About this document
This document provides a range of information on the quality of the key stage 4 attainment data and the methodology used to produce it. It is based on the Office for National Statistics’ guidelines for measuring statistical quality. It provides an overview of the data used in the production of the key stage 4 statistical first releases (SFRs) and the secondary school performance tables. It describes the methodology used to calculate pupil attainment in these publications. It provides information on the data sources, their coverage, their quality and how the data is validated and processed.

Contents
1. Introduction 2
   Changes from the 2013/14 release 2
2. About the output 4
   Data sources 4
   Relevance 4
   Timeliness and punctuality 6
3. How the output is created 7
   Derived variables 7
   Data added from other sources 9
4. Accuracy and reliability 12
   Reliability 12
   Data coverage 13
   Measurement error 14
   Validation and quality assurance of source data 15
   Data Processing 15
   Statistical first release production 15
5. Accessibility 16
   Disclosure Control 16
6. Pupil characteristics definitions and historical changes 17
   Disadvantaged pupils 18
   Ethnic Group 19
   First Language 19
   Special Educational Needs 20
7. Income Deprivation Affecting Children Index (IDACI) 22
8. Geography 22
   Local authority 22
   School location and pupil residency 23
   Rurality 23
9. Coherence and comparability 24
10. Get in touch 27
    Annex A: Timeline of changes in key stage 4 attainment 28
1. Introduction

This quality and methodology document provides an overview of the key stage 4 attainment data used in the production of the key stage 4 statistical first releases (SFRs) and the secondary school performance tables. It provides information on the methodology used to calculate pupil attainment, as well as information on the data sources, their coverage, their quality and how the data is validated and processed.

This document is based on the Office for National Statistics’ guidelines for measuring statistical quality.

Changes from the 2013/14 release

Extension of the reforms implemented in 2013/14

In 2013/14, two major reforms were implemented which affected the calculation of key stage 4 (KS4) performance measures data:

1. Professor Alison Wolf’s Review of Vocational Education recommendations which:
   a. restrict the qualifications counted
   b. prevent any qualification from counting as larger than one GCSE
   c. cap the number of non-GCSEs included in performance measures at two per pupil

2. An early entry policy to only count a pupil’s first attempt at a qualification. In 2013/14, early entry policy only applied to English Baccalaureate subjects.

The 2015 secondary school performance measures will continue to be calculated on the same basis, with the addition that the early entry policy now covers all subject areas instead of only those that count in the English Baccalaureate.

Further information on the reforms can be found in the 2013/14 key stage 4 SFR.

New accountability measures

A new secondary school accountability system will be implemented from 2016. It will include two new headline measures: Attainment 8 and Progress 8. Schools had the option to adopt the new performance measures a year early in 2015: Attainment 8 and Progress 8 results for these schools are published in the 2015 revised performance tables and SFR. From 2016, the headline indicator of school performance determining the floor standard will be Progress 8.

Progress 8 aims to capture the progress a pupil makes from the end of primary school to the end of secondary school. It is a type of value added measure, which means that pupils’ results are compared to the actual achievements of other pupils with the same prior attainment. As Progress 8 is a relative measure, the average Progress 8 score for all pupils nationally is zero, and it is therefore not published in the key stage 4 SFR.

Attainment 8 measures the achievement of a pupils across 8 subjects including maths (double weighted) and English (double weighted), 3 further qualifications that count in the English Baccalaureate (EBacc) measure and 3 further qualifications that can be GCSE qualifications (including EBacc subjects) or any other non-GCSE qualifications on the DfE approved list.

Detailed guidance on the new Progress 8 and Attainment 8 measures can be found in the Progress 8 guidance alongside details of how the floor standards apply to schools that have opted in. A list of schools that have opted in early to the Progress 8 measure can be found here.
The full range of performance measures based on the current accountability system continue to be published for all schools in the 2015 performance tables, including those that have opted into the Progress 8 system early.

For those schools that did not opt in to Progress 8 in 2015, their Progress 8 results will be published for the first time in 2016.

**Use of key stage 2 data**

**Key stage 2 boycott**

In 2010, around 25 per cent of state-funded primary schools boycotted the key stage 2 national curriculum tests. As a result, key stage 2 test results are not available for those pupils who reached the end of key stage 4 in 2014/15 and previously attended a school that took part in the 2010 boycott.

For pupils with missing key stage 2 test data, any secondary performance measures that take prior attainment into account will be based on key stage 2 teacher assessment results instead. This includes measures such as expected progress, best 8 value added and attainment for low, middle and high prior attainers. Using teacher assessment for pupils in place of missing key stage 2 test results is standard practice for secondary performance measures.

To help our users understand where the 2010 boycott has resulted in a high proportion of pupils with missing test results in schools’ cohorts, the 2015 performance tables will include an indicator that shows where over 50 per cent of a school’s pupils do not have key stage 2 tests results, for either English or maths, due to the boycott.

**Single Level Tests (SLTs)**

Between 2007 and 2010, 225 primary schools across 10 local authorities (LAs) in England participated in a pilot of Single Level Tests (SLTs) at key stage 2. Test results for SLTs in maths contributed to the performance tables in 2010 and these pupils have now reached the end of key stage 4; therefore, a small proportion of key stage 2 test results used in progress measures in 2014/15 may also include the results of maths SLTs in place of national curriculum tests. An evaluation of the pilot can be found here: [Evaluation of the single level test (SLT) pilot: final report](#).

**Publication of provisional data at school level in the performance tables**

Provisional 2014/15 school level results for state-funded schools were published on 15 October 2015 in the performance tables, in advance of the 31 October deadline for secondary school admission applications so that parents could use the latest performance data to inform their choice of secondary school for 2016/17.

The decision to publish the provisional results at school level, for state-funded schools only, was based on analysis of previous years’ data which showed that: 1) provisional data is an accurate reflection of revised data for the vast majority of secondary schools; and 2) provisional data provides a closer guide to latest school performance than the previous year’s final published results. This is explained in more detail in section 4 of this document: [reliability](#). Provisional results were not released for independent schools because the level of change between provisional and revised data is higher.
2. About the output

National and local authority (LA) information on key stage 4 attainment of secondary school pupils in England is published in the following SFRs:

**GCSE and equivalent results: SFR series**

**2015**
- GCSE and equivalent results: England - 2014 to 2015 (provisional)
- GCSE and equivalent results: England - 2014 to 2015 (revised)

**2014**
- Provisional GCSE and equivalent results in England: 2013 to 2014
- Revised GCSE and equivalent results in England: 2013 to 2014
- Revised GCSE and equivalent attainment by pupil characteristics: 2014

School level information for the end of key stage 4 is published in the secondary school performance tables.

National curriculum standards have been designed so that most pupils will progress by approximately one level every two years. For key stage 4 we assess the expected level of progress a pupil makes in English and in maths. This means that pupils who achieved level 4 at the end of key stage 2 would be expected to achieve grade A*-C by the end of key stage 4.

All children in their final year of a key stage must be assessed. Most of the children will be in the year group with similarly aged pupils. Pupils reaching the end of key stage 4 are typically those pupils starting the academic year aged 15.

