

Guide to Coroners Statistics

May 2017

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1. Introduction

This document accompanies the annual Coroners Statistics bulletin and provides a background overview of coroners, focusing on concepts and definitions published in Ministry of Justice statistics. It also covers policy background and changes, statistical publication revision policies, data sources, quality and dissemination.

The annual Coroners Statistics bulletin presents statistics on deaths reported to coroners, post-mortem examinations and inquests held, and conclusions recorded at inquests in England and Wales. All editions of the bulletin can be found here: <u>www.gov.uk/government/collections/coroners-and-burials-statistics</u>. The bulletin also includes statistics on investigations regarding finds reported to coroners.

The Coroners Statistics are published annually in May and cover statistics for each calendar year. There is no scheduled revisions policy for this publication.

2. Structure and Functions of Coroners

Under the Coroners and Justice Act 2009 ("the 2009 Act"), each coroner area has one senior coroner, and one or more assistant coroners. A coroner area may also have an area coroner (who may function as a deputy to the senior coroner).

For a list of the current coroner areas and information on changes to these areas, please see Annex A of the Coroners statistics bulletin.

Chief Coroner

The 2009 Act created the post of Chief Coroner to provide judicial oversight of the coroner system and leadership, guidance and support to coroners. The Chief Coroner's main statutory responsibilities are to:

- Approve all coroner appointments made by local authorities (along with the Lord Chancellor);
- Provide support, leadership and guidance for coroners in England and Wales;
- Set national standards for all coroners, including new inquest rules;
- Oversee the implementation of the new provisions of the Coroners and Justice Act 2009;
- Put in place suitable training arrangements for coroners and their staff;
- Keep a register of coroner investigations lasting more than 12 months and take steps to reduce unnecessary delays;
- Monitor investigations into the deaths of service personnel;
- Oversee transfers of cases between coroners and direct coroners to conduct investigations;
- Provide an annual report on the coroner system to the Lord Chancellor, to be laid before Parliament;
- Monitor the system where recommendations from inquests are reported to the appropriate authorities in order to prevent further deaths.

On 18 August 2016 the Lord Chief Justice, after consultation with the Lord Chancellor, appointed His Honour Judge Mark Lucraft QC as the second Chief Coroner of England and Wales. Judge Lucraft took up post on 1 October 2016, following the retirement of His Honour Judge Peter Thornton QC.

Further information on the Chief Coroner is available at:

www.judiciary.gov.uk/about-the-judiciary/office-chief-coroner

Chief Coroner's annual report

The Chief Coroner's annual report to the Lord Chancellor is a statement on the coroner system for the previous calendar year. It must contain an assessment of consistency of standards between coroner areas; information about investigations that have taken over 12 months to complete; and a summary of reports to prevent future deaths and the responses to these. The annual report is published on the Chief Coroner's section of the judiciary website¹.

Coroners are required to notify the Chief Coroner of any investigation that lasts more than a year and to notify the Chief Coroner of the date on which any such investigation was subsequently concluded.

Investigations

Under the 2009 Act, a coroner conducts an 'investigation' into a death (which may or may not include an inquest). Much of the coroner's investigation takes place before any formal inquest hearing, and includes the coroner considering whether the duty to hold an inquest applies to an individual case.

In the majority of deaths reported to them, coroners' investigations are concluded without an inquest being held. The coroner will have satisfied themselves, by means of a post-mortem examination or other investigation, on the physical cause of death and that the death was not one on which he or she is required by law to hold an inquest. A post-mortem examination may also take place in order to determine whether or not an investigation is necessary.

A coroner has a duty to investigate a death if:

- 1) the coroner is made aware that the body is within that coroner's area, and
- 2) the coroner has reason to suspect that:
 - a) the deceased died a violent or unnatural death;
 - b) the cause of the death is unknown; or
 - c) the deceased died while in custody or state detention.

The coroner must then establish who has died and how, when, and where they died.

