste but would, if it were decided at some point that they had no further use, need to be managed as wastes through geological disposal. These include spent fuel (including spent fuel from new nuclear power stations), plutonium and uranium.
- 2.3.5. The wastes that will be disposed of in a geological disposal facility are referred to in this document as the 'inventory for disposal'. The types and amounts of waste that make up this inventory for disposal will be important for the final development proposals because the layout and design of any disposal facility will need to be tailored to them. Therefore it will be the responsibility of the developer to state clearly in the application for development consent the nature and amount of waste expected to be disposed of in the facility.
- 2.3.6. The different types of radioactive waste and nuclear material that will make up the inventory for disposal are described below:

High level waste (HLW)

- 2.3.7. High level waste is defined in the UK as radioactive waste in which the temperature may rise significantly as a result of its radioactivity, such that this factor has to be taken into account in designing storage or disposal facilities. High level waste arises in the UK initially as a liquid that is a by-product from the reprocessing of spent nuclear fuel. High level liquid waste is then converted into solid glass form using a treatment process called 'vitrification'. Current plans are that this solid glass waste be stored at the surface on an interim basis for a number of decades, to allow a significant proportion of the radioactivity to reduce through a natural decay process, and for the waste to become cooler, to make it easier to transport and dispose of in a geological disposal facility.

Intermediate level waste (ILW)

- 2.3.8. Intermediate level waste is defined in the UK as waste with radioactivity levels exceeding the upper boundaries for low level wastes, but which does not require heat to be taken into account in the design of storage or disposal facilities. Intermediate level waste arises mainly from the reprocessing of spent fuel and from general operations and maintenance at nuclear sites, and can include solid metal items such as fuel cladding and reactor components, and sludge from the treatment of radioactive liquid effluents. As decommissioning and clean-up of nuclear sites proceeds, more intermediate level waste will arise. Typically, intermediate level waste is treated in solid

form and packaged in purpose-designed containers, manufactured from stainless steel, iron or concrete or a mixture of these.

Low level waste (LLW)

2.3.9. Low level waste is the lowest activity category of radioactive waste. Low level waste currently being generated in the UK consists largely of paper, plastics and scrap metal items that have been used in hospitals, research establishments and the nuclear industry. Although low level waste makes up more than 90% of the UK's radioactive waste legacy by volume, it contains less than one-tenth of one per cent of the total radioactivity²⁶. Most low level waste in the UK is disposed of in a near-surface disposal facility where it is encapsulated in cement and packaged in large steel containers, which are then placed in an engineered vault a few metres below the surface. A small fraction of the total volume of low level waste cannot be disposed of in this way, due principally to the concentration of specific radionuclides and is intended to be disposed of in a geological disposal facility.

Other nuclear material

2.3.10. Another potential aspect of the inventory for disposal is nuclear material that is not currently classified as waste but could be at some point in the future, if it is deemed to have no further use.

Spent fuel

2.3.11. Spent fuel currently arises in the reactors of the operational nuclear power stations in the UK. It consists mostly of uranium, although also includes plutonium and fission products. Spent fuel can either be reprocessed to extract reusable uranium and plutonium, or held in long-term storage for direct disposal or reprocessing at some time in the future. The question of whether to reprocess, and if so when, is a matter for the commercial judgement of the owner of the spent fuel, subject to meeting the necessary regulatory requirements. The current strategy for irradiated fuel from UK nuclear powered submarines is a period of interim storage, followed by appropriate conditioning and packaging, prior to disposal in a geological disposal facility.

Plutonium

2.3.12. Plutonium is produced during the irradiation of fuel in a nuclear reactor. Reprocessing of spent fuel separates the plutonium from all the other products. Plutonium in the UK is stored in custom built facilities, specially designed to keep it safe and secure.

2.3.13. A priority for UK government is to find a long-term management solution for the plutonium inventory. This will be done either as re-use as fuel, immobilisation²⁷ followed by direct disposal, or a combination of both. Immobilisation followed by disposal will be required for at least some of the inventory as not all is suitable for re-use. Only when the government is confident that its preferred option could be implemented safely and securely, that it is affordable, deliverable, and offers value for money, will it be in a position to proceed. In any of these cases, the end product will ultimately be safely and securely disposed of in a geological disposal facility.

²⁶ Nuclear Decommissioning Authority, 'Radioactive Wastes in the UK: A Summary of the 2016 Inventory', page 14, available online at: <https://ukinventory.nda.gov.uk/wp-content/uploads/sites/18/2017/03/High-Level-Summary-UK-Radwaste-Inventory-2016.pdf>

²⁷ The aim of plutonium disposition by immobilisation is to incorporate plutonium, at the atomic scale, within a suitable host material, yielding a passively safe and proliferation resistant waste package for final disposal.

Uranium

2.3.14. Uranium arises typically from either fuel manufacture, enrichment processes or from reprocessing spent fuel after irradiation in a nuclear reactor. Uranium is currently stored securely at the surface level, in different forms, at fuel manufacture, enrichment and reprocessing sites.

Inventory for disposal

2.3.15. The specific types of higher activity radioactive waste (and nuclear materials that could be declared as waste) which would comprise the inventory for disposal in a geological disposal facility are:

- high level waste arising from the reprocessing of spent nuclear fuel;
- intermediate level waste arising from existing nuclear licensed sites, and defence, medical, industrial, research and educational activities;
- the small proportion of low level waste that is not suitable for disposal in the national Low Level Waste Repository;
- spent fuel from existing commercial reactors (yet to be declared waste) and research reactors that is not reprocessed;
- spent fuel (yet to be declared waste) and intermediate level waste from new nuclear power stations up to a defined amount (see paragraph 2.3.16 below);
- plutonium stocks - in a form suitable for long-term disposal (this may be following re-use and subsequently contained in spent nuclear fuel, immobilised, or a combination of both);
- uranium stocks – including that arising from enrichment and fuel fabrication activities (yet to be declared waste);
- irradiated fuel and nuclear materials (yet to be declared waste) from the UK defence programme.

2.3.16. It is not anticipated that, as component parts of the inventory for disposal in a geological disposal facility, the categories of waste and material listed above will change significantly. The volumes of these wastes and materials are regularly assessed, revised and made publicly available as part of the UK Derived Inventory (also referred to as the Inventory for Geological Disposal)²⁸. Volumes are subject to change due to a number of factors, including improvements to the estimates of waste that will arise from planned operations and decommissioning programmes. Government policy also requires users of radioactive materials to minimise the radioactive waste requiring disposal, and this is regulated by the independent nuclear regulators. When an application is made for development consent for a geological disposal facility there will remain some uncertainty on the volumes of these wastes (e.g. the precise volume of waste from new nuclear power stations will not be known). Including Hinkley Point C, there are current industry-proposed projects for new nuclear power stations with a total capacity of 18GW.

²⁸ See the Radioactive Waste Management website for the most up to date information, available online at: <https://rwm.nda.gov.uk/publication/2013-derived-inventory/>

2.4 Strategy for implementation

Policy Framework for Implementation

- 2.4.1. The 2014 White Paper set out the UK Government's framework for managing higher activity radioactive waste in the long term through geological disposal. This will be implemented alongside on-going interim storage and supporting research.
- 2.4.2. This NPS does not seek to identify areas or sites where a geological disposal facility could or should be located, nor where deep borehole investigations could or should take place. Neither does the NPS describe a siting process for a geological disposal facility, which is a separate process from any application for development consent.
- 2.4.3. Implementing geological disposal, including identification and characterisation of potential sites, is the responsibility of the developer, working in partnership with a community. The length and scale of technical investigations will be highly dependent on the characteristics of the area being assessed, but will likely comprise of non-intrusive and intrusive (borehole) investigations, feeding into extensive and iterative modelling of the subsurface environment.
- 2.4.4. The developer will need to demonstrate in their application for development consent the suitability of potential sites for investigation, and subsequently for construction of a geological disposal facility. This demonstration should be in terms of the properties of the geological environment and how these properties may contribute to the safety of a geological disposal facility. The Examining Authority and the Secretary of State should also be satisfied that the applicant has demonstrated the suitability of the proposed site for a geological disposal facility with reference to the proposed inventory for disposal at that site.
- 2.4.5. In addition to the application for development consent, the contribution of the geological environment to the safety of a geological disposal facility will be considered by the Environment Agency through the environmental permitting regulations. The developer will need to demonstrate the suitability of a potential site for a construction of a geological disposal facility with reference to the proposed inventory for disposal at that site. The Office for Nuclear Regulation will consider nuclear safety, conventional health and safety, and security of a geological disposal facility through nuclear site licensing.

Relationship between the regulatory framework and the planning regime

- 2.4.6. Permitting and licensing are separate regulatory processes which will apply to geological disposal infrastructure. To avoid unnecessary duplication and/or delay and to ensure that planning and regulatory expertise are focussed on the most appropriate areas, when considering a development consent application the Examining Authority and the Secretary of State should act on the basis that:
 - the relevant licensing and permitting regimes will be properly applied and enforced;
 - it should not duplicate the consideration of matters that are within the remit of the Environment Agency and the Office for Nuclear Regulation (see paragraph 2.4.7 below); and
 - it should not delay a decision as to whether to grant consent until completion of the licensing or permitting processes (see paragraphs 2.4.8 and 2.4.9 below).

- 2.4.7. Certain matters are for consideration by the Environment Agency and the Office for Nuclear Regulation; the Examining Authority and the Secretary of State should not duplicate the consideration of these matters. Such matters include the geological suitability of potential sites to safely host a geological disposal facility.
- 2.4.8. Applicants should have involved the Environment Agency and the Office for Nuclear Regulation early enough during the pre-application stage so that they have had the opportunity to incorporate the relevant regulators' requirements in proposals where appropriate. However, the Secretary of State can consider and determine an application for development consent where the permitting process is still in progress, because the Secretary of State can seek and rely on advice from the Environment Agency on whether the necessary permits are likely to be issued. Consent should not be refused on the grounds of matters within the remit of the regulators unless the Secretary of State has good reason to believe that any necessary licence or permit will not subsequently be granted.
- 2.4.9. If the regulatory approvals process is incomplete the Secretary of State should also seek advice from the Environment Agency and the Office for Nuclear Regulation on any regulatory requirements that are likely to be attached and the anticipated timing of these processes; the Secretary of State should liaise with the Environment Agency and the Office for Nuclear Regulation over any relevant requirements he is considering attaching to a development consent. This is in order to ensure that where possible the requirements attached to a Development Consent Order are consistent with the regulatory approvals process and vice versa.

Application for deep boreholes

- 2.4.10. When a potential site is identified, a programme of focussed geological investigations will take place (site characterisation). This will include a number of deep borehole investigations; boreholes of greater than 150 metres depth require development consent; deep boreholes will also require an environmental permit to be granted by the Environment Agency²⁹.
- 2.4.11. Before an intrusive site-investigation programme begins the developer will submit an Initial Site Evaluation to the Environment Agency, giving largely qualitative views on the feasibility of constructing a geological disposal facility at the potential site. The Environment Agency will need to understand from the Initial Site Evaluation how the developer might construct the environmental safety case for a geological disposal facility.

Application for a geological disposal facility

- 2.4.12. Deep borehole investigations will characterise the sub-surface to the extent that a Preliminary Environmental Safety Evaluation can be produced to support an application for an environmental permit for underground investigations and a pre-construction safety report to support an application for a nuclear site licence.
- 2.4.13. The Preliminary Environmental Safety Evaluation will present qualitative arguments supported by limited quantitative assessment based on available site knowledge and data to demonstrate the suitability of the site to the Environment Agency (see Section 4.7). The developer will also need to demonstrate to the Office for Nuclear Regulation

²⁹ See the Environmental Permitting (England and Wales) Regulations 2016, available online at: <https://www.legislation.gov.uk/ukSI/2016/1154/contents/made>

how it will manage both conventional and nuclear safety during excavation operations (see Section 4.9).

3. The need for geological disposal infrastructure

3.1 Introduction

- 3.1.1 There is a technical, ethical and legal need for the safe and secure management of the UK's higher activity radioactive waste in the long term. The development of geological disposal infrastructure is essential because it provides the best available practical means of ensuring the long-term safety and security of higher activity radioactive waste.
- 3.1.2 The UK Government's policy framework for managing higher activity radioactive waste in the long term specifically through geological disposal has been developed, consulted on and put into effect, prior to the development of this NPS. A summary of this policy can be found in Section 2.1 and references therein.
- 3.1.3 Both types of nationally significant infrastructure projects covered by this NPS, deep boreholes and a geological disposal facility, (see paragraphs 1.1.4 and 1.1.5 of this NPS) are required to successfully implement the Government's policy on the long-term management of radioactive waste. The main drivers of need for geological disposal infrastructure covered by this NPS are summarised below.

3.2 The need for a geological disposal facility

- 3.2.1 There is a need for a long-term management solution for higher activity radioactive waste through the development of a geological disposal facility in particular because of the following:

The technical need

- 3.2.2 Significant amounts of higher activity radioactive waste already exist, as a result of a wide range of activities. It is currently being stored safely on an interim basis at licensed nuclear sites across the UK (see Section 2.3).
- 3.2.3 More waste will arise as existing nuclear facilities reach the end of their lifetime and are decommissioned and cleaned up, as well as through the operation and decommissioning of any new nuclear power stations.
- 3.2.4 Interim storage provides a temporary, safe and secure environment for higher activity radioactive waste. It is not, however, a permanent solution.
- 3.2.5 Some of the higher activity radioactive waste under consideration will remain hazardous to humans and the wider biosphere for hundreds of thousands of years; new interim stores currently being built typically have a design life of one hundred years. Therefore long-term storage is not a viable option as stores would have to be rebuilt and the waste packages within them repacked, many times during the hundreds of thousands of years

that the waste remains hazardous, involving the attendant worker dose³⁰ and increased safety risk.

- 3.2.6 It is this requirement for human monitoring, maintenance, rebuild and repackaging and the constant protection from natural processes, environmental changes, and malicious attack that means that the UK Government does not consider long-term storage to be a permanent solution. Higher activity radioactive waste needs to be isolated from people and the surface environment for periods of time that are very long in comparison with human lifespans but are short on geological timescales. Geological disposal can provide this long-term isolation; radioactivity decays naturally over time, so radioactive waste is managed in appropriate facilities until it becomes harmless. The time this decay takes can be a few days to hundreds of thousands of years, depending on the type of waste and its radioactive content.
- 3.2.7 There is currently no facility to permanently dispose of the higher activity radioactive waste inventory. Geological disposal provides a practical and technically achievable means to do so, without which the UK can only keep storing its waste. The main functions of the Nuclear Decommissioning Authority under Section 3 of the Energy Act 2004³¹ include decommissioning and clean-up of designated nuclear sites, as well as the operation of designated facilities for the disposal of radioactive and hazardous material. Sections 15 and 16 of the Energy Act 2004 also require the Nuclear Decommissioning Authority to provide treatment for the decommissioning and cleaning up of designated nuclear sites. Therefore, a facility for the final disposal of higher activity radioactive waste is in keeping with fulfilment of Nuclear Decommissioning Authority's duties and responsibilities.

The ethical need

- 3.2.8 The UK Government believes there is an ethical imperative to progress with the disposal of radioactive waste. As one of the generations that has benefitted from medical treatments, research, electricity and defence activities that have all produced radioactive waste, the UK Government believes it is the responsibility of this generation to dispose of this waste.
- 3.2.9 Indefinite storage would represent a burden for future generations. There would be a significant cost associated with the safe and secure storage of higher activity radioactive waste. In addition, for the long time periods for which waste is radioactive, there would be wider on-going risks and responsibilities associated with surface storage (e.g. from terrorism or the impacts of climate change). We have a responsibility to address this, and reduce these future burdens.
- 3.2.10 This view was also shared by the Committee on Radioactive Waste Management in the 2006 Report which records the view that: "we should dispose of the wastes as soon as practicable on the grounds that we cannot know what technological needs or powers may be available to our successors. The present generation should remove the burden

³⁰ The Ionising Radiations Regulations 1999/3232 lay down the radiation dose limits for the protection of the health of workers and the general public against the dangers arising from ionising radiation.

³¹ Energy Act 2004, Chapter 20.

imposed by its actions from the future”³². The Committee on Radioactive Waste Management, in its statement of June 2013, reiterated its commitment to geological disposal and stated that: “The aim should be to progress to disposal as soon as practicable, consistent with developing and maintaining public and stakeholder confidence”.

3.2.11 The Organisation for Economic Co-operation and Development (OECD) Nuclear Energy Agency Radioactive Waste Management Committee has concluded that “from an ethical standpoint, including long-term safety considerations, our responsibilities to future generations are better discharged by a strategy of final disposal than by reliance on stores which require surveillance, bequeath long-term responsibilities of care, and may in due course be neglected by future societies whose structural stability should not be presumed”³³.

The need to meet legal obligations

3.2.12 The Spent Fuel and Radioactive Waste Directive³⁴ describes a framework for the responsible and safe management of spent fuel and radioactive waste, so as not to impose excessive constraints on future generations.

3.2.13 Article 5, paragraph 1 of that Directive requires Member States to establish and implement national programmes³⁵ for spent fuel and radioactive waste management from waste generation through to disposal. Without a programme for the disposal of higher activity radioactive waste that it has generated, the UK would not be meeting all of the requirements under this Directive.

3.2.14 The Directive identifies at the technical level, that at this time, deep geological disposal represents the safest and most sustainable option as the end point of the management of high level waste and spent fuel considered as waste. It further requires EU member states to establish national programmes for the timely implementation of all steps of spent fuel and radioactive waste management from generation to disposal.

3.2.15 To the extent that these obligations under the Spent Fuel and Radioactive Waste Directive cease to be legally binding on the UK following its departure from the EU, the UK will continue to be subject to other spent fuel and radioactive waste obligations as a Contracting Party to the Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (the Joint Convention).

3.2.16 The Joint Convention requires Contracting Parties to take appropriate steps to ensure that individuals, society and the environment are adequately protected against radiological and other hazards at all stages of the management of spent fuel and

³² The 2006 Committee on Radioactive Waste Management recommendations (including the recommendation to progress disposal ‘as soon as practicable’) were based on ethical principles. The Committee on Radioactive Waste Management discuss the ethical issues surrounding radioactive waste management at length in: *Managing Radioactive Waste Safely*, Committee on Radioactive Waste Management, 2006, Chapter 6: ‘An ethical problem’.

³³ Nuclear Energy Agency (NEA), Organisation for Economic Co-operation and Development, ‘Moving Forward with Geological Disposal – A Collective Statement by the Nuclear Energy Agency Radioactive Waste Management Committee’, 2008, available online at: <http://bit.ly/1jzKJfw>

³⁴ Council Directive 2011/70/Euratom, recital 23, July 2011.

³⁵ <https://www.gov.uk/government/publications/the-uks-national-programme-to-the-eu-commission-on-the-responsible-and-safe-management-of-spent-fuel-and-radioactive-waste>

radioactive waste. The Joint Convention also requires the Contracting Parties to take the appropriate steps to aim to avoid imposing undue burdens on future generations.

- 3.2.17 The UK Government considers that geological disposal of higher activity radioactive waste will ensure that people and the environment are protected from the radiological and other hazards of that waste over the long term and that the burden of dealing with the waste does not fall on future generations, and so will assist the UK in complying with its obligations under the Joint Convention.

The need to meet energy and climate change objectives

- 3.2.18 Section 5(8) of the Planning Act requires that the policy set out in this NPS takes account of Government policy relating to the mitigation of, and adaptation to, climate change. The way in which development of geological disposal infrastructure achieves this is set out below.
- 3.2.19 For the UK to meet its energy and climate change objectives, the Government believes that there is an urgent need for new electricity generation, including new nuclear power. Nuclear power generation is a low carbon, proven technology, which is anticipated to play an increasingly important role as we move to diversify and decarbonise our sources of electricity. It is Government policy that new nuclear power should be able to contribute as much as possible to the UK's need for new capacity. New nuclear power stations will help to ensure a diverse mix of technology and fuel sources, which will increase the resilience of the UK's energy supply. New nuclear power forms one of the three important elements of the Government's strategy for moving towards a decarbonised, diverse electricity sector by 2050.
- 3.2.20 As referred to above (see paragraph 2.1.10), the 2008 Nuclear White Paper set out the UK Government policy position that, before development consents for new nuclear power stations are granted, the Government will need to be satisfied that effective arrangements exist or will exist to manage and dispose of the waste that they will produce. This continues to be Government policy. In 2011, the Government set out in the National Policy Statement for Nuclear Power Generation why it was satisfied that such arrangements will exist. The Government considered these conclusions in the production of the 2014 White Paper and was satisfied that they still applied.
- 3.2.21 Further information on Climate Change Adaptation and climatic factors is given in Sections 4.6 and 5.5 respectively.

3.3 The need for deep boreholes

- 3.3.1 The need for deep boreholes is driven by technical requirements. Deep boreholes form part of a wider integrated programme of site characterisation which is ultimately required for the successful development of a geological disposal facility. These boreholes provide geoscientific information to support:
- the development of site-specific designs and safety case to inform decisions on the suitability of a potential site;

- the identification of a potential site for a geological disposal facility and its detailed design, construction and safety case; and
- the development and implementation of that detailed design and safety case.

3.3.2 Deep boreholes will form an essential pre-cursor to any geological disposal facility development. They are defined as nationally significant infrastructure in the Planning Act and applications for development consent for such infrastructure must be made in accordance with this NPS. Without the information from deep boreholes it may be impossible to characterise a site to such a degree that a safety case could be made for the construction of a geological disposal facility at that site, or to design a geological disposal facility that made best use of the local geology with regard to safety. The 'need case' for deep boreholes set out in the NPS is for investigating purposes at sites that may or may not ultimately be sites of a geological disposal facility.

