



Education
Funding
Agency

Funding Information System (FIS)

EFA reports guidance 2016/17

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Summary

This publication provides non-statutory guidance from the Department for Education. It has been produced to help institutions to use the EFA reports in the Funding Information System (FIS).

Who is this publication for?

This guidance is for staff in institutions who use FIS.

Purpose

1. This document provides information about the contents, logic and usage for specific reports within the Funding Information System (FIS) in 2016/17. The reports covered in this document are those produced by FIS for EFA funding, and not SFA reports, which are covered in a separate document. These reports are intended to be used for monitoring funding, analysis, data checking and submitting the final funding claim.
2. Identical reports can also be found in the Hub.
3. All reports referred to in this document primarily source their data from the EFA funding calculation. For more information about the EFA funding calculation please refer to the EFA funding calculation specification on gov.uk.

Funding line types

4. The EFA groups its funded students together into funding lines.

- 16-19 Students (Including High Needs Students): all 16-19 students are included in this funding line type, whether they have high needs or not.
- 19-24 Students with an EHCP: the EFA has a statutory duty to fund students who are 19 to 24 years old, and who have an Education, Health and Care Plan (EHCP). 19 to 24 year old students not recorded as having an EHCP or an LDA (see the next funding line type) will be placed into the 19+ continuing students type.
- 19-24 Students with an LDA: the EFA no longer funds students aged between 19 and 24 years old who only have a Learning Difficulty Assessment (LDA) as the LDA ceased to have any legal effect from 1st September 2016.
- 19+ Continuing Students (excluding LDA or EHCP): the EFA funds students who are 19 and over when they are continuing on a study programme that they started when they were 18 or younger.¹ Students in this category are only funded by the EFA in sixth form colleges and academies.
- 14-16 Direct Funded Students: the EFA funds 14 to 16-year-olds who are enrolled at EFA-funded institutions who have made arrangements to directly recruit young people in this age group.

5. Students who are funded by the Skills Funding Agency, but whose funding is calculated by the EFA, are covered by the following funding line types:

- 25+ Students with an LDA or EHCP,
- 16-18 Traineeships (Non-EFA),
- 19+ Traineeships (Non-EFA), and
- Adult Skills Funded EFA Model.

¹ The age definition is set out in the [‘Funding regulations’ guidance for 2016/17](#), section ‘Age’, paragraph 38. A student’s age on 31 August is used. For example, a student who is 18 on 31 August 2015 will be considered to be 18 for the whole of 2016/17, even if they turn 19 during the course of the year.

EFA funding claim report

Description

6. This report shows the funding earned by an institution according to the ILR return that has been loaded into FIS. It enables institutions to track how much funding they have earned to date.

7. This report shows only students who pass ILR validation, are recorded as having provision funded through the EFA 16-19 funding model (Funding Model = 25) and who count for funding by passing the qualifying period.

8. Student numbers are split by funding line type. This allows institutions to ensure students are being recorded in the ILR correctly with regard to age, funding model and source of funding and, in particular, to identify any students in lines D and E ('19-24 Students with an LDA' and '19+ Continuing Students (excluding LDA or EHCP)'. '19-24 Students with an LDA' are no longer funded by the EFA and will not count for allocations or reconciliation purposes from 2016/17 onwards. '19+ Continuing Students (excluding LDA or EHCP)' students will only be funded by the EFA in sixth form colleges and academies and will not count for allocations or reconciliation purposes for other types of institution.

9. Please note that students in the '14-16 Direct Funded' funding line earn no funding through the EFA funding calculation and are therefore represented by student numbers only in all EFA reports based on the funding calculation.

10. Students who are the funding responsibility of the Skills Funding Agency but have their funding calculated by the EFA funding calculation are not shown in this report. These students fall under the following funding line types in the funding calculation:

- 25+ Students with an LDA or EHCP,
- 16-18 Traineeships (Non-EFA),
- 19+ Traineeships (Non-EFA), and
- Adult Skills Funded EFA Model.

11. The report also forms a key part of the funding claim that institutions return to the EFA, which provides the EFA with an assurance statement by the relevant accounting officer over the regularity of the institution's funding claim and ILR data returns. The report itself includes a declaration to be signed by the accounting officer. It is also used for institutions, where reconciliation is appropriate, to determine whether any funds paid for 2016/17 will be recovered for underperformance.

