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FORM AR21

Trade Union and Labour Relations (Consolidation) Act 1992

ANNUAL RETURN FOR A TRADE UNION

Name of Trade Union:	British Association of Colliery Management
Year ended:	31 December 2012
List no:	319
Head or Main Office:	Edwinstowe House, High Street, Edwinstowe. NG21 9PR
Website address (if available)	www.bacmteam.org.uk
Has the address changed during the year to which the return relates?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> (Click the appropriate box)
General Secretary:	Pat Carragher
Telephone Number:	01623 821510
Contact name for queries regarding	Garry Parker
Telephone Number:	01623 821510
E-mail:	Garry Parker [garry@bacmteam.org.uk]

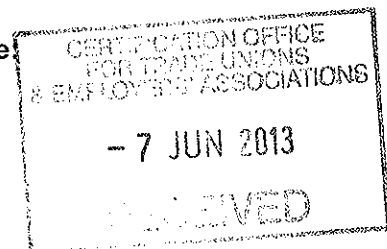
PLEASE FOLLOW THE GUIDANCE NOTES IN THE COMPLETION OF THIS RETURN.

Any difficulties or problems in the completion of this return should be directed to the Certification Officer as below or by telephone to: 020 7210 3734

The address to which returns and other documents should be sent are:

For Unions based in England and Wales:
Certification Office for Trade Unions and Employers' Associations
22nd Floor, Euston Tower, 286 Euston Road, London NW1 3JJ

For Unions based in Scotland:
Certification Office for Trade Unions and Employers' Associations
Melrose House, 69a George Street, Edinburgh EH2 2JG



(Revised February 2011)

RETURN OF MEMBERS

(see notes 10 and 11)

	NUMBER OF MEMBERS AT THE END OF THE YEAR				
	Great Britain	Northern Ireland	Irish Republic	Elsewhere Abroad (including Channel Islands)	TOTALS
MALE	2,016				2,016
FEMALE	77				77
TOTAL	2,093				A 2,093

Number of members included in totals box 'A' above for whom no home or authorised address is held:

0

Number of members at end of year contributing to the General Fund

1,243

OFFICERS IN POST

(see note 12)

Please attach as an annexe to this form a complete list of all officers in post at the end of the year to which this form relates, with the title of each persons office.

RETURN OF CHANGE OF OFFICERS

Please complete the following to record any changes of officers during the twelve months covered by this return.

Title of Office	Name of Officer ceasing to hold office	Name of Officer Appointed	Date

State whether the union is:

a. A branch of another trade union?

Yes

No

If yes, state the name of that other union:

--

b. A federation of trade unions?

Yes

No

If yes, state the number of affiliated unions:

--

and names:

--

GENERAL FUND

(see notes 13 to 18)

	£	£
INCOME		
From Members: Contributions and Subscriptions		144,160
From Members: Other income from members (specify)		
Total other income from members		0
Total of all income from members		144,160
Investment income (as at page 12)		22,506
Other Income		
Income from Federations and other bodies (as at page 4)		
Income from any other sources (as at page 4)	1,650	
Total of other income (as at page 4)		1,650
		TOTAL INCOME
		168,316
EXPENDITURE		
Benefits to members (as at page 5)		
Administrative expenses (as at page 10)		
Federation and other bodies (specify)		339,973
Total expenditure Federation and other bodies		
Taxation		430
		TOTAL EXPENDITURE
		340,403
		Surplus (deficit) for year
		(172,087)
		Amount of general fund at beginning of year
		(1,743,972)
		Amount of general fund at end of year
		(1,916,059)

ANALYSIS OF INCOME FROM FEDERATION AND OTHER BODIES AND OTHER INCOME

(see notes 19 and 20)

DESCRIPTION	£	£
Federation and other bodies		
TOTAL FEDERATION AND OTHER BODIES		
Other income		
TOTAL OTHER INCOME		
TOTAL OF ALL OTHER INCOME		

ANALYSIS OF BENEFIT EXPENDITURE SHOWN AT GENERAL FUND

(see notes 21 to 23)

	£		£
Representation – Employment Related Issues		brought forward	
		Education and Training services	
Representation – Non Employment Related Issues			
		Negotiated Discount Services	
Communications			
		Salary Costs	
Advisory Services			
		Other Benefits and Grants (specify)	
Dispute Benefits			
Other Cash Payments			
carried forward		Total (should agree with figure in General Fund)	