3.4 Conclusion

- 3.4.1 There is a technical, ethical and legal need to manage higher activity radioactive waste in the long term by disposing of this waste in a geological disposal facility. There is legacy waste, including waste from over 60 years' nuclear generation that is presently temporarily stored at over 30 sites in the UK; there is also a need for disposal of higher activity radioactive waste from new nuclear power stations that will be commissioned in the coming decades.
- 3.4.2 There is overwhelming international consensus that the best means of disposal is in a geological disposal facility; this is backed up by the Committee on Radioactive Waste Management's review in 2006 (and statement in 2013) and accepted in the Government's response to the Committee on Radioactive Waste Management's recommendations. There is also a need to reduce the future potential risks associated with repackaging waste in temporary storage.
- 3.4.3 The UK Government's policy framework for managing higher activity radioactive waste in the long term specifically through geological disposal has been developed, consulted on and put into effect, prior to the development of the NPS.
- 3.4.4 The Secretary of State will assess applications for infrastructure covered by this NPS on the basis that need has been demonstrated.

4. Assessment Principles

4.1 General principles of assessment

- 4.1.1. The scale of nationally significant infrastructure projects gives rise to the possibility of significant impacts on the environment, the economy and communities. It is therefore important for the applicant when assessing these impacts, and the Secretary of State when considering the application, to have a clear set of principles against which the application should be judged. These key principles relate to the design, environmental, health, safety and security aspects of the development. These key principles are outlined in Table 1.
- 4.1.2. The statutory framework for deciding applications for development consent under the Planning Act is set out in Section 104 of the Planning Act and is summarised in Section 1.1 of this NPS. This part of the NPS sets out certain general policies in accordance with which applications relating to geological disposal infrastructure are to be decided.
- 4.1.3. In considering any proposed development, the Examining Authority and the Secretary of State (as decision maker) should take into account:
- its potential benefits, including its contribution to meeting the need for geological disposal infrastructure, job creation and any long-term or wider benefits; and
 - its potential adverse impacts, including any longer-term and cumulative adverse impacts, as well as any measures to avoid, reduce or compensate for any adverse impacts.
- 4.1.4. In making decisions on such applications, the Secretary of State must also have regard to any local impact report submitted by a local authority in accordance with the Planning Act, any matters prescribed that are relevant to the application, and any other matters which he considers are both important and relevant to any decision.
- 4.1.5. In this NPS the terms ‘effects’, ‘impacts’ or ‘benefits’ should accordingly be understood to mean significant effects, impacts or benefits (as stated in paragraph 1.1.5).
- 4.1.6. The policy set out in this NPS is intended to make determining nationally significant geological disposal infrastructure as clear and as transparent as possible. This NPS has taken account of national planning policy set out in the National Planning Policy Framework (NPPF)³⁶. In the event of a conflict between these or any other documents and this NPS, the NPS prevails for the purposes of the Secretary of State’s decision-making, given the national significance of geological disposal infrastructure.

³⁶ National Planning Policy Framework available online at:
https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/6077/2116950.pdf

- 4.1.7. The Examining Authority should only recommend, and the Secretary of State should only impose, requirements³⁷ in relation to development consent that are: necessary, relevant to planning, relevant to the development to be consented, enforceable, precise and reasonable in all other respects. The guidance in the National Planning Policy Framework should be taken into account where requirements are proposed.
- 4.1.8. Local planning authorities should seek planning obligations only where they are necessary to make the development acceptable in planning terms, directly related to the proposed development and fairly and reasonably related in scale and kind to the development³⁸.
- 4.1.9. The key principles that should be taken into account by the developer, and against which applications are assessed, are set out in Sections 4.5 - 4.11. These cover design, climate change, pollution control, nuisance, safety, health and security. In each case, a principle against which the Secretary of State should judge applications is set out in Table 1.

Table 1 – Assessment Principles

Section	Criteria
4.5. Criteria for 'good design' for geological disposal infrastructure	That good design should be integral to any proposal, taking into account matters such as visual appearance, functionality, fitness for purpose, sustainability and cost, along with the demonstration of how an attractive, durable and adaptable scheme has evolved.
4.6. Climate change adaptation	That appropriate adaptation measures, based on climate change scenarios, are taken into account as part of the design of any proposals.
4.7. Pollution control and other regulatory regimes	That the development is an acceptable use of land, taking into account the potential impacts of any processes, emissions and discharges.
4.8. Common Law Nuisance and Statutory Nuisance	That potential sources of nuisance are identified at an early stage along with proposals for mitigation and/or limitation.
4.9. Safety	The Secretary of State being satisfied that the development will comply with relevant health and safety legislation
4.10. Health	That direct and indirect health impacts are considered as part of the exploration, development and operation phases and measures presented which avoid, reduce or compensate for these as appropriate.
4.11. Security considerations	That proportionate protective security measures will be part of the design, with oversight from the relevant regulatory authority, and information disclosed as necessary to enable the making of an informed decision on any application for development.

4.2 Environmental Impact Assessment

³⁷ As defined in Section 120 of the Planning Act 2008.

³⁸ Where the words 'planning obligations' are used in this NPS they refer to 'development consent obligations' under Section 106 of the Town & Country Planning Act 1990 as amended by Section 174 of the Planning Act 2008. See paragraphs 203 - 206 of the National Planning Policy Framework.

- 4.2.1. All proposals for projects that are subject to the Environmental Impact Assessment (EIA) Directive³⁹ and the Infrastructure Planning (Environmental Impact Assessment) Regulations 2017⁴⁰, and are likely to have significant effects on the environment, must be accompanied by an Environmental Statement identifying, describing and assessing the aspects of the environment likely to be significantly affected by the project.
- The Infrastructure Planning (Environmental Impact Assessment) Regulations 2017 specifically refer to effects on population, human health, and biodiversity, with particular attention to species and habitats protected under the Habitats Directive and Wild Birds Directive, land, soil, water, air, climate, the landscape, material assets and cultural heritage, and the interaction between them.
 - The significant effects to be identified, described and assessed include, where relevant, the expected significant effects arising from the vulnerability of the proposed development to major accidents or disasters that are relevant to that development.
 - The description of the likely significant effects of the proposed project on the environment, should cover the direct effects, as well as, where relevant, indirect, secondary, cumulative, short, medium and long-term, permanent and temporary, positive and negative effects of the project.
 - A description of the measures envisaged to prevent, reduce and where possible offset any significant adverse effects on the environment should also be given.

(Guidance for a proposed development that is not an Environmental Impact Assessment development is given at the end of this Section).

- 4.2.2. The Environmental Statement should describe the significant environmental effects arising from the construction, operation and closure of the geological disposal infrastructure. Depending on the nature of the potential site, surface facilities may be located at a considerable distance from the planned underground facilities. The applicant should ensure that any significant impacts of developing both the surface and underground facilities (and deep investigative boreholes) are adequately covered in the Environmental Statements.
- 4.2.3. When examining a proposal, the Examining Authority should ensure that likely significant effects for all stages of a development up to and including closure have been adequately assessed, and should request more information where necessary. Information requests should be proportionate and focus only on likely significant effects.
- 4.2.4. When considering cumulative effects, the Environmental Statement should provide information on how the effects of the applicant's proposal would combine and interact with the effects of other development (including projects for which consent has been granted, as well as those already in existence). The Examining Authority may also have other evidence before it on such effects and potential interactions. Any such information

³⁹ Council Directive 2011/92/EU on the assessment of the effects of certain public and private projects on the environment.

⁴⁰ The Infrastructure Planning (Environmental Impact Assessment) Regulations 2017 (SI 2007/572), available online at: <http://www.legislation.gov.uk/ukxi/2017/572/contents/made>

may assist the Secretary of State in reaching decisions on proposals and on mitigation/enhancement measures that may be required.

- 4.2.5. Pursuant to the Infrastructure Planning (Environmental Impact Assessment) Regulations 2017, applicants should ensure that the expected effects deriving from the vulnerability of the geological disposal facility development to risks of major accidents and/or disasters are considered. Where these effects fall outside the remit of the Examining Authority, they will be considered by the independent regulators. The applicant should make reference to the safety case, in which consideration is given to major accidents and/or disasters in the Environmental Statement.
- 4.2.6. When considering a proposal, the Secretary of State should be satisfied that likely impacts (see Section 5 of this NPS), including any significant indirect impacts and any proposed mitigation/enhancement measures to address these have been adequately assessed. In doing so, the Secretary of State should also examine whether the assessment distinguishes between the different stages in development (site characterisation, construction, operation and closure) and identifies any mitigation measures at each of those stages where appropriate.
- 4.2.7. The Secretary of State should consider how the accumulation of, and interrelationship between, effects may impact the environment, economy or community as a whole (even though such effects may be acceptable when considered individually with mitigation measures in place).
- 4.2.8. In some instances it may not be possible, at the time of the application for development consent, for all aspects of the proposal to have been settled in precise detail. Where this is the case, the application should explain which elements of the proposal have yet to be finalised, and the reasons for this.
- 4.2.9. Where some details are still to be finalised the Environmental Statement should set out, to the best of the applicant's knowledge, what the maximum extent of the proposed development may be (e.g. in terms of the maximum site area, number of deep boreholes in the application or volume of underground excavations as may be relevant to the development consent application). The Environmental Statement should also assess the maximum reasonably foreseeable adverse effects which the proposed development could have, to ensure that the impacts (see Section 5 of this NPS) have been properly identified and considered.
- 4.2.10. Should the Secretary of State decide to grant development consent for an application where details are still to be finalised, this will need to be reflected in appropriate development consent requirements. For example, if development consent is granted for a proposal and at a later stage the developer wishes to modify the proposal (e.g. for technical or commercial reasons): if it is to construct it in such a way that its extent will be greater than has been provided for in terms of the consent, then it will be necessary to apply for a change to be made to the development consent. The application to change the consent may need to be accompanied by further information to supplement the original Environmental Statement, or a further Environmental Statement.

- 4.2.11. If the proposed development is determined not to be an Environmental Impact Assessment development⁴¹, neither an Environmental Impact Assessment nor an Environmental Statement will be required. The applicant should instead provide information proportionate to the scale of the project on the likely significant environmental, social and economic effects. References to an Environmental Statement in this NPS should be taken as including a statement which provides this information, even if the proposed development is not an Environmental Impact Assessment development.

4.3 Habitats Regulations Assessment

- 4.3.1. Prior to granting a Development Consent Order, the Secretary of State must, under the Habitats Regulations⁴², consider whether it is possible that the project could have a significant effect on a (protected conservation) European site⁴³, or any site to which the same protection⁴⁴ is applied as a matter of policy, either alone or in combination with other plans or projects⁴⁵. Applicants should also refer to Section 5.4 of this NPS on Biodiversity and Nature Conservation (including Flora and Fauna) and to Section 5.2 on air quality. The applicant should seek the advice of Natural England and, where appropriate for cross-boundary impacts, Natural Resources Wales and Scottish Natural Heritage to ensure that impacts on European sites in Wales and Scotland are adequately considered.
- 4.3.2. Where a proposed development could have significant adverse effects on a European site, an appropriate assessment under the Habitats Regulations will be required. Applicants are required to provide sufficient information with their applications for development consent to enable the Secretary of State to determine whether this is the case.
- 4.3.3. If such an assessment is required, the applicant must provide sufficient information as may reasonably be required for the Secretary of State to carry out the appropriate assessment. This information should include details of any measures that are proposed to minimise or avoid any likely significant effects on a European site. The information provided may also assist the Secretary of State in concluding that an appropriate assessment is not required because significant effects on European sites are sufficiently unlikely that they can be excluded.
- 4.3.4. If it is impossible to rule out that a proposed development might have an adverse effect on the integrity of a European site, the Secretary of State may still grant development consent where the following conditions are satisfied:

⁴¹ As defined in regulation 4 of the Infrastructure Environmental Impact Assessment Regulations.

⁴² The Conservation of Habitats and Species Regulations 2017 and the Offshore Marine Conservation (Natural Habitats, & c.) Regulations 2007 (as amended), available on line at: https://www.legislation.gov.uk/ukxi/2017/1012/pdfs/ukxi_20171012_en.pdf

⁴³ This includes candidate Special Areas of Conservation, Sites of Community Importance, Special Areas of Conservation and Special Protection Areas, and is defined in regulation 8 of the Conservation of Habitats and Species Regulations 2017. See the Government Circular referred to in the introduction above for further information on the requirements of the Habitats Regulations.

⁴⁴ See paragraph 118 of the National Planning Policy Framework.

⁴⁵ Further guidance on the requirements of the Habitats Regulations can be found in 'Government Circular: Biodiversity and Geological Conservation – Statutory Obligations and their impact within the Planning System (ODPM 06/2005, Defra 01/2005)' and 'Guidance document on Article 6(4) of Habitats Directive 92/43/EEC'.

- there are no feasible, less damaging alternative solutions to the issue which the proposed development is designed to address.
 - there are imperative reasons of overriding public interest for the development.
 - adequate timely compensatory measures will be put in place to ensure the overall coherence of the network of protected sites is maintained.
- 4.3.5. Where the site concerned hosts a priority natural habitat or a priority species, the second condition (i.e. imperative reasons of overriding public interest) can be satisfied only where the reasons relate to human health, public safety or beneficial consequences of primary importance to the environment, or are reasons which the Secretary of State, having due regard to the opinion of the European Commission, considers to be imperative reasons of overriding public interest.

4.4 Alternatives

- 4.4.1. The supporting Appraisal of Sustainability report, referred to in Section 1.6 of this NPS, provides an overview of the strategic alternatives to meeting the general need for geological disposal. These strategic alternatives do not need to be assessed by the Examining Authority when examining a proposed development or the Secretary of State when taking a decision.
- 4.4.2. This NPS does not make any specific proposals for individual developments. It does not consider specific sites, designs, layouts, construction programmes or operational processes for surface or underground facilities (including deep boreholes). Such specific developments will be for applicants to determine and will need to be examined by the Examining Authority in accordance with this NPS and the relevant Government regulators.
- 4.4.3. The supporting Appraisal of Sustainability has shown that there are no reasonable alternatives at a strategic level to meeting the need for geological disposal. However there will be consideration of site-specific level alternatives for individual projects once potential sites have been identified to help determine the most appropriate site for development (e.g. where should it go?). The Infrastructure Planning (Environmental Impact Assessment) Regulations 2017 (regulation 14(2)(d)) require that the Environmental Statement (see Section 4.2) include a description of the reasonable alternatives studied by the applicant. The Environmental Statement should, where relevant, include an outline of the likely evolution of the current state of the environment without implementation of the project (baseline scenario). This is as a means of improving the quality of the Environmental Impact Assessment process and of allowing environmental considerations to be integrated at an early stage in the project's design.

4.5 Criteria for 'good design' for geological disposal infrastructure

- 4.5.1. Section 10(3)(b) of the Planning Act requires the Secretary of State to have regard to the desirability of achieving good design in designating and reviewing an NPS.
- 4.5.2. Applicants should include design as an integral consideration from the outset of a proposal. Visual appearance should be a factor in considering the design of new

infrastructure, as well as functionality, fitness for purpose, sustainability and cost. Applying 'good design' to geological disposal projects should produce sustainable infrastructure that is sensitive to place, efficient in the use of natural resources and energy used in their construction, matched by an appearance that demonstrates good aesthetics as far as possible.

- 4.5.3. It is acknowledged, however, that given the nature of geological disposal infrastructure, particularly deep boreholes, it may not be possible for it to contribute to the enhancement of the landscape character of the area.
- 4.5.4. A good design should meet the principal objectives of the project by eliminating or substantially mitigating the significant impacts, by improving operational conditions and simultaneously minimising adverse impacts.
- 4.5.5. Project design will be a material consideration in decision making. Given the importance which the Planning Act places on good design and sustainability⁴⁶, the Secretary of State needs to be satisfied that geological disposal infrastructure development adheres to the principles of sustainable development⁴⁷. In addition to observing regulatory and other constraints, the design should be as attractive, durable and adaptable (including taking account of natural hazards such as flooding⁴⁸) as it can be.
- 4.5.6. The applicant should therefore take into account, as far as possible, both functionality (including fitness for purpose and sustainability) and aesthetics (including the project's contribution to the landscape character of the area in which it would be located). In applying these principles to applications for the development of geological disposal infrastructure, the need to ensure the safety and security of the facilities, and the need to control the impacts of its operations, must be given substantial weight given the importance of these factors to the operation of nuclear sites. The use of independent advice on the design aspects of a proposal⁴⁹ should be considered, to ensure good design principles are embedded into an infrastructure application for development.
- 4.5.7. Section 5(6) of the Planning Act provides that if a NPS sets out policy in relation to a particular description of development, the statement must set out criteria to be taken into account in the design of that description of development. Whilst the applicant may only have limited choice in the physical appearance of the particular geological disposal infrastructure, there may still be opportunities for the applicant to demonstrate good design. When making a decision on an application for development consent for geological disposal infrastructure the Secretary of State should consider siting and design measures to minimise adverse impacts, so far as reasonably practicable, on the existing:
- landscape, taking into account its historical character and function;
 - landform, taking into account its visual impact on the surroundings and;

⁴⁶ Section 10 of The Planning Act 2008.

⁴⁷ Principles of sustainable development are outlined in the National Planning Policy Framework and can be found at: <http://planningguidance.communities.gov.uk/blog/policy/achieving-sustainable-development/>

⁴⁸ Government policy on infrastructure resilience is set out in Cabinet Office, 'Keeping the Country Running', and successor documents.

⁴⁹ Applicants may wish to use the Design Council, who can provide support for, and encourage design review of, nationally significant projects.

- vegetation, taking into account disturbance and impact on sustainability.

Furthermore, the design and sensitive use of materials in any associated development will assist in ensuring that such development contributes to the quality of the area.

- 4.5.8. Applicants should be able to demonstrate in their application how the design process was conducted and how the proposed design evolved. Where a number of different designs were considered, applicants should set out the reasons why the favoured choice has been selected. In examining applications, the Examining Authority should take into account the ultimate purpose of the infrastructure, and ensure that the operational, safety and security requirements of the design comply with the aspects described above.

4.6 Climate Change Adaptation

- 4.6.1. Section 10(3)(a) of the Planning Act requires the Secretary of State to have regard to the desirability of mitigating, and adapting to, climate change in designating and reviewing an NPS.
- 4.6.2. For the UK to meet its energy and climate change objectives, the Government believes that there is an urgent need for new low carbon electricity generation, including new nuclear power. Nuclear power generation is a proven low carbon technology, which is anticipated to play an increasingly important role as we move to diversify and decarbonise our sources of electricity. It is Government policy that new nuclear power should be able to contribute as much as possible to the UK's need for new capacity. New nuclear power stations will help to ensure a diverse mix of technology and fuel sources, which will increase the resilience of the UK's energy supply. Geological disposal infrastructure is a necessary enabler for new nuclear power. The 2008 White Paper on Nuclear Power⁵⁰ recognised explicitly that before development consents for new nuclear power stations are granted, the Government will need to be satisfied that effective arrangements exist or will exist to manage and dispose of the waste they will produce.
- 4.6.3. The Secretary of State should take the effects of climate change into account when consenting geological disposal infrastructure. While the UK Government is taking measures to mitigate the effects of climate change and reduce emissions (as stated above), the Intergovernmental Panel on Climate Change estimates that warming will continue over the operational lifetime of a geological disposal facility. Without significant reductions in emissions, the world is likely to be on course for an average temperature rise in excess of 2 °C above pre-industrial levels, and possibly as much as 5 °C for the highest emissions scenarios, by the end of this century.⁵¹

⁵⁰ Nuclear White Paper 2008: 'Meeting the Energy Challenge', Cm 7296, DBERR, 2008, available online at: <https://www.gov.uk/government/publications/meeting-the-energy-challenge-a-white-paper-on-nuclear-power>

⁵¹ IPCC, 2014: Climate Change 2014: Synthesis Report. Contribution of Working Groups I, II and III to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change [Core Writing Team, R.K. Pachauri and L.A. Meyer (eds.)]. IPCC, Geneva, Switzerland, 151 pp.

- 4.6.4. Climate change is likely to mean that the UK will see, on average, hotter, drier summers and warmer, wetter winters and an increase in extreme weather events⁵². There is an increased risk of flooding, drought, heatwaves, intense rainfall events, as well as rising sea levels. Adaptation is therefore necessary to deal with the potential impacts of these changes over the operational lifetime of a geological disposal facility.
- 4.6.5. To support planning decisions, the Government produces a set of UK Climate Projections⁵³ and a National Adaptation Programme⁵⁴. In addition, the Government's Adaptation Reporting Power⁵⁵ will ensure that reporting authorities (a defined list of public bodies and statutory undertakers) assess the risks to their organisation presented by climate change as described in the UK Climate Change Risk Assessment⁵⁶.
- 4.6.6. In certain circumstances, measures implemented to ensure a scheme can adapt to climate change may give rise to additional impacts; for example, as a result of protecting against flood risk, there may be consequential impacts on coastal change (see Section 5.8).
- 4.6.7. With regard to geological disposal infrastructure, deep boreholes may be comparatively short-lived developments as compared to a geological disposal facility itself, and there may be no practical need to take account of the projected impacts of climate change, depending on the planned length of operation. A geological disposal facility itself, however, will be a long-term infrastructure development, which is expected to remain operational beyond 2100, during the period of a changing climate. Consequently, applicants must consider the projected impacts of climate change when planning the location, design, build, operation, decommissioning and final closure of a geological disposal facility. The Environmental Statement (see Section 4.2) should set out how the proposal will take account of the projected impacts of climate change.
- 4.6.8. Applicants should use the latest set of UK Climate Projections available, at the time the Environmental Statement is prepared, to ensure that they have identified the climate risks and appropriate adaptation measures. This should cover the estimated lifetime of the new infrastructure up to and including the duration of the operational lifetime (see Section 1.5). Should a new set of UK Climate Projections become available, after the preparation of the Environmental Statement but before the end of the examination, the Examining Authority should consider whether they need to request further information from the applicant.
- 4.6.9. Applicants should apply, as a minimum, the emissions scenario that the independent Committee on Climate Change suggests the world is currently most closely following – and the 10%, 50% and 90% probability level ranges. These results should be considered alongside relevant research which is based on the UK Climate Projections.

