

# The sponsorship management system (SMS) manuals

Step by step guide for sponsors

Manual 7 of 12: Bulk data transfer (BDT) of CAS

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### **Additional SMS manuals**

There are 12 SMS manuals available, plus two supplementary policy manuals for completing a CoS and CAS. The manuals are grouped into three categories: common, CAS and CoS. The table below describes the purpose and audience of each manual.

You should read all manual applicable to your licence before contacting the relevant helpdesk.

Manual reference	Manual title	Туре	Purpose	Audience
Manual 1	Introduction to SMS	Common	Use this manual to log into SMS, change your password, manage SMS users and view important messages posted by the Home Office.  In addition, this manual explains who should use SMS and for what purpose. The manual features a full introduction to SMS as well as a comprehensive troubleshooting section.	All sponsors
Manual 2	Managing your licence	Common	To help sponsors manage their key personnel, change their licence details, and apply for allocations of CoS/CAS.	All sponsors

Manual 3	Applications, renewals and services	Common	To help sponsors apply for Premium customer service, apply or decline to apply for a Basic Compliance Assessment, renew their sponsor licence and manage action plans.	All sponsors
Manual 4	Creating and assigning CAS	CAS	To help sponsors create and assign individual and batches of CAS.	Sponsors licensed in Tier 4 (General and / or Child)
Manual 4a	Creating a CAS – guide for education sponsors	CAS	This guide contains supplementary information on completing a CAS. Use this guide to determine what information is necessary in each field of the CAS.	Sponsors licensed in Tier 4 (General and / or Child)
Manual 5	Reporting student activity	CAS	To help sponsors report student activity, for example if a student's circumstances change. This manual also contains help with reporting fee updates and adding sponsor notes to CAS that have already been assigned.	Sponsors licensed in Tier 4 (General and / or Child)
Manual 6	Bulk Data Transfer of CAS	CAS	To help sponsors use the bulk data transfer (BDT) functions of SMS. You must have a bespoke IT system in place to use BDT.	Sponsors licensed in Tier 4 (General and / or Child)

Manual 7	Miscellaneous CAS functions	CAS	To help sponsors complete all other functions of CAS, such as managing batches of CAS, managing pre-stored addresses for use when creating CAS, transferring ownership of CAS, printing CAS and exporting CAS.	Sponsors licensed in Tier 4 (General and / or Child)
Manual 8	Creating and assigning CoS	CoS	To help sponsors create and assign individual and batches of CoS.	Sponsors licensed in any Tier 2 or Tier 5 category
Manual 8a	Creating a CoS – guide for business sponsors	CoS	This guide contains supplementary information on completing a CoS. Use this guide to determine what information is necessary in each field of the CoS.	Sponsors licensed in any Tier 2 or Tier 5 category
Manual 9	Reporting worker activity	CoS	To help sponsors report worker activity, for example if a worker's circumstances change. This manual also contains help with adding sponsor notes to CoS that have already been assigned.	Sponsors licensed in any Tier 2 or Tier 5 category
Manual 10	Miscellaneous CoS functions	CoS	To help sponsors complete all other functions of CoS, such as managing batches of CoS, managing pre-stored addresses for use when creating CoS, transferring ownership of CoS, and printing CoS.	Sponsors licensed in any Tier 2 or Tier 5 category

Manual 11	Tier 5 Creative and Sporting groups of CoS	CoS	To help sponsors create and manage groups of CoS. Groups of CoS are only available to sponsors licensed in Tier 5 (Creative and Sporting).	Sponsors licensed in any Tier 2 or Tier 5 category
Manual 12	Restricted CoS	CoS	To help sponsors apply for restricted CoS, track applications for restricted CoS and once granted, create restricted CoS.	Sponsored licensed in Tier 2 (General)

# Glossary

SMS	Sponsorship Management System
CoS	Certificate of Sponsorship
CAS	Confirmation of Acceptance for Studies
AO	Authorising Officer
KC	Key Contact
BDT	Bulk Data Transfer
SELT	Secure English Language Test
.XML	Extensible Mark-up Language
.PDF	Portable Document Format
Automation	On 6 April 2014 we introduced new functionality in SMS to automatically renew CoS allocations and to apply changes to your address, or that of your AO and KC. We will write to you if you meet the criteria and automation has been set.

## **CAS** status

The table below shows each status which can apply to a CAS.

