### SE FM02
Formation of holding Societas Europaea (SE)

**A fee is payable with this form.**
Please see 'How to pay' on the last page.

- **What this form is for**
  - You may use this form to form a holding SE.

- **What this form is NOT for**
  - You cannot use this form to form an SE by any other means.
  - Do not use this form if any individual person with significant control is applying or has applied for protection from having their details disclosed on the public register. Contact enquiries@companieshouse.gov.uk to get a separate form.

### Part 1  SE details

#### A1  Proposed name

<table>
<thead>
<tr>
<th>Proposed name of SE in full</th>
<th></th>
</tr>
</thead>
</table>

- **Duplicate names**
  - Duplicate names are not permitted. A list of registered names can be found on our website. There are various rules that may affect your choice of name. More information is available at: www.gov.uk/companieshouse

#### A2  SE name restrictions

Please tick the box only if the proposed SE name contains sensitive or restricted words or expressions that require you to seek comments of a government department or other specified body.

- I confirm that the proposed SE name contains sensitive or restricted words or expressions and that approval, where appropriate, has been sought of a government department or other specified body and I attach a copy of their response.

#### A3  Proposed registered office address

<table>
<thead>
<tr>
<th>Building name/number</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Street</td>
<td></td>
</tr>
<tr>
<td>Post town</td>
<td></td>
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<tr>
<td>County/Region</td>
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<tr>
<td>Postcode</td>
<td></td>
</tr>
<tr>
<td>Country</td>
<td></td>
</tr>
</tbody>
</table>

**Filling in this form**
Please complete in typescript or in bold black capitals.

- All fields are mandatory unless specified or indicated by *
**A4**  
**Detail of promoting companies or SEs**

<table>
<thead>
<tr>
<th>Details</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Registered number (if applicable)</td>
<td></td>
</tr>
<tr>
<td>Name</td>
<td></td>
</tr>
<tr>
<td>Registered office address</td>
<td></td>
</tr>
<tr>
<td>Postcode</td>
<td></td>
</tr>
<tr>
<td>Name of Member</td>
<td></td>
</tr>
<tr>
<td>State and address of registry where documents are filed</td>
<td></td>
</tr>
</tbody>
</table>

**Draft terms details**

Please give the date for the promoting company/SE that the draft terms of formation were publicised.

**Draft terms date**

d d m m y y y y

Please give the date that the report was drawn up on.

**Drawing up date**

d d m m y y y y

Please give particulars of who drew the report.

**Name/particulars**

Please give the date for the promoting company/SE that the draft terms were approved.

**Approved date**

d d m m y y y y

The general meeting(s) of the promoting company(ies) and/or SE(s) (Please tick the appropriate box):

- [ ] Did not reserve the right to make the registration of the proposed SE conditional upon its or their express ratification of the employee involvement arrangements in accordance with the EC Directive.

- [ ] Did make the reservation set out in sub paragraph (i) but ratified the employee involvement arrangements (specifying the dates on which such ratifications occurred).
**SE FM02**
Formation of holding Societas Europaea (SE)

### Detail of promoting companies or SEs

<table>
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<tr>
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<tr>
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</tr>
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</tr>
<tr>
<td>Name of Member</td>
</tr>
<tr>
<td>State and address of registry where documents are filed</td>
</tr>
</tbody>
</table>

### Draft terms

In accordance with Regulation 6(4)(a) - (c)(ii) of the European Economic Interest Grouping Regulations 1989.

### Continuation page
Please use a continuation page if you need to enter more details.

#### Draft terms date

Please give the date for the promoting company/SE that the draft terms of formation were publicised.

### Drawing up date

Please give the date that the report was drawn up on.

### Approved date

Please give the date for the promoting company/SE that the draft terms were approved.

The general meeting(s) of the promoting company(ies) and/or SE(s) (Please tick the appropriate box):

- [ ] Did not reserve the right to make the registration of the proposed SE conditional upon its or their express ratification of the employee involvement arrangements in accordance with the EC Directive.
- [ ] Did make the reservation set out in sub paragraph (i) but ratified the employee involvement arrangements (specifying the dates on which such ratifications occurred).
### Draft terms

In accordance with Regulation 6(4)(a) - (c)(i)(ii) of the European Public Limited Liability Company Regulations 2004.

### Continuation page

Please use a continuation page if you need to enter more details.

---

#### Detail of promoting companies or SEs

<table>
<thead>
<tr>
<th>Details</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Registered number (if applicable)</td>
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<tr>
<td>Postcode</td>
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</tr>
<tr>
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<td></td>
</tr>
<tr>
<td>State and address of registry where documents are filed</td>
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</tbody>
</table>

#### Draft terms details

Please give the date for the promoting company/SE that the draft terms of formation were publicised.

<table>
<thead>
<tr>
<th>Draft terms date</th>
<th>d</th>
<th>d</th>
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<th>m</th>
<th>y</th>
<th>y</th>
<th>y</th>
<th>y</th>
</tr>
</thead>
</table>

Please give the date that the report was drawn up on.

<table>
<thead>
<tr>
<th>Drawing up date</th>
<th>d</th>
<th>d</th>
<th>m</th>
<th>m</th>
<th>y</th>
<th>y</th>
<th>y</th>
<th>y</th>
</tr>
</thead>
</table>

Please give particulars of who drew the report.

