



Legal Aid
Agency

Information about a shareholding in a private limited company and/or directorship

- 4 This form must be completed and signed by the **Company Accountant or the Accountant acting for the Company** and not by yourself or your partner. By partner, we mean the person you are married to or the person you live with as a couple.
- 4 **A separate form should be completed for each directorship or shareholding which you hold**
- 4 Please return the form with a copy of the **most recently completed company accounts**. We will accept a clear photocopy. This form must be completed in ink.

Name of Applicant: _____

**The name of the Company
Director / Shareholder:** _____

Reference number: _____

About the Company Accountant

4 Information about the Company Accountant.

Name of firm of
Accountants: _____

Address: _____

_____ Postcode: _____

Phone number: _____

Name of person
completing this _____

Position in firm: _____

About the company

4 Please give information about only one directorship or one company on this form.

1. What is the name and registered number of the company that the information on this form is about?

Name: _____

Registered Number: _____

2. What is the address of the registered office of the company?

_____ Post Code: _____

3. What is the business address of the company, if different to the registered address?

_____ Post Code: _____

About the company continued

4. How long has the company been trading?

5. Please give us a short description of what the company does:

6. Please state below the names of all the directors of this company

1. _____

2. _____

3. _____

(please continue in the additional information section on page 11 if necessary)

7. Please state how many employees the company has.

Number of employees _____

8. Has there been any change in directors in the past 12 months

No: please go to question 1 about the directorship below.

Yes: please provide details in the additional information section on page 11.

About the directorship

1. When did the person named on the front of this form become a director of this company?

Date: ____ / ____ / ____

2. How much gross salary have they been paid as a director so far in the current tax year?

£ _____

3. Please state the number of the last pay-week or pay-month the director has been paid for:

pay-week no. _____ / pay-month no. _____

4. How much gross salary were they paid as a director in the last complete financial year?

£ _____

5. How much did they receive in bonuses and commission in the last financial year of the company?

4 Do not include any amounts already listed under salary.

£ _____

About the directorship continued

6. What benefit in kind will they receive in the current tax year?

4 These are things like company cars, accommodation, payments for health insurance. Please tell us about each benefit in kind separately.

What is the benefit in kind?

What is its total taxable value?

_____ £ _____
_____ £ _____
_____ £ _____

4 Please submit a copy of the most recent P11D for this director to confirm the details of any Benefits in Kind made previously.

7. Is this director contracted out of the state pension scheme?

No Yes

8. What percentage of gross pay does this director contribute to superannuation or company pension payments?

_____ %

9. Has the director loaned the company any money?

No: please go to question 10.

Yes: please tell us

What is the present balance of this loan(s)? £ _____

Please state how much has been loaned in each of the last 3 full year accounting periods starting with the most recent.

(1) _____ (2) _____ (3) _____

4 Please send documentary evidence of this loan detailing the terms of repayment including any interest.

10. Does the company have sufficient funds to repay the whole or part of this loan?

4 If repayment of this loan is possible, the answer is YES, even though repayment may not be intended.

No: please go to question 11.

Yes: please tell us

How much can be repaid in the next 12 months? £ _____

About the directorship continued

11. Has any money been allocated to this director but left in the company?

No: please go to question 13.

Yes: please tell us

How much does the company owe them? £ _____

12. Does the company have sufficient funds to repay the whole or part of this money?

4 If repayment of this money is possible, the answer is YES, even though repayment may not be intended.

No: please go to question 13.

Yes: please tell us

How much can be repaid in the next 12 months? £ _____

13. Has the company loaned the director any money?

No: please go to the next section.

Yes: please tell us

How much has been loaned to the director? £ _____

How much has the director repaid in the last 12 months? £ _____

About the company shares

In this section please read director to mean the person you are completing this form about.

1. How many shares are issued for this company in total? _____

2. Does this director or their spouse or partner have any shares in the company?

No: please go to question 5.

Yes: please answer all the questions in this section.

3. How many shares do they have?

Director _____

Spouse or Partner _____

About the company shares continued

4. How much did they receive in dividends in the last financial year of the company?

Director _____

Spouse or Partner _____

5. Have there been any changes in the shareholding in this company in the last 12 months?

No: please go to question 6.

Yes: please provide full details in the additional information section on page 7.

6. Does this director or their spouse or partner control any of the voting shares of this company as well as the ones that they own?