As seen in SMS	Meaning
WORK IN PROGRESS	The CAS is still 'in draft' as one or more mandatory fields are yet to be completed.
READY TO GO	All mandatory fields are complete and the CAS is ready to be assigned to an individual.
ASSIGNED	The CAS has been assigned to an individual and is ready to be used in support of an application for leave to enter/remain in the UK.
WITHDRAWN	You have used the 'Manage live CAS' function in SMS to withdraw the CAS.
OBSOLETE	SMS has automatically changed the CAS status to 'Obsolete' as it has detected that another CAS assigned to the same individual has been marked as 'Used' within the validity period of this CAS.
USED	The CAS has been used in support of an individual's application for leave to enter or remain.
EXPIRED	EITHER: The individual has not made an application for leave to enter or remainbefore the expiry date of the CAS. A new CAS is required.  OR: The individual has applied by the CAS expiry date but we have not considered their application before that date. In this case, no action is required on your part; we will change the status of the CAS from EXPIRED to USED when the application is considered.
CANCELLED	The CAS has been cancelled as your licence has been revoked or has expired, or you have surrendered the relevant category / your whole licence prior to the CAS being used.

## **SMS** guides

# Guide 1: How to upload a batch of CAS using Bulk Data Transfer (BDT)

Follow the step by step instructions to upload a batch CAS records using BDT. This function is useful if you wish to transfer a large number of records from your IT systems directly into SMS. You must have installed a bespoke IT system on your network to create the .XML files required to use the BDT function.

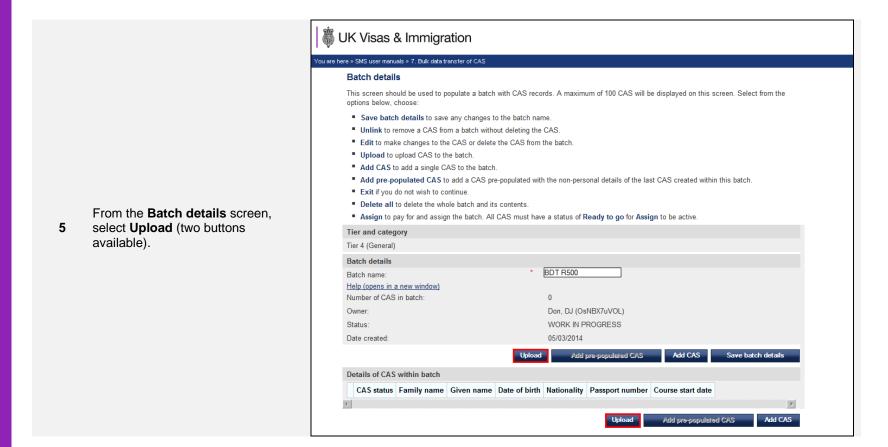
You should read the <u>guidance for sponsors</u>, <u>SMS guide 4a – Creating a CAS – guide for education sponsors</u> and the <u>BDT toolkit</u> before uploading a batch of CAS.

