EMERGENCY REGISTRATION REPORT Product name: Cruiser SB Active substance: thiamethoxam 600 g/l Sugar beet **United Kingdom Applicant: NFU sugar and British Sugar** Submission date: 23 June 2022 Finalisation date: 07 December 2022 HSE Ref Number: COP 2022/01221

Version history

When	What			
October 2022	Pre – October ECP version			
November 2022	Update – prior to December 2022			
December 2022	Jpdated conclusion section post ECP consideration plus update to Annex 5 (corrected slides and HSE comment)			
December 2022	Final version post ECP			

EVALUATION	, SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY				
Name of	Health and Safety Executive.				
authority					
Reviewer's	Update to December 2022 ECP				
comments	This application was presented to the ECP in October 2022 at which time little				
	new information was available compared to that considered by ECP in				
	September 2021. This is an update to the eRR document presented to the				
	October 2022 ECP meeting, finalised in mid-November 2022.				
	For ease of reference, the updated HSE assessment for the December 2022				
	ECP is presented in boxes with an orange background such as this, or where the				
	altered text is small-scale, relevant sentences/paragraphs which are updated				
	relative to the October eRR, will have an orange background. Previous HSE				
	assessments for emergency use remain in text boxes with a green background.				
	The few on a blue background are taken from the original assessment of 'Cruiser				
	SB' supporting the now revoked, commercial authorisation.				
	The updated sections, including new information, are:				
	An interim assessment of the 2022 season; weather patterns, aphid and virus monitoring.				
	Interim summary of the 2022 season				
	Up to date surface water monitoring data provided by the Environment Agency.				
	Summary of Environment Agency Water monitoring				
	Interim data on residues in soil, vegetation and pollen conducted as part of the				
	stewardship programme (supplemented by additional analysis funded by Defra)				
	Soils, vegetation and pollen monitoring in 2022				
	Consideration of a new chronic bee study, residues in pollen and National Honey				
	Monitoring Scheme with an updated risk assessment.				
	Updated assessment on the risk to bees				
	Reconsideration of the test of Necessity.				
	Updates to the Article 53 tests				
	Commente en the draft stewardship for 2022				
	Comments on the draft stewardship for 2023				

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1 Details of the application

EVALUATION	, SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY
Name of authority	Health and Safety Executive (CRD), UK
Reviewer's comments	This Emergency registration report (eRR) is for the evaluation of an application for emergency authorisation for the use of the plant protection product "Cruiser
	An emergency authorisation may be granted under Article 53 of Regulation 1107/2009 (the Regulation) in special circumstances, for limited and controlled use, where the authorisation appears necessary because of a danger which
	This eRR has been prepared by the Health and Safety Executive (HSE) based on the information provided by the applicant, the product manufacturer, Environment Agency and Department for Environment, Food and Rural Affairs (Defra). It includes an assessment of risk in accordance with the standard criteria and uniform principles applicable for a commercial authorisation as well as considering the various elements of the derogation from the standard requirements, set out in Article 53 of the Regulation. These article 53 requirements are; 'special circumstances', 'danger' 'any other reasonable means', 'limited and controlled use' (all covered in section 1.3) and 'is necessary' (covered in the overall conclusions section 3.2). A judgement on whether an authorisation appears necessary to address the danger involves consideration of whether the likely benefits of granting the authorisation to address the identified danger outweigh the potential adverse impacts of
	The eRR will be presented to members of the Expert Committee on Pesticides (ECP) who will be asked questions relating to the agronomic need and the environmental risks from use. The ECP will produce independent scientific advice to Government which will be presented to Defra and the Devolved Administrations in Scotland, Wales and Northern Ireland. As of early October additional data is expected. When available, HSE will evaluate this new information and provide an update to the ECP. [Now updated for December Should HSE issue an authorisation under Article 53, it will permit the product to be placed on the market for a maximum of 120 days. Users of the product must only apply the product in line with the conditions laid out in the authorisation notice as published on the HSE website. A draft is presented at Appendix 1 of this eRR. Failure to comply with these conditions may result in The applicant and users must monitor and record any use of the product under this Article 53 authorisation. HSE may request additional information to be

1.1 Background of Application

	SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY						
Name of authority	Health and Safety Executive.						
Situation							
(BBRO) and S use of 'Cruiser	Ind NFU sugar (with the support of the British Beet Research Organisation yngenta UK Limited) have jointly applied for an Article 53 authorisation for the SB' as a seed treatment for sugar beet in 2023. UK sugar beet is grown to British Sugar in Eastern counties of England.						
	ontains the neonicotinoid thiamethoxam and the proposed use is for the control o aphid (<i>Myzus persicae</i> (MYZUPE)), which is the main vector of Beet Virus .						
If authorisation use.	is granted, this would represent the third emergency authorisation for this						
yield due to vir be subject to a depends on the impact assess predicted level come from the	The applicant proposes that 'Cruiser SB' is only used where the predicted cost of loss in yield due to virus for the grower is greater than the cost of seed treatment, and will therefore be subject to an economic treatment threshold. This threshold varies year by year and depends on the current crop price, cost of neonicotinoid seed treatments and the economic impact assessment of virus yellows. It is proposed that 'Cruiser SB' is used only if the predicted levels of virus yellows exceed the economic threshold. The predicted virus levels come from the Rothamsted virus yellows forecasting model, which predicts virus levels in sugar beet crops in August if there is no plant protection intervention.						
	eatment threshold (proposed and set at 9%) was not exceeded (forecast on 1 7%) and therefore 'Cruiser SB' was not used.						
set a threshold	plicant proposed a 7% threshold, but the decision by the Secretary of State of 19% <u>Neonicotinoid product as seed treatment for sugar beet: emergency</u> <u>application - GOV.UK (www.gov.uk)</u>						
protection inter	ublished on the 1 March 2022 predicted virus levels (without any plant rvention) at 68.9% and as this was above the 19% threshold, 'Cruiser SB' was o of the UK planted crop.						
In recent years a number of EU countries have also granted emergency authorisations for thiamethoxam seed treatments to control a range of pests on emerging sugar beet plants. (The EU Commission list of Emergency authorisations indicates that 12 EU MSs issued such emergency authorisations for use in 2022). The European Commission previously asked the European Food Safety Agency (EFSA) to assess the justification for earlier emergency authorisations and the conclusions are presented at <u>Neonicotinoids: EFSA assesses</u> emergency uses on sugar beet in 2020/21 EFSA (europa.eu). The EU Member State emergency authorisations do not appear to contain treatment thresholds for the seed treatment (following a review of published decision documents). Some documents (e.g. Belgian decision) refer to a treatment threshold, but this in relation to thresholds for foliar spray applications.							
England. All s The applicant i decreased and however as red area has been measures to tr increase, more	ar beet is grown in the areas around the four sugar beet factories in the east of ugar beet grown for sugar production is grown under contact to British Sugar. reports that since the virus yellows outbreak in 2020, grower confidence has a that in 2021 the area contracted for sugar beet production reduced by 12%, cognised in the Defra economic analysis (updated 2 March 2022) the overall in decline since the mid 1990s. British sugar has introduced a number of y and promote farmers to grow sugar beet. This includes; a 48% price a flexibility around the previous compensation scheme and a cash advance Beet price of $\pounds 40/t$ agreed for 2023/24 sugar beet contract News						

(britishsugar.co.uk)).

The applicant has stressed their need for a decision by November 2022 on whether or not they will be able to use 'Cruiser SB' in 2023 if appropriate conditions are met. This will feed into grower choices and allow for re-issuing of contract letters. As in recent previous years, growers will be able to indicate whether they wish to have seed treated with 'Cruiser SB' if available. The 2023 seed information pack sent to growers says that once the outcome of the emergency authorisation is known the seed order will open again for those growers that have requested this treatment. Defra has indicated an intention to support this proposed timing of the decision. As a consequence, to enable ECP advice to be taken into account, this application must be considered at the ECP meeting on 18 October 2022. A timeline was prepared by the applicants in June 2022 and it is clear that a complete picture of the 2022 season will not be available in time for the ECP meeting. HSE has prepared this emergency registration report with all information available two weeks prior to the ECP If further information is provided, a verbal update will be given at the meeting.

HSE proceeded as requested to the October meeting to allow any possible ECP advice to be taken into account in the decision-making. ECP confirmed they could add nothing to their previous advice as there was not substantive new data. With additional data provided since the October meeting, this emergency application is being re-presented to the December 2022 ECP. HSE has prepared this emergency registration report with all new information available three weeks prior to the ECP.

Status of product in the UK

'Cruiser SB' is a flowable concentrate (FS) formulation containing the active substance thiamethoxam at 600 g/L. Thiamethoxam is no longer an approved active substance and no authorised UK plant protection products contain this active substance.

Cruiser SB' was previously fully authorised in the United Kingdom according to (Directive 91/414/EEC) taking into account Uniform Principles. However authorisation was withdrawn in 2018 as outlined below.

The notifier (for the EU approval) responded to the requirement for confirmatory information in Commission Implementing Regulation (EU) No 485/2013. The requirement covered a range of issues regarding the risk to honey bees and other pollinators. The Commission reviewed the information submitted and concluded that the necessary information was not provided. The Commission also considered that on the basis of the updated <u>thiamethoxam</u> risk assessment provided by EFSA, that risks to bees cannot be excluded without imposing further restrictions.

As a result <u>Commission Implementing Regulation (EU) 2018/785</u> prohibited all outdoor uses and resulted in the withdrawal of the 'Cruiser SB' authorisation. Paragraph 11 of this regulation stated:

Having reviewed the information submitted by the applicant, the Commission has concluded that the further confirmatory information required by Implementing Regulation (EU) No 485/2013 has not been provided, and having also considered the conclusion on the updated risk assessment for bees, the Commission has concluded that further risks to bees cannot be excluded without imposing further restrictions. Bearing in mind the need to ensure a level of safety and protection consistent with the high level of protection of animal health that is sought within the Union, it is appropriate to prohibit all outdoor uses. Therefore, it is appropriate to limit the use of thiamethoxam to permanent greenhouses and to require that the resulting crop stays its entire life cycle within a permanent greenhouse, so that it is not replanted outside.

The proposed use in 2023 (and authorised in 2022) is 75% of the application rate that was previously commercially authorised.

Application History

'Cruiser SB' was previously authorised in the UK following consideration by the Advisory Committee on Pesticides (ACP) in 2006 (plus subsequent re-registration). The 'Cruiser SB' authorisation (MAPP 15012) was withdrawn following an EU restriction requiring treated seed to remain under protection for the entirety of the plant life-cycle, following concerns regarding its impact on bee health. Following implementation of this restriction, the applicant withdrew support for the renewal process and the EU approval for the active substance thiamethoxam expired.

A useful background to the regulatory history of Neonicotinoids in Europe is given on the European Commission Website at <u>Neonicotinoids (europa.eu)</u>.

Although the ECP has not previously supported this proposed emergency use, for the (use in) 2022 application, members advised that if authorisation is granted further consideration should be given to how the use could impact on growers involved in agri-environment schemes which involved planting flowering margins.

Following this September 2021 ECP advice, further consideration including with Natural England resulted in revisions to the applicant's proposed stewardship scheme which formed a key requirement of the authorisation for use in 2022. A summary of these previous conclusions is presented in the following paragraphs within this green box. The changes in the scheme for 2022 are carried forward into the proposals for 2023 stewardship scheme (provided at Appendix 3).

The stewardship includes mitigation relating to the area in which the crop is grown (the sugar beet crop itself and subsequent crops grown in the same area). No mitigation is proposed specifically to protect bees and other non-target arthropods foraging in off-crop field margins (noting that HSE did not previously identify a concern for off-field non-target arthropods and only updated the risk assessment for risk to honey bees).

Sugar beet plants are harvested before they flower and do not generally guttate, given this and the standard grower practice to control weeds within the cropped area, the sugar beet crop is considered by HSE to be unattractive to bees. This is further reinforced by the proposed requirement in the stewardship scheme for growers to use BASIS recommended weed control strategies to ensure that flowering weeds are controlled within the cropped area.

A further proposed mitigation measure (again relating to the cropped area only) was to restrict the following or subsequent crops grown in the same area to only non-flowering crops for 32 months after drilling a sugar beet crop treated with 'Cruiser SB'. It is noted that due to the lack of chronic toxicity data on adult forager honey bees, as well as residues in pollen and nectar, it was not possible to determine if this is an appropriate interval. The position has been to some extent updated in presenting information to the December 2022 ECP, with the receipt of additional data.

Post ECP advice in September 2021, modifications to this list of flowering crops were made to better accommodate agri-environment schemes. Whilst bees foraging on guttation fluid in following crops is also a potential route of exposure, there is a lack of information regarding which crops guttate, under what conditions and to what extent. Data are, however, available on the concentration of the active substance in guttation fluid formed on maize seedlings. These data were used in the risk assessment carried out by HSE, and indicate that there is a margin of safety between the exposure and the toxicity endpoints for acute exposure to adults and larvae, however due to the lack of chronic toxicity data for adult honey bees, it was not possible to conclude. Due to the lack of knowledge regarding the likelihood of occurrence of guttation fluid in other crops as well as the associated concentration and use by honey bees, it is not possible to conclude as to the likely risk to honey bees. As above, the chronic risk to honey bees has been updated for December 2022 ECP, with additional data.

Mitigating to protect bees and other pollinators foraging in flowering field margins is more difficult (noting that HSE's off-field assessment only covered honey bees). The stewardship scheme encourages establishment of floristically diverse margins to encourage beneficial arthropods in both the margin and the crop itself. It also actively discourages the use of pyrethroid foliar insecticides to which many aphids are resistant and which may significantly impact on the beneficial arthropods.

Such margins therefore form a very important part of an integrated pest management strategy as well as providing greater biodiversity than if the total field was cropped and should therefore be encouraged. Whilst movement of thiamethoxam residues from the cropped to the non-cropped area may occur, removal of these flowering margins and the habitat and food source they provide is not a viable mitigation and would not be recommended.

The standard practice to protect off-crop non-target arthropods from spray applications is for growers to 'respect an unsprayed buffer zone of 5 m to non-crop land'. Whilst this works for spray applications (to protect against potential spray drift) it is not currently an option for seed treatments. If buffer strips were to be required between the crop and the field margin, consideration is required as to what size this would need to be. On the basis of the current information, it is not possible to determine the width required to reduce the exposure to an appropriate level (noting the lack of chronic toxicity data). Hence the effectiveness of any such mitigation measure is not known.

Consideration would also be required as to what this strip should consist of. A bare soil "sterile" strip is ecologically undesirable as it would prevent non-target arthropods (and potential beneficials) moving into the crop, would be at risk of wind and water erosion and may need additional crop protection measures and other management operations e.g. cultivations to maintain the bare soil. A potential solution would be to drill a strip of untreated crop, however it is likely to be difficult and costly to drill different sugar beet seed in a strip at the edge of the field only, and if it were possible, the plants may become a reservoir for virus and aphids increasing the risk for the main crop area. Another potential solution would be the planting of a strip of a different but non-flowering crop between the sugar beet and the flowering field margins but this is also not likely to be practical.

Therefore the benefits of retaining or planting new floristically diverse field margins (as proposed in the stewardship scheme) potentially outweigh the unquantified risks for pollinators and beneficials living and foraging within these margins which may contain thiamethoxam residues. If 'Cruiser SB' is used in 2023, like in 2022 there are no obvious practical solutions for mitigating against the unquantified risks to bees, but any reduction in or removal of these floristically diverse field margins is likely to be counter-productive. Natural England and Rural Payments Agency may wish to consider whether these unquantified risks should be taken into account for agri-environment schemes.

Response to data requirements or request for supporting information

A number of conditions, restrictions and data requirements were attached to the 2022 authorisation. In addition, a further study on the chronic toxicity to adult is ongoing.

The results were not available at the time of submission of this application. Due to time constraints the document has been prepared and updated as additional information becomes available - see orange box below for December 2022 position.

Requirements

(1) By 4 March 2022, details of whether the threshold for treatment was met and the quantity of 'Cruiser SB' treated seed ordered, must be submitted to HSE.

The applicant confirmed:

The national 2022 VY forecast was 68.9%

The first aphid flights were forecast from 19 April 2022

71,984 units of 'Cruiser SB' treated seed

- (2) By end of November 2022 (and ideally earlier), a report summarising:
 - How much 'Cruiser SB' treated seed was drilled and in which locations.
 - Information on whether any follow up foliar aphicide sprays were required, with details on what product was applied, timing of application (days after drilling, beet growth stage) and which relevant foliar treatment threshold was met for the growth stage. This information should be used to give an indication of the level of persistence of "Cruiser SB'; activity in sugar beet plants grown from treated seed.
 - Evidence that the stewardship document was implemented including an assessment of how successful it was in achieving its aims, and recommendations for improvement as necessary.

(3) By end of November 2022; the final report of the residues monitoring in soil and noncrop vegetation described in Annex 2 of the stewardship document.

To support the application for use in 2023, interim information has been provided in the eRR presented to the December 2022 ECP, as it has become available. A report addressing the second requirement above is expected to be submitted before the end of November. The final report on the soil, vegetation and pollen monitoring (more extensive than specified by the data requirement), will be submitted in early 2023 when post-harvest soil monitoring will also be available. The summer drought experience in 2022 had a significant impact on results and the timing of their availability, therefore, all of the data (including yield information) will not be available until early in 2023.

1.2 Proposed use

12	Product	Proposed emergency use/situation	Comparison product	
On-la	bel/Extension of Use/ Previous		Cruiser SB	
Emer	gency authorisation			
Produ	ıct	Cruiser SB	15012	
MAP	P number	15012	600g / I thiamethoxam	
Active substance(s) and content		600g / I thiamethoxam	A flowable concentrate for seed treatment formulation	
Formulation type		A flowable concentrate for seed treatment formulation	A flowable concentrate for seed treatment formulation	
Field of use (for example fungicide)		Professional – seed treatment	Professional – seed treatment	

13	Uses	Proposed emergency situation		Current authorised use or previous Emergency authorisation		
Crop details	Identity of crop or situation of use ¹	Sugar beet		Sugar beet		
	Situation of crop ²	indoor (non crop production)		indoor (non crop production)		
		outdoor	\boxtimes	outdoor		
		protected (permanent or temporary cover) ²		protected (permanent or temporary cover) ²		
		permanent protection with full enclosure (PPFE)		permanent protection with full enclosure (PPFE)		
	Growing media used for protected uses	organic media (for example soil or compost, either in containers or on impervious surfaces)		organic media (for example soil or compost, either in containers or on impervious surfaces)		
		soil (crops planted directly into the ground)		soil (crops planted directly into the ground)		
		synthetic rooting media (for example rockwool or perlite)		synthetic rooting media (for example rockwool or perlite)		
	Height of crop	n/a applied as seed treatment		n/a applied as seed treatment		
Number of crops per 1 year ³			1			
Individual target pest/disease/weed ⁴				virus yellows-carrying aphids, principally the peach-potato aphid (<i>Myzus persicae</i>). MYZUPE		

Maximum individual dose (kilogram or litres active substance/hectare) ⁵	75 ml product / 100 000 seeds	75 ml product / 100 000 seeds
Maximum total dose (kilogram or litre active substance/hectare) ⁵	75 ml product / 100 000 seeds	75 ml product / 100 000 seeds
Maximum individual dose (kilogram or l product/hectare) ⁵	75 ml product / 100 000 seeds	75 ml product / 100 000 seeds
Maximum total dose (kilogram or litre product/hectare) ⁵	75 ml product / 100 000 seeds	75 ml product / 100 000 seeds
Maximum number of treatments	1	1
Earliest time of application (estimated date and BBCH code ⁵)	BBCH 00 – seed treatment before drilling	BBCH 00 – seed treatment before drilling
Latest time of application (estimated date and BBCH code ⁵)	BBCH 00 – seed treatment before drilling	BBCH 00 – seed treatment before drilling
Interval between applications	N/A	N/A
Proposed period of use (Dates)	From March 2023	From March 2022

14	Applica	tion	Proposed emergency situation			Current authorised use or previous Emergency authorisation		
Total a		Hectares	87,000			87,000		
of crop in the U	•	Tonnage where applicable				Approx.6.6 million tonnes		
Total a		Hectares	087,000 dependi	ng on 2023 virus yell	ows forecast	65,000 (75% of nat	ional crop)	
of crop	treated	Tonnage where applicable						
% Area	% Area of UK crop to be treated		0-99% depending on 2023 virus yellows forecast		75% of total area was planted with Cruiser SB treated seed		ser SB treated seed	
	Geographical locations of proposed uses (county/country)			f England surroundir , Suffolk and Notting				-
Applica	Application method(s) to be used			Protected/	Outdoor		Protected/	Outdoor
				Permanent protection with full enclosure)			Permanent protection with full enclosure	

	Other – please provide details and provide photographs if possible	Seed treatment	Other – please provide details and provide photographs if possible	
Water volumes (range)	N/A		N/A	

15	Restrictions	Proposed emergency situation authorised use or previous Emergency authorisation		
Operator protection		a) Operators must wear suitable protective clothing (coveralls) and suitable protective gloves when handling the concentrate, handling contaminated surfaces or handling treated seed.	a) Operators must wear suitable protective clothing (coveralls) and suitable protective gloves when handling the concentrate, handling contaminated surfaces or handling treated seed.	
		(b) Operators must wear suitable protective clothing (coveralls), suitable protective gloves and suitable respiratory protective equipment* when cleaning	(b) Operators must wear suitable protective clothing (coveralls), suitable protective gloves and suitable respiratory protective equipment* when cleaning	
		machinery. *Disposable filtering facepiece respirator to at least EN149 FFP2 or equivalent.	machinery. *Disposable filtering facepiece respirator to at least EN149 FFP2 or equivalent.	
Envir	onmental protection	 To protect birds and mammals treated seed should not be left on the soil surface. Bury or remove spillages. Seed coating shall only be performed in professional seed treatment facilities. Those facilities must apply the best available techniques in order to ensure that the release of dust during application to the seed, storage and transport can be minimised. Adequate seed drilling equipment shall be used to ensure a high degree of incorporation in soil, minimisation of spillage and minimisation of dust emission. NOT CONTAMINATE SURFACE WATERS OR DITCHES with chemical or used container. 	 To protect birds and mammals treated seed should not be left on the soil surface. Bury or remove spillages. Seed coating shall only be performed in professional seed treatment facilities. Those facilities must apply the best available techniques in order to ensure that the release of dust during application to the seed, storage and transport can be minimised. Adequate seed drilling equipment shall be used to 	

15	Restrictions	Proposed emergency situation	Current authorised use or previous Emergency	
			authorisation	
Other s	pecific restrictions	1) Returnable containers must not be re-used for any other	1) Returnable containers must not be re-used for any other	
		purpose.	purpose.	
		(2) Returnable containers must be returned to the supplier.	(2) Returnable containers must be returned to the supplier.	
		(3) Treated seed must not be used for food or feed.	(3) Treated seed must not be used for food or feed.	
		(4) Sacks containing treated seed must not be re-used for	(4) Sacks containing treated seed must not be re-used for	
		food or feed.	food or feed.	
		(5) Treated seed must not be applied from the air.	(5) Treated seed must not be applied from the air.	