12. The report relies on the following outputs from the EFA funding calculation.

Field on report	Field output by funding calculation
Retention Factor	PrvRetentFactHist
Programme Cost Weighting Factor	ProgWeightHist
Area Cost	AreaCostFact1618Hist
Disadvantage Proportion	PrvDisadvPropnHist
Funding Line Type	FundLine
Funding Band	RateBand
Reference Date	LearnStartDate
Total Funding	OnProgPayment
Large Programme Proportion	PrvHistLrgProgPropn

13. The report includes a new line to show how much funding will be removed for failing to meet the condition of funding.

14. The line '16/17 Condition of Funding removal' shows the funding that has been removed from your 2016/17 allocation due to students not complying with the condition of funding on English and mathematics in 2014/15.

15. The total funding (less condition of funding removal) figure will form the basis of the outturn used for all institutions, to ensure that the outturn and allocation figures are calculated on a consistent basis.

16. The report can be consumed as a PDF flat report.

Student-level funding report

17. This report shows the funding earned by each individual student.
18. This report shows students who pass ILR validation, are recorded as having provision funded through the EFA 16-19 funding model (Funding Model = 25).
19. The report shows all students who are recorded with basic post 16 eligibility, regardless of whether they qualify as a start (and therefore for EFA funding) or not. This is different to the funding claim report, which only shows students who are valid starts.
20. Information for each student is shown, and they are grouped according to their funding line type.
21. The report can be consumed as an Excel file.

High needs summary report

22. This report will help to improve recording of students with high needs.
23. This report shows only students who pass ILR validation, are recorded as having provision funded through the EFA 16-19 funding model (Funding Model = 25), whose source of funding is the EFA (SOF = 107), and who count for funding by passing the qualifying period.
24. The report splits students in each funding line type according to whether they have an Education, Health and Care Plan (EHCP), a Learning Difficulty Assessment (LDA), and their high needs student (HNS) status.
25. There is no link between EHCP and HNS. It is possible to record a student with a HNS status and no EHCP, or vice versa.
26. From 1 September 2016 students in the funding line 19-24 Students with an LDA are no longer eligible for EFA funding and will not count towards future lagged allocations.
27. Students have to be recorded with the HNS learner fam to be counted as high needs. If a student has an EHCP or LDA, but does not have a HNS status in the ILR, then we do not count them as having high needs.
28. The report can be consumed as a PDF flat report.

High needs detail report

29. This report shows the same information as the high needs summary report at student level. It shows you the EHCP/LDA and HNS statuses for students.

30. The report can be consumed as an Excel file.

EFA 16-19 maths and English GCSE status by student

Description

31. This report gives the maths and English status of every student, showing whether they have achieved at least a GCSE grade C in each subject and whether they are currently studying for a valid qualification in the subject. Those recorded as exempt from the condition of funding are also shown as such. The students to whom the condition of funding does not apply are also shown.

32. The report fully reflects the condition of funding policy and is designed to help institutions credibility check their data pertaining to the condition of funding on maths and English and identify data recording errors in the key fields that are used to determine the maths and English status.

33. More information on the condition of funding is available on gov.uk.

Information used

34. The report uses several pieces of information to determine the student's status.

GCSE qualification grade

MathGrade	Determines the prior attainment status of the student in relation to maths GCSE. Students with an A*, A, B, C GCSE maths qualification grade will show as having attained GCSE maths at A*-C. Students with a D GCSE maths qualification will be recognised as having a maths GCSE Grade D.
EngGrade	Determines the prior attainment status of the student in relation to English GCSE. Students with an A*, A, B, C GCSE English qualification grade will show as having attained GCSE English at A*-C. Students with a D GCSE English qualification will be recognised as having an English GCSE Grade D.

Funding and monitoring (FAM) type MCF/ECF

FAM type	MCF	<p>Determines that a student is exempt from the condition of funding for maths.</p> <ul style="list-style-type: none"> • The student has met the GCSE Maths condition of funding as they hold an approved equivalent UK qualification. • The student is undertaking / completing a valid maths GCSE or equivalent qualification at another institution through collaboration with the home institution. <p>Students with this FAM type and code 1 or code 2 will show as being a maths exemption.</p> <p>Students with this FAM type and code 3 will show as having attained GCSE maths at A*-C.</p> <p>Students with this FAM type and code 4 will show as studying a recognised maths condition of funding qualification.</p>
	ECF	<p>Determines that a student is exempt from the condition of funding for English.</p> <ul style="list-style-type: none"> • The student has met the GCSE English condition of funding as they hold an approved UK overseas qualification. • The student is undertaking / completing a valid English GCSE or equivalent qualification at another institution through collaboration with the home institution. <p>Students with this FAM type and code 1 or code 2 will show as being an English exemption.</p> <p>Students with this FAM type and code 3 will show as having attained GCSE English at A*-C.</p> <p>Students with this FAM type and code 4 will show as studying a recognised English condition of funding qualification.</p>

