



Department
of Health

The Quarter

Quarter 4 2012-13

October 2013

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Contents

Contents.....	3
Introduction	4
Quality.....	5
Productivity	8
Prevention.....	13
Annex 1 – NHS North of England	16
Annex 2 – NHS Midlands and East.....	21
Annex 3 – NHS London	27
Annex 4 – NHS South of England.....	30

Introduction

Following the April 2013 changes to the healthcare system, it is no longer appropriate for the Department of Health to report on the performance and financial outturns of the NHS, and there will be no further editions of *The Quarter*.

Much of the data that would have been reported in *The Quarter* for quarter 4 (Q4) 2012/13 has already been published, and the purpose of this document is to bring together the details of where this information may be found.

However, where performance data for Q4 2012/13 has not yet been published elsewhere, it is provided in this document (Annexes A and B). This data is the financial performance of NHS organisations, and quality, innovation, productivity and prevention (QIPP) efficiency savings.

Earlier editions of *The Quarter* have published NHS Performance Framework results and Tripartite Formal Agreement (TFA) ratings for NHS Trusts. The Performance Framework set out how the Department of Health identified underperforming NHS organisations and when intervention by SHAs and PCTs was appropriate. Performance management of the NHS has been managed by new organisations since April 2013, and we have decided that it would be impractical to produce and inappropriate to publish the results for the last quarter of 2012/13.

Quality

HCAI

The Health Protection Agency (which from 1 April 2013 has been part of Public Health England) published the quarterly results of the mandatory surveillance of MRSA bacteraemia, to Q4 2012/13:

http://www.hpa.org.uk/web/HPAweb&HPAwebStandard/HPAweb_C/1233906819629

The quarterly results of the mandatory *Clostridium difficile* reporting scheme are also published, including Q4 2012/13:

http://www.hpa.org.uk/web/HPAweb&HPAwebStandard/HPAweb_C/1195733750761

Patient experience

NHS England publish the number of mixed sex accommodation breaches on a monthly basis. The monthly figures for January, February and March 2013 are available on the NHS England website:

<http://www.england.nhs.uk/statistics/statistical-work-areas/mixed-sex-accommodation/msa-data/>

From April 2013, publication of the patient experience overall measure has transferred to NHS England. However, the Department of Health has published the annual patient experience overall statistics for 2012-13:

<https://www.gov.uk/government/statistical-data-sets/patient-experience-overall-measure--3>

Referral to treatment

Referral to treatment (RTT) consultant- led waiting times are published on a monthly basis by NHS England. The figures for January, February and March 2013 are available on the NHS England website:

<http://www.england.nhs.uk/statistics/statistical-work-areas/rtt-waiting-times/rtt-data-2012-13/>

NHS England also publishes data on patients waiting over 6 weeks for a diagnostic test. Q4 2012/13 data is available on the NHS England website:

<http://www.england.nhs.uk/statistics/statistical-work-areas/diagnostics-waiting-times-and-activity/diagnostics-census-data/>

A&E

The weekly A&E collection includes the number of waits over four hours for admission following decision to admit. The weekly reports for January, February and March 2013 are available on the NHS England website:

<http://www.england.nhs.uk/statistics/statistical-work-areas/ae-waiting-times-and-activity/weekly-ae-sitreps-2012-13/>

Ambulance

NHS England publishes ambulance quality indicators data on a monthly basis. The figures for January, February and March 2013 are available on the NHS England website:

<http://www.england.nhs.uk/statistics/statistical-work-areas/ambulance-quality-indicators/ambqi-2012-13/>

Cancer

NHS England publishes the quarterly national statistics on waiting times for suspected and diagnosed cancer patients accessing NHS services. The Q4 2012/13 figures are available on the NHS England website:

<http://www.england.nhs.uk/statistics/2013/05/31/provider-based-cancer-waiting-times-q4-2012-13-published-2/>

Long-term conditions

The GP patient survey asks whether people have enough support from local services or organisations to manage long term health conditions. The latest survey results, published in June 2013, are available from NHS England's website:

<http://www.england.nhs.uk/statistics/category/statistics/gp-patient-survey/>

Mental health

The Health and Social Care Information Centre (HSCIC) has published the Q4 Improving Access to Psychological Therapies (IAPT) key performance indicators:

<http://www.hscic.gov.uk/catalogue/PUB11365>

NHS England publishes the Quarterly Mental Health Community Teams Activity return which includes Early Intervention (EI) in psychosis services, Care Programme Approach (CPA) follow up and gate keeping by Crisis Resolution Home Treatment (CRHT) teams. Q4 2012/13 data is available on the NHS England website:

<http://www.england.nhs.uk/statistics/statistical-work-areas/mental-health-community-teams-activity/>

Emergency admissions

Non-elective admissions are published as part of the Monthly Hospital Activity Data. The data for January, February and March 2013 is available on the NHS England website:

<http://www.england.nhs.uk/statistics/statistical-work-areas/hospital-activity/monthly-hospital-activity/mar-data/>

Stroke

NHS England publishes the percentage of stroke patients' hospital stay spent in a stroke unit, and the numbers of transient ischemic attacks (TIA) treated within 24 hours. Q4 2012/13 data is available on the NHS England website:

<http://www.england.nhs.uk/statistics/statistical-work-areas/integrated-performance-measures-monitoring/stroke-data/>

Dentistry

The HSCIC publishes information on NHS dental activity in England. Information on the number of patients seen by an NHS dentist, up to Q4 2012/13, is available on the HSCIC website:

<http://www.hscic.gov.uk/searchcatalogue?productid=11661&topics=1%2fPrimary+care+services%2fDental+services&sort=Most+recent&size=10&page=1#top>

Productivity

Finance

Financial returns for Q4 are provided in full at Annex 1 to 4, however summaries are provided in Figures 1 to 4.

Figure 1 NHS Financial Performance by SHA area - PCT/SHA sector

	2009/10		2010/11		2011/12		2012/13	
	£m	% Resource Limit						
North East	80	1.6%	70	1.3%	64	1.2%	69	1.3%
North West	185	1.4%	215	1.5%	267	1.9%	301	2.0%
Yorkshire & The Humber	185	2.0%	187	1.9%	189	1.8%	218	2.1%
NHS North of England	450	1.6%	472	1.6%	520	1.7%	588	1.9%
East Midlands	83	1.2%	90	1.2%	90	1.1%	109	1.3%
West Midlands	80	0.8%	73	0.7%	92	0.9%	68	0.6%
East of England	137	1.5%	101	1.0%	108	1.1%	86	0.8%
NHS Midlands and East	300	1.2%	264	1.0%	290	1.0%	263	0.9%
London	382	2.4%	392	2.3%	442	2.6%	333	1.9%
NHS London	382	2.4%	392	2.3%	442	2.6%	333	1.9%
South East Coast	50	0.7%	65	0.9%	86	1.1%	62	0.8%
South Central	60	0.9%	67	1.0%	72	1.1%	85	1.3%
South West	95	1.1%	115	1.3%	177	1.9%	184	1.9%
NHS South of England	205	0.9%	247	1.1%	335	1.4%	331	1.4%
Total	1,337	1.5%	1,375	1.4%	1,587	1.6%	1,515	1.5%

Figure 2 - NHS Financial Performance by SHA area - Trust sector

	2009/10		2010/11		2011/12		2012/13	
	£m	% Turnover	£m	% Turnover	£m	% Turnover	£m	% Turnover
North East	10	3.0%	3	2.9%	2	3.8%	0	0.0%
North West	15	0.5%	21	0.7%	29	0.9%	37	1.1%
Yorkshire & The Humber	14	0.6%	10	0.4%	(5)	(0.2%)	(8)	(0.3%)
NHS North of England	39	0.7%	34	0.6%	26	0.4%	29	0.5%
East Midlands	18	0.7%	2	0.1%	23	0.7%	27	0.8%
West Midlands	53	1.6%	30	0.9%	33	0.8%	48	1.1%
East of England	30	1.4%	23	0.9%	12	0.5%	15	0.6%
NHS Midlands and East	101	1.2%	55	0.6%	68	0.7%	90	0.9%
London	(3)	(0.0%)	(20)	(0.2%)	(96)	(1.1%)	(75)	(1.0%)
NHS London	(3)	(0.0%)	(20)	(0.2%)	(96)	(1.1%)	(75)	(1.0%)
South East Coast	37	1.5%	16	0.6%	4	0.2%	15	0.5%
South Central	(7)	(0.3%)	8	0.3%	12	0.6%	10	0.5%
South West	28	1.3%	28	1.3%	30	1.4%	38	1.6%
NHS South of England	58	0.8%	52	0.7%	46	0.7%	63	0.9%
Total	195	0.7%	121	0.4%	44	0.1%	106	0.3%