⁵² UK Climate Change Risk Assessment, 2012, Defra.

⁵³ UK Climate Projections 2009 (UKCP09), 2009, MET Office, available online at: https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/69257/pb13274-uk-climate-projections-090617.pdf

⁵⁴ The National Adaptation Programme Making the country resilient to a changing climate, July 2013, Defra.

⁵⁵ Section 62 of the Climate Change Act 2008.

⁵⁶ UK Climate Change Risk Assessment, 2017, Defra.

- 4.6.10. Where geological disposal infrastructure includes safety critical elements, the applicant should apply the CO₂ high emissions scenario at the 10%, 50% and 90% probability levels so as to include high impact, low likelihood scenarios to those elements critical to the safe operation of the infrastructure. The use of H++ emissions scenarios⁵⁷ should also be applied where there is potential for extremely negative consequences under high impact scenarios (e.g. elements of infrastructure which are critical to the safety of its operation); although these are the very worst case scenarios and the likelihood thought to be very low (at least this century) it is appropriate to take a risk-averse approach in these cases. Climate change projections are likely to be amended in the future and references in this NPS should be read as references to the latest climate change projections.
- 4.6.11. The applicant need not submit detailed information to the Examining Authority looking at the effects of climate change in the very long term, i.e. after operations have ceased and the geological disposal facility has been closed and sealed; in these timescales it will be difficult to predict such effects if UK Climate Projections do not extend this far into the future. The Environment Agency will assess the very long-term effects in a safety case submitted to them by the applicant.
- 4.6.12. If any proposed adaptation measures give rise to consequential impacts, the Secretary of State should consider those impacts in relation to the application as a whole and the impacts guidance set out in Section 5 of this NPS.
- 4.6.13. Any adaptation measures should again be based on the latest set of UK Climate Projections, the Government's national Climate Change Risk Assessment and consultation with statutory consultees.
- 4.6.14. However, where adaptation measures are necessary to deal with the impact of climate change, and those measures would have an adverse effect on other aspects of the project and/or surrounding environment (e.g. coastal processes), the Secretary of State may consider whether to require the applicant to implement adaptation measures at a future point, should the need arise, rather than at the outset of the development (for example, reserving land for future extension, or increasing the height of existing infrastructure).
- 4.6.15. The generic impacts advice in Section 5 of this NPS provides additional information on climate change adaptation.

4.7 Pollution Control and other Environmental Regulatory Regimes

- 4.7.1 As discussed in Section 2.4 the developer will need to hold an environmental permit before the start of any intrusive investigation work, such as deep borehole drilling, begins. A future operator of a geological disposal facility will need to hold an environmental permit for radioactive waste disposal before any waste emplacement operations can start. The Environment Agency has published 'Guidance on Requirements for Authorisation' (GRA) for geological disposal facilities (on land)⁵⁸. The

⁵⁷ UK Climate Change Risk Assessment, 2017, Defra.

⁵⁸ Geological Disposal Facilities on Land for Solid Radioactive Wastes, Guidance on requirements for Authorisation, Environment Agency, 2009, available online at: <http://bit.ly/1STsINa>

guidance sets out the requirements and environmental objectives that the developer and operator of a radioactive waste disposal facility would need to meet to be granted a permit for disposal. A permit for disposal is not required by the developer as a prerequisite for gaining development consent.

- 4.7.2 Issues relating to discharges or emissions from a proposed project which affect air quality, water quality, land quality and the marine environment (or which include noise and vibration) will be subject to separate regulation under the pollution control framework or other consenting or licensing regimes. Any activities within the development that are regulated under those regimes will need to obtain the relevant permissions before the activities can be undertaken. All geological disposal infrastructure covered by this NPS will be subject to the Environmental Permitting (England and Wales) Regulations 2016, which also incorporates operational waste management requirements for certain activities.
- 4.7.3 The planning and pollution control systems are separate but complementary. The planning system controls the development and use of land in the public interest. It plays an important role in protecting and improving the natural environment, public health and safety, and amenity. It can, for example, attach requirements to a Development Consent Order, allowing a development to proceed which would otherwise not be environmentally acceptable; it can also prevent harmful development which cannot be made acceptable even with the imposition of such requirements.
- 4.7.4 Pollution control is concerned with preventing pollution through the use of measures to prohibit or limit the releases of substances to the environment from different sources. It also ensures that ambient air and water quality meet standards that guard against impacts to the environment or human health. Environmental Permits mainly regulate discharges and emissions during the construction (development), operating, decommissioning and closure phases of a facility and are limited to activities covered by the Environmental Permitting (England and Wales) Regulations 2016 or any successor to it. The Environmental Permit cannot control impacts from sources outside the facility's boundary such as those from traffic movements⁵⁹.
- 4.7.5 In deciding an application, the Secretary of State should consider whether the development itself is an acceptable use of the land. To inform decision making, he should assess the potential impacts of processes, emissions and discharges rather than their control. He should work on the assumption that in terms of the control and enforcement of these factors, the relevant pollution control regime will be properly applied and enforced by the independent regulators. Decisions under the Planning Act should complement but not duplicate those taken under the relevant pollution control regime.
- 4.7.6 Section 42(1)(aa) of the Planning Act sets out a statutory duty on applicants to consult the Marine Management Organisation (MMO) on nationally significant infrastructure projects which would affect, or would be likely to affect, any relevant marine areas as defined in Section 42(2) of the Planning Act⁶⁰. The Secretary of State's consent may include a deemed marine licence⁶¹; the Marine Management Organisation will advise

⁵⁹ More information on Environmental Permits can be found on the Environment Agency's website

⁶⁰ As amended by Section 23 of the Marine and Coastal Access Act 2009.

⁶¹ A marine licence can be deemed to be granted under a Development Consent Order. This is subject to Section 149A of the Planning Act which was amended under the Marine and Coastal Access Act 2009.

the Secretary of State on what conditions should apply to the deemed marine licence. Where appropriate, the Marine Management Organisation should actively participate in examinations, and Examining Authorities engage with such matters, to help ensure that nationally significant infrastructure projects are licensed in accordance with environmental legal requirements.

- 4.7.7 All geological disposal infrastructure will be subject to the Environmental Permitting regime, which also incorporates operational waste management requirements for certain activities. When a developer applies for an Environmental Permit, the relevant regulator requires that the applicant demonstrates that processes are in place to meet all Environmental Permitting requirements. In considering the impacts of the project, the Examining Authority may wish to consult the regulator on any management plans within an Environmental Permit application.
- 4.7.8 Applicants are encouraged to begin pre-application discussions with the relevant regulators as early as possible. This will help ensure that applications take account of all relevant environmental considerations and that the relevant regulators are able to provide timely advice and assurance to the Examining Authority.
- 4.7.9 The Secretary of State should be satisfied that development consent can be granted taking full account of environmental impacts. This will require close cooperation with the Environment Agency and/or the pollution control authority, and other relevant bodies, such as the Marine Management Organisation, Natural England, Drainage Boards, local authorities and water and sewerage undertakers as appropriate, to ensure that in the case of a potentially polluting development:
- the relevant pollution control authority is satisfied that potential releases can be adequately regulated under the pollution control framework; and
 - the effects of existing sources of pollution in and around the proposed development are not such that the cumulative effects of pollution when the proposed development is added would make that development unacceptable, particularly in relation to statutory environmental quality limits.
- 4.7.10 As set out in Section 2.4 the Secretary of State is entitled to rely on appropriate regulation of impacts in considering development consent, unless there is reason to believe that any relevant necessary operational pollution control permits or licences or other consents will not subsequently be granted.

4.8 Common Law Nuisance and Statutory Nuisance

- 4.8.1 Section 158 of the Planning Act provides a defence of statutory authority in civil or criminal proceedings for nuisance. Such a defence is available in respect of both the development for which consent has been granted and anything else authorised by an order granting development consent. The defence does not extinguish the local authority's duties under Part III of the Environmental Protection Act 1990 to inspect its area and take reasonable steps to investigate complaints of statutory nuisance and to serve an abatement notice where satisfied of its existence, likely occurrence, or recurrence.

- 4.8.2 It is very important that, during examination of a nationally significant infrastructure project, the Examining Authority considers possible sources of nuisance under Section 79(1) of the Environmental Protection Act 1990, and how they may be mitigated or limited. This will enable the Examining Authority to recommend appropriate requirements that the Secretary of State may wish to include in any subsequent order granting development consent.
- 4.8.3 The availability of the defence of statutory authority in relation to any particular development is subject to any contrary provision made by the Secretary of State in the order granting development consent (Section 158(3) of the Planning Act).

4.9 Safety

- 4.9.1 The Office for Nuclear Regulation is responsible for the regulation of nuclear safety, conventional health and safety, and security where a nuclear site licence applies in Great Britain. The Health and Safety Executive (HSE) is responsible on matters relating to conventional workforce safety, including the drilling of boreholes and the construction of surface and underground facilities outside of a nuclear site boundary (or where a site licence has not yet been granted). As set out in Section 2.4 the Secretary of State is entitled to rely on appropriate regulation of health and safety issues in considering development consent.
- 4.9.2 The Office for Nuclear Regulation is responsible for considering the operator's application for a site licence under the Nuclear Installations Act 1965. The Office for Nuclear Regulation will consider the capability, organisation and resources of the proposed operator, the nature of the prescribed activities that would take place on the site, the relevant safety case and the nature and location of the site when deciding whether to issue a site licence. The Office for Nuclear Regulation is required to attach such conditions to site licences as it considers necessary or desirable in the interests of safety and it may also attach conditions with respect to the handling, treatment and disposal of nuclear matter. The Office for Nuclear Regulation may require the operator to seek further consent from it to begin certain phases of the construction or operation of the development.
- 4.9.3 Some geological disposal infrastructure may be subject to the Control of Major Accident Hazards Regulations 2015 (the COMAH Regulations)⁶². These regulations aim to prevent major accidents involving dangerous substances and limit the consequences for people and the environment of any that do occur. They are enforced by the Competent Authority comprising the Health and Safety Executive, the Environment Agency and the Office for Nuclear Regulation, acting jointly in England. The Secretary of State should be satisfied that the applicant has engaged with the relevant authorities as to whether an assessment is necessary for geological disposal infrastructure to comply with the Control of Major Accident Hazards Regulations 2015 .

⁶² http://www.legislation.gov.uk/ukxi/2015/483/pdfs/ukxi_20150483_en.pdf

4.10 Health

- 4.10.1 Geological disposal infrastructure may also have indirect health impacts, for example if it positively or negatively affects access to important public services, employment, transport or use of open space and water for recreation and physical activity.
- 4.10.2 As described in the relevant Sections of this NPS, where the proposed development has an effect on human beings, the Environmental Statement (see Section 4.2) should assess these effects for each element of the project, identifying any adverse health impacts, and identifying measures to avoid, reduce or compensate for such impacts as appropriate.
- 4.10.3 A number of these impacts may simultaneously affect people, so the applicant, and the Secretary of State in determining an application for development consent, should consider the total effect on health from these impacts.

4.11 Security Considerations

- 4.11.1 National security considerations apply across all national infrastructure sectors. Overall responsibility for security of the energy sector lies with the Department for Business, Energy and Industrial Strategy (BEIS) who are responsible for the effective functioning of the UK civil nuclear security regime. It works closely with Government security agencies including the Centre for the Protection of National Infrastructure (CPNI) to reduce the vulnerability of the most critical infrastructure assets in the sector to terrorism and other national security threats. The Office for Nuclear Regulation through its Civil Nuclear Security (CNS) Programme is the security regulator for the UK's civil nuclear industry.
- 4.11.2 Government policy is to ensure that, where possible, proportionate protective security measures are incorporated into the design of new infrastructure projects at an early stage in the project development. Where applications for development consent for infrastructure covered by this NPS relate to potentially critical infrastructure, there may be national security considerations.
- 4.11.3 Where national security implications have been identified, the applicant should consult with relevant security experts from the Centre for the Protection of National Infrastructure, the Office for Nuclear Regulation Civil Nuclear Security Programme and the Department for Business, Energy and Industrial Strategy (BEIS) to ensure that physical, procedural and personnel security measures have been adequately considered in the design process and that adequate consideration has been given to the management of security risks. If the Centre for the Protection of National Infrastructure, the Office for Nuclear Regulation Civil Nuclear Security Programme and the Department for Business, Energy and Industrial Strategy (BEIS) are satisfied that security issues have been adequately addressed in the project when the application is submitted to the Examining Authority, they will provide confirmation of this. The Examining Authority should not need to give any further consideration to the details of the security measures in its examination.

The Office for Nuclear Regulation Civil Nuclear Security Programme is responsible for approving security arrangements within the civil nuclear industry and enforcing compliance to prevent the theft or sabotage of nuclear or other radioactive materials, the sabotage of nuclear facilities, and to protect sensitive nuclear information; it does this in accordance with the Nuclear Industries Security Regulations 2003 and the Ionising Radiations Regulations 1999. The Secretary of State is entitled to rely on appropriate regulation of impacts in considering development consent applications.

5. Impacts

5.1 Introduction

- 5.1.1 A major infrastructure project has the potential to impact the environment, the economy or communities. The Appraisal of Sustainability Report identified a number of environmental and socio-economic factors that may be impacted by the development of geological disposal infrastructure. How the applicant should assess these impacts, and how the Secretary of State should base decisions regarding these impacts, is set out below, alongside suggested methods to mitigate any impacts of the infrastructure where appropriate. Where the Secretary of State considers attaching requirements to any grant of development consent then these should not duplicate requirements under existing regulatory regimes.
- 5.1.2 The following Sections set out how the impacts from the Appraisal of Sustainability Report and other impacts of geological disposal infrastructure should be considered. This does not imply that these are the only impacts that might be relevant in any particular case. While particular generic impacts are presented separately in this section, applicants should take account of the links between impacts, for example traffic and transport with air quality and noise.
- 5.1.3 This NPS covers development in England and territorial waters adjacent to England up to the seaward limits of the territorial sea. Assessments should take account of any impacts these facilities may have in the devolved administrations. Where a proposed development affects cross-border links, developers should also work with the devolved administrations. The Government's planning guidance, which is referred to in this Part, is likely to be a useful source of guidance on generic impacts.
- 5.1.4 Sufficient relevant information is crucial to good decision-making, particularly where formal assessments are required; such as Environmental Impact Assessment, Habitats Regulations Assessment and Flood Risk Assessment (FRA). To avoid delay, applicants should discuss what information is needed with relevant statutory environmental bodies as early as possible.

5.2 Air quality

Introduction

- 5.2.1 The development of geological disposal infrastructure can involve (non-radioactive) emissions to air which could lead to adverse impacts on health, on protected species and habitats, or on the wider countryside.
- 5.2.2 Current UK legislation sets out health-based ambient air quality objectives⁶³. In addition, the European Union has established common, health-based and ecosystem-

⁶³ The Air Quality Standards Regulations 2010/1001.

based ambient concentration limit values for the main pollutants in Directive 2008/50/EC ('the Air Quality Directive')⁶⁴, which Member States are required to meet by various dates.

5.2.3 The air quality effects of the proposed development on wildlife and biodiversity should be assessed in accordance with the Biodiversity and Nature Conservation Section of this NPS (Section 5.4).

Applicant's Assessment

5.2.4 Where the air pollution impacts of the proposed development are likely to be significant, or cumulatively could lead to a breach of Air Quality Directive thresholds, the applicant should undertake an assessment of the impacts of the proposed development as part of the Environmental Statement. (See also Section 4.2)

5.2.5 Air quality considerations are likely to be particularly relevant where geological disposal infrastructure is proposed within or adjacent to Air Quality Management Areas (AQMAs)⁶⁵ or where they may have potential impacts on Natura 2000 sites⁶⁶, including those outside England.

5.2.6 The Environmental Statement should describe:

- existing (background) air quality levels;
- any significant air quality effects, associated with the development (both alone and in-combination), their mitigation and any residual effects distinguishing between the project stages, and taking account of any significant emissions from any traffic generated by the project;
- the contribution of air emissions, to site-specific critical levels and loads, for the protection of vegetation and ecosystems after mitigation methods have been applied; and
- contribution of air emissions to ambient air quality after mitigation methods have been applied.

5.2.7 The Department for Environment, Food and Rural Affairs (Defra) publishes future national projections of air quality based on estimates of future levels of emissions, traffic and vehicle fleet. Projections are updated as the evidence base changes. The applicant's assessment should be consistent with this, but may include more detailed modelling to demonstrate local impacts. In addition to information on the likely significant effects of a project in relation to the Environmental Impact Assessment, the applicant must provide the Secretary of State with an assessment of the risk that the project would affect the UK's ability to comply with the Air Quality Directive.

⁶⁴ Directive 2008/50/EC on ambient air quality and cleaner air for Europe.

⁶⁵ If a local authority finds any places where the national air quality objectives are not likely to be achieved, it must declare an Air Quality Management Area and put together a plan to improve air quality.

⁶⁶ Natura 2000 is a network of nature protection areas in the territory of the European Union. It is made up of Special Areas of Conservation and Special Protection Areas designated respectively under the Habitats Directive and Birds Directive. The network includes both terrestrial and marine sites (Marine Protected Areas (MPAs)).

Decision Making

- 5.2.8 The Secretary of State should take into account the presence of Air Quality Management Areas. A proposed development should be consistent with local air quality action plans.
- 5.2.9 The Secretary of State should consider air quality impacts over the wider area that is likely to be affected, as well as in the vicinity of a proposed development. In all cases, the Secretary of State must take account of relevant statutory air quality thresholds, including those set out in the Air Quality Standards Regulations 2010 and the Air Quality Directive.
- 5.2.10 Where a proposed development is likely to lead to a breach of the air quality thresholds, the applicant should work with the relevant authorities to secure appropriate mitigation measures, with a view to ensuring, so far as is possible, that those thresholds are not breached. Air quality considerations are likely to be particularly relevant where a development is proposed:
- within or adjacent to Air Quality Management Areas or nature conservation sites (including Natura 2000 sites and Sites of Special Scientific Interest (SSSIs)⁶⁷, including those outside England); and
 - where changes are sufficient to bring about the need for a new Air Quality Management Areas or change the size of an existing Air Quality Management Areas; or bring about changes to exceedances of the limit values, or where they may have the potential to impact on nature conservation sites.
- 5.2.11 The Secretary of State must give air quality considerations substantial weight where, after taking into account mitigation, a development would lead to a significant adverse air quality impact in relation to the Environmental Impact Assessment or where they lead to deterioration in air quality in agglomeration or non-agglomeration zones⁶⁸.
- 5.2.12 The Secretary of State should refuse consent where, after taking into account mitigation, the air quality impacts of the development will:
- result in a zone or agglomeration which is currently reported as being compliant with the Air Quality Directive becoming non-compliant; or
 - affect the ability of a non-compliant area to achieve compliance within the timescales set out in the most recent relevant air quality plan at the time of the decision.
- 5.2.13 Both deep boreholes and a geological disposal facility will be subject to the Environmental Permitting (England and Wales) Regulations 2016. It is for the Environment Agency to ensure that any air emissions during construction and operation

⁶⁷ Sites of Special Scientific Interest (Area of Special Scientific Interest (ASSI) in Northern Ireland) is a conservation designation denoting a protected area in the United Kingdom. Sites of Special Scientific Interest are the basic building block of site-based nature conservation legislation and most other legal nature/geological conservation designations in Great Britain are based upon them, including national nature reserves, Ramsar sites, Special Protection Areas, and Special Areas of Conservation.

⁶⁸ For monitoring and reporting air pollution the UK has been divided into agglomeration zones (areas of urban population > 250,000 people) and non-agglomeration zones.

can be adequately regulated under the environmental permitting regime. Regulation and monitoring after decommissioning and closure of the geological disposal infrastructure will be subject to requirements of the Environment Agency. The Secretary of State is entitled to rely on appropriate regulation of impacts in considering development consent.

Mitigation

- 5.2.14 The Secretary of State should be satisfied that the mitigation measures put forward by the applicant, and which are needed in respect of both construction and operational emissions, are acceptable. A construction management plan will help arrange mitigation measures at this stage.
- 5.2.15 In considering proposed mitigation measures, the Secretary of State may refer to the conditions and advice in the UK Air Quality Strategy or any successor to it.
- 5.2.16 Reductions in air emissions might be achieved through consideration of location, design and layout; consideration of technologies employed; and consideration of energy use.
- 5.2.17 Mitigation identified in the Section on transport impacts will help mitigate against the effects of air emissions from transport which are not controlled by the Environmental Permit.