A coroner's inquest is held for all deaths in custody or state detention. An inquest with a jury is held where the deceased died while in custody or state detention and the death was violent or unnatural, or of unknown cause; where the death resulted from an act or omission of a police officer or member of a service police force in the purported execution of their duties; or where the death was caused by an accident, poisoning or disease which must be reported to a government department or inspector. Jury inquests are not required where the deceased died in custody or state detention but from natural causes.

Once any post-mortem examination (including any histology or toxicology) has concluded, the coroner must decide how to proceed. There are three main options:

¹ https://www.judiciary.gov.uk/publications/chief-coroners-annual-report-2015-16/

- The post-mortem examination reveals that the deceased died of natural causes and the coroner considers that it is not necessary to (investigate or) continue the investigation. There will be no inquest.
- The post-mortem examination reveals that the deceased died of natural causes but the coroner considers that it is necessary to (investigate or) continue the investigation. The coroner must then hold an inquest.
- After the post-mortem examination, the coroner (still) has reason to suspect that the deceased died a violent or unnatural death, or the cause of death is unknown, or the deceased died while in custody/state detention. The coroner must then hold an inquest.

Inquest conclusions

At the end of an inquest, the coroner (or jury if applicable) completes a form entitled 'Record of an inquest'. This form documents the 'conclusion' of the coroner or jury as to who died and how, when, and where they died².

Conclusions are recorded in nearly all cases that proceed to inquest. The exceptions are inquests adjourned by the coroner if, for example, criminal proceedings take place. The inquest is usually not resumed because the relevant evidence has been heard elsewhere. Most inquests are held by a coroner sitting alone, without a jury, but as above under "Investigations", a jury must be summoned in some circumstances, for example where the deceased died while in custody or state detention and the death was unnatural or violent or of unknown cause.

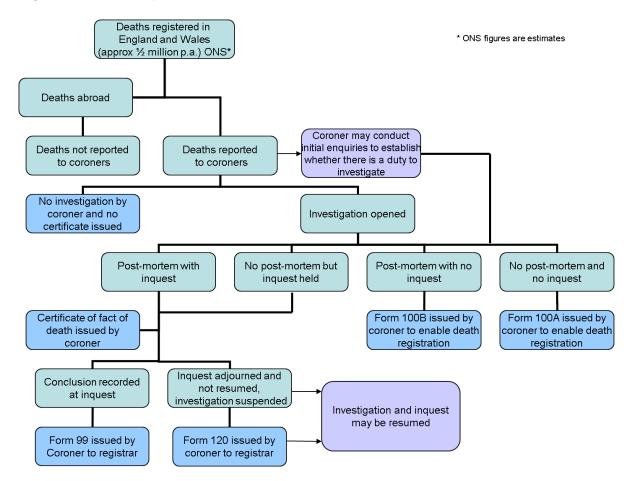
A conclusion consists of the 'determination', which states who died, and where, when and how they died; and 'findings' which allow the cause of death to be registered. The coroner or jury may use one of the following short form conclusions³:

- accident or misadventure
- alcohol/drug related
- industrial disease
- lawful killing
- unlawful killing
- natural causes
- open
- road traffic collision
- stillbirth
- suicide

² The 1988 Act term 'verdict' was replaced by the 2009 Act term 'conclusion'.

³ 'Alcohol/drug related' and 'road traffic collision' are short form inquest conclusions under the 2009 Act, which came into effect from July 2013.

Figure 1 shows the possible outcomes involved when a death is reported to a coroner.





Conclusions recorded in 2016 may relate to deaths from 2016 or earlier years. It is not possible to follow the flow of cases through the system due to the way the data is collected.

Suspension of investigation / adjournment of inquest

Under Schedule 1 to the 2009 Act, a coroner must suspend an investigation (and if an inquest has been opened, adjourn that inquest) in the following circumstances:

- If asked to do so by a prosecuting authority because someone may be charged with a homicide or related offence involving the death of the deceased (paragraph 1);
- When criminal proceedings have been brought in connection with the death (paragraph 2);
- Where there is an inquiry under the Inquiries Act 2005 (paragraph 3);
- If it appears to the coroner that it would be appropriate to suspend an investigation or adjourn an inquest (paragraph 5).