**Data sources**

The key stage 4 datasets are compiled using information matched together from three data sources:

i. prior attainment records (key stage 2 results)
ii. school census records
iii. qualification entries and results collected from awarding bodies

Attainment data for all pupils at the end of key stage 4 is collected from the awarding bodies by the department’s contractor.

**Relevance**

*This section describes the degree to which the statistics meet current and potential needs of the users.*

The key strength of the data is that it is derived from an administrative data collection. This means that it can supply accurate data down to small geographical areas (school level). In addition, the data is merged with other administrative data held by the department (the school census) to provide detailed information on sub-groups of the school population. Pupil level data from different key stages can also be merged together so that we can produce precise measures of pupil progress between one key stage and another.
<table>
<thead>
<tr>
<th>Key users</th>
<th>Use</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Department for Education</strong></td>
<td>Used to monitor national standards of key stage 4 attainment in secondary schools in England. The national figures are used to determine whether standards are improving or declining. Data for sub-groups of the population are also analysed to inform departmental policies aimed at closing gaps in attainment. School level figures are used to identify schools where intervention may be needed to bring standards up to an acceptable level.</td>
</tr>
<tr>
<td><strong>Ofsted</strong></td>
<td>Used as part of the background information to inform school inspections. For example, it enables inspectors to identify areas of the curriculum or groups of pupils where a school appears to be doing less well. This would then form part of the focus during the inspection.</td>
</tr>
<tr>
<td><strong>Ofqual</strong></td>
<td>Used as part of the evidence it reviews on the setting and maintenance of standards in key stage 4 examinations.</td>
</tr>
<tr>
<td><strong>Local authorities</strong></td>
<td>National data and that for other LAs is used to set the attainment of their area into context. School level information is used to hold maintained schools to account.</td>
</tr>
<tr>
<td><strong>Schools</strong></td>
<td>Used to evaluate their own performance against national standards and other local schools. Used to identify high performing schools with similar circumstances to their own that they can work with to improve their own standards.</td>
</tr>
<tr>
<td><strong>School governors</strong></td>
<td>Used to hold schools to account, to identify strengths and weaknesses and to support school improvement.</td>
</tr>
<tr>
<td><strong>Teachers and head teachers</strong></td>
<td>Used for analysis and self-evaluation, and to aid planning of school improvement strategies. Used to inform decisions about whether to apply for vacancies in particular schools and also as background information when preparing for interviews.</td>
</tr>
<tr>
<td><strong>Parents</strong></td>
<td>Used to compare their own children’s performance to others in their school, LA and nationally. Used to hold their children’s schools to account and identify areas where they feel the school needs to improve. Used to inform choice of a secondary school for their child. Performance of schools in the local area is also a key consideration for parents and prospective parents when moving house.</td>
</tr>
<tr>
<td><strong>Others</strong></td>
<td>Used by researchers from this country and abroad. Used by others to identify schools with particular levels of attainment that they may wish to market their services to.</td>
</tr>
</tbody>
</table>
**Timeliness and punctuality**

The timeline of the key stage 4 data cycle is as follows:

<table>
<thead>
<tr>
<th>Month</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>May and June</strong></td>
<td>Main GCSE examinations taken</td>
</tr>
<tr>
<td><strong>August</strong></td>
<td>The Joint Council for Qualifications (JCQ) collects results data from the awarding bodies</td>
</tr>
<tr>
<td></td>
<td>JCQ publish GCSE results in the third week in August</td>
</tr>
<tr>
<td></td>
<td>The department’s contractor receives results from the awarding bodies (data is collected throughout the year but the majority is collected in the summer)</td>
</tr>
<tr>
<td><strong>September</strong></td>
<td>Department receives provisional data from the contractor</td>
</tr>
<tr>
<td></td>
<td>Secondary schools performance tables checking exercise</td>
</tr>
<tr>
<td><strong>October to December</strong></td>
<td>Provisional SFR published in October (15 October in 2015 – around six weeks after the department receives the provisional data from the contractor). School level results based on provisional data were published in the performance tables for the first time in October 2015 for key performance measures.</td>
</tr>
<tr>
<td></td>
<td>Scrutiny of amendment requests following checking exercise</td>
</tr>
<tr>
<td></td>
<td>Department receives three sets of revised data during November and December</td>
</tr>
<tr>
<td><strong>January</strong></td>
<td>Revised SFR published alongside the secondary school performance tables (21 January in 2016). Figures are also broken down by pupil characteristics. The performance tables include full breakdowns of school level data.</td>
</tr>
<tr>
<td><strong>February</strong></td>
<td>Post errata period commences for schools to request additional amendments to data</td>
</tr>
<tr>
<td><strong>March</strong></td>
<td>Department receives final data from the contractor and updates the performance tables</td>
</tr>
</tbody>
</table>

The proposed month of publication is announced on [gov.uk](http://gov.uk) at least twelve months in advance and precise dates are announced in the same place at least four weeks prior to publication. In the unlikely event of a change to the pre-announced release schedule, the change and the reasons for it would be announced.
3. How the output is created

Data on pupils’ attainment is collected from awarding bodies via the department’s contractor. This information is linked with information on pupil characteristics taken from the school census and information on pupils’ prior attainment. This data is used to produce the provisional SFR.

The data is checked with schools during the performance tables checking exercise. The revised pupil attainment data is then linked again with information on pupil characteristics and prior attainment to produce the revised/final datasets and all derived variables recalculated. This data is published in the revised SFR and the performance tables. Following publication of the performance tables, there is a short errata process where schools can inform us of any additional changes that should be made to the data. Following this process, the performance tables data is finalised and the performance tables website updated. The final data is used to update the time series in the following year’s SFR.

Derived variables

A large number of variables are derived for publication in the key stage 4 SFRs and in the secondary school performance tables. The performance measures contained within the SFR are based on the same rules used to produce the measures shown in the secondary school performance tables.

The metadata section of the performance tables website will be updated in January 2016 and will be available via the following link: Performance tables metadata. A full list of the derived variables that were published in the 2015 provisional performance tables can be found in the 2015 performance tables statement of intent.

The table below summarises key variables used in the publications:

<table>
<thead>
<tr>
<th>Total pupils</th>
<th>Number of pupils at the end of key stage 4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eligible pupils</td>
<td>Used to identify the pupils to be included in school, LA and national level calculations</td>
</tr>
<tr>
<td>5+ A*-C grades</td>
<td>Number/percentage of pupils achieving 5 or more GCSEs or equivalent at grades A*-C</td>
</tr>
<tr>
<td>5+ A*-C grades (including English and maths)</td>
<td>Number/percentage of pupils achieving 5 or more GCSEs or equivalent at grades A*-C including English and maths GCSEs</td>
</tr>
<tr>
<td>Pupils making expected levels of progress in English or in maths</td>
<td>Pupils included are those at the end of key stage 4 with valid key stage 2 test results or teacher assessments. The measure of expected progress is built on the principle that pupils achieving a level 4 in English or in maths by the end of key stage 2 should be expected to achieve at least a C grade at GCSE in that subject. Further guidance can be found at: Progress measures guidance</td>
</tr>
</tbody>
</table>

Further guidance can be found at: Progress measures guidance
**English Baccalaureate (EBacc)**

Pupils entering and achieving a core of selected academic subjects which are English, maths, sciences, a humanities subject (history or geography) and a language.