No: please go to question 7.

Yes: please tell us

How many shares do they control?

Director _____

Spouse or Partner _____

7. Does this company hold shares in any other company?

No: please go to the next section.

Yes: please tell us

The name of the company whose shares are held	Total number of shares issued	The number of shares held	The number of shares this director/shareholder personally holds in this company	The number of shares their spouse or partner personally holds in this company
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

About company property

1. Does this company own or own the lease of any property or land?

No: please go to the next section.

Yes: please tell us

The address

The current market value
of the property or land

How much is owed for
any charges against the
land or property?

_____	£ _____	£ _____
_____	£ _____	£ _____

About company accounts

1. Are there any finalised accounts available for this company?

No: when do you expect the accounts to be available? _____
(please go to question 2)

Yes: please attach a copy of the **most recently completed accounts and associated notes to the accounts, auditor's report and directors' report** when you return this form.
(please go to question 3)

2. Are there any draft accounts or management accounts available for this company?

No: when do you expect the accounts to be available? _____
(please go to question 3)

Yes: please attach a copy of the **most recently completed accounts** when you return this form (please go to question 3)

3. Please tell us the company's profit after taxation (excluding losses carried forward from previous years) for the most recent trading year.

£ _____ for period ending _____

LEGAL AID AGENCY

PRIVACY NOTICE

Purpose

This privacy notice sets out the standards that you can expect from the Legal Aid Agency (LAA) when we request or hold personal information ('personal data') about you; how you can get access to a copy of your personal data; and what you can do if you think the standards are not being met.

The LAA is an Executive Agency of the Ministry of Justice (MoJ). The MoJ is the data controller for the personal information we hold. The LAA collects and processes personal data for the exercise of its own and associated public functions. Our public function is to provide legal aid.

About personal information

Personal data is information about you as an individual. It can be your name, address or telephone number. It can also include the information that you have provided in a legal aid application such as your financial circumstances and information relating to any current or previous legal proceedings concerning you.

We know how important it is to protect customers' privacy and to comply with data protection laws. We will safeguard your personal data and will only disclose it where it is lawful to do so, or with your consent.

Types of personal data we process

We only process personal data that is relevant for the services we are providing to you. The personal data which you have provided in your legal aid application will only be used for the purposes set out below.

Purpose of processing and the lawful basis for the process

The purpose of the LAA collecting and processing the personal data which you have provided in a legal aid application is for the purposes of providing legal aid. Our lawful basis is 'the performance of a task carried out in the public interest or in the exercise of official authority' as set out in Article 6(1)(e) of UK GDPR. The tasks are those set out in the Legal Aid, Sentencing and Punishment of Offenders Act 2012. Specifically, we will use this personal data in the following ways:

- In deciding whether you are eligible for legal aid, whether you are required to make a contribution towards the costs of this legal aid and to assist the Legal Aid Agency in collecting those contributions, if appropriate.
- In assessing claims from your legal aid Provider(s) for payment from the legal aid fund for the work that they have conducted on your behalf.
- In conducting periodic assurance audits on legal aid files to ensure that decisions have been made correctly and accurately.
- In producing statistics and information on our processes to enable us to improve our processes and to assist us in carrying out our functions.

Were the LAA unable to collect this personal information, we would not be able to conduct the activities above, which would prevent us from providing legal aid.

We collect 'special categories of personal data'. This data is collected where necessary for the purposes set out above. The condition under which we process this data is Article 9(g) of UK GDPR – Reasons of substantial public interest. Our associated Schedule 1 condition is Statutory and Government purposes. We also collect this data for the purposes of monitoring equality, this is a legal requirement for public authorities under the Equality Act 2010. Special categories of personal data will be treated with the strictest confidence and any information published under the Equality Act will not identify you or anyone else associated with your legal aid application.

We collect 'personal data relating to criminal convictions and offences or related security measures'. This data is collected where relevant for the purposes set out above. The LAA is an Executive Agency of the MoJ, an Official Authority for the purposes of Article 10 of UK GDPR.