<table>
<thead>
<tr>
<th>Name/particulars</th>
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</tbody>
</table>

Please give the date for the promoting company/SE that the draft terms were approved.

<table>
<thead>
<tr>
<th>Approved date</th>
<th>d</th>
<th>d</th>
<th>m</th>
<th>m</th>
<th>y</th>
<th>y</th>
<th>y</th>
<th>y</th>
</tr>
</thead>
</table>

The general meeting(s) of the promoting company(ies) and/or SE(s) (Please tick the appropriate box):

- [ ] Did not reserve the right to make the registration of the proposed SE conditional upon its or their express ratification of the employee involvement arrangements in accordance with the EC Directive.
- [ ] Did make the reservation set out in sub paragraph (i) but ratified the employee involvement arrangements (specifying the dates on which such ratifications occurred).
**Part 2  Subscribing capital of the proposed SE**

### B1  Subscribed capital in pound sterling (£) or euros (€)

<table>
<thead>
<tr>
<th>Subscribed capital</th>
</tr>
</thead>
</table>

*Subscribing capital in pound sterling (£) or euros (€)*

This must not be less than £50,000 or €57,100.

### B2  Subscribed capital in other currencies

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
</table>

*Subscribing capital in other currencies*

Please specify currencies.

### B3  Total subscribed capital

- Please tick the box to confirm that the total subscribed capital of the proposed SE is not less than the equivalent of €120,000.

### B4  Principal business activity

#### Classification code 1

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
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</thead>
</table>

Please show the trade classification code number(s) for the principal activity or activities.

#### Classification code 2

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
</table>

If you cannot determine a code, please give a brief description of your business activity below:

#### Classification code 3

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
</table>

#### Classification code 4

<p>| |</p>
<table>
<thead>
<tr>
<th></th>
</tr>
</thead>
</table>

If you cannot determine a code, please give a brief description of your business activity below:

**Principal activity description**

**Classification code**

**Principal activity description**

### B5  Documents attached

Please tick the boxes to confirm that all the following documents are attached to this form.

#### Document description

- Statutes of proposed holding SE.
- Written report(s) by independent experts given in accordance with Article 32(4) of Council Regulation (EC) No 2157/2001.
- Copy(ies) of resolution(s) of the promoting company(ies) and / or SE(s) approving the draft terms for the formation of the proposed holding SE in accordance with Article 32(6) of the Council Regulation (EC) No 2157/2001.

And, if applicable, that the following document is attached.

- Copy(ies) of resolution(s) of the promoting company(ies) and / or SE(s) giving express ratification of employee involvement (required only in the case of reserved rights under Article 32(6)).
## Part 3  
### Proposed members of the SE

Please list below details of persons who are members of the SE named above.

For SEs with a two-tier system, details of all members of both the supervisory and management organs must be given. For SEs with a one-tier system, please give the details of all members of the administrative organ.

For a member who is an individual, go to Section C1; for a corporate member, go to Section D1;

Please use a continuation page if there are more members of the SE.

### Member

#### C1  
**Member appointments**

Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D5.

- **Title**: 
- **Full forename(s)**: 
- **Surname**: 
- **Former name(s)**: 

- **Country/State of residence**: 
- **Nationality**: 
- **Month/year of birth**: 
- **Business occupation (if any)**: 

#### C2  
**Member’s service address**

- **Building name/number**: 
- **Street**: 
- **Post town**: 
- **County/Region**: 
- **Postcode**: 
- **Country**: 

#### C3  
**Member’s position**

The person named consents to act as member of: 
- the administrative organ of the SE. 
- the supervisory organ of the SE. 
- the management organ of the SE.

Please tick one box.
**This page is not shown on the public record**

Do not cover this barcode

| C4 | New member’s date of birth  
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Please complete your full date of birth below.</td>
</tr>
</tbody>
</table>
| | Date of birth: 
| | **d** | **m** | **y** | **y** |

| C5 | Member’s usual residential address  
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Building name/number</td>
<td></td>
</tr>
<tr>
<td>Street</td>
<td></td>
</tr>
<tr>
<td>Post town</td>
<td></td>
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<tr>
<td>Postcode</td>
<td></td>
</tr>
<tr>
<td>Country</td>
<td></td>
</tr>
</tbody>
</table>

**Section 243 exemption**

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

**Different postal address:**
If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.
# SE FM02
Formation of holding Societas Europaea (SE)

## Member

### C1 Member appointments

<table>
<thead>
<tr>
<th>Appointments</th>
<th>Corporate appointments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D5.</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
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</thead>
<tbody>
<tr>
<td>Full forename(s)</td>
<td></td>
</tr>
<tr>
<td>Surname</td>
<td></td>
</tr>
<tr>
<td>Former name(s)</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Country/State of residence</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Nationality</td>
<td></td>
</tr>
<tr>
<td>Month/year of birth</td>
<td></td>
</tr>
<tr>
<td>Business occupation (if any)</td>
<td></td>
</tr>
</tbody>
</table>

### C2 Member’s service address

<table>
<thead>
<tr>
<th>Service address</th>
</tr>
</thead>
<tbody>
<tr>
<td>This is the address that will appear on the public record. This does not have to be your usual residential address. Please state 'The SE’s Registered Office' if your service address will be recorded in the proposed SE’s register of members as the SE’s registered office. If you provide your residential address here it will appear on the public record.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Building name/number</th>
<th></th>
</tr>
</thead>
<tbody>
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<td>Postcode</td>
<td></td>
</tr>
<tr>
<td>Country</td>
<td></td>
</tr>
</tbody>
</table>

### C3 Member’s position

<table>
<thead>
<tr>
<th>Position</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>The person named consents to act as member of:</td>
<td></td>
</tr>
<tr>
<td>□ the administrative organ of the SE.</td>
<td></td>
</tr>
<tr>
<td>□ the supervisory organ of the SE.</td>
<td></td>
</tr>
<tr>
<td>□ the management organ of the SE.</td>
<td></td>
</tr>
</tbody>
</table>

Please tick one box.