A list qualifications included in the English Baccalaureate is available at [EBacc List](#).

**Low/middle/high prior attainment**

Pupils are grouped based on their performance at key stage 2. In 2015, performance is averaged across qualifying outcomes in English and maths tests or teacher assessments. Prior to 2015, performance was averaged across qualifying outcomes in English, maths and science. Indicators are shown for:

- **Low attainers** = those below the expected level (level 4) at key stage 2
- **Middle attainers** = those at the expected level (level 4) at key stage 2
- **High attainers** = those above the expected level (level 4) at key stage 2

Further guidance can be found on: [Defining high, middle and low attainers](#)

**Average point score (APS)**

The key stage 4 average point scores awarded for GCSEs are set out in the table below:

<table>
<thead>
<tr>
<th>Grade</th>
<th>Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>A*</td>
<td>58</td>
</tr>
<tr>
<td>A</td>
<td>52</td>
</tr>
<tr>
<td>B</td>
<td>46</td>
</tr>
<tr>
<td>C</td>
<td>40</td>
</tr>
<tr>
<td>D</td>
<td>34</td>
</tr>
<tr>
<td>E</td>
<td>28</td>
</tr>
<tr>
<td>F</td>
<td>22</td>
</tr>
<tr>
<td>G</td>
<td>16</td>
</tr>
<tr>
<td>Ungraded</td>
<td>0</td>
</tr>
</tbody>
</table>

Point score allocations for all key stage 4 approved qualifications can be found here: [Point score allocation](#)

The capped point score is based on a pupil's best 8 GCSE or equivalent results
Progress 8

A new accountability system, to be implemented from 2016. Schools have had the option to adopt the new performance measures a year early in 2015.

Progress 8 aims to capture the progress a pupil makes from the end of primary school to the end of secondary school. It is a type of value added measure, which means that pupils’ results are compared to the actual achievements of other pupils with the same prior attainment.

Further guidance can be found in the Progress 8 guidance.

Attainment 8

Attainment 8 scores form the basis of Progress 8. It measures the achievement of a pupil across 8 subjects including maths (double weighted) and English (double weighted), 3 further qualifications that count in the English Baccalaureate (EBacc) measure and 3 further qualifications that can be GCSE qualifications (including EBacc subjects) or any other non-GCSE qualifications on the DfE approved list.

To calculate a pupil’s Progress 8 score, their Attainment 8 score is compared with the average Attainment 8 score of all pupils nationally who had a similar starting point (or ‘prior attainment’).

Data added from other sources

School census

The pupil level characteristic information within this SFR is derived from school census returns made by state-funded schools during a single selected period of the school day and provided to the department in January each year. It is a statutory requirement for schools under section 537A of The Education Act 1996 to provide a school census return to the department. School census information has been published, and detailed information on the coding of ethnicity, free school meal eligibility, English as a first language and special educational needs can be found in the technical notes of SFRs ‘Schools, Pupils and their Characteristics’ and ‘Special Educational Needs in England’.

The school census covers all maintained schools which include nursery schools, primary schools, secondary schools, special schools including hospital schools, pupil referral units, community, foundation, voluntary-aided and voluntary-controlled schools, academies and non-maintained special schools. Service children’s education schools take part in the census on a voluntary basis. Further education colleges, independent schools and home-schooled pupils do not submit census returns. The census is representative of all pupils in state-funded schools who took assessments at the relevant key stage.

The school census relies on information being submitted by each school. This may produce measurement error. Schools collect certain information from parents including ethnicity and FSM eligibility, which they might not always be able or willing to provide. The school is also required to classify pupils such as for SEN requirements. For information on measurement error in the school census please refer to the School Census business and technical specifications.

The school census data goes through various levels of checking. Schools input the data into a Management Information System (MIS). The MIS software has built in validation ensuring data is inputted in a consistent format. The validated school census returns are submitted to the department via the data collection system COLLECT. Validation software routines, including checks against previous terms’ returns
to ensure historic consistency, are used by the department before the return is finalised and authorised. Queries flagged by the validation checks are referred back to the LA/school to be resolved. Occasionally, a pupil will appear more than once on the school census, for example, due to a change of school or dual registration. Rules for deriving the main school census record have been agreed to eliminate these duplicates. Information on the validations carried out can be found in the School Census business and technical specifications.

School Admission Basis

The school admission basis is taken from Edubase and is given as at 11 September at the start of the academic year. They are defined as follows:

<table>
<thead>
<tr>
<th>Comprehensive schools</th>
<th>Takes all pupils, usually regardless of their ability, aptitude or whether they have been selected for a place at a selective school</th>
</tr>
</thead>
<tbody>
<tr>
<td>Modern schools</td>
<td>Takes pupils regardless of their ability or aptitude, including those who have not been selected for a place at a local selective school</td>
</tr>
<tr>
<td>Selective schools</td>
<td>Admits pupils wholly or mainly with reference to ability. These schools were formally designated as grammar schools</td>
</tr>
</tbody>
</table>

School Type and groupings

The school types are taken from Edubase and are given as at 11 September at the start of the academic year. They are defined as follows:

<table>
<thead>
<tr>
<th>School types</th>
</tr>
</thead>
<tbody>
<tr>
<td>Academy sponsor led</td>
</tr>
<tr>
<td>Sponsored academies are all-ability, state-funded schools established and managed by sponsors from a wide range of backgrounds, including high performing schools and colleges, universities, individual philanthropists, businesses, the voluntary sector, and faith communities</td>
</tr>
<tr>
<td>Academy converter</td>
</tr>
<tr>
<td>Schools that have chosen through Governing Body Resolution and application to the Secretary of State to become an academy under the Academies Act 2010</td>
</tr>
<tr>
<td>Free school</td>
</tr>
<tr>
<td>Free schools are all-ability state-funded schools set up in response to what local people say they want and need in order to improve education for children in their community. They have the same legal requirements as academies and enjoy the same freedoms and flexibilities</td>
</tr>
<tr>
<td>University technical college (UTC)</td>
</tr>
<tr>
<td>UTCs are all-ability and mixed sex state-funded schools, independent of LAs. They are not extensions of or conversions from existing provision, but new 14-19 academies, typically with 500-800 pupils. UTCs specialise in subjects that need modern, technical, industry-standard equipment, such as engineering and construction, and teach these disciplines alongside business skills and a broad, general education. Pupils integrate academic study with practical learning, studying core GCSEs alongside technical qualifications</td>
</tr>
<tr>
<td>Category</td>
</tr>
<tr>
<td>---------------------------------------------</td>
</tr>
<tr>
<td>Studio school</td>
</tr>
<tr>
<td>City technology college (CTC)</td>
</tr>
<tr>
<td>Further education college with provision for 14 to 16 year olds</td>
</tr>
<tr>
<td>Pupil referral unit (PRU)</td>
</tr>
<tr>
<td>Alternative Provision (AP)</td>
</tr>
<tr>
<td>Non-maintained special schools</td>
</tr>
<tr>
<td>Independent school</td>
</tr>
<tr>
<td>Independent special schools</td>
</tr>
<tr>
<td>State-funded mainstream schools</td>
</tr>
<tr>
<td>LA maintained school</td>
</tr>
<tr>
<td>State-funded special schools</td>
</tr>
</tbody>
</table>
State-funded school  Includes LA maintained schools, academies, free schools, city technology colleges and state-funded special schools (excluding hospital schools, pupil referral units, alternative provision and independent schools)