Note: figures may not sum due to rounding

Figure 3. SHA and PCT Sector Surplus and (Deficit) 2009/10 to 2012/13

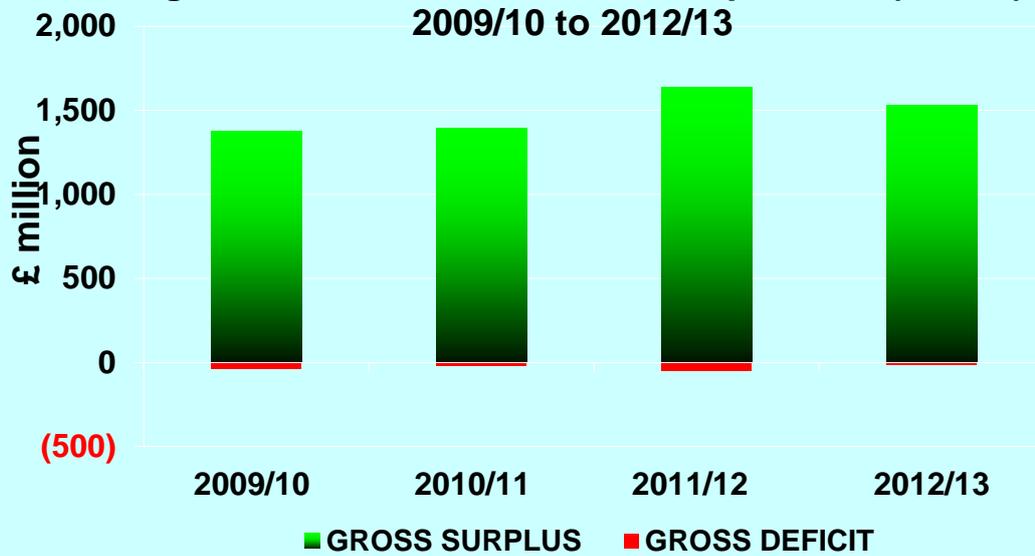
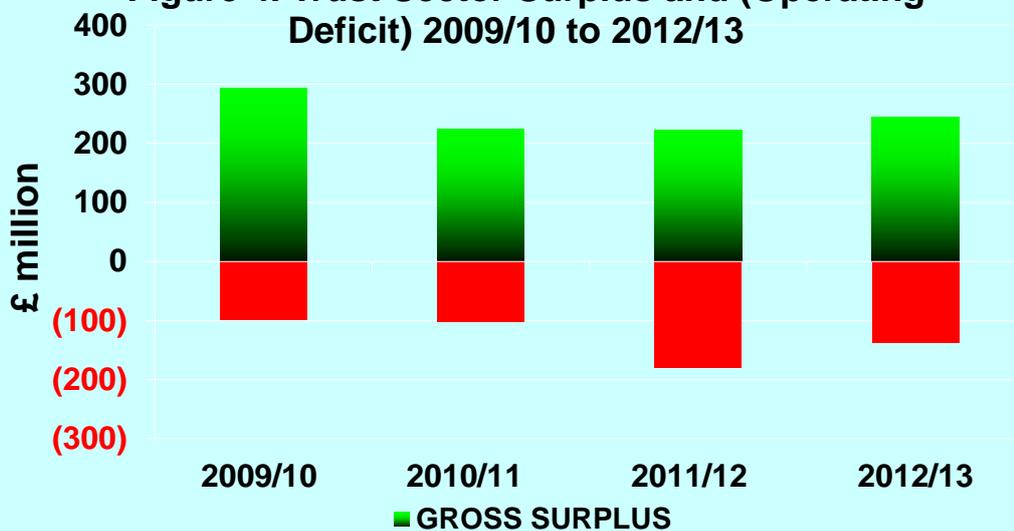


Figure 4. Trust Sector Surplus and (Operating Deficit) 2009/10 to 2012/13



QIPP returns, by SHA Cluster, are shown in Figure 5:

Figure 5 - Total 2012/13 QIPP	SHA cluster				
	London SHA	Midlands & East SHA	North of England SHA	South of England SHA	Total
QIPP Category	£m	£m	£m	£m	£m
Acute Services	529	687	693	633	2,542
Ambulance Services	10	23	24	20	77
Community Services	80	95	86	76	337
Continuing Healthcare	27	52	36	30	145
Mental Health & Learning Disabilities Services	114	117	94	74	399
Non-NHS Healthcare (inc reablement)	11	21	34	17	83
Prescribing	92	148	147	161	548
Primary Care, Dental, Pharmacy, Ophthalmic	49	38	51	67	205
Specialised Commissioning	36	107	80	48	271
Other	74	114	117	110	415
Total	1,022	1,402	1,362	1,236	5,022

Activity

NHS England publishes hospital inpatient and outpatient elective and non-elective activity data, some of which is on a monthly basis and some of which is quarterly. The number of GP referrals, and other referrals for a first outpatient appointment, are available for Q4 2012/13 :

<http://www.england.nhs.uk/statistics/statistical-work-areas/hospital-activity/quarterly-hospital-activity/qar-data/>

The number of GP referrals seen, all first outpatient attendances, and elective and non-elective admissions, are available for January, February and March 2013:

<http://www.england.nhs.uk/statistics/statistical-work-areas/hospital-activity/monthly-hospital-activity/mar-data/>

Attendances at A&E departments are published in weekly SitReps by NHS England:

<http://www.england.nhs.uk/statistics/statistical-work-areas/ae-waiting-times-and-activity/weekly-ae-sitreps-2012-13/>

The number of emergency ambulance journeys per month are also published by NHS England. The monthly figures for January to March 2013 are available on the NHS England website:

<http://www.england.nhs.uk/statistics/statistical-work-areas/ambulance-quality-indicators/ambqi-2012-13/>

Workforce

The HSCIC publishes data about the NHS workforce, including changes in key NHS staff groups and redundancy data. These are available from the HSCIC website, for January:

<http://www.hscic.gov.uk/searchcatalogue?productid=11436&topics=0%2fWorkforce&sort=Relevance&size=10&page=2#top>

for February:

<http://www.hscic.gov.uk/searchcatalogue?productid=11621&topics=0%2fWorkforce&sort=Relevance&size=10&page=2#top>

and for March:

<http://www.hscic.gov.uk/searchcatalogue?productid=11765&topics=1%2fWorkforce%2fStaff+numbers&sort=Relevance&size=10&page=1#top>

Prevention

Health visitors

The HSCIC publishes the numbers of health visitors, and those in training. These are available from the HSCIC website, for January:

<http://www.hscic.gov.uk/searchcatalogue?productid=11436&topics=0%2fWorkforce&sort=Relevance&size=10&page=2#top>

for February:

<http://www.hscic.gov.uk/searchcatalogue?productid=11621&topics=0%2fWorkforce&sort=Relevance&size=10&page=2#top>

and for March:

<http://www.hscic.gov.uk/searchcatalogue?productid=11765&topics=1%2fWorkforce%2fStaff+numbers&sort=Relevance&size=10&page=1#top>

Maternity and newborn

The numbers of women seen and assessed by a healthcare professional within 12 weeks and 6 days of their maternity in Q4 2012/13 are available from the NHS England website:

<http://www.england.nhs.uk/statistics/statistical-work-areas/integrated-performance-measures-monitoring/maternity-data/>

Breastfeeding

The Department has published Q4 2012/13 breastfeeding statistics on breastfeeding initiation and prevalence at six to eight weeks:

<https://www.gov.uk/government/statistical-data-sets/breastfeeding-statistics-q4-2012-to-2013>

Smoking

Data relating to smoking and smoking cessation will be published by HSCIC in October 2013.

Screening

NHS England have published Q4 2012/13 data on the number and proportion of admitted adult patients in England who have been risk assessed for Venous Thromboembolism (VTE):

<http://www.england.nhs.uk/statistics/statistical-work-areas/vte/>

The number of people who have been offered screening for diabetic retinopathy in Q4 2012/13 is also available from NHS England:

<http://www.england.nhs.uk/statistics/statistical-work-areas/integrated-performance-measures-monitoring/diabetes-data/>

Cervical screening data for 2012/13 will be published by the Health & Social Care Information Centre on 24 October 2013. Breast screening data for 2012/13 will be published in February 2014. There is currently no national return for bowel screening, but NHS Cancer Screening Programmes, part of Public Health England, are developing a return that will be tested this autumn. Pilot data may be available later.

Immunisation

The Health Protection Agency has published childhood vaccination rates for Q4 2012/13:

<http://www.hpa.org.uk/Topics/InfectiousDiseases/InfectionsAZ/VaccineCoverageAndCOVER>

Public Health England has published seasonal flu statistics for 2012/13, covering the period 1 September 2012 to 31 January 2013. This covers GP patient groups:

<https://www.gov.uk/government/publications/influenza-vaccine-uptake-amongst-gp-patient-groups-in-england-for-winter-season-2012-to-2013>

and healthcare workers:

<https://www.gov.uk/government/publications/seasonal-influenza-vaccine-uptake-in-healthcare-workers-winter-2012-to-2013>

NHS health checks

The number of people who were offered and received NHS Health Checks in Q4 2012/13 are available from NHS England:

<http://www.england.nhs.uk/statistics/statistical-work-areas/integrated-performance-measures-monitoring/nhs-health-checks-data/>

Patient Choice

In 2012/13, three separate measures were used to assess whether choice is being offered by referrers, using the Choose and Book system, to refer patients for first consultant outpatient services.

Proportion of GP referrals to first outpatient appointments booked using Choose and Book

The overall Choose and Book utilisation rate was 51 percent in March 2013, based on outturn GP referrals to first outpatient appointments, which was slightly higher than the February figure of 50 percent.

Bookings to services where named consultant-led teams were available

March 2013 data indicates the percentage of secondary care first outpatient bookings made through Choose and Book to services where named clinicians are available, even if not selected, has remained stable at 81 percent at the end of Q4 2012/13.