5.3 Noise

Introduction

- 5.3.1. Excessive noise can have wide-ranging impacts on the quality of human life and health (e.g. owing to annoyance or sleep disturbance), use and enjoyment of areas of value (such as quiet places) and areas with high landscape quality. The Government's policy is set out in the Noise Policy Statement for England⁶⁹. It promotes good health and good quality of life through effective noise management. Similar considerations apply to vibration, which can also cause damage to buildings. In this Section, in line with current legislation, references below to 'noise' apply equally to the assessment of impacts of vibration.
- 5.3.2. Factors that will determine the likely noise impact include:
- construction noise and the inherent operational noise from the proposed development and its characteristics;
 - the proximity of the proposed development to noise-sensitive premises, (including residential properties and schools) and noise-sensitive areas (including certain parks and open spaces);
 - the proximity of the proposed development to quiet places and other areas that are particularly valued for their acoustic environment or landscape quality; and
 - the proximity of the proposed development to designated sites where noise may have an adverse impact on protected species or other wildlife.

⁶⁹ Noise Policy Statement for England, Defra, 2010, available online at: <http://bit.ly/1rz75Dj>

- 5.3.3. The noise effects of the proposed development on wildlife and biodiversity should be assessed in accordance with the Biodiversity and Nature Conservation Section of this NPS (Section 5.4).

Applicant's Assessment

- 5.3.4. Where noise impacts are likely to arise from geological disposal infrastructure, the applicant should include a noise assessment as part of the Environmental Statement (see Section 4.2). That noise assessment should include:
- a description of the noise-generating aspects of the development proposal leading to noise impacts, including the identification of any distinctive tonal, impulsive or low frequency characteristics of the noise;
 - identification of noise-sensitive premises and noise-sensitive areas that may be affected;
 - the characteristics of the existing noise environment;
 - a prediction of how the noise environment will change with the proposed development:
 - in the shorter term, such as during the construction period;
 - in the longer term, during the operating life of the infrastructure, and post-closure; and
 - at particular times of the day, evening and night as appropriate.
 - an assessment of the effect of predicted changes in the noise environment on any noise-sensitive premises and noise-sensitive areas;
 - if likely to cause disturbance, an assessment of the effect of underwater or subterranean noise; and
 - measures to be employed in mitigating the effects of noise. Applicants should consider using best available techniques to reduce noise impacts.
- 5.3.5. The nature and extent of the noise assessment should be proportionate to the likely noise impact.
- 5.3.6. The potential noise impact of ancillary activities associated with the development, such as increased road and rail traffic movements, or other forms of transportation, should also be considered as appropriate.
- 5.3.7. Operational noise, with respect to human receptors, should be assessed using the principles of the relevant British Standards⁷⁰ and other guidance. For the prediction, assessment and management of construction noise, reference should be made to any relevant British Standards and other guidance which also give examples of mitigation strategies.
- 5.3.8. The applicant should consult the Environment Agency on the likely scope of an Environmental Statement and should consult Natural England in particular with regard to assessment of noise on protected species or other wildlife. The results of any noise surveys and predictions may inform the ecological assessment. The seasonality of potentially affected species in nearby sites may also need to be taken into account.

⁷⁰ As published by the British Standards Institution, available online at: <https://www.bsigroup.com/>

Decision Making

- 5.3.9. A development must be undertaken in accordance with statutory requirements for noise. Due regard must have been given to the relevant Sections of the Noise Policy Statement for England, the National Planning Policy Framework, and the Government's associated planning guidance on noise.
- 5.3.10. The proposed development should demonstrate good design through selection of the quietest cost-effective approach available; containment of noise within buildings wherever possible; optimisation of facility layout to minimise noise emissions; and, where possible, the use of landscaping or noise barriers to reduce noise transmission.
- 5.3.11. The Secretary of State should not grant development consent unless satisfied that the proposals will meet the following aims, within the context of Government policy on sustainable development:
- avoid significant adverse impacts on health and quality of life from noise as a result of new development;
 - mitigate and minimise other adverse impacts on health and quality of life from noise from new development; and
 - where possible, contribute to improvement to health and quality of life through the effective management and control of noise.
- 5.3.12. In determining an application, the Secretary of State should consider whether mitigation measures are needed both for construction noise and operational noise, over and above any which may form part of the development application. The Secretary of State may wish to impose requirements to ensure delivery of all mitigation measures. This is to ensure that the noise levels from the proposed development do not exceed those described in the assessment or any other estimates on which the decision was based.
- 5.3.13. Applicants should propose appropriate mitigation measures to limit the impact of any noise emissions on amenity.
- 5.3.14. For those processes in a development which would be subject to the Environmental Permitting regime, the Secretary of State may assume that the regime will exercise the necessary controls over noise impacts. However, the Secretary of State must take into account the potential impact from all noise sources when deciding whether or not to grant development consent and, if so, on what terms.

Mitigation

- 5.3.15. Mitigation measures for the project should be proportionate and reasonable and may include one or more of the following:
- engineering: containment of noise generated;
 - materials: use of materials that reduce noise (for example, low noise road surfacing);
 - lay-out : adequate distance between source and noise-sensitive receptors; incorporating good design to minimise noise transmissions through screening by natural or purpose built barriers;
 - administration: specifying acceptable noise limits or times of use (e.g. in the case of the geological disposal facility building site's public announcement systems).

- 5.3.16. In certain situations, and only when all other forms of noise mitigation have been exhausted, the applicant may consider it appropriate to provide noise mitigation through improved sound insulation to dwellings or, in extreme cases, through compulsory acquisition of affected properties. This is to gain consent for what might otherwise be unacceptable development. Where mitigation is proposed to be dealt with through compulsory acquisition, such properties would have to be included within the application in relation to which compulsory acquisition powers were being sought.

5.4 Biodiversity and Nature Conservation (including Flora and Fauna)

Introduction

- 5.4.1 Biodiversity is the variety of life in all its forms, encompassing plants, animals and other organisms as well as the complex ecosystems of which they are a part. Government policy for the natural environment is set out in the Natural Environment White Paper, 'The Natural Choice: securing the value of nature'⁷¹ and subsequent implementation updates. The Natural Environment White Paper sets out the vision of moving progressively from net biodiversity loss to net gain, by supporting ecosystems and establishing coherent ecological networks that are resilient to current and future environmental pressures. The purpose of nature conservation is to maintain and enrich biodiversity and conserve important geological and/or geomorphological sites⁷². Nature conservation also acts to preserve the natural systems that provide food, fresh water and clean air.
- 5.4.2 The national and international laws that can impact on planning decisions affecting biodiversity and geological conservation issues are set out in a Government Circular⁷³.

Applicant's Assessment

- 5.4.3 The applicant should ensure that the Environmental Statement clearly sets out any likely significant impacts on internationally, nationally and locally designated sites of ecological or geological conservation importance (including those outside England). The Environmental Statement must also consider the full range of potential impacts on ecosystems including habitats, protected species or species identified as being of principal importance to biodiversity and nature conservation. The applicant may wish to refer to the Appraisal of Sustainability and Habitats Regulations Assessment reports that accompany this NPS.
- 5.4.4 As a geological disposal facility has both surface and underground infrastructure it is important for the applicant to also consider the likely significant impacts to the subterranean environment. These include subterranean Sites of Special Scientific Interest and habitats.
- 5.4.5 The applicant should show how the development would take advantage of opportunities to conserve and enhance biodiversity and geological conservation interests.

⁷¹ Natural Environment White Paper – Published 2011, latest implementation update 2014, available online at: https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/366526/newp-imp-update-oct-2014.pdf

⁷² A list of designated sites (including marine sites) is included in the Geological Conservation Review held by the Joint Nature Conservation Committee (JNCC).

⁷³ Government Circular: Biodiversity and Geological Conservation – Statutory Obligations and their Impact within the Planning System (ODPM 06/2005, Defra 01/2005).

Decision making

- 5.4.6 The Government's biodiversity strategy is set out in 'Biodiversity 2020: A Strategy for England's wildlife and ecosystem services'⁷⁴. Its aim is to halt overall biodiversity loss, support healthy well-functioning ecosystems and establish coherent ecological networks, with more and better places for nature to benefit wildlife and people. This aim needs to be viewed in the context of the challenge of climate change: failure to address this challenge may result in a significant impact on biodiversity.
- 5.4.7 As a general principle and subject to the specific policies below, development should avoid significant harm to biodiversity and geological conservation interests, including through mitigation and consideration of reasonable alternatives. The applicant may also wish to make use of biodiversity offsetting⁷⁵ in devising compensation proposals to counteract any impacts on biodiversity which cannot be avoided or mitigated. Where significant harm cannot be avoided or mitigated, as a last resort, appropriate compensation measures should be sought. In taking decisions, the Secretary of State should ensure that appropriate weight is attached to designated sites of international, national and local importance, protected species and habitats and other species of principal importance for the conservation of biodiversity, and to biodiversity and geological interests within the wider environment.

International Sites

- 5.4.8 The Habitats Regulations provide statutory protection for European sites⁷⁶. The National Planning Policy Framework states that the following wildlife sites should have the same protection as European sites:
- potential Special Protection Areas (pSPA) and possible Special Areas of Conservation (pSAC);
 - listed or proposed Ramsar sites⁷⁷; and
 - sites identified, or required, as compensatory measures for adverse effects on European sites, potential Special Protection Areas, possible Special Areas of Conservation and listed or proposed Ramsar sites.
- 5.4.9 The Secretary of State must comply with the Habitats Regulations when considering development, where that development is likely to have a significant effect on a European site.

Sites of Special Scientific Interest (SSSIs)

- 5.4.10 Many Sites of Special Scientific Interest are also designated as European sites and will be protected accordingly. Those that are not, or those features of Sites of Special Scientific Interest not covered by an international designation, are given a high degree

⁷⁴ Biodiversity 2020: A Strategy for England's wildlife and ecosystem services – Published 2011, available online at: https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/69446/pb13583-biodiversity-strategy-2020-111111.pdf.

⁷⁵ Biodiversity offsets are measurable conservation outcomes resulting from actions designed to compensate for residual adverse biodiversity impacts arising from a development after mitigating measures have been taken. The goal of biodiversity offsets is to achieve no net loss and preferably a net gain of biodiversity.

⁷⁶ 'European Sites' include candidate Special Areas of Conservation, Sites of Community Importance, Special Areas of Conservation and Special Protection Areas, and is defined in regulation 8 of the Conservation of Habitats and Species Regulations 2010.

⁷⁷ Potential Special Protection Areas, possible Special Areas of Conservation and proposed Ramsar sites are sites on which Government has initiated public consultation on the scientific case for designation as a Special Protection Area, candidate Special Area of Conservation or Ramsar site.

of protection through the Habitats Directive. All National Nature Reserves are notified as Sites of Special Scientific Interest.

- 5.4.11 For proposed surface facilities within or outside a Site of Special Scientific Interest that are likely to have significant adverse effects on a Site of Special Scientific Interest (either individually or in combination with other developments), development consent should not normally be granted. Where an adverse effect on the site's notified special interest features is likely, an exception should be made only where the benefits of the development at this site clearly outweigh:
- the impacts that it is likely to have on the features of the site that make it of special scientific interest; and
 - any broader impacts on the national network of Sites of Special Scientific Interest.
- 5.4.12 The Secretary of State should ensure that the applicant's proposals to mitigate the harmful aspects of the development and, where possible, to ensure the conservation and enhancement of the site's biodiversity or geological interest, are acceptable. Where necessary, requirements or planning obligations should be used to ensure these proposals are delivered.

Marine Conservation Zones (MCZs)

- 5.4.13 Marine Conservation Zones, introduced under the Marine and Coastal Access Act 2009, are areas that have been designated for the purpose of conserving marine flora or fauna, marine habitat or types of marine habitat or features of geological or geomorphological interest. The protected feature or features and the conservation objectives for the Marine Conservation Zones are stated in the relevant Marine Conservation Zones designation orders, which provide statutory protection for these areas. Measures to restrict damaging activities will be implemented by the Marine Management Organisation and other relevant organisations. As a public authority, the Secretary of State is bound by the duties in relation to Marine Conservation Zones imposed by Sections 125 and 126 of the Marine and Coastal Access Act 2009.

Regional and Local Sites

- 5.4.14 Sites of regional and local biodiversity and geological interest, which include Regionally Important Geological Sites, Local Nature Reserves and Local Sites, have a fundamental role to play in:
- meeting overall national biodiversity targets;
 - contributing to the quality of life and the well-being of the community; and
 - supporting research and education.

The Secretary of State should give due consideration to such regional or local designation. However, given the need for geological disposal infrastructure, these designations should not be used in themselves to refuse development consent.

Ancient Woodland and Veteran Trees

- 5.4.15 Ancient woodland is a valuable biodiversity resource both for its diversity of species and for its longevity as woodland. Once lost it cannot be recreated. The Secretary of State should not grant development consent for any development that would result in the loss or deterioration of irreplaceable habitats, including ancient woodland and the loss of aged or veteran trees found outside ancient woodland; unless the national need for and

benefits of the development, in that location, clearly outweigh the loss. Aged or veteran trees found outside ancient woodland are also particularly valuable for biodiversity and their loss should be avoided⁷⁸. Where such trees would be affected by development proposals, the applicant should set out proposals for their conservation or, where their loss is unavoidable, the reasons for this.

Biodiversity within and around developments

5.4.16 Development proposals potentially provide many opportunities for building in beneficial biodiversity or geological features as part of good design through the provision of 'green infrastructure'⁷⁹. When considering proposals, the Secretary of State should consider whether the applicant has maximised such opportunities in and around developments. The Secretary of State may use requirements or planning obligations where appropriate to ensure that such beneficial features are delivered.

Protection of Other Habitats and Species

5.4.17 Many individual wildlife species receive statutory protection under a range of legislative provisions⁸⁰.

5.4.18 Other species and habitats have been identified as being of principal importance for the conservation of biodiversity and thereby requiring conservation action. The Secretary of State should ensure that applicants have taken measures to ensure these species and habitats are protected from the adverse effects of development. Where appropriate, requirements or planning obligations may be used to deliver this protection. The Secretary of State should refuse consent where harm to the habitats or species and their habitats would result, unless the benefits of the development (including need) clearly outweigh that harm.

Mitigation

5.4.19 Applicants should include appropriate mitigation measures as an integral part of their proposed development including identifying where and how they are proposed to be secured. In particular, the applicant should demonstrate that:

- during construction, they will seek to ensure that activities will be confined to the minimum areas required for the works;
- during construction and operation best practice will be followed to ensure that risk of disturbance or damage to species or habitats is minimised, including as a consequence of transport access arrangements;
- habitats will, where practicable, be restored after construction works have finished;
- a development will be designed and landscaped to avoid habitat fragmentation and to provide green corridors for the movement of species; and
- opportunities will be taken to enhance existing habitats and, where practicable, to create new habitats of value within the site landscaping proposals.

⁷⁸ This does not prevent the loss of such trees where the decision-maker is satisfied that their loss is unavoidable.

⁷⁹ Nature can be used to provide important services for communities by protecting them against flooding or excessive heat, or helping to improve air, soil and water quality. When nature is harnessed by people and used as an infrastructural system it is called 'green infrastructure'.

⁸⁰ Certain plant and animal species, including most wild birds, are protected under the Wildlife and Countryside Act 1981. European plant and animal species are protected under the Conservation of Habitats and Species Regulations 2010. Some other animals are protected under their own legislation.

- 5.4.20 The Secretary of State should consider whether appropriate requirements should be attached to any consent, or included in any planning obligations entered into, to ensure that mitigation measures are delivered.
- 5.4.21 The Secretary of State will need to take account of:
- what mitigation measures may have been agreed between the applicant and Natural England and/or the Marine Management Organisation; and
 - whether Natural England and/or the Marine Management Organisation has granted or refused, or intends to grant or refuse, any relevant licences, including protected species mitigation licences.

5.5 Climatic factors including climate change and adaptation

Introduction

- 5.5.1 Anthropogenic activities continue to increase the concentration of greenhouse gases in the atmosphere. The Climate Change Act 2008 established a legally binding target to reduce the UK's greenhouse gas emissions to at least 80% below 1990 levels by 2050. The Paris Agreement⁸¹ marked a clear turning point towards a sustainable and low carbon future, requiring countries to have national mitigation plans to reduce emissions, with the goal of keeping global warming below 2 °C.
- 5.5.2 Geological disposal infrastructure will aid the Government in reaching these targets by enabling the development of new, low-carbon nuclear power plants. The implementation of new nuclear power plants requires the Government to be satisfied that effective arrangements exist or will exist to manage and dispose of the waste they will produce. Geological disposal satisfies this requirement.
- 5.5.3 Despite commitments to reduce greenhouse gas emissions by states across the world, average global temperatures are expected to rise over the next century. The resulting effect on UK climate has implications over time periods relevant to developing geological disposal infrastructure from construction through to closure.

Applicant's Assessment

- 5.5.4 Carbon impacts should be considered as part of the appraisal of the development options, prior to the submission of an application for development consent.
- 5.5.5 While it is unlikely that the development of geological disposal facility infrastructure will adversely affect the Government's ability to meet its emissions targets, the applicant should provide evidence of the carbon impact of the development and an assessment of emissions associated with construction against government targets.
- 5.5.6 The applicant should consider the carbon impact of different materials used in the construction of the facility and operational procedures to reduce emissions.

⁸¹ At the Paris climate conference (COP21) in December 2015, 195 countries adopted the first-ever universal, legally binding global climate deal: http://ec.europa.eu/clima/policies/international/negotiations/future/index_en.htm

- 5.5.7 The applicant should also show that the development is resilient to a changing climate over the lifetime of the proposed development. It should be demonstrated that both surface and underground parts of a facility are adaptable to changes in climate over the length of operation. Long-term climate changes on a geological timescale will be dealt with through the environmental safety case for the facility that the developer will agree with the Environment Agency. The applicant need not demonstrate underground facilities' resilience to these changes in climate during the post-closure phase in the development consent application, as this will be part of the environmental safety case and assessment by the Environment Agency before the grant of the environmental permit.

Decision Making

- 5.5.8 The Carbon Plan 2011⁸² is the Government's overarching national carbon reduction strategy. It is a credible plan for meeting carbon budgets and the Government is legally obliged to meet these plans (or plans set out in any successor document).
- 5.5.9 An increase in emissions resulting from the development of geological disposal infrastructure is not a reason to refuse development consent, unless the resulting increase in carbon emissions is so significant that it would have a material impact on the ability of Government to meet its carbon reduction targets. When assessing emissions as a result of the development, the Secretary of State should take into account that:
- nuclear power is a low carbon form of electricity generation;
 - Government policy is that: before consent is granted for the development of new nuclear power stations, Government should be satisfied that arrangements exist or will exist to manage and dispose of the waste they produce;
 - geological disposal infrastructure provides this management and disposal solution and is therefore an enabler for low carbon new nuclear power.
- 5.5.10 The Secretary of State should refuse development consent if the applicant has failed to show they have considered the impact of climate change over the lifetime of the proposed development and not built in adaptability to a range of potential future climatic environments.

Mitigation

- 5.5.11 To mitigate the contribution any geological disposal infrastructure will make to climate change, its carbon footprint should be minimised. Within safety and operational constraints the design of the geological disposal facility, including configuration and layout and use of materials, should be considered in terms of the emissions impact; as deep boreholes may be comparatively short-lived developments, there may be no need to take account of climate change adaptation, as stated in Section 4.6. The Secretary of State will consider the effectiveness of such mitigation measures to ensure that, in relation to design and construction, the carbon footprint is as low as reasonably practicable. The Secretary of State's view of the adequacy of the mitigation measures

⁸² 'The Carbon Plan: Delivering our low carbon future' - published December 2011:
<https://www.gov.uk/government/publications/the-carbon-plan-reducing-greenhouse-gas-emissions--2>

relating to design and construction will be a material factor in the decision-making process.

5.6 Historic Environment

Introduction

- 5.6.1 The construction and operation of geological disposal infrastructure has the potential to result in adverse impacts on the historic environment, both above, at and below the surface.
- 5.6.2 The historic environment includes all aspects of the environment resulting from the interaction between people and places through time, including all surviving physical remains of past human activity, whether visible, buried or submerged, and landscaped and planted or managed flora.
- 5.6.3 Those elements of the historic environment that hold value to this and future generations because of their historic, archaeological, architectural or artistic interest are called 'heritage assets'. Heritage assets may be buildings, monuments, sites, places, areas or landscapes. The sum of the heritage interests that a heritage asset holds is referred to as its significance. Significance derives not only from a heritage asset's physical presence, but also from its setting⁸³.
- 5.6.4 Some heritage assets have a level of significance that justifies official designation. Categories of designated heritage assets are World Heritage Sites, Scheduled Monuments, Listed Buildings, Protected Wreck Sites, Protected Military Remains, Registered Parks and Gardens, Registered Battlefields; and Conservation Areas⁸⁴.
- 5.6.5 Non-designated heritage assets of archaeological interest⁸⁵ that are demonstrably of equivalent significance to Scheduled Monuments, should be considered subject to the policies for designated heritage assets. The absence of designation for such heritage assets does not indicate lower significance.
- 5.6.6 The Secretary of State should also consider the impacts on other non-designated heritage assets (as identified either through the development plan process by local authorities, including 'local listing', or through the application, examination and decision making process). This is on the basis of clear evidence that such heritage assets have a significance that merits consideration in that process, even though those assets are of lesser value than designated heritage assets.

⁸³ Setting of a heritage asset is the surroundings in which it is experienced. Its extent is not fixed and may change as the asset and its surroundings evolve. Elements of a setting may make a positive or negative contribution to the significance of an asset, may affect the ability to appreciate that significance or may be neutral.