(See notes 24 and 25)

FUND 2		Fund Account	
Name:	Capital Fund	£	£
Income			
	From members		
	Investment income (as at page 12)	56,522	
	Other income (specify)		
	Curtailment gain on defined benefit obligation	5,000	
	Tax on investment income	444	
	Total other income as specified		0
	Total Income		61,966
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		0
	Surplus (Deficit) for the year		61,966
	Amount of fund at beginning of year		2,740,451
	Amount of fund at the end of year (as Balance Sheet)		2,802,417
	Number of members contributing at end of year		1,243

FUND 3		Fund Account	
Name:		£	£
Income			
	From members		
	Investment income (as at page 12)		
	Other income (specify)		
	Total other income as specified		
	Total Income		
Expenditure			
	Benefits to members		
	Administrative expenses and other expenditure (as at page 10)		
	Total Expenditure		
	Surplus (Deficit) for the year		
	Amount of fund at beginning of year		
	Amount of fund at the end of year (as Balance Sheet)		
	Number of members contributing at end of year		

ANALYSIS OF ADMINISTRATIVE EXPENSES AND OTHER OUTGOINGS EXCLUDING AMOUNTS CHARGED TO POLITICAL FUND ACCOUNTS

(see notes 32 and 33)

		£
Administrative Expenses		
Remuneration and expenses of staff		175,565
Salaries and Wages included in above	£ 152,889	
Auditors' fees		7,750
Legal and Professional fees		65,767
Occupancy costs		13,949
Stationery, printing, postage, telephone, etc.		16,992
Expenses of Executive Committee (Head Office)		2,780
Expenses of conferences		0
Other administrative expenses (specify)		
Recruitment, car expenses and affiliation fees		14,138
Computer services, sundry expenses, insurance, bank charges		8,256
Meetings and travel		23,481
Other Outgoings		
Interest payable:		
Bank loans (including overdrafts)		
Mortgages		
Other loans		
Depreciation		3,610
Taxation		430
Outgoings on land and buildings (specify)		
Repairs		3,350
Other outgoings (specify)		
Branch expenses		4,339
Total		340,403
Charged to:	General Fund (Page 3)	340,403
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
	Fund (Account)	
Total		340,403

ANALYSIS OF OFFICIALS SALARIES AND BENEFITS

(see notes 34 to 44 below)

Office held	Gross Salary £	Employers N.I. contributions £	Pension Contribution s £	Benefits		Total £
				Description	Value £	
General Secretary	78,787	9,854	11,407	Car and fuel	7,645	107,693

ANALYSIS OF INVESTMENT INCOME

(see notes 45 and 46)

	Political Fund £	Other Fund(s) £
Rent from land and buildings		
Dividends (gross) from:		
Equities (e.g. shares)		20,336
Interest (gross) from:		
Government securities (Gilts)		
Mortgages		
Local Authority Bonds		
Bank and Building Societies		920
Other investment income (specify)		
Interest on loan to General Secretary		1,250
Total investment income		22,506
Credited to:		
General Fund (Page 3)		22,506
Fund (Account)		
Fund (Account)		
Fund (Account)		
Fund (Account)		
Fund (Account)		
Political Fund		
Total Investment Income		22,506

BALANCE SHEET as at 31 Dec 2012

(see notes 47 to 50)

Previous Year		£	£
9,036	Fixed Assets (at page 14)		5,426
	Investments (as per analysis on page 15)		
1,148,605	Quoted (Market value £ 876,979)		761,786
	Unquoted		
1,157,641	Total Investments		767,212
	Other Assets		
	Loans to other trade unions		
29,837	Sundry debtors		23,673
52,159	Cash at bank and in hand		242,841
	Income tax to be recovered		
	Stocks of goods		
71,457	Others (specify) Cash on money market		153,309
153,453	Total of other assets		419,823
	TOTAL ASSETS		1,187,035
-1,743,972	Fund (Account General fund)		-1,916,059
2,740,451	Fund (Account Capital fund)		2,802,447
	Fund (Account)		
	Superannuation Fund (Account)		
	Political Fund (Account)		
	Revaluation Reserve		
	LIABILITIES		
	Amount held on behalf of central trade union political fund		
	Loans: From other trade unions		
	Loans: Other		
	Bank overdraft		
9,249	Tax payable		4,129
35,366	Sundry creditors		31,518
	Accrued expenses		
	Provisions		
270,000	Other liabilities		265,000
1,311,094	TOTAL LIABILITIES		300,647
1,311,094	TOTAL ASSETS		1,187,035