Patients treated at non-NHS hospitals

Figures for March 2013 show 7.9% of patients were referred to a first outpatient appointment at non-NHS provided services. These are considered to have exercised choice, since it is likely that an alternative NHS provider was also offered to them.

Source: calculated by DH from Choose and Book data

The HSCIC has published details of whether GP practices provide patient access to electronically book and/or cancel appointments online; and whether they provide patients electronic access to their full medical records online, for Q4 2012/13:

<https://indicators.ic.nhs.uk/webview/>

Key statistics for summary care records (SCRs), including the total number of SCRs created, were published by NHS Connecting for Health as at 26 March 2013:

<http://www.connectingforhealth.nhs.uk/systemsandservices/scr/staff/aboutscr/benefits/scrkey>

Annex 1 – NHS North of England

SHA and PCT Name	2009/10 Annual Accounts Surplus/ (Deficit) £000s	2010/11 Annual Accounts Surplus/ (Deficit) £000s	2011/12 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Revenue Resource Limit (RRL) £000s	2012/13 Annual Accounts Surplus/ (Deficit) as % RRL
County Durham PCT	1,020	1,016	1,008	1,004	1,034,515	0.1%
Darlington PCT	301	315	316	303	192,554	0.2%
Gateshead PCT	504	192	35	397	405,849	0.1%
Hartlepool PCT	125	100	100	100	188,973	0.1%
Middlesbrough PCT	278	600	600	600	304,794	0.2%
Newcastle PCT	945	258	314	1,105	534,691	0.2%
North East SHA	72,036	64,754	59,319	63,738	347,881	18.3%
North Tyneside PCT	475	355	380	266	406,475	0.1%
Northumberland Care PCT	220	1,370	319	257	591,945	0.0%
Redcar And Cleveland PCT	513	150	150	150	269,532	0.1%
South Tyneside PCT	1,819	460	542	78	333,406	0.0%
Stockton-On-Tees Teaching PCT	424	400	400	400	345,147	0.1%
Sunderland Teaching PCT	845	382	976	940	573,884	0.2%
North East subtotal SHA/PCTs	79,505	70,352	64,459	69,338	5,529,646	1.3%

SHA and PCT Name	2009/10 Annual Accounts Surplus/ (Deficit) £000s	2010/11 Annual Accounts Surplus/ (Deficit) £000s	2011/12 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Revenue Resource Limit (RRL) £000s	2012/13 Annual Accounts Surplus/ (Deficit) as % RRL
Ashton, Leigh And Wigan PCT	640	1,900	2,726	2,807	594,247	0.5%
Blackburn With Darwen PCT	717	n/a	n/a	n/a	n/a	n/a
Blackburn With Darwen Teaching Care Trust Plus PCT (1)	n/a	1,373	1,376	1,422	306,160	0.5%
Blackpool PCT	2,532	1,392	1,399	1,441	317,243	0.5%
Bolton PCT	996	983	992	1,000	513,588	0.2%
Bury PCT	413	236	253	757	331,597	0.2%
Central And Eastern Cheshire PCT	1,007	1,501	3,474	3,572	761,868	0.5%
Central Lancashire PCT	3,030	1,632	3,662	3,776	808,999	0.5%
Cumbria Teaching PCT	229	(5,926)	4,195	6,021	929,719	0.6%
East Lancashire Teaching PCT	1,021	3,336	3,324	3,424	717,959	0.5%
Halton And St Helens PCT	295	500	500	2,689	632,463	0.4%
Heywood, Middleton And Rochdale PCT	579	1,933	2,155	1,953	409,389	0.5%
Knowsley PCT	576	1,610	1,617	1,652	355,197	0.5%
Liverpool PCT	5,287	14,768	9,204	4,941	1,068,182	0.5%
Manchester PCT	481	347	1,293	3,256	1,111,495	0.3%
North Lancashire Teaching PCT	1,565	2,200	2,200	2,844	598,682	0.5%
North West SHA	157,339	175,418	215,124	239,671	946,270	25.3%
Oldham PCT	1,381	1,000	2,015	4,375	446,221	1.0%
Salford PCT	993	2,319	2,180	3,728	512,525	0.7%
Sefton PCT	498	2,500	2,548	2,624	551,854	0.5%
Stockport PCT	231	350	695	970	490,013	0.2%

Tameside And Glossop PCT	980	1,000	1,000	1,000	446,908	0.2%
Trafford PCT	534	1,500	701	501	389,097	0.1%
Warrington PCT	222	250	500	1,590	341,594	0.5%
Western Cheshire PCT	1,279	985	1,966	2,030	499,837	0.4%
Wirral PCT	2,047	2,031	2,001	3,132	665,650	0.5%
North West subtotal SHA/PCTs	184,872	215,138	267,100	301,176	14,746,757	2.0%

SHA and PCT Name	2009/10 Annual Accounts Surplus/ (Deficit) £000s	2010/11 Annual Accounts Surplus/ (Deficit) £000s	2011/12 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Revenue Resource Limit (RRL) £000s	2012/13 Annual Accounts Surplus/ (Deficit) as % RRL
Barnsley PCT	3,461	3,395	2,953	3,496	499,066	0.7%
Bassetlaw PCT (2)	n/a	n/a	1,680	1,712	202,133	0.8%
Bradford and Airedale Teaching PCT	7,550	6,680	8,165	7,500	951,099	0.8%
Calderdale PCT	2,679	4,224	3,468	3,600	364,457	1.0%
Doncaster PCT	4,177	2,691	2,688	2,239	589,845	0.4%
East Riding of Yorkshire PCT	3,684	5,185	5,197	5,198	515,181	1.0%
Hull Teaching PCT	3,820	3,714	3,113	19,389	557,383	3.5%
Kirklees PCT	2,928	7,900	8,239	6,600	704,674	0.9%
Leeds PCT	5,002	20,124	25,086	23,198	1,408,915	1.6%
North East Lincolnshire Care Trust Plus (3)	2,222	2,181	1,783	1,400	299,914	0.5%
North Lincolnshire PCT	1,249	3,693	1,998	2,000	276,799	0.7%
North Yorkshire And York PCT	317	242	209	(11,979)	1,274,948	(0.9%)
Rotherham PCT	2,042	2,192	2,196	2,183	468,116	0.5%
Sheffield PCT	4,479	499	489	478	1,026,943	0.0%
Wakefield District PCT	7,388	3,095	3,074	3,101	663,787	0.5%
Yorkshire and the Humber SHA	133,982	121,052	118,177	148,136	721,647	20.5%
Yorkshire and the Humber subtotal SHA/PCTs	184,980	186,867	188,515	218,251	10,524,907	2.1%

NHS North of England Total SHA/PCTs	449,357	472,357	520,074	588,765	30,801,310	1.9%
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Trust Name	2009/10 Annual Accounts Surplus/ (Operating Deficit) £000s	2010/11 Annual Accounts Surplus/ (Operating Deficit) £000s	2011/12 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Turnover £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) as % Turnover
North East Ambulance Service NHS Trust (4)	4,736	3,120	2,312	n/a	n/a	n/a
Northumberland, Tyne and Wear NHS Trust (5)	5,296	n/a	n/a	n/a	n/a	n/a
South Tees Hospitals NHS Trust (6)	131	n/a	n/a	n/a	n/a	n/a
North East subtotal trusts	10,163	3,120	2,312	0	0	0.0%

Trust Name	2009/10 Annual Accounts Surplus/ (Operating Deficit) £000s	2010/11 Annual Accounts Surplus/ (Operating Deficit) £000s	2011/12 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Turnover £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) as % Turnover
5 Boroughs Partnership NHS Trust (7)	2,210	n/a	n/a	n/a	n/a	n/a
Bridgewater Community Healthcare NHS Trust (8)	n/a	388	1,804	1,715	175,302	1.0%
East Cheshire NHS Trust	3,926	806	277	5,535	185,725	3.0%
East Lancashire Hospitals NHS Trust	287	723	3,025	8,011	404,986	2.0%
Liverpool Community Health NHS Trust (9)	n/a	2,654	3,530	3,123	146,648	2.1%
Liverpool Heart and Chest Hospital NHS Trust (10)	1,827	n/a	n/a	n/a	n/a	n/a
Manchester Mental Health and Social Care NHS Trust	532	(482)	1,516	1,052	106,305	1.0%
Mersey Care NHS Trust	3,000	7,359	5,000	4,000	208,526	1.9%
North Cumbria University Hospitals NHS Trust	327	1,356	1,095	203	235,295	0.1%
North West Ambulance Service NHS Trust	1,041	2,065	1,558	2,707	261,312	1.0%
Pennine Acute Hospitals NHS Trust	620	259	3,553	25	569,840	0.0%
Royal Liverpool Broadgreen University Hospitals NHS Trust	4,021	4,238	5,472	7,420	440,705	1.7%
Southport and Ormskirk Hospital NHS Trust	500	853	204	1,258	181,098	0.7%
St Helens and Knowsley Teaching Hospitals NHS Trust	225	296	305	700	278,572	0.3%
The Wirral Community NHS Trust (11)	n/a	n/a	717	917	66,494	1.4%
Trafford Healthcare NHS Trust (12)	(6,048)	319	482	n/a	n/a	n/a
University Hospitals of Morecambe Bay NHS Trust (13)	2,126	305	n/a	n/a	n/a	n/a
Walton Centre for Neurology and Neurosurgery NHS Trust (14)	424	n/a	n/a	n/a	n/a	n/a
North West subtotal trusts	15,018	21,139	28,538	36,666	3,260,808	1.1%