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Formation of holding Societas Europaea (SE)

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Do not cover this barcode

C4
New member’s date of birth

Please complete your full date of birth below.

Date of birth: __/__/____

Date of birth

You must give the full date of birth.

C5
Member’s usual residential address

Please complete your usual residential address below.

Building name/number

Street

Post town

County/Region

Postcode

Country

Member’s usual residential address

Please state ‘Same as service address’ in this section if your usual residential address is recorded in the SE’s proposed register of member’s residential addresses as ‘Same as the service address’.

You cannot state ‘Same as service address’ if your service address has been stated in Section C2 as ‘The SE’s Registered Office’. You will need to complete the address in full.

This cannot be a PO Box, DX or LP (Legal Post in Scotland) number.

Section 243 of Companies Act 2006

Section 243 exemption

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

If you are currently in the process of applying for, or have been granted, a section 243 exemption, you may wish to check you have not entered your usual residential address in Section C2 as this will appear on the public record.

Different postal address:

If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:

The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.
**SE FM02**  
Formation of holding Societas Europaea (SE)

**Corporate member**

<table>
<thead>
<tr>
<th>D1</th>
<th>Corporate member appointments</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of corporate body or firm</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Building name/number</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Street</td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td>Country</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**D2 | Location of the registry of the corporate body or firm**

Is the corporate member registered within the European Economic Area (EEA)?
- **Yes** Complete Section D3 only
- **No** Complete Section D4 only

**D3 | EEA companies**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/firm is registered |  |
| Registration number |  |

**D4 | Non-EEA companies**

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the corporate body or firm |  |
| Governing law |  |
| If applicable, where the company/firm is registered |  |
| If applicable, the registration number |  |

**D5 | Corporate member’s position**

The person named consents to act as member of:
- [ ] the administrative organ of the SE.
- [ ] the supervisory organ of the SE.
- [ ] the management organ of the SE.

Additional appointments
- If you wish to appoint more than one corporate member, please use the ‘Corporate member appointments’ continuation page.

Registered or principal address
- This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.

EEA
- A full list of countries of the EEA can be found in our guidance: www.gov.uk/companieshouse
- This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).

Non-EEA
- Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

Please tick one box.
## Part 4  **People with significant control (PSC)**

Use this Part to tell us about people with significant control or registrable relevant legal entities in respect of the company. Do not use this Part to tell us about any individual people with significant control whose particulars must not be disclosed on the public record. You must use a separate form, which you can get by contacting us enquiries@companieshouse.gov.uk

If on registration there will be someone who will count as a person with significant control (either a registrable person or registrable relevant legal entity (RLE)) in relation to the company, tick the box in E1 and complete any relevant sections. If there will be no registrable person or RLE tick the box in E2 and go to Part 5 Statements.

### E1  Statement of initial significant control

- [ ] On registration, there will be someone who will count as a person with significant control (either a registrable person or registrable RLE) in relation to the company.

### E2  Statement of no PSC

(Please tick the statement below if appropriate)

- [ ] The company knows or has reason to believe that there will be no person with significant control (either a registrable person or RLE) in relation to the company.
**E3 Individual’s details**

Use sections E3-E9 as appropriate to tell us about individuals with significant control who are registrable persons and the nature of their control in relation to the company.

<table>
<thead>
<tr>
<th>Title*</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Full forename(s)</td>
<td></td>
</tr>
<tr>
<td>Surname</td>
<td></td>
</tr>
<tr>
<td>Country/State of residence</td>
<td></td>
</tr>
<tr>
<td>Nationality</td>
<td></td>
</tr>
<tr>
<td>Month/year of birth</td>
<td>X</td>
</tr>
</tbody>
</table>

**E4 Individual’s service address**

Please complete the individual’s service address below. You must also complete the individual’s usual residential address in Section E6.

<table>
<thead>
<tr>
<th>Building name/number</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Street</td>
<td></td>
</tr>
<tr>
<td>Post town</td>
<td></td>
</tr>
<tr>
<td>County/Region</td>
<td></td>
</tr>
<tr>
<td>Postcode</td>
<td>X</td>
</tr>
<tr>
<td>Country</td>
<td></td>
</tr>
</tbody>
</table>

**Country/State of residence**

This is in respect of the usual residential address as stated in section E6.

**Month and year of birth**

Please provide month and year only.

**Service address**

This is the address that will appear on the public record. This does not have to be the individual’s usual residential address.

If you provide the individual’s residential address here it will appear on the public record.
This page is not shown on the public record

Do not cover this barcode

E5 Individual’s date of birth

Please complete the full date of birth below.