FIXED ASSETS ACCOUNT

(see notes 51 to 55)

	Land and Buildings		Furniture and Equipment £	Motor Vehicles £	Not used for union business £	Total £
	Freehold	Leasehold				
Cost or Valuation						
At start of year			83,330	24,091		107,421
Additions						
Disposals						
Revaluation/Transfer s						
At end of year			83,330	24,091		107,421
Accumulated Depreciation						
At start of year			77,301	21,084		98,385
Charges for year			603	3,007		3,610
Disposals						
Revaluation/Transfer s						
At end of year			77,904	24,091		101,995
Net book value at end of year			0	5,426		5,426
Net book value at end of previous year			6,029	3,007		9,036

ANALYSIS OF INVESTMENTS

(see notes 56 and 57)

QUOTED	All Funds Except Political Funds £	Political Fund £
Equities (e.g. Shares)		
Global Equities	495,119	
Fixed Investment Equities	83,305	
Government Securities (Gilts)		
Other quoted securities (to be specified)		
Hedge Funds	183,326	
TOTAL QUOTED (as Balance Sheet)	761,786	
Market Value of Quoted Investment	876,969	
UNQUOTED		
Equities		
Government Securities (Gilts)		
Mortgages		
Bank and Building Societies		
Other unquoted investments (to be specified)		
TOTAL UNQUOTED (as Balance Sheet)		
Market Value of Unquoted Investments		

ANALYSIS OF INVESTMENT INCOME (CONTROLLING INTERESTS)

(see notes 58 and 59)

Does the union, or any constituent part of the union, have a controlling interest in any limited company?		YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
If YES name the relevant companies:			
COMPANY NAME	COMPANY REGISTRATION NUMBER (if not registered in England & Wales, state where registered)		
Are the shares which are controlled by the union registered in the names of the union's trustees?		YES <input checked="" type="checkbox"/>	NO <input type="checkbox"/>
If NO, state the names of the persons in whom the shares controlled by the union are registered.			
COMPANY NAME	NAMES OF SHAREHOLDERS		

SUMMARY SHEET

(see notes 60 to 71)

	All funds except Political Funds £	Political Funds £	Total Funds £
INCOME			
From Members	144,160		144,160
From Investments	79,058		79,058
Other Income (including increases by revaluation of assets)	7,094		7,094
Total Income	230,312		230,312
EXPENDITURE (including decreases by revaluation of assets)			
Total Expenditure	340,403		340,403
Funds at beginning of year (including reserves)	996,479		996,479
Funds at end of year (including reserves)	886,388		886,388
ASSETS			
Fixed Assets			5,426
Investment Assets			761,786
Other Assets			419,823
		Total Assets	1,187,035
LIABILITIES		Total Liabilities	300,647
NET ASSETS (Total Assets less Total Liabilities)			886,388

NOTES TO THE ACCOUNTS

(see notes 72 and 73)

All notes to the accounts must be entered on or attached to this part of the return.

See attached report

ACCOUNTING POLICIES

(see notes 74 and 75)

SIGNATURES TO THE ANNUAL RETURN

(see notes 76 and 77)

including the accounts and balance sheet contained in the return.

Secretary's Signature: <u><i>P. M. Conroy</i></u> Name: <u>PATRICK MCARRAGH</u> Date: <u>6/6/2013</u>	Chairman's Signature: <u><i>[Handwritten Signature]</i></u> (or other official whose position should be stated) Name: <u>P. D. HADDLESTON</u> Date: <u>29/5/13</u>
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CHECK LIST

(see notes 78 to 80)

(please tick as appropriate)