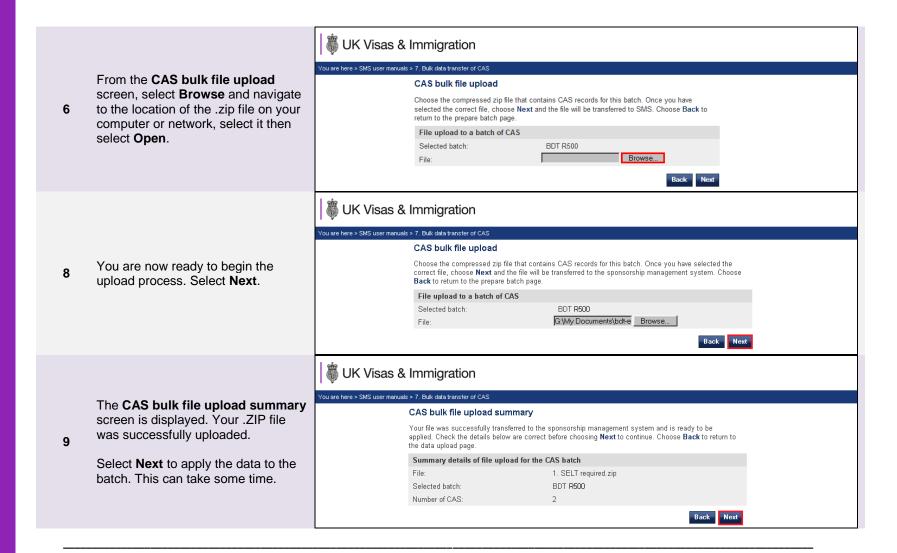


UK Visas & Immigration From the Create a CAS screen, select the relevant category (General You are here > SMS user manuals > 7. Bulk data transfer of CAS or Child) and choose Next. Create a CAS 2 Select a category and choose Next to continue or Back to return to the Students screen. If you are only licensed in one of these categories, the drop-down list Please select will default to that category. Back Next Tier 4 (Child) Tier 4 (General) UK Visas & Immigration You are here > SMS user manuals > 7. Bulk data transfer of CAS Create a CAS Select what you want to do and choose Next to continue or Back to return to previous From the second Create a CAS screen, select the category, choose Tier and category Create new batch of CAS, then Tier 4 (General) select Next. Select from the options below: C Create new single CAS Create new batch of CAS C Find an existing single CAS C Find an existing batch of CAS Back Next

UK Visas & Immigration You are here > SMS user manuals > 7. Bulk data transfer of CAS Create a CAS Select what you want to do and choose Next to continue or Back to return to previous From the final Create a CAS, enter Tier and category the name of the batch, then select Tier 4 (General) Next. Select from the options below: Create new single CAS Create new batch of CAS Find an existing single CAS Find an existing batch of CAS Create new batch of CAS Help (opens in a new window) Back Next

**Note** The name of the batch should be something memorable as you might need to retrieve it later.



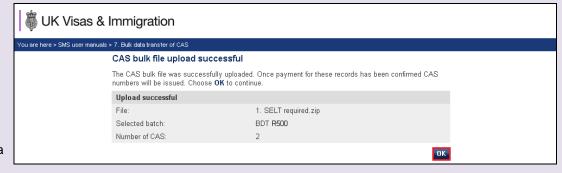


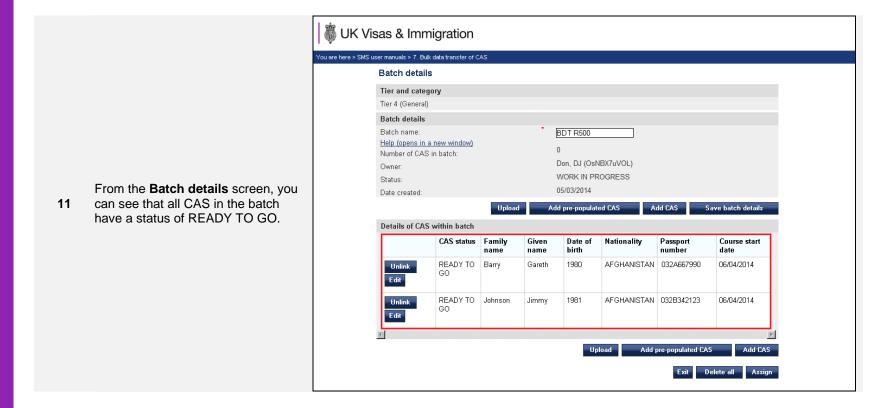
Note You may receive warnings regarding your file. Please check you are content with these before proceeding.

The CAS bulk file upload successful screen is displayed.

Your upload was successful. You can now see the number of CAS records that have been added to your new batch. Select **OK** to return to the Batch details screen.

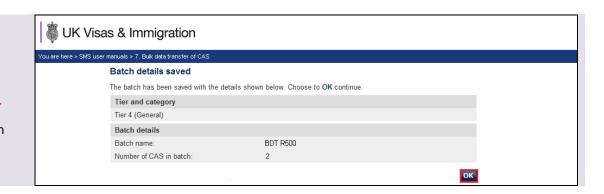
If you have received any warning messages, please check the details as you may have accidentally used a CAS number that is associated with another CAS.





From the **Batch details saved** screen, select **OK**.

You are now ready to either add more CAS to the batch, or assign and pay for the batch.



## Guide 2: How to report bulk student activity using BDT

Follow the step by step instructions below to report multiple student 'no-shows' (i.e. the individuals have not arrived for enrolment) using the BDT functionality. This function is useful if you have had multiple student 'no-shows'. This function can only be used to report 'no-shows'.

If you need to report any other type of activity, or if you need to provide further details about a student not arriving for enrolment, you should use the **Report Activity by CAS Search** or **Report Activity by CAS Number** functions to submit the appropriate details to us.