1.3 The Requirements of Article 53

1.3.1 Special Circumstances

EVALUATION, S	SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY
Name of Authority	Health and Safety Executive.
Special Circumstances	For over 25 years <i>Myzus persicae</i> vectors and the Yellows Virus complex was controlled by the neonicotinoid seed treatments (most recently 'Cruiser SB' (MAPP 12958) and 'Poncho Beta' (MAPP 12076) (beta-cyfluthrin + clothianidin) and prior to that 'Gaucho FS' (containing imidacloprid) which also controlled the range of other sugar beet insect/soil pests. Consequently, few if any other insecticides were required during the season. Since the withdrawal of these products in 2018, there have been only 4 seasons experience for the industry to understand and develop new strategies (largely without sufficient available insecticides) to manage aphid/virus yellows complex. There have been no recent reference baselines or comparable situations, and each season has been different.
	2019 was a moderate year with 57% of crop surveyed sprayed with one or two foliar sprays against aphids (using either Teppeki (flonicamid) or an Article 53 authorisation for Biscaya (thiacloprid)), and little impact on national yield.
	This was followed by the 2020 epidemic with unprecedented aphid numbers caught. 78% of surveyed crop receiving two to four foliar sprays (using either Teppeki or Article 53 authorisations for Biscaya, Gazelle (acetamiprid) or Insyst (acetamiprid)), and very significant yield losses occurred.
	2021 was a very different situation again, with the cold winter reducing aphid populations and delaying migration. Only localised areas needed a second foliar spray.
	The 2022 season has also proved to be exceptional. The aphid forecast for 2022 predicted virus incidence level (without any plant protection intervention) at 68.9% with the predicted date of first aphid arrival on 19 th April 2022. Aphids were subsequently first recorded on 18 th April 2022.
	Although the early season was relatively uneventful the main growing season was extraordinary with record temperatures being experienced in conjunction with very low rainfall causing drought conditions (see Appendix 4 for summary). This has restricted crop development with crops suffering great stress. This has been evident in leaf yellowing, senescence and nutritional deficiencies making any identification of virus expression in the beet crop difficult, if not impossible, to date.
	The weather conditions in the latter part of the 2022 growing season saw some rainfall with levels returning to the norm for the time of year. As such crops are making some recovery in both root and sugar development and the greening up of the leaves with some new leaf production. However, the generation of new leaf involves some remobilisation of sugar reducing yields even further but this should be replenished in time. This puts pressure on growers to harvest later to allow time to compensate. In addition, in some crops the rapid root development following the rainfall has caused cracks to
	appear in the root which are a potential source of infection for root rots etc. and may force some farmers to lift earlier.

Another problem seen this year is damage caused by the beet moth. Although present in the UK this pest is usually of concern in Mediterranean countries but has been evident in UK sugar beet crops this year due to the hot and dry conditions. The moth lays eggs in the heart of the leaves which results in the larvae eating those leaves and depositing the frass. If the petioles and crown leaves and more importantly the growing point are lost then this would prevent the beet from growing back. The lack of leaf cover has also allowed rain to reach the crown of the root and disease infections are being seen which could cause rots. Current advice is to lift crops early if affected by beet moth.
With some crops recovering they may begin to express symptoms of BVY. Information on the specific level of virus experienced in 2022 has been summarised within this report.
However, Virus levels in <i>Myzus</i> remain high and the general trend as evidenced by the applicant is for the continued build up in background <i>M. persicae</i> populations, which can then cause significant problems in seasons with favourable conditions. This general trend reflects the wider lack of control options on other <i>Myzus</i> host crops. In addition, the range of other foliar and soil sugar beet pests now need additional insecticide sprays, which is dependent on use of pyrethroids which themselves impact on beneficial arthropods and impair their contribution to controlling <i>M. persicae</i> and other pests. The management of virus yellows also therefore needs to be considered in the wider challenges for the whole insect/soil pest complex. Whilst research is on-going to develop a more integrated approach, this will take time. In particular, one of the central strategies in developing commercial resistant varieties is proving challenging because the complex consists of three viruses and there is no one single trait conferring resistance/tolerance to the virus. During years of high epidemics, as in 2020, the testing of commercial varieties is impaired because they were also affected by virus infection.
All of this uncertainty, and growing threat to crop yields, is reflected in British Sugar and NFU Sugar supporting growers through the new virus yellows assurance scheme (funded by British Sugar) to compensate for yield losses and an improved crop price. However, the applicant has noted the 2021 contracted area has decreased by 12% due to the yield losses of 2020 and as stated earlier the area is projected to be further reduced for the 2023 crop [HSE notes the latest indication in November 2022 is that the contracted sugar beet area may actually increase in 2023 from that grown in 2022 and is likely to be a combination of the virus yellows assurance scheme offered by British Sugar and the improved price for sugar beet. A better indication of the contracted sugar beet area would be available early January when the seed orders have been placed].
The applicant had already made a significant investment in long term research to develop commercial resistant varieties, which was initiated before neonicotinoids were withdrawn, recognizing the need to find alternatives (full details in section 'repeat applications'). Taking into account the above points HSE consider that there are special circumstances supporting this proposed Article 53 Authorisation.

1.3.2 The Danger

The Danger	Information from the applicant is available in sections 24-27 of the application form (copied below
	the next two boxes).

a) Danger - Background

Previous Article 53 applications have described and evidenced the danger to the production of sugar beet stemming from the yellows virus complex and the principle aphid vector, the peach-potato aphid (*Myzus persicae*, MYZUPE) if control measures are not in place.

The application also includes information on the development and historical review of the Rothamsted virus yellows forecasting model used for predicting virus incidence (with and without control measures).

HSE recognises that the virus yellows/aphid vectors represent a threat and danger to the yield production of sugar beet and therefore the production of sugar.

The danger could lead to economic impacts as a result.

b) 'Danger' – Experience since neonicotinoids withdrawn (section 24 of application)

Following the withdrawal of the neonicotinoid seed treatments in 2018 ('Cruiser SB' (MAPP 12958) and 'Poncho Beta' (MAPP 12076) (beta-cyfluthrin + clothianidin), the only commercially authorised effective use for control of peach potato aphid (*Myzus persicae*) (main vector of beet virus yellows complex) was one foliar application of 'Teppeki' (MAPP 12402), 500 g/kg WG flonicamid.

Teppeki has a persistence of up to three weeks and is insufficient under sustained pest pressure to provide protection for the 12 - 16 week period when sugar beet seedlings remain most susceptible to virus yellows (and subsequent yield losses). At around 16 weeks plants reach the 12-16 true leaf stage maturity when natural plant resistance starts to develop and further control of the virus vectors is not required.

This has resulted in a series of Article 53 applications for both 'Cruiser SB' and foliar sprays:

'Cruiser SB' has been considered for an Article 53 authorisation in:

- 2018 (refused)
- 2020 when a decision to authorise was granted, but the treatment threshold to allow use was not met for 2021 growing season use
- 2021 authorised and treatment threshold met for 2022 growing season use

Following the initial 2018 refusal of 'Cruiser SB', a series of Article 53 applications for foliar sprays were submitted and ultimately authorised:

- thiacloprid (Biscaya) in 2019 and 2020 season
- acetamiprid (Gazelle/Insyst) in 2020 and 2021
- spirotetramat (Movento) in 2022

Insyst (acetamiprid) has since gained on label authorisation for this use for one spray application.

Generally, foliar sprays during early establishment are inherently not as effective as a seed treatment. This is because there are practical challenges in targeting the emerging seedlings with sufficient contact on the leaves in addition to growers being reliant on favourable weather conditions at point of germination to be able to spray. In contrast, a seed treatment may provide available active as the seed germinates and moves systemically through the plant including to new growth areas. In the specific case of 'Cruiser SB', when previously authorised it could provide protection for the full period of susceptibility. However, the longevity of the control period at the proposed lower rate is not fully evidenced.

In the previous (for use in 2022) application, the applicant provided a summary of the three seasons since the 'Cruiser SB' authorisation was withdrawn covering 2019-2021. This included results from the British Sugar national survey which is conducted on nearly 500 randomly selected sites and includes an assessment of virus incidence. This provided figures of virus incidence of 1.8% in 2019 and 38.1% in 2020 when there was the worst virus epidemic since the 1970's and significant yield losses. The developing problems being illustrated by the accompanying maps of virus incidence from the BBRO monitoring sites for 2018 (the last year that neonicotinoids were authorised), through 2019 and 2020.

The difference in the seasons was reflected in the National survey of foliar sprays used for 2019 and 2020. The survey also provides strong evidence that growers are monitoring crops actively and adhering to thresholds:

	2020	2019
No Spray	3.67	16
1 Spray	18.59	41
2 Sprays	57.65	39
3 Sprays	19.10	3
4 Sprays	0.99	N/A (4 sprays were
		not available)

The review of aphid numbers caught each year in the Broom's Barn trap up to mid-June provides a very strong illustration of the continuing build-up of *M. persicae* populations if not controlled. The six highest migrations occurred in the last eight years, and in 2020 reached unprecedented levels (4000 caught). There are a number of reasons for this, through a combination of increasing frequency of mild winters, and the withdrawal of neonicotinoids and other insecticides not only on sugar beet but other important host crops including oilseed rape. Against such high levels in 2020, the spray programmes employed provided some control, sufficient in some areas, but not able to prevent significant yield losses in others especially in a season of sustained pest pressure.

The situation in 2021 was significantly different, as predicted by the forecasting model which suggested a figure of 8.37% incidence (which was below the agreed treatment threshold for 'Cruiser SB'). This was due to the cold January/February impacting on population numbers and delaying migration into the crop. (It also illustrates how successive mild winters currently allow *M. persicae* populations to build each year, especially in the absence of fully effective combined control measures). The difference in seasons is also evident in the virus distribution graphs for 2020 and 2021 in section 24.

At the time of submission, the figures for the 2022 season from the national survey are not available, for either national incidence of virus or use of foliar sprays. [Interim 2022 data are discussed in the orange box at section 1.4].

Although the treatment threshold for 'Cruiser SB' was not met in 2021, some foliar sprays were required (reflecting the balance in costs between treating seed, or using foliar sprays, and likely yield losses). At the BBRO monitoring sites, only half of the 51 sites received one spray, and none received two sprays. Although at some other more localised areas two sprays ('Teppeki' followed by the Article 53 'Insyst') had been used (pers.com with BBRO by S. Mattock for previous application).

It is noted that the area of sugar beet grown in 2021 had reduced to around 92,000 hectares, compared to 100,000 ha in 2020 and is projected to be even lower in 2023 at 87,000 ha as stated in the application form. [Latest information in November 2022 indicates that area in 2023 may increase – see above].

remains a significant and growing threat to sugar beet crop most years. Even in seasons with low aphid numbers, virus incidence remains high (indicated by infections on other host plants). Alternative foliar sprays provide useful levels of control particularly against moderate pest pressures, but are inherently not as effective as a seed treatment, with the latter available to the seedling on germination and moving through new growth to provide protection for the whole plant. If the treatment threshold (based on the forecast model) is met for 'Cruiser SB', this would indicate a sufficiently high degree of risk to the crop in terms of predicted economic yield losses which would warrant application of the seed treatment rather than reliance on foliar sprays and integrated measures which are important but not by themselves sufficient. In conclusion, the test of danger is considered met should the predicted virus level for the 2023 season exceed the threshold.
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1.3.3 Other Reasonable Means of Control

Other	Information from the applicant is available in sections 24-27 of the application form (inserted						
Reasonable	following this box).						
Means of							
Control	Alternative chemical control options						
	There are no alternative authorised PPP seed treatments.						
	There are no alternative authorised PPP seed treatments.						
	The foliar treatment threshold is 1 aphid per 4 plants up to 12 true leaves; 1 aphid per plant						
	between 12 and 16 true leaves.						
	'Teppeki' (MAPP 12402), containing 500 g/kg flonicamid (WG), is authorised for one foliar spray,						
	controlling both <i>Myzus persicae</i> and black bean aphid (<i>Aphis fabae</i> , APHIFA). The protection						
	given by flonicamid lasts up to 21 days. A single foliar spray of 'Teppeki' will be insufficient for a						
	season where there is sustained aphid pressure.						
	season where there is sustained aprild pressure.						
	In addition to flonicamid, growers also have the option of using Insyst (M19873) containing						
	200g/kg acetamiprid which is also authorised for a single application in sugar beet agains						
	persicae.						
	It is considered that in a "normal" year 'Toppoki' and 'Inavet' would provide sufficient control						
	It is considered that in a "normal" year 'Teppeki' and 'Insyst' would provide sufficient control. BBRO are due to submit information on the effectiveness of foliar applications in crops not treated with 'Cruiser SB' for the 2022 season.						
	The sub-sthem with size of falling success and satisfy from the successful states in the strength of the stren						
	The only other authorised foliar sprays are actives from the pyrethroid group, which are ineffective						
	against <i>Myzus</i> because of widespread resistance. Whilst pyrethroids may still be used on sugar						
	beet to control other foliar pests (where again there is no alternative) their use has detrimental						
	impact on natural predators. (Refer to resistance section 2.2.3 for additional information)						
	In 2022, growers who chose not to use 'Cruiser SB' also had the option to use a single application						
	of Movento (M18345) against <i>Myzus</i> in sugar beet under an Article 53 authorisation. Movento						
	contains 150 g/l spirotetramat and is authorized for use in a range of crops against a range of						
	insect pests including <i>Myzuz persicae</i> in a number of brassica crops (Brussels sprout,						
	broccoli/calabrese, cabbage, cauliflower, collards and kale).						

Alternetive new chemical central entires
Alternative non-chemical control options

Part F Emergency Situation

24 Summary of available pest control options and nature of Emergency

A typical realistic spray programme showing any current available products, and timings and targets (which includes the requested emergency use) is attached in a separate

Please summarise the nature of the emergency situation and why an emergency authorisation is required. As part of this you must explain why the pest cannot be treated by any other means, explaining, where possible, whether previously authorised products were used.

In 2020, the UK sugar beet sector experienced its worst virus yellows epidemic since the mid-1970s. The cost to growers in the 2020 season was approximately £43m and subsequent impact to the processor of a further £24m. 38.1% of the national crop was infected with virus yellows. Many growers in Cambridgeshire, Norfolk, Suffolk and South Lincolnshire experienced up to 100% infection despite the use of up to 4 aphicide sprays applied at the BBRO recommended aphid spray threshold. Virus yellows also compromised the BBRO R&D trials programme and eight of the 13 Recommended List trials, used to assess up to 120 entries each year to select future elite varieties for UK growers, failed independent inspections primarily due to virus infection with the loss of critical performance data.

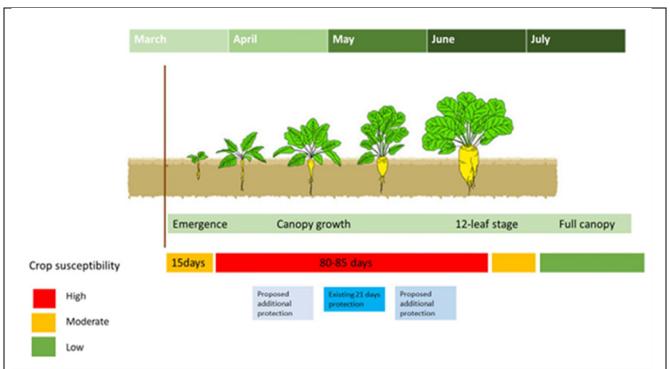
This crisis was brought about by the extremely mild winter of 2019/20 and unprecedented aphid numbers surviving, migrating and reproducing on young beet plants throughout April to June, despite the judicious and timely use of aphicide sprays to prevent re-colonisation and limit virus spread. Affected growers saw significant yield losses of up to 50% from decreased root weights and sugar content (and in some cases as much as 80%); sugar extraction was also impacted by increased impurities caused by the virus infection. A similar situation was experienced across Europe, especially France.

In September 2020, a Virus Yellows Taskforce was established between British Sugar, NFU Sugar and the BBRO to accelerate and develop ongoing, innovative and novel pathways of research to limit the future impact of this disease across the UK industry. British Sugar and NFU Sugar have also introduced a new virus yellows assurance scheme, funded by British Sugar, for the next three years to mitigate a proportion of future losses incurred by growers from virus yellows. However, grower confidence is still being impacted; in 2021 the contracted areas reduced by around 12%, largely due to the impact of virus yellows. We anticipate further consolidation if growers believe that yields are likely to be further decimated by virus yellows disease.

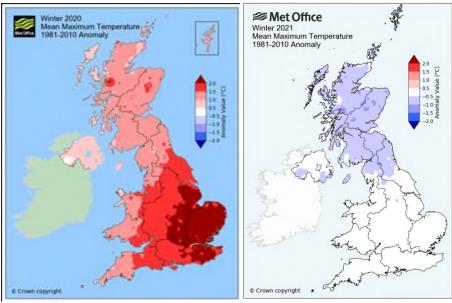
Why a seed treatment emergency authorisation is requested for 2023 to avert another potential virus yellows epidemic.

Without additional protection from sowing until the 12-leaf stage (the period when beet is most susceptible to colonisation by aphids and virus infection) there currently remain limited alternative control options for 2023 to prevent an increased threat from virus-carrying aphids in sugar beet.

 \boxtimes



Recent mild winters, with few significant frost events, are leading to the development of continuing high pest pressure situations for spring-sown crops such as sugar beet.



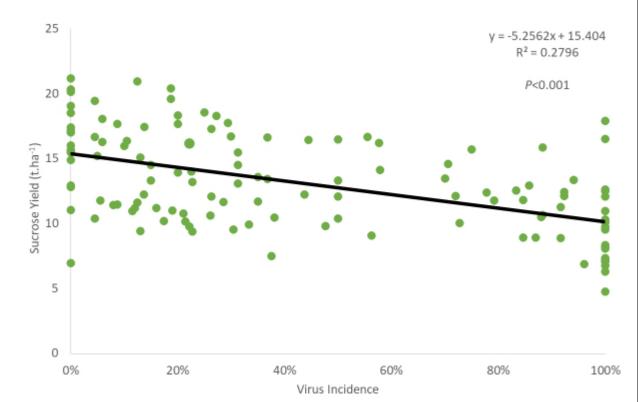
Without a cold winter or the additional insecticidal seed treatment protection for 2023 the UK sugar beet sector will again be at high risk of widespread virus yellows infection. Previously, seed treatments provided effective and targeted aphid control, for up to 12 weeks from sowing, until the onset of mature plant resistance.

In 2020, 2021 and 2022, growers and agronomists have had valuable, but not always complete success (especially in 2020), in controlling aphids when using aphicide sprays. BBRO 2020 aphicide trials in Suffolk and Lincolnshire showed that aphicide sprays provided control, but treatments lacked persistence commercially, **particularly at early growth stages** when large numbers of aphids were invading crops, leading to high levels of virus infection and significant yield loss. It is difficult to know how treated seed would have fared in 2020 given the unprecedented aphid levels experienced. The experiences from 2022 will provide a valuable insight in building a picture as to the value of these treatments and foliar sprays in future years. This data will be available in September 2022 and will be submitted to CRD as supplementary information. We know there are barriers to using these sprays next year due to lack of availability/information/research.

However, we do know that seed treatments will protect this critical early period of growth and will decrease the overall need for foliar sprays (which clearly had to be applied frequently under the sustained immense aphid pressure of 2020 and to a more limited extent in 2021).

Following the 2019 season (first season without neonicotinoid seed treatments), virus yellows was observed in 55% of crops inspected and the national incidence was 1.8%. In 2020, virus yellows was observed in 99% of crops surveyed and the national incidence was 38.1%. In 2021, virus yellows was predicted to be observed in 8.3% of the crop (without any pest management); in reality it was 2% because some aphicide sprays were still used where the threshold was met. However, there are now numerous sources of infection available from which aphids could acquire virus and infect the 2023 crop.

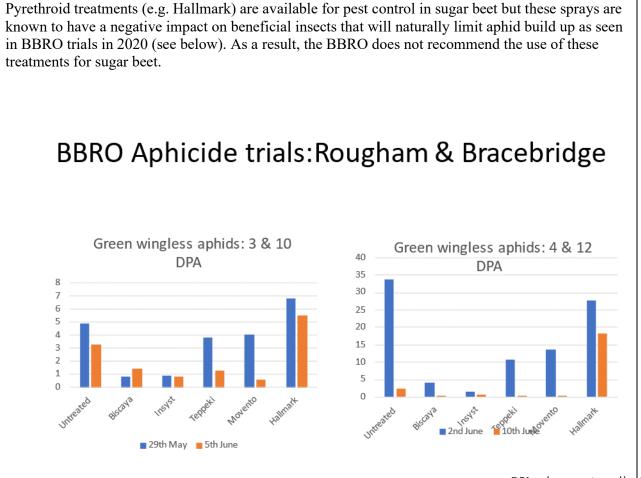
Detailed analysis by the BBRO of the impact of virus infection at 16 commercial aphid and virus monitoring sites in September 2020 (when virus yellows was most prevalent) has shown highly significant yield losses from virus infection (data below).



As highlighted, in 2021 the trigger for the use of thiamethoxam was not reached due to the impact of the previous cold winter, demonstrating the limited and controlled use of the product. Cruiser SB will only be used if the Rothamsted forecast triggers its use.

Regardless of the availability of seed treatments (if approved), aphicide sprays are required and justified if conditions result in aphid numbers exceeding recognised treatment thresholds. In 2007 for example, drought conditions affected the efficacy of seed treatments and necessitated the later use of sprays.

Currently for 2023, one spray of Teppeki/Afinto, followed by one spray of InSyst is permitted for growers to control virus-carrying aphids (at the time of submitting this application we are awaiting the formal approval for the commercial use of 'Movento' in 2023.



DPA = days post application

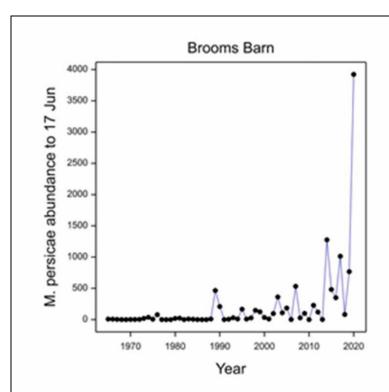
Over 80% of peach-potato aphids are also resistant to these pyrethroid treatments which would antagonise aphid control if used for this purpose, as seen in BBRO trials and commercial crops in 2020. Some progress is being made with the development of virus tolerant sugar beet varieties and there is one partially tolerant BMYV sugar beet variety (Maruscha KWS) commercially available for 2023. BMYV is one of the three yellowing viruses that form the virus yellows complex (BMYV, BChV and BYV). However, the yield potential of Maruscha KWS (in the absence of BMYV) is relatively low compared to existing, elite (susceptible) varieties. BBRO has calculated (from inoculated trials in 2019 and 2020) that growers would have to sustain 62% infection within fields before Maruscha KWS is economically viable. It is not a solution for the immediate future but a positive development. Sources of infection and the number of virus yellows carrying aphids will continue to increase each year and is expected to do so unless there is significant cold weather (as seen in 2021) and the adoption of wider integrated pest management strategies to limit their build-up. Growers strive to follow BBRO best practice to ensure sources of infection are kept to a minimum. The 2020 season clearly highlighted the limitations of current control strategies without an effective replacement for the neonicotinoid seed treatments. The 2020 virus situation was unprecedented, following the exceptionally mild January and February. Initially, this was reflected in the virus

yellows forecast issued by BBRO showing that 72-95% of the crop could become infected with virus without any control strategies applied. The warm, dry spring further compounded the situation and encouraged an early and sustained migration of large

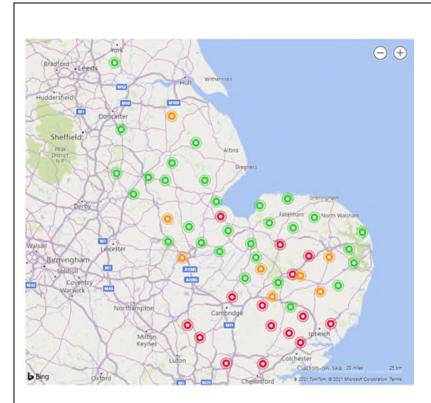
numbers of aphids, particularly *Myzus persicae*, to build up in spring crops such as sugar beet. Agronomists and growers were finding the first crops above aphid threshold (one green wingless aphid per four plants up until 12 leaves) from early April and in many cases when plants were only at the cotyledon growth stage or the first pair of true leaves. In BBRO aphicide trials green wingless aphid numbers reached up to 40 per plant, and, in May, reports of over 100 per plant were received from agronomists in commercial crops. Consequently, growers were forced to use a range of sprays (including those products gained through emergency approval), and depending on if and when thresholds were reached, have used between 0 and 4 sprays. The mean number of sprays applied, as determined from the British Sugar specific field survey, was 2.5. The wide variation in the number of sprays applied reflects the fact that growers were highly active in monitoring aphid numbers field by field and only applying foliar insecticides where appropriate, in line with thresholds. Aphid populations are typically heterogenous in their distribution and strongly influenced by many factors such as wind strength and direction, topography, surrounding crops and field boundaries.