Trust Name	2009/10 Annual Accounts Surplus/ (Operating Deficit) £000s	2010/11 Annual Accounts Surplus/ (Operating Deficit) £000s	2011/12 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Turnover £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) as % Turnover
Airedale NHS Trust (15)	605	49	n/a	n/a	n/a	n/a
Bradford District Care Trust	103	104	108	1,462	135,858	1.1%
Hull and East Yorkshire Hospitals NHS Trust	7,601	4,701	4,878	5,420	497,132	1.1%
Humber Mental Health Teaching NHS Trust (16)	1,351	n/a	n/a	n/a	n/a	n/a
Leeds Community Healthcare NHS Trust (17)	n/a	n/a	2,577	1,809	139,906	1.3%
Leeds Teaching Hospitals NHS Trust	963	2,051	4,207	3,089	1,002,444	0.3%
Mid Yorkshire Hospitals NHS Trust	871	983	(19,217)	(21,839)	460,792	(4.7%)
Scarborough and North East Yorkshire Healthcare NHS Trust (18)	1,914	1,874	1,899	11	31,580	0.0%
South West Yorkshire Mental Health NHS Trust (19)	569	n/a	n/a	n/a	n/a	n/a
Yorkshire Ambulance Service NHS Trust	518	237	428	2,223	209,772	1.1%
Yorkshire and the Humber subtotal trusts	14,495	9,999	(5,120)	(7,825)	2,477,484	(0.3%)
NHS North of England total trusts	39,676	34,258	25,730	28,841	5,738,292	0.5%

The financial position reported in the *The Quarter* and the Department's Annual Report and Accounts is different. For 2012/13 the figures in *The Quarter* exclude any revenue impact of changes in the accounting treatment of impairments, donated assets and government grant reserves, and the impact of IFRS on PFI arrangements and absorption accounting. Also excluded are accounting adjustments applied to the Departmental Group Accounts.

For foundation trusts the forecast position is only for the time when the organisation was an NHS trust

- 1 Blackburn with Darwen Teaching Care Trust Plus PCT was formerly Blackburn with Darwen PCT pre-April 2010.
- 2 Bassetlaw PCT is being reported under the Yorkshire and the Humber SHA region from 1 April 2011. Prior to this, they were reported under the East Midlands SHA region.
- 3 North East Lincolnshire Care Trust Plus was formed following the dissolution of North East Lincolnshire PCT on 1 September 2007.
- 4 North East Ambulance Service Trust achieved foundation trust status on 1 November 2011.
- 5 Northumberland, Tyne and Wear NHS Trust achieved foundation trust status on 1 December 2009.
- 6 South Tees Hospitals NHS Trust achieved foundation trust status on 1 May 2009.
- 7 5 Boroughs Partnership NHS Trust achieved foundation trust status on 1 March 2010.
- 8 On 1 April 2011, Bridgewater Community Healthcare NHS Trust changed its name from Ashton, Leigh and Wigan Community Healthcare NHS Trust, which was established as an NHS trust on 1 November 2010 taking on the provider services of NHS Ashton, Leigh and Wigan.
- 9 Liverpool Community Health NHS Trust was established as an NHS trust on 1 November 2010 taking on the provider services of Liverpool Primary Care Trust.
- 10 Liverpool Heart and Chest Hospital NHS Trust achieved foundation trust status on 1 December 2009.
- 11 The Wirral Community NHS Trust was formed on 1 April 2011.
- 12 On 1 April 2012, Trafford Healthcare NHS Trust (RM4) merged with Central Manchester Foundation Trust.

- 13 University Hospitals of Morecambe Bay NHS Trust achieved foundation trust status on 1 October 2010.
- 14 Walton Centre for Neurology and Neurosurgery NHS Trust achieved foundation trust status on 1 August 2009.
- 15 Airedale NHS Trust achieved foundation trust status on 1 June 2010.
- 16 Humber Mental Health Teaching NHS Trust achieved foundation trust status on 1 February 2010.
- 17 Leeds Community Healthcare NHS Trust was formed on 1 April 2011.
- 18 Scarborough and North East Yorkshire NHS Trust merged with York Teaching Hospital NHS Foundation Trust on 1st July 12, and is now managed by York Teaching Hospital NHS Foundation NHS Trust.
- 19 South West Yorkshire Mental Health NHS Trust achieved foundation trust status on 1 May 2009.

In addition to the operating deficits in 2012/13 shown above, the following organisation(s) also forecast a technical deficit (£m) in the same period. A technical deficit is a deficit arising due to:

- a) impairments, or**
 - b) incurring additional revenue charges associated with bringing PFI assets on the balance sheet due to the introduction of IFRS accounting in 2009/10.**
 - c) the impact of the change in accounting for donated assets and government grant reserves.**
 - d) the impact of absorption accounting.**
- This is not recognised for NHS budgeting purposes.**

Bradford District Care NHS Trust (£2m)

Hull and East Yorkshire Hospitals NHS Trust (£5m)

Manchester Mental Health and Social Care NHS Trust (£2m)

Mersey Care NHS Trust (£4m)

Mid Yorkshire Hospitals NHS Trust (£15m)

North Cumbria University Hospitals NHS Trust (£17m)

Pennine Acute Hospitals NHS Trust (£25m)

Scarborough and North East Hospital NHS Trust (£69m)

Southport and Ormskirk Hospital NHS Trust (£5m)

Note: SHA and PCT turnover equals the Revenue Resource Limit (RRL) they are allocated. Trust turnover is all the income they receive including income from PCTs. Trust income should therefore be excluded from any aggregation of SHA economy turnover to avoid double counting resources.

Annex 2 – NHS Midlands and East

SHA and PCT Name	2009/10 Annual Accounts Surplus/ (Deficit) £000s	2010/11 Annual Accounts Surplus/ (Deficit) £000s	2011/12 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Revenue Resource Limit (RRL) £000s	2012/13 Annual Accounts Surplus/ (Deficit) as % RRL
Bassetlaw PCT (1)	1,434	2,595	n/a	n/a	n/a	n/a
Derby City PCT	650	30	2,982	4,490	473,454	0.9%
Derbyshire County PCT	1,873	11,212	8,028	12,407	1,223,062	1.0%
East Midlands SHA	59,092	22,905	45,148	34,445	434,212	7.9%
Leicester City PCT	241	6,192	3,665	9,630	583,074	1.7%
Leicestershire County and Rutland PCT	1,148	10,502	6,270	13,141	1,003,754	1.3%
Lincolnshire Teaching PCT	7,264	14,314	9,525	12,517	1,270,154	1.0%
Milton Keynes PCT (2)	n/a	n/a	505	2,618	379,365	0.7%
Northamptonshire Teaching PCT	4,642	10,528	7,058	3,540	1,101,411	0.3%
Nottingham City PCT	2,448	6,841	3,412	4,412	590,921	0.7%
Nottinghamshire County Teaching PCT	4,514	5,017	3,372	11,348	1,110,878	1.0%
East Midlands subtotal SHA/PCTs	83,306	90,136	89,965	108,548	8,170,285	1.3%

SHA and PCT Name	2009/10 Annual Accounts Surplus/ (Deficit) £000s	2010/11 Annual Accounts Surplus/ (Deficit) £000s	2011/12 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Revenue Resource Limit (RRL) £000s	2012/13 Annual Accounts Surplus/ (Deficit) as % RRL
Birmingham East and North PCT	2,453	522	240	4,539	813,965	0.6%
Coventry Teaching PCT	4,644	6,247	5,766	5,826	623,058	0.9%
Dudley PCT	362	794	5,992	9,008	537,472	1.7%
Heart of Birmingham Teaching PCT	7,615	9,555	830	2,321	593,896	0.4%
Herefordshire PCT	778	111	291	254	312,318	0.1%
North Staffordshire PCT	515	1,162	714	55	366,735	0.0%
Sandwell PCT	89	1,222	8,889	10,133	618,739	1.6%
Shropshire County PCT	490	872	1,295	1,016	485,682	0.2%
Solihull PCT (3)	16	531	281	1,689	356,050	0.5%
South Birmingham PCT	4,700	500	736	2,871	670,924	0.4%
South Staffordshire PCT	2,200	378	353	15	1,008,020	0.0%
Stoke on Trent PCT	2,588	3,115	1,993	39	547,606	0.0%
Telford and Wrekin PCT	4,522	467	1,098	1,157	278,578	0.4%
Walsall Teaching PCT	6,022	5,437	2,597	3,527	492,857	0.7%
Warwickshire PCT	594	176	177	212	867,690	0.0%
West Midlands SHA	19,732	23,204	37,534	6,983	527,317	1.3%
Wolverhampton City PCT	19,365	15,692	19,682	15,210	493,823	3.1%
Worcestershire PCT	3,519	3,470	3,044	3,029	913,819	0.3%
West Midlands subtotal SHA/PCTs	80,204	73,455	91,512	67,884	10,508,549	0.6%