Timeliness of inquests

For the purpose of determining the timeliness of inquests, the time taken to conduct an inquest is deemed to be from the day the death was reported to the coroner until either (a) the day the inquest is concluded by the delivery of a conclusion or (b) the day the coroner certifies that an adjourned inquest will not be resumed.

The average time for an inquest to be conducted is estimated in the following way: coroners are asked in their annual return to state how many inquests were concluded within certain time periods. There are five time bands, which are: within one month; 1-3 months; 3-6 months; 6-12 months; and over 12 months. All the inquests falling within a time-band are then assumed to have been completed at or near the mid-point of the various time-bands for the purposes of calculating the average. However, inquests within the "under one month" band are assumed to have taken 3 weeks for the purpose of this estimation, and those inquests taking over a year to conclude are deemed to have taken 18 months, although the time-band itself is open-ended. Numbers are then aggregated and the average figure (in weeks) calculated in the normal way.

Only deaths occurring within England and Wales are included in the calculation. Statistics are not collected on the time taken for inquests where the death occurred outside England and Wales. Deaths occurring abroad are often significantly delayed because of the difficulty, for example, of obtaining reports from other countries.

Treasure and Treasure Trove

In England and Wales a coroner also handles investigations regarding finds reported to them under the provisions of the Treasure Act 1996. The coroner will inquire into any treasure which is found in their area and establish the identity of the finder. By law, all treasure finds must be reported to the coroner within 14 days except where treasure finds occurred before 24 September 1997 when the find is dealt with under common law "Treasure Trove". Such cases are extremely rare and the vast majority of cases fall under the Treasure Act 1996.

3. Policy Background and Changes

Prior to 1 June 2005, policy responsibility for coroners lay with the Home Office, but on that date it passed to the Department for Constitutional Affairs as part of machinery of government changes following the 2005 general election. Responsibility now lies with the Ministry of Justice, which was created on 9 May 2007.

Deprivation of Liberty Safeguards (DoLS)

Deprivation of Liberty Safeguards (DoLS) under the Mental Capacity Act 2005 were introduced in April 2009.

Deprivation of Liberty Safeguards are a procedure prescribed in law when it is necessary to deprive of their liberty a resident or patient who lacks capacity to consent to their care and treatment in order to keep them safe from harm. As a form of state detention any deaths that occur while a person is subject to DoLS should be reported to Coroners under section 1 of the 2009 Act.

The coroner data return for 2015 was modified to collect data on the number of deaths that occurred while the deceased was subject to DoLS, as a category within the state detention section of the data return. The 2015 Coroners Statistics bulletin then introduced separate figures on deaths that occurred while an individual was subject to a DoLS. Collection of this information has coincided with a substantial increase in the overall number of DoLS authorisations issued in England and Wales following the Supreme Court judgment in the Cheshire West case⁴ and has had a significant impact on a number of key statistics reported within this publication. The Health & Social Care Information Centre (HSCIC) 2015-16 Annual report records that on 31 March 2016, there were 54,765 active DoLS authorisations in place in England compared to 36,215 on 31 March 2015 and 2,300 on 31 March 2014⁵

As of 3 April 2017, a person subject to DoLS is no longer considered to be 'otherwise in state detention' for the purposes of the 2009 Act. The 2016 Coroners Statisitics bulletin continues with separate figures on deaths that occurred while an individual was subject to DoLS as a category within the state detention section in line with the law in existence throughout that year.

⁴ The Supreme Court's 2014 judgment in the cases of P v Cheshire West and Chester Council and P&O v Surrey County Council (Cheshire West) clarified the circumstances in which a DoLS is likely to be required and made it clear that many more circumstances amounted to a deprivation of liberty by the state. The Supreme Court decided that deprivation of liberty arose when the person concerned 'was under continuous supervision control and was not free to leave' and that the deprivation was the responsibility of the state. It did not matter that the patient in hospital or the resident of a care home was content or compliant or voiced no objection if in fact they did not have capacity to consent to the arrangements.

