

## CW3C checklist for extensions to the upper cost limits in Immigration and asylum controlled work cases

The purpose of this checklist is to reduce the likelihood of your CW3 application being rejected.

	Requirement	Notes	Provider checked
1	Has the correct version form been used?	A version 8 form or the most recent excel version of the form must be used which can found here https://www.gov.uk/government/publications/immigration-clr-disbursement-limit-extension-review-of-refusal	
2	Has form been signed, dated, and fully completed?	The certification must be signed and dated and it must be clear who has signed the application form. Electronic signatures are acceptable. The application form must be completed in full.	
3	Disbursements incurred to date	An itemised list of each disbursement incurred to date must be provided which indicates the value of each disbursement and the cumulative total. The cumulative total must match the costs detailed on the form.	
4	Further disbursements requested	A full breakdown of the cost of the disbursements must be provided with details of the work to be undertaken and the hourly rate(s) charged for each task. The cumulative total of the further disbursements must be clear and reconcile with the costs detailed on the form.	
5	Fast Track / Screening Interviews for Minors	Where you are permitted to do so under sections 3 and 4 of The Civil Legal Aid (Immigration Interviews) (Exceptions) Regulations 2012), you are able to claim reasonable costs and disbursements associated with attending screening and / or substantive Home Office interviews in addition to the cost limit. Therefore, these costs must not be included in the costs incurred or further costs requested in the application.	
6	Codified Rates	The hourly rates requested for experts must not exceed the codified hourly rate, unless permitted by the The Civil Legal Aid (Remuneration) Regulations.  Codified rates for expert requests can be found at: https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/351193/expert-witnesses-fees-guidance.pdf  The date the legal help file was opened determines the applicable hourly rate.	
		Requests for Funding for Expert Reports	

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7	Alternative Quotes	We generally require at least three alternative quotes. When this is not possible you should provide copies of correspondence attempts and details of steps taken and research conducted in an attempt to obtain alternative quotes.	
8	Reasons For Refusal Letter	If the case is a CLR appeal or Legal Help fresh claim, a copy of the Reasons for Refusal Letter and/ or tribunal determination must be provided.	
9	Client Specific Instructions	When a quotation is requested from an expert, they should be provided with reasonably detailed provisional instructions specifying what the main issues are for the matter, and what you require the expert to address in order that an accurate, client specific quotation can be provided. A copy of the provisional instructions should be included with the CW3 application.	
10	Helen Bamber Foundation / Freedom From Torture reports	Where funding is sought for a report from either organisation it is not necessary to obtain alternative quotations. However, confirmation from the organisation that they have agreed to produce a report, the type of the report and the cost of the report must be provided.	
11	Country Report	You must provide details of country research that has already been carried out and why the objective evidence available in the public domain is insufficient to enable you to address the relevant issues.	
12	Medical Report	You must provide details of your client's diagnosis and current treatment. Have all other suitable alternative options been exhausted prior to requesting funding for an instructed expert? E.g. attempts to obtain suitable evidence from a treating doctor	

	Additional Guidance	
Email Address	CW3@legalaid.gsi.gov.uk for non-Excel applications.	
	CW3online@legalaid.gsi.gov.uk for applications using the Excel CW3 form.	
	Clearly state client's full name in the email subject box.	
	If the application is in the Fast Track scheme state this within the email subject box.	
	If the application is urgent state this within the email subject box and briefly what the urgency is within the body of the email.	
Previous Rejects / Refusals	We do not have the system capacity to retain copies of applications or emails. Therefore, if you wish to request a reconsideration of a decision a copy of the application and all documents previously submitted must be included with the resubmission.	

## Further Information

Please refer to the following resources for additional information regarding Legal Aid in respect of Immigration and Asylum (including CW3 applications):

Standard Civil Contract 2013

https://www.gov.uk/government/publications/standard-civil-contract-2013

Costs Assessment Guidance

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/427309/legal-aid-costs-assessment-guidance-2013-2014.pdf

Section 14 of the Escaped Cases Handbook for further information relating to CW3 applications

https://www.gov.uk/government/uploads/system/uploads/attachment\_data/file/438774/escape-cases-guidance.pdf