IS THE RETURN OF OFFICERS ATTACHED? (see Page 2 and Note 12)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE RETURN OF CHANGE OF OFFICERS BEEN COMPLETED? <i>N/A as no changes</i> (see Page 2 and Note 12)	YES	<input type="checkbox"/>	NO	<input checked="" type="checkbox"/>
HAS THE RETURN BEEN SIGNED? (see Pages 19 and 21 and Notes 76 and 77)	YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
HAS THE AUDITOR'S REPORT BEEN COMPLETED? (see Pages 20 and 21 and Notes 2 and 77)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
IS A RULE BOOK ENCLOSED? (see Notes 8 and 78)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>
A MEMBER'S STATEMENT IS: (see Note 80)	ENCLOSED	<input type="checkbox"/>	TO FOLLOW	<input checked="" type="checkbox"/>
HAS THE SUMMARY SHEET BEEN COMPLETED (see Page 17 and Notes 7 and 59)	YES	<input checked="" type="checkbox"/>	NO	<input type="checkbox"/>

AUDITOR'S REPORT

(see notes 81 to 86)

made in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992.

1. In the opinion of the auditors or auditor do the accounts they have audited and which are contained in this return give a true and fair view of the matters to which they relate?
(See section 36(1) and (2) of the 1992 Act and notes 83 and 84)

YES

2. Have the auditors or auditor carried out such investigations in the preparation of their audit report as will enable them to form an opinion as to:
- (a) whether the trade union has kept proper accounting records in accordance with section 28 of the 1992 Act;
 - (b) whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of that section; and
 - (c) whether the accounts to which the report relates agree with the accounting records?
- (See section 36(3) of the 1992 Act, set out in note 83)

YES

3. Are the auditors or auditor of the opinion that the union has complied with section 28 of the 1992 Act and has:
- (a) kept proper accounting records with respect to its transactions and its assets and liabilities; and
 - (b) established and maintained a satisfactory system of control of its accounting records, its cash holding and all its receipts and remittances.
- (See section 36(4) of the 1992 Act set out in rule 83)

YES

4. Please set out a copy of the report made by the auditors or auditor to the union on the accounts to which this AR21 relates. The report is to set out the basis upon which the audit has been conducted and/or such other statement as the auditor considers appropriate. Such a statement may be provided as a separate document.
(See note 85)

See report attached

AUDITOR'S REPORT (continued)

See report attached

Signature(s) of auditor or auditors:

See attached

Name(s):

KPMG LLP

Profession(s) or Calling(s):

Registered Auditors

Address(es):

St Nicholas House
31 Park Row
Nottingham
NG16FQ

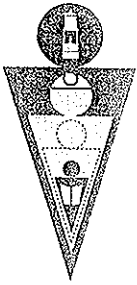
Date:

9 May 2013

Contact name and telephone number:

Tim Widdas
0115 9353491

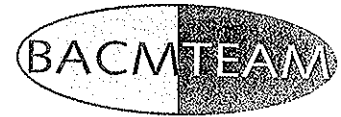
N.B. When notes to the accounts are referred to in the auditor's report a copy of those notes must accompany this return.



Edwinstowe Office
High Street
Edwinstowe
Notts
NG21 9PR

Tel No. 01623 821510
Fax No. 01623 827634

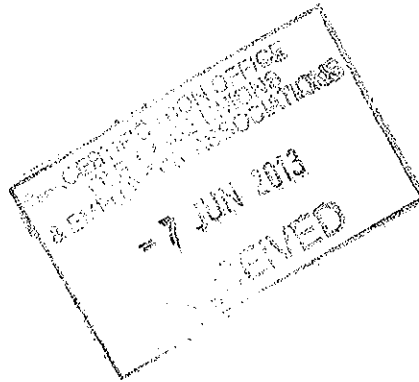
email: enquiries@bacmteam.org.uk
web: www.bacmteam.org.uk
General Secretary: Patrick Carragher



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Our Ref: PMC/JJA

17th May 2013



Dear Member

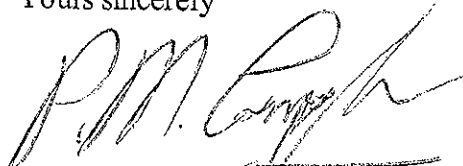
Please find enclosed a Statement to Members in respect of 2012 as required by Section 32A of the Trade Union and Labour Relations (Consolidation) Act 1992.

Would you please note that the Accounts and Annual Report are available to view in full on the Association's website at www.bacmteam.org.uk. Full copies of both are also available on request from the office, (please advise in writing if you would like to receive a hard copy).