⁵ See http://content.digital.nhs.uk/catalogue/PUB21814/dols-eng-1516-rep.pdf Figure 3.1 page 25

4. Data Quality and Sources

The figures presented in the Coroner statistics are collected via statistical returns completed by coroners. For the calendar year 2016, all coroner area returns were received electronically. The process by which coroners provide their returns can vary according to the case management system they use. Many coroners use a system provided by an external contractor, while other coroners use alternative computer systems or a paper-based system. Although care is taken in completing, analysing and quality-assuring the data provided on the statistical returns, the figures are, of necessity, subject to possible inaccuracies inherent in any large-scale collection of this type. Every effort is made, however, to ensure that the figures presented in this publication are accurate and complete.

Returns are individually quality-assured and validated in a process that highlights inconsistencies between years, and between areas. Checks are made to ensure that each return is arithmetically correct, e.g. subtotals and overall totals are correctly summed. Unusual or outlying values found within returns are queried with the data supplier, to confirm whether these are correct, or that an error exists in the information provided which requires amendment.

Coroners are independent office-holders, and there is variation in the way each coroner's area is structured and managed, and in the mechanisms they have in place for discharging their duties under the Coroners and Justice Act 2009. For example, differences between coroners as to which cases they consider constitute a substantive "reported death" (and are therefore reported in their statistics) where little or no action is required on their part and no post-mortem or inquest is held. As such, the statistics reflect those cases which each individual coroner considers to be a death reported to them, and the figures for different coroner areas can be compared on this basis.

5. Useful Publications

Prior to the transfer of responsibility, the Home Office published statistical bulletins based on coroners' annual returns, from 1980. The last four bulletins published in the Home Office Statistical Bulletin series were as follows: for year 2003, bulletin 9/04; for 2002, bulletin 6/03; for 2001, bulletin 3/02; and for year 2000, bulletin 7/01. These may be found at:

webarchive.nationalarchives.gov.uk/20110218135832/http://rds.homeoffice.gov.uk/rds/hosbarchive.html

Statistics on registered deaths in England and Wales are published by the Office for National Statistics (ONS). These can be accessed from the ONS website at:

www.ons.gov.uk/peoplepopulationandcommunity/birthsdeathsandmarriages/deat hs

ONS also published a statistical bulletin on the number of suicides in the UK, based on the registered deaths data, and can be found at the following website:

https://www.ons.gov.uk/peoplepopulationandcommunity/birthsdeathsandmarriage s/deaths/bulletins/suicidesintheunitedkingdom/2015registrations

Statistics on deaths in prison custody are also published by her Majesty's Prison and Probation Service (previously the National Offender Management Service, NOMS), accessible via the following link:

www.gov.uk/government/collections/safety-in-custody-statistics

An annual report on the operation of the Treasure Act 1996 is published by the Department for Culture, Media and Sport. For more information please see:

www.gov.uk/government/organisations/department-for-culture-mediasport/series/treasure-and-portable-antiquities-statistics

The Chief Coroner has issued a practical guide for coroners, accompanied by a set of standard letters and forms, for use in treasure cases in England and Wales, accessible via the Chief Coroner's website:

www.judiciary.gov.uk/related-offices-and-bodies/office-chief-coroner/

The Health and Social Care Information Centre (HSCIC) annual report and accounts 2015 to 2016 includes figures on the number of active DoLs authorisations and are accessible via the following link:

www.gov.uk/government/publications/hscic-annual-report-and-accounts-2015-to-2016

The Care Quality Commission (CQC) publishes an annual report on mental health, which includes figures they have collected in relation to deaths while detained under the Mental Health Act:

www.cqc.org.uk/content/monitoring-mental-health-act-report

6. Glossary

The following definitions are intended as a guide to the meaning of terms in the Coroners Statistics bulletin concerning coroners and their work; more detailed definitions will be found in the Coroners and Justice Act 2009 (the 2009 Act) and the Treasure Act 1996 (see links below).