You should read the <u>guidance for sponsors</u> <u>SMS guide 4a – Creating a CAS – guide for education sponsors</u> and the <u>BDT toolkit</u> before reporting bulk student no shows.

Deleted: and





From the Sponsorship duties

activity.

screen, select Report bulk student

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### Sponsorship duties

As a sponsor, you have responsibilities to manage the students to whom you have assigned CAS. This section allows you to fulfil these responsibilities by reporting student activity and

Please note, Level 2 users can only report on CoS which they personally created and assigned, or which have been transferred to them.

### Report activity by CAS number

Report student activity with a known CAS number

### Help (opens in a new window)

### Report bulk student activity

Report student activity by bulk upload

### Help (opens in a new window)

### Provide bulk fee update

■ Provide fees update for multiple CAS records by bulk upload

### Help (opens in a new window)

### Manage live CAS by CAS number

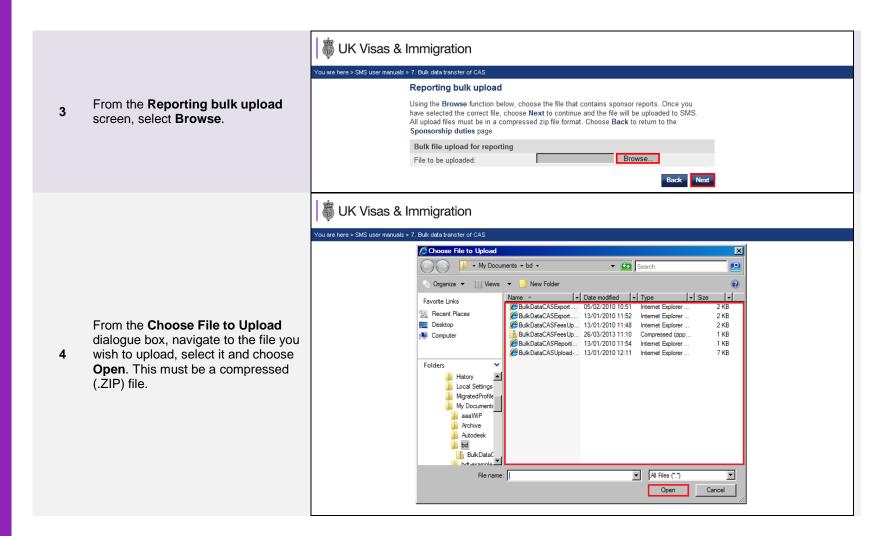
- · Access the manage a live CAS functions where the CAS number is known
- Add or edit a sponsor note, update fees or withdraw a CAS

### Help (opens in a new window)

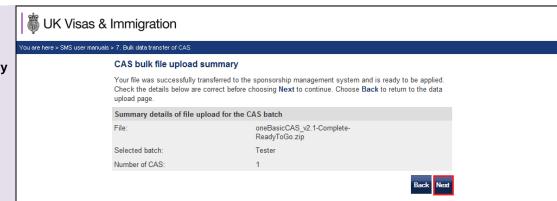
### Manage live CAS by CAS search

- · Access the manage a live CAS functions by searching on student details
- · Add or edit a sponsor note, update fees or withdraw a CAS

Help (opens in a new window)



The CAS bulk file upload summary screen is displayed, which indicates that your file is in the correct format. Select **Next**.

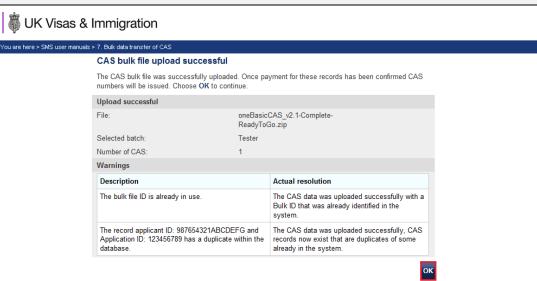


Note You may receive warnings regarding your file. Please check you are content with these before proceeding, as you may have uploaded the same file previously.

The CAS bulk file upload successful screen is displayed. Your data has now been successfully uploaded.

You may receive a warning if your file contains errors. In this example, none of the errors were critical and the upload was successful. If your file contains a critical error, please see below.

Select **OK** to return to the **Sponsorship duties** screen.