Would members please note the Association's new address above.

Also, I would remind you that any member wishing to receive a paper copy of Focus, and who have not previously asked to be put on the mailing list, can do so by contacting the office. The Focus magazine is reproduced on the website at www.bacmteam.org.uk. June/July and December/January each year.

Yours sincerely


P M CARRAGHER
General Secretary



STATEMENT TO MEMBERS

British Association of Colliery Management, Technical, Energy and Administrative Management

STATEMENT TO MEMBERS ISSUED IN CONNECTION WITH THE UNION'S ANNUAL RETURN FOR PERIOD ENDED 31 DECEMBER 2012 AS REQUIRED BY SECTION 32A OF TRADE UNION AND LABOUR RELATIONS (CONSOLIDATION) ACT 1992

Income and Expenditure

The total income of the union for the period was £230,312. This amount included payments of £145,810 in respect of membership of the union and £5,000 curtailment gain on defined benefit obligation. The union's total expenditure for the period was £340,403. The union does not maintain a political fund.

Salary paid to and other benefits provided to the General Secretary, President and members of the Executive

The General Secretary of the union was paid £78,787 in respect of salary and £7,645 in respect of benefits. The National President of the union received £8,625 in respect of benefits. The National Vice president and National treasurer received £1,240 each in respect of benefits.

Irregularity statement

A member who is concerned that some irregularity may be occurring, or have occurred, in the conduct of the financial affairs of the union may take steps with a view to investigating further, obtaining clarification and, if necessary, securing regularisation of that conduct.

The member may raise any such concern with such one or more of the following as it seems appropriate to raise it with: the officials of the union, the trustees of the property of the union, the auditor or auditors of the union, the Certification Officer (who is an independent officer appointed by the Secretary of State) and the police.

Where a member believes that the financial affairs of the union have been or are being conducted in breach of the law or in breach of the rules of the union and contemplates bringing civil proceedings against the union or responsible officials or trustees, he should consider obtaining independent legal advice.

Auditor's report

See attached



Independent auditor's report to The British Association of Colliery Management, Technical, Energy and Administrative Management

We have audited the financial statements of The British Association of Colliery Management, Technical, Energy and Administrative Management for the year ended 31 December 2012 set out on pages 4 to 12. The financial reporting framework that has been applied in their preparation is applicable law and UK Accounting Standards (UK Generally Accepted Accounting Practice).

This report is made solely to the Association, in accordance with section 36 of the Trade Union and Labour Relations (Consolidation) Act 1992. Our audit work has been undertaken so that we might state to the Association those matters we are required to state to it in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the Association, for our audit work, for this report, or for the opinions we have formed.

Respective responsibilities of National Executive Committee and auditor

As explained more fully in the Statement of the National Executive Committee's Responsibilities set out on page 1, the Association's National Executive Committee is responsible for the preparation of financial statements which give a true and fair view.

We have been appointed as auditor under section 35 of the Trade Union and Labour Relations (Consolidation) Act 1992 and report in accordance with that Act. Our responsibility is to audit, and express an opinion on, the financial statements in accordance with applicable law and International Standards on Auditing (UK and Ireland). Those standards require us to comply with the Auditing Practices Board's (APB's) Ethical Standards for auditors.

Scope of the audit of the financial statements

A description of the scope of an audit of financial statements is provided on the APB's website at www.frc.org.uk/auditscopeukprivate.

Opinion on financial statements

In our opinion the financial statements give a true and fair view, in accordance with UK Generally Accepted Accounting Practice, of the state of the Association's affairs as at 31 December 2012 and of its excess of expenditure over income for the year then ended.

Matters on which we are required to report by exception

We have nothing to report in respect of the following matters where the Trade Union and Labour Relations (Consolidation) Act 1992 requires us to report to you if, in our opinion:

- whether the trade union has kept proper accounting records in accordance with the requirements of section 28;
- whether it has maintained a satisfactory system of control over its transactions in accordance with the requirements of section 28, and
- whether the accounts to which the report relates agree with the accounting records.

Tim Widdas

Dated: 11 May 2013

for and on behalf of KPMG LLP
Chartered Accountants
St Nicholas House
Park Row
Nottingham NG1 6FQ