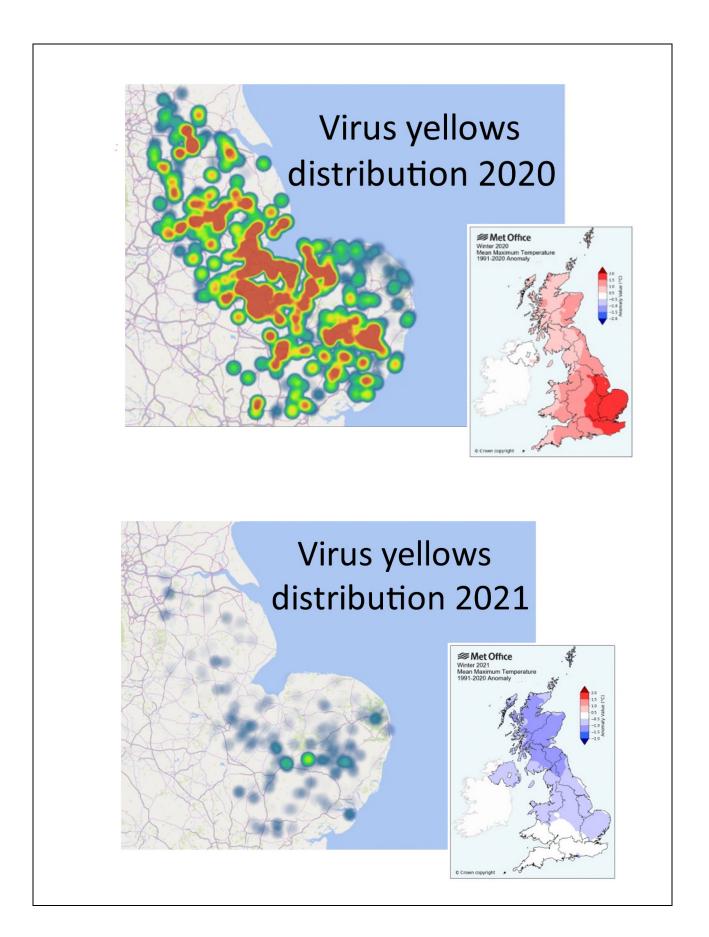
The 2020 Rothamsted Insect Survey data from the suction trap at Broom's Barn, Suffolk also highlighted the unprecedented numbers of winged aphids compared to the previous 55 years. Almost 4,000 *M. persicae* were trapped by the reference date of 17 June 2020.



BBRO selected 51 sites across the sugar beet growing region for the 2020 yellow water pan and aphid monitoring survey. Although COVID-19 affected the ability to collect some of these data, sites were visited by British Sugar Contract Managers or agronomists twice a week (April to July), to photograph and empty the yellow water pans. Selected samples were then sent to the BBRO laboratories to confirm aphid species and to determine the infectivity of any *M. persicae* caught. Additional aphid counts were also made of the number of winged and wingless aphids on 2 sets of 10 plants within each field and this information was used to trigger spray programmes at these sites (e.g. Lawshall, Suffolk example below). This information was uploaded onto the daily aphid risk maps published on the BBROplus website (see example below) and included in the regular BBRO information bulletins that were sent to all growers and agronomists.



Due to the early and sustained aphid pressure in 2020, the first virus symptoms were observed by mid-June 2020. Widespread symptom development continued throughout the summer. British Sugar undertook the annual virus yellows survey at the end of August/early September 2020 across 484 sites (the annual Specific Field Survey). Nationally 38.1% of the crop was infected with virus although infection levels ranged from 7% (Cantley) to 61% (Wissington) between the four factory areas. A comparison of the incidence and distribution of virus yellows in the UK from 2020 to 2021 is highlighted below. Beet yellows virus (BYV), the most damaging of the yellowing viruses capable of decreasing yields by up to 50%, also appears to be the most prevalent of the three yellowing viruses.



Currently, for 2023, the UK industry only has one foliar spray of Teppeki/Afinto and one spray of Insyst available for aphid control. Sprays are valuable, but not completely successful, in controlling unprecedented numbers of aphids as seen in 2020.

Grower vigilance, good on-farm hygiene, monitoring and targeted treatments will all be key to protecting the 2023 crop from virus infection and yield loss. The industry is committed to disseminating these messages to growers to minimise infection spread.

The UK industry submits this Cruiser SB emergency authorisation application as a limited, short-term solution, to ensure the sector can develop the appropriate longer-term pathways of aphid and virus yellows control to protect the future of the UK sugar sector.

This application is made to protect the <u>English</u> sugar beet crop from virus yellows in 2023, as well as the need to protect the BBRO R&D and Recommended List trials programme (approximately 20 hectares) that was heavily affected by virus yellows in 2020.

Please provide details of any current authorised products with relevant claims explaining why these products are not providing sufficient control options for this season. You must provide details on why these products are not sufficient to control the pest (e.g. any practical limitations on use; resistance; sustained pest pressure; maximum number of applications already applied)

In 2020 growers and agronomists had access to Teppeki, and after the approval of emergency authorisations in April and May, Biscaya (now withdrawn), Insyst and/or Gazelle. However, many growers had limited success in controlling the unprecedented numbers of aphids when these products were applied, especially at early growth stages. BBRO trials showed that these products provided control but lacked persistence commercially when under sustained and prolonged aphid migration as experienced in 2020. Biscaya has now been withdrawn and the only foliar sprays available to growers in 2022 are Teppeki/Afinto, Insyst and Movento (EA). BBRO received many questions from growers and agronomists regarding this difficult situation and a number of these are highlighted below to reflect the challenges experienced and to show why additional protection has been required in 2021 (with in season BBRO responses included), especially as the only product currently approved without emergency authorisation is Teppeki.

Q: Why did the foliar insecticides appear not to be controlling aphids effectively in 2020?

A: Part of the problem in 2020 was the sheer number of aphids. The ongoing warm conditions resulted in a continual movement of large numbers of winged aphids and their subsequent progeny moving into and through crops which insecticides struggled to control, particularly when plants were small. Additionally, dry conditions may have reduced the systemic action of insecticides. However, in most situations insecticides were giving some level of control. Foliar sprays remain a vital part of a holistic approach to infection control.

Q: Are all the aphids being recorded *Myzus persicae*, or are there other non-virus aphid vectors being found?

A: The vast majority of aphids being found on sugar beet in both 2020 and 2021 were peach-potato aphids (*Myzus persicae*) with some potato aphids (*Macrosiphum euphorbiae*). Several other species were identified such as the sycamore aphid and the willow carrot aphid and the black bean aphid (especially in 2021), but we believe that at least 95% of aphids counted in fields were peach-potato aphids, the main virus yellows vectors, and therefore this warrants control when above threshold. Aphid numbers, so far, are much lower in 2021 compared to 2020.

Q: Why can I find live aphids on leaves shortly after spraying?

A: Teppeki works by affecting the mouthparts of the aphids ultimately preventing them from feeding. Aphids may still be present for up to 72 hours post application although they should not be spreading the virus further. Insyst should have a more direct and faster effect on aphid mortality.

Q: Can I stop applying insecticides at the 12-leaf stage and what if I have part of a field at the 6-leaf stage and the rest at the 12-leaf stage?

A: Sprays should be applied up until the 16-leaf stage when aphids are found at threshold, although the threshold changes to one green wingless per plant above the 12-leaf stage. However, with variable plant sizes being reported in some fields, keep monitoring, and in such fields treat at the lower threshold value until all plants are 12 leaves and above, i.e. one green wingless per four plants.

Q: Why were the numbers of ladybirds and other beneficial insects so low in the 2020 season? A: 2020 saw far fewer early ladybirds present in crops compared to 2019, although numbers did build from June onwards, although this was after the main peak of aphid activity. It is not clear why this was the case, but the wet winter may have had an impact and/or their lifecycle was out of synchronisation with the rapid build-up of aphids this year. The 2021 aphid flight is both lower in number and delayed and as a result there have been significantly more beneficial insects present on crop when the aphids arrived.

Q: Does Tefluthrin (Force) provide aphid control?

A: Use of the seed applied pyrethroid tefluthrin (Force), to limit the impact of the sugar beet soil pest complex will remain available in 2022 and provides an ongoing option for control of these pests, but when used as a standalone treatment it is not as effective as when it is used in combination with the neonicotinoid. Tefluthrin is not systemic and relies on vapour phase activity. Also, the combined use of the neonicotinoid and pyrethroid on the seed is more effective in controlling the soil pest complex on those soils with a high pest pressure (Hauer et al., 2016; Dewar et al., 2000). Tefluthrin has no efficacy against foliar pests in sugar beet such as aphids or leaf miner, so will not provide any protection against these pests.

Please provide details of any available non-chemical alternative control options.

There are currently no effective alternative non-chemical control options for virus-carrying aphids in sugar beet. However, growers are increasingly interested in trying additional novel solutions to limit virus spread such as the use of weed buffer strips within or around crops to encourage beneficial insects or to 'push' aphids away from beet plants or by introducing beneficial insects directly (such as lacewings) into fields. In 2020, the use of under sown barley in beet to prevent wind-blow damage appeared to have decreased virus infection in some fields too by affecting the attractiveness of beet as a host for aphids at an early growth stage. See: <u>undersown-opinions.pdf (bbro.co.uk)</u>. BBRO is currently investigating this concept further, but crop growth stage is critical for success.

Winged *M. persicae* cannot be prevented from entering sugar beet crops and feeding on individual plants and covering plants with plastic as a barrier is uneconomic. Therefore, crops are potentially at risk from virus infection every year until a long-term solution is found through the sustainable pathway being delivered by the 'VY Taskforce' referred to earlier.

The BBRO provides advice to the industry on minimising the development of initial foci of infection and subsequent secondary virus spread. The BBRO provides such advice to the industry via bulletins, real-time information from the plant clinic and current trials, conferences, workshops and open days to adopt relevant, commercially available and appropriate integrated control options. These options include removing sources of infection and the use of cultural practices to help reduce, but not eliminate, the risk of infection.

Growers are advised to sow early, where possible after the 1st March and when soil/weather conditions allow while balancing the risk of plants bolting and then flowering and not developing a storage root if they experience too many cold days during the spring), to achieve maximum yields. Older plants are known to be less physiologically attractive to aphids (Williams, 1995). Therefore, by sowing early there is a greater chance that plants will have gained increasing mature plant resistance before peak aphid migrations. Later sown crops are more susceptible to infection as winged *M. persicae* are attracted to the yellowish-green leaves of younger sugar beet plants and these will not have reached the appropriate growth stage for inherent mature plant resistance. The reason for the resistance of mature plants is still unclear but is the subject of ongoing investigation and PhD research.

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LMC International (2017). The economic impact of a ban on neonicotinoids on the EU sugar beet sector. 1-10.

Williams, C. T. (1995). Effects of plant age, leaf age and virus yellows infection on the population dynamics of *Myzus persicae* (Homoptera: Aphididae) on sugar beet in field plots. Bulletin of Entomological Research 85, 557-567.

25 Details of pest problem

Please provide details of the pest (specific danger to be controlled) including life cycle, mode of action and severity of the threat posed to the crop/situation. Include details of relevant pest threshold levels, where known, and the results of any recent or ongoing relevant monitoring or surveys of pest numbers. Please indicate whether this is a new problem.

Overview

In the UK, neonicotinoid seed treatments have been used to control up to 15 different pests (and associated virus diseases) that can be found across all the sugar beet growing area in Eastern England (Foster and Dewar, 2013). These treatments control similar or additional pests across north-west Europe too (Hauer et al., 2016). The pests can be divided into three key sub-groups:

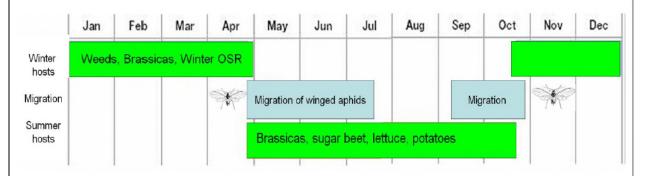
- 1. the critical virus yellows-carrying aphids, principally the peach-potato aphid (*Myzus persicae*);
- 2. the leaf miner fly complex (e.g. Pegomya hyoscyami and related sub-species);

3. the **soil pest complex** (e.g. springtails, symphylids and millipedes) that cause generalist root grazing, damage and/or plant loss (reviewed by **Dewar, 2000**) but can be reasonably controlled in low/medium pest pressure situations by ongoing use of tefluthrin (Force) as previously used in the late 1980s/early 1990s prior to the first registration of the neonicotinoids in the UK in 1994.

We set out details of pest thresholds and ongoing monitoring results for aphids and virus yellows. **Virus yellows transmitted by aphids**

The peach-potato aphid (*M. persicae*) is regarded as a major pest on a range of crop species including potatoes, brassicas, legumes and sugar beet. It is the most important pest and virus vector aphid in the UK due to its wide host range and proficiency in transmitting more than 120 plant viruses. Most peach-potato aphids overwinter as winged and wingless forms on weeds and brassicas. Winged individuals then migrate from winter hosts to summer hosts from late April and numbers usually peak in July. This aphid species does not form dense colonies and rarely reaches levels that cause direct feeding damage. However, its tendency to move short distances when crowded enhances its importance as an aphid vector.

Virus yellows is an aphid-transmitted virus 'complex' of three different viruses that includes the poleroviruses *Beet mild yellowing virus* (BMYV) and *Beet chlorosis virus* (BChV), and the closterovirus *Beet yellows virus* (BYV). *M. persicae* is regarded as the principle aphid vector, although the potato aphid (*Macrosiphum euphorbiae*) can transmit all three viruses to sugar beet too; the viruses are transmitted via persistent (BMYV and BChV) or semi-persistent (BYV) transmission mechanisms by both aphid species. Therefore, once an aphid has acquired BMYV and BChV it remains infective for the rest of its life, although the adult cannot pass this virus directly onto its progeny. Aphids carrying BYV remain infective for up to three days.



The two aphid species can overwinter on weeds (e.g. *Capsella bursa-pastoris* and *Senecio vulgaris*), oilseed rape, brassica cover crops or on beet 'volunteers' or spoilage heaps of root remnants following harvest (see timeline above). Although brassica species are not hosts for the sugar beet yellowing viruses, many common arable weed species associated with these crops and surrounding margins are hosts for these viruses. If aphids infect and/or acquire the viruses from these and migrate into spring crops such as sugar beet, then primary virus infection and secondary spread can occur.

Infection of sugar beet plants with the yellowing viruses causes chlorosis of leaves which in turn disrupts photosynthetic, respiratory and other metabolic processes. These changes increase the levels of amino nitrogen, sodium and potassium in roots which adversely affects extractability of sugar during factory processing. Also, yellow leaves are susceptible to attack by secondary fungi such as *Alternaria alternata*, which may destroy the leaf, further exacerbating yield loss.

As the UK sugar beet crop is grown under contract by growers for British Sugar plc, each grower has access to a Contract Manager (22 in total across the four factory areas) who provide support and advise on agronomic factors such as aphid control. Each year the industry is provided by the BBRO with preseason forecasts, produced by Rothamsted Research, of the incidence and abundance of aphids and Virus Yellows. These forecasts are issued at the beginning March and are based on the relationship between virus incidence and winter temperature (January and February mean temperatures being critical to the analysis), the timing and size of the spring aphid migration (as recorded by the suction traps managed by the Insect Survey group at Rothamsted Research), crop emergence date, and the use of insecticides, including neonicotinoid seed treatments since their first introduction (Qi et al., 2004). These annual forecasts are then supplemented by season-long real-time information on the incidence of the virus vectors, their resistance status and infectivity from both the Rothamsted suction trap and BBRO-managed yellow water pan networks run in association with British Sugar staff, growers and agronomists at approximately 30 sites from the end April/early May until the end of July each year. Both networks have been working in tandem since 1990 and currently this information assists growers who have not used seed treatments or treatments have been compromised by specific weather conditions (e.g. too dry or too wet as occurred in 2007 and 2012 respectively) allowing the aphids to build up above threshold levels for the need for subsequent foliar aphicide application (if available). From historical aphid monitoring and infectivity testing by the BBRO (between 1994-2004), when the neonicotinoid seed treatments were first introduced into UK sugar beet production, a total of 20,255 M. persicae were caught in the yellow water pan network across the UK sugar beet growing area; 222 BMYV-infective aphids were identified using diagnostic tests. Therefore, the proportion of viruliferous aphids was approximately 1% of the population of winged aphids. Although the total number of aphids can differ significantly from one factory region to another, and between years depending on winter weather, the proportion of viruliferous aphids has remained constant and has not significantly differed from one percent, although at several sites in certain weeks and years up to 5% of aphids have been found to carry BMYV.

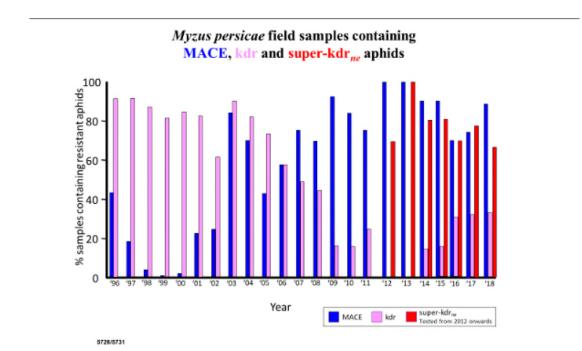
The industry has continued to support the BBRO aphid monitoring programme and 8109, 5029 and 4970 *M. persicae* were caught in yellow water traps at the 30 locations in 2015, 2016 and 2017, respectively. Equivalent virus testing showed that none of the individuals caught in 2015 or 2016 contained BMYV. Three *M. persicae*, all caught in Cambridgeshire, were viruliferous in 2017. Although these recent data suggest the infectivity of aphids has decreased over time since the late 1990s/early 2000s, and this decline in infectivity might well be linked to neonicotinoid seed treatment use, it must be stressed that there were cases of high levels of virus yellows infection in UK fodder beet in 2017, particularly in the west Midlands, south-west England and in the borders of Scotland. Neonicotinoid seed treatments were not used on these crops, although the seed was treated with tefluthrin, and clearly demonstrates that virus yellows has remained in the UK and would rapidly return into the sugar beet areas if not controlled. In addition, in 2017, several commercial sugar beet crops in Normandy, France, where neonicotinoid sered treatments were not used or partly used in fields by growers (although up to three pyrethroid sprays were applied), showed levels of virus infection of up to 40%. Assessments made by ITB (the French equivalent of BBRO) showed yield losses of around 32% on average in the French crop in 2020 (picture below).



New molecular (qPCR) diagnostics have now been developed at Rothamsted Research for BBRO enabling aphids to be tested for all yellowing viruses simultaneously (rather than just BMYV), further refining the data collected and improving the understanding of the risk associated with virus yellows infection in the future.

In 2022, the industry delayed the seed order to growers until post the derogation announcement in January then took treatment/variety orders, treated the non-Cruiser seed and waited for the outcome of the Rothamsted model for the remainder of the crop.

The current plan for 2023 is to take variety/pellet and non-Cruiser treatment at contracting (August/September 2023) and then go back to growers to ascertain Cruiser SB requirement after derogation outcome is known. Treatment would only be made after Rothamsted model has been published.



When foliar insecticides are available for aphid control then the existing threshold for application is one green wingless aphid per four plants (**Hull, 1968**). This threshold was revised to consider the reduced susceptibility of plants to both aphids and virus infection with plant maturity. Therefore, after the 12-14

leaf stage the threshold for aphicide sprays decreases to one aphid per plant and after the 16-leaf stage no further control measures are necessary as plants become unpalatable to aphids (**Kift et al., 1997**). At this stage of the season the black bean aphid (*Aphis fabae*) can become an issue. However, this species can only transmit BYV and is usually controlled by the large number of predators and parasitoids found in the crop at this time of the year and usually control measures are not recommended by the industry. **Our industry is working hard to develop long-term solutions through a sustainable pathway to virus yellows control. In 2023, there is one partially resistant sugar beet variety (Maruscha KWS) commercially available which has mild resistance to one of the three yellowing viruses that form** the virus yellows complex (**BMYV**, **BChV or BYV**). The yield potential in the absence of virus is low compared to existing, elite (susceptible) varieties. **BBRO has calculated (from inoculated** trials in 2019 and 2020) that growers would have to sustain 62% infection within fields before such varieties become economically viable.

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26 Potential pest risk

Please give details on the estimated risk to public health and/or economic impact of the pest should no authorisation be granted, for the proposed use for the crop/crop group.

The maritime climate of the UK has favoured the growth and increasing yield potential of sugar beet. Sugar beet is a non-flowering crop grown, almost exclusively, across the eastern counties of England. The current crop area is approximately 88,000 hectares, grown to supply the four British Sugar factories at Bury St Edmunds, Cantley, Newark and Wissington, supporting over 9,000 jobs within the sector. Sugar beet provides key ecosystem services (e.g. habitats for stone curlew, skylark and lapwing and food for almost 90% of the world's population of overwintering pink-footed geese) as well as rotational benefits as a spring break crop to limit other important arable issues such as blackgrass. However, in many years, the climate is also highly favourable for the build-up and development of damaging pest and disease threats. Consequently, the beet industry has developed and adopted a range of methods and thresholds wherever necessary. These include plant protection products and the use of neonicotinoid seed treatments between 1994 and 2018. The seed treatments were the only option to control and limit the impact of aphid pests and associated virus diseases on establishment, growth and yield, reducing the need for follow-up secondary applications of insecticides, when these treatments were available in the past.

Neonicotinoid seed treatments, combined with valuable foliar sprays when needed, remain the only viable method to successfully control for virus yellows in the short term. 2020 showed that there are currently limited effective alternative chemical or non-chemical treatments available to protect the UK industry from virus yellows. As happened in 2020, the economic (yield loss) and environmental risks (further active ingredient being applied as sprays) should no authorisation be granted, could be very significant if no authorisation is granted.

Using the virus yellows model we can estimate that between 2011-2016, the losses from growing beet without neonicotinoid seed treatments, as a result of virus yellows, would have been conservatively

estimated as costing from $\pounds 0.11M$ in 2011 to $\pounds 51.55M$ in 2014, with an average of $\pounds 17.30M$ annual loss over that period (the table below sets out this analysis). These losses are conservative because they are specifically due to the effect of virus yellows, and exclude:

1) any consequences of leaf miner damage, which we believe nationally to have been small, although would have produced significant local losses in affected fields (BBRO trials in 2015 showed losses of up to 9% specifically due to the second and third generation of this pest); and

2) the effect of the soil pest complex, which can be reasonably controlled in many cases using the pyrethroid element of the seed treatments (e.g. Force, active ingredient tefluthrin).