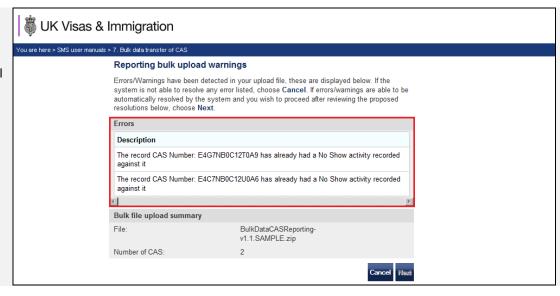


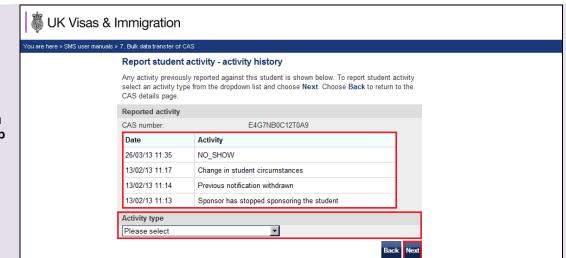
### Warnings:

You may receive a critical error, which will prevent you from being able to progress; the **Next** button will be unavailable (greyed out).

The most common problem when uploading bulk data is that the .XML file does not conform to the BDT schema, as set out in the BDT Toolkit.

You will need to edit your file to ensure the data is correct, for which you might need to contact your IT provider. Once complete, compress the file (.ZIP format) and try the upload again.





When the upload is successful, you will be returned to the **Sponsorship** duties screen.

## Guide 3: How to provide bulk fee updates using BDT

Follow the step by step instructions below to report bulk fee information using the BDT functionality. This function is useful if multiple students pay their fees after you have applied for a CAS, but before the students' have submitted their applications to us.

You can only use this function if the CAS has a status of ASSIGNED. Once the CAS has been marked as USED, you will no longer be able to update the fee-related information. It is important to keep SMS updated with any fees charged or paid.

You should read the <u>guidance for sponsors</u>, <u>SMS guide 4a – Creating a CAS – guide for education sponsors</u> and the <u>BDT toolkit</u> before reporting bulk fee updates.

Step Instruction Screen example	
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#### Students

Select the function you wish to perform. Details of each function can be found under each link and additional details can be obtained from the Help links. You may also use the menu lefthand side of the screen.

### Create CAS

- Create a new single CAS or a batch of CAS
- Edit a previously saved single or batch of CAS

Help (opens in a new window)

### Assign CAS

Assign a single CAS or a batch of CAS

Help (opens in a new window)

### Sponsorship duties

- Report student activity, for example, absences from study
- Withdraw a CAS
- · Add or amend a sponsor note
- Update fees information

Help (opens in a new window)

Sponsorship duties.

From the **Students** screen, select



From the Sponsorship duties screen, select Provide bulk fee

update.

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### Sponsorship duties

As a sponsor, you have responsibilities to manage the students to whom you have assigned CAS. This section allows you to fulfil these responsibilities by reporting student activity and managing live CAS.

Please note, Level 2 users can only report on CoS which they personally created and assigned, or which have been transferred to them.

