

Annex B

COMMON QUESTIONS – TYPE B AND TYPE A2

Prosecutions and Cautions

Table 1a: Prosecutions in progress during 2013/2014

Previously Annex A and Annex B, Table 12a

Authority	Was this prosecution initiated during 2013/2014?	Paragraph under which prosecution occurs	Status of prosecution at 31/03/2014	Was fine imposed and if so how much?	Was an imprisonment imposed and if so what was its length?	Was an order imposed under regulation 57?	Date of offence	Name and Address	Description
Bedford Borough UA	Yes	1	Pending at 31/03/2014	No	No	No			
Cornwall UA	No, beforehand	2	Successful	Yes, £10,000	No	No	05/07/13	A&P Falmouth Limited	Contravened condition 6.21 of Environmental Permit Reference Number PPC-08/08/SG6/P1/V1 in that the site was not operated so as to prevent the discharge from containing any significant trace of visible paint contrary to Regulation 12(1)(b) of the Environmental Permitting (England and Wales) Regulations 2010). Joint prosecution with Environment Agency (A2 Process)
Newham	No, beforehand	2	Successful	Yes, £120,000	No	No	24/06/13	John Knight (Animal By Products) Limited	Appeal in Crown Court following odour incidents and 300 complaints in 2011.
Newham	No, beforehand	2	Successful	No	No	No	04/12/13	Recycled Material Supplies Ltd	12 offences relating to dust emissions. Conditional discharge (1 year).
Newham	Yes	2	Successful	No	No	No	04/12/13	McGee Bedrock Ltd	7 offences relating to dust emissions. Conditional discharge (3 Years). £2943.59 costs
Oldham	Yes	1	Successful	No	12 months	No	07/02/13	David Cook T/A David Cook Motors, Middleton Road, Chadderton, Oldham	Operating a WOB without a permit

Table 1a (cont): Prosecutions in progress during 2013/2014

Authority	Was this prosecution initiated during 2013/2014?	Paragraph under which prosecution occurs	Status of prosecution at 31/03/2014	Was fine imposed and if so how much?	Was an imprisonment imposed and if so what was its length?	Was an order imposed under regulation 57?	Date of offence	Name and Address	Description
Reading	Yes	1	Successful	Yes, £432	No	No	13/12/13	Benten Auto Experts Ltd, Tunbridge Jones Industrial Estate, Craddock Road, Reading, RG2 0JT	Both defendants found guilty of operating waste oil burner on 13/3/2013 when no environmental permit existed. Defendants guilty by reason of their neglect as company directors.
Swindon B.C.	No, beforehand	2	Withdrawn	No	No	No			

Table 1b: Cautions issued during 2013/2014

Previously Annex A and Annex B, Table 12b

Authority	Date of Offence	Name and Address	Reason for Caution
Newham	24/04/13	John Knight (Animal By Products) Limited	Failed to comply with condition 27 of environmental permit LAPP-001/05
South Lakeland	04/03/14	Greatdale Ltd t/as Cumbria Crystal	Breach of enforcement notice served for breach of permit condition (exceeding emission limits)

Minimum Inspections

Table 2a: How well installations comply with LAPC/LAPPC

Previously Annex A, Table 16f

	Completely	Substantially	Inadequately	Poorly
April 13 - March 14				
London boroughs	6%	85%	9%	0%
Metropolitan districts	12%	88%	0%	0%
English shire districts	14%	86%	1%	0%
Welsh Unitary Authorities	5%	95%	0%	0%
Port health authorities	50%	50%	0%	0%
Total	13%	86%	1%	0%

Table 2b: How well the numbers of completed inspections compare with the planned numbers of inspections

Previously Annex A, Table 16g

	More than planned	Number of Authorities	
		As many as planned	Fewer than planned
April 13 - March 14			
London boroughs	12	14	7
Metropolitan districts	19	5	9
English shire districts	106	79	71
Welsh Unitary Authorities	18	1	3
Port health authorities	4	3	1
Total	159	102	91

Table 2c: Inspection Details

Previously Annex A, Table 16h

	Authorities which draw up an inspection plan at beginning of reporting year	Authorities which coordinate visits with other agencies
<i>April 13 - March 14</i>		
London boroughs	29	12
Metropolitan districts	32	7
English shire districts	247	34
Welsh Unitary Authorities	21	4
Port health authorities	6	0
Total	335	57

Table 2d: How are inspection reports communicated to site operator

Previously Annex A, Table 16i

	Written	Verbally	Both	Neither
<i>April 13 - March 14</i>				
London boroughs	0%	3%	97%	0%
Metropolitan districts	12%	6%	82%	0%
English shire districts	9%	4%	88%	0%
Welsh Unitary Authorities	5%	0%	95%	0%
Port health authorities	0%	13%	75%	13%
Total	8%	4%	88%	0%

Table 2e: Are inspection reports available to the public?