It is estimated that the costs to growers in the 2020 season was approximately £43m and subsequent impact to the processor of a further £24m.

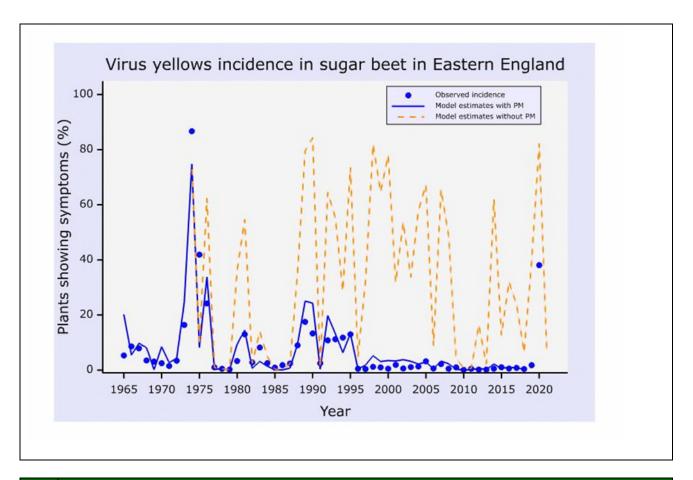
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As previously highlighted, the extent of disease and hence potential losses is determined by winter and early spring weather prior to the sowing of the crop.

30th March drill date							
Virus Prediction %	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	2016	
Bury	0.6%	19.50%	2.16%	62.10%	12.70%	32.10%	
Cantley	0.50%	10.30%	1.38%	65.50%	29.40%	39. <mark>8</mark> 0%	
Wissington	0.60%	19.50%	2.16%	62.10%	12.70%	32.10%	
Newark	1.10%	19.70%	2.92%	74.20%	32.10%	48.90%	
Average % infection	0.70%	17.25%	2.16%	65.98%	21.73%	38.23%	_
Infection with treated	0.52%	0.25%	0.18%	0.52%	1.03%	1.00%	
Potential Impact	0.18%	17.00%	1.98%	65.46%	20.70%	37.23%	
Assuming 25% yield loss	per affected	d plant and	1% infect	ed on treat	ed		
Total Crop Volume	8504100	7291418	8431600	9309184	6217431	600000	Est
DEFRA Crop Value £M	£251	£231	£266	£315	£173	£160	Est
Potential Loss £M	<u>£0.11</u>	<u>£9.82</u>	<u>£1.31</u>	£51.55	<u>£8.95</u>	£14.89	Est

Virus yellows prediction using the Virus Yellows Model for a crop drilled on 30 March for 2011 - 2016 and associated potential losses

The Virus Yellows forecast has been in operation for the UK sugar beet crop since 1965 and is one of the longest running predictive models available anywhere in the world, used to indicate the level and potential impact of an economically important plant disease. The forecast is validated by the assessment of the UK sugar beet crop each year by the British Sugar Contract Managers at up to 500 geographically diverse sites each year (represented by the blue dot in the diagram below). The model can be used to give an overall level of virus yellows infection at the end of August each year for the UK crop (see below), either without any pest management (PM) intervention or with the best pest management practice available at that time. Over the decades, pest management practices have evolved and changed due to many different reasons. These have included the use of specific organophosphate, carbamate or pyrethroid insecticides, neonicotinoid seed treatments, and cultural control methods. This clearly indicates the potential consequences of virus yellows infection if not controlled and the clear benefits provided by the neonicotinoid seed treatments.



27 Control of pest problem and benefit of proposed product

Please provide a detailed reasoned case, with reference to any available supporting data, justifying how the proposed emergency authorisation will provide a sufficient level of benefit (pest control, reduction in damage etc.) to warrant the use. Where applicable, please provide historical information.

The UK maritime climate favours overwintering survival of aphids more so than any other EU country. Monitoring shows that the UK sugar beet crop, primarily grown across the eastern counties, would have experienced nine virus epidemics of over 50% infection since 2000 without effective control options such as the neonicotinoid seed treatments (see chart and table in section 26). In 13 years between 2000 and 2017 these treatments prevented economically significant crop losses due to virus yellows alone. Between 1994 and 2018, neonicotinoid seed treatments ensured that virus yellows levels remained at around just one percent of the national crop being affected.

The consequences and economic impact of a ban on neonicotinoid seed treatments on the EU sugar beet sector have been studied by LMC International in 2017 (a report commissioned by Syngenta AG). The authors conclude that a ban on neonicotinoid seed treatments will decrease farm incomes through loss of yield and increase yield volatility. Also, losses will be greater in milder maritime areas, such as the UK, regions that currently produce some of the highest yields across Europe. We have now experienced the damaging impact of this emergency situation with the author's predictions demonstrated across the growing area in 2020. *The full report has been previously provided to HSE for reference*.

Previous studies and grower experiences have shown that neonicotinoid seed treatments are highly effective to protect sugar beet from the significant impact of pests and viruses on yield. Studies have shown that the earlier the infection with virus yellows the greater the yield loss, therefore protecting the plants from aphids from emergence until the 12-leaf stage (before the phenomenon of mature plant resistance develops) is crucial. We note in particular:

• Without control, the poleroviruses BMYV and BChV cause the greatest yield loss when the plants are infected at an early growth stage with infection reducing light interception by up to 40% (**De koeijer and van der Werf, 1995**) and final yields decreased by up to 30% (**Smith and Hallsworth, 1990**;

Stevens *et al.*, 2004). Later infection, when the plants have more than 20 leaves, is currently thought to have little effect on yield. For example, previous neonicotinoid seed treatment trials (**Tait et al., 2012**) showed significant yield responses when virus-carrying *M.persicae* were introduced and then controlled by seed treatments after 7 weeks post sowing. Control of later infections produced positive yield responses, but these were not always significant.

• As with BMYV, without control, sugar yield losses due to BYV depend on the time of infection; late infection (i.e. after mid-July in northern Europe) has little effect, whereas early infection can decrease yield by up to 47% as well as increasing the level of impurities (**Heijbroek**, 1988; Smith and

Hallsworth, 1990; Clover *et al.*, 1999). Plants infected with BYV show a reduced formation of leaf area compared to healthy or BMYV-infected plants. Also, leaves developing after infection are smaller than healthy or BMYV-infected sugar beet (De Koeijer and van der Werf, 1999).

Infection with virus yellows decreases the overall weight of sugar beet plants. **Clover et al. (1999)** concluded that infection with BYV reduced total dry matter yield of sugar beet by 20% from 18.7 to 15.1 t/ha. The decrease was primarily due to the reduction in the yield of storage roots (3.3 t/ha; 25%) rather than foliage (0.4 t/ha; 7%). It is the reduction in the size of storage roots in diseased plants which is the main cause of yield loss in BYV-infected sugar beet. In field experiments five cultivars in the UK, **Smith and Hallsworth (1990)** observed decreases in fresh storage root and sugar yield of 13-47% and 16-47%, respectively.

• A minor component in the loss of sugar yield in BYV-infected sugar beet results from the decrease in the concentration of sugar in infected storage roots. The size of the decrease in sugar concentration in infected sugar beet is very dependent on cultivar and the time of infection and **Smith and Hallsworth** (1990) observed a reduction in the sugar concentrations of fresh storage roots of between 0 and 0.5 percentage points. There was no reduction in sugar concentration in plants infected after the end of July. **Clover et al. (1999)** reported similar reductions (0-0.3 percentage points) in sugar concentration in three field experiments on one cultivar infected with BYV in the UK.

• Sugar is extracted from the storage root of sugar beet by a complex industrial process that involves clarification using lime, evaporation and crystallization. The pH value is critical during each of these stages and the presence of impurities such as sodium and potassium that increase pH during lime clarification, and amino-nitrogen which decreases pH during evaporation, affects extractability. Without controlling the aphid vectors, virus infection will significantly increase the concentration of sodium, potassium and amino-nitrogen impurities in the storage roots of sugar beet (Smith and Hallsworth, 1990). In common with other components of yield loss, the extent of this loss in quality is

determined by the time of infection and sugar beet cultivar (Smith and Hallsworth 1990; Clover et al., 1999).

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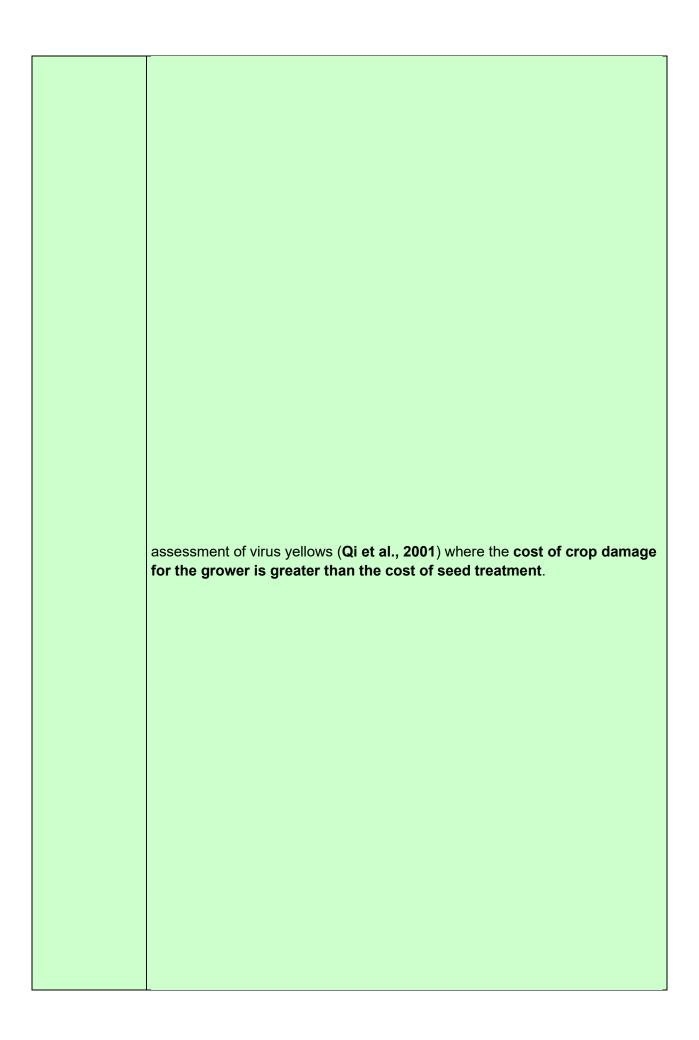
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1.3.4 Limited use

Information from the applicant is available in sections 28-29 of the application form (inserted following the Controlled use box, below).
Any use of 'Cruiser SB' in 2023 will, as in 2021, be limited by using an agreed treatment threshold based on the predicted virus incidence provided by the Rothamsted virus yellows forecasting model. In addition use will also be restricted to sugar beet sown under contract to British Sugar for processing to sugar. Other beet crops, such as red beet and fodder beet, would not be treated neither would sugar beet grown for bio-fuel production or for use in anaerobic digestion.
In 2021 a threshold of 9% virus incidence was used as the trigger for use. The cold winter experienced in 2020/21 meant that the trigger was not reached in the forecast and no seed was treated with 'Cruiser SB'.
The predicted area of land drilled with contracted sugar beet for 2023 is 87,000 ha (down from 92,000 ha in 2021) and is located Eastern England close to the four sugar beet processing plants at Newark, Cantley (Norwich), Bury St Edmunds and Wissington (Kings Lynn). [HSE notes the latest indication in November 2022 is that the contracted sugar beet area may actually increase in 2023 from that grown in 2022 and is likely to be a combination of the virus yellows assurance scheme offered by British Sugar and the improved price for sugar beet. A better indication of the contracted sugar beet area would be available early January when the seed orders have been placed].
Growers will have the option when placing their seed order to request seed treated with 'Cruiser SB' (if available) or not. In 2021 94% of growers had chosen to order 'Cruiser SB' treated seed if available and in 2022 75% of seed was treated with 'Cruiser SB'.
 As mentioned in previous considerations and applications, the pre-season forecast is provided by Rothamsted Research and the model's output is based on a number of factors: incidence and abundance of aphids and virus levels (using Rothamsted and BBRO/British Sugar monitoring from the previous season), the relationship between virus incidence and winter temperature (January and February mean temperatures being critical to the analysis); the timing and size of the spring aphid migration (as recorded by the suction traps managed by the Insect Survey group at Rothamsted Research),



include an additional restriction limiting the planting density to a maximum of 115,000 seeds/ha (based on the environmental and consumer exposure assessments). The applicant would be required to ensure the stewardship plan reflects this accordingly.
In conclusion, the test of limited is met primarily through setting as a condition of any authorisation that the seed is only treated if the appropriate treatment threshold is triggered.

1.3.5 Controlled use

Controlled Use	Information from the applicant is available in sections 28-29 of the application form (inserted following this box).
	As described in previous applications (and in this one), sugar beet for sugar production is grown under contract to British Sugar.
	If used 'Cruiser SB' will be applied at one of a small number of established seed treatment houses (one in UK).
	Grower orders are made six to eight months before drilling commences and determine the variety and the different seed dressings applied. The decision to order seed treatments (where available) will depend on growers' own risk analysis and previous on farm experience. If the use is authorised only sufficient seed to fulfil these orders will be treated with 'Cruiser SB'. Therefore, if there is any replanting necessary due to failure of the crop to establish there would be no option to use 'Cruiser SB'. Once treated, seed will be packaged and delivered to growers. Supply of the treated seed will be managed as part of the contract with British Sugar. The applicant has advised that the pelleting process ensures 100% traceability of the product.
	The 2023 seed order process opened 16 th August in 2022.
	Sugar beet seed is precision sown and covered, usually at 2.5 cm depth, 18 cm apart and 50cm between rows (to achieve a final BBRO recommended field population of 100,000 plants per hectare). Spill kits will be provided and instructions for dealing with spillages are detailed in the draft stewardship scheme.
	BBRO provide detailed and extensive advice on all aspects of sugar beet growing and provide exhaustive information on crop management, Integrated Pest Management (IPM) measures, monitoring aphid populations/virus incidence throughout the season, as well as technical advice and plant clinics. Should an Article 53 be authorised for 'Cruiser SB', 60 sites will be monitored for infectivity and resistance status (15 sites in each of the 4 factory areas).
	A draft stewardship plan has been submitted which identifies the range of communication that will be undertaken, reinforcing the messaging at timely points in the season.



28 Limitation and Control

Please provide details of how the use of the product will be limited and controlled. Include details of the decision process governing the use of the product (e.g. agronomic factors, pest thresholds and monitoring); a reasoned case justifying the scale of use (% crop that may be required to be treated, including geographical location); or other limitations on use (e.g. period of use); bespoke product stewardship arrangements, and the rationale underlying these proposals.

Overview

As in 2020, to address a potential emergency facing the UK industry in 2023, the UK sugar beet sector is committed to the following proposed limitations and controls on use, should the authorisation for Cruiser SB be granted, and the threshold for use met. The industry is committed to the responsible use of plant protection products. For a summary of the stewardship programme refer to the attached document entitled '2023 Cruiser SB Neonicotinoid Stewardship Document'.

Sugar beet is precision sown which avoids soil surface contamination. We also acknowledge the previous HSE analysis in 2018 regarding Hanslope soils flow exceedances if late winter/spring is wet. If sugar beet was sown after the drain flow period of approximately 30th April on these soil types it would be economically unviable for those growers with this soil type. **Consequently, the industry is proposing to sustain the reduced rate of thiamethoxam applied from (the normal) 60g to 45g per 100,000 plants to lower potential risks.**

Our approach highlighted below is substantially more prescriptive than any other European country currently applying for emergency authorisations for seed treatments for 2023 (M. Stevens BBRO personal communication via the International Institute of Sugar Beet Research) as the UK approach is based on forecasting and threshold trigger points for seed treatment application. The successful trigger mechanism in 2021 showed IPM in practice – the industry did not treat sugar beet seed with Cruiser SB as the Rothamsted virus yellows forecast predicted low levels of infection for the 2021 season. In addition to the robust trigger mechanism, if Cruiser SB is used, the industry is committed to multiple measures, outlined below, with the specific intention of reducing the level of risk to pollinators.

Outline of the proposed limited use

Under the proposed limited use, the neonicotinoid treatments would be applied by either the UK seed processor Germains in Norfolk; by KWS in either Einbeck, Germany, Buzet-Sur-Baise, France, or Holeby, Denmark. This is a significant undertaking by the sugar sector, as the neonicotinoid seed treatment would be purchased by the companies but only used if deemed necessary (as described below). Once again, it is hoped that this commitment will be seen as a step-change to developing a greater integrated approach, using the virus yellows model to rationalise seed treatment usage and moving away from prophylactic application, while alternative approaches are developed, verified and registered for the crop.

If neonicotinoid seed treatments were not required, due to a low risk of virus infection from the 2023

forecast, product would be returned to the supplier as per the 2021 season.

If seed had to be treated, the exact amount required would be known from the seed ordering process between growers and British Sugar by the end of 2022/ early 2023. This is anticipated to be over three quarters of the crop (based on 2022 uptake) because of the serious threat that virus yellows complex poses to the impact and viability of the entire UK sugar beet sector. However, no further additional seed would be treated for any fields that may have to be resown in 2023 due to poor weather conditions affecting germination and/or crop establishment.

Once treated and packaged, seed would be delivered to growers from March 2023 onwards. A direct consequence of this approach is that the seed could be delivered and sown later than recommended (usually the crop is sown from 1st March onwards once temperatures are at or above 5C). Delaying sowing due to later on-farm seed delivery, especially into April, will decrease the biological yield potential of the crop, affecting both grower returns and British Sugar income. A yield loss of 6, 8, 13, and 21% is experienced for every week of delay throughout April (BBRO Reference book). However, the industry is prepared to accept this yield penalty to ensure the crop is protected against the more damaging virus yellows infection.

As in 2020 and 2021, to determine whether neonicotinoid seed treatments would need to be used on the 2023 crop, the Virus Yellows forecast will be produced by Rothamsted Research and a decision will be taken as to whether a seed treatment should be applied to the crop based on the outputs of the model available on 1st March 2023. Due to the maritime climate of the UK, and the small footprint of the UK sugar beet crop within the eastern counties of the UK, the virus yellows model usually predicts, when conditions are favourable, that all the cropping area would be at an economic risk from virus infection. Therefore, the value of current regional models is valid. Also, the current virus yellows forecast is being refined and regionalised by Rothamsted Research via a four-year BBRO-funded project that started in autumn 2019 to target and rationalise, as well as localise, insecticide usage in sugar beet and to support any future emergency authorisations. With a limited number of suctions traps available (there are only two in the main sugar beet growing region) to cross correlate the data and the analysis of using yellow water pan from the 50 sites we will retain the current single national threshold for the 2023 season.

This decision has been taken on the strength and robustness of the model outcomes since its first introduction in 1965 and its value to provide an integrated pest management approach, although, a consequence of this approach, as already highlighted, is seed delivery could be delayed. However, if the UK experiences a cold winter in the months of January and February 2023 and the virus yellows forecast **is below the economic threshold of the cost of the seed treatment** then these treatments will **not** be applied. Therefore, under these conditions, neonicotinoids would not be used under the emergency authorisation in 2023 by the sugar beet Industry, even if approved by DEFRA.

Calculations of the economic threshold are based on the current crop price, cost of neonicotinoid seed treatments and the economic impact assessment of virus yellows (Qi et al., 2001) where the cost of crop damage for the grower is greater than the cost of seed treatment. The 2023 economic threshold for use of neonicotinoid seed treatments for virus yellows will be agreed in due course.

Steps involved in determination of use

As highlighted, all UK sugar beet is grown under contract to a single customer – British Sugar. Grower contracts are negotiated annually between British Sugar and the NFU Sugar. This contractual situation affords a unique level of control over production.

The proposed steps to enable the UK sugar beet sector to control neonicotinoid use under an Emergency Authorisation are as follows:

he 2023 seed contract offer letter, jointly agreed by British Sugar and the NFU Sugar, will be re-issued to all sugar beet growers post-decision taken by HSE/CRD/ECP/DEFRA regarding any future emergency use of neonicotinoid seed treatments in sugar beet.

• If the emergency authorisation is granted growers will be given the option to treated non, some or all of their original seed variety order, but it will be stipulated that neonicotinoid treatments will only be

available if the economic threshold for treatment is triggered in March 2023.

• Growers will always have the option to buy untreated seed.

• The seed and neonicotinoid seed dressing will be purchased by and delivered to the ESTA accredited and the UK processing facility at Germains, Kings Lynn and other European seed producers as highlighted above.

• Seed will be processed, primed and pelleted but not neonicotinoid treated, or film coated.

• The pelleting process ensures 100% traceability of product. This procedure is an exact process leading to minimal dust levels (the industry led (ESTA) reference value for dust emission from seed treatment, at point of despatch, is 0.25 g dust/100,000 pelleted seeds) limiting any impact to both operator and environment. (In 2017, the average dust level at the Germains factory was well below this minimum dust level at 0.02g/100,000 seeds).

• Similarly, the seed purchased by growers from KWS will be treated and imported into the UK following guidelines and restrictions as above.

• Await the Virus Yellows forecast to be issued at the beginning of March 2023.

• The 2023 economic threshold for use of neonicotinoid seed treatments will be agreed in due course.

• BBRO to monitor winter aphid and virus levels on weeds, cover crops and unharvested beet (e.g. for anaerobic digestion) in January to April 2023.

• March 2023 onwards treated seed delivered and sown on farm following BBRO recommended guidelines in the BBRO Reference book provided to all growers and agronomists.

• All treated crops and associated field-areas to be recorded via the growers submitted crop declaration

• Beet is precision sown and covered, usually at 2.5cm depth, which avoids the ecotoxicological risks to birds from eating pelleted seed. However, the industry will provide spill kits to contractors and growers in case any seed accidentally remains on the soil surface.

• The same following crop restriction will be used as in 2022 and there will be a clause added into the Inter Professional Agreement (IPA) between British Sugar and NFU (the IPA is an extensive document that governs the relationship between NFU Sugar and British Sugar, the terms of the IPA are incorporated into each grower's contract) that stipulates that **growers must follow the following crop rules summarised in the table below.**

The following-crop restrictions apply for subsequent crops planted on the same area of land as Cruiser SB sugar beet drilled in 2023.

- Any crop excluded from the below table should be considered 'restricted' i.e. a minimum of 32 months from drilling of Sugar Beet.
- The 32-month restriction applies to those agri-environment options that allow flowers to grow or appear on the same ground on which Cruiser SB treated seed was sown in 2023.
- Cover crops (including mixes) must also follow the 32-month restrictions.

	Non-restricted	Restricted
Rules	No restrictions following Sugar Beet	A minimum of 32 months from drilling of Sugar Beet
Crops	1. Wheat (including Durum	23. Oilseed Rape
-	Wheat)	24. Linseed
	2. Barley	25. Mustard
	3. Millet	26. Soya Bean
	4. Sorghum	27. Pea
	5. Oat	28. Bean
	6. Maize / Corn	29. Buckwheat
	7. Rye	30. Clover
	8. Triticale	31. Phacelia
	9. Canary seed	32. Chicory
	10. Spelt	33. Radish
	11. Potato	34. Vetch
	12. Cabbage	35. False Flax
	13. Kale	36. Lucerne
	14. Swede	37. Sunflower
	15. Lettuce / Babyleaf / Spinach	38. Borage
	16. Onions	39. Sainfoin
	17. Leeks	40. Nyger
	18. Carrots	41. Lupins
	19. Parsnips	-
	20. Cauliflower	
	21. Broccoli	
	22. Turnip	

- Fodder, energy, and red beet are not included as part of the derogation to ensure the 'controlled and limited' element of the Emergency Authorisation.
- It has also been made very clear that no further use of thiamethoxam seed treatments (including any re-drilling of treated sugar beet if crop lost due to wind blow or capping) on the same field area for 46 months from the date of sowing treated sugar beet seed in 2023 a requirement of the Cruiser SB EA. This is to minimise the risk of any residues being acquired by succeeding bee-attractive crops or weeds and hence exposing bees and/or other pollinators to the neonicotinoid seed treatment.
- Alongside the use of Cruiser SB treated seed, it is a condition of use that robust BASIS recommended herbicide programmes must be adopted by growers and their agronomists to minimise the number of flowering weeds in treated sugar beet crops to reduce the risk of indirect exposure of pollinators to neonicotinoids. This applies in treated fields only (NOT next to or around sugar beet field drilled with Cruiser SB seed).
- Monitor aphids, their resistance and infectivity at up to 15 sites in each of the four factory areas from first flights until the end of migration each year to provide advice on future control strategies for virus yellows and analyse existing data sets to 'fine-tune' the advice currently given to the

industry so new thresholds for treatment can be evaluated and developed if required.