Date of birth d d m m y y y y

E6 Individual’s usual residential address

Please complete the individual’s usual residential address below.

Building name/number

Street

Post town

County/Region

Postcode

Country

Section 790ZF exemption

Only tick the box below if the individual is in the process of applying for, or has been granted, exemption by the Registrar from disclosing his or her usual residential address to credit reference agencies under section 790ZF of the Companies Act 2006.

Different postal address:

If the individual is applying for, or has been granted, a section 790ZF exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where the individual is applying for a section 790ZF exemption with this form, the application and this form must be posted together.
## E7 Nature of control for an individual

Please indicate how the individual is a person with significant control over the company.

### Ownership of shares
The individual holds, directly or indirectly, the following percentage of shares in the company (tick only one):
- [ ] more than 25% but not more than 50%
- [ ] more than 50% but less than 75%
- [ ] 75% or more

### Ownership of voting rights
The individual holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):
- [ ] more than 25% but not more than 50%
- [ ] more than 50% but less than 75%
- [ ] 75% or more

### Ownership of right to appoint/remove directors
- [ ] The individual holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company.

### Significant influence or control (Only tick if none of the above apply)
- [ ] The individual has the right to exercise, or actually exercises, significant influence or control over the company.

## E8 Nature of control by a firm over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

- [ ] the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - [ ] more than 25% but not more than 50%
  - [ ] more than 50% but less than 75%
  - [ ] 75% or more

- [ ] the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - [ ] more than 25% but not more than 50%
  - [ ] more than 50% but less than 75%
  - [ ] 75% or more

- [ ] the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company.

- [ ] the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company.
Nature of control by a trust over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

Tick each that apply.
**E3** Individual’s details

Use sections E3-E9 as appropriate to tell us about individuals with significant control who are registrable persons and the nature of their control in relation to the company.

<table>
<thead>
<tr>
<th>Title*</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Full forename(s)</td>
<td></td>
</tr>
<tr>
<td>Surname</td>
<td></td>
</tr>
<tr>
<td>Country/State of residence*</td>
<td></td>
</tr>
<tr>
<td>Nationality</td>
<td></td>
</tr>
<tr>
<td>Month/year of birth</td>
<td>X X m m y y y</td>
</tr>
</tbody>
</table>

**E4** Individual’s service address

Please complete the individual’s service address below. You must also complete the individual’s usual residential address in Section E6.

| Building name/number |  |
| Street |  |
| Post town |  |
| County/Region |  |
| Postcode |  |
| Country |  |
This page is not shown on the public record

Date of birth
Please give the full date of birth.

Individual’s date of birth

Please complete the full date of birth below.

Individual’s usual residential address

Please complete the individual’s usual residential address below.

Building name/number

Street

Post town

County/Region

Postcode

Country

Section 790ZF of Companies Act 2006

Section 790ZF exemption

Only tick the box below if the individual is in the process of applying for, or has been granted, exemption by the Registrar from disclosing his or her usual residential address to credit reference agencies under section 790ZF of the Companies Act 2006.

Different postal address:
If the individual is applying for, or has been granted, a section 790ZF exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where the individual is applying for a section 790ZF exemption with this form, the application and this form must be posted together.
### E7

#### Nature of control for an individual

Please indicate how the individual is a person with significant control over the company.

**Ownership of shares**
The individual holds, directly or indirectly, the following percentage of shares in the company (tick only one):
- more than 25% but not more than 50%
- more than 50% but less than 75%
- 75% or more

**Ownership of voting rights**
The individual holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):
- more than 25% but not more than 50%
- more than 50% but less than 75%
- 75% or more

**Ownership of right to appoint/remove directors**
- The individual holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company

**Significant influence or control (Only tick if none of the above apply)**
- The individual has the right to exercise, or actually exercises, significant influence or control over the company.

### E8

#### Nature of control by a firm over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

- the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company.
**Nature of control by a trust over which the individual has significant control**

The individual has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - [ ] more than 25% but not more than 50%
  - [ ] more than 50% but less than 75%
  - [ ] 75% or more

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - [ ] more than 25% but not more than 50%
  - [ ] more than 50% but less than 75%
  - [ ] 75% or more

- [ ] the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- [ ] the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company
### Relevant legal entity (RLE)

<table>
<thead>
<tr>
<th>F1</th>
<th>RLE details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corporate or firm name</td>
<td></td>
</tr>
<tr>
<td>Building name/number</td>
<td></td>
</tr>
<tr>
<td>Street</td>
<td></td>
</tr>
<tr>
<td>Post town</td>
<td></td>
</tr>
<tr>
<td>County/Region</td>
<td></td>
</tr>
<tr>
<td>Postcode</td>
<td>[ ] [ ] [ ] [ ] [ ] [ ]</td>
</tr>
<tr>
<td>Country</td>
<td></td>
</tr>
</tbody>
</table>

### Legal form and governing law

Please give details of the legal form of the RLE and the law by which it is governed. If applicable, please also give details of the register of companies in which it is entered (including the country/state) and its registration number in that register.