Previously Annex A, Table 16j

	Yes	No
<i>April 13 - March 14</i>		
London boroughs	33	0
Metropolitan districts	29	4
English shire districts	221	35
Welsh Unitary Authorities	21	1
Port health authorities	6	2
Total	310	42

Table 3a: Solvent Emissions Directive

Previously Annex A, Table 23

	SED installations holding permit	Installations using the reduction scheme	Installations that did not submit a solvent management plan	Operators breaching SED requirements	Withdrawn permits
April 10 - March 11					
London boroughs	796	55	221	68	1
Metropolitan districts	476	184	7	42	1
English shire districts	1371	546	109	72	6
Welsh Unitary Authorities	99	26	2	0	0
Port health authorities	0	0	0	0	0
Total	2742	811	339	182	8
April 11 - March 12					
London boroughs	747	50	159	141	1
Metropolitan districts	480	153	15	36	1
English shire districts	1367	419	118	62	3
Welsh Unitary Authorities	100	27	3	0	1
Port health authorities	0	0	0	0	0
Total	2694	649	295	239	6

Table 3a (cont): Solvent Emissions Directive

April 12 - March 13					
	SED installations holding permit	Installations using the reduction scheme	Installations that did not submit a solvent management plan	Operators breaching SED requirements	Withdrawn permits
London boroughs	871	49	144	112	4
Metropolitan districts	420	181	17	24	0
English shire districts	1439	391	78	48	16
Welsh Unitary Authorities	92	24	1	0	2
Port health authorities	0	0	0	0	0
Total	2822	645	240	184	22
April 13 - March 14					
	SED installations holding permit	Installations using the reduction scheme	Installations that did not submit a solvent management plan	Operators breaching SED requirements	Withdrawn permits
London boroughs	756	82	65	79	0
Metropolitan districts	417	155	10	10	0
English shire districts	1227	403	97	54	7
Welsh Unitary Authorities	95	24	1	0	1
Port health authorities	0	0	0	0	0
Total	2495	664	173	143	8

Table 3b: Paints Directive

	Instances of non-compliance	Instances due to mislabelling	Instances due to paint content being non-compliant	Total litres of non-compliant paint
<i>April 13 - March 14</i>				
London boroughs	0	0	0	0
Metropolitan districts	1	1	0	20
English shire districts	4	4	0	21
Welsh Unitary Authorities	0	0	0	0
Port health authorities	0	0	0	0
Total	5	5	0	41

Table 4a: Time taken to complete survey form by local authority group

Previously Annex A, Table 25a and Annex B; Table 19a

	Responses	Average time (Hours)
London boroughs	33	4.8
Metropolitan districts	33	14.6
English shire districts	256	5.9
Welsh Unitary Authorities	22	7.5
Port health authorities	8	1.4
Total	352	6.6

Table 4b: Time taken to complete survey form by industrialisation

Previously Annex A, Table 25b and Annex B; Table 19b

	Responses	Average time (Hours)
0 to 5 installations	4	0.5
6 to 10 installations	5	2.2
11 to 20 installations	21	3.0
21 to 50 installations	185	4.8
51 to 100 installations	113	8.6
Over 100 installations	24	15.8
Total	352	6.6

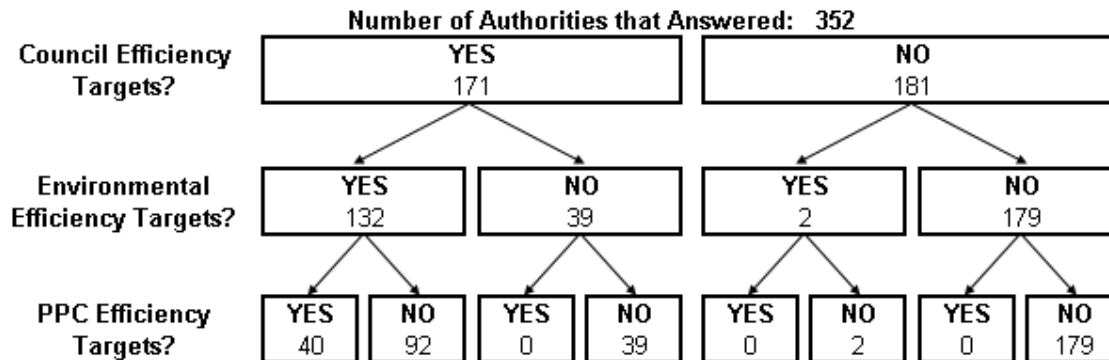
Table 5a: Number of Authorities with Efficiency Targets

Table 5b: Analysis of number of authorities with percentage targets and cashable/non-cashable savings

	Council	Environmental Services*	PPC Function
<i>April 13 - March 14</i>			
Efficiency savings targets	171	134	40
Had target greater than 0%	92	73	20
Had target but put 0%	79	61	20
Number of councils who had <25%	32	27	8
Number of councils who had 25-50%	3	3	1
Number of councils who had 50-75%	2	1	0
Number of councils who had >75%	28	21	7
Number of councils who had unknown	106	82	24

Table 5c: Number of authorities with the same or different targets at each level

	Total	Same Targets	Different Targets
<i>April 13 - March 14</i>			
Authorities with Council, Environmental and PPC Targets	40	30	10
Authorities with Council and Environmental but not PPC targets	92	75	17

Table 5d: Number of authorities with a given percentage target

Percentage Target	Council	Environmental Services*	PPC Function
0	79	61	20
0.1	0	1	0
0.3	0	1	0
0.94	1	0	0
1	3	5	1
1.5	0	1	0
2	7	5	1
2.5	4	2	2
2.54	1	0	0
3	11	9	2
3.17	1	0	0
3.6	1	1	1
3.93	0	1	0
3.97	1	0	0
4	7	4	1
4.2	1	1	0
4.75	1	0	0
4.8	1	1	1
5	10	7	2
5.49	0	1	0
6	1	0	0
6.63	0	0	1
7	3	3	2
7.5	1	2	1
8	2	1	0
8.3	0	1	0
9.5	1	0	0
9.61	0	1	0
10	15	12	3
11	1	0	0
11.2	0	0	1
12	1	1	0
12.15	1	1	0
12.5	1	1	0
12.62	0	1	0
13	1	0	0
13.97	1	0	0
14	1	1	0
14.7	1	0	0
15	5	4	1
16.5	0	1	0
17	1	0	0
20	2	1	0
22	1	2	0
25	1	0	0
45	1	0	0
Total	171	134	40