• Post-monitoring of a statistically robust sample of neonicotinoid-treated sugar beet fields in 2023 onwards to determine any neonicotinoid seed treatment residue levels in soil and plants.

It must be re-iterated that this application is <u>only being made for the sugar beet crop of England</u> (and not for fodder or bioenergy beet grown more extensively across the whole of the UK). Consequently, the extent and use of the neonicotinoid products would be limited to those counties that grow the sugar crop, and treatments then only applied if needed, on the trigger of the virus yellows forecast in March 2023.

References

Qi, A., Dewar, A., Werker, R. and Harrington, R. (2001). Virus yellows forecasting in sugar beet and the impact of Gaucho. British Sugar Beet Review, 69, 36-39.

29 Additional risk(s)

Please provide details of any additional risk mitigation measures proposed to protect humans, the environment and wildlife and the rationale for these proposals.

The proposed modelling and monitoring-based approach for targeted seed treatment use in 2023 has been taken as the UK sugar beet sector is fully aware of the recent published papers that suggest that neonicotinoid residues can be found within soils/water following a neonicotinoid seed-treated crop. The proposals made in this application to limit seed treatment use are assisted by the nature of the UK sugar beet crop itself. For example, compared to winter cereals and oilseed rape grown across the British Isles, the UK sugar beet is regarded as a 'niche' non-flowering crop with around 100,000 hectares grown each year. Sugar beet is an important rotational spring break crop, grown, on average, one year in four, across eastern England, primarily around the four processing factories.

Sugar beet seed is precision drilled, usually at 18cm apart and 50cm between rows to achieve a final BBRO-recommended field population of 100,000 plants per hectare, with the neonicotinoid treatments being incorporated into the seed pellet and then sealed via film coating (unlike cereals) at the processing factory such as Germains following ESTA guidelines

(http://esta.euroseeds.eu/Standard/Dust). Consequently, dust is not regarded as an issue and seed is not left on the soil surface.

To mitigate risks to soil, water and pollinators the Industry will undertake the following:

• Decrease the rate of thiamethoxam on seed by 25% from 60g to 45g/100,000 plants. This would result in 1,130kg less neonicotinoid active being introduced into the environment (based on 2018 Pesticide Use Statistics)

- Only use treatments when the virus yellows forecast is above the economic threshold
- Monitor all treated crops and associated field-areas
- To continue the following crop restriction clause into grower agreements

• No further use of thiamethoxam seed treatments (including any re-drilling of treated sugar beet from crop loss due to wind blow or capping) on the same field area for 46 months from the date of sowing treated sugar beet seed in 2022. This is to minimise the risk of residues being acquired by succeeding flowering crops or weeds and hence exposing bees and/or other pollinators to neonicotinoids.

• Follow robust BASIS recommended herbicide programmes to minimise the number of flowering weeds within treated sugar beet crops and reduce the risk of indirect exposure of pollinators to neonicotinoids. This applies in treated fields only (NOT next to or around sugar beet field drilled with Cruiser SB seed).

• Monitor neonicotinoid-treated sugar beet fields post-harvest to determine any neonicotinoid seed treatment residue levels in soil and plants.

Clearly, there is a paucity of relevant residue data for sugar beet; limited studies have been conducted by FERA and in the sugar beet growing region in northern Spain. **Jones et al** (2014) undertook a preliminary study at FERA to evaluate neonicotinoid concentrations in UK arable soils following seed treatments and included one field (of the 18), 'Norfolk 2', that had previously included thiamethoxamtreated sugar beet and clothianidin-treated winter wheat in 2012.

These FERA studies demonstrated that neonicotinoids could be detected in soils following previous usage but imidacloprid (no longer used in beet) tended to show the highest levels. Also, previously the clothianidin soil half-life had been estimated at between 148 and 1,155 days in aerobic soil and for imidacloprid between 1268-1233 days. Jones et al found the DT50 values (half-life) for the UK soils studied were lower than previously reported; for clothianidin between 277-1386 days and thiamethoxam 75-109 days. They concluded that thiamethoxam levels were below 2ug/kg and saw no appreciable build-up of this chemical in the fields studied and both clothianidin and thiamethoxam were less persistent than imidacloprid. It was unclear what concentration would arise in succeeding pollen/nectar but speculated that less than 1.5ug/kg soil would need to accumulate to impact the succeeding flowering crop.

More recently, in 2016/17, a soil study was conducted by the Instituto Tecnológico Agrario de Castilla y León (ITACyL) in Spain to meet the Castile and León beet sector's demand for scientific and impartial information on the persistence of neonicotinoid insecticides after use of such products on sugar beet crops. The reasons for this report were based on the sector's concern about the possible loss of use of such insecticides due to their negative impact on pollinators. In the farmers' view, this loss will have an extremely negative impact on the viability of beet crops in Spain.

The objective of this Spanish study was to evaluate the persistence of the insecticides clothianidin, imidacloprid and thiamethoxam in soils in which sugar beet crops treated with these insecticides were grown in 2016 and then crops not treated with insecticides and not attractive to pollinators were grown in 2017. Based on the early results obtained (the full report is attached within the additional papers submitted with this application), the following conclusions were made by the authors:

- There is no persistence of neonicotinoids in soils in a rotation of treated sugar beet followed by an untreated non-flowering crop that is not attractive to pollinators.
- Following the crop sequence described above, since there is no persistence of neonicotinoids in soils, crops that are attractive to pollinators may be grown with no risk to the pollinator population.
- Considering the significant importance of pollinators, it would be appropriate to conduct a systematic evaluation of the potential presence of neonicotinoids in soil before planting species that are attractive to pollinators. Testing methods with lower limits of quantitation should be used for this purpose.
- Likewise, evaluations should be conducted to assess the potential presence of neonicotinoids in nectar and pollen samples from the following pollinator-attracting crop after the described crop rotation to categorically ensure there is no persistence of these insecticides.

Additional supplementary data from Syngenta, addressing some of the concerns raised by ECP in 2018, were submitted as part of the 2020 CRD9 application for Cruiser SB. References

Jones, A., Harrington, P., Turnbull, G. (2014). Neonicotinoid concentrations in arable soils after seed treatment applications in preceding years. Pest management Science 70 (12) 1780-84. Instituto Tecnológico Agrario de Castilla y León (2017). Persistence of clothianidin, imidacloprid and thiamethoxam in soils after sugar beet crops and subsequent crops that are not attractive to pollinators. 1-8.

1.4 Repeat applications

Deveet	
Repeat	
Applications	
	Information from the applicant is available in sections 16-21 of the application form (inserted below the 'orange' Update box):
	Conditions attached to provious authorizations
	<u>Conditions attached to previous authorisations.</u> A number of conditions, restrictions and data requirements were attached to the 2022 authorisation. In addition further monitoring by the Environment Agency (in water) and a further study on the chronic toxicity to adult honey bees is ongoing.
	None of these results were available at the time of submission of this application. Due to time constraints the document has been prepared and updated as additional information becomes available, recognising that new information may only become available after the ECP meeting.
	Requirements
	(1) By 4 March 2022, details of whether the threshold for treatment was met and the quantity of 'Cruiser SB' treated seed ordered, must be submitted to HSE.
	The applicant confirmed:
	The national 2022 VY forecast was 68.9%
	The first aphid flights were forecast from 19 April 2022
	71,984 units of Cruiser treated seed
	(2) By end of November 2022 (and ideally earlier), a report summarising:
	- How much 'Cruiser SB' treated seed was drilled and in which locations.
	 Information on whether any follow up foliar aphicide sprays were required, with details on what product was applied, timing of application (days after drilling, beet growth stage) and which relevant foliar treatment threshold was met for the growth stage. This information should be used to give an indication of the level of persistence of 'Cruiser SB'; activity in sugar beet plants grown from treated seed.
	 Evidence that the stewardship document was implemented including an assessment of how successful it was in achieving its aims, and recommendations for improvement as necessary.
	(3) By end of November 2022; the final report of the residues monitoring in soil and non-crop vegetation described in Annex 2 of the stewardship document.
	Has the applicant addressed any monitoring or stewardship requirements set under previous emergency authorisations?
	No information has been presented under the current application however this is expected at a later date.

	Has the applicant addressed any data requirements set under previous emergency authorisations? No information has been presented under the current application however this
	is expected at a later date.

Update to December 2022 ECP - Summary of the 2022 Season

In advance of the report described as the 2nd data requirement, on 1 November, the applicant provided a series of powerpoint slides to summarise the 2022 season in relation to previous seasons and to give a picture of; pest pressure, insecticide use and impact on the crop.

These slides along with the published BBRO Advisory Bulletins have been used to produce the HSE summary below. The slides themselves and a more detailed narrative produced by HSE are presented in Appendix 6.

Summary

The sugar beet growing season for 2022 has been exceptional, largely dominated by the extremely dry summer.

Treated seed was late getting to farm and soil conditions at the beginning of March were not ideal being cold and wet. However conditions improved as the month progressed allowing good progress with drilling with most seed going in to ideal seedbeds. Despite the late start, drilling was completed 18th April, ahead of the end of April five-year average.

Both air and soil temperatures improved allowing rapid germination and emergence by early April for some of the earlier drilled crops. The warmer conditions also saw some aphid activity in other host and overwintering crops such as oilseed rape and brassicas.

Although conditions were ideal during most of March, the warmer, drier conditions continued into April causing crop development to falter due to lack of moisture. Some rain caused sporadic development and wide ranges in crop growth stages.

By the 21st April, aphids at or above the foliar spray treatment threshold had been found in some non-Cruiser SB treated crops. By the 27th April aphid numbers were increasing whilst some fields were not yet at 2 true leaves and the rest of the crop all below 6 true leaves. Therefore the crop remained at a susceptible stage for virus infection.

Continuing dry conditions into May and variable rainfall caused issues for most crop development. Aphid numbers were beginning to rapidly increase, but crops remained susceptible to infection with the 6 true leaf growth stage attained by most of the national crop during this month.

By the 18th May, dry conditions with variable rain persisted. However overall the crop was dry. Persistence of the first foliar treatment was shorter than expected and was likely due to the continuing dry conditions. Some non-Cruiser SB treated crops which had received a foliar treatment were also now requiring a second with further threshold exceedances however 'Cruiser SB' treated crops were reporting low aphid numbers. Symptoms caused by other pests such as flea and pygmy beetles, leaf miners and thrips were also more prevalent in non-'Cruiser SB' treated crops.

Peak aphid numbers in non-'Cruiser SB' treated crops were observed around the 21st May at 38 aphids (3 in 'Cruiser SB treated crops). However numbers varied from field to field and were influenced by local factors; proximity to other host crops such as oilseed rape, field position and the degree of shelter.

By early June, crops were reaching 12 true leaves and developing mature plant resistance and 16 leaves by mid-June. Peak aphid numbers in 'Cruiser SB' treated crops were seen around 18th June at 9 wingless aphids (declined to 1 in non-'Cruiser-SB' crops). This is around 10 weeks after drilling and likely indicating the diminishing effectiveness of the 'Cruiser SB' treatment and is in line with expectations.

June also saw better levels of rain allowing crops to develop quickly but also saw reducing aphid numbers to below threshold levels for foliar treatments in some areas.

Mid-June saw the first apparent symptoms of virus in non-'Cruiser SB' treated crops however these symptoms can also be due to other factors such as capsid feeding, nutrient deficiency, root damage (e.g. by nematodes) and herbicides.

Yellow patches were increasingly visible by the end of June; predominantly, but not exclusively, in non-'Cruiser SB' treated crops. However the weather conditions were again becoming dry.

Continuing dry conditions into July reduced yield potential, but whilst leaf canopy remained yield production could recover later if sufficient rainfall. Leaf thinning and senescence were also occurring on lighter soils causing confusion with virus symptoms. The dry weather also highlighted root damage caused by nematode infestations with plants turning yellow and leaf senescence due to restricted moisture uptake. Similar symptoms to virus yellows.

Virus symptoms were limited in crops where either 'Cruiser SB' or foliar control measures were used. Some early virus assessments were conducted in July which showed 99% of the crop treated with 'Cruiser SB' showed no or little (<5%) infection compared to the non-'Cruiser SB' treated crops where 89% of the crop showed no or little (<5%) infection. However of the 'Cruiser SB' treated crops 82% showed no infection compared with 4% for non-'Cruiser-SB' treated crops.

As the dry and hot conditions continued, sugar beet crops were wilting and suffering from water stress under drought conditions causing lower leaf yellowing and senescence. By the end of July, symptoms such as leaf yellowing and senescence became more evident across all soil types with lower leaf loss occurring in severely stressed crops. Such symptoms were difficult to attribute specifically to virus yellows, drought, nematode damage or nutrient deficiency.

In early August, crop damage caused by beet moth larvae was identified such as damaged inner leaves/petioles, black hearts and loss of the growing point. Where damage is severe the affected crown may be killed and damage to the crown increases the risk of infection by rots. Such damage caused further incidence of leaf yellowing and senescence. Beet moth is usually an issue in drier, hotter environments such as the Mediterranean and not normally of concern in the UK; however due to the 2022 summer conditions the pest was causing issues in some crops which became more widespread entering into September.

September also saw further assessments of Virus Yellows. However the data collected as part of the survey were poor due to the high incidence of yellow and senesced leaves in the samples which tested negative for virus, with symptoms caused by other factors such as drought, nutrient deficiency (caused by the drought), nematode root damage or beet moth. For example, 37% of the leaves thought to have virus at the time of sampling, were assessed and found not to be infected. This figure is around "5%" in a normal year.

The primary purpose of controlling *Myzus persicae* is to reduce the risk of infection with the virus yellows it can transmit, which have the potential to significantly reduce yield of sugar beet. Unfortunately no yield data are available to compare the effectiveness of the various aphid control programmes employed, whether it included the use of 'Cruiser SB' or not. However, it is considered that if such data were available from the 2022 crop, the cause of any differences in yield could not be reliably identified.

Conclusion

The 2022 sugar beet growing season has been very atypical due to a number of factors. Some increased the risk of infection such as the extended period of establishment caused by the dry weather. Some made the identification of virus in the field difficult or impossible due to similar symptoms of yellow and senesced leaves being caused by e.g. drought stress, beet moth.

The drought conditions restricted growth and root development, caused heat stress and reduced nutrient uptake. The conditions in the summer of 2022 were also conducive to the development of populations of beet moth in the sugar beet crop which also damaged the crowns and inner leaves causing further leaf yellowing and senescence.

Although the early virus assessments showed lower incidence of apparent virus symptoms in 'Cruiser SB' treated crops, the September assessment showed similar levels of virus symptoms in both crops treated or not treated with 'Cruiser SB'.

Throughout the season, a range of spray programmes were employed.

59% of the 'Cruiser SB' treated crop received a follow up foliar spray whilst 40% had no foliar applications and 1% had 2 foliar treatments. For the non-Cruiser treated crop, 31% received a single foliar treatment and 52% received 2 foliar treatments. 10% received no treatment at all.

This year, the forecasted early migration and high incidence of virus allowed the use of 'Cruiser SB'. This would have provided an opportunity to compare the ability of a control programme based on the use of 'Cruiser SB' with one based purely on foliar treatments, to protect yield. However, no yield data have been presented. Nevertheless, it is considered that if such data were available the cause of any differences in yield in 2022 could not be reliably identified.

It cannot be stressed enough how exceptional the 2022 sugar beet growing season has been due to the temperatures and drought conditions experienced. Any conclusions made or inferred must be treated with caution and cannot be considered reliable based on this extraordinary year.

Part D – Repeat applications			
16	Has HSE authorised a previous emergency use for the proposed crop/situation and pest?*.		
	Yes (This is a repeat please complete Par D section 17 to 21 and Parts E to H) 🖂		No (Please go to Part E) 🗌
17	COP number(s) and Notice of Authorisation number(s)(NANUMS) of previous authorisation(s)	COP2020/0	1677 and 2021/01344
18	If this application request is not identical to the use given above outline any differences		on virus yellows incidence in the 2022 crop will be supplementary information as soon as available.
19	19 Justification for repeat authorisation		
You	ı must provide justification why a	a repeat auth	orisation is required.
British Sugar and NFU Sugar (on behalf of sugar beet growers in the UK) are submitting this application for emergency authorisation of Cruiser SB to be used to protect the English sugar beet crop in 2023. If an emergency authorisation for Cruiser SB is granted, the industry would only use this treatment if the established virus yellows forecast, produced by Rothamsted Research, exceeds the economic threshold, and subject to further strict conditions on use.			
We continue to work hard to find solutions to virus yellows through our industry 'Virus Yellows Taskforce', including BBRO, British Sugar and NFU Sugar to push forward with long-term solutions. However, the industry urgently requires this derogation as an interim solution as currently there are no effective alternative non-chemical control methods commercially available.			

We are committed to investing in the long-term viability of the industry. British Sugar has invested in a collaboration project to explore how gene editing (GE) can be used to specifically target the 3 yellowing viruses through new breeding technology. It is expected that Virus Yellows resistance can be achieved by employing minimal gene editing to precisely redirect the silencing activity of existing non-coding RNA, towards a new target of choice. It is expected that this process will take at least 5 years before virus yellows resistant sugar beet seed is commercially available for use.

Whilst we work to deliver a fully resistant GE solution, we expect traditionally bred, partially tolerant varieties to continue to be developed, alongside new chemical seed treatments that will help to bridge the gap from 2026 onwards. Currently, there is one partially tolerant sugar beet variety (Maruscha KWS) commercially available for 2023 which has mild resistance to one of the three yellowing viruses that form the virus yellows complex. However, the yield potential in the absence of virus is low compared to existing, elite susceptible varieties. BBRO has calculated (from inoculated trials in 2019 and 2020) that growers would have to sustain 62% infection within fields before such varieties become economically viable. Hence the industry seeking a Cruiser SB derogation in 2023 as an interim, emergency solution.

Our application for 2023 includes an economic threshold again and is a limited, interim solution, to ensure the sector can continue to develop the appropriate longer-term pathways of aphid and virus yellows control to protect the future of our homegrown UK sugar industry.

Information on virus yellows incidence in the 2022 crop will be provided as supplementary information as soon as available. <u>BBRO weekly bulletins</u> are available to reference in the interim.

20 Use and effectiven	Use and effectiveness of previous emergency authorisation		
Geographical location	East of England	Amount of product applied per hectare	
Total hectare of crop	87,000ha	% of crop treated	75%
Estimated % of crop retained/saved due to emergency use	TBC	Estimated value of retained/saved crop (£)	TBC
Estimated % of pest(s) controlled	TBC	Estimated % yield quality due to emergency use	TBC

Please provide an assessment on how effective and beneficial the authorisation has been in controlling the pest and any other appropriate information.

TBC

Please provide details of the monitoring information, how stewardship and data requirements have been met.

TBC

21 Previous correspondence for repeat applications

Any relevant information previously discussed with HSE for the repeat authorisation (same crop and pest, (Please include references)).

Monthly calls between Defra, HSE, British Sugar, BBRO and NFU Sugar.

Ad hoc correspondence when required.

1.5 Development of Long-Term Solutions

Development	Information from the applicant is available in sections 31-34 of the application
of Long-Term	form (inserted following this box).
Solutions	
	A range of research is being undertaken to find integrated long-term solutions
	and is described in the application (see table in section 32 and 33).
	A key strategy is to continue to build on the five-year, £1.13 million project with sugar beet breeders (described in section 33). This project aimed to exploit the genetic diversity in sugar beet relatives, identifying candidates exhibiting resistance and tolerance to virus yellows. This project was initiated before the remaining uses of thiamethoxam were withdrawn, in anticipation of the need to reduce dependence on insecticides for virus control in sugar beet. The project finished in 2020 and the first generation of BMYV partially resistant sugar beet varieties (Marushka KWS) became available in 2022. However, as mentioned above, it is noted the yield potential in the absence of virus is low compared to existing, elite susceptible varieties.
	BBRO have calculated (from inoculated trials in 2019) that growers would have to sustain 62% infection within fields before such varieties become economically viable. Therefore whilst this is a positive step this variety is unlikely to be used commercially. Additionally the variety is only conferring

resistance to one of the three viruses making up the virus yellows complex.
This is in addition to other development work (summarised in the application form) being done in conjunction with other significant European sugar beet breeding companies.
In September 2020, a new Virus Yellows Taskforce was established between British Sugar, NFU Sugar and the BBRO to accelerate and develop ongoing and novel pathways of research to limit the future impact of this disease across the UK industry.
The application details a number of initiatives and strategies around conventional and possible (gene editing) breeding solutions, identifying alternatives including garlic based products and jasmonic acid. In addition, a range of integrated approaches including encouraging beneficials and boosting sugar beet resistance are being researched along with further studies in the infection cycle within the plant in relation to drilling date as well as PhD research projects, looking at: 1) Understanding the molecular strain variability of the virus yellows complex present in the UK and how this relates to breeding programmes 2) The mechanism of how mature plant resistance is triggered in plants and whether this can be used to identify novel control strategies
Work is also continuing to refine the prediction model, with the possibility of making more specific, accurate forecasts, at regional level. New molecular (qPCR) techniques will be used to monitor all three viruses to improve understanding of risks.
A collaboration project has also been instigated to explore how gene editing can be used to target the complete virus complex and it is currently expected results will be available in 2024. However there will be a further 5 years to integrate the GE knowledge into plant breeding and virus resistant beet is commercially available and is dependent on the Genetic Technology (Precision Breeding) Bill.

Part G – Permanent solution

31 Proposed permanent solution

Please outline the steps that will be taken by you or the authorisation holder to transfer this emergency authorisation to an on-label recommendation or extension of authorisation of minor use. Please outline the most likely time frame for a permanent solution to be available (See guidance in Part G).

Alternative permanent solutions to neonicotinoid seed treatments for sugar beet are being sought as a matter of priority. Please refer to the section below for further information.

32 Alternative product(s)

Please provide details of ongoing work aimed at developing alternative products to address this pest problem. Include information on the active substance and anticipated timelines for availability of the data or application for the alternative solution.

There remains significant research and trial work being undertaken on an accelerated basis to develop alternative, sustainable solutions to the use of neonicotinoids. The established a new Virus Yellows taskforce in 2020 to identify pathways to provide new and integrated aphid and virus mitigation strategies for the future.

In 2022, growers had access to the first generation of virus tolerant sugar beet. Maruscha KWS is partially tolerant to BMYV. As with all new traits, this variety is lower yielding than conventional varieties, and should not be sown until after mid-March due to its higher levels of bolting. This is clearly a positive step to finding alternative integrated solution to virus yellows. However, it is important to remember that there are at least three yellowing viruses that affect sugar beet and this trait is only against one of these, highlighting the ongoing challenges of breeding for virus (and vector) resistance.