<table>
<thead>
<tr>
<th>F2</th>
<th>Legal form and governing law</th>
</tr>
</thead>
<tbody>
<tr>
<td>Legal form</td>
<td></td>
</tr>
<tr>
<td>Governing law</td>
<td></td>
</tr>
<tr>
<td>If applicable, register in which RLE is entered</td>
<td></td>
</tr>
<tr>
<td>Country/State</td>
<td></td>
</tr>
<tr>
<td>Registration number</td>
<td><strong>Registration number</strong> Where you have provided details of the register (including country/state) where the RLE is registered, you must also provide its number in that register.</td>
</tr>
</tbody>
</table>
### Nature of control for the RLE

Please indicate how the RLE has significant control over the company

<table>
<thead>
<tr>
<th>Ownership of shares</th>
<th>Ownership of voting rights</th>
<th>Ownership of right to appoint/remove directors</th>
<th>Significant influence or control (only tick if none of the above apply)</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ more than 25% but not more than 50%</td>
<td>□ more than 25% but not more than 50%</td>
<td>□ The RLE holds the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company</td>
<td>□ The RLE has the right to exercise, or actually exercises, significant influence or control over the company</td>
</tr>
<tr>
<td>□ more than 50% but less than 75%</td>
<td>□ more than 50% but less than 75%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ 75% or more</td>
<td>□ 75% or more</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Nature of control by a firm over which the RLE has significant control

The RLE has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

- the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - □ more than 25% but not more than 50%
  - □ more than 50% but less than 75%
  - □ 75% or more

- the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - □ more than 25% but not more than 50%
  - □ more than 50% but less than 75%
  - □ 75% or more

- the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company
Nature of control by a trust over which the RLE has significant control

The RLE has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company
Other registrable person (ORP)

<table>
<thead>
<tr>
<th>G1</th>
<th>ORP details</th>
</tr>
</thead>
<tbody>
<tr>
<td>An ‘other registrable person’ is:</td>
<td></td>
</tr>
<tr>
<td>• a corporation sole</td>
<td></td>
</tr>
<tr>
<td>• a government or government department of a country or territory or a part of a country or territory</td>
<td></td>
</tr>
<tr>
<td>• an international organisation whose members include two or more countries or territories (or their governments)</td>
<td></td>
</tr>
<tr>
<td>• a local authority or local government body in the UK or elsewhere</td>
<td></td>
</tr>
</tbody>
</table>

Name of ORP

<table>
<thead>
<tr>
<th>G2</th>
<th>Principal office address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Building name/number</td>
<td></td>
</tr>
<tr>
<td>Street</td>
<td></td>
</tr>
<tr>
<td>Post town</td>
<td></td>
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<tr>
<td>County/Region</td>
<td></td>
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<tr>
<td>Postcode</td>
<td></td>
</tr>
<tr>
<td>Country</td>
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</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>G3</th>
<th>Legal form and governing law</th>
</tr>
</thead>
<tbody>
<tr>
<td>Legal form</td>
<td></td>
</tr>
<tr>
<td>Governing law</td>
<td></td>
</tr>
</tbody>
</table>
G4

Nature of control

Please show how the ORP has significant control over the company

Ownership of shares

The ORP holds, directly or indirectly, the following percentage of shares in the company (tick only one):

- [ ] more than 25% but not more than 50%
- [ ] more than 50% but less than 75%
- [ ] 75% or more

Ownership of voting rights

The ORP holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- [ ] more than 25% but not more than 50%
- [ ] more than 50% but less than 75%
- [ ] 75% or more

Ownership of right to appoint/remove directors

- [ ] The ORP holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company

Significant influence or control (Only tick if none of the above apply)

- [ ] The ORP has the right to exercise, or actually exercises, significant influence or control over the company.

G5

Nature of control by a firm over which the ORP has significant control

The ORP has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

- [ ] the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - [ ] more than 25% but not more than 50%
  - [ ] more than 50% but less than 75%
  - [ ] 75% or more

- [ ] the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - [ ] more than 25% but not more than 50%
  - [ ] more than 50% but less than 75%
  - [ ] 75% or more

- [ ] the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- [ ] the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company
Nature of control by a trust over which the ORP has significant control

The ORP has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
- more than 25% but not more than 50%
- more than 50% but less than 75%
- 75% or more

the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
- more than 25% but not more than 50%
- more than 50% but less than 75%
- 75% or more

the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company
**Part 5  Statements**

<table>
<thead>
<tr>
<th><strong>H1</strong> Employee Involvement Statement A</th>
</tr>
</thead>
<tbody>
<tr>
<td>Please complete statement A or B, as appropriate.</td>
</tr>
</tbody>
</table>

**Statement A**

(To be signed on behalf of the Special Negotiating Body (SNB) and by a proposed member of the management or administrative organ of the proposed subsidiary SE).

We, being an authorised member of the SNB and a proposed member of the management or administrative organ of the proposed SE confirm that:

a. There are no outstanding disputes concerning employee involvement under the Great Britain Regulations or, where applicable, the Northern Ireland Regulations, or any equivalent legislation of any other relevant Member State implementing the EC Directive; and

b. Where applicable, the relevant entities have fulfilled their obligations in accordance with the Great Britain Regulations or the Northern Ireland Regulations as appropriate, and that:

- an employee involvement agreement has been reached in accordance with regulation 15 of the Great Britain Regulations or, as the case may be, regulation 15 of the Northern Ireland Regulations;
- the special negotiating body established under regulations 8 to 41 of the Great Britain Regulations or, as the case may be, regulations 8 to 39 of the Northern Ireland Regulations has, taken the decision in accordance with regulation 17 of the Great Britain Regulations or, as the case may be, regulation 17 of the Northern Ireland Regulations, not to open, or to terminate, the negotiations but instead to rely upon national rules for information and consultation; or
- it has been agreed to apply the standard rules on employee involvement in accordance with regulation 19 of the Great Britain Regulations or, as the case may be, regulation 19 of the Northern Ireland Regulations.