### Report activity by CAS number

Report student activity with a known CAS number

### Help (opens in a new window)

#### Report activity by CAS search

Report student activity by searching on student details

### Help (opens in a new window)

### Report bulk student activity

Report student activity by bulk upload

### Help (opens in a new window)

### Provide bulk fee update

■ Provide fees update for multiple CAS records by bulk upload

### Help (opens in a new window)

### Manage live CAS by CAS number

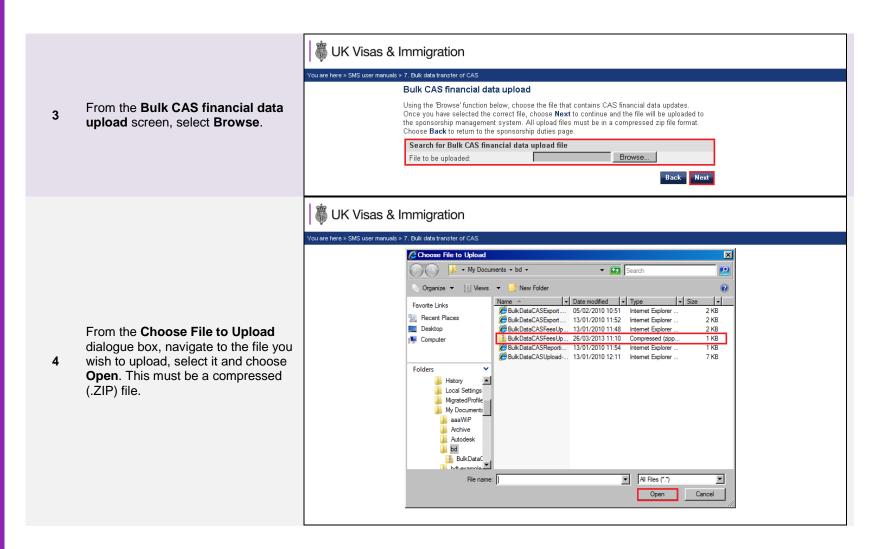
- · Access the manage a live CAS functions where the CAS number is known
- Add or edit a sponsor note, update fees or withdraw a CAS

#### Help (opens in a new window)

### Manage live CAS by CAS search

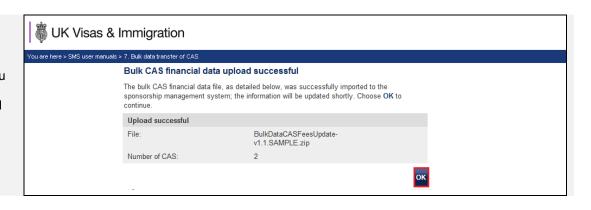
- · Access the manage a live CAS functions by searching on student details
- Add or edit a sponsor note, update fees or withdraw a CAS

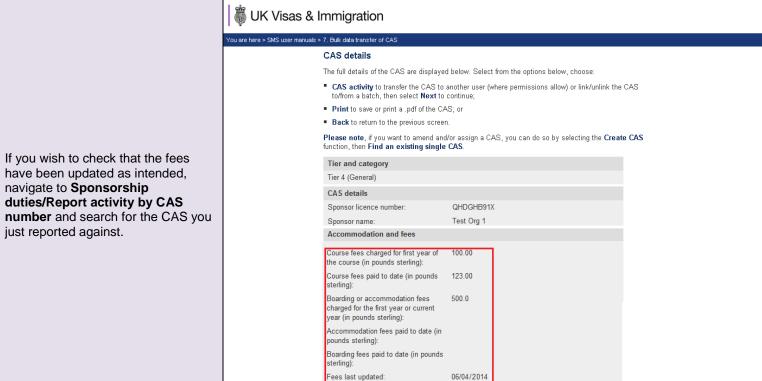
Help (opens in a new window)



**Walter** UK Visas & Immigration ou are here > SMS user manuals > 7. Bulk data transfer of CAS The Bulk CAS financial data **Bulk CAS financial data summary summary** screen is displayed, which Your file was successfully transferred to the sponsorship management system and is ready to indicates that your file is in the be applied. Check the summary details below, if correct choose Next to continue, if not choose Back to return to the file upload page correct format. Select Next. Bulk file upload for CAS financial data BulkDataCASFeesUpdatev1.1.SAMPLE.zip Number of CAS: You may receive warnings regarding your file. Please check you are content with these before proceeding, as you could have uploaded Note the same file previously. UK Visas & Immigration ou are here > SMS user manuals > 7. Bulk data transfer of CAS Warnings: Reporting CAS financial data errors You may receive a critical error, Errors have been detected in your upload file, these are displayed below. Please note the errors as you will need to address these before you can resubmit the file. Choose OK to which will prevent you from being continue. able to progress. Errors A common problem, as in this case, CAS record(s) specified in the data file cannot be found. - E4G0AA0A00A0AX is when the data does not match any CAS record(s) specified in the data file cannot be found. - E4G0AA0A00A0BX CAS records. CAS record(s) specified in the data file cannot be found. - E4G0AA0A00A0CX CAS record(s) specified in the data file cannot be found. - E4G0AA0A00A0DX You will need to edit your file to ensure the data is correct. Once Bulk CAS financial data summary complete, compressed the file (.ZIP BulkDataCASFeesUpdateformat) and try the upload again. v1.1.SAMPLE.zip Number of CAS:

When the upload is successful, you will be directed to the Bulk CAS financial data upload successful screen. Select OK to return to the Sponsorship duties screen.





navigate to Sponsorship duties/Report activity by CAS number and search for the CAS you just reported against.