

To: The Board

For meeting on: 28 October 2015

Agenda item: 4

Report by: Miranda Carter, Executive Director of Provider Appraisal

Report on: Provider Appraisal Update

Introduction:

1. The Provider Appraisal directorate currently has four active assessments, with no decisions to be taken this month. There are two ongoing transaction reviews.
2. There are no policy defining or strategic issues to report within this paper.

A. Developments

3. The Guide for Applicants has now been updated on Monitor's website to incorporate the organisation's approach on the well-led framework.
4. The Provider Appraisal team is currently undertaking two internal projects that focus on continually improving its processes. The first relates to determining the approach to new models of care reviews, in anticipation of a submission from Salford NHS Foundation Trust in November 2015. The second relates to streamlining the transaction review process for mergers and acquisitions, in anticipation of a submission regarding the merger of Ashford and St Peters NHS Foundation Trust and Royal Surrey County Hospital NHS Foundation Trust in December 2015.

B. Update on assessments

5. A list of the four NHS trusts currently with Monitor for assessment is provided in Annex 1. Any changes from the last report are highlighted in red.

Active assessments (exception reporting)

6. The assessment of Sussex Community NHS Trust commenced 1 October 2015.

Assessment Pipeline

7. An overview of the assessment pipeline is provided in Annex 2.

C. Update on transactions

8. The review of the Royal Free London NHS Foundation Trust capital redevelopment of Barnet and Chase Farm commenced 1 October 2015, and is expected to be presented to the Provider Appraisal Executive for risk rating in December 2015.

Miranda Carter
Executive Director of Provider Appraisal

Making a difference for patients:

Monitor's mission is to make the health sector work better for patients. This report provides an update on the Provider Appraisal team. The key focus of this team is to assess if providers are well led and can therefore deliver sustainable high quality services to patients.

Public Sector Equality Duty:

Monitor has a duty under the Equality Act 2010 to have due regard to the need to eliminate unlawful discrimination, advance equality of opportunity and foster good relations between people from different groups. In drafting this report consideration has been given to the impact that the issues dealt with might have on these requirements and on the nine protected groups identified by this Act. It is anticipated that the issues dealt with in this paper are not likely to have any particular impact upon the requirements of or the protected groups identified by the Act.

This report considers the activity of the Provider Appraisal team, whose key focus is to authorise NHS Trusts as Foundation Trusts. The assessment process considers how representative of the population that it serves the membership of an applicant NHS FT actually is, and therefore has had regard to the duties required by the Equality Act. It is not considered that there are any decisions within this paper which would impact on protected groups Identified by the Act.

Exempt information:

Some of the information in this report is exempt from publication under the Freedom of Information Act 2000.

The information is exempt as it falls under section 36 of the Freedom of Information Act 2000. Disclosure of that information would, or would be likely to, inhibit the free and frank provision of advice, or exchange of views for the purposes of deliberation. In applying these exemptions, we have considered the public interest test; disclosure of this information will not enhance the accountability or transparency of Monitor as its disclosure would inhibit the free frank disclosure of information.

Annex 1: Current status of NHS trusts with Monitor for assessment

Active assessments				
Trust name	Status detail	Start	Target Board to Board	Decision
Wirral Community	Referral	August 2015	13 November 2015	December 2015
Norfolk Community Health and Care	Reactivated	August 2015	December 2015	TBC
Lincolnshire Community Health Services	Referral	September 2015	December 2015	TBC
Sussex Community NHS Trust	Referral	October 2015	January 2016	TBC

Annex 2: Pipeline information

(i) Monitor pipeline

	At 9 September 2015	Pipeline progress			At 9 October 2015
Total Foundation Trusts (FTs)	152	1			153
Monitor pipeline					
Active Assessments - decisions due this month	2	(2)			0
Active Assessments - in progress	3		1		4
Assessments paused in the short-term	2				2
Referrals waiting to start	0				0
Assessments paused post phase 1	2				2
Deferrals	3	1			4
Withdrawal	0				0
Total Monitor pipeline	12				12
NHS TDA pipeline	76		(1)	(1)	74
Dissolved 1 October				1	
TOTAL NUMBER OF TRUSTS	240				239

(ii) Pipeline analysis

	Acute	Mental Health	Community	Ambulance	Total
Foundation Trusts	101	43	3	5	153
Monitor pipeline	2	4	5	1	12
With TDA	51	10	10	4	74
Total trusts	154	57	18	10	239