<table>
<thead>
<tr>
<th>Signature of authorised member of SNB</th>
<th>Signature</th>
</tr>
</thead>
<tbody>
<tr>
<td>[X]</td>
<td>[X]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name</th>
<th>d</th>
<th>m</th>
<th>y</th>
<th>y</th>
<th>y</th>
<th>y</th>
</tr>
</thead>
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<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Signature of proposed member of SE</th>
<th>Signature</th>
</tr>
</thead>
<tbody>
<tr>
<td>[X]</td>
<td>[X]</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Name</th>
<th>d</th>
<th>m</th>
<th>y</th>
<th>y</th>
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<table>
<thead>
<tr>
<th>Date</th>
<th>d</th>
<th>m</th>
<th>y</th>
<th>y</th>
<th>y</th>
<th>y</th>
</tr>
</thead>
<tbody>
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<td></td>
</tr>
</tbody>
</table>

This must be signed by a proposed member of the management or administrative organ of the proposed SE named in Part 1.
**Employee Involvement Statement B**

(To be signed by a proposed member of the management or administrative organ of the proposed SE)

On behalf of the promoting SE named in Part 1 of this form, I confirm that:

a. there are no outstanding disputes concerning employee involvement under the Great Britain Regulations, Northern Ireland Regulations, or any equivalent legislation of any other relevant Member State implementing the EC Directive;

b. the relevant companies or SEs have fulfilled their obligations under these regulations;

c. no employee involvement agreement has been reached in the timeframe specified in regulation 14 of the Great Britain Regulations, or as the case may be, regulation 14 of the Northern Ireland Regulations, and no decision has been taken in accordance with regulation 17 of the Great Britain Regulations or, as in the case be, regulation 17 of the Northern Ireland Regulations, not to open, or to terminate, negotiations; and

d. that the standard rules on employee involvement in accordance with regulation 19 of the Great Britain Regulations or as the case may be, regulation 19 of the Northern Ireland Regulations, will therefore apply.

**Statement of particulars of an individual PSC**

Please tick the box to confirm.

☐ The proposed member(s) of the management or administrative organ of the proposed SE confirm that each person named in this application as an individual PSC knows that their particulars are being supplied as part of this application.

**Statement of compliance**

I am signing this form on behalf of the SE and confirm that all the requirements of these Regulations and the EC Regulations in respect of such formation, transformation or transfer (including as to registration) have been complied with.

This form may be signed by:

A proposed member of the management or administrative organ of the proposed SE named in Part 1.
Formation of holding Societas Europaea (SE)

Where to send
You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House, Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House, Fourth floor, Edinburgh Quay 2, 139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1 or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House, Second Floor, The Linenhall, 32-38 Linenhall Street, Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.

Section 243 or Section 790ZF exemption
If you are applying for, or have been granted, a section 243 or 790ZF exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Important information
Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses and day of birth.

How to pay
A fee of £20 is payable to Companies House in respect of a formation.
Make cheques or postal orders payable to ‘Companies House.’

Where to send
You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For SEs registered in England and Wales:
The Registrar of Companies, Companies House, Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For SEs registered in Scotland:
The Registrar of Companies, Companies House, Fourth floor, Edinburgh Quay 2, 139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1 or LP - 4 Edinburgh 2 (Legal Post).

For SEs registered in Northern Ireland:
The Registrar of Companies, Companies House, Second Floor, The Linenhall, 32-38 Linenhall Street, Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.

Section 243 or Section 790ZF exemption
If you are applying for, or have been granted, a section 243 or 790ZF exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Further information
For further information, please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse
A4  
Detail of promoting companies or SEs

<table>
<thead>
<tr>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Registered number (if applicable)</td>
</tr>
<tr>
<td>Name</td>
</tr>
<tr>
<td>Registered office address</td>
</tr>
<tr>
<td>Postcode</td>
</tr>
<tr>
<td>Name of Member</td>
</tr>
<tr>
<td>State and address of registry where documents are filed</td>
</tr>
</tbody>
</table>

**Draft terms details**

Please give the date for the promoting company/SE that the draft terms of formation were publicised.

Draft terms date  

Please give the date that the report was drawn up on.

Drawing up date  

Please give particulars of who drew the report.

Name/particulars

Please give the date for the promoting company/SE that the draft terms were approved.

Approved date

The general meeting(s) of the promoting company(ies) and/or SE(s) (Please tick the appropriate box):

- [ ] Did not reserve the right to make the registration of the proposed SE conditional upon its or their express ratification of the employee involvement arrangements in accordance with the EC Directive.

- [x] Did make the reservation set out in sub paragraph (i) but ratified the employee involvement arrangements (specifying the dates on which such ratifications occurred).
### Member

#### Member appointments

Please use this section to list all the members of the SE. Please complete Sections C1-C5. For a corporate member, complete Sections D1-D5.