- www.legislation.gov.uk/ukpga/2009/25/contents
- www.legislation.gov.uk/2013?title=coroners
- www.legislation.gov.uk/ukpga/1996/24/contents

Chief Coroner

The judicial head of coroner services in England and Wales, responsible for setting national standards of service, training coroners and their officers and other staff and issuing guidance to them.

Coroner

A coroner is an independent judicial office holder, appointed by a local authority within the coroner area. Some coroners cover more than one local authority area. Coroners are invariably lawyers but coroners appointed before implementation of the 2009 Act may be doctors. They are responsible for the investigation of violent or unnatural deaths, deaths of unknown cause, and deaths in custody or state detention which are reported to them (see 'Deaths reported to coroners' section below).

Conclusion

This is the decision the coroner (or jury) reaches at the end of an inquest about how someone died. The conclusion is recorded on a 'record of an inquest' form which includes the legal 'determination' and 'findings'. It may comprise one of the following 'short form' conclusions: accident or misadventure; alcohol/drug related; industrial disease; lawful/unlawful killing; natural causes; open; road traffic collision; stillbirth; or suicide. Conclusions are recorded in nearly all coroners' inquests. The exceptions are those inquests adjourned, and not resumed, by the coroner under Schedule 1 to the 2009 Act because criminal proceedings have taken place.

Deaths reported to coroners

In England and Wales, all violent, unnatural or accidental deaths, deaths of unknown cause, and all deaths in custody and state detention, are reported to coroners.

Deprivation of Liberty Safeguard

The Mental Capacity Act 2005, as amended by the Mental Health Act 2007 provides for Deprivation of Liberty Safeguards (DoLS). DoLS were implemented in April 2009 and constitute the procedure prescribed in law when it is necessary to deprive of their liberty a resident or patient who lacks capacity to consent to their care and treatment in order to keep them safe from harm. Since 3 April 2017 such arrangements have been excluded from the meaning of state detention for the purposes of coroner law.

Determination

This is the decision (reached by coroner or jury as appropriate) about the identity of the deceased and how, when and where he or she came by his or her death.

Findings

These are the details about a death that the coroner establishes to enable the death to be registered under the Births and Deaths Registration Act 1953.

Inquest

This is a public hearing held by a coroner in order to establish who has died, and how, when and where they came by their death. It forms part of the coroner's investigation. An inquest does not establish any matter of criminal or civil liability and does not seek to blame anyone or apportion blame between people or organisations. If it is not possible to find out the cause of death from the postmortem examination, the death is found to be unnatural (or occurred in state detention) or the coroner considers there is a good reason to continue the investigation, the coroner must hold an inquest to be able to finish his or her investigation. The inquest may be held with a jury, depending on the circumstances of the death.

Investigation

The coroner's investigation is the process by which the coroner establishes who has died, and how, when, and where they died. The coroner may decide, as part of the investigation, to hold an inquest. The coroner has a duty under the 2009 Act to investigate a death if:

- 1) the coroner is made aware that the body is within that coroner's area, and
- 2) the coroner has reason to suspect that:
 - a) the deceased died a violent or unnatural death;
 - b) the cause of the death is unknown; or
 - c) the deceased died while in custody or state detention.

Juries

Nearly all inquests are held by a coroner sitting alone, without a jury. A jury must be summoned if the senior coroner has reason to suspect:

(a) that the deceased died while in custody or otherwise in state detention, and that either the death was violent or unnatural, or the cause of death is unknown;

(b) that the death resulted from an act or omission of a police officer, or a member of a service police force in the purported execution of his or her duty;

(c) that the death was caused by a notifiable accident, poisoning or disease (i.e. under certain statutory reporting obligations under the Health and Safety Act 1974 or any other Act, and in certain other circumstances, especially where there may be a continuing or recurring danger to the public).

Juries in coroner inquests comprise of between seven and eleven members.

Killed lawfully

This conclusion may be recorded, for example, due to termination of a pregnancy, lawful shooting by a police officer, or a death resulting from self-defence.