Independent special school  Approved by the Secretary of State for Education. They are run on a not-for-profit basis by charitable trusts and normally cater for children with severe and/or low incidence special educational needs. This group includes non-maintained special schools

All independent  Includes independent schools, independent special schools and non-maintained special schools

### 4. Accuracy and reliability

**Reliability**

*The extent to which a figure changes over different versions of the same data.*

The key stage 4 data can change between provisional and revised data as the revised data contains:

- outcomes of the appeals process where schools ask for reviews for one or more of their pupils in the belief that a clerical error has been made or the mark scheme has not been correctly applied
- late results
- changes resulting from requests from schools to remove pupils who have recently arrived from overseas, have been admitted following a permanent exclusion for another school, if the pupil is not at the end of key stage 4, if the pupil has permanently left England, the pupil has left the school before exams or the pupil is deceased

The national figures usually change by no more than plus or minus one percentage point between provisional, revised and final data. Table 1 shows the change in some key figures at national level over the last three years. Changes in the LA and school level figures can be slightly larger. Figures do not typically change at a national level between revised and final data and changes in LA and school level data are small.

**Table 1: Change in national key stage 4 data**

<table>
<thead>
<tr>
<th>All schools</th>
<th>2011/12</th>
<th>2012/13</th>
<th>2013/14</th>
</tr>
</thead>
<tbody>
<tr>
<td>Percentage of pupils achieving 5 or more GCSEs or equivalent at grades A*-C (including English and maths):</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provisional</td>
<td>58.6</td>
<td>58.6</td>
<td>52.6</td>
</tr>
<tr>
<td>Revised</td>
<td>59.4</td>
<td>59.2</td>
<td>53.4</td>
</tr>
<tr>
<td>Final</td>
<td>59.4</td>
<td>59.2</td>
<td>53.4</td>
</tr>
</tbody>
</table>

In October 2015 provisional results were published at school level, for state-funded schools only, so that parents could use the latest performance data to inform their choice of secondary school for 2016/17.

For the vast majority of state-funded schools, provisional data is a reasonably accurate reflection of revised data: in both 2013 and 2014 about 95 per cent of state-funded schools had provisional results within two percentage points of their revised published results.
Provisional results also provide a closer guide to latest school performance, for state-funded schools, than the previous year’s final published results. In both 2013 and 2014, about 1 per cent of state-funded schools had provisional results which were 5 or more percentage points from their final results. In contrast, 50 per cent of state-funded schools had changes of 5 or more percentage points in 2014 compared to their previous year’s results.

Provisional results were not released for independent schools because the level of change is higher: in 2014 23 per cent of independent schools had a change of 3 or more percentage points compared to 5 per cent of state-funded schools. This is mainly because independent schools are more reliant on the September checking exercise for making changes to their pupil cohort.

Data coverage

The target population for the key stage 4 data collection is all pupils who are at the end of key stage 4, typically those pupils starting the academic year aged 15.

We produce a provisional SFR in October based on the provisional data covering headline national and local authority information. In October 2015, provisional school level data was published for the first time for key performance measures. The provisional SFR did not contain any characteristics breakdowns (other than gender) as these are more subject to change, particularly at LA level.

This SFR has now been updated with revised 2015 data alongside publication of the full breakdown of school level information in the performance tables. During the September checking exercise schools are able to apply for pupils to be removed from their figures if they have recently arrived from overseas and their first language is not English. Although these pupils have been removed from the revised school and LA figures, they remain included in the national figures so that these accurately reflect the attainment of all pupils. Other reasons a school may remove pupils are: if a pupil has been admitted following a permanent exclusion from another school; if the pupil is not at the end of key stage 4; if the pupil has permanently left England; if the pupil has left the school before exams; or the pupil is deceased. Schools cannot be accountable for these pupils and therefore we allow the removal of them so they are not included in their performance measures.

Schools cannot remove pupils in certain circumstances, for example: pupil has been excluded from school after the January school census; the pupil left to go to another school in England; or the pupil is a persistent non-attender.

In the revised SFR in January 2015, characteristic breakdowns such as ethnicity, special educational needs and first language have been published alongside the revised national and LA figures in the same SFR. These breakdowns were previously published in a separate SFR.

There are a small number of further changes to the data following publication of the performance tables. The performance tables (national, LA and school level figures) are updated with these changes but the SFR is not updated because the extent of the changes does not usually affect the national or LA figures. However the final data will be used to update any time series in the following year’s statistical first release.
Measurement error

This section describes the administration and marking of key stage 4 examinations, the re-marking process and the regulation of qualifications, all of which can affect the accuracy of key stage 4 attainment measures.

Clear guidance is provided to schools regarding the administration of the GCSE papers, including instructions for keeping the exam materials secure prior to the exams and storage of completed papers until they are collected for marking.

The exam papers are externally marked by the awarding bodies to ensure that marking is consistent between schools. There are no quotas set or underlying assumptions about the proportion of pupils who should be at each grade. Proportions are decided entirely by how pupils’ attainment measures up to the standards of the exams.

After marking, results are returned to schools. If schools have concerns over the marking of an exam paper, they may request for it to be re-marked. Outcomes of appeals are not reflected in the provisional data but are included in the revised data.

Further information on the general regulations for schools and how exams are conducted/marked can be found on the JCQ website:

Joint Council for Qualifications (JCQ) website

Ofqual sets the standards and rules that awarding bodies need to meet when they design, deliver and award regulated qualifications. They monitor awarding bodies and qualifications to make sure that standards are maintained. They are a risk-based regulator and concentrate their resources to where they are most needed. For example, they may discover that an awarding body’s processes need updating or that there are risks connected to a qualification taken by thousands of students every year. Further guidance on the role of Ofqual can be found at:

How Ofqual regulate

As a further check of the accuracy of the underlying data, the key stage 4 data is also collated into school level information and shown to schools, together with the underlying pupil data, during the performance tables checking exercise. Schools are required to check the data and notify the department of any pupils that are included in their school in error, or of any missing pupils. Schools can also notify us of any other errors in the data such as errors in matching prior attainment results. Any changes requested are validated to ensure that they comply with the rules before being accepted. They are also able to apply for pupils to be removed from their figures, if they have recently arrived from overseas and their first language is not English. We allow the removal of these pupils from the school and LA figures as they can have an impact on some schools figures (since some schools have significant numbers of such pupils). However, we continue to include these pupils in the national figures so that they reflect the attainment of all pupils. Schools can also apply for pupils to be removed if a pupil has been admitted following a permanent exclusion from another school, if the pupil is not at the end of key stage 4, if the pupil has permanently left England, the pupil has left the school before exams or the pupil is deceased.

Following the checking exercise the revised data is produced. This includes changes requested by schools during the checking exercise, changes resulting from any successful marking reviews and any late results. The data is then published in the revised key stage 4 SFR and in the secondary school performance tables.
Following publication of the performance tables, some schools notify us of further changes required in the data. These changes are validated in the same way as those which are received during the checking exercise and final data is produced.