<table>
<thead>
<tr>
<th>Title</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Full forename(s)</td>
<td></td>
</tr>
<tr>
<td>Surname</td>
<td></td>
</tr>
<tr>
<td>Former name(s)</td>
<td></td>
</tr>
<tr>
<td>Country/State of residence</td>
<td></td>
</tr>
<tr>
<td>Nationality</td>
<td></td>
</tr>
<tr>
<td>Month/year of birth</td>
<td>X X m y y y y</td>
</tr>
<tr>
<td>Business occupation (if any)</td>
<td></td>
</tr>
</tbody>
</table>

#### Corporate appointments

For corporate member appointments, please complete Sections D1-D5 instead of Section C.

#### Former name(s)

Please provide any previous names (including maiden or married names) which have been used for business purposes in the last 20 years.

#### Country/State of residence

This is in respect of your usual residential address as stated in Section C5.

#### Month and year of birth

Please provide month and year only.

#### Business occupation

If you have a business occupation, please enter here. If you do not, please leave blank.

#### Additional appointments

If you wish to appoint more than one member, please use the 'Member appointments' continuation page.

### Member’s service address

Building name/number

Street

Post town

County/Region

Postcode

Country

#### Service address

This is the address that will appear on the public record. This does not have to be your usual residential address.

Please state 'The SE’s Registered Office' if your service address will be recorded in the proposed SE’s register of members as the SE’s registered office.

If you provide your residential address here it will appear on the public record.

### Member’s position

The person named consents to act as member of:  

- [ ] the administrative organ of the SE.
- [ ] the supervisory organ of the SE.
- [ ] the management organ of the SE.

Please tick one box.
Formation of holding Societas Europaea (SE)

This page is not shown on the public record

Do not cover this barcode

**Section 243 exemption**

Only tick the box below if you are in the process of applying for, or have been granted, exemption by the Registrar from disclosing your usual residential address to credit reference agencies under section 243 of the Companies Act 2006.

Different postal address:
If you are applying for, or have been granted, a section 243 exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where you are applying for a section 243 exemption with this notice, the application and this form must be posted together.
## Corporate member appointments

<table>
<thead>
<tr>
<th>Name of corporate body or firm</th>
<th>Building name/number</th>
<th>Street</th>
<th>Post town</th>
<th>County/Region</th>
<th>Postcode</th>
<th>Country</th>
</tr>
</thead>
</table>

Please use this section to list all the corporate members of the SE. Please complete Sections D1-D5.

### Location of the registry of the corporate body or firm

Is the corporate member registered within the European Economic Area (EEA)?

- Yes Complete Section D3 only
- No Complete Section D4 only

### EEA companies

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

<table>
<thead>
<tr>
<th>Where the company/firm is registered</th>
<th>Registration number</th>
</tr>
</thead>
</table>

### Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

<table>
<thead>
<tr>
<th>Legal form of the corporate body or firm</th>
<th>Governing law</th>
<th>If applicable, where the company/firm is registered</th>
<th>If applicable, the registration number</th>
</tr>
</thead>
</table>

 Registered or principal address

This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO Box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.

EEA

A full list of countries of the EEA can be found in our guidance: www.gov.uk/companieshouse

This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).

Non-EEA

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
**Formation of holding Societas Europaea (SE)**

**D5 Corporate member’s position**

The person named consents to act as member of:

- [ ] the administrative organ of the SE.
- [ ] the supervisory organ of the SE.
- [ ] the management organ of the SE.

Please tick one box.

### Individual PSC

#### Individual's details

Use sections E3-E9 as appropriate to tell us about individuals with significant control who are registrable persons and the nature of their control in relation to the company.

<table>
<thead>
<tr>
<th>Title*</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Full forename(s)</td>
<td></td>
</tr>
<tr>
<td>Surname</td>
<td></td>
</tr>
<tr>
<td>Country/State of residence*</td>
<td></td>
</tr>
<tr>
<td>Nationality</td>
<td></td>
</tr>
<tr>
<td>Month/year of birth</td>
<td></td>
</tr>
</tbody>
</table>

#### Individual's service address

Please complete the individual’s service address below. You must also complete the individual’s usual residential address in Section E6.

<table>
<thead>
<tr>
<th>Building name/number</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Street</td>
<td></td>
</tr>
<tr>
<td>Post town</td>
<td></td>
</tr>
<tr>
<td>County/Region</td>
<td></td>
</tr>
<tr>
<td>Postcode</td>
<td></td>
</tr>
<tr>
<td>Country</td>
<td></td>
</tr>
</tbody>
</table>
Individual’s date of birth

Please complete the full date of birth below.

Date of birth

Individual’s usual residential address

Please complete the individual’s usual residential address below.

Building name/number

Street

Post town

County/Region

Postcode

Country

Section 790ZF exemption

Only tick the box below if the individual is in the process of applying for, or has been granted, exemption by the Registrar from disclosing his or her usual residential address to credit reference agencies under section 790ZF of the Companies Act 2006.