SHA and PCT Name	2009/10 Annual Accounts Surplus/ (Deficit) £000s	2010/11 Annual Accounts Surplus/ (Deficit) £000s	2011/12 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Revenue Resource Limit (RRL) £000s	2012/13 Annual Accounts Surplus/ (Deficit) as % RRL
Bedfordshire PCT	236	498	504	266	650,619	0.0%
Cambridgeshire PCT	501	398	499	2,835	919,481	0.3%
East Of England SHA	135,389	83,960	94,829	58,212	649,612	9.0%
Great Yarmouth and Waveney PCT	352	1,625	1,009	1,618	414,514	0.4%
Hertfordshire PCT (4)	1,611	638	513	3,001	1,767,931	0.2%
Luton PCT	400	506	256	45	341,481	0.0%
Mid Essex PCT	1,007	3,767	1,121	1,000	554,460	0.2%
Norfolk PCT	695	959	1,403	7,001	1,254,751	0.6%
North East Essex PCT	2,993	2,998	1,143	1,132	559,718	0.2%
Peterborough PCT	(12,832)	389	4,110	790	289,104	0.3%
South East Essex PCT	2,014	1,093	879	229	597,841	0.0%
South West Essex PCT	1,614	48	252	684	684,212	0.1%
Suffolk PCT	2,578	3,560	1,070	8,012	971,202	0.8%
West Essex PCT	815	721	620	1,153	468,020	0.2%
East of England subtotal SHA/PCTs	137,373	101,160	108,208	85,978	10,122,946	0.8%
NHS Midlands and East total SHA/PCTs	300,883	264,751	289,685	262,410	28,801,780	0.9%

Trust Name	2009/10 Annual Accounts Surplus/ (Operating Deficit) £000s	2010/11 Annual Accounts Surplus/ (Operating Deficit) £000s	2011/12 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Turnover £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) as % Turnover
Derbyshire Mental Health Services NHS Trust (5)	1,014	379	n/a	n/a	n/a	n/a
Derbyshire Community Health Services NHS Trust (6)	n/a	n/a	1,419	2,880	188,398	1.5%
East Midlands Ambulance Service NHS Trust	2,016	467	1,402	30	155,041	0.0%
Leicestershire Partnership NHS Trust	1,732	1,700	6,562	4,228	281,886	1.5%
Lincolnshire Community Health Services NHS Trust (7)	n/a	n/a	1,081	1,473	108,773	1.4%
Northampton General Hospital NHS Trust	2,081	1,109	504	399	271,295	0.1%
Northamptonshire Healthcare NHS Trust (8)	29	n/a	n/a	n/a	n/a	n/a
Nottingham University Hospitals NHS Trust	7,256	5,010	4,764	9,133	812,969	1.1%
Nottinghamshire Healthcare NHS Trust	2,387	6,505	6,896	8,181	425,649	1.9%
United Lincolnshire Hospitals NHS Trust	1,282	(13,880)	320	124	422,802	0.0%
University Hospitals of Leicester NHS Trust	51	1,013	88	91	758,665	0.0%
East Midlands subtotal trusts	17,848	2,303	23,036	26,539	3,425,478	0.8%

Trust Name	2009/10 Annual Accounts Surplus/ (Operating Deficit) £000s	2010/11 Annual Accounts Surplus/ (Operating Deficit) £000s	2011/12 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Turnover £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) as % Turnover
Birmingham Community Health Care Trust (9)	n/a	686	2,559	2,953	249,066	1.2%
Coventry and Warwickshire Partnership NHS Trust (10)	3,690	2,936	4,589	6,701	205,782	3.3%
Dudley and Walsall Mental Health Partnership NHS Trust	376	883	1,163	3,575	71,302	5.0%
George Eliot Hospital NHS Trust	1,164	112	45	32	122,494	0.0%
North Staffordshire Combined Healthcare NHS Trust	449	698	891	1,671	79,487	2.1%
Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Trust (11)	2,054	1,618	741	n/a	n/a	n/a
The Royal Wolverhampton Hospitals NHS Trust (12)	8,035	7,964	9,297	8,688	384,917	2.3%
Sandwell & West Birmingham Hospitals NHS Trust	7,260	2,193	1,863	6,523	433,007	1.5%
Shrewsbury and Telford Hospital NHS Trust	712	26	59	81	309,362	0.0%
Shropshire Community Health NHS Trust (13)	n/a	n/a	1,397	1,496	79,679	1.9%
Staffordshire and Stoke on Trent Partnership NHS Trust (14)	n/a	n/a	1,527	2,004	372,746	0.5%
South Warwickshire General Hospitals NHS Trust (15)	5,581	n/a	n/a	n/a	n/a	n/a
University Hospital of North Staffordshire NHS Trust	5,644	4,141	1,050	235	473,558	0.0%
University Hospitals Coventry and Warwickshire NHS Trust	10,234	4,162	1,465	1,916	509,163	0.4%
Walsall Healthcare NHS Trust (16)	1,998	3,247	4,164	3,853	228,409	1.7%
West Midlands Ambulance Service NHS Trust (17)	255	99	925	5,797	152,573	3.8%
Worcestershire Acute Hospitals NHS Trust	3,135	287	88	17	348,763	0.0%
Worcestershire Health And Care NHS Trust (18)	700	700	1,500	2,522	170,835	1.5%
Wye Valley NHS Trust (19)	1,165	46	71	294	175,798	0.2%
West Midlands subtotal trusts	52,452	29,798	33,394	48,358	4,366,941	1.1%

Trust Name	2009/10 Annual Accounts Surplus/ (Operating Deficit) £000s	2010/11 Annual Accounts Surplus/ (Operating Deficit) £000s	2011/12 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Turnover £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) as % Turnover
Bedford Hospitals NHS Trust	612	274	197	1,224	223,009	0.5%
Bedfordshire and Luton Mental Health and Social Care Partnership NHS Trust (20)	463	n/a	n/a	n/a	n/a	n/a
Cambridgeshire Community Services NHS Trust (21)	n/a	1,044	681	1,632	161,921	1.0%
East and North Hertfordshire NHS Trust	2,499	3,328	3,568	532	350,543	0.2%
East of England Ambulance Service NHS Trust	757	2,364	3,121	4,175	235,499	1.8%
Hertfordshire Community NHS Trust (22)	n/a	184	1,030	1,308	129,082	1.0%
Hinchingbrooke Health Care NHS Trust	598	79	186	0	110,564	0.0%
Mid Essex Hospital Services NHS Trust	2,551	3,660	(2,156)	1,100	275,179	0.4%
Norfolk Community Health and Care NHS Trust (23)	n/a	552	637	2,683	124,843	2.1%
Suffolk Mental Health Partnership NHS Trust (24)	1,513	335	n/a	n/a	n/a	n/a
The Ipswich Hospital NHS Trust	3,351	1,260	137	205	236,732	0.1%
The Princess Alexandra Hospital NHS Trust	511	415	461	122	184,568	0.1%
The Queen Elizabeth Hospital Kings Lynn NHS Trust (25)	4,510	1,931	n/a	n/a	n/a	n/a
West Hertfordshire Hospitals NHS Trust	5,699	7,358	3,657	1,904	278,230	0.7%
West Suffolk Hospitals NHS Trust (26)	6,273	194	251	n/a	n/a	n/a
East of England subtotal trusts	29,337	22,978	11,770	14,885	2,310,170	0.6%
NHS Midlands and East total trusts	99,637	55,079	68,200	89,782	10,102,589	0.9%

The financial position reported in the *The Quarter* and the Department's Annual Report and Accounts is different. For 2012/13 the figures in *The Quarter* exclude any revenue impact of changes in the accounting treatment of impairments, donated assets and government grant reserves, and the impact of IFRS on PFI arrangements and absorption accounting. Also excluded are accounting adjustments applied to the Departmental Group Accounts.

For FTs the forecast position is only for the time when the organisation was an NHS trust

- 1 Bassetlaw PCT is being reported under the Yorkshire and the Humber SHA region from 1 April 2011.
- 2 Milton Keynes PCT became part of East Midlands SHA from 1 April 2011. Prior to this, they were reported under the South Central SHA region.
- 3 Solihull Care Trust changed its name to Solihull Primary Care Trust following the transfer of their community services to other organisations on 1 April 2011.
- 4 Hertfordshire PCT was formed by the merger of East and North Hertfordshire (5P3) and West Hertfordshire PCT (5P4) on 1 April 2010.
- 5 Derbyshire Mental Health Services NHS Trust achieved foundation trust status on 1 February 2011.
- 6 Derbyshire Community Health Services NHS Trust was formed on 1 April 2011.
- 7 Lincolnshire Community Health Services NHS Trust was formed on 1 April 2011.
- 8 Northamptonshire Healthcare NHS Trust achieved foundation trust status on 1 May 2009.