Funding and monitoring (FAM) type LDM (learning delivery monitoring)

LDM code	331	<p>Used to determine if the student is enrolled on a Prince's Trust TEAM programme which meets the condition of funding. This code must be recorded on a core aim that is one of the following Prince's Trust learning aim reference numbers:</p> <table style="width: 100%; border: none;"> <tr> <td style="text-align: center;">60023995</td> <td style="text-align: center;">60032121</td> </tr> <tr> <td style="text-align: center;">60027307</td> <td style="text-align: center;">60032868</td> </tr> <tr> <td style="text-align: center;">60027629</td> <td style="text-align: center;">60033344</td> </tr> </table>	60023995	60032121	60027307	60032868	60027629	60033344
60023995	60032121							
60027307	60032868							
60027629	60033344							

LARS validity

EFAConFundMaths	Determines if an aim in a student's 16-19 study programme is recognised as meeting the condition of funding for maths. Aims with this LARS validity and condition of funding type 1 will be recognised as a maths GCSE qualification. Aims with this LARS validity and condition of funding type 2 will be recognised as a maths stepping stone qualification.
EFAConFundEnglish	Determines if an aim in a student's 16-19 study programme is recognised as meeting the condition of funding for English. Aims with this LARS validity and condition of funding type 1 will be recognised as an English GCSE qualification. Aims with this LARS validity and condition of funding type 2 will be recognised as an English stepping stone qualification.

Possible statuses

35. There are seven possible statuses for each subject.

Maths

- Condition of Funding Does Not Apply
- Exempt from the GCSE Mathematics A*-C requirement
- Has Maths, Studying Maths
- Has Maths, Not studying Maths
- Doesn't have Maths, Studying Maths
- Has Maths GCSE Grade D, Not studying GCSE Maths
- Doesn't have Maths, Not Studying Maths

English

- Condition of Funding Does Not Apply
- Exempt from the GCSE English A*-C requirement
- Has English, Studying English
- Has English, Not studying English
- Doesn't have English, Studying English
- Has English GCSE Grade D, Not studying GCSE English
- Doesn't have English, Not Studying English

36. The note 'Condition of Funding Does Not Apply' will appear against these categories of student.

- Students on study programmes continuing from the 1415 funding year and who have not started a new study programme.
- Students on a programme of less than 150 planned hours in the academic year.
- Students under the age of 16.
- Students in one of these funding line types:
 - 25+ High Needs Students,
 - 16-18 Traineeships (Non-EFA),
 - 19+ Traineeships (Non-EFA),
 - Adult Skills Funded EFA Model.

37. The category 'Has Maths GCSE Grade D, Not studying GCSE Maths' will appear against students who match the following criteria.

- Have a MathGrade of D.
- Are in rate band:
 - 540+ hours (Band 5), or
 - 450+ hours (Band 4a).
- Are not recorded as a
 - traineeship student with a programme type of 24, or
 - continuing their study programme from the 2015/16 funding year.
- Are not studying an aim which has
 - a LARS validity of EFAConFundMaths, and
 - a condition of funding type 1.

38. The category 'Has English GCSE Grade D, Not studying GCSE English' will appear against students who match the following criteria.

- Have an EngGrade of D.
- Are in rate band:
 - 540+ hours (Band 5), or
 - 450+ hours (Band 4a).
- Are not recorded as a
 - traineeship student with a programme type of 24, or
 - continuing their study programme from the 2015/16 funding year.
- Are not studying an aim which has
 - a LARS validity of EFAConFundEnglish, and
 - a condition of funding type 1.

39. Each maths and English qualification undertaken by a student also has to meet a qualifying period to count as a qualification meeting the condition of funding (6 weeks for a qualification with a planned length of 24 weeks or more, and 2 weeks for a qualification with a planned length of less than 24 weeks). The condition of funding relates to enrolments rather than exam entries. This means that a student who has enrolled on a

valid maths or English qualification but who has not met the qualifying period will be shown as '...Not Studying Maths/English'.

40. The report is available as a csv file. In the PDF version the last status for each subject (Doesn't have [subject], Not Studying [subject]) will be highlighted in red in the report to indicate students who are unlikely to meet the condition of funding.

41. The csv file can be used to filter for particular statuses using Excel, making it quick and easy to find students whose data may need to be corrected.



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