The industry continues to use advanced seed technology for enhance germination/establishment to ensure plants reach the 12-leaf stage as quickly as possible and currently Enrich 200 (Germains) and EPD 2 (KWS) treatments are available to growers when they purchase their seed. In addition, BBRO are working with all breeders and seed technology providers alongside the British Sugar/NFU seed working group, to evaluate additional approaches for improved pelleting and further enhanced germination/establishment.

BBRO continue to support ongoing glasshouse and larger-scale field trials to determine the efficacy of existing and novel aphicides as well as other novel products and botanicals (e.g. garlic-based products and jasmonic acid) and potential viricides. The products being analysed are currently not approved for use on sugar beet, but do not have resistance issues within current *M. persicae* populations in the UK, so could be potentially exploited for their control in the future. These trials are in addition to specific company confidential trials that the agrochemical sector commission with the BBRO utilising our inhouse trials and science teams. Ultimately, this information will be used to support and/or accelerate registration or the extension of use of these products for sugar beet in the future.

The field trials either use natural populations of *M. persicae*, representing the local insecticide resistance status or, if necessary, aphids are introduced into the field (if the natural population remain below the spray threshold) from the BBRO insectary. Aphid populations are then assessed at specific time points post application to determine the efficacy and ultimately virus control of the different aphicides. Data from 2017-2020, showed that several key aphicide products continue to be effective at controlling *M. persicae* when applied as a foliar spray to sugar beet. However, as anticipated, the use of Hallmark 'increased' the number of aphids significantly and is likely the result of the aphicide decreasing the numbers of beneficial insects within these pyrethroid-treated plots.

To accelerate the outcomes of this work and to maximise data capture, the BBRO have undertaken additional trials in the autumn by sowing beet in early September and taking aphid assessments during October/November. These autumn data reinforced the summer findings regarding aphid control, and this pro-active approach enables the industry to gain additional information within the same year.

More detailed laboratory and growth room assays and assessments are also ongoing in the BBRO facilities in Norwich. We are investigating further aphicides that are currently in their earlier stages of development and determining whether specific products, currently registered as foliar aphicides, could be deployed as seed treatments. The outputs from ongoing aphid projects within the current AHDB SCEPTREplus programme are also being closely monitored for outcomes that could be beneficial for *M. persicae* control in sugar beet.

33 Non chemical solutions

Please provide details of any alternative non-chemical methods of control that are under development and whether any of these measures have already been implemented or when they will be implemented.

The BBRO has been working with breeding companies since the early 1990s to identify alternative genetic solutions for controlling virus yellows. Although progress has been made and is accelerating, this is a complex problem compounded by the need to identify resistance genes to three different viruses. To date no single major sources of virus resistance or tolerance has been identified to the three viruses BMYV, BChV or BYV (in contrast to rhizomania and beet cyst nematode sugar beet varieties that are now used widely in the UK).

The BBRO recently completed a five year, £1.13M collaboration with two sugar beet breeders (SES Vanderhave and MariboHilleshog) via an InnovateUK project (project number 102098; a novel prebreeding strategy to reduce dependence on insecticides for virus yellows control in sugar beet; 2015-2020) and is exploiting and developing the genetic diversity found in beet relatives and identifying candidates exhibiting resistance and tolerance to virus yellows (see picture below). From this, we have developed a novel phenotyping approach to quantify resistance/tolerance traits and have worked to identify genes which protect against virus yellows foliar damage. Using this toolkit, we have undertaken a two-tier pre-breeding strategy. Firstly, tolerance quantitative trait loci (QTL) are currently being introgressed into modern breeding material, with hybrids being assessed for foliar health and yield. Secondly, new resistant candidates are being characterised, QTL identified, and molecular markers developed for future breeding. The outputs from this pre-breeding project are currently being consolidated by the breeders and will enable future production of new virus resistant or tolerant commercial varieties, bringing significant economic and environmental benefits.



In addition, BBRO continue to work under specific confidentiality agreements with three of the main European sugar beet breeding companies directly to develop and assist with their own in-house breeding efforts with the identification of additional virus yellows resistance (see picture below). In 2020, 2021 and 2022, the BBRO produced sufficient viruliferous aphids to inoculate over 90,000 plants in a number of separate field trials across East Anglia to accelerate breeding efforts to continue to identify solutions for this problem.

Project GOLIATH





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Due to the complex nature of this disease and the lack of major sources of virus disease resistance developing commercial varieties is very difficult. Even then these varieties will potentially only provide resistance to the individual viruses; stacking of any resistance traits alongside yield and bolting resistance would then need to be developed further. The concept of using gene editing to accelerate the development of virus yellows resistant sugar beet varieties is currently being discussed and we await the outcome of the recent government consultation on this technology.

Alongside our variety screening work, we have an extensive series of projects and trials looking at other aspects of virus reduction. BBRO has placed aphid and virus research at the very centre of its research programme to accelerate new pathways to provide integrated approached for the future as highlighted in the 2021 BBRO Annual Report <u>BBRO Annual Report - BBRO</u>. Examples of new/ongoing projects include:

• Evaluating the effects of undersown cover crops to help protect the sugar beet from aphids, especially the impact of undersowing with barley which has shown some positive effects in 2020 (Stevens & Bowen, 2021, Bowen, 2021, <u>undersown-opinions.pdf (bbro.co.uk)</u>.

• Other approaches to the camouflaging approach be investigated is looking at establishing replicated trials to assess the impact of using food dyes on the soil to reduce plant-soil contrast at a range of field sites. The theory is the same as for the barley camouflage as it is hoped the dyes will reduce the plant-soil contrast.

• Studying a range of flowering mixes to attract beneficial insects in the autumn to help boost beneficial numbers in the spring, ensuring they are present in sufficient numbers at the right time.

• Alongside flowering mixes, we are looking at the use of brassica species between rows to act as an attractant to aphids to pull them away from the sugar beet at the vulnerable time for infection.

• Following interesting work in New Zealand, BBRO are looking into the use of endophyte grasses to boost natural resistance in the sugar beet crop. There has been good data to support this theory for soil borne pests and the industry is interested to see if this can be replicated on aphids.

• We are also trying to understand more about the infection cycle within the plant and how this can change with different drilling and harvest dates to see if there are any local mitigation strategies that

can be deployed.

In tandem with these practical approaches BBRO are involved in two PhD projects, which have started at the University of East Anglia and Wageningen University targeting some of the underlying science around aphids and virus (Beet Review May 2021 pages 34, 35). These are looking at:

1) Understanding the molecular strain variability of the virus yellows complex present in the UK and how this relates to breeding programmes

2) The mechanism of how mature plant resistance is triggered in plants and whether this can be used to identify novel control strategies.

This highlights the various and wide-ranging approaches BBRO is taking to help combat virus yellows in sugar beet. There is no quick solution, but complimentary activities, as highlighted above, could hold the key.

34 Progress from previous authorisation

Where this is a repeat application, please explain the progress towards a permanent solution that has been made since the previous application. Include timelines and projections for data/application for the permanent solution.

The Precision Breeding (Genetic Technology) Bill is to be welcomed and will allow us to take advantage of this when the regulatory environment allows.

British Sugar has invested in a collaboration project to explore how gene editing can be used to specifically target the 3 yellowing viruses through new breeding technology. It is expected that Virus Yellows (VY) resistance can be achieved by employing minimal gene editing to precisely redirect the silencing activity of existing non-coding RNA, towards a new target of choice.

The project aims to produce a number of gene editing (GE) targets that can be used in a collaboration with sugar beet breeders to develop VY resistance in sugar beet. Armed with these targets, the breeders will have the expertise and facilities to carry out the genetic editing, grow the edited material and apply this to their current superior germplasm for commercial use. This would result in elite commercial beet varieties with genetic resistance to yellowing viruses.

The initial stage of the project is to map the sugar beet genome sequence and gather short interfering RNA (siRNA) expression data. This requires growing beet plants under controlled conditions and sampling leaf and root tissues at multiple developmental timepoints. We will then extract and sequence small RNAs from these samples to validate their sequences and quantify their expression at the biologically relevant developmental stages for virus resistance. We are currently acquiring seed for this work and have already carried out a pilot study to validate our experimental procedures. Once completed, the shortlisted GE targets can be identified and validated. It is expected that the generation of high confidence GE targets will be completed by early 2024.

Following this, the targets can be passed to commercial seed breeders who can undertake the editing process and integrate the VY resistance into their commercial seed varieties. It is expected that this process will take at least 5 years before VY resistant sugar beet seed is commercially available for use. Whilst we work to deliver a fully resistant GE solution, we expect traditionally bred, partially tolerant varieties to continue to be developed, alongside new chemical seed treatments that will help to bridge the gap from 2026 onwards.

Where this is the 3rd or more repeat, please provide justification why no permanent solution is available.

See above

1.6 Further considerations

Any Further	
Consideration	Refer to section 1.4 above for an <u>updated position on 2022 season</u>
	The September issue of the Sugar Beet Review included a review of the aphid situation for 2022.
	BBRO worked with British Sugar, several growers and agronomists to monitor aphids across the four sugar factory areas through the spring and early summer. From the 3rd week in April until early July 45 sites were closely monitoring for green wingless aphids on 20 plants twice a week. In addition, at 11 of the BBRO managed sites, yellow water pans were deployed, and samples taken twice a week for laboratory analysis to confirm numbers of winged <i>M. persicae</i> and <i>Macrosiphum euphorbiae</i> , the primary vectors of virus yellows.
	75% of the selected monitoring sites were not treated with 'Cruiser SB', and several of the BBRO sites had both treated and untreated areas.
	Winged and wingless aphid numbers increased throughout May and early June, especially on non-Cruiser treated crops. The threshold of one green wingless aphid per four plants (5 or more wingless aphids per 20 plants) was exceeded in many of these crops and foliar insecticides were applied. In addition, in some crops, particularly with small plants present, the persistence of foliar insecticides was relatively shorter than anticipated and several growers had to use all three allotted sprays before the onset of mature plant resistance to limit the build-up of aphids.
	At most BBRO monitoring sites numbers peaked in late May (as highlighted at the Cambridgeshire site below) and continued migrating in smaller numbers until late June.
	Examination of most 'Cruiser SB' treated crops showed that the seed treatment gave effective protection of green wingless aphids up until 10 weeks post sowing. However, the first indications of wingless aphids being found on 'Cruiser SB' -treated crops were recorded in the third week in May; such wingless aphids were often small and potentially would still die after further feeding. During this transition phase, BBRO encouraged all growers and agronomists to monitor their crops to evaluate the ongoing efficacy of 'Cruiser SB' before any foliar aphicides were be applied.
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	0
	Apr 16 - AprApr 23 - Apr Apr 30 - May 7 - May 14 - May 21 - May 28 - Jun 4 - Jun Jun 11 - Jun Jun 18 - Jun 25 - Jul 22 29 May 6 May 13 May 20 May 27 Jun 3 10 17 June 24 1 —2022 non-Cruiser —2022 Cruiser
	Overall mean number of green wingless aphids per site for non-Cruiser (27 sites) and '

'Cruiser SB' treated (7 sites)
Although the information (as presented above) is considered limited (i.e. not the complete data set) and was probably edited for publication in the review, the green wingless aphid peak in non-Cruiser treated sites in late May is in line with the numbers of winged aphids reported for individual site Thorney in the full article which peaked at 650 around the 23 rd May.
However, there is evidence to support the effectiveness of 'Cruiser SB' with green wingless aphids being found around the $7^{th} - 13^{th}$ May, reportedly some 10 weeks after drilling therefore delaying any foliar application for aphids.
No information is currently available on the development of virus in crops either treated or untreated with 'Cruiser SB' and any subsequent impact on yield from these monitored sites which prevents any further comparison.

Resistance	
	Peach potato aphid (<i>Myzus persicae</i>) has developed resistance historically to the various insecticide classes/modes of action, including organophosphates, carbamates and pyrethroids. The long-term monitoring of various resistance mechanisms, led by Rothamsted Research (an Agricultural Research institution primarily funded by government), confirms the consistent high level occurrence of pyrethroid resistance at the target site (kdr and super-kdr forms, see above under 'danger'). The authorised pyrethroid products used in sugar beet are therefore not considered as effective alternatives. BBRO advice to growers is to 'Avoid using pyrethroid foliar insecticides during the season. Aphids are widely resistant to these and BBRO work has shown that the use of these reduce the number of beneficials, therefore increasing the aphid numbers' (Sugar Beet Reference Book, 2022, BBRO). It is also noted that grower contracts with British Sugar state 'Decisions should be based on BASIS qualified agronomists/growers supported by BBRO data'. Therefore it is expected that growers would not use pyrethroid sprays to control <i>M persicae</i> .
	<i>M. persicae</i> is therefore a high-risk pest with resistance management strategies required. These need to reflect the multitude of potential routes of exposure across both arable and horticultural host crops.
	When neonicotinoids were first authorised as seed and then foliar treatments, proactive statutory restrictions on number of applications were introduced to limit exposure. Following the withdrawal of imidacloprid, thiamethoxam, clothianidin, and most recently thiacloprid, the only remaining neonicotinoid is acetamiprid (as foliar sprays). Overall therefore the exposure of <i>Myzus</i> to neonicotinoids is very significantly reduced. (New actives in the same mode of action group (4), where cross-resistance could be anticipated are in very different situations of use: sulfoxaflor (protected uses); and an amateur product (flupyradifurone)).
	Resistance cases for neonicotinoids have been reported in Southern Europe, firstly on the primary host plant (peach), and then spreading to populations on other horticultural crops. All UK individuals are clones with no sexual reproduction, which occurs in populations in Southern Europe. Therefore the development and establishment of resistant populations in the UK is more related to selection pressures in mainland Europe. The establishment of these migrating populations arriving in the UK depends on the fitness of the clone to UK conditions. The continuing monitoring and research programmes in the UK confirm at present UK clones remain fully susceptible and therefore use of thiamethoxam under an Article 53 authorisation would remain effective.

2 Risk Assessment

2.1 Physical and chemical properties

EVALUATION.	SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY
Name of	Health and Safety Executive
authority	
Reviewer's comments	No new assessment has been undertaken. The physical and chemical properties of the formulation were considered acceptable in the original assessment for 'Cruiser SB' which was considered by the ACP on 9 May 2006 and concluded the following: Cruiser SB is a light beige liquid with a weak sweetish odour. It is not explosive, not oxidising, not highly flammable and shows no auto- ignition below 455°C. Its pH is 6.6. The results of the storage stability conducted at 54°C show that the active ingredient concentration was within specification, no physico chemical studies were conducted on the formulation stored at 54°C. The results for stability studies conducted for 18 weeks at 30°C showed that the formulation and packaging was stable. The results of storage stability studies on the formulation and packaging conducted at ambient temperature for 2 years will be required for standard approval. Shelf-life formulation data were submitted at reregistration (HSE internal ref: COP 2008/00049). These data indicate that the formulation remains stable during storage. These data were acquired using a 20 litre size pack therefore support the large pack sizes required. Adhesion to treated seed data were also submitted at reregistration. These data indicate acceptable adhesion to treated
	 seed. A formulation change was previously considered acceptable (HSE internal ref: COP 2010/00740) Syngenta has confirmed (w002007631) that if authorisation is granted under Article 53, the product will be supplied in the same packaging as previously authorised: i) 5 to 25 litre high density polyethylene container. ii) 5 to 20 litre high density polyethylene returnable container. iii) 100 to 200 litre high density polyethylene returnable container.
Conclusion	iv) 1000 litre high density polyethylene container with a top-mounted discharge valve for use with a closed transfer system (the container must not be fitted with any other type of outlet).
Conclusion	The previous assessment remains valid

2.2 Mammalian Toxicology

EVALUATIO	N, SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY			
Name of	Health and Safety Executive			
authority				
Reviewer's comments	No updated assessment is presented			
comments	previously considered in the original a		dered by the ACP	on 9 May 2006.
	The assessment concluded:			
	 Based on the results of the acute oral and dermal toxicity studies performed using 'CRUISER 70WS', 'CRUISER 350FS' and 'ADAGE 5FS', the acute oral LD50 of the proposed product 'CRUISER SB' is predicted to be >2000 mg/kg bw. The proposed formulation is considered to be toxicologically comparable to 'ADAGE 5FS' and contains thiamethoxam, water and <10% of mainly toxicologically inert components. Similarly, the acute dermal LD50 of 'CRUISER SB' can be predicted to be >2000 mg/kg bw, based on the results of the studies performed using 'CRUISER 70WS', 'CRUISER 350FS' and 'ADAGE 5FS'. Studies performed with the proposed product show that it is a minimal eye irritant, a slight skin irritant and not a skin sensitiser. According to the GB MCL list, thiamethoxam is not classified for mutagenicity, carcinogenicity, reproductive toxicity or specific target organ toxicity. 'CRUISER SB' is therefore unclassified in Great Britain. Thiamethoxam has an EU harmonized classification as Repr.2 (H361fd) which is applicable in Northern Ireland. 			
	Summary			
		Value	Study	Safety factor
	ADI:	0.026 mg/kg bw/day	18-month study mice	100
	AOEL systemic:	0.08 mg/kg bw/day	90-days dog study	100
	AOEL inhalation:	No required		
	AOEL dermal:	No required		
	ARfD (acute reference dose):	0.5 mg/kg bw	Rabbit developmental toxidity study	100 _
Conclusion	The previous accomment remains ve	lid for Great Prite	n	
	The previous assessment remains valid for Great Britain. For Northern Ireland additional classification and labelling for Repr. 2 (H361fd) is required. The non-dietary exposure assessment should consider whether any additional PPE is required. Furthermore, based on the classification of thiamethoxam for reproductive toxicity if groundwater metabolites occur at $\geq 0.1 \mu g/l$ a groundwater relevance assessment is triggered. The proposed use is however in England only.			

2.3 Non-Dietary Exposure (Operator/Worker/Bystander and Resident)

		ND CONCLUSION BY REGULATORY AUTHORITY		
Name of authority	Health and Sa	fety Executive		
	Where the assessment below indicates that the risk is either acceptable or unacceptable, this conclusion is reached within the framework of the standard criteria for a commercial authorisation based on assessment to uniform principles. Article 53 allows a derogation from the standard criteria providing specific tests are met. Therefore, whilst (for example) reference to unacceptable risks in the assessment below may highlight the areas of greatest concern, this is not the test under Article 53 and does not necessarily reflect the conclusions for this emergency authorisation application. The discussion of the overall risks and benefits from the proposed use and assessment against the requirements of Article 53 is presented in "Section 3 Conclusion of Emergency Authorisation".			
	Non-Dietary E	xposure (Operator/Worker/Bystander and Resident)		
	to the ACP in N result in an acc	g the Seed TROPEX model were undertaken previously and presented May 2006. These indicated that the proposed uses of 'Cruiser SB' will eptable level of exposure to thiamethoxam for seed treatment plant anders in seed treatment plants and workers handling and drilling		
	There have been no changes to the Seed TROPEX assessment methods since this time.			
	The classification of 'Cruiser SB' as Repr.2 (H361fd) in Northern Ireland does not require further non-dietary exposure consideration as there are no additional PPE requirements, and the classification effects are considered when setting the AOEL.			
	The following PPE would be required if treating seed in accordance with the proposed use:			
	(a)	Operators must wear suitable protective clothing (coveralls) and suitable protective gloves when handling the concentrate or handling contaminated surfaces.		
	(b)	Operators must wear suitable protective clothing (coveralls), suitable protective gloves and suitable respiratory protective equipment* when cleaning machinery. *Disposable filtering facepiece respirator to at least EN149 FFP3 or equivalent.		
	(c) Operators must wear suitable protective clothing (coveralls) when bagging treated seed.			
	(d)	Workers must wear suitable protective clothing (coveralls) and suitable protective gloves when handling treated seed and contaminated seed sowing equipment.		
	Extracts from completeness	a 2006 assessment are presented in the following rows for s:		

	This estimate indicates that the proposed use of 'Cruiser SB' through specialist pellet treating equipment will result in a level of systemic exposure to thiamethoxam of 0.0291 mg/kg bw/day for an operator wearing coveralls and gloves (coveralls only during bagging) as in the 'Seed TROPEX' studies. This level of exposure is equivalent to 36% of the short term systemic AOEL of 0.08 mg/kg bw/day proposed in			
Worker	Predicted exposure levels (geometric m	ean) when drilling treated seed		
exposure	Exposure when loading and drilling treated seed	Geometric mean value (assuming a 10 hour working day)		
	Potential dermal exposure	14.787 mg a.s./person/day (0.246 mg/kg bw/day)		
	Actual dermal exposure*	7.331 mg a.s./person/day (0.122 mg/kg bw/day)		
	Inhalation exposure	0.200 mg a.s./person/day (0.003 mg/kg bw/day)		
	*coveralls but not gloves were study	e worn by workers in the Seed TROPEX drilling		
	 Assuming no protective clothing is worn and that, as a worst case, normal clothing provides no exposure reduction, the handling and drilling of seed treated with 'Cruiser SB' is estimated to result in a systemic exposure to thiamethoxam of 0.00305 mg/kg bw/day (equivalent to 4% of the systemic AOEL of 0.08 mg/kg bw/day proposed in this evaluation). On this basis, the level of exposure for an unprotected worker handling and drilling seed treated with 'Cruiser SB' is considered to be acceptable. 			
Bystander and resident exposure	The treatment of seeds is usually performed in professional plants where access is restricted to people working at the plant. Therefore, it is considered that bystanders and residents will not be exposed to thiamethoxam during the seed treatment process. Exposure to operators within the seed-treatment plant not directly involved in treatment, for example forklift truck drivers, was historically considered as part of the bystander exposure assessment. The exposure assessment is provided below: Using the 'Seed TROPEX' values and assuming a duration of exposure of 8 hours, a bystander body weight of 60 kg and no protection provided by normal work wear, systemic bystander exposure to thiamethoxam resulting from the proposed use of			
	0.08 mg/kg bw/day proposed in this eva			
	proposed use of 'Cruiser SB' is conside	an unprotected bystander resulting from the red to be acceptable.		
Conclusion	The previous assessment remains valid			

2.4 Residues and consumer exposure

EVALUATIO	N, SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY
Name of	Health and Safety Executive
authority	Where the assessment below indicates that the risk is either acceptable or unacceptable, this conclusion is reached within the framework of the standard criteria for a commercial authorisation based on assessment to uniform principles. Article 53 allows a derogation from the standard criteria providing specific tests are met. Therefore, whilst (for example) reference to unacceptable risks in the assessment below may highlight the areas of greatest concern, this is not the test under Article 53 and does not necessarily reflect the conclusions for this emergency authorisation application. The discussion of the overall risks and benefits from the proposed use and assessment against the requirements of Article 53 is presented in "Section 3 Conclusion of Emergency Authorisation".
Reviewer's comments	This application is for an emergency authorisation of 'Cruiser SB' under Article 53 of 1107/2009. This is a GB application.
	'Cruiser SB' is a flowable concentrate (FS) formulation containing 600 g/L thiamethoxam. The proposed use in GB is summarised in section 1.2. The applicants 'NFU Sugar and British Sugar plc.' have access to the data considered in the DAR for thiamethoxam and relevant product data for 'Cruiser SB' via a letter of access.
	Thiamethoxam is not currently approved in GB. The endpoints used in this assessment are the ones agreed in the context of the most recent approval of the active substance. Consequently, the 'old' data requirements as laid down in Commission Regulation (EU) No. 544/2011 have been applied.
	NB: thiamethoxam has a metabolite – clothianidin (also known as CGA322704) - that is itself an active substance (also not currently approved in GB).
	EFSA conducted an Article 12 MRL review relating jointly to thiamethoxam and clothianidin and published their Reasoned Opinion in 2014 (EFSA Journal 2014;12(12):3918). Some of the conclusions regarding the available data relating to the EU review of the active substances are presented. As the EFSA Reasoned Opinion was published and the EU decision (Implementing Regulation (EU) 2016/156) were implemented prior to 01/01/2021, the EU decision forms part of the EU retained law and it is directly relevant to the GB assessment.
	Please see the references listed below for details of the EU/GB documents relied on to support the evaluation.
	Acceptable plant and animal metabolism data were submitted in the EU DAR for thiamethoxam. Acceptable rotational crop metabolism data was submitted in the EU DAR for thiamethoxam. No residues above the LOQ of 0.01 mg/kg are expected in rotational crops. Processing data is not required given residues in treated crops are <0.1 mg/kg (actually <0.02 mg/kg for both thiamethoxam and clothianidin)
	Residues data from the DAR are relied on to support the proposed uses. Sufficient storage stability data is presented in the EU DAR to support the proposed uses.
	For details of the MRL considerations relating to the product, see the green box below.
	No chronic or acute consumer risk issues are expected for the proposed uses based on the PRIMo and UK NEDI and NESTI calculations.
	Conclusion
	The predicted consumer exposure falls within the agreed safe levels and no health effects are

anticipated from the use of 'Cruiser SB' as proposed.