- 9 Birmingham Community Health Care NHS Trust (RYW) was established as an NHS Trust on 1 November 2010, taking on the provider services of NHS Birmingham East and North, NHS Heart of Birmingham and NHS South Birmingham.
- 10 Coventry and Warwickshire Partnership NHS Trust was formed from the Mental Health elements of Rugby PCT, Coventry Teaching PCT, North Warwickshire PCT and South Warwickshire PCT.
- 11 Robert Jones and Agnes Hunt Orthopaedic Hospital NHS Trust achieved foundation trust status on 1 August 2011.
- 12 On 15 August 2012, The Royal Wolverhampton Hospitals NHS Trusts (RL4) changed its name, after acquiring the community health services from the local PCT under TCS, to become the Royal Wolverhampton NHS Trust.
- 13 Shropshire Community Health NHS Trust was formed on 1 July 2011. The new Trust will combine community health services from Shropshire County PCT and Telford and Wrekin PCT into a single organisation.
- 14 Staffordshire and Stoke on Trent NHS Partnership Trust (R1E) was formed on 1 September 2011, bringing together community health services previously provided by NHS North Staffordshire, NHS Stoke-on-Trent and South Staffordshire PCT.
- 15 South Warwickshire General Hospitals NHS Trust achieved foundation trust status on 1 March 2010.
- 16 Walsall Healthcare NHS Trust was formed on 1 April 2011 following the integration of Walsall Hospitals NHS Trust and NHS Walsall Community Health.
- 17 West Midlands Ambulance Service NHS Trust achieved foundation trust status on 1 January 2013.
- 18 Worcestershire Health and Care NHS Trust was established on 1 July 2011 to manage the vast majority of the services which were previously managed by Worcestershire Primary Care NHS Trust's provider arm, as well as the mental health services that were managed by Worcestershire Mental Health Partnership NHS Trust.
- 19 Hereford Hospitals NHS Trust changed its name to Wye Valley NHS Trust on 1 April 2011 following Herefordshire's health and adult social care providers joining to form an integrated provider of acute, community and social care in England.
- 20 On 1 April 2010, South Essex Partnership University NHS Foundation Trust (SEPT) took over Bedfordshire and Luton Mental Health and Social Care Partnership NHS Trust (BLPT). BLPT made history by being the first NHS trust to put itself up for merger with an established NHS foundation trust (FT).
- 21 Cambridgeshire Community Services NHS Trust is a new trust formed on 1 April 2010.
- 22 Hertfordshire Community NHS Trust (RY4) was established on 1 November 2010, taking on the provider services of Hertfordshire PCT.
- 23 Norfolk Community Health and Care NHS Trust (RY3) was established on 1 November 2010, taking on the provider services of Norfolk Primary Care Trust.
- 24 Suffolk Mental Health Partnership NHS Trust (RT6), which merged with Norfolk and Waveney Mental Health NHS Foundation Trust on 1 January 2012 to become Norfolk and Suffolk NHS Foundation Trust.
- 25 The Queen Elizabeth Hospital King's Lynn NHS Trust achieved foundation trust status on 1 February 2011.
- 26 West Suffolk Hospitals NHS Trust achieved foundation trust status on 1 December 2011.

In addition to the operating deficits in 2012/13 shown above, the following organisation(s) also forecast a technical deficit (£m) in the same period. A technical deficit is a deficit arising due to:

- a) impairments, or**
- b) incurring additional revenue charges associated with bringing PFI assets on the balance sheet due to the introduction of IFRS accounting in 2009/10.**
- c) the impact of the change in accounting for donated assets and government grant reserves.**
- d) the impact of absorption accounting.**

This is not recognised for NHS budgeting purposes.

East and North Hertfordshire NHS Trust (£12m)

East Midlands Ambulance Service NHS Trust (£0.3m)
George Eliot Hospital NHS Trust (£0.1m)
Hinchingbrooke Healthcare NHS Trust (£1m)
Mid Essex Hospital Services NHS Trust (£6m)
Northampton General Hospital NHS Trust (£0.8m)
Nottinghamshire Healthcare NHS Trust (£3m)
Princess Alexandra Hospital NHS Trust (£0.1m)
Sandwell and West Birmingham Hospitals NHS Trust (£3m)
United Lincolnshire Hospitals NHS Trust (£5m)
University Hospital of North Staffordshire Hospital NHS Trust (£53m)
University Hospitals Coventry and Warwickshire NHS Trust (£24m)
West Hertfordshire Hospitals NHS Trust (£0.9m)
Worcestershire Acute Hospitals NHS Trust (£0.3m)

Note: SHA and PCT turnover equals the Revenue Resource Limit (RRL) they are allocated. Trust turnover is all the income they receive including income from PCTs. Trust income should therefore be excluded from any aggregation of SHA economy turnover to avoid double counting resources.

Annex 3 – NHS London

SHA and PCT Name	2009/10 Annual Accounts Surplus/ (Deficit) £000s	2010/11 Annual Accounts Surplus/ (Deficit) £000s	2011/12 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Revenue Resource Limit (RRL) £000s	2012/13 Annual Accounts Surplus/ (Deficit) as % RRL
Barking and Dagenham PCT	3,377	62	3,567	5,911	356,000	1.7%
Barnet PCT	139	134	(13,955)	2,896	632,332	0.5%
Bexley Care PCT	51	486	2,274	3,518	378,784	0.9%
Brent Teaching PCT	16,334	17,416	21,576	25,795	576,652	4.5%
Bromley PCT	249	6,899	6,111	5,110	541,269	0.9%
Camden PCT	12	11,807	43,162	23,819	549,603	4.3%
City and Hackney Teaching PCT	9,346	6,594	13,164	12,474	564,490	2.2%
Croydon PCT	3,412	5,535	838	63	623,938	0.0%
Ealing PCT	3	34	37	2,500	634,148	0.4%
Enfield PCT	(10,491)	11	(17,188)	2,840	524,702	0.5%
Greenwich Teaching PCT	608	5,327	4,770	4,726	508,398	0.9%
Hammersmith and Fulham PCT	10,538	3,513	5,496	6,884	376,354	1.8%
Haringey Teaching PCT	29	170	(17,439)	2,713	499,851	0.5%
Harrow PCT	126	677	150	3,252	372,460	0.9%
Havering PCT	1,528	932	873	4,104	449,634	0.9%
Hillingdon PCT	19,380	5	44	1,979	447,185	0.4%
Hounslow PCT	40	42	150	1,988	446,233	0.4%
Islington PCT	1,121	10,261	20,837	11,294	523,794	2.2%
Kensington and Chelsea PCT	3,985	3,410	10,166	16,144	393,041	4.1%
Kingston PCT	103	2,623	4,515	3,958	287,630	1.4%
Lambeth PCT	988	6,430	6,867	7,093	712,269	1.0%
Lewisham PCT	90	5,287	5,445	5,531	566,034	1.0%
London SHA	288,675	257,187	255,672	97,437	1,875,128	5.2%
Newham PCT	1,107	7,104	9,738	8,252	598,674	1.4%
Redbridge PCT	6,232	6,217	6,644	4,030	435,496	0.9%
Richmond and Twickenham PCT	112	2,845	7,742	9,058	300,011	3.0%
Southwark PCT	628	1,365	5,987	6,065	575,091	1.1%
Sutton and Merton PCT	(2,286)	266	6,457	4,617	627,423	0.7%
Tower Hamlets PCT	6,753	6,973	8,985	11,190	612,002	1.8%
Waltham Forest PCT	0	27	100	4,313	452,547	1.0%
Wandsworth PCT	4,386	12,322	16,709	12,714	617,629	2.1%
Westminster PCT	15,010	9,866	22,890	21,101	598,471	3.5%
London total SHA/PCTs	381,585	391,827	442,384	333,368	17,657,273	1.9%
NHS London Total SHA/PCTs	381,585	391,827	442,384	333,368	17,657,273	1.9%

Trust Name	2009/10 Annual Accounts Surplus/ (Operating Deficit) £000s	2010/11 Annual Accounts Surplus/ (Operating Deficit) £000s	2011/12 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Turnover £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) as % Turnover
Barking, Havering and Redbridge Hospitals NHS Trust	(22,309)	(32,986)	(49,913)	(39,492)	438,354	(9.0%)
Barnet and Chase Farm Hospitals NHS Trust	5,069	3,154	2,221	639	358,508	0.2%
Barnet, Enfield and Haringey Mental Health NHS Trust	239	274	2,023	2,021	190,518	1.1%
Barts Health NHS Trust (1)	11,707	(1,506)	(3,940)	409	1,324,338	0.0%
Central London Community Healthcare NHS Trust (2)	n/a	2,196	3,835	1,766	193,270	0.9%
Croydon Health Services NHS Trust (3)	1,106	4,913	3,967	199	243,551	0.1%
Ealing Hospital NHS Trust	36	28	2,304	111	234,084	0.0%
Epsom and St Helier University Hospitals NHS Trust	2,877	3,332	(12,277)	(12,094)	343,567	(3.5%)
Great Ormond Street Hospital for Children NHS Trust (4)	7,368	8,617	1,869	n/a	n/a	n/a
Hounslow and Richmond Community Healthcare NHS Trust (5)	n/a	n/a	1,667	704	59,339	1.2%
Imperial College Healthcare NHS Trust (6)	9,102	5,146	(8,419)	9,025	971,274	0.9%
Lewisham Hospital NHS Trust	6,753	1,058	1,427	1,750	241,470	0.7%
Kingston Hospital NHS Trust	2,412	2,724	3,184	3,276	208,643	1.6%
London Ambulance Service NHS Trust	1,425	1,002	2,751	262	303,109	0.1%
North Middlesex University Hospitals NHS Trust	6,044	3,103	669	1,973	183,991	1.1%
North West London Hospitals NHS Trust	(8,025)	258	(7,534)	(20,456)	380,237	(5.4%)
Royal Brompton and Harefield NHS Trust (7)	547	n/a	n/a	n/a	n/a	n/a
Royal Free Hampstead NHS Trust (8)	2,035	6,587	8,200	n/a	n/a	n/a
South London Healthcare NHS Trust (9)	(42,067)	(40,865)	(65,063)	(44,718)	451,584	(9.9%)
South West London and St George's Mental Health NHS Trust	2,286	2,579	2,158	1,670	163,195	1.0%
St George's Healthcare NHS Trust	12,933	6,459	6,101	6,286	641,768	1.0%
The Hillingdon Hospital NHS Trust (10)	258	307	n/a	n/a	n/a	n/a
Royal National Orthopaedic Hospital NHS Trust	1,026	(911)	1,102	2,078	120,802	1.7%
West London Mental Health NHS Trust	1,167	3,970	4,881	3,834	233,729	1.6%
West Middlesex University Hospital Nhs Trust	(4,996)	214	1,777	1,742	154,187	1.1%
Whittington Hospital Nhs Trust	139	508	1,120	3,614	281,343	1.3%
London total trusts	(2,868)	(19,839)	(95,890)	(75,401)	7,720,861	(1.0%)
NHS London total trusts	(2,868)	(19,839)	(95,890)	(75,401)	7,720,861	(1.0%)

The financial position reported in the *The Quarter* and the Department's Annual Report and Accounts is different. For 2012/13 the figures in *The Quarter* exclude any revenue impact of changes in the accounting treatment of impairments, donated assets and government grant reserves, and the impact of IFRS on PFI arrangements and absorption accounting. Also excluded are accounting adjustments applied to the Departmental Group Accounts.