⁸⁴ The issuing of licenses to undertake works on Protected Wreck Sites in territorial waters adjacent to England is the responsibility of the Secretary of State for Culture, Media and Sport and does not form part of Development Consent Orders. The issuing of licences for Protected Military Remains is the responsibility of the Secretary of State for Defence.

⁸⁵ There will be archaeological interest in a heritage asset if it holds, or potentially may hold, evidence of past human activity worthy of expert investigation at some point. Heritage assets with archaeological interest are the primary source of evidence about the substance and evolution of places, and of the people and cultures that made them.

Applicant's assessment

- 5.6.7 The applicant should undertake an assessment of any likely significant heritage impacts of the proposed development as part of the Environmental Impact Assessment and describe these in the Environmental Statement (see Section 4.2). This should include consideration of heritage assets above, at, and below the surface.
- 5.6.8 The applicant should describe the significance of any heritage assets affected, including any contribution made by their setting. The level of detail should be proportionate to the assets' importance and no more than is sufficient to understand the potential impact of the proposal on their significance. As a minimum, the relevant Historic Environment Record⁸⁶ should have been consulted and the heritage assets assessed using appropriate expertise. Where a site on which development is proposed includes, or has the potential to include, heritage assets with archaeological interest, the applicant should include an appropriate desk-based assessment and, where necessary, a field evaluation.
- 5.6.9 The applicant is encouraged, where opportunities exist, to prepare proposals which can make a positive contribution to the historic environment, and to consider how their scheme takes account of the significance of heritage assets affected. This can include, where possible:
- enhancing, through design, the significance of heritage assets or setting affected;
 - considering measures that address those heritage assets which are on the Heritage at Risk Register, or which may become at risk, as a result of the scheme; and
 - considering how visual or noise impacts can affect heritage assets, and whether there may be opportunities to enhance access to or interpretation, understanding and appreciation of the heritage assets affected by the scheme.

Careful consideration in preparing the scheme will be required on whether the impacts on the historic environment will be direct or indirect, temporary or permanent.

Decision making

- 5.6.10 In determining applications, the Secretary of State should seek to identify and consider the particular significance of any heritage asset that may be affected by the proposed development (including assets whose setting may be affected by the proposed development), taking account of the available evidence and any necessary expertise from:
- relevant information provided with the application and, where applicable, relevant information submitted during examination of the application;

⁸⁶ Historic Environment Records are information services maintained by local authorities and National Park Authorities with a view to providing access to comprehensive and dynamic resources relating to the historic environment of an area for public benefit and use. Details of Historic Environment Records in England are available from the Heritage Gateway website. Historic England should also be consulted, where relevant.

- any designation records included on the National Heritage List for England;
- historic landscape character records;
- the relevant Historic Environment Record(s) and similar sources of information⁸⁷;
- representations made by interested parties during the examination process; and
- expert advice, where appropriate, and when the need to understand the significance of the heritage asset demands it.

- 5.6.11 The Secretary of State must also comply with the requirements on listed buildings, conservation areas and scheduled monuments set out in the Infrastructure Planning (Decisions) Regulations 2010.
- 5.6.12 In considering the impact of a proposed development on any heritage assets, the Secretary of State should take into account the particular nature of the significance of the heritage assets and the value that they hold for this and future generations. This understanding should be used to avoid or minimise conflict between their conservation and any aspect of the proposal.
- 5.6.13 The Secretary of State should take into account the desirability of sustaining and, where appropriate, enhancing the significance of heritage assets, the contribution of their settings and the positive contribution that their conservation can make to sustainable communities, including their economic vitality. The Secretary of State should also take into account the desirability of new development making a positive contribution to the character and local distinctiveness of the historic environment. The consideration of design should include scale, height, massing, alignment, materials, use and landscaping (for example, screen planting).
- 5.6.14 When considering the impact of a proposed development on the significance of a designated heritage asset, the Secretary of State should give great weight to the asset's conservation. The more important the asset, the greater the weight should be. Once lost, heritage assets cannot be replaced and their loss has a cultural, environmental, economic and social impact. Substantial harm to or loss of a grade II Listed Building or a grade II Registered Park or Garden should be exceptional. Significance can be harmed or lost through alteration or destruction of the heritage asset or development within its setting. Given that heritage assets are irreplaceable, harm or loss affecting any designated heritage asset should require clear and convincing justification. Substantial harm to or loss of designated assets of the highest significance, including World Heritage Sites, Scheduled Monuments, grade I & II* Listed Buildings, Registered Battlefields, and grade I & II* Registered Parks and Gardens should be wholly exceptional.
- 5.6.15 Any harmful impact on the significance of a designated heritage asset should be weighed against the public benefit of development, recognising that the greater the

⁸⁷ Historic Environment Good Practice Advice in Planning: 2 - Managing Significance in Decision-Taking in the Historic Environment, available online at: <https://historicengland.org.uk/images-books/publications/gpa2-managing-significance-in-decision-taking/>

harm to the significance of the heritage asset then the greater the justification that will be needed for any loss.

5.6.16 Where the proposed development will lead to substantial harm to or total loss of significance of a designated heritage asset: The Secretary of State should refuse consent unless it can be demonstrated that the substantial harm or loss of significance is necessary to deliver substantial public benefits that outweigh that loss or harm, or all of the following apply:

- the nature of the heritage asset prevents all reasonable uses of the site;
- no viable use of the heritage asset itself can be found in the medium term through appropriate marketing that will enable its conservation;
- conservation by grant-funding or some form of charitable or public ownership is demonstrably not possible; and
- the harm or loss is outweighed by the benefit of bringing the site back into use.

5.6.17 Where the proposed development will lead to less than substantial harm to the significance of a designated heritage asset, this harm should be weighed against the public benefits of the proposal, including securing the optimum viable use for the heritage asset.

5.6.18 Not all elements of a World Heritage Site or Conservation Area will necessarily contribute to its significance. The Secretary of State should treat the loss of a building (or other element) that makes a positive contribution to their significance either as substantial harm or less than substantial harm, as appropriate. This should be considered by taking into account the relative significance of the elements affected and their contribution to the significance of the Conservation Area or World Heritage Site as a whole.

5.6.19 Where the loss of significance of any heritage asset has been justified by the applicant based on the merits of the new development and the significance of the asset in question, the Secretary of State should consider:

- imposing a requirement in the Development Consent Order, or;
- requiring the applicant to enter into an obligation,

that will prevent the loss occurring until the relevant part of the development has commenced or it is reasonably certain that the relevant part of the development is to proceed.

5.6.20 Applicants should look for opportunities for new development within Conservation Areas and World Heritage Sites, and within the setting of heritage assets, to enhance or better reveal their significance. Proposals that preserve those elements of the setting that make a positive contribution to or better reveal the significance of the asset should be treated favourably.

- 5.6.21 Where there is evidence of deliberate neglect of, or damage to, a heritage asset, the Secretary of State should not take its deteriorated state into account in any decision.

Mitigation by Recording

- 5.6.22 A documentary record of our past is not as valuable as retaining the heritage asset and therefore the ability to record evidence of the asset should not be a factor in deciding whether consent should be given.
- 5.6.23 Where the loss of the whole or part of a heritage asset's significance is justified, the Secretary of State should require the applicant to record and advance understanding of the significance of the heritage asset before it is lost wholly or in part. The extent of the requirement should be proportionate to the nature and level of the asset's significance. Applicants should be required to deposit copies of the reports with the relevant Historic Environment Record. They should also be required to deposit the archive generated in a local museum or other public depository willing to receive it.
- 5.6.24 The Secretary of State may add requirements to the Development Consent Order to ensure that this is undertaken in a timely manner in accordance with a written scheme of investigation that meets the requirements of this Section and has been agreed in writing with the relevant local authority (or, where the development is in English waters, the Marine Management Organisation) and Historic England and that the completion of the exercise is properly secured⁸⁸.
- 5.6.25 Where there is a high probability that a development site may include as yet undiscovered heritage assets with archaeological interest, the Secretary of State should consider imposing requirements to ensure that appropriate procedures are in place for the identification and treatment of such assets discovered during construction.

5.7 Socio-economics, Population and Demographics

Introduction

- 5.7.1 Due to the long-term nature of some geological disposal infrastructure development, there is the possibility a geological disposal facility may have long-term effects on the population and demographics of an area.
- 5.7.2 Construction and operation of geological disposal infrastructure may have economic impacts at local and regional levels. Developers should look to maximise employment opportunities and consider the likely requirements for training, and working with national and government training organisations. Developers should also work with local resources and organisations to ensure employment opportunities during construction and operation of geological disposal infrastructure are effectively communicated. In addition, the use of sustainable materials from local suppliers is encouraged.

⁸⁸ Guidance on the contents of a written scheme of investigation is set out in Historic Environment Good Practice Advice in Planning: 2 - Managing Significance in Decision - Taking in the Historic Environment.

Applicant's Assessment

- 5.7.3 Applicants should demonstrate that with any geological disposal infrastructure development, they have taken steps to ensure that the entire demographic, including all equality groups⁸⁹ in the area is considered. The applicant should consider how the impacts of geological disposal infrastructure, such as socio-economics, visual impacts and traffic and transport may affect the social infrastructure and amenities available to local communities.
- 5.7.4 Applicants should describe the existing socio-economic conditions, in the areas surrounding the proposed development, following appropriate consultation with those most affected, and should refer to how the development's socio-economic impacts correlate with local planning policies.
- 5.7.5 Applicants should assess any likely, significant positive and negative socio-economic impacts. The assessment should look at the potential impacts over the operational lifetime of the proposed development, and the potential impacts of its closure so far as is reasonable.
- 5.7.6 The assessment should cover any socio-economic impacts appropriate to the proposed development. Examples include:
- the creation of jobs and training opportunities;
 - the provision of educational and visitor facilities;
 - the impact of the proposed new facility on equalities groups and effects on tourism and the impact on local services;
 - the need for accommodation for workers.
- 5.7.7 The changing influx of workers during construction, operation (construction will continue through most of the operation phase of the geological disposal facility) and eventual closure/sealing phases of the geological disposal infrastructure may alter the demand for services and facilities in the areas surrounding the proposed development. This could change the local population dynamics and could alter the demand for services and facilities in the settlements nearest to the construction work (including community facilities and physical infrastructure such as energy, water, transport and waste). There could also be effects on social cohesion depending on how populations and service provision change as a result of the development.
- 5.7.8 Cumulative effects on communities should be assessed. For example, if development consent, or consent under other regimes, were to be granted for a number of infrastructure projects within a region and these were developed in a similar timeframe; there could be some short-term negative effects. For instance, a potential shortage of construction workers to meet the needs of other industries and major projects within the region.

⁸⁹ 'Equalities groups' is used to refer to people or communities who face discrimination or social exclusion due to personal characteristics (e.g. gender, race).

- 5.7.9 As many of the on-site functions of a geological disposal facility are relatively labour-intensive, new job and up-skilling opportunities are likely to arise. Applications should assess related issues such as the availability of a suitable workforce and the potential wider and longer term benefits to the economy.
- 5.7.10 In considering alternative site locations, the developer should take account of potential impacts of alternative project options in respect of any adverse effects on different groups of the population. Potential impacts on pollution and noise in respect of any adverse effects on equality groups should also be taken into account, for example by carrying out an equalities impact assessment.
- 5.7.11 Socio-economic impacts may be linked to other impacts, for example the visual impact or an individual's perception of a development. It may also have an impact on local businesses or property value. Where such impacts are relevant to the development, an applicant should include them in their assessments.

Decision Making

- 5.7.12 Prior to making a development consent application, the applicant should have undertaken a public consultation programme to understand the needs and concerns of local communities and acted upon, or have plans to act upon, the outcome of this where appropriate.
- 5.7.13 The Secretary of State should have regard to the potential socio-economic impacts of new geological disposal infrastructure identified by the applicant. It should be reasonable for the Secretary of State to conclude that speculative assertions of socio-economic impacts, not supported by evidence, should be given little weight (particularly in view of the need for geological disposal infrastructure as set out in this NPS).
- 5.7.14 The Secretary of State should consider any relevant positive provisions the applicant has made or is proposing to make to mitigate impacts (for example through planning obligations), and any community investment that may arise as well as any options for phasing development in relation to the socio-economic impacts.

Mitigation

- 5.7.15 The Secretary of State should consider whether the mitigation measures put forward by the applicant are acceptable to mitigate any adverse socio-economic impacts of the development. For example, high quality design and/or screening (e.g. by natural features) can improve the visual and environmental experience for visitors and the local community alike.
- 5.7.16 The Secretary of State should only grant development consent where the measures put forward by the applicant to mitigate any adverse equalities impacts are acceptable.

5.8 Flood risk and Coastal Change

Introduction

5.8.1 Flooding is a natural process that plays an important role in shaping the natural environment. However, flooding threatens life and causes substantial damage to property. The effects of weather events on the natural environment, life and property can be exacerbated as a consequence of decisions about the location, design and nature of development and land use, and as a potential consequence of future climate change. Although flooding cannot be wholly prevented, its adverse impacts can be avoided or reduced through good planning and management. Additionally, where surface facilities of a geological disposal facility or deep boreholes are proposed near the coast, coastal change is an important consideration.

Flood Risk

5.8.2 Climate change over the operational lifetime of the geological disposal facility could lead to an increased flood risk in areas susceptible to flooding, and to an increased risk of flooding in areas which are not currently thought of as being at risk. The applicant, the Examining Authority and the Secretary of State (in taking decisions) should consider the risk of flooding also in the context of climate change. More information about assessment principles and impacts of climate change can be found in Sections 4.6 and 5.5 respectively.

5.8.3 The National Planning Policy Framework aims to prevent inappropriate development in areas at risk of flooding by directing development away from areas at highest risk. Where development is necessary in such areas, policy aims to ensure safety without increasing flood risk elsewhere and, where possible, by reducing flood risk overall. Further guidance can be found in the Planning Practice Guidance⁹⁰ supporting the National Planning Policy Framework.

Coastal Change

5.8.4 The construction of surface facilities and deep boreholes near the coast may involve, for example, dredging, dredge spoil deposition, marine landing facility construction and flood and coastal protection measures which could result in direct effects on the coastline, seabed, marine ecology and biodiversity, and the historic environment. The applicant should consider how geological disposal infrastructure could act as a driver of coastal change while also considering how to ensure that a development is resilient to on-going and potential future coastal change.

5.8.5 If underground facilities are to be located under the seabed, the applicant should consult the Marine Management Organisation at an early stage.

5.8.6 Indirect changes to the coastline and seabed might arise as a result of a hydrodynamic response to direct changes. Applicants should consider the extent to which this could lead to localised or more widespread coastal erosion or accretion and changes to

⁹⁰ The Planning Practice Guidance supporting the National Planning Policy Framework, available online at: <http://planningguidance.communities.gov.uk/blog/guidance/flood-risk-and-coastal-change/>

offshore features such as submerged banks and ridges, marine biodiversity and the historic environment.

Applicant's Assessment

Flood Risk

- 5.8.7 Applications for geological disposal surface infrastructure of one hectare or greater in Flood Zone 1.⁹¹ and all proposals for geological disposal surface infrastructure located in Flood Zones 2 and 3 should be accompanied by a site-specific flood risk assessment. A flood risk assessment will also be required where geological disposal surface infrastructure of less than one hectare may be subject to sources of flooding other than rivers and the sea (e.g. surface water, groundwater); or, where the Environment Agency has notified the local planning authority that there are critical drainage problems.
- 5.8.8 For local flood risk (surface water, groundwater and ordinary watercourse flooding), local flood risk management strategies and surface water management plans provide useful sources of information for consideration in flood risk assessments. Surface water flood issues need to be understood and these issues taken into account; for example flow routes should be clearly identified and managed.
- 5.8.9 The flood risk assessment should identify and assess the risks of all forms of flooding to and from the infrastructure and demonstrate how these flood risks will be managed, taking climate change into account.
- 5.8.10 In preparing a flood risk assessment the developer should:
- consider the risk of all forms of flooding arising from the geological disposal infrastructure in addition to the risk of flooding to the infrastructure; demonstrate how these risks will be managed, and where relevant mitigated, so that the development remains safe throughout its lifetime and does not increase flood risk elsewhere⁹²;
 - consider both the potential adverse and beneficial effects of flood risk management infrastructure, including raised defences, flow channels, flood storage areas and other artificial features, together with the consequences of their failure;
 - consider and quantify the different types of flooding (whether from natural and human sources and including joint and cumulative effects) and identify flood risk reduction measures, so that assessments are fit for the purpose of the decisions being made;

⁹¹ The Flood Zones refer to the probability of flooding from rivers, the sea and tidal sources and ignore the presence of existing defences, because these can be breached, overtopped and may not be in existence for the lifetime of the development. The definition of Flood Zones (in England) can be found in the Technical Guidance to the National Planning Policy Framework. The Environment Agency's Flood Maps is available online at: <http://www.environment-agency.gov.uk/homeandleisure/37837.aspx>. Their maps of Groundwater Protection Zones is available online at: <http://www.environment-agency.gov.uk/homeandleisure/37833.aspx>

⁹² Updated flood maps for rivers, the sea, surface water and reservoirs are available on the Environment Agency's website.

- take the impacts of climate change into account, clearly stating the development lifetime over which the assessment has been made;
- consider the vulnerability of those using the geological disposal infrastructure, including arrangements for safe access and escape routes include; the assessment of the residual risk after risk reduction measures have been taken into account; and demonstrate that this is acceptable for the proposed geological disposal infrastructure;
- consider how the ability of water to soak into the ground may change with development, along with how the proposed layout of the infrastructure may affect drainage systems; and
- provide the evidence for the Secretary of State to apply the Sequential Test and Exception Test⁹³, as appropriate.

5.8.11 Geological disposal infrastructure may be affected by (or may add to) flood risk if it is within a defined zone of high flood risk, or if the development is likely to change the surface hydrology of the area significantly. Applicants are advised to engage in sufficiently early pre-application discussions with the Environment Agency and the relevant lead local flood authority (and, where relevant, other flood risk management bodies such as Internal Drainage Boards, sewerage undertakers, highways authorities and reservoir owners and operators). Such discussions can be used to identify the likelihood and possible extent and nature of the flood risk, to help scope the flood risk assessment. Additionally they can identify the information that will be required by the Secretary of State to reach a decision on the application once it has been submitted and examined.

5.8.12 During the assessment of a new nuclear facility, the Office for Nuclear Regulation considers the applicant's safety case for protection against external hazards⁹⁴ such as flooding. Any site-specific elements that may impact safety should be justified by the applicant at a later date as agreed with the Office for Nuclear Regulation⁹⁵.

⁹³A Sequential Test is applied to planning applications to ensure that new development is located in areas at lowest flood risk as far as possible. An Exception Test is applied to certain applications where development is proposed in a flood risk area (e.g. where alternative sites are not available in a lower flood risk area), to demonstrate that the development is justified and can be made safe. Further details of the Sequential Test and Exception Test can be found in the National Planning Policy Framework 2012, Paragraph: 019 Reference ID: 7-019-20140306.

⁹⁴ The Office for Nuclear Regulation's judgments are guided by the following: ONR Safety Assessment Principles <http://www.onr.org.uk/saps/saps2014.pdf>; Technical Assessment Guide 13 http://www.onr.org.uk/operational/tech_asst_guides/ns-tast-gd-013.pdf; ONR and EA Joint Advice Note - Principles for Flood and Coastal Erosion Risk Management; international standards and guidance.

⁹⁵ The Office for Nuclear Regulation is a statutory consultee to the Infrastructure Planning Inspectorate (PINS) which rules on the suitability of a particular design on a particular site for planning purposes. Nuclear safety issues are deferred to the Office for Nuclear Regulation to allow it to confirm that the planning application and inquiry decision do not undermine the nuclear safety aspects (affected by external hazards such as flooding) that will be considered subsequently by the Office for Nuclear Regulation.

Coastal Change

- 5.8.13 This NPS does not preclude development of geological disposal infrastructure under the seabed so long as development is within UK territorial waters adjacent to England (up to the seaward limits of the territorial sea).
- 5.8.14 Applications for development in a Coastal Change Management Area (CCMA) should make it clear why there is a need for it to be located in a Coastal Change Management Area⁹⁶. If this is the case, applicants should consult the local planning authority, EA and other relevant bodies on the scope of an assessment of the vulnerability of the proposed development to coastal change, to help demonstrate its appropriateness in such a location. This should take account of climate change, during the infrastructure's operational life and any decommissioning period.
- 5.8.15 For a proposed development involving dredging, the applicant should consult the Marine Management Organisation at an early stage. The applicant should also consult the Marine Management Organisation on a proposed development which could impact on coastal change, since the Marine Management Organisation may also be involved in considering a proposed development which may have related coastal impacts.
- 5.8.16 The applicant should examine the broader context of coastal protection around the proposed site and the influence in both directions, i.e. coast on site and site on coast⁹⁷.
- 5.8.17 The applicant should be particularly careful to identify any effects of physical changes on the integrity and special features of Marine Conservation Zones, candidate marine Special Areas of Conservation, coastal Special Areas of Conservation and candidate coastal Special Areas of Conservation, coastal Special Protection Areas and potential coastal Special Protection Areas, Ramsar sites, Sites of Community Importance (SCIs) and potential Sites of Community Importance and Sites of Special Scientific Interest.
- 5.8.18 During the assessment of a new nuclear facility, the Office for Nuclear Regulation considers the applicant's safety case for protection against external hazards such as coastal change. Any site-specific elements that may impact safety should be justified by the applicant at a later date as agreed with the Office for Nuclear Regulation.