Who the information may be shared with

We sometimes need to share the personal information we process with other organisations. When this is necessary, we will comply with all aspects of the relevant data protection laws. The organisations we may share your personal information include:

- Your instructed legal aid Provider(s), including any advocate instructed by a legal aid solicitor;
- Public authorities such as: HM Courts and Tribunals Service (HMCTS), HM Revenue and Customs (HMRC), Department of Work and Pensions (DWP), Home Office and HM Land Registry;
- Non-public organisations such as: Credit reference agencies Equifax and TransUnion and our debt collection partners Advantis Credit Ltd;
- If false or inaccurate information is provided or fraud identified, the Legal Aid Agency can lawfully share your personal information with fraud prevention agencies to detect and to prevent fraud and money laundering. We may specifically share data with HMRC and DWP for fraud prevention, investigation and prosecution purposes; and
- Where a debt is owed to the Legal Aid Agency, we may share your data with public authorities such as HMRC and DWP and with debt collection partners such as Advantis Credit Ltd for the purposes of tracing, debt collection and enforcement.

You can contact our Data Protection Officer for further information on the organisations we may share your personal information with.

Data Processors

We may contract with third party data processors to provide email, system administration, document management and IT storage services. Any personal data shared with a data processor for this purpose will be governed by model contract clauses under data protection law.

We contract with Advantis Credit Ltd as a data processor for the collection and enforcement of criminal legal aid contributions. Any personal data shared with the data processor for this purpose is governed by model contract clauses under data protection law.

Automated decision making

We do not use solely automated decision making within the definition of Article 22(1) of UK GDPR. The overall decision on an application for legal aid or a claim for costs in a legal aid case will always be made by a human decision maker. This could be a member of our staff, or a staff member of a legal aid Provider acting under delegated authority from the LAA.

Details of transfers to third country and safeguards

Personal data may be transferred to locations in the European Economic Area (EEA) where required by our data processors for hosting, storage and secure backup of our IT services. Such transfers are made on the basis of Adequacy decisions between the UK and EEA in accordance with Article 45 of UK GDPR.

Retention period for information collected

Your personal information will not be retained for any longer than is necessary for the lawful purposes for which it has been collected and processed. This is to ensure that your personal information does not become inaccurate, out of date or irrelevant. The Legal Aid Agency have set retention periods for the personal information that we collect, this can be accessed via our website:

<https://www.gov.uk/government/publications/record-retention-and-disposition-schedules>

You can also contact our Data Protection Officer for a copy of our retention policies.

While we retain your personal data, we will ensure that it is kept securely and protected from loss, misuse or unauthorised access and disclosure. Once the retention period has been reached, your personal data will be permanently and securely deleted and destroyed.

Access to personal information

You can find out if we hold any personal data about you by making a 'subject access request'. If you wish to make a subject access request please contact:

Disclosure Team - Post point 10.25
Ministry of Justice
102 Petty France
London
SW1H 9AJ

Data.access@justice.gov.uk

When we ask you for personal data

We promise to inform you why we need your personal data and ask only for the personal data we need and not collect information that is irrelevant or excessive.

When we collect your personal data, we have responsibilities, and you have rights, these include:

- That you can withdraw consent at any time, where relevant;
- That you can lodge a complaint with the supervisory authority;
- That we will protect and ensure that no unauthorised person has access to it;
- That your personal data is shared with other organisations only for legitimate purposes;
- That we don't keep it longer than is necessary;
- That we will not make your personal data available for commercial use without your consent; and
- That we will consider your request to correct, stop processing or erase your personal data.

You can get more details on:

- Agreements we have with other organisations for sharing information;
- Circumstances where we can pass on personal information without telling you, for example, to help with the prevention or detection of crime or to produce anonymised statistics;
- Our instructions to staff on how to collect, use or delete your personal information;
- How we check that the information we hold is accurate and up-to-date; and
- How to make a complaint.

For more information about the above issues, please contact the;

The Data Protection Officer
Ministry of Justice
102 Petty France
London
SW1H 9AJ

dataprotection@justice.gov.uk

Complaints

When we ask you for information, we will comply with the law. If you consider that your information has been handled incorrectly, you can contact the Information Commissioner for independent advice about data protection. You can contact the Information Commissioner at:

Information Commissioner's Office
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF
Tel: 0303 123 1113
www.ico.org.uk

Additional Information

1. Please tell us here about anything else to do with the company that you think we should know.

Signature of Company Accountant

Please sign and date the form and return it to the Company Director named on page 1, together with a copy of the **most recent company accounts**, if they are available.

Signature: _____ Date: ____ / ____ / ____