For FTs the forecast position is only for the time when the organisation was an NHS Trust

- 1 Barts Health NHS Trust was created on 1 April 2012 following the merger of Barts and The London NHS Trust (RNJ), Newham University Hospital NHS Trust (RNH) and Whipps Cross University Hospital NHS Trust (RGC).
- 2 Rebranding of Central West London Community Services to Central London Community Healthcare completed in July 2009. Central London Community Healthcare NHS Trust (RYX) was established on 1 November 2010.
- 3 Mayday Healthcare NHS Trust has changed its name to Croydon Health Services NHS Trust (RJ6) on the 1 October 2010.
- 4 Great Ormond Street Hospital for Children NHS Trust achieved foundation trust status on 1 March 2012.
- 5 Hounslow and Richmond Community Healthcare NHS Trust was formed on 1 April 2011.
- 6 Imperial College Healthcare NHS Trust was formed from St Mary's NHS Trust and Hammersmith Hospitals NHS Trust.
- 7 Royal Brompton and Harefield NHS Trust achieved foundation trust status on 1 June 2009.
- 8 Royal Free Hampstead NHS Trust achieved foundation trust status on 1 April 2012.
- 9 South London Healthcare NHS Trust was formed from the merger of Queen Elizabeth Hospital NHS Trust (RG2), Bromley Hospitals NHS Trust (RG3), and Queen Mary's Sidcup NHS Trust (RGZ).
- 10 The Hillingdon Hospital NHS Trust achieved foundation trust status on 1 April 2011.

In addition to the operating deficits in 2012/13 shown above, the following organisation(s) also forecast a technical deficit (£m) in the same period. A technical deficit is a deficit arising due to:

a) impairments, or

b) incurring additional revenue charges associated with bringing PFI assets on the balance sheet due to the introduction of IFRS accounting in 2009/10.

c) the impact of the change in accounting for donated assets and government grant reserves.

d) the impact of absorption accounting.

This is not recognised for NHS budgeting purposes.

Barking, Havering and Redbridge University Hospitals NHS Trust (£27m)

Barnet and Chase Farm NHS Trust (£1m)

Barnet, Enfield and Haringey Mental Health NHS Trust (£4m)

Barts Health NHS Trust (£49m)

Imperial College Healthcare NHS Trust (£40m)

London Ambulance Service NHS Trust (£0.4m)

North West London Hospitals NHS Trust (£31m)

South London Healthcare NHS Trust (£30m)

South West London and St George's Mental Health NHS Trust (£5m)

The Lewisham Healthcare NHS Trust (£7m)

West London Mental Health NHS Trust (£26m)

Whittington Hospital NHS Trust (£2m)

Note: SHA and PCT turnover equals the Revenue Resource Limit (RRL) they are allocated. Trust turnover is all the income they receive including income from PCTs. Trust income should therefore be excluded from any aggregation of SHA economy turnover to avoid double counting resources.

Annex 4 – NHS South of England

SHA and PCT Name	2009/10 Annual Accounts Surplus/ (Deficit) £000s	2010/11 Annual Accounts Surplus/ (Deficit) £000s	2011/12 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Revenue Resource Limit (RRL) £000s	2012/13 Annual Accounts Surplus/ (Deficit) as % RRL
Brighton and Hove City Teaching PCT	1,071	4,618	4,604	753	507,073	0.1%
East Sussex Downs and Weald PCT	1,230	2,656	476	732	617,153	0.1%
Eastern and Coastal Kent PCT	6,130	11,972	8,957	11,972	1,348,094	0.9%
Hastings and Rother PCT	3,841	6,496	2,707	878	338,895	0.3%
Medway PCT	3,689	4,282	4,496	4,624	467,576	1.0%
South East Coast SHA	44,586	45,768	62,090	32,084	322,081	10.0%
Surrey PCT	(13,622)	(11,934)	1,028	211	1,774,322	0.0%
West Kent PCT	2,013	776	1,066	10,399	1,095,287	0.9%
West Sussex PCT	725	733	512	777	1,360,140	0.1%
South East Coast subtotal SHA/PCTs	49,663	65,367	85,936	62,430	7,830,621	0.8%

SHA and PCT Name	2009/10 Annual Accounts Surplus/ (Deficit) £000s	2010/11 Annual Accounts Surplus/ (Deficit) £000s	2011/12 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Revenue Resource Limit (RRL) £000s	2012/13 Annual Accounts Surplus/ (Deficit) as % RRL
Berkshire East PCT	101	147	1,250	5,900	606,653	1.0%
Berkshire West PCT	1,449	1,646	3,580	11,470	693,703	1.7%
Buckinghamshire PCT	1,368	715	127	4,231	754,757	0.6%
Hampshire PCT	486	457	4,015	6,456	2,002,821	0.3%
Isle Of Wight NHS PCT	2,382	2,519	2,508	2,573	274,795	0.9%
Milton Keynes PCT (1)	605	551	n/a	n/a	n/a	n/a
Oxfordshire PCT	1,901	2,250	2,224	7,876	964,830	0.8%
Portsmouth City Teaching PCT	5,207	724	1,674	3,385	357,627	0.9%
South Central SHA	45,125	54,788	54,785	39,589	368,927	10.7%
Southampton City PCT	917	2,885	1,965	3,920	427,559	0.9%
South Central subtotal SHA/PCTs	59,541	66,682	72,128	85,400	6,451,672	1.3%

SHA and PCT Name	2009/10 Annual Accounts Surplus/ (Deficit) £000s	2010/11 Annual Accounts Surplus/ (Deficit) £000s	2011/12 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Surplus/ (Deficit) £000s	2012/13 Annual Accounts Revenue Resource Limit (RRL) £000s	2012/13 Annual Accounts Surplus/ (Deficit) as % RRL
Bath and North East Somerset PCT	1,924	2,685	2,685	2,730	305,661	0.9%
Bournemouth and Poole Teaching PCT	2,886	5,356	5,356	5,897	589,788	1.0%
Bristol Teaching PCT	4,974	6,955	3,955	3,955	810,349	0.5%
Cornwall and Isles Of Scilly PCT	6,064	8,562	8,570	8,822	966,813	0.9%
Devon PCT	237	3,546	3,538	542	1,291,032	0.0%
Dorset PCT	4,374	6,133	6,133	6,717	695,810	1.0%
Gloucestershire PCT	6,216	8,685	8,685	8,977	969,914	0.9%
North Somerset PCT	48	1,552	1,063	1,063	352,825	0.3%
Plymouth Teaching PCT	1,400	4,190	2,204	5,259	464,928	1.1%
Somerset PCT	5,751	7,965	7,965	7,965	896,724	0.9%
South Gloucestershire PCT	39	1,527	1,397	1,397	393,747	0.4%
South West SHA	56,756	51,054	117,832	118,362	514,399	23.0%
Swindon PCT	2,080	1,096	2,967	3,092	325,407	1.0%
Torbay Care Trust	1,808	2,494	2,494	7,468	282,726	2.6%
Torbay PCT (2)	n/a	n/a	n/a	n/a	n/a	n/a
Wiltshire PCT	0	3,200	2,005	2,000	686,867	0.3%
South West subtotal SHA/PCTs	94,557	115,000	176,849	184,246	9,546,990	1.9%