Decision making

Flood Risk

The Sequential Test (Flood Risk)

- 5.8.19 Preference should be given to locating the surface based parts⁹⁸ of a geological disposal facility or any deep boreholes in Flood Zone 1. If there is no reasonable

⁹⁶ Coastal Change Management Areas are areas identified in Local Plans as likely to be affected by coastal change (physical change to the shoreline through erosion, coastal landslip, permanent inundation or coastal accretion).

⁹⁷ The relevant information will include Shoreline Management Plans.

⁹⁸ Flooding will not affect the underground facility that is at least 200 metres underground as water will not have a route down to these areas. It is for these reasons that a geological disposal facility could potentially be located off-shore.

available site⁹⁹ in Flood Zone 1, then consideration should be given to locating that infrastructure in Flood Zone 2. If there is no reasonable available site in Flood Zones 1 or 2, then that infrastructure may be located in Flood Zone 3a, subject to the Exception Test. Development consent should not be granted for development where any part of the surface infrastructure of a geological disposal facility is located in Flood Zone 3b. Development consent should only be granted for development in respect of deep boreholes where those boreholes are located in whole or in part in Flood Zone 3b where there are no other reasonable alternative locations. Whilst the surface infrastructure of a geological disposal facility should take account of Flood Zones, an applicant is not precluded from developing the underground parts of a geological disposal facility beneath Flood Zones.

The Exception Test (Flood Risk)

- 5.8.20 Where the Sequential Test has been applied but, consistent with wider sustainability objectives, it is not possible for the development to be located in zones of lower probability of flooding than Flood Zone 3a, the Exception Test should be applied. The test provides a method of managing flood risk while still allowing necessary development to occur.
- 5.8.21 The Exception Test is only appropriate for use where the Sequential Test alone cannot deliver an acceptable site, taking into account the need for geological disposal infrastructure to remain operational during floods.
- 5.8.22 Both elements of the test will have to be passed for development to be consented. For the Exception Test to be passed:
- it must be demonstrated that the project provides wider sustainability benefits to the community¹⁰⁰ that outweigh flood risk; and
 - a flood risk assessment must demonstrate that the proposed development will be safe from flooding for its operational lifetime and beyond closure, taking account of the vulnerability of its users, without increasing flood risk elsewhere and, where possible, reducing flood risk overall.
- 5.8.23 In addition, any infrastructure for the geological disposal facility that is classified as essential infrastructure¹⁰¹ and proposed to be located in Flood Zone 3a or 3b should be designed and constructed to remain operational and safe for users in times of flood; and any proposed development in Flood Zone 3b should result in no net loss of floodplain storage and not impede water flows.

Application for development consent

⁹⁹ Guidance on interpreting the term 'reasonable available site' in this test can be found in the Planning Practice Guide which accompanies the National Planning Policy Framework. The applicant should justify with evidence to the Examining Authority what area of search has been used in examining whether there are reasonable available sites. This will allow the Examining Authority to consider whether the Sequential Test has been made as part of site selection.

¹⁰⁰ These would include the benefits (including need) for, the infrastructure set out in Section 3 of this NPS.

¹⁰¹ Essential infrastructure includes essential utility infrastructure which has to be located in a flood risk area (or coastal change management area) for operational reasons, including electricity generation power stations and grid and primary and sub-stations.

5.8.24 In determining an application for development consent, the Secretary of State should be satisfied that, where relevant:

- the application is supported by an appropriate flood risk assessment;
- the Sequential Test has been applied as part of site selection and, if required, the Exception Test as set out in the Planning Practice Guidance supporting the National Planning Policy Framework;
- a sequential approach has been applied at the site level to minimise risk by directing the most vulnerable development to areas of lowest flood risk, unless there are overriding reasons to prefer a different location;
- in areas at risk of flooding, priority has been given to the use of sustainable drainage systems (SuDS);
- in flood risk areas, the infrastructure is appropriately flood resilient and resistant, including safe access and escape routes where required, and any residual risk can be safely managed over the lifetime of the development.

5.8.25 For construction work which has drainage implications¹⁰², approval for the infrastructure's drainage system will form part of any development consent issued by the Secretary of State. The Secretary of State expects sustainable drainage systems for the management of run-off to be put in place, unless demonstrated to be inappropriate, for the proposed development¹⁰³.

5.8.26 The Secretary of State will therefore need to be satisfied that:

- having regard to the Planning Practice Guidance and the non-statutory technical standards for sustainable drainage systems¹⁰⁴, that the proposed minimum standards of operation are appropriate;
- there are clear arrangements in place, through the use of requirements or planning obligations, for on-going maintenance over the operational lifetime of the facility, including any necessary access rights to property;
- the sustainable drainage systems should be designed to ensure that the maintenance and operational requirements are economically proportionate;
- the most appropriate body is being given the responsibility for maintaining any sustainable drainage systems, taking into account the nature and security of the infrastructure on the proposed site.

5.8.27 If the Environment Agency or the lead local flood authority have concerns and maintain an objection to the grant of development consent on the grounds of flood risk, the Secretary of State may grant consent so long as he is satisfied that all reasonable steps

¹⁰² As defined in paragraph 7(2) of Schedule 3 to the Flood and Water Management Act 2010.

¹⁰³ Nationally significant infrastructure projects as defined in Section 14 of the Planning Act 2008, including waste development.

¹⁰⁴ 'Non-statutory technical standards for sustainable drainage systems', Defra, March 2014, available online at: <https://www.gov.uk/government/publications/sustainable-drainage-systems-non-statutory-technical-standards>

have been taken by the applicant and the Environment Agency or the lead local flood authority as appropriate to mitigate the risks.

5.8.28 The Secretary of State should not consent development in Flood Zone 2 unless satisfied that the Sequential Test requirements have been met. Development should not be consented in Flood Zone 3 unless the Secretary of State is satisfied that the Sequential and Exception Test requirements have been met. However, when seeking development consent on a site allocated in a development plan through the application of the Sequential Test, (informed by a strategic flood risk assessment): when locating development within the site, applicants need not apply the Sequential Test, but should apply the sequential approach.¹⁰⁵.

Coastal Change

5.8.29 When assessing applications in a Coastal Change Management Area, the Secretary of State should not grant development consent unless it is demonstrated that:

- the development will be safe over its planned operational lifetime and will not have an unacceptable impact on coastal change;
- the character of the coast (including designations) is not compromised;
- the development provides wider sustainability benefits; and
- the development does not hinder the creation and maintenance of a continuous, signed and managed route around the coast.

5.8.30 Essential infrastructure may be granted development consent in a Coastal Change Management Area, provided there are clear plans to manage the impacts of coastal change on it, and it will not have an adverse impact on rates of coastal change elsewhere.

5.8.31 In addition to this NPS, the Secretary of State must have regard to the appropriate marine policy documents, as provided for in the Marine and Coastal Access Act 2009, in taking any decision which relates to the exercise of any function capable of affecting any part of the UK marine area. The Secretary of State may also have regard to any relevant Shoreline Management Plans¹⁰⁶. In the event of a conflict between any of these marine policy documents and this NPS, the NPS prevails for the purposes of decision making given the national significance of the infrastructure.

5.8.32 Substantial weight should be attached to the risks of flooding and coastal erosion. The applicant must demonstrate that full account has been taken of the policy on assessment and mitigation in this NPS, taking account of the potential effects of climate change on these risks as discussed above.

¹⁰⁵ Further guidance on the sequential approach is available online at:

https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/6000/2115548.pdf

¹⁰⁶ Shoreline management plans are developed by Coastal Groups with members mainly from local councils and the Environment Agency. They identify the most sustainable approach to managing the flood and coastal erosion risks to the coastline in the short term (0 to 20 years), medium term (20 to 50 years) and the long term (50 to 100 years). The Shoreline Management Plan is available online at: <https://www.gov.uk/government/publications/shoreline-management-plans-smps>

Mitigation

Flood Risk

- 5.8.33 To satisfactorily manage flood risk and the impact of the natural water cycle on people, property and ecosystems, good design and infrastructure may need to be secured through use of planning requirements or obligations. These may include the use of sustainable drainage systems and the planting of vegetation to help to slow run-off, hold back peak flows and make landscapes more able to absorb the impact of severe weather events.
- 5.8.34 Surface based aspects of geological disposal infrastructure which has to be located in flood risk areas should be designed to remain operational when floods occur.
- 5.8.35 The receipt of, and response to, warnings of floods is an essential element in the management of the residual risk of flooding. Flood warning and evacuation plans should be in place for those areas identified as at risk of flooding. The applicant should take advice from the emergency services when producing an evacuation plan for the proposed development as part of the flood risk assessment. Any emergency planning documents, flood warning and evacuation procedures that are required should be identified in the flood risk assessment.
- 5.8.36 The Secretary of State should consider whether the applicant has made suitable proposals to mitigate flood risk. If necessary, appropriate requirements should be attached to any development consent or planning obligations entered into. The Secretary of State should only grant development consent where the arrangements to mitigate flood risks are acceptable.

Coastal Change

- 5.8.37 Applicants should propose adequate mitigation measures to address adverse physical changes to the coast in consultation with the Marine Management Organisation, the Environment Agency, Local Planning Authorities, other statutory consultees, Coastal Partnerships and other coastal groups, as they consider appropriate. If necessary, appropriate requirements should be attached to any development consent or planning obligations entered into. The Secretary of State should only grant development consent where the arrangements to mitigate any adverse physical changes to the coast are acceptable.
- 5.8.38 The Secretary of State should also ensure appropriate development in a Coastal Change Management Area is not affected by coastal change, by limiting the planned life-time of the proposed development of surface-based aspects of geological disposal infrastructure where appropriate; for example, by including temporary permission and restoration conditions where necessary to reduce the risk to people and the development.

5.9 Human Health

Introduction

- 5.9.1 Geological disposal infrastructure has the potential to impact both positively and negatively on the health and wellbeing of the population. A permanent disposal solution for potentially harmful radioactive materials has clear benefits for the population and future generations; however the possibility of some adverse effects must not be discounted.
- 5.9.2 An appropriately located, well-run and well-regulated, geological disposal facility operated in line with current environmental control techniques and standards should pose little risk to human health. However, developing geological disposal infrastructure could directly impact health by increasing traffic, air pollution, dust, odour, water pollution and noise. Furthermore, perceptions of the health risks associated with geological disposal infrastructure could lead to anxiety and stress.
- 5.9.3 Potential radiological impacts on the health of workers are regulated by the Health and Safety Executive and the Office for Nuclear Regulation and the Environment Agency regulates potential radiological impacts on the public. The Basic Safety Standards Directive (96/29/Euratom)¹⁰⁷ and the Ionising Radiations Regulations 1999¹⁰⁸ (and associated legislation) lay down basic safety standards for the protection of the health of workers and the general public against the dangers arising from ionising radiation. Environmental effects are covered by the Environmental Permitting (England and Wales) Regulations 2016 requirements as discussed in Section 4.2.

Applicant's Assessment

- 5.9.4 The applicant should ensure that the impacts on the health of workers and the public are considered over the operational lifetime of the facility and post-closure. This should include any significant human health impacts identified as a result of assessment of other generic impacts in Section 5 of this NPS and their combined effects. Consideration of the impacts on human health, including cumulative impacts should be included in the Environmental Statement (see Section 4.2).
- 5.9.5 The applicant should also consider any indirect health impacts that arise as a result of development. For example, if it in some way affects access to important public services, transport or the use of open space for recreation and physical activity.
- 5.9.6 The applicant should work with the local authority and the local Clinical Commissioning Group (CCG)¹⁰⁹ to identify any potentially significant health impacts and appropriate mitigation measures at a given site. Where such measures relate to public information on the extent of risk in relation to radiological hazard, the applicant should consult Public Health England on the appropriate standards for radiological protection.

¹⁰⁷ Council Directive 96/29/Euratom of 13 May 1996 laying down basic safety standards for the protection of the health of workers and the general public against the dangers arising from ionizing radiation.

¹⁰⁸ The Ionising Radiations Regulations 1999, SI No 3232, available online at: http://www.legislation.gov.uk/ukxi/1999/3232/pdfs/ukxi_19993232_en.pdf

¹⁰⁹ Clinical Commissioning Groups are NHS organisations set up by the Health and Social Care Act 2012 to organise the delivery of NHS services in England.

5.9.7 Radiological impacts on workers, the public and the environment will be assessed by the Office for Nuclear Regulation and the Environment Agency in safety submissions provided by the developer. These safety cases will inform the independent regulators' decisions on a Nuclear Site Licence and Environmental Permits. These permissions are not a prerequisite to granting development consent and are separate from the planning process.

Decision Making

5.9.8 The detailed consideration of the implications, if any, for human health is the responsibility of the independent regulators. However, planning operates in the public interest to ensure that the location of proposed development is acceptable and health can be material to such decisions. The Secretary of State should take account of health concerns when setting requirements relating to the range of impacts set out in this NPS.

5.9.9 The Secretary of State should also consider the positive effect of employment and other socio-economic impacts (see Section 5.7 above) on human health and well-being.

5.9.10 The Secretary of State should act on the basis that the regulatory regime will be properly applied and enforced to protect human health.

Mitigation

5.9.11 The Secretary of State should act on the basis that the risk of adverse effects resulting from exposure to radiation for workers, the public and the environment will be adequately mitigated because of the need to satisfy the requirements of the UK's strict legislative and regulatory regime.

5.10 Landscape and Visual Impacts

Introduction

5.10.1 The landscape and visual impacts of a proposed development will vary on a case-by-case basis according to the type of development, its location and the landscape setting of the proposed development. In this context, references to landscape should be taken as covering seascape and townscape, where appropriate.

Applicant's Assessment

5.10.2 The applicant should undertake an assessment of any likely significant landscape and visual impacts and describe these in the Environmental Statement (see Section 4.2). A guide has been produced to assist in addressing landscape issues¹¹⁰. The landscape and visual assessment should include reference to any landscape character assessment and associated studies as a means of assessing landscape impacts relevant to the proposed development. The applicant's assessment should also take

¹¹⁰ Landscape Institute and Institute of Environmental Management and Assessment (2013, 3rd edition): 'Guidelines for Landscape and Visual Impact Assessment Impact Assessment'.

account of any relevant policies based on these assessments in local development in England.

- 5.10.3 The applicant's assessment should include the effects during construction of the proposed development and the significant effects of the completed development and its operation on landscape components and landscape character (including historic landscape characterisation).
- 5.10.4 The assessment should include the visibility, conspicuousness and potential impacts on views and visual amenity of any proposed development during construction and operation. This should include any noise and light pollution effects, including on local amenity, tranquillity and nature conservation.
- 5.10.5 Legislation already provides a high degree of protection for National Parks and Areas of Outstanding Natural Beauty and the proposed draft NPS reinforces this in the context of geological disposal. Any application for development consent within, or to affect land in, a National Park or an Area of Outstanding Natural Beauty would need to comply with the respective duties in the National Parks and Access to Countryside Act 1949¹¹¹ and the Countryside and Rights of Way Act 2000¹¹².
- 5.10.6 Where geological disposal infrastructure would require significant road widening or the building of new roads in National Parks and the Broads, applicants also need to fulfil the requirements set out in Defra's 'English National Parks and the Broads: UK Government Vision and Circular 2010' or successor documents. These requirements should also be complied with for significant road widening or the building of new roads in Areas of Outstanding Natural Beauty.

Decision Making

Landscape Character Impact

- 5.10.7 Landscape effects depend on the existing character of the local landscape, its current quality, how highly it is valued and its capacity to accommodate change. All of these factors need to be considered in judging the impact of a proposed development on landscape. A proposed development needs to be designed carefully, taking account of the potential impact on the landscape. Having regard to siting, operational and other relevant constraints the aim should be to avoid or minimise harm to the landscape, providing reasonable mitigation where possible and appropriate.

Development proposed within nationally designated areas

- 5.10.8 This NPS has taken account of national planning policy set out in the National Planning Policy Framework and the protection granted by legislation to **National Parks, the Broads and Areas of Outstanding Natural Beauty**. Great weight should be given to

¹¹¹ Section 11A of National Parks and Access to the Countryside Act 1949, chapter 97, available online at: <https://www.legislation.gov.uk/ukpga/Geo6/12-13-14/97>

¹¹² Section 85 of Countryside and Rights of Way Act 2000, chapter 37, available online at: <https://www.legislation.gov.uk/ukpga/2000/37/section/85>

conserving landscape and scenic beauty in nationally designated areas. National Parks, the Broads and Areas of Outstanding Natural Beauty have the highest status of protection in relation to landscape and scenic beauty. Each of these designated areas has specific statutory purposes which help ensure their continued protection and which the Secretary of State has a statutory duty to have regard to in decisions.¹¹³ The conservation of the natural beauty of the landscape and countryside should be given substantial weight by the Secretary of State in deciding on applications for development consent in these areas.

5.10.9 The NPS is non-site specific, focussing on the high level assessment principles against which development consent applications will be considered for geological disposal infrastructure in England, and does not identify specific sites or areas. If development is proposed in a nationally designated area, the Secretary of State should refuse development consent in these areas except in exceptional circumstances and where it can be demonstrated that it is in the public interest. Consideration of such applications should include an assessment of:

- the need for the development, including in terms of any national considerations¹¹⁴ and the impact of consenting, or not consenting it, upon the local and national economies;
- the cost of, and scope for, developing elsewhere outside the designated area; and
- any detrimental effect on the environment, the landscape and recreational opportunities, and the extent to which that could be moderated.

5.10.10 Where consent is given in these areas, the Secretary of State should be satisfied that the applicant has ensured that the proposed development will be carried out to higher environmental standards and where possible, include measures to enhance other aspects of the environment. Where necessary, the Secretary of State should consider the imposition of appropriate requirements to ensure these standards are delivered.

Developments outside nationally designated areas which might affect them

5.10.11 The duty to have regard to the purposes of nationally designated areas also applies when considering applications for development outside the boundaries of these areas which may have impacts within them. The aim should be to avoid compromising the purposes of designation and such development should be designed sensitively given the various siting, operational and other relevant constraints. This duty also applies to developments in England which may have impacts on designated areas in Wales or on National Scenic Areas in Scotland.

5.10.12 Whilst underground parts of geological disposal infrastructure will have no visual impact, surface-based parts of the infrastructure will form part of the development. The visibility

¹¹³ For an explanation of the statutory purposes and of the duties which will apply, see 'Duties on relevant authorities to have regard to the purposes of National Parks, AONBs and the Norfolk and Suffolk Broads', available online at: <https://www.bipsolutions.com/docstore/pdf/9947.pdf>

¹¹⁴ National considerations should be understood to include the national need for the infrastructure as set out in Section 3 and the contribution of the infrastructure to the national economy.

of the surface development from within a designated area should not in itself be a reason for refusing consent.

Developments in other areas

5.10.13 Outside nationally designated areas, there are landscapes that may be highly valued locally and protected by local designation. Where an applicable local development document in England includes policies on landscape character assessment, these should be given particular consideration. However, local landscape designations should not be used in themselves as reasons to refuse consent, as this may unduly restrict acceptable development.

5.10.14 The scale of some surface-based parts of geological disposal infrastructure means that it could be visible many miles from the site. However, the visual impact from deep borehole development would be time-limited. The Secretary of State should not grant consent if any adverse impacts on the landscape would be so damaging that they cannot be offset by the benefits (including need) of the development.

Visual impact

5.10.15 The Secretary of State will have to consider whether the visual effects on sensitive receptors, such as visual impact for local residents, and other receptors, such as visitors to the local area, outweigh the benefits of the development. Coastal areas are particularly vulnerable to visual intrusion because of the potential high visibility of development on the foreshore, on the skyline and along stretches of undeveloped coast, especially those defined as Heritage Coast¹¹⁵.

5.10.16 It may be helpful for applicants to draw attention, in the supporting evidence to their applications, to any examples of existing permitted infrastructure they are aware of with a similar magnitude of impact on sensitive receptors. Although each application will need to be looked at on its merits, this may assist the Secretary of State in judging the weight that should be given to the assessed visual impacts of the proposed development.

Mitigation

5.10.17 Within a defined area, adverse landscape and visual effects may be minimised through appropriate siting of surface-based infrastructure. Surface-based infrastructure facilities may have an element of flexibility in their siting as they may not need to be placed directly above the site of proposed underground operations. The applicant should consider visual, and other, impacts when siting surface infrastructure within reasonable cost constraints. Design (including colours and materials) and landscaping schemes (including sinking development to a lower topographic level) may also be considered in the design of surface-based infrastructure.

5.10.18 Depending on the topography of the surrounding terrain and areas of population, it may be appropriate to undertake landscaping off-site; for example, filling in gaps in existing tree and hedge lines might mitigate the impact when viewed from a distance. Where the

¹¹⁵ See paragraph 114 of the National Planning Policy Framework.

applicant sought consent for such landscaping from the Secretary of State, it would have to be included within the order limits for the application. The applicant should consider reasonable and proportionate mitigation methods, taking into account the extent of the visual impact of, and the amount of time for which, any infrastructure will cause an adverse impact on the landscape.

5.11 Land use

Introduction

- 5.11.1 Access to high quality open spaces¹¹⁶ and the countryside and opportunities for sport and recreation can be a means of providing necessary mitigation and/or compensation requirements. Green infrastructure¹¹⁷ can also enable developments to provide positive environmental and economic benefits.
- 5.11.2 The re-use of previously developed land for new development can make a major contribution to sustainable development by reducing the amount of countryside and undeveloped greenfield land that needs to be used. Green Belts, defined in a development plan¹¹⁸, are situated around certain cities and built-up areas. The fundamental aim of Green Belt policy is to prevent urban sprawl by keeping land permanently open; the essential characteristics of Green Belts are their openness and their permanence. For further information on the purposes of Green Belt policy, see the National Planning Policy Framework.
- 5.11.3 Geological conservation relates to sites that are designated for their geology and/or their geomorphological¹¹⁹ importance (see also Section 5.4 of this NPS on Biodiversity and Nature Conservation).
- 5.11.4 Geological disposal infrastructure also has the potential to inhibit the exploitation and production of natural resources within or in proximity to the infrastructure.