Summary of the evaluation

The preparation 'Cruiser SB' is composed of thiamethoxam.

Toxicological reference values for the dietary risk assessment of thiamethoxam

Reference value	Source	Year	Value	Study relied upon	Safety factor
Thiamethoxam	 				
ADI	EC (07/6/EC)	2006	0.026 mg/kg bw/day	18 month study on mouse	100
ARfD	EC (07/6/EC)	2006	0.5 mg/kg bw	Rabbit development	100
Clothianidin					
ADI	EC (06/41/EC)	2005	0.097 mg/kg bw/day	2 year rat	100
ARfD	EC (06/41/EC)	2005	0.1 mg/kg bw	Rat and rabbit developmental	100

Summary for thiamethoxam

Use No.	Crop	Plant metabolism covered?	Sufficient residue trials?	PHI sufficiently supported?	Sample storage covered by stability data?	MRL compliance		Acute risk for consumers identified?
1	Sugar beet	Yes	Yes (11)	Yes	Yes	Yes	No	No

Information on 'Cruiser SB' (KCA 6.8)

Сгор	PHI for 'Cruiser SB' proposed by applicant	PHI/ Withholding period* sufficiently supported for Thiamethoxam	PHI for 'Cruiser SB' proposed by HSE	HSE Comments (if different PHI proposed)
Sugar beet	F** N/A (application at BBCH 00)	Yes	F** N/A (application at BBCH 00)	N/A

NR: not relevant

Purpose of withholding period to be specified

** F: PHI is defined by the application stage at last treatment (time elapsing between last treatment and harvest of the crop).

No consideration of waiting periods before planting succeeding crops is required as the consideration of residues in rotational crops in this assessment did not lead to a requirement for waiting periods to be set.

Active substance (ISO Common Name)	Thiamethoxam
IUPAC	(EZ)-3-(2-chlorothiazol-5-ylmethyl)-5-meth oxadiazinan-4-ylidene(nitro)amine
Chemical structure	
Molecular formula	C ₈ H ₁₀ CIN ₅ O ₃ S
Molar mass	291.7
Chemical group	Neonicotinoid compounds
Mode of action (if available)	Insecticide: contact, stomach and systemic activity. Interact with the receptor protein c nicotinic acetyl choline receptors in the ner membrane of insects.
Systemic	Yes
Company	Syngenta
Rapporteur Member State (RMS)	Spain
Approval status	Not approved – approval expired (EU) Not approved – not included in the GB act substance approvals register (GB)
Restriction	Not approved
Review Report	SANCO/10591/2013 rev 8 27/04/2018
Current MRL regulation	GB Regulation (EC) No 671/2017. EU (NI) Regulation (EU) No 671/2017.
Peer review of MRLs according to Article 12 of Reg No 396/2005 EC performed†	GB MRL Yes
	<u>EU (NI) MRL</u> Yes
EFSA Journal: Conclusion on the peer review‡	Yes** (EFSA Journal 2018;16(2):5179)
Current MRL applications on intended uses	N/A
 Notifier in the EU process to whom the a.s. be If yes: EFSA, YYYY - see list of references If the EFSA RO relates to MRL decisions delivity only. In this case the MRL review has been a to be recorded separately. In addition, if a sp will need to be stated. This relates to a review 	vered after 31/12/2020, then it will be applica ddressed for NI but is pending for GB. This becific MRL review for GB has been undert

396/2005 has been conducted then the MRL review is still pending.
The EFSA PR assessment would only be directly relevant to GB if it relates to a decision delivered

prior to 01/01/2021. EFSA PR assessments after 31/12/20 are only directly relevant to NI

General information on clothianidin		
Active substance (ISO Common Name)	Clothianidin	
IUPAC	(E)-1-(2-chloro-1,3-thiazol-5-ylmethyl)-3-methy nitroguanidine	
Chemical structure	H-N N C	
Molecular formula	C ₆ H ₈ ClN ₅ O ₂ S	
Molar mass	249.7 g/mol	
Chemical group	Neonicotinoid compounds	
Mode of action (if available)	Insecticidal, with contact and stomach action.	
Systemic	Yes	
Company	Sumitomo Chemical Takeda Agro Company Lt	
Rapporteur Member State (RMS)	Belgium	
Approval status Not approved – approval expired (EU) Not approved – not included in the GB ac substance approvals register (GB)		
Restriction	Not approved	
Review Report SANCO/10589/2013 rev 8 28/04/2018		
Current MRL regulation	<u>GB</u> Regulation (EC) No 671/2017. <u>EU (NI)</u> Regulation (EC) No 671/2017.	
Peer review of MRLs according to Article 12 of Reg No 396/2005 EC performed†	<u>GB MRL</u> Yes	
	<u>EU (NI) MRL</u> Yes	
EFSA Journal: Conclusion on the peer review‡	Yes** (EFSA Journal 2018;16(2):5177)	
Current MRL applications on intended uses	N/A	
only. In this case the MRL review has been a	vered after 31/12/2020, then it will be applicable ddressed for NI but is pending for GB. This will becific MRL review for GB has been undertaker	

396/2005 has been conducted then the MRL review is still pending.
 The EFSA PR assessment would only be directly relevant to GB if it relates to a decision delivered prior to 01/01/2021. EFSA PR assessments after 31/12/20 are only directly relevant to NI

References:

EU DAR for thiamethoxam, RMS Spain, 2001

EU DAR for clothianidin, RMS Belgium, 2003

- EFSA, 2014, Reasoned opinion on the review of the existing maximum residue levels (MRLs) for clothianidin and thiamethoxam according to Article 12 of Regulation (EC) No 396/2005, EFSA Journal 2014;12(12):3918
- EFSA, 2018, Modification of the existing maximum residue level for clothianidin in potatoes, EFSA Journal 2018;16(9):5413

EFSA, 2018, Peer review of the pesticide risk assessment for bees for the active substance clo-thianidin considering the uses as seed treatments and granules, EFSA Journal 2018;16(2):5177

EFSA, 2018, Peer review of the pesticide risk assessment for bees for the active substance thia-methoxam considering the uses as seed treatments and granules, EFSA Journal 2018;16(2):5179

Stability of residues during storage of samples

Stability of residues during storage of samples was considered in a number of crop matrices and animal commodities for the approval of both thiamethoxam and clothianidin (EU DARs, 2001). Storage stability of all compounds in the residue definition for risk assessment in plant and animal commodities was considered.

As stated by the EFSA RO on the Art 12 MRL review:

"In the framework of the peer review, storage stability of thiamethoxam was demonstrated for a period of 24 months at -18 °C in commodities with high water content (apples, tomatoes, potatoes), high oil content (rape seed) and dry commodities (maize grain) (Spain, 2001)."

"In the framework of the peer review, storage stability of clothianidin was demonstrated for a period of 24 months at -18 °C in commodities with high water content (sugar beet root, maize forage, apples, tomatoes, potatoes), high oil content (canola, rape seed) and dry commodities (maize grain) (Belgium, 2003; Spain, 2001)."

"The storage stability of clothianidin and thiamethoxam residues in animal products was evaluated under the peer review of Directive 91/414/EEC (Spain, 2001, 2003). Studies demonstrated storage stability of clothianidin and thiamethoxam in milk, muscle, liver and eggs for up to 16 months when stored deep frozen."

The available storage stability data is sufficient to support the proposed use of 'Cruiser SB' on sugar beet (data in the proposed crop, sugar beet roots and a diverse range of high water and high starch crops for clothianidin and a diverse range of high water and high starch crops for thiamethoxam); the storage periods cover those employed in the field trials being relied upon.

Stability of residues in sample extracts

Stability of residues in sample extracts has not been considered in this assessment as it relies on residues trials data previously evaluated (EU DAR, 2001), for which stability of extracts were considered acceptable.

Nature of residue in primary crops

Metabolism in primary crops was investigated following foliar spray treatment in rice (cereals), pears, cucumbers (fruits and fruiting vegetables), lettuce and tobacco (leafy vegetables), and following seed treatment on maize (cereals) and potato (root and tuber vegetables) for the

approval of thiamethoxam (EU DAR, 2001).

As stated in the EFSA RO on the Art 12 MRL review:

"Metabolism of thiamethoxam was investigated for foliar application on cereals (rice), fruits and fruiting vegetables (pears, cucumbers), and leafy vegetables (lettuce, tobacco); for soil application on cereals (maize, rice), fruits and fruiting vegetables (cucumbers), and leafy vegetables (tobacco); and for seed treatment on cereals (maize) and on root and tuber vegetables (potatoes), using [14C-oxadiazin] or [14C-thiazolyl] labelled thiamethoxam (Spain, 2001)

. . .

The metabolism of thiamethoxam in plants is complex, but adequately determined. Even though metabolic route seems to be very similar among different plants, the composition of the final residue is very dependent on the method of application, the plant, the plant parts analysed (leaves, grain, fruit) and the PHI. Residues were higher in the leafy parts of the crop. The parent compound degraded slowly but extensively with up to 20 metabolites formed. However, thiamethoxam and clothianidin were considered as the most relevant compounds because their occurrence was consistently observed throughout the different studies".

As acceptable metabolism data was presented for potato (root and tuber crops), this is enough to support use on sugar beet from this group. Seed treatment was tested in these studies, which is the same as the application type for the proposed use. The PHI in the studies is comparable to that in the proposed GAP. On this basis all proposed uses of 'Cruiser SB' are supported by the available metabolism data.

The residue definition for monitoring in plants is:

- 1) Thiamethoxam
- 2) Clothianidin (CGA 322704)

Since clothianidin is an active substance in its own right, and EU MRLs are set for this substance then both monitoring residue definitions should be considered separately.

The residue definition for risk assessment in plants is:

- 1) Thiamethoxam
- 2) Clothianidin (CGA 322704)

Thiamethoxam and clothianidin have different ADIs and ARfDs and so separate risk assessments should be conducted for each, with an additional consideration of potential combined exposure.

Nature of residue in rotational crops

Based on the Fate and Behaviour assessment for this emergency use, the sowing rate of the seeds (115,000 seeds/ha) will produce an application rate of 51.75 g a.s./ha.

The EFSA RO on the Art 12 MRL review states the following (based on studies reported in the DARs):

"The potential incorporation of clothianidin and thiamethoxam soil residues into succeeding and rotational crops was investigated in Swiss chard, lettuce, turnip, radish and wheat. These studies showed a metabolism comparable to the one in primary crops and significant residues in rotational crops are not expected, provided that clothianidin and thiamethoxam are applied according to the GAPs supported in the framework of this review."

It should be noted that that many of the uses considered in the Article 12 were significantly more critical with respect to rotational crops (e.g. up to 120 g as thiamethoxam/ha applied outdoors to potatoes) than the proposed seed treatment on sugar beet seeds.

Metabolism in rotational crops was found to be via a similar pathway to primary crops,

therefore specific residue definitions for rotational crops are not required.

Thiamethoxam:

As the application rate in the rotational crop metabolism study is greater than that in the proposed GAP (at least 3.9 N), it is considered that the results of these studies are applicable to 'Cruiser SB'. The metabolism study demonstrates that residues in rotational crops are expected to be <0.01 mg/kg for all crops at all PBIs. On this basis no further consideration of rotational crops is required.

Clothianidin:

As the application rate in the rotational crop metabolism study is greater than that in the proposed GAP (at least 3.1 N), it is considered that the results of these studies are applicable to 'Cruiser SB'. The metabolism study demonstrates that residues in rotational crops are expected to be <0.01 mg/kg for all crops at all PBIs. On this basis no further consideration of rotational crops is required.

Nature of residues in processed commodities

No consideration of residues in processed commodities is required, as residues of both clothianidin and thiamethoxam in the RAC are <0.1 mg/kg (in accordance with Reg. (EU) 544/2011) and are actually <LOQ (<0.02 mg/kg).

As stated in the EFSA Art 12 MRL review RO:

"As residues of clothianidin are all below 0.1 mg/kg (except fresh legumes and fresh herbs) and contribution of these residues to chronic consumer exposure is generally low, there was no need to investigate the effect of industrial and/or household processing on the nature and magnitude of clothianidin residues. Regarding thiamethoxam however, a study was provided demonstrating that residues are stable during pasteurisation, cooking, brewing and sterilisation."

Summary of the nature of residues in commodities of plant origin

Endpoints	
Plant groups covered	Fruits and fruiting vegetables, leafy vegetables, root and tuber vegetables and cereals
Rotational crops covered	Yes: leafy vegetables, root and tuber vegetables, cereals
Metabolism in rotational crops similar to metabolism in primary crops?	Yes
Processed commodities	Not required as residues <0.1 mg/kg
Residue pattern in processed commodities similar to pattern in raw commodities?	Yes
Plant residue definition for monitoring	 Thiamethoxam Clothianidin (CGA 322704) (<i>Reg. (EU) 2017/671</i>)
Plant residue definition for risk assessment	 Thiamethoxam Clothianidin (CGA 322704) (EFSA, 2014)
Conversion factor from enforcement to RA	N/A

Nature of residues in livestock

As stated by the EFSA RO on the Art 12 MRL review (based on studies reported in the DAR):

"Metabolism of clothianidin and thiamethoxam in lactating ruminants and poultry was investigated and findings on ruminants can be extrapolated to pigs. The relevant residue definition for enforcement and risk assessment in ruminants and pig products was defined as parent thiamethoxam and its metabolite clothianidin, to be expressed independently.

. . . .

For poultry products, no residue definition is proposed and no MRLs are required because there is no significant exposure of poultry to clothianidin or thiamethoxam residues."

The residue definition for monitoring in animals is:

- 1) Thiamethoxam
- 2) Clothianidin (CGA 322704)

Since clothianidin is an active substance in its own right, and EU MRLs are set for this substance then both monitoring residue definitions should be considered separately.

The residue definition for risk assessment in animals is:

- 1) Thiamethoxam
- 2) Clothianidin (CGA 322704)

Thiamethoxam and clothianidin have different ADI and ARfD and so separate risk assessment should be conducted for each, with an additional consideration of potential combined exposure.

It is noted that for the evaluation of CXLs (EFSA, 2014), the following residue definition for risk assessment was considered for poultry products:

1) sum of thiamethoxam, TZNG and ATG-Ac, expressed as thiamethoxam

2) clothianidin

As the consideration in this application is for a GB use and significant residues are not expected in products of animal origin (see animal dietary burden section below), this residue definition supported by the JMPR has not been considered further.

Summary on the nature of residues in commodities of animal origin

	Endpoints		
Animals covered	Lactating goats		
	Laying hens		
Time needed to reach a plateau	Not determined		
concentration	Not determined		
Animal residue definition for monitoring	 Thiamethoxam Clothianidin (CGA 322704) (<i>Reg. (EU) 2017/671</i>) 		
Animal residue definition for risk assessment	 Thiamethoxam Clothianidin (CGA 322704) (EFSA, 2014) 		
Conversion factor	N/A		

Fat soluble residue	No	

Magnitude of residues in plants

CROP: Sugar beet

The UK cGAP for use on sugar beet of 'Cruiser SB' is tabulated below:

GAP #	Crop	Application rate	Growth stage	No. of apps (and interval)	PHI (days)
1	Sugar beet	75 mL product per 100,000 seeds (0.45 mg a.s./seed) Equivalent to 51.75 g a.s./ha (based on seeding rate of 115,000 seeds/ha)	BBCH 00	1 (seed treatment)	N/A

11 GLP trials conducted outdoors in the NEU are available. The trials applied thiamethoxam to sugar beet seed at the rate of 0.46 - 0.9 mg a.s./seed using a WS product. Whilst the formulation type differs from that being proposed (FS), this is acceptable since the proposed application is as a seed treatment at BBCH 00 and hence the formulation type is not expected to have a significant influence on the residues found at harvest.

The trials analysed for residues of thiamethoxam and clothianidin in sugar beet roots and tops. No significant deviations were noted in the trials.

No residues above the method LOQ of 0.02 mg/kg were identified in roots or tops in any of the trials for either analyte.

Most of the trials were overdosed (>125%) of the proposed application rate – this is acceptable since no residues >LOQ were identified.

STMR = HR = <0.02 mg/kg for thiamethoxam and clothianidin in roots and tops.

The current EU MRLs for both actives in sugar beet roots are 0.02* mg/kg. These are sufficient to accommodate the proposed use.

These trials have previously been evaluated and accepted in the DAR for the first approval of the active substance and therefore no further assessment has been conducted in the context of this evaluation.

Commodity	Residues RD-RA and RD-Mo (mg/kg)	STMR (mg/kg)	HR (mg/kg)	MRL (mg/kg)	Current MRL (mg/kg) Reg. (EU) 2017/671	
Sugar beet (roots)	11 x <0.02 (for both analytes)	<0.02	<0.02	0.02* (thiamethoxam) 0.02* (clothianidin)	0.02* (thiamethoxam) 0.02* (clothianidin)	
Sugar beet (leaves)	11 x <0.02 (for both analytes)	<0.02	<0.02	Not currently set	for animal feed	

The trials are considered sufficient to support the proposed GAP for sugar beet, as they are overdosed, which represents a worst case. As the trials are overdosed with respect to application rate, they would not be appropriate for MRL setting.

The current GB (and EU) MRLs for clothianidin and thiamethoxam in sugar beet roots is 0.02* mg/kg and the calculated MRL is also 0.02* mg/kg for both active substances, therefore the current MRLs are sufficient to support the use.

Sufficient residues trials are available to address the data requirement and establish that residues in plants are not expected to exceed the MRL.

Magnitude of residues in livestock

Dietary burden calculation

Sugar beet tops and processed by-products of refined sugar production can be fed to livestock.

The Article 12 Reasoned Opinion considered significantly higher animal dietary intakes which triggered feeding studies in ruminants (but not in poultry). Regarding the ruminant feeding data, it concluded that for both thiamethoxam and clothianidin:

"...the available data are considered sufficient to demonstrate that significant residues in tissues and milk of ruminants and pigs are not expected and MRLs for these commodities can be established at the LOQ. Considering however that a storage stability study is still required for thiamethoxam in fat, this MRL in fat is tentative only."

Given that no residues above the LOQ of 0.02 mg/kg of thiamethoxam or clothianidin were detected in sugar beet roots or tops, it is not expected that livestock would be exposed to significant levels through their diet and therefore detectable residues are not expected in animal commodities.

A dietary burden calculation has been undertaken for 'Cruiser SB', which includes only the GB use. The dietary burden calculation has been undertaken using the Dietary Burden Calculator 3.2 (as the assessment is to 544/2011).

The following assumptions have been made.

1) The highest likely inclusion rate of all crops which may have been treated has been used with the proviso that the aggregate does not exceed 100% diet;

2) All produce eaten which may have been treated, has been treated and contains residues at the STMR/HR found in the trials considered to support the GAP

3) There is no loss of residue during transport, storage, preparation of feed prior to consumption.

Input values are given below. The highest and median calculated animal intakes based on these input values are reported below.

Input Values

Commodity	STMR (mg/kg)	HR (mg/kg)	Post Harvest?
Green Forage Beet tops Roots and	0.020	0.020	N/A
Tubers Beet Pulp	0.020	0.020	N/A

Intakes calculated using STMR input (median dietary burden)

Animal	mg/kg DM Basis	mg/kg AR Basis	mg/animal/day	mg/kg bw/day
Dairy cattle *	0.068	0.018	1.350	0.0025
Beef cattle *	0.098	0.020	1.463	0.0042
Pig *	0.091	0.019	0.274	0.0037
Chicken *	0.020	0.010	0.002	0.0013

* Less than 100% of diet employed (DM diet)

Intakes calculated using HR input (maximum dietary burden)

Animal	mg/kg DM Basis	mg/kg AR Basis	mg/animal/day	mg/kg bw/day
Dairy cattle *	0.068	0.018	1.350	0.0025
Beef cattle *	0.098	0.020	1.463	0.0042
Pig *	0.091	0.019	0.274	0.0037
Chicken *	0.020	0.010	0.002	0.0013

* Less than 100% of diet employed (DM diet)

Based on the dietary burden calculations consideration of the likely residues in food of animal origin for ruminants and poultry is not required as the trigger of 0.1 mg/kg as received in the diet and 0.1 mg/kg dry matter are not exceeded.

No further consideration is necessary, and the consumption of animal commodities is not included in the consumer risk assessment presented below.

Livestock feeding studies

No consideration of livestock feeding studies are required, as the dietary burden is calculated to be <0.1 mg/kg DM for all groups (544/2011).

Magnitude of residues in processed commodities

No consideration of residues in processed commodities is required, as residues in the RAC for both analytes (thiamethoxam and clothianidin) are <0.1 mg/kg and specifically <0.02 mg/kg).

Magnitude of residues in representative succeeding crops

No consideration of residues in rotational crops is required, as the available metabolism studies on rotational crops demonstrate residues <LOQ across all crops and plant back intervals for the proposed GAP.

Other / special studies

No consideration of residues in honey is required, as the application is to 'old' data requirements set out under 544/2011.

Under a previous emergency application (HSE internal ref: COP 2020/01677) the following

residue study on pollen, nectar and guttation fluid from crops succeeding sugar beet treated with 'A9765R', and supporting method validation data were evaluated to support the ecotoxicological assessment. These data have not been reconsidered as part of this application (2022):

Title: "Thiamethoxam – Residue Study on Pollen, Nectar, and Guttation Fluid from Crops Succeeding Sugar Beet Treated with A9765R in Germany, the United Kingdom, Poland, Austria and Italy in 2017-2018"

Author/Year: 2020 Study/Report No.: SPK-17-29052

Title: "Thiamethoxam (CGA293343) and CGA322704 – Validation of Residue Analytical Method REM 179.07 for the Determination of Residues in Bee and Hive Products and Storage Stability in Hive Pollen, Wax and Nectar, Stored Deep Frozen for 12 Months" Author/Year: 2007 Study/Report No.: T003891-05-REG

In this GLP study, pollen, nectar and wax samples were fortified with thiamethoxam and CG322704 at 0.01 mg/kg (10 μ g/kg) of each analyte. The samples were stored for up to 12 months in a freezer at <-18 °C. Subsamples were taken at time zero and 1, 3, 6 and 12 months after fortification and analysed alongside freshly prepared procedural recovery samples for both analytes.

Samples were analysed for both analytes using validated analytical method REM 179-7 (also known as method GRM009.13A – See Section 5 for details of the validation of this method).