**Validation and quality assurance of source data**

Once schools have completed their key stage 4 exams they are required to send their exam materials and attendance registers to the awarding bodies for external marking and data capture.

Key stage 4 results are made available to schools on results day: in 2015 this was 20 August. This data is then passed from the awarding bodies to the department’s contractor for processing and then onto the department for use in the SFRs and performance tables.

**Data Processing**

Key stage 4 data received from awarding bodies are combined with pupil characteristics from the school census and prior attainment by the department’s contractor (more information on the school census can be found in section 3). Records are matched, using identifiers such as surname, forename, date of birth, Unique Pupil Number (UPN), gender and postcode. This successfully matches around 98 per cent of results.

At every stage in the data cycle, the department checks all calculations used in the production of the figures. The department carries out checks on the data to ensure that the files produced by the contractor comply with the specified format and contain the correct information. All indicators at school level, local authority level and national level are re-derived to ensure the contractor’s systems are programmed correctly.

The entire process is subject to a ‘dry run’ during the summer. This involves producing a dummy dataset, from the previous year’s dataset, which conforms to how the current year’s data will be supplied (eg if new qualifications have been introduced, then dummy data would be added to last year’s data to simulate these). This dummy dataset is used to test the contractor’s systems and the department’s checking processes. This allows potential problems to be resolved prior to the receipt of the live data.

**Statistical first release production**

All data in the SFR tables is produced and quality assured by two people. Any discrepancies in the data produced are discussed and resolved prior to publication. Examples of quality assurance are provided in the box below.

---

**Examples of further quality assurance**

- Comparisons with previous year’s figures to identify any large unexpected changes
- Comparisons with provisional data to identify any large changes (revised/final data only)
- Check totals are consistent across tables
- Check patterns in the data (eg expected differences between subjects, high/low performing local authorities)
- Check figures against those produced for the performance tables

---
5. Accessibility

Accessibility is the ease with which users are able to access the data. It also relates to the format(s) in which the data are available and the availability of supporting information.

The SFR text is published in pdf format so that it is accessible to all users irrespective of their choice of software. Care is also taken to ensure that the document meets accessibility guidelines. Key figures are highlighted in the SFR text which draws out the key messages such as changes over time and differences between groups of pupils. Small tables or charts illustrating key figures are also included in the text.

Each SFR is accompanied by formatted Excel tables with clear titles which allow general users to find more detail than can be provided in the SFR text. Any important limitations or inconsistencies in the data are mentioned in footnotes so that users do not have to refer to the text or this document. Where there are large numbers of tables, these are split into manageable sections (eg national tables in one file, LA tables in a separate file) so that users do not need to download larger files than necessary for their needs.

The performance tables website provides a number of ways of searching for schools of interest (eg by name of school, by town, within x miles of a postcode or all schools within a LA) and presents the data in a series of web pages showing different aspects of the data. The selected schools are shown in alphabetical order by default but can be sorted by any measure if the user requires ranked data.

Key stage 4 information also forms part of the national pupil database (NPD) which is an education dataset holding a wide range of information about pupils and students and has provided invaluable evidence on educational performance to inform independent research, as well as studies commissioned by the department. Any user wishing to conduct more detailed research or analysis may request an anonymised pupil level extract of the national pupil database (NPD).

Disclosure Control

The Code of Practice for Official Statistics requires us to take reasonable steps to ensure that our published or disseminated statistics protect confidentiality.

In the statistical first releases, an ‘x’ indicates that a figure has been suppressed due to small numbers. Values of 1 or 2 or a percentage based on 1 or 2 pupils who achieved a particular level are suppressed. Percentages based on 1 or 2 pupils who did not achieve a particular level are suppressed in circumstances where non-suppression would lead to disclosure of pupils. Some additional figures have been suppressed to prevent the possibility of a suppressed figure being revealed. This suppression is consistent with the departmental statistical policy on confidentiality.

In the school level data, any figures relating to a cohort of 5 pupils or fewer will be suppressed. This applies to sub-groups of pupils as well as the whole cohort; for example, if there were 5 boys and 3 girls in a school, we would not publish attainment for boys or girls separately but would publish attainment for all pupils (as this is based on 8 pupils).
6. Pupil characteristics definitions and historical changes

The pupil characteristics reported in the SFR are:

- gender
- free school meal (FSM) eligibility
- disadvantaged pupils
- ethnicity
- first language
- special educational needs (SEN)

**Gender**

The gender of the pupil is recorded as male or female on the school census. In exceptional circumstances a school may be unsure as to which gender should be recorded for a particular pupil. The advice from the department is to record the gender according to the wishes of the pupil and/or parent.

**Free school meals**

**Free School Meals (FSM)** is a binary indicator variable that states whether a pupil's family have claimed eligibility for free school meals as reported at the time of the annual spring school census. Parents are able to claim free school meals if they receive a qualifying benefit.

The FSM variable does not relate to pupils who actually received free school meals but those who are eligible to receive free school meals. Pupils not eligible for free school meals or unclassified pupils are described as ‘All other pupils’ in the SFR.

Please note: FSM information is not available for pupils at the end of key stage 4 who attend further education colleges with provision for 14 to 16 year olds. This is because FE colleges are not required to return the school census. These pupils are omitted from FSM breakdowns and are classed as ‘unclassified’.

The list of qualifying benefits has changed over time, but it is currently:

- Income Support
- Income-based Jobseeker’s Allowance
- Income-related Employment and Support Allowance
- Support under Part VI of the Immigration and Asylum Act 1999
- The Guarantee element of State Pension Credit Child Tax Credit, provided they are not entitled to Working Tax Credit and have an annual gross income that does not exceed £16,190, as assessed by HM Revenue & Customs. where a parent is entitled to the Working Tax Credit run on – the payment someone receives for a further four weeks after they stop qualifying for Working Tax Credit – their children are entitled to free school lunches
- those on Universal Credit
- children who receive an eligible benefit in their own right are also eligible

Free school meals are available to pupils who attend sixth forms attached to a maintained school, as long as the course of study began before the pupil reached age 18. Free school meal eligibility relates to those who meet the eligibility criteria and make a claim.

From September 2009 to July 2011, three local authorities participated in a pilot to provide free school meals to maintained primary school children. Durham and Newham provided universal free school meals to all primary pupils, and Wolverhampton extended the current eligibility criteria to include all families in receipt of Working Tax Credit, for primary and secondary pupils.
For the pilot authorities mentioned above, care should be taken when comparing January 2010 and 2011 free school meal data with previous years’ data and subsequent data. It appears that in 2012, Newham continued providing universal free school meals to all their primary pupils.

In the academic year 2011/12, due to local area free school meal initiatives, there was both an under and an over recording of free school meal eligibility in some local authorities. In total the results from 77 schools were affected by this issue, this includes 70 from Southwark, 4 from Bromley and 1 each from Walsall, Bradford and North Somerset. FSM status has since been corrected for Southwark and therefore final 2012 data reported in this release will differ to provisional 2012 figures. The impact on national figures as a result of these mis-recordings in 2012 is considered negligible. This issue was also apparent in data back to 2008 but the impact on national and local figures for these years is considered negligible and no revisions to FSM status have been made.