Applicant's Assessment

- 5.11.5 The Environmental Statement (see Section 4.2) should identify:
- existing and proposed¹²⁰ land-uses near the development;
 - the effects of replacing an existing development; and

¹¹⁶ Open space is defined in the Town and Country Planning Act 1990 as land laid out as a public garden, or used for the purposes of public recreation, or land which is a disused burial ground. However, in applying the policies in this Section, open space should be taken to mean all open space of public value, including not just land, but also areas of water (such as rivers, canals, lakes and reservoirs) which offer important opportunities for sport and recreation and can act as a visual amenity.

¹¹⁷ Green infrastructure is a network of multi-functional green spaces, both new and existing, both rural and urban, which supports the natural and ecological processes and is integral to the health and quality of life of sustainable communities.

¹¹⁸ Or else so designated under the Green Belt (London and Home Counties) Act 1938.

¹¹⁹ A list of designated sites (including marine sites) is included in the Geological Conservation Review held by the Joint Nature Conservation Committee.

¹²⁰ For example, where a planning application has been submitted.

- whether the use of the site with the proposed development could prevent a development or use on a neighbouring site from continuing.

If the proposed geological infrastructure would prevent a new development or a use proposed in a development plan, the applicant should make an assessment of the effects of preventing that development or use.

- 5.11.6 Applicants considering proposals which would involve development on open space, sports or recreational buildings and land should have regard to the local authority's assessment and will need to consult the local community. Taking account of the consultations, applicants should consider providing new or additional open space including green infrastructure, sport or recreation facilities (to substitute for any losses as a result of their proposal). Applicants should use any up-to-date local authority assessment or, if there is none, provide an independent assessment to show whether the existing open space, sports and recreational buildings and land is surplus to requirements.
- 5.11.7 During any pre-application discussions with the applicant, the local planning authority should identify any concerns it has about the impact of the application on land-use. In doing so, the local planning authority should have regard to the development plan and relevant applications and including, where relevant, whether it agrees with any independent assessment that the land is surplus to requirements.
- 5.11.8 Applicants should take into account the economic and other benefits of land. Applicants should seek to minimise impacts on the best and most versatile agricultural land (defined by the Defra owned Agricultural Land Classification (ALC) system as land in grades 1, 2 and 3a). Where significant development on agricultural land is demonstrated to be necessary, applicants should use poorer quality land (grades 3b, 4 and 5) where possible to minimise impacts on soil quality (except where doing so would be inconsistent with other sustainability considerations). Applicants should also identify any effects on soil quality and show how they would minimise those effects, including by proposing appropriate mitigation measures.
- 5.11.9 The general policies controlling development in the countryside apply with equal force in Green Belts; however, there is, in addition, a general presumption against inappropriate development within them. Such development should not be approved except in very special circumstances. Applicants should therefore determine whether their proposal, or any part of it, is within an established Green Belt and, if it is, whether their proposal may be inappropriate development within the meaning of Green Belt policy.
- 5.11.10 Infilling or redevelopment of major developed sites in the Green Belt, if identified as such by the local planning authority, may be suitable for geological disposal infrastructure. It may help to secure jobs and prosperity without further prejudicing the Green Belt or offer the opportunity for environmental improvement. Applicants should refer to relevant criteria¹²¹ on such a development in Green Belts.
- 5.11.11 An applicant may be able to demonstrate that a deep borehole, in relation to Green Belt policy may be considered as an 'engineering operation' rather than a building, and therefore may not be inappropriate development provided it preserves the openness of

¹²¹ See National Planning Policy Framework paragraphs 79 - 92.

the Green Belt and does not conflict with the purposes of including land within the Green Belt. It may also be possible for an applicant to show that the physical characteristics of proposed surface-based parts of geological disposal infrastructure are such that it would have no adverse effects which could conflict with the fundamental purposes of Green Belt designation.

5.11.12 An applicant for geological disposal infrastructure may find that the only viable sites for meeting the need for geological disposal infrastructure are on Green Belt land. An applicant needs to recognise the special protection given to Green Belt land. The applicant would need to demonstrate, and the Secretary of State should be satisfied, that very special circumstances existed to justify granting development consent for development that is inappropriate in terms of Green Belt policy.

5.11.13 Where the proposed development is likely to have an effect on the availability of mineral or hydrocarbon resources the applicant should undertake an assessment of the existing status of resources and any impacts of the proposed development on their availability.

Decision Making

5.11.14 Where the proposed development conflicts with a proposal in a development plan, the Secretary of State should take account of the stage which the development plan document in England has reached. In deciding what weight to give to the plan for the purposes of determining the planning significance of what would be replaced, prevented or precluded, the closer the development plan document (in England) is to being adopted by the local planning authority, the greater weight which can be attached to the impact of the proposal on that development plan.¹²²

5.11.15 The Secretary of State should not grant consent for development on existing open space, sports and recreational buildings and land unless:

- an assessment has been undertaken, either by the local authority or independently, which has shown the open space or the buildings and land to be surplus to requirements; or
- the benefits of the development (including need), outweigh the potential loss of such facilities, taking into account any positive proposals made by the applicant to provide new, improved or compensatory land or facilities. The loss of playing fields should only be allowed where an applicant can demonstrate that they will be replaced with facilities of equivalent or better quantity or quality in a suitable location.

5.11.16 Where networks of green infrastructure have been identified in development plans, they should normally be protected from development and, where possible, strengthened by or integrated within it.

5.11.17 The Secretary of State should ensure that justification is provided where an applicant seeks development consent for infrastructure to be located on the best and most versatile agricultural land. The Secretary of State should give little weight to the loss of poorer quality agricultural land (in grades 3b, 4 and 5), except in areas (such as

¹²² See the National Planning Policy Framework for national policy on the weight to be given to policies in emerging plans.

uplands) where particular agricultural practices may themselves contribute to the quality and character of the environment or the local economy. The Secretary of State should also take account of any loss of high quality soil, including by having regard to the value of peat for biodiversity and as a carbon store, as well as taking account of whether the proposal gives rise to any risk of soil contamination.

- 5.11.18 In considering the impact on maintaining coastal recreation sites and features, the Secretary of State should expect an applicant to have taken advantage of opportunities to maintain and enhance access to the coast. In doing so, the Secretary of State should consider the implications of development for the creation of a continuous signed and managed route around the coast, as provided for in the Marine and Coastal Access Act 2009.
- 5.11.19 When located in the Green Belt, some geological disposal infrastructure may be deemed 'inappropriate development'. The Secretary of State will need to assess whether there are very special circumstances to justify development consent for inappropriate development. Very special circumstances will not exist unless the harm by reason of inappropriateness, and any other harm, is clearly outweighed by other considerations. In view of the presumption against inappropriate development, the Secretary of State will attach substantial weight to the harm to the Green Belt when considering any application for such development.
- 5.11.20 The Secretary of State should consider whether the proposed development will have a significant impact on the recovery of natural resources, including minerals and hydrocarbons. Should the Secretary of State deem the loss (economic or otherwise) of natural resources as a result of the proposed development to be too great, development consent should be refused.

Mitigation

- 5.11.21 An applicant should seek to minimise the direct effects of proposed development on the existing use of the proposed site, or proposed uses near the site, by the application of good design principles, including the layout of the proposed development.
- 5.11.22 Where green infrastructure is affected, the Secretary of State should consider imposing requirements to ensure the connectivity of the green infrastructure network is maintained in the vicinity of the development and that any necessary works are undertaken, where possible, to mitigate any adverse impact.
- 5.11.23 Where a proposed development has a sterilising effect on land use there may be scope for this to be mitigated through, for example, using or incorporating the land for nature conservation or wildlife corridors or for parking and storage in employment areas.
- 5.11.24 Coastal access, rights of way, National Trails and other rights of access to land are important recreational facilities, for example, for walkers, cyclists and horse riders. Applicants are expected to propose appropriate mitigation measures to address adverse effects on coastal access, National Trails and other rights of way. Where this is not the case, the Secretary of State should consider what appropriate mitigation requirements might be attached to any grant of development consent.

5.11.25 Where significant disruption to the recovery of natural resources cannot be avoided or mitigated, as a last resort, appropriate compensation measures should be sought. If these conditions cannot be met, development consent should not be granted.

5.12 Traffic and Transport

Introduction

5.12.1 The transport of materials, goods and personnel to and from a geological disposal infrastructure site can have a variety of impacts, on the surrounding transport infrastructure and potentially on connecting transport networks, during the lifetime of the development (e.g. through increased congestion). Impacts may result particularly from increases in noise and emissions from road transport.

Applicant's assessment

5.12.2 If a proposed development is likely to have significant transport implications, the applicant's Environmental Statement (see Section 4.2) should include a transport assessment. Applicants should consult Highways England, Highway authorities, the railway network operator(s), the Maritime and Coastguard Agency and the Associated British Ports, as appropriate, on the assessment and on mitigation measures. The assessment should distinguish between construction and operation stages if appropriate, although for the geological disposal facility the construction will continue through most of the operation phase. The assessment should illustrate accessibility to the site by all modes of transport and the likely split by each mode of travel to and from the site. The applicant should prepare a travel plan including any demand management measures to mitigate transport impacts. The applicant should also provide details of proposed measures to improve access by public transport, walking and cycling, to reduce the need for parking associated with the proposal and to mitigate transport impacts.

5.12.3 If additional transport infrastructure is proposed, applicants should discuss with network providers the possibility of other funding arrangements including co-funding by Government for any third party benefits. Guidance has been issued in respect of England which explains the circumstances where this may be possible. Government cannot guarantee in advance that funding will be available for any given uncommitted scheme at any specified time. An applicant should explain how they consider any such additional transport infrastructure is sufficiently associated with the development for which they are seeking development consent.

5.12.4 The design of the transport package, prior to shipment, must be submitted by the applicant and approved by the Office for Nuclear Regulation before transportation of radioactive waste takes place¹²³. This process is separate from, and not a prerequisite

¹²³ UK legislation implements international agreements on the transport of radioactive materials. The regulations specify the required performance standards for transport packages in routine, normal and accident conditions. The Office for Nuclear Regulation regulates the movement of all radioactive material in Great Britain (with the exception of some material related to defence). The regulations define requirements for administrative and operational controls, quality assurance, training, security, emergency arrangements and accident reporting. The Office for Nuclear Regulation monitors all operators to ensure compliance.

to, any grant of development consent. The Examining Authority need not assess the safety of radioactive materials transport.

Decision Making

- 5.12.5 If a proposed development may cause significant impacts on the surrounding transport infrastructure, the Secretary of State should ensure that the applicant has taken reasonable steps to mitigate these impacts, including during the construction phase of the development. Applicants may also be willing to enter into planning obligations for funding infrastructure and otherwise mitigating adverse impacts.
- 5.12.6 Requirements can be imposed to mitigate transport impacts identified in the transport assessment (attribution of costs will be calculated in accordance with the Department for Transport's guidance). In this case, development consent should not be withheld, and appropriately limited weight should be applied to residual effects on the surrounding transport infrastructure.

Mitigation

- 5.12.7 Where mitigation is needed, subject to operational and feasibility issues, demand management measures are preferred before considering and imposing new transport infrastructure to manage transport impacts.
- 5.12.8 The Secretary of State should also have regard to the cost-effectiveness of demand management measures compared to new transport infrastructure, as well as the aim to secure more sustainable patterns of transport development when considering mitigation measures.
- 5.12.9 Where considerations are between rail, water-borne or road transport, rail and water-borne options are to be preferred over road transport options, where that option is safe (as informed by the transport safety case) and cost-effective.
- 5.12.10 Where there is likely to be substantial Heavy Goods Vehicle traffic, an applicant should consider how to:
- control numbers of Heavy Goods Vehicle movements to and from the site in a specified period during construction and operation where possible and consider the impacts of alternative transport routes;
 - make sufficient provision for Heavy Goods Vehicle parking, either on the site or at dedicated facilities elsewhere, to avoid in normal operating conditions, 'overspill' parking on public roads, prolonged queuing on approach roads and uncontrolled on-street Heavy Goods Vehicle parking; and
 - ensure satisfactory arrangements for reasonably foreseeable abnormal disruption, in consultation with relevant network providers and the responsible police force.
- 5.12.11 The Secretary of State may consider attaching requirements, or requiring obligations in relation to, any development consent to ensure such arrangements are delivered.

5.13 Waste Management

Introduction

- 5.13.1 The radioactive waste inventory for disposal is comprised of material that has been designated as waste and not intended for reuse, and which is not considered possible to recycle or recover in a safe, secure and economical manner. Specific considerations of radioactive waste are set out in Section 1.3 of this NPS and are not considered further here. This Section relates to hazardous (but not radioactive) and non-hazardous waste arising as a result of the development of geological disposal infrastructure.
- 5.13.2 Some geological disposal infrastructure developed for the management of radioactive waste will itself generate significant amounts of spoil, such as excavated material, during the construction and operational phase.
- 5.13.3 Government policy on hazardous and non-hazardous waste is intended to protect human health and the environment by seeking to minimise the volume of waste produced and by using it as a resource wherever possible.
- 5.13.4 Sustainable waste management is implemented through the ‘waste hierarchy’, which sets out the priorities that must be applied when managing waste¹²⁴. These are (in order):
- prevention;
 - preparing for reuse;
 - recycling;
 - other recovery, including energy recovery; and
 - disposal.
- 5.13.5 Disposal of hazardous and non-hazardous waste arising as a result of development of geological disposal infrastructure should only be considered where other waste management options identified above are not available or where it is considered to offer the best overall environmental outcome.
- 5.13.6 The Environment Agency’s Environmental Permitting regime incorporates operational waste management requirements for certain activities. When an applicant applies to the Environment Agency for an Environmental Permit, the Environment Agency will require the applicant to demonstrate that processes are in place to meet all relevant requirements.

¹²⁴ The waste hierarchy is set out in Article 16 of Directive 2008/98/EC of the European Parliament and of the Council of 19 November 2008 on waste and repealing certain Directives and The Waste (England and Wales) Regulations 2011/988.

Applicant's Assessment

5.13.7 The applicant should set out the arrangements that are proposed for managing any waste produced during the construction, operation and closure of geological disposal infrastructure that cannot be managed at the facility itself. The applicant should prepare a Site Waste Management Plan. The arrangements in the plan should include information on the proposed waste recovery and disposal system for all waste generated by the development and should also include details of the alternatives that have been considered. The applicant must demonstrate that all waste produced by the facility will be managed in accordance with the waste hierarchy outlined in paragraph 5.13.4 above and that, during construction, excavated soil, subsoil and rock will, where possible, be reused. The applicant should seek to minimise the volume of waste produced. The applicant should also seek to minimise the volume of waste sent for disposal unless it can be demonstrated that this is the best overall environmental outcome.

Decision Making

5.13.8 The Secretary of State should consider the extent to which the applicant has proposed an effective system for managing hazardous and non-hazardous waste arising from the construction, operation and closure of the proposed development. The Secretary of State should be satisfied that:

- any such waste will be properly managed, both on-site and off-site;
- the waste from the proposed development can be dealt with appropriately by the waste infrastructure which is, or is likely to be, available. Such waste arising should not have an adverse effect on the capacity of existing waste management facilities to deal with other wastes in the area; and
- adequate steps have been taken to minimise the volume of waste arising, and
- adequate steps have been taken to minimise the volume of waste to be sent for disposal, considering what provides the best overall environmental outcome.

5.13.9 The construction and operation of geological disposal infrastructure is subject to the Environmental Permitting regime. Waste management arrangements during construction and operation will be covered by the permitting arrangements. The considerations set out in Section 4.7 will apply.

Mitigation

5.13.10 Where necessary, the Secretary of State should use requirements or obligations to ensure that appropriate measures for waste management are applied. The Secretary of State may wish to include a requirement for the review and revision of waste management plans at reasonable intervals during the lifetime, or specific phases, of the development.

5.14 Water Quality (including surface and ground water quality and availability)

Introduction

- 5.14.1 Geological disposal infrastructure could have an adverse effect on the water environment, including groundwater, inland surface water, transitional waters¹²⁵ and coastal waters.
- 5.14.2 The proposed development could lead to increased demand for water, involve discharges to water and cause adverse ecological effects resulting from physical modifications to the water environment (hydromorphological changes). There may also be an increased risk of spills and leaks of pollutants to the water environment. These effects could lead to adverse impacts on health or on protected species and habitats (see Section 5.4 on biodiversity and nature conservation), and could, in particular, result in surface waters, groundwater or protected areas¹²⁶ failing to meet environmental objectives established under the Water Framework Directive¹²⁷.
- 5.14.3 The Government's planning policies make clear that the planning system should contribute to and enhance the natural and local environment. It should do this by preventing both new and existing development from contributing to water pollution so that the environment is not adversely affected or put at unacceptable risk. The Government has issued guidance on water supply, wastewater and water quality considerations in the planning system¹²⁸. Where applicable, an application for development consent must contain a plan with accompanying information identifying water bodies in a River Basin Management Plan¹²⁹.

Applicant's Assessment

- 5.14.4 An applicant should make early contact with the relevant regulators, including the local authority and the Environment Agency (including for abstraction licensing), and with utility companies likely to be responsible for supplying the water. Early engagement can help establish if impact on the water environment is likely to be a significant planning concern and, if it is, to clarify what assessment will be needed to support the application. The information supplied should be proportionate to the nature and scale of development proposed and the level of concern about the water environment. Where the proposed development is likely to have adverse effects on the water environment,

¹²⁵ See Directive 2000/60/EC of the European Parliament and of the Council of 23 October 2000 establishing a framework for Community action in the field of water policy (the Water Framework Directive, available online at: http://eur-lex.europa.eu/resource.html?uri=cellar:5c835afb-2ec6-4577-bdf8-756d3d694eeb.0004.02/DOC_1&format=pdf). Transitional waters are bodies of surface water in the vicinity of river mouths which are partly saline in character as a result of their proximity to coastal waters but which are substantially influenced by freshwater flows.

¹²⁶ Protected areas are areas which have been designated as requiring special protection under specific Community legislation for the protection of their surface water and groundwater or for the conservation of habitats and species directly depending on water.

¹²⁷ The Water Framework Directive allows for situations where it is not realistically possible to meet its targets. Article 4.7 provides the process whereby an exemption may be granted, including for the purpose of Sustainable Development, where new modifications to a water body would prevent achievement of the WFD's environmental objectives.

¹²⁸ <https://www.gov.uk/guidance/water-supply-wastewater-and-water-quality>

¹²⁹ River Basin Management Plans set out how partners work together to protect and improve the water environment. River Basin Management Plans set out for each district, the: state of the water environment; pressures affecting the water environment; objectives for protecting and improving the water environment; actions or measures needed to achieve the objectives.

the applicant should undertake an assessment of the existing status and impacts of the proposed development on water resources and physical characteristics as part of the Environmental Impact Assessment and set this out in the Environmental Statement. Further guidance for the applicant on assessing impacts on the water environment is given in Sections 4.2 and 4.7 of this NPS.

- 5.14.5 Applicants should demonstrate that they have incorporated, where possible, design measures such as:
- independent water storage and collection facilities;
 - opportunities for recycling and reuse of water;
 - the use of automated leak detection (for non-disposal parts of the facility); and
 - building specific metering and rain harvesting.
- 5.14.6 Any major infrastructure project may require significant amounts of water; the applicant should provide information on the measures they intend to put in place to provide suitable mitigation against the impact on local water resources.
- 5.14.7 The applicant should state what emergency response procedures should be put in place to deal with any pollution incident quickly and the measures that will be used to avoid any adverse effects from accidental spills of non-radiological liquids (e.g. chemicals).
- 5.14.8 In the context of protecting groundwater the applicant should in particular take note of the following guidance:
- Groundwater Activities Guidance¹³⁰ which explains the legal requirements associated with groundwater activities;
 - supplementary guidance related to the implementation of the Groundwater Directive¹³¹. This last document supplements and updates the guidance on the Groundwater Directive which was provided in the publication 'Guidance on Requirements for Authorisation' for geological disposal facilities (on land)¹³².

Decision Making

- 5.14.9 Activities that discharge substances into the water environment are subject to pollution control. The considerations set out in Section 4.7 of this NPS on the interface between planning and pollution control therefore apply. These considerations will also apply in an analogous way to the abstraction licensing regime; this regulates activities that take water from the water environment and to the development works.

¹³⁰ <https://www.gov.uk/government/publications/environmental-permitting-guidance-groundwater-activities>

¹³¹ https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/296508/LIT_8036_58590a.pdf

¹³² Geological Disposal Facilities on Land for Solid Radioactive Wastes, Guidance for requirements of Authorisation, Environment Agency, 2009, available online at: <http://bit.ly/1STsINa>

- 5.14.10 The Secretary of State will generally need to give impacts on the water environment more weight where a development would have adverse effects on the achievement of the environmental objectives established under the Water Framework Directive.
- 5.14.11 The Secretary of State should be satisfied that a proposal has had regard to the River Basin Management Plans and the requirements of the Water Framework Directive (including Article 4.7) and its daughter directives¹³³, including those on priority substances and groundwater. The specific objectives for particular river basins are set out in River Basin Management Plans.
- 5.14.12 The Secretary of State should consider proposals to mitigate adverse effects on the water environment put forward by the applicant and whether appropriate requirements should be attached to any development consent and/or whether planning obligations are necessary.