Different postal address:
If the individual is applying for, or has been granted, a section 790ZF exemption, please post this whole form to the different postal address below:
The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

Where the individual is applying for a section 790ZF exemption with this form, the application and this form must be posted together.
E7

Nature of control for an individual

Please indicate how the individual is a person with significant control over the company

Ownership of shares
The individual holds, directly or indirectly, the following percentage of shares in the company (tick only one):
☐ more than 25% but not more than 50%
☐ more than 50% but less than 75%
☐ 75% or more

Ownership of voting rights
The individual holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):
☐ more than 25% but not more than 50%
☐ more than 50% but less than 75%
☐ 75% or more

Ownership of right to appoint/remove directors
☐ The individual holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company

Significant influence or control (Only tick if none of the above apply)
☐ The individual has the right to exercise, or actually exercises, significant influence or control over the company

E8

Nature of control by a firm over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
☐ more than 25% but not more than 50%
☐ more than 50% but less than 75%
☐ 75% or more

the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
☐ more than 25% but not more than 50%
☐ more than 50% but less than 75%
☐ 75% or more

☐ the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

☐ the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company
Nature of control by a trust over which the individual has significant control

The individual has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company

Tick each that apply.
## Relevant legal entity (RLE)

### F1 RLE details

<table>
<thead>
<tr>
<th>Corporate or firm name</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Building name/number</td>
<td></td>
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<tr>
<td>Street</td>
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<td>Post town</td>
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<tr>
<td>Postcode</td>
<td></td>
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<tr>
<td>Country</td>
<td></td>
</tr>
</tbody>
</table>

### F2 Legal form and governing law

Please give details of the legal form of the RLE and the law by which it is governed. If applicable, please also give details of the register of companies in which it is entered (including the country/state) and its registration number in that register.

<table>
<thead>
<tr>
<th>Legal form</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Governing law</td>
<td></td>
</tr>
<tr>
<td>If applicable, register in which RLE is entered</td>
<td></td>
</tr>
<tr>
<td>Country/State</td>
<td></td>
</tr>
</tbody>
</table>

Registration number

Where you have provided details of the register (including country/state) where the RLE is registered, you must also provide its number in that register.
### F3  
**Nature of control for the RLE**

Please indicate how the RLE has significant control over the company

**Ownership of shares**
The RLE holds, directly or indirectly, the following percentage of shares in the company (tick only one):

- [ ] more than 25% but not more than 50%
- [ ] more than 50% but less than 75%
- [ ] 75% or more

**Ownership of voting rights**
The RLE holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):

- [ ] more than 25% but not more than 50%
- [ ] more than 50% but less than 75%
- [ ] 75% or more

**Ownership of right to appoint/remove directors**
- [ ] The RLE holds the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

**Significant influence or control (only tick if none of the above apply)**
- [ ] The RLE has the right to exercise, or actually exercises, significant influence or control over the company

### F4  
**Nature of control by a firm over which the RLE has significant control**

The RLE has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

- the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - [ ] more than 25% but not more than 50%
  - [ ] more than 50% but less than 75%
  - [ ] 75% or more

- the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company
Nature of control by a trust over which the RLE has significant control

Tick each that apply.

The RLE has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - [ ] more than 25% but not more than 50%
  - [ ] more than 50% but less than 75%
  - [ ] 75% or more

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - [ ] more than 25% but not more than 50%
  - [ ] more than 50% but less than 75%
  - [ ] 75% or more

- the trustees of that trust (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company

- the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company
### Other registrable person (ORP)

#### G1 ORP details

An ‘other registrable person’ is:
- a corporation sole
- a government or government department of a country or territory or a part of a country or territory
- an international organisation whose members include two or more countries or territories (or their governments)
- a local authority or local government body in the UK or elsewhere

<table>
<thead>
<tr>
<th>Name of registerable person</th>
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</thead>
<tbody>
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</table>

#### G2 Principal office address

<table>
<thead>
<tr>
<th>Building name/number</th>
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<th>Street</th>
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#### G3 Legal form and governing law

<table>
<thead>
<tr>
<th>Legal form</th>
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</table>

<table>
<thead>
<tr>
<th>Governing law</th>
</tr>
</thead>
</table>
Nature of control

Please show how the ORP has significant control over the company.

Ownership of shares
- The ORP holds, directly or indirectly, the following percentage of shares in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

Ownership of voting rights
- The ORP holds, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

Ownership of right to appoint/remove directors
- The ORP holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the company.

Significant influence or control (Only tick if none of the above apply)
- The ORP has the right to exercise, or actually exercises, significant influence or control over the company.

Nature of control by a firm over which the ORP has significant control

The ORP has the right to exercise or actually exercises significant influence or control over the activities of a firm that is not a legal person under its governing law, and:

- the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the members of that firm (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the members of that firm (in their capacity as such) hold the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company.

- the members of that firm (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company.
Nature of control by a trust over which the ORP has significant control

Tick each that apply.

The ORP has the right to exercise or actually exercises significant influence or control over the activities of a trust and:

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of shares in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the trustees of that trust (in their capacity as such) hold, directly or indirectly, the following percentage of voting rights in the company (tick only one):
  - more than 25% but not more than 50%
  - more than 50% but less than 75%
  - 75% or more

- the trustees of that trust (in their capacity as such) have the right to appoint or remove a majority of the board of directors of the company

- the trustees of that trust (in their capacity as such) have the right to exercise, or actually exercise, significant influence or control over the company