Narrative conclusion

This is where the coroner makes a brief and factual statement at the conclusion of the inquest rather than returning a short-form conclusion.

Non-inquest cases

This is where the coroner's investigation is concluded without an inquest being held.

Open conclusion

This may be recorded by the coroner if there is insufficient evidence to enable the coroner or the jury to reach one of the other conclusions

Out of England Order

To take a body of a deceased person out of England and Wales, notice must be given to the coroner within whose area the body is lying. This notice allows the coroner to consider whether an investigation is necessary. When the coroner gives permission for the removal of the body an Out of England order is issued.

Post-mortem examination

This is a detailed examination of a body after death to establish the medical cause of death. A coroner's post-mortem examination is carried out by a suitable medical practitioner such as a pathologist (a doctor who specialises in medical diagnosis by examining body organs, tissues and fluids) of the coroner's choice. A coroner may commission a post-mortem examination, whether or not an inquest is held, particularly if the cause of death is not clear. In many cases a post-mortem examination is conducted in order to determine whether or not an inquest is necessary.

Registered deaths

All deaths in England and Wales must be registered with the Registrar of Births and Deaths. The term 'registered deaths' in the Coroners Statistics bulletin refers to deaths registered within a specific time period (in this case, calendar years). Statistics on registered deaths in England and Wales are published by the Office for National Statistics (ONS) in their series on mortality statistics. At the time of the Coroners Statistics 2016 publication, final figures had not been published for the number of registered deaths in 2016, but a provisional figure has been derived from the monthly registration figures which are published by ONS at regular intervals.

Suspensions under Schedule 1 to the 2009 Act

Under Schedule 1 to the 2009 Act a coroner must suspend an investigation (and if an inquest has been opened, adjourn that inquest) in the following circumstances:

 If asked to do so by a prosecuting authority because someone may be charged with a homicide or related offence involving the death of the deceased (paragraph 1);

- When criminal proceedings have been brought in connection with the death (paragraph 2);
- Where there is an inquiry under the Inquiries Act 2005 (paragraph 3,);
- If it appears to the coroner that it would be appropriate to do so (paragraph 5).

Timeliness of inquests

For the purpose of determining the timeliness of inquests, the time taken to conduct an inquest is deemed to be from the day the death was reported to the coroner until either (a) the day the inquest is concluded by recording a conclusion or (b) the day the coroner certifies that an adjourned inquest will not be resumed.

The average time for an inquest to be conducted is estimated in the following way: coroners are asked in their annual return to state how many inquests were concluded within certain time periods. There are five time bands: within one month; 1-3 months; 3-6 months; 6-12 months; and over 12 months. All the inquests falling within a time-band are then assumed to have been completed at or near the mid-point of the various time-bands for the purposes of calculating the average, although inquests within the "under one month" band are assumed to have taken 3 weeks for this purpose of this estimation, and inquests taking over a year to conclude are deemed to have taken 18 months, although the time-band itself is open-ended. Numbers are then aggregated and the average figure (in weeks) calculated in the normal way.

Note: only deaths occurring within England and Wales are included in the calculation. Statistics are not collected on the time taken for inquests where the death occurred outside England and Wales. Deaths occurring abroad are often significantly delayed because of the difficulty, for example, of obtaining reports from other countries.

Treasure and treasure trove

Treasure is defined as:

- 1) Any object at least 300 years old which:
 - a) Is not a coin but has metallic content of which at least 10% by weight is precious metal (i.e. gold or silver); and
 - b) Is one of at least 2 coins in the same find which are at least 300 years old and have that percentage of precious metal; or
 - c) Is one of at least 10 coins in the same find which are at least 300 years old
- 2) Any object at least 200 years old which the Secretary of State considers to be of outstanding historical, archaeological or cultural importance.

Treasure Trove relates to treasure finds made before commencement of the Treasure Act 1996 on 24 September 1997.

Coroners have jurisdiction to inquire into any treasure which is found in their area and to establish who the finder is.