Mitigation

- 5.14.13 Mitigation should be practicable and proportionate to the likely impact. The impact on local water resources can be minimised through planning and design for the efficient use of water, including water recycling.
- 5.14.14 The Secretary of State should consider whether the mitigation measures put forward by the applicant, where needed for the construction and operation of the geological disposal infrastructure, are acceptable.
- 5.14.15 The risk of impacts on the water environment can be reduced through careful design to facilitate adherence to good pollution control practice. For example, designated areas for storage and unloading, with appropriate drainage facilities, should be marked clearly.

¹³³ There are single Directives (sometimes referred to as 'daughter directives') that set out the principles and instruments of a Framework Directive with regards to specific issues. In the case of the Water Framework Directive, the daughter directives include the Groundwater Directive (2006/118/EC) and the Environmental Quality Standards Directive (2008/105/EC), addressing water pollution by toxic substances and diffuse pollution from industry, agriculture and surface water run-off.

Glossary

Air Quality Management Area

Areas designated under the Environment Act 1995 which are not meeting the national air quality objectives defined by the UK Air Quality Regulations.

Appraisal of Sustainability (AoS)

An appraisal of the sustainability of the policy set out in a National Policy Statement, as required by Section 5(3) of the Planning Act 2008.

Area of Outstanding Natural Beauty

An area in England or Wales designated under the Countryside and Rights of Way Act 2000 or an area in Northern Ireland designated under the Nature Conservation and Amenity Lands (Northern Ireland) Order 1985, to conserve and enhance its outstanding natural beauty. The conservation of the natural beauty of an area includes a reference to the conservation of its flora, fauna and geological and physiographical features.

Barrier

A physical or chemical means of preventing or inhibiting the movement of radionuclides.

Biosphere

That part of the environment normally inhabited by living organisms. In practice, the biosphere is generally taken to include the atmosphere and the Earth's surface, including the soil and surface water bodies, seas and oceans and their sediments. There is no generally accepted definition of the depth below the surface at which soil or sediment ceases to be part of the biosphere, but this might typically be taken to be the depth affected by basic human actions, in particular farming.

Borehole

A borehole is the generalised term for any cylindrical excavation into the ground made by a drilling device for purposes such as site investigation, testing and monitoring. Deep borehole investigations are necessary to characterise and assess potential sites and will be an integral part of the process for developing a geological disposal facility.

Characterisation phase

When a potential site is identified, a programme of focussed geological investigations will take place. This programme is called the characterisation phase. This will include a number of deep borehole investigations and will aim to characterise the subsurface to such a degree that the developer is confident a safety case can be made for a geological disposal facility

Closure

The administrative and technical actions that have to be taken to put a disposal facility in its intended final state after the completion of waste placement.

Committee on Radioactive Waste Management (CoRWM)

The Committee on Radioactive Waste Management provide independent scrutiny and advice to the Government on the long-term management of higher activity radioactive waste. They are an advisory non-departmental public body, sponsored by the Department for Business, Energy and Industrial Strategy (BEIS).

Containment

Methods or physical structures designed to prevent or control the release and the dispersion of radioactive substances.

Cumulative effects

Effects which combine from at least two sources to act on a common receptor. The total effect may be greater or less than the sum of the individual effects.

Decommissioning

The process whereby a nuclear facility, at the end of its economic life, is taken permanently out of service and its site made available for other purposes.

Development Consent Order

The planning consent in England given by a minister (relevant Secretary of State) for a nationally significant infrastructure project.

Devolved administrations

Collective term for the Scottish Government, Welsh Assembly Government and in Northern Ireland, the Department of the Environment.

Disposal

In the context of solid waste, disposal is the emplacement of waste in a suitable facility without intent to retrieve it at a later date. Retrieval may be possible but, if intended, the appropriate term is storage.

Drift

A sloping, underground tunnel.

Environment Agency (EA)

The environmental regulator for England. The Agency's role is the enforcement of specified laws and regulations aimed at protecting the environment, in the context of sustainable development, predominantly by authorising and controlling radioactive discharges and waste disposal to air, water and land. The Environment Agency also regulates nuclear sites under the Environmental Permitting Regulations and issues consents for non-radioactive discharges.

Environmental Impact Assessment (EIA)

A legal requirement under EU Directive 2011/92/EU (as amended) for certain types of project, including various categories of radioactive waste management project. It requires information on the environmental impacts of a project proposal to be submitted by the developer and evaluated by the relevant competent authority.

Environmental permit

Permission granted by the environmental regulator in England to allow an operator to carry out certain activities, subject to conditions and limits on discharges to the environment.

Environmental Permitting (England and Wales) Regulations 2016 (EPR2016)

These regulations provide a consolidated system of permitting regime for waste facilities in England and Wales including the disposal of radioactive waste.

Environmental safety

The safety of people and the environment both at the time of disposal and in the future.

Environmental safety case

The collection of arguments, provided by the developer or operator of a disposal facility, that seeks to demonstrate that the required standard of environmental safety is achieved.

European site

This includes candidate Special Areas of Conservation (cSACs), Sites of Community Importance (SCIs), Special Areas of Conservation (SACs) and Special Protection Areas (SPAs), and is defined in regulation 8 of the Conservation of Habitats and Species Regulations 2017.

Geological disposal

A long-term management option involving the emplacement of radioactive waste in an engineered underground geological disposal facility or repository, where the geology (rock structure) provides a barrier against the escape of radioactivity and there is no intention to retrieve the waste once the facility is closed.

Geological disposal facility

A geological disposal facility is a highly-engineered facility capable of isolating radioactive waste within multiple protective barriers, deep underground, to ensure that no harmful quantities of radioactivity ever reach the surface environment. The development of a geological disposal facility will be a major infrastructure project of national significance.

Geological disposal infrastructure

Geological disposal infrastructure includes:

- any deep geological facility for disposing of the waste - geological disposal facilities. A geological disposal facility is expected to be constructed at a depth of at least 200 metres beneath the surface of the ground or seabed:
- the deep investigatory boreholes necessary to characterise the geology at a particular site to enable its suitability as a site for a geological disposal facility to be considered. The boreholes are expected to be constructed to a depth of at least 150 metres beneath the surface of the ground or seabed.

Habitats Regulations Assessment (HRA)

A report to support the Secretary of State in making planning decisions in compliance with the Conservation of Habitats and Species Regulations 2017 (the Habitats Regulations).

Health and Safety Executive (HSE)

A statutory body whose role is the enforcement of work-related health and safety law. Nuclear regulation and regulation of conventional health and safety on nuclear sites is carried out by the Office for Nuclear Regulation.

Higher activity radioactive waste (HAW)

Includes the following categories of radioactive waste: high level waste, intermediate level waste, a small fraction of low level waste with a concentration of specific radionuclides sufficient to prevent its disposal as low level waste.

High level waste (HLW)

Radioactive waste that generates heat as a result of their radioactivity, so this factor has to be taken into account in the design of storage or disposal facilities.

Intermediate level waste (ILW)

Radioactive waste exceeding the upper activity boundaries for low level waste but which does not need heat to be taken into account in the design of storage or disposal facilities.

Legacy waste

Radioactive waste which already exists or will be produced in the future by the operation of an existing nuclear power plant.

Low level waste (LLW)

Radioactive waste not exceeding specified levels of radioactivity. Overall, the major components of low level waste are building rubble, soil and steel items from the dismantling and demolition of nuclear reactors and other nuclear facilities and the clean-up of nuclear sites.

National Policy Statement

A statement that provides guidance to the Planning Inspectorate and Secretary of State on assessing and making a decision on development consent applications for a particular type of infrastructure.

Nationally significant infrastructure project

A project of a type and scale in England defined under the Planning Act 2008 and by order of the Secretary of State relating to energy, transport, water, waste water and waste generally.

Natura 2000

Natura 2000 is a network of nature protection areas in the territory of the European Union. It is made up of Special Areas of Conservation and Special Protection Areas designated respectively under the Habitats Directive and Birds Directive. The network includes both terrestrial and marine sites (Marine Protected Areas).

Natural Resources Wales (NRW)

The environmental regulator in Wales. Natural Resources Wales was created in 2013 with a mission to ensure that the environment and natural resources of Wales are sustainably maintained, enhanced, and used, now and in the future. Regulation of business and

industry are amongst its statutory responsibilities. This includes the regulation of the disposal of radioactive waste from nuclear sites, as well as other premises in Wales. All permits relating to sites generating or disposing of radioactive waste in Wales are issued by Natural Resources Wales. Compliance with these permits at nuclear sites is currently carried out by the Environment Agency specialists on behalf of Natural Resources Wales, but enforcement is undertaken directly by Natural Resources Wales.

Nuclear Decommissioning Authority (NDA)

A non-departmental public body created through the Energy Act 2004. The Nuclear Decommissioning Authority is a strategic authority that owns 19 UK sites and the associated civil nuclear liabilities and assets of the public sector. It reports to the Department for Business, Energy and Industrial Strategy (BEIS); for some aspects of its functions in Scotland, it is responsible to Scottish Ministers.

Nuclear Safeguards

Nuclear Safeguards are reporting and verification processes by which states demonstrate to the international community that civil nuclear material is not diverted into military or weapons programmes. Nuclear safeguards measures can include reporting on civil nuclear material holdings and development plans, inspections of nuclear facilities by international inspectors and monitoring, including cameras in selected facilities.

Office for Nuclear Regulation (ONR)

The Office for Nuclear Regulation independently regulates nuclear safety and security at 36 nuclear licensed sites in Great Britain. It also regulates the transport of radioactive materials and plays a key role in ensuring that the UK's safeguards obligations are met. The Office for Nuclear Regulation operates a goal-setting regime setting out its regulatory expectations, and requiring licensees to determine and justify how best to achieve them. The Office for Nuclear Regulation has 36 conditions attached to each nuclear site licence within which the licensees are expected to operate. A combination of the Office for Nuclear Regulation's assessment and inspection functions allow the Office for Nuclear Regulation to judge whether licensees are meeting their legal obligations.

Operational lifetime

This refers to the period of construction (including pre-construction works) and operation of the facility (or boreholes), up to and including closure.

Planning Act 2008

Planning legislation in England for nationally significant infrastructure projects, under which applications are made to the Planning Inspectorate and then the decision made by the relevant Secretary of State. This is separate to the Town and Country Planning Act 1990, under which planning applications for other forms of development are made to the local authority.

Post-closure

'Closure' refers to the process of permanently closing the facility, by sealing any drifts, tunnels and access, as well as disassembling and decommissioning surface facilities. The period after the closure, once the facility has been sealed and the waste successfully disposed of, is referred to as post-closure.

Radioactive waste

Any material contaminated by or incorporating radioactivity above certain thresholds defined in legislation, and for which no further use is envisaged, is known as radioactive waste.

Radioactive Waste Management Limited (RWM)

A wholly owned subsidiary of the Nuclear Decommissioning Authority, a non-departmental public body. It is responsible for implementing a safe, sustainable, publicly acceptable geological disposal programme (the developer).

Radioactivity

Atoms undergoing spontaneous random disintegration, usually accompanied by the emission of radiation.

Ramsar sites

Ramsar sites are wetlands of international importance, designated under the Ramsar Convention.

Reprocessing

A physical or chemical separation operation, the purpose of which is to extract uranium or plutonium for re-use from spent fuel.

Safety case

A set of documents that describe arguments and evidence in support of the safety of a facility or activity. This will normally include the findings of a safety assessment and a statement of confidence in these findings. For a geological disposal facility, there will be a number of safety cases required covering nuclear safety, environmental safety, and transport safety. A safety case may also relate to a given stage of development (e.g. site investigations, commissioning, operations, closure, post-closure, etc.).

Site licence

A nuclear site licence is a legal document granted by the Office for Nuclear Regulation. It contains site-specific information and defines the number and type of installations permitted. It controls the safety and security levels that must be maintained on site.

Site of Special Scientific Interest

Designated under the Wildlife and Countryside Act 1981, any land in England or Wales considered by Natural England or Natural Resources Wales to be of special interest because of any of its flora, fauna, or geological and geographical features.

Special Area of Conservation (SAC)

Special Areas of Conservation are strictly protected sites designated under the Habitats Directive.

Special Protected Area (SPA)

Special Protected Areas are strictly protected sites classified in accordance with Article 4 of the Birds Directive.

Spent fuel

Nuclear fuel removed from a reactor following irradiation that is no longer usable in its present form because of depletion of fissile material, poison build-up or radiation damage.

Storage

The emplacement of waste in a suitable facility with the intent to retrieve it at a later date.

Strategic Environmental Assessment

An iterative process for gathering information and evidence, assessing effects, developing mitigation and enhancement measures and making recommendations to refine a plan or programme in view of its predicted environmental effects. It is a statutory requirement for certain plans and programmes under the Strategic Environmental Assessment Directive (Directive 2001/42/EC) and UK Strategic Environmental Assessment Regulations (SI 2004/1633, SI 2004/1656, SR 2004/280).

Sustainable drainage system (SUD)

A drainage system designed to minimise the environmental risks resulting from surface water run-off from developments and to contribute wherever possible to environmental enhancement.

Annex 1 – Illustrations of Geological Disposal Facilities

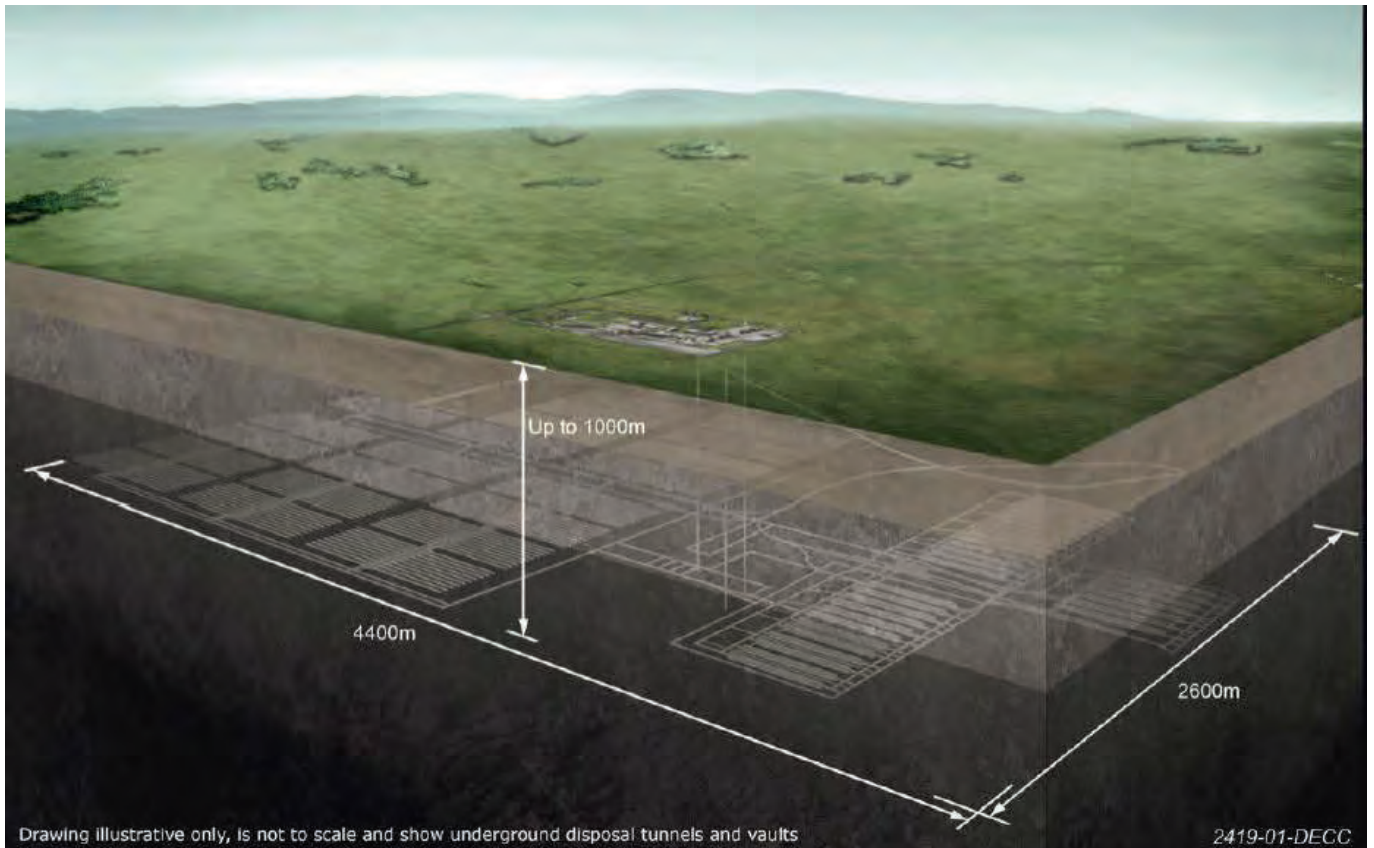


Figure 1. Illustration of underground disposal tunnels

Figure 1 illustrates a disposal facility with two distinct disposal areas, at depths of between 200 metres and 1 kilometre. They are separated such that there are no interactions between the engineered barriers of each disposal area that could compromise safety. The actual depth of a facility, and distance between its disposal areas, would depend on the geology at the site in question.

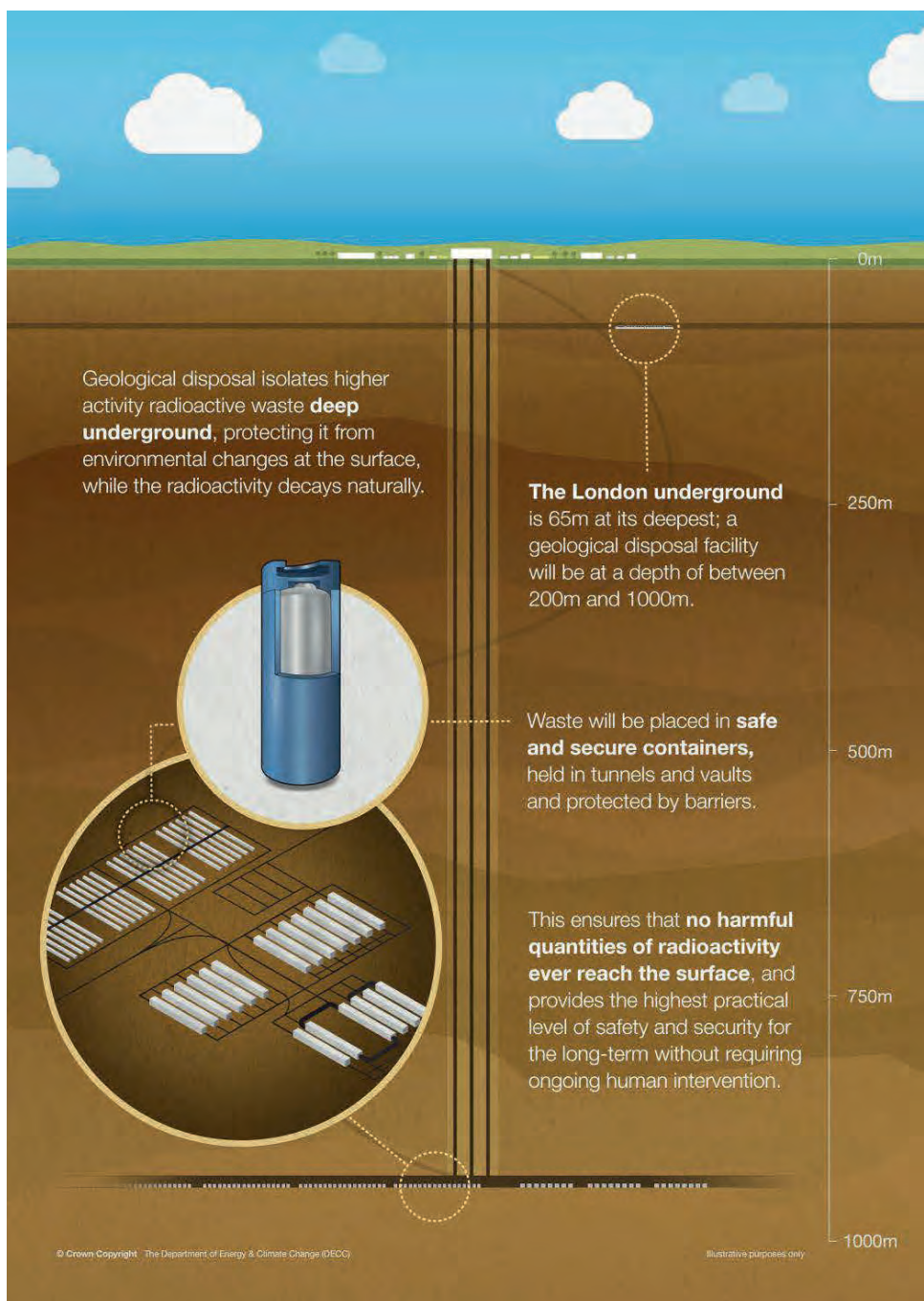


Figure 2. Illustration showing the possible depth of the geological disposal facility

Figure 2 shows an illustration of the possible depth and layout of a geological disposal facility. Illustrative designs of underground facilities that can accommodate all the wastes and materials in the inventory for disposal show an underground footprint of around 10 square kilometres to 20 square kilometres, depending on the type of geological setting. The footprint could be smaller if waste was placed at several different depths.

CCS1017231396

978-1-5286-0088-0