NHS South of England Total SHA/PCTs	203,761	247,049	334,913	332,076	23,829,283	1.4%
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Trust Name	2009/10 Annual Accounts Surplus/ (Operating Deficit) £000s	2010/11 Annual Accounts Surplus/ (Operating Deficit) £000s	2011/12 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Turnover £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) as % Turnover
Ashford and St Peter's Hospitals NHS Trust (3)	6,275	3,188	n/a	n/a	n/a	n/a
Brighton and Sussex University Hospitals NHS Trust	10,227	4,512	42	3,325	606,074	0.5%
Dartford and Gravesham NHS Trust	115	206	393	361	177,204	0.2%
East Sussex Healthcare NHS Trust (4)	350	(4,704)	87	522	387,400	0.1%
Kent and Medway NHS and Social Care Partnership Trust	1,524	13	538	1,202	172,902	0.7%
Kent Community Health NHS Trust (5)	n/a	1,429	1,470	2,151	213,808	1.0%
Maidstone and Tunbridge Wells NHS Trust	189	1,710	300	129	367,391	0.0%
Royal Surrey County Hospital NHS Trust (6)	4,554	n/a	n/a	n/a	n/a	n/a
South East Coast Ambulance Service NHS Trust (7)	1,130	3,153	n/a	n/a	n/a	n/a
Surrey and Sussex Healthcare NHS Trust	7,755	875	(6,056)	254	226,016	0.1%
Sussex Community NHS Trust (8)	649	675	1,918	1,901	187,995	1.0%
Western Sussex Hospitals NHS Trust (9)	4,138	5,234	5,350	5,034	367,758	1.4%
South East Coast subtotal trusts	36,906	16,291	4,042	14,879	2,706,548	0.5%

Trust Name	2009/10 Annual Accounts Surplus/ (Operating Deficit) £000s	2010/11 Annual Accounts Surplus/ (Operating Deficit) £000s	2011/12 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Turnover £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) as % Turnover
Buckinghamshire Healthcare NHS Trust (10)	146	1,026	2,848	299	350,921	0.1%
Isle of Wight NHS Trust (11)	n/a	n/a	n/a	543	168,757	0.3%
Nuffield Orthopaedic NHS Trust	311	882	n/a	n/a	n/a	n/a
Oxford Learning Disability NHS Trust (12)	181	161	59	238	23,243	1.0%
Oxford Radcliffe Hospitals NHS Trust	106	1,289	n/a	n/a	n/a	n/a
Oxford University Hospital NHS Trust (13)	n/a	n/a	7,157	3,646	821,705	0.4%
Portsmouth Hospitals NHS Trust	(14,877)	159	148	4,293	451,906	0.9%
South Central Ambulance Service NHS Trust (14)	602	1,383	2,049	n/a	n/a	n/a
Southampton University Hospitals NHS Trust (15)	6,777	2,859	(1,908)	n/a	n/a	n/a
The Solent NHS Trust (16)	n/a	n/a	1,863	776	192,146	0.4%
Winchester and Eastleigh Healthcare NHS Trust (17)	224	147	n/a	n/a	n/a	n/a
South Central subtotal trusts	(6,530)	7,906	12,216	9,795	2,008,678	0.5%

Trust Name	2009/10 Annual Accounts Surplus/ (Operating Deficit) £000s	2010/11 Annual Accounts Surplus/ (Operating Deficit) £000s	2011/12 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) £000s	2012/13 Annual Accounts Turnover £000s	2012/13 Annual Accounts Surplus/ (Operating Deficit) as % Turnover
Avon and Wiltshire Mental Health Partnership NHS Trust	1,113	3,219	3,541	2,936	194,609	1.5%
Cornwall Partnership NHS Trust (18)	1,250	n/a	n/a	n/a	n/a	n/a
Devon Partnership NHS Trust	209	616	789	3,529	140,888	2.5%
Great Western Ambulance Service NHS Trust (19)	94	849	404	496	74,654	0.7%
North Bristol NHS Trust	6,177	7,888	9,002	7,002	529,896	1.3%
Northern Devon Healthcare NHS Trust	0	252	1,719	2,205	220,680	1.0%
Plymouth Hospitals NHS Trust	2,010	18	15	49	405,822	0.0%
Royal Cornwall Hospitals NHS Trust	8,349	7,544	4,437	9,809	323,341	3.0%
Royal United Hospital Bath NHS Trust	5,800	4,195	6,215	9,240	233,585	4.0%
South Western Ambulance Service NHS Trust (20)	511	890	n/a	n/a	n/a	n/a
Torbay and Southern Devon Health and Care NHS Trust (21)	n/a	n/a	n/a	102	142,157	0.1%
Weston Area Health NHS Trust	2,448	2,607	3,610	2,250	96,789	2.3%
South West subtotal trusts	27,961	28,078	29,732	37,618	2,362,421	1.6%
NHS South of England total trusts	58,337	52,275	45,990	62,292	7,077,647	0.9%

The financial position reported in the *The Quarter* and the Department's Annual Report and Accounts is different. For 2012/13 the figures in *The Quarter* exclude any revenue impact of changes in the accounting treatment of impairments, donated assets and government grant reserves, and the impact of IFRS on PFI arrangements and absorption accounting. Also excluded are accounting adjustments applied to the Departmental Group Accounts.

For FTs the forecast position is only for the time when the organisation was an NHS trust

- 1 Milton Keynes PCT is being reported under the East Midlands SHA region from 1 April 2011.
- 2 Torbay PCT (5CW) was formed on 1 April 2012, now operating commissioning services of Torbay Care Trust (TAL), which is no longer in existence.(5CW) changed its code back to (TAL) from December 2012.
- 3 Ashford and St. Peter's Hospitals NHS Trust achieved foundation trust status on 1 December 2010.
- 4 East Sussex Hospitals NHS Trust (RXC) became East Sussex Healthcare NHS Trust on 1 April 2011.
- 5 Kent Community Health NHS Trust (RYY) was established as an NHS trust on 1 November 2010 as Eastern and Coastal Kent Community Health NHS Trust, taking on the provider services of Eastern and Coastal Kent PCT, and changed its name on 1 April 2011, after taking on the provider services of West Kent PCT.
- 6 Royal Surrey County Hospital NHS Trust achieved foundation trust status on 1 December 2009.
- 7 South East Coast Ambulance Service NHS Trust achieved foundation trust status on 1 March 2011.
- 8 Sussex Community NHS Trust (RDR) was formerly South Downs Health NHS Trust, and changed its name on 1 October 2010.
- 9 Western Sussex Hospitals NHS Trust was formed from the merger of The Royal West Sussex NHS Trust (RPR) and Worthing and Southlands Hospitals NHS Trust (RPL).
- 10 Buckinghamshire Healthcare NHS Trust (RXQ) was formerly Buckinghamshire Hospitals NHS Trust. The name change was effective from 1 November 2010.
- 11 Isle of Wight NHS Trust (R1F), was formed 1 April 2012, as a provider split from Isle of Wight NHS PCT (5QT).
- 12 On the 1 November 2012, Oxfordshire Learning Disability NHS Trust (RHX) merged with Southern Health NHS Foundation Trust (RW1).
- 13 Oxford University Hospitals NHS Trust (RTH) was formed from the merger of Nuffield Orthopaedic NHS Trust (RB1) and The Oxford Radcliffe Hospitals NHS Trust (RTH) on 1 November 2011.
- 14 South Central Ambulance Service NHS Trust achieved foundation trust status on 1 March 2012.
- 15 Southampton University Hospitals NHS Trust achieved foundation trust status on 1 October 2011. The deficit is a technical deficit due to a phasing issue in the months before it became a foundation trust.
- 16 The integration of PCT provider functions, part of NHS Southampton & NHS Portsmouth's provider arm services, created a new community services and mental health provider - The Solent NHS Trust in 1 April 2011, which is operating as a direct provider organisation under NHS Southampton City.
- 17 Winchester and Eastleigh Healthcare NHS Trust merged with Basingstoke and North Hampshire NHS Foundation Trust (RN5), on 9 January 2012. As a result of this merger Basingstoke and North Hampshire have changed their name to Hampshire Hospitals NHS Foundation Trust.
- 18 Cornwall Partnership NHS Trust achieved foundation trust status on 1 March 2010.
- 19 Great Western Ambulance Service NHS Trust was acquired by South Western Ambulance Service Foundation Trust on 1 February 2013.
- 20 South Western Ambulance Service NHS Trust achieved foundation trust status on 1 March 2011.
- 21 Torbay and Southern Devon Health and Care NHS Trust (R1G) was formed on 1 April 2012, as a provider arm split from Torbay Care Trust (TAL). Torbay Care Trust reverted to Torbay PCT (5CW), which then changed its code back to (TAL) from December 2012.

In addition to the operating deficits in 2012/13 shown above, the following organisation(s) also forecast a technical deficit (£m) in the same period. A technical deficit is a deficit arising due to:

a) impairments, or

b) incurring additional revenue charges associated with bringing PFI assets on the balance sheet due to the introduction of IFRS accounting in 2009/10.

c) the impact of the change in accounting for donated assets and government grant reserves.

d) the impact of absorption accounting.

This is not recognised for NHS budgeting purposes.

Brighton and Sussex University Hospitals NHS Trust (£0.1m)

Buckinghamshire Healthcare NHS Trust (£7m)

Dartford and Gravesham NHS Trust (£0.9m)

Great Western Ambulance Service NHS Trust (£35m)

Kent and Medway NHS and Social Care Partnership NHS Trust (£2m)

Maidstone and Tunbridge Wells NHS Trust (£5m)

North Bristol NHS Trust (£18m)

Northern Devon Healthcare NHS Trust (£1m)

Oxford Learning Disability NHS Trust (£18m)

Oxford University Hospitals NHS Trust (£1m)

Plymouth Hospitals NHS Trust (£9m)

Western Sussex Hospitals NHS Trust (£7m)

Note: SHA and PCT turnover equals the Revenue Resource Limit (RRL) they are allocated. Trust turnover is all the income they receive including income from PCTs. Trust income should therefore be excluded from any aggregation of SHA economy turnover to avoid double counting resources.