**Disadvantaged pupils**

The **disadvantaged pupil** breakdowns presented for years 2012 to 2014 are defined as pupils known to be eligible for FSM in the previous six years as indicated in any termly or annual school census, pupil referral unit (PRU) or alternative provision (AP) census or are looked after children for more than 6 months during the year.

For 2015, in addition to the above, they will include children who have ceased to be looked after by a local authority in England and Wales because of adoption, a special guardianship order, a child arrangements order or a residence order. They will also include pupils who are looked after for at least for one day during the year.

Please note: disadvantage information is not available for pupils at the end of key stage 4 who attend further education colleges with provision for 14 to 16 year olds. This is because FE colleges are not required to return the school census. These pupils are omitted from disadvantage breakdowns and are classed as ‘unclassified’.

Information on children looked after (CLA) is collected in the web-based SSDA903 return by local authorities in England. Information in the CLA database is collected at individual level and since 2005-06 includes the Unique Pupil Number (UPN) field. This data is collected annually between April and June for the previous financial year. Once the data has been collected and checked, an extract is produced which is sent to our matching contractors for linking to the performance tables. The UPN is the main field used for matching purposes but other information about the child is also used such as date of birth, gender, ethnicity and responsible local authority.

Local authorities are required to update the database every year, including making amendments to previous years’ records where there have been changes.

Further information on looked after children and the data items collected in the SSDA903 return can be found in this [looked after children SFR series](#).

Pre 2012 the definition for disadvantaged pupils was any pupil known to be eligible for FSM on census date, or were looked after children for more than 6 months.
Ethnic Group

Ethnicity is broken down into two main variables: a minor grouping variable and a major groupings variable. Those pupils who have been classified according to their ethnic group and are other than white British are defined as minority ethnic.

This census data item is provided for all pupils aged 5 and over as at the previous 31 August. Where the information has not yet been collected then this is recorded as not yet obtained. If a pupil or parent has refused to give the information then refused is recorded and returned.

Ethnicity is a personal awareness of a common cultural identity. Ethnicity relates to how a person feels and not necessarily how they are perceived by others. It is a subjective decision as to which category a person places themselves in and therefore does not infer any other characteristics such as religion, country of origin etc. Ethnicity monitoring advice is available from the Department’s website. Table 1 below outlines the Department’s main ethnicity categories and descriptions:

Table 1: The Department’s main ethnicity categories and descriptions

<table>
<thead>
<tr>
<th>Main category</th>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>White</td>
<td>WBRI</td>
<td>White – British</td>
</tr>
<tr>
<td>White</td>
<td>WIRI</td>
<td>White – Irish</td>
</tr>
<tr>
<td>White</td>
<td>WIRT</td>
<td>Traveller of Irish Heritage</td>
</tr>
<tr>
<td>White</td>
<td>WOTH</td>
<td>Any Other White Background</td>
</tr>
<tr>
<td>White</td>
<td>WROM</td>
<td>Gypsy / Roma</td>
</tr>
<tr>
<td>Mixed</td>
<td>MWBC</td>
<td>White and Black Caribbean</td>
</tr>
<tr>
<td>Mixed</td>
<td>MWBA</td>
<td>White and Black African</td>
</tr>
<tr>
<td>Mixed</td>
<td>MWAS</td>
<td>White and Asian</td>
</tr>
<tr>
<td>Mixed</td>
<td>MOTH</td>
<td>Any Other Mixed Background</td>
</tr>
<tr>
<td>Asian or Asian British</td>
<td>AIND</td>
<td>Indian</td>
</tr>
<tr>
<td>Asian or Asian British</td>
<td>APKN</td>
<td>Pakistani</td>
</tr>
<tr>
<td>Asian or Asian British</td>
<td>ABAN</td>
<td>Bangladeshi</td>
</tr>
<tr>
<td>Asian or Asian British</td>
<td>AOTH</td>
<td>Any Other Asian Background</td>
</tr>
<tr>
<td>Black or Black British</td>
<td>BCRB</td>
<td>Black Caribbean</td>
</tr>
<tr>
<td>Black or Black British</td>
<td>BAFR</td>
<td>Black – African</td>
</tr>
<tr>
<td>Black or Black British</td>
<td>BOTH</td>
<td>Any Other Black Background</td>
</tr>
<tr>
<td>Other Ethnic Groups</td>
<td>CHNE</td>
<td>Chinese</td>
</tr>
<tr>
<td>Other Ethnic Groups</td>
<td>OOTH</td>
<td>Any Other Ethnic Group</td>
</tr>
<tr>
<td>Refused</td>
<td>REFU</td>
<td>Refused</td>
</tr>
<tr>
<td>Information Not Yet Obtained</td>
<td>NOBT</td>
<td>Information Not Yet Obtained</td>
</tr>
</tbody>
</table>

First Language

“First Language” is the language to which a child was initially exposed during early development and continues to be exposed to this language in the home or in the community. It does not mean that pupils are necessarily fluent in a language other than English or cannot speak English.

This is a compulsory data item for all pupils aged 5 and over as at the previous 31 August.
If a child was exposed to more than one language (which may include English) during early development the language other than English is recorded, irrespective of the child's proficiency in English. In the case of an older pupil who is no longer exposed to the first language in the home, and who now uses only another language, the school consults with the pupil or parent to determine which language should be recorded.

Where a pupil’s first language is not English, schools may record specific languages from the extended language codeset or continue to use codes from the short codeset used in pupil level annual school census (PLASC) 2006. ENB (Not known but believed to be English) and OTB (Not known but believed to be other than English) are appropriate where a pupil’s first language is not known with absolute certainty because the parents have not responded to enquiries, but the school can judge with a high degree of confidence whether it is English or not.

An local authority may specify that schools should use a particular subset of language codes but it is for the school to decide whether or not to record specific languages.

**Special Educational Needs**

The **SEN** variable indicates whether a pupil has learning difficulties or disabilities that make it harder for them to learn than most children of the same age.

From 2015, pupils with SEN are categorised as follows:

**SEN support** - Extra or different help is given from that provided as part of the school’s usual curriculum. The class teacher and SEN coordinator (SENCO) may receive advice or support from outside specialists. This category replaces the former ‘school action’ and ‘school action plus’ categories.

**Statement/EHC plan** - A pupil has a statement of SEN or an education, health and care (EHC) plan when a formal assessment has been made.

Pre-2015, the SFR tables contain SEN data as per the definition below:

Pupils with special educational needs comprise those at school action, school action plus or with statements of SEN:

**School Action** – where extra or different help is given, from that provided as part of the school’s usual curriculum.

**School Action Plus** – where the class teacher and the SENCO receive advice or support from outside specialists (the specialist teacher, an educational psychologist, a speech and language therapist or other health professionals).

**Statement** – a pupil has a statement of SEN when a formal assessment has been made. A document setting out the child's needs and the extra help they should receive is in place.

