



Department  
for Business  
Innovation & Skills

Better  
Regulation  
Delivery Office

**Regulators' Code:  
Summary of regulators and regulatory  
functions covered**

**April 2014**

## Summary of regulators and regulatory functions

**The Regulators' Code came into effect on 6<sup>th</sup> April 2014. The following Orders, made under section 24(2) of the Legislative and Regulatory Reform Act 2006, specify the scope of the Code:**

Legislative and Regulatory Reform (Regulatory Functions) Order 2007 ('the 2007 Order')  
Legislative and Regulatory Reform (Regulatory Functions) (Amendment) Order 2009  
Legislative and Regulatory Reform (Regulatory Functions) (Amendment) Order 2010  
Legislative and Regulatory Reform (Regulatory Functions) (Amendment) Order 2014

**As set out in these Orders, the Code applies to:**

1. Specified regulatory functions exercised by local authorities<sup>1</sup> in England, Scotland, Wales and Northern Ireland<sup>2</sup>.
2. All regulatory functions exercised by fire and rescue authorities in England.
3. Specified regulatory functions exercised by fire and rescue authorities in Wales<sup>3</sup>.
4. Specified regulatory functions exercised by Ministers<sup>4</sup> including by:
  - Animal Health and Veterinary Laboratories Agency
  - Animals in Science Regulation Unit
  - Centre for Environment, Fisheries and Aquaculture Science, Fish Health Inspectorate
  - Commission of Irish Lighthouses
  - Drinking Water Inspectorate
  - Driver and Vehicle Licensing Authority
  - Driving Standards Agency
  - Employment Agency and Standards Directorate
  - Financial Reporting Council
  - Food and Environment Research Agency
  - Highways Agency
  - Insolvency Service including Insolvency Practitioner Unit
  - Intellectual Property Office
  - Maritime and Coastguard Agency
  - Medicines and Healthcare Products Regulatory Agency
  - National Counter Terrorism Security Office
  - National Measurement Office
  - Northern Lighthouse Board
  - Office for Fair Access
  - Office for Nuclear Regulation
  - Rural Payments Agency

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<sup>1</sup> Local authorities are defined in article 1 of the Legislative and Regulatory Reform (Regulatory Functions) Order 2007, as amended

<sup>2</sup> Legislative and Regulatory Reform (Regulatory Functions) Order 2007, Schedule 1, Parts 3 and 4, Parts 5-11 (Scotland only), and Parts 12-13 (Northern Ireland only), as amended

<sup>3</sup> Legislative and Regulatory Reform (Regulatory Functions) Order 2007, Schedule 1, Part 3

<sup>4</sup> Legislative and Regulatory Reform (Regulatory Functions) Order 2007, Schedule 1, Part 2, as amended

- Trinity House Lighthouse Services
- Vehicle and Operator Services Agency
- Vehicle Certification Agency
- Veterinary Medicines Directorate

5. All regulatory functions exercised by the bodies listed below<sup>5</sup>:

- British Hallmarking Council
- Care Council for Wales
- Care Quality Commission
- Charity Commission for England and Wales
- Civil Aviation Authority<sup>6</sup>
- Claims Management Regulation Unit
- Coal Authority
- Commission for Equality and Human Rights
- Companies House (England and Wales)
- Companies House (Scotland)
- Companies House (Northern Ireland)
- Disclosure and Barring Service
- English Heritage
- Environment Agency
- Financial Conduct Authority
- Fire and Rescue Authorities in England
- Food Standards Agency
- Forestry Commission
- Gambling Commission
- Gangmasters Licensing Authority
- Groceries Code Adjudicator
- Health and Safety Executive<sup>7</sup>
- Her Majesty's Revenue and Customs (so far as they relate to functions conferred by the Money Laundering Regulations 2007)
- Homes and Communities Agency
- Human Fertilisation and Embryology Authority
- Human Tissue Authority
- Information Commissioner<sup>8</sup>
- Legal Services Board
- Marine Management Organisation
- Monitor<sup>9</sup>

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<sup>5</sup> Legislative and Regulatory Reform (Regulatory Functions) Order 2007, Schedule 1, Parts 1 and 1A, as amended

<sup>6</sup> other than any regulatory function under Part 4 of the Airports Act 1986, Part 1 of the Transport Act 2000, [ Part 1 of the Civil Aviation Act 2012], The Airports (Ground Handling) Regulations 1997, The Single European Sky (National Supervisory Authority) Regulations 2004, The Single European Sky (Functions of the National Supervisory Authority) Regulations 2006

<sup>7</sup> other than any regulatory function exercised under or by virtue of – Section 2 of or Schedule 1 to the Nuclear Installations Act 1965, The Import of Goods (Control) Order 1954, The Nuclear Industries Security Regulations 2003, Regulations 4 and 5 of the Uranium Enrichment Technology (Prohibition on Disclosure) Regulations 2004

<sup>8</sup> other than any regulatory function under the Freedom of Information Act 2000

<sup>9</sup> other than any regulatory function exercised under or by virtue of Chapter 2, 4 or 6 of Part 3 of the Health and Social Care Act 2012

- Natural England
  - Natural Resources Body for Wales
  - Office for Standards in Education, Children's Services and Skills
  - Office of the Regulator of Community Interest Companies
  - Pensions Regulator
  - Prudential Regulation Authority
  - Regulator of Social Housing
  - Security Industry Authority
  - Sports Ground Safety Authority
  - Traffic Commissioners
  - The Birmingham Assay Office
  - The Edinburgh Assay Office
  - The London Assay Office
  - The Sheffield Assay Office
6. All regulatory functions exercised by a person authorised by the Homes and Communities Agency to carry out functions pursuant to sections 201, 202 and 203 of the Housing and Regeneration Act 2008<sup>10</sup>.
7. All regulatory functions exercised by a person authorised by the Secretary of State under section 457 of the Companies Act 2006 for the purposes of section 456 of that Act<sup>11</sup>.
8. All regulatory functions exercised by a body designated by the Secretary of State for the purposes of section 1252 of the Companies Act 2006<sup>12</sup>.
9. All regulatory functions exercised by the professional bodies listed in Schedule 3 to the Money Laundering Regulations 2007, as listed below<sup>13</sup>:
- Association of Accounting Technicians
  - Association of Chartered Certified Accountants
  - Association of International Accountants
  - Association of Taxation Technicians
  - Chartered Institute of Management Accountants
  - Chartered Institute of Public Finance and Accountancy
  - Chartered Institute of Taxation
  - Council for Licensed Conveyancers
  - Faculty of Advocates
  - Faculty Office of the Archbishop of Canterbury
  - General Council of the Bar
  - General Council of the Bar of Northern Ireland
  - Insolvency Practitioners Association
  - Institute of Certified Bookkeepers
  - Institute of Chartered Accountants in England and Wales
  - Institute of Chartered Accountants in Ireland
  - Institute of Chartered Accountants of Scotland
  - Institute of Financial Accountants
  - International Association of Book-keepers

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<sup>10</sup> Legislative and Regulatory Reform (Regulatory Functions) Order 2007, Schedule 1, Part 1, as amended

<sup>11</sup> See (9)

<sup>12</sup> See (9)

<sup>13</sup> See (9)

Regulators' Code: Summary of regulators and regulatory functions covered

- Law Society
- Law Society of Northern Ireland
- Law Society of Scotland

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