**Pupil SEN provision**

This data item is collected in the census collections for all pupils on roll on census day. Pupil SEN provision types and their codes are outlined in table 2. Two new codes were added to the SEN provision field within the 2015 School Census, as highlighted below.
Table 2: Valid provision types under the SEND code of practice: 0 to 25

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>No Special Educational Need</td>
</tr>
<tr>
<td>A</td>
<td>School action</td>
</tr>
<tr>
<td>P</td>
<td>School action plus</td>
</tr>
<tr>
<td>S</td>
<td>Statement</td>
</tr>
<tr>
<td>E (from 2015)</td>
<td>Education, health and care (EHC) plan</td>
</tr>
<tr>
<td>K (from 2015)</td>
<td>SEN support</td>
</tr>
</tbody>
</table>

Pupil SEN type

The pupil SEN type field records the nature of a pupil’s special educational need. The primary need and, if appropriate, their secondary need should be recorded. In 2015, a new code entitled ‘SEN support but no specialist assessment of type of need’ was introduced which was aimed at those transferring from school action to SEN support but were yet to be formally assessed for their type of need. The previous ‘Behaviour, Emotional and Social Difficulties (BESD) has been replaced with ‘Social, Emotional and Mental Health (SEMH) those with BESD in 2014 are not all expected to transfer to SEMH in 2015. These changes are outlined in table 3.

Table 3: Pupil SEN type

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>SPLD</td>
<td>Specific learning difficulty</td>
</tr>
<tr>
<td>MLD</td>
<td>Moderate learning difficulty</td>
</tr>
<tr>
<td>SLD</td>
<td>Severe learning difficulty</td>
</tr>
<tr>
<td>PMLD</td>
<td>Profound &amp; multiple learning difficulty</td>
</tr>
<tr>
<td>BESD (valid till 2014)</td>
<td>Behaviour, emotional &amp; social difficulties</td>
</tr>
<tr>
<td>SLCN</td>
<td>Speech, language and communication needs</td>
</tr>
<tr>
<td>HI</td>
<td>Hearing impairment</td>
</tr>
<tr>
<td>VI</td>
<td>Visual impairment</td>
</tr>
<tr>
<td>MSI</td>
<td>Multi-Sensory impairment</td>
</tr>
<tr>
<td>PD</td>
<td>Physical disability</td>
</tr>
<tr>
<td>ASD</td>
<td>Autistic spectrum disorder</td>
</tr>
<tr>
<td>OTH</td>
<td>Other difficulty / disability</td>
</tr>
<tr>
<td>SEMH (from 2015)</td>
<td>Social, emotional and mental health</td>
</tr>
<tr>
<td>NSA (from 2015)</td>
<td>SEN support but no specialist assessment of type of need</td>
</tr>
</tbody>
</table>

Pupil SEN Type ranking

This indicates the rank order of a pupil’s special educational need, recorded in Pupil SEN type. The most significant, or primary need, is ranked as 1 and the secondary as 2. Only two rankings are collected in the school census and no two needs are given the same ranking.

Until 2014, this data item was collected in the spring census only for all pupils on roll on census day with an SEN Provision of P (School Action Plus or Early Years Action Plus) or S (Statement). From 2015, this coverage was extended to collect type of need for all pupils with a statement, an education, health and care plan, on school action plus or on SEN support. SEN support replaces school action and school action plus but some pupils remain with these provision types in first year of transition. It is anticipated that a history of provision should be recorded within a school’s management information system (MIS).
7. **Income Deprivation Affecting Children Index (IDACI)**

**IDACI** is provided by the Department for Communities and Local Government (DCLG). The index is based on Super Output Areas (SOAs) in England defined by 2001 census data. Each SOA is given a rank between 1 and 34,378 where 1 is the most deprived SOA.

IDACI is a subset of the Income Deprivation Domain of the Index of Multiple Deprivation (IoD). Each SOA is given a score showing the percentage of pupils aged under 16 that live in families that are income deprived, i.e., they are in receipt of certain benefits and their equivalised income is below 60% of median before housing costs. Further information about IDACI can be found [here](#).

The IDACI bands used in these publications are based on 2010 IDACI scores. IDACI band breakdowns for 2008, 2009 and 2010 are based on 2007 IDACI scores and IDACI tables for 2007 and earlier are based on 2004 IDACI scores, so care should be taken when using IDACI scores from earlier years.

Following the 2011 Census, 2.6 per cent of OAs have been modified using the 2011 Census population data and a new set of OAs/SOAs now exist and are known as the 2011 OAs/SOAs. 1.1% of LSOAs are affected by the change. DCLG will not be revising the 2010 IoD. However, guidance is available on how IoD can be approximated to the new LSOAs.

In the past, the Department for Education has used figures for children who reside in the 30 per cent most deprived super output areas in England (IDACI deciles 0-10, 10-20 and 20-30) as a marker for deprivation. This target was introduced following the 2004 Spending Review and was a recognised way of identifying deprived wards. These figures are no longer used to measure progress against Government Public Service Agreement (PSA) targets and have not been since 2008; however, they are still included in recent publications for information and to allow comparison over time.

8. **Geography**

Geographic data which is matched to the department’s data collections and used in all official statistics is provided by ONS Geography, a business unit of ONS that provides the geographic data and services that support the production of high quality statistics.

The ONS’s presentation guidance for administrative areas sets out the recommended standard for presenting and publishing statistics at regional and sub-regional levels in the UK. [ONS guidance: presenting statistics for administrative areas](#).

The geography structures and codes used in the production of pupil characteristic SFRs can be downloaded from the [ONS geography portal](#).

**Local authority**

Local authority (LA) tables show the LA that maintains the school which returned a pupil’s attainment record for the relevant key stage. This data is collected as part of the key stage collection.

The current local government structure has 152 ‘upper tier’ authorities, which all have the function of local education authority.
School location and pupil residency

Tables showing attainment by either school location or pupil residency are created by matching the relevant school or pupil postcode to a cut of the National Statistics Postcode Lookup (NSPL), an ONS product available on the ONS open geography portal. Since 2012 the February cut of the NSPL has been used annually to match on geography fields. Prior to 2012 the May version of the NSPL was used.

The local authority district is an example of a geographic field matched from the National Statistics Postcode Lookup (NSPL). Tables are included in the pupil characteristic SFRs showing the LAD based on either the pupil’s postcode or the school’s postcode (KS2 and KS4 only).

The term ‘local authority district (LAD)’ refers to the lower tier of local government. This includes non-metropolitan districts, metropolitan districts, unitary authorities and London boroughs. Structural changes to local government in England were effected on 1 April 2009, whereby a number of new unitary authorities were created in parts of the country which previously operated a ‘two-tier’ system of counties and districts. The current structure consists of 326 ‘lower tier’ authorities (LADs).

Further changes to the LADs of St Albans and Welwyn Hatfield have been operative from 1 April 2012. Changes to the unitary authority of Northumberland, the metropolitan district of Gateshead and the non-metropolitan districts of East Hertfordshire and Stevenage, have all been operative from 1 April 2013. As the boundaries have changed new codes have been allocated, although the names remain the same. Codes are shown in the tables as those relevant to the district at the start of the academic year reported.

Further information on local government restructuring can be found at ONS guidance: local government restructuring.

Rurality

The rural-urban classification of postcodes for 2014 is based on the 2011 classification of output areas released in August 2013. Figures for earlier years are based on the 2004 rural-urban classification. Census output areas forming settlements with populations of over 10,000 are defined as urban in both classifications however, the revised classifications shows the urban domain further sub-divided into three broad morphological types based on the predominant settlement component. In both methodologies the remainder are defined as one of three rural types.

Further information can be found at ONS guidance: rural-urban classification.
9. Coherence and comparability

Coherence is the degree to which the statistical processes, by which two or more outputs are generated, use the same concepts and harmonised methods. Comparability is the degree to which data can be compared over time, region or other domain.

We use the same methodology to produce the data within our SFRs and the performance tables. We also use a dataset produced at the same time for the performance tables and the provisional and revised SFRs. As a result, the national and LA figures included in both the provisional and revised SFRs and the performance tables will match.

There have been some changes to key stage 4 data which can make comparisons over time difficult. These changes are listed in annex B. Where time series are shown in the tables, any discontinuities will be indicated in the tables by the use of a dotted line and footnotes will be included to explain the reason for the discontinuity. Where possible (for example, when methodology rather than test structures change), figures for previous years will be recalculated using the current methodology following major changes in methodology. For the changes in 2014 the figures were not recalculated but information was provided based on different methodologies to give users some statistics for comparison. The expansion of early entry policy to all subjects in 2015 was not a major change and an additional methodology has not been produced: analysis of entry patterns in 2014 showed that only 3% of qualifications which do not count towards the English Baccalaureate were attempted more than once and therefore the expansion of early entry policy to all subjects in 2015 is not expected to have a significant impact on national figures.

There were major changes to key stage 4 data in 2014:

### Wolf Review

In 2014, secondary school performance measures were calculated significantly differently following the implementation of the Wolf review recommendations.

The review set out a number of recommendations for the reform of the secondary school performance tables from 2014, including:

- only include qualifications in performance measures which meet the new quality criteria. This has led to the removal of around 3,000 unique qualifications from the performance measures between 2012/13 and 2013/14. A full list of the qualifications that can count in 2014/15 performance measures can be found here: [inclusion of 14-16 qualifications in 2014](#)

- adjust the associated point scores for non-GCSEs so that no qualification will count as larger than one GCSE in size. For example, where a BTEC may have previously counted as four GCSEs it will now be reduced to the equivalence of a single GCSE in its contribution to performance measures

- restrict the number of non-GCSE qualifications that count in performance measures at two per pupil

---

1 Professor Alison Wolf published her review of vocational education in March 2011: [Professor Alison Wolf's review](#)
Wolf Review

To ensure that all pupils are provided with access to a broad and balanced curriculum, the number of approved non-GCSE qualifications counting towards secondary school performance measures will be capped at two qualifications per pupil, affecting both threshold and point score measures.

In line with Professor Alison Wolf’s recommendations the department has published a list of qualifications that will count in the secondary school performance tables for 14 to 16 year olds in 2014, 2015, 2016, 2017 and 2018. The list of qualifications that count in the 2015 performance tables can be found at key stage 4 performance tables 2015: eligible qualifications and List of 2014 to 2018 qualifications and discount codes.
Discounting

Discounting is employed against the list of qualifications that count in the performance tables from 2014. In general, qualification discounting ensures that, where a pupil has taken two or more qualifications with an overlap in curriculum, the secondary school performance tables only give credit once for teaching a single course of study.

Discounting may be required in a number of situations, for example:

- a pupil resits a qualification before the end of key stage 4, possibly with a different awarding body. Discounting then ensures that only one result is counted in the secondary school performance tables calculations and measures
- the school provides a single course of study but then enters the pupil for two or more very similar qualifications. Discounting ensures that the achievement from this single set of teaching hours is counted only once

For further information on the new discounting rules, along with specific scenarios of how the policy is applied and the specific handling of English, maths and science qualifications, please see the Discounting guidance in the RAISEonline document library.

Early entry rules

‘Early entry’ rules for key stage 4 qualifications were introduced, which affected the key stage 4 SFRs and the secondary school performance tables from 2014. On 29 September 2013 the Secretary of State announced that, from this date, only a pupil’s first entry to a key stage 4 qualification counts towards their school’s performance measures.

The early entry rule applies even where qualifications are taken with one exam board and then re-taken with another. This rule does not prevent schools from entering pupils for examinations before the end of key stage 4. Pupils can sit an examination more than once but it will be their first certificated grade in that subject that is used for performance measures.

The early entry rules is applied to all performance measures. This includes the percentage of pupils achieving at least 5 or more A*-C GCSEs including English and maths, and the English Baccalaureate.

The early entry rules only applied to English Baccalaureate subjects in 2014. These subjects are:

- English (English, English language, English literature)
- maths
- science
- history
- geography
- modern and ancient foreign languages

In 2015, the early entry policy is expanded to cover all subject areas instead of only those that count in the English Baccalaureate.

For further information on the early entry rules, along with specific scenarios of how the policy is applied, please see the Early Entry guidance in the RAISEonline document library.
10. Get in touch

If from the media
Press Office News Desk, Department for Education, Sanctuary Buildings, Great Smith Street, London SW1P 3BT.
020 7783 8300

If non-media
Ali Pareas, Education Data Division, Department for Education, Sanctuary Buildings, Great Smith Street, London SW1P 3BT.
attainment.statistics@education.gsi.gov.uk
Annex A: Timeline of changes in key stage 4 attainment

<table>
<thead>
<tr>
<th>Year</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>2009/10</td>
<td>Publish per cent attaining the new English Baccalaureate. Publish per cent attaining the “Basics” (A*-C English and maths). Count accredited IGCSEs (and their precursors) for first time</td>
</tr>
<tr>
<td>2010/11</td>
<td>GCSE only measures introduced to performance tables. AS levels counted in English Baccalaureate</td>
</tr>
<tr>
<td>2011/12</td>
<td>First cohort to know of English Baccalaureate measure when making course choices</td>
</tr>
<tr>
<td>2012/13</td>
<td>New biology, chemistry, physics and additional science GCSEs. Marks awarded for spelling, punctuation and grammar in English literature, history, geography and religious studies exams</td>
</tr>
</tbody>
</table>
| 2013/14 | Wolf Review recommendations come into force in performance tables:  
  - only Wolf-approved qualifications counted  
  - each equivalent of one GCSE only  
  - no more than two non-GCSE qualifications  
  - new discounting rules  
  Only first attempt at GCSEs etc counts in performance tables and in English Baccalaureate subjects.  
  GCSEs no longer unitised (modular) but linear.  
  New GCSE geography exam. |
| 2014/15 | Early entry policy expanded to include all subjects. Schools had the option to adopt a new accountability system a year early in 2015. It includes two new headline measures: Attainment 8 and Progress 8. 327 schools chose to adopt the new accountability system early and for these schools we publish Attainment 8 scores in the 2015 performance tables. The new secondary school accountability system will be implemented for all schools from 2016. AS level computer science now contributes towards the EBacc science pillar. |
© Crown copyright 2016

You may re-use this information (excluding logos) free of charge in any format or medium, under the terms of the Open Government Licence. To view this licence, visit Open Government Licence or e-mail: psi@nationalarchives.gsi.gov.uk.

Where we have identified any third party copyright information you will need to obtain permission from the copyright holders concerned.

Ali Pareas, Education Data Division, Department for Education, Sanctuary Buildings, Great Smith Street, London SW1P 3BT.

0207 340 7490, Attainment.STATISTICS@education.gsi.gov.uk

This document is also available from our website: Key Stage 4 statistics.