The results are provided in the tables below. Results are reported uncorrected and after correction for procedural recovery and the zero day analysed result. From the uncorrected (and corrected) results, it can be concluded that residues of both thiamethoxam and its metabolite CGA322704 are stable for at least 12 months when stored frozen in pollen, wax and nectar matrices.

	1							
Matrix	Inter	Interval		Mean Uncorrected	Corrected Residue	Mean Corrected	Mean Procedurai	Mean Recovered
	Months (Nominal)	Days (Actual)	Residue µg kg⁻¹	Residue µg kg ⁻¹	µg kg ⁻¹	Residue µg kg ⁻¹	Recovery*	Uncorrected Residue %
							-	
Pollen	Zero time	0	7.6, 8.2, 6.8	7.5	10.1, 10.9, 9.1	10.0	75	100
	1	33	8.4, 7.9	8.1	10.0, 9.4	9.7	84	108
	3	97	7.3, 6.2	6.7	8.2, 6.9	7.6	89	89
	6	188	9.8, 8.8	9.3	8.5, 7.6	8.0	116	124
	12	364	10.4, 7.5	9.0	13.4, 9.7	11.5	78	119
Wax	Zero time	0	9.9, 9.8, 9.8	9.9	10.1, 10.0, 10.0	10.0	99	100
	1	33	9.5, 9.1	9.3	9.6, 9.1	9.3	100	94
	3	97	8.4, 7.0	7.7	8.7, 7.3	8.0	97	78
	6	188	9.9, 10.7	10.3	9.5, 10.3	9.9	104	104
	12	364	11.9, 10.7	11.3	11.4, 10.3	10.9	104	115
Nectar	Zero time	0	9.3, 9.2, 9.2	9.2	10.0, 10.0, 10.0	10.0	92	100
	1	33	8.8, 10.1	9.5	9.2, 10.6	9.9	96	103
	3	97	9.6, 9.5	9.5	10.3, 10.2	10.3	93	103
	6	188	9.9, 9.4	9.6	8.8, 8.4	8.6	112	104
	12	364	9.3, 9.2	9.3	9.1, 9.0	9.1	102	100

Stability of thiamethoxam in pollen, wax & nectar samples stored frozen for 12 months

Matrix	Interval		Corrected Residue	Mean	Mean	Mean		
	Months (Nominal)	Days (Actual)	– Residue µg kg ⁻¹	Residue µg kg ⁻¹	µg kg-1	Corrected Residue µg kg ⁻¹	Procedural Recovery* %	Recovered Uncorrecte Residue %
Pollen	Zero time	0	9.1, 9.5, 9.3	9.3	9.8, 10.2, 10.0	10.0	93	100
	1	33	8.9, 9.1	9.0	8.5, 8.7	8.6	105	97
	3	97	7.9, 7.9	7.9	9.2, 9.2	9.2	86	85
	6	188	10.7, 10.0	10.3	10.8, 10.1	10.4	99	111
	12	364	8.7, 7.4	8.0	10.9, 9.2	10.1	80	86
Wax	Zero time	0	10.2, 9.9, 9.9	10.0	10.2, 9.9, 9.9	10.0	100	100
	1	33	9.5, 9.8	9.7	9.5, 9.7	9.6	101	97
	3	97	8.2, 6.7	7.4	8.6, 7.0	7.8	96	75
	6	188	10.4, 11.6	11.0	9.9, 11.0	10.5	105	110
	12	364	10.8, 10.8	10.8	10.9, 10.8	10.9	99	108
Nectar	Zero time	0	9.5, 9.6, 9.4	9.5	10.0, 10.1, 9.9	10.0	95	100
	1	33	8.7, 10.0	9.3	9.2, 10.6	9.9	95	98
	3	97	9.3, 9.2	9.2	10.1, 10.0	10.0	92	97
	6	188	10.6, 10.2	10.4	9.7, 9.3	9.5	109	109
	12	364	9.5, 9.1	9.3	10.1, 9.7	9.9	95	98

Method validation:

Title: Thiamethoxam – Residue Study on Pollen, Nectar, and Guttation Fluid from Crops Succeeding Sugar Beet Treated with A9765R in Germany, the United Kingdom, Poland, Austria and Italy in 2017-2018

Author/Year: 2020 Study/Report No.: SPK-17-29052

This is a GLP residues study which consists of 8 field trials conducted in Europe (2 DE, 2 UK, 2 PL, 1 AT, 1 IT) in 2017-18.

In each trial, sugar beet seeds were treated with thiamethoxam at the nominal rate of 0.450 mg a.s./seed (actual: 0.462 mg a.s./seed) using 'A9765R', a 600 g a.s./L flowable concentrate (FS) formulation – this matches the application rate being proposed for the emergency use and the formulation type is the same.

Seeds were drilled "according to normal commercial practice" (equivalent to 57-64 g a.s./ha), grown to maturity and harvested at normal commercial harvest. The following spring, each plot was divided into 4 subplots and replanted with maize, potato, oilseed rape and phacelia, selected as representative succeeding crops. Appropriate control plots were planted with untreated sugar beet seed and subsequently followed the same protocol as the test plots. It should be noted that sugar beet will be harvested before flowering, hence the study is designed to assess the potential of residues to be present in following crops that bees may forage.

Three insect proof tunnels were placed over each sub plot containing oilseed rape or phacelia, prior to flowering. Honey bee colonies were introduced into each tunnel at the start of flowering.

Samples (from both the treated and untreated plots) of the following were taken at various time points throughout the study:

- Soil
- Maize guttation fluid
 - Maize pollen (from the plant)

Potato anth	ers
	e pollen (from foraging bees)
 Oilseed rap 	e nectar (from foraging bees)
	llen (from foraging bees) ctar (from foraging bees)
	p frozen shortly after sampling and remained so until analysis. Samples for the following maximum time periods:
	hs) for soil samples
192 days (6 month 268 days (9 month	s) for guttation fluid s) for anthers
245 days (8 month	s) for pollen
253 days (8 month	s) for nectar
	and nectar have been shown to remain stable for at least 12 months frozen storage periods for pollen and nectar are acceptable.
analytical methods and nectar, water (lysed for thiamethoxam and its CGA332204 metabolite using the following . See below for details of the acceptable validation of the method for pollen representing guttation fluid) and soil. The study claims that the methods for propriately validated, but this has not been confirmed):
anther are also app	nophately validated, but this has not been commed).
Analytical methods	: 009.09A for both analytes.
LOQ:	000.00A for both analytes.
Thiamethoxam: CGA322704:	0.0010 mg/kg 0.0001 mg /kg
000022704.	0.000 mg /kg
Pollen and nectar LOQ:	: Method GRM009.13A for both analytes.
Thiamethoxam:	0.0010 mg/kg for pollen
CC 4222704.	0.0005 mg/kg for nectar
CGA322704:	0.0010 mg/kg for pollen and nectar
Anther: Method GI	RM009.14A for both analytes.
Thiamethoxam:	0.0010 mg/kg
CGA322704:	0.0010 mg /kg
Guttation fluid: Me	ethod GRM009.10A for both analytes.
Thiamethoxam:	0.01 µg/L
CGA322704:	0.01 μg/L
	esults from each matrix type is provided in the tables below. See Appendix he results obtained from each trial site.
Pollen and nectar	:
	s, the oilseed rape crop did not produce sufficient viable flowers for pollen to be collected. The number of trials on which the ranges are based are able below.

Number of	f trials	which	produced	results:

8 for maize pollen

5 for oilseed rape pollen and nectar

8 for phacelia pollen and nectar

Sampling Interval (days)	Thiamethoxam Residues in the Range (mg/kg)	CGA322704 Residues in the Range (mg/kg)
reated Plot (P2): at a rate of	f 57 – 64 g ai/ha	
	Maize Pollen	
0 DAF	< 0.0010	< 0.0010 - 0.0011
3-4 DAF	< 0.0010	< 0.0010 - 0.0012
6-9 DAF	< 0.0010	< 0.0010
	Oilseed Rape Pollen	
0-1 DAF	< 0.0010 - 0.0026	< 0.0010
3-4 DAF	< 0.0010 - 0.0024	< 0.0010
6-8 DAF	< 0.0010 - 0.0015	< 0.0010
	Phacelia Pollen	
0 DAF	< 0.0010	< 0.0010
7–15 DAF	< 0.0010	< 0.0010
12-21 DAF	< 0.0010	< 0.0010
	Oilseed Rape Nectar	
0 DAF	< 0.0005	< 0.0010
3 DAF	< 0.0005 - 0.0006	< 0.0010
6-8 DAF	< 0.0005	< 0.0010
	Phacelia Nectar	
0 DAF	< 0.0005	< 0.0010
7–15 DAF	< 0.0005	< 0.0010
12-21 DAF	< 0.0005	< 0.0010

DAF = days after start of flowering

No residues >LOQ were identified in untreated control samples of pollen or nectar apart from a residue of CGA322704 (0.0024 mg/kg) being found in one maize pollen control sample. This is not expected to have affected the results of the study.

Potato anthers:

NB: Results were not obtained in two of the trials, where the potatoes did not produce sufficient viable flowers for pollen or nectar sampling.

Number of trials which produced results = 6

Sampling Interval (days)	Thiamethoxam Residues in the Range (mg/kg)	CGA322704 Residues in the Range (mg/kg)
eated Plot (P2): at a rate o	f 57 – 64 g ai/ha	
()		
	Potato Anthers	
0 DAF		< 0.0010 - 0.0013
	Potato Anthers	< 0.0010 - 0.0013 < 0.0010 - 0.0031

DAF = days after start of flowering

Soil:

NB: Soil samples were taken and analysed for all trials which produced results – trials which did not produce sufficient viable flowers for pollen and nectar sampling did not have soil samples taken:

Number of trials which produced results:

8 for maize 5 for oilseed rape

6 for potato

8 for phacelia

Sampling Interval (days)	Thiamethoxam Residues in the Range (mg/kg)	CGA322704 Residues in the Range (mg/kg)
reated Plot (P2): at a rate o	f 57 – 64 g ai/ha	•
	Soil – Total Plot	
0-3 DBD1	< 0.0010 - 0.0033	< 0.0001 - 0.0039
0-1 DBD2	< 0.0010 - 0.0034	< 0.0010 - 0.0040
	Maize Soil	
0-16 DAE	< 0.0010 - 0.0029	0.00019 - 0.0039
0-8 DAF	< 0.0010 - 0.0070	0.00067 - 0.0043
	Oilseed Rape Soil	
-1-0 DAF	< 0.0010 - 0.0023	0.00078 - 0.0037
	Phacelia Soil	Entrance Contrain-
-1-7 DAF	< 0.0010 - 0.0061	0.00043 - 0.0056
	Potato Soil	
0 DAF	< 0.0010 - 0.0024	0.00052 - 0.0035

DBD = days before drilling

DAE = days after emergence

DAF = days after start of flowering

No residues of thiamethoxam >LOQ (0.001 mg/kg) were detected in control soil samples, but residues of CGA322704 >LOQ (0.0001 mg/kg), up to 0.0039 mg/kg were detected in soil control samples from 6 of the 8 trials. Whilst these were at low levels, they were within the range of residues identified in the actual test samples so it is worth bearing this in mind when considering the results.

Guttation fluid:

All 8 trials produced results for maize guttation fluid.

Sampling Interval (days)	Thiamethoxam Residues in the Range (µg/L)	CGA322704 Residues in the Range (µg/L)
eated Plot (P2): at a rate o	f 57 – 64 g ai/ha	
	Guttation Fluid	
0 DAE	< 0.01 - 17	0.042 - 3.6
5-8 DAE	< 0.01 - 20	0.13 - 3.7
12-15 DAE	< 0.01 - 32	0.14-3.2
19-22 DAE	0.025 - 34	0.31 - 5.9
27-29 DAE	0.010 - 42	0.38 - 9.0
33-35 DAE	0.023 - 33	0.97 - 8.5
40-42 DAE	0.011 - 18	0.48 - 11

DAE = days after emergence

Residues of thiamethoxam and CGA322704 were found in some of the control samples analysed from the trials. These were as high as 1.9 mg/kg for CGA322704 in one trial and 1.0 mg/kg for thiamethoxam in the same trial. The presence of these is not explained. The analytical results reported in the table above have not been corrected for the residues in the control samples and the levels found in the control samples are generally well below the maximum levels found in the test samples. Hence, they can still be considered to represent the worst case situation.

Appropriate example chromatograms were provided for all matrices.

A full consideration of these studies from an ecotoxicological perspective is presented within

the <u>ecotoxicology section of the evaluation</u>. The study indicates that residues in honey are expected to be less than the default LOQ MRL of 0.05* mg/kg (given residue levels lower than this were determined in aerial parts of the crops: nectar and pollen). A full consideration of the study from a residues perspective is not required at this time.

Estimation of exposure through diet and other means

UK NEDI and NESTI

The UK NEDI and NESTI have been calculated based only on the supported uses of 'Cruiser SB'.

The UK NEDIs and NESTIs for the active and commodities listed below have been calculated for ten consumer groups as detailed in the Regulatory Update 21/2005. The following assumptions have been made:

- 1) Upper range of normal (97.5th percentile) consumption of each individual crop which may have been treated.
- All produce eaten which may have been treated has been treated and contains residues at the STMR (NEDI) / HR (NESTI) found in the trials considered to support GAP, as given below.
- 3) There is no loss of residue during transport or storage, or processing of foods prior to consumption.

Input values for the UK consumer risk assessment are given below

Model outputs for the UK acute and chronic models run by HSE are presented below.

Thiamethoxam:

Chronic intakes for all consumer groups are below the ADI of 0.026 mg/kg bw/day therefore no health effects are expected (critical diet toddlers with 4% of the ADI).

Acute intakes for all consumer groups are below the ARfD of 0.5 mg/kg bw therefore no health effects are expected (critical consumer toddlers with 0.3 % of the ARfD).

Clothianidin:

Chronic intakes for all consumer groups are below the ADI of 0.097 mg/kg bw/day therefore no health effects are expected (critical diet toddlers with 1% of the ADI).

Acute intakes for all consumer groups are below the ARfD of 0.1 mg/kg bw therefore no health effects are expected (critical consumer toddlers with 1.6 % of the ARfD).

<u>PRIMo</u>

The PRIMo IESTIs and PRIMo IEDIs for thiamethoxam and clothianidin, and the commodities listed below have been calculated using PRIMo v3.1 – Pesticide Residues Intake Model. As the application was received by the UK after 1st February 2018, PRIMo 3.1 has been used.

A full description of PRIMo and the underlying assumptions is in the document: 'Use of EFSA pesticide residues intake model 'EFSA PRIMo revision 3.1' available at the following link: <u>http://www.efsa.europa.eu/en/applications/pesticides/tools</u>. Information is also included in the PRIMo model in the tab 'background information'.

A PRIMo consumer risk assessment has been undertaken for 'Cruiser SB', which includes only GB uses.

The UK considers that there is only a need to conduct the risk assessment for the uses under consideration. A full consideration of the dietary risk assessment for all uses should only be undertaken when setting a new MRL or in an MRL review. Therefore, as no new MRLs are required as a result of this product evaluation, the consumer risk assessments outlined below only include the commodities on which this product is proposed for use in this application.

The risk assessment is undertaken using STMR and HRs determined for all plant products based on the proposed uses of 'Cruiser SB' which are adequately supported by data.

The following assumptions have been made:

- 1) All produce eaten which may have been treated, has been treated and contains residues at the MRL/HR/STMR as given below.
- 2) There is no loss of residue during transport or storage, or processing of foods prior to consumption.

Input values for the PRIMo consumer risk assessment are given below.

Model outputs for EFSA PRIMO Rev 3.1, run by HSE are presented below.

Thiamethoxam

The maximum IEDI was 0.6% of the ADI. As chronic intakes for all consumer groups are below the ADI of 0.026 mg/kg bw/day therefore no health effects are expected.

The maximum contribution of a commodity to ARfD was sugar beet (root)/sugar at 0.4% for children. Acute intakes for all consumer groups are below the ARfD of 0.5 mg/kg bw therefore no health effects are expected.

<u>Clothianidin</u>

The maximum IEDI was 0.2% of the ADI. As chronic intakes for all consumer groups are below the ADI of 0.097 mg/kg bw/day therefore no health effects are expected.

The maximum contribution of a commodity to ARfD was commodity at 2% for children. Acute intakes for all consumer groups are below the ARfD of 0.1 mg/kg bw therefore no health effects are expected.

	Chronic ri	isk assessment	Acute risk assessment		
Commodity	Input value (mg/kg)	Comment	Input value (mg/kg)	Comment	
Thiamethoxam					
Sugar beet	0.02	Median residue (also the MRL)	0.02	Highest residue	
Clothianidin					
Sugar beet	0.02	Median residue (also the MRL)	0.02	Highest residue	

Input values for the consumer risk assessment

Thiamethoxam		
IEDI (% ADI) accordin	g to EFSA PRII	Mo 0.6 % (based on NL child)
IESTI (% ARfD) accord PRIMo*	ding to EFSA	Sugar beet: 0.4 % (based on childre
NEDI (% ADI)**		4 %
NESTI (% ARfD) **		Sugar beet: 0.3 %
Clothianidin		
IEDI (% ADI) accordin	g to EFSA PRII	Mo 0.2 % (based on NL child)
IESTI (% ARfD) accord PRIMo*	ding to EFSA	Sugar beet: 2 % (based on children)
NEDI (% ADI)**		1 %
NESTI (% ARfD) **		Sugar beet: 1.6 %
The NEDIs/IEDIs for t	the UK and Pf	RIMO Rev 3.1 have been calculated usin
The NEDIs/IEDIs for t		
Thiamethoxam: STMF Sugar beet root Clothianidin: STMR fo Commodity	R for proposed	use Current assessment
Thiamethoxam: STMF Sugar beet root Clothianidin: STMR fo Commodity Sugar beet root The maximum sum o expressed as a % of it	R for proposed 0.02 or proposed use STMR 0.02	Use Current assessment Reference
Thiamethoxam: STMF Sugar beet root Clothianidin: STMR for Commodity Sugar beet root The maximum sum of expressed as a % of it group.	R for proposed in the total chronic the total ch	Use Current assessment Reference Current assessment

	Combined acute assessment
	The maximum sum of the acute intakes for both thiamethoxam and clothianidin (each expressed as a % of its own ARfD) using the UK NESTI model is 1.9% for sugar beet in the toddler consumer group.
	The maximum sum of the acute intakes for both thiamethoxam and clothianidin (each expressed as a % of its own ARfD) using the PRIMo model is 2.4% for sugar beet in the children consumer group.
	For the proposed use (and relevant commodities) the sum of the acute intakes (UK and PRIMo Rev 3.1) for thiamethoxam and clothianidin each expressed as a % of its own ARfD is <100%. No health effects are expected.
Conclusion	The previous conclusions remain valid.

2.4.1 Maximum Residue Levels

EVALUATION	, SUMMAR)	AND CONCL	USION BY F	REGULATORY AUTHO	RITY
Name of	Health and	Safety Execu	utive		
authority	Maximum				
Reviewer's comments	Maximum	residue levels	<u>6 (MRLS)</u>		
comments	GB MRLs				
	GB MRLs i	n force			
	The GB MF 'Cruiser SE		able 7.1-0a a	nd b are relevant to the	proposed uses of
		, in Ob.			
	Active: Thia	amethoxam Err	or! Referen	ce source not found.	
		ue definition fo	r enforcemer	nt: 1) Thiamethoxam; 2)) Clothianidin (CGA
	322704)				
			for enforcem	ent: 1) Thiamethoxam;	2) Clothianidin
	(CGA 3227	(04)			
	Table 7.1-0)a GB MRLs in	force for this	amethoxam relevant to	the proposed uses
	in GB				
	Code	Commodity to which MRL	MRL required for	GB MRL in force (as outlined in the GB MRL statutory	Potential future GB MRL (mg/kg) ‡
		applies	proposed	Register and	
			use	Commission	
			(mg/kg)	Regulation	
				671/2017 [†]) (mg/kg)	
	0900010	Sugar beet	0.02*	0.02*	N/A
		roots	0.02	0.02	
	† Only rele	vant for MRLs	set prior to 0	1/01/2021.	
	•			Register or proposed N	/IRLs outlined in the
	Published I	MRL reviews L	<u>ist</u>		

Code	Commodity to which MRL applies	MRL required for proposed use (mg/kg)	GB MRL in force (as outlined in the GB MRL statutory Register and Commission Regulation 671/2017 [†]) (mg/kg)	GB
0900010	Sugar beet roots	0.02*	0.02*	N/A
JODCHISION				
On the bas	on GB MRLs is of this evalu e current MRL		thorisation will result in GB.	residues tha
On the bas or below th EU MRLs As this app made. It is same as th	is of this evalu e current MRL (for NI) blication is GB s noted that at hose currently	s in force for only no furth t this time (A		RLs for NI has in NI (EU)
On the bas or below th EU MRLs As this app made. It is same as th and clothia	is of this evalu e current MRL (for NI) blication is GB s noted that at nose currently nidin.	s in force for only no furth t this time (A in force in (GB. ner consideration of MF ugust 2022), the MRLs	RLs for NI has in NI (EU) ts for thiame
On the bas or below th EU MRLs As this app made. It is same as the and clothia MRL supp GB MRLs	is of this evalu e current MRL (for NI) blication is GB s noted that at nose currently nidin.	s in force for only no furth t this time (A in force in (ormation red	GB. ner consideration of MF ugust 2022), the MRLs GB for sugar beet root	RLs for NI has in NI (EU) ts for thiame firmatory da

UK and Pesticide Residue Intake Model (PRIMo) consumer risk assessments

NEDI calculations

Thiamethoxam

Active substance:	Thiamethoxam		ADI:	0.026	mg/kg bw/day		Source:	07/6/EC				
							TOTAL INTA	KE based on 9	97.5th percenti	le		
			ADULT	INFANT	TODDLER	4-6 YEARS	7-10 YEARS	11-14 YEARS	15-18 YEARS	VEGETARIAN	ELDERLY (OWN HOME)	ELDERLY (RESIDENTIAL)
	mg/kg bw/day		0.00028	0.00067	0.00111	0.00067	0.00063	0.00040	0.00039	0.00024	0.00021	0.00030
	% of ADI		1%	3%	4%	3%	2%	2%	1%	<1%	<1%	1%
	STMR	Р					CC		AKES			
Commodity	(mg/kg)					1		(mg/kg bw/da	iy)	1		
Sugar beet	0.02		0.00028	0.00067	0.00111	0.00067	0.00063	0.00040	0.00039	0.00024	0.00021	0.00030

* 0.00000 corresponds to <0.000005 mg/kg bw/day (any value ≥0.000005 is rounded to 0.00001

L/C Low consumption (<0.1 g/day) or low number of consumers (<4)

<u>Clothianidin</u>

Active substance:	Clothianidin	ADI:	0.097	mg/kg bw/day		Source:	06/41/EC				
			ſ			TOTAL INTA	KE based on 9	7.5th percenti	le		
		ADULT	INFANT	TODDLER	4-6 YEARS	7-10 YEARS	11-14 YEARS	15-18 YEARS	VEGETARIAN	ELDERLY (OWN HOME)	ELDERLY (RESIDENTIAL)
	mg/kg bw/day	0.00028	0.00067	0.00111	0.00067	0.00063	0.00040	0.00039	0.00024	0.00021	0.00030
	% of ADI	<1%	<1%	1%	<1%	<1%	<1%	<1%	<1%	<1%	<1%
	STMR P					C		AKES]
Commodity	(mg/kg)						(mg/kg bw/da	y)			
Sugar beet	0.02	0.00028	0.00067	0.00111	0.00067	0.00063	0.00040	0.00039	0.00024	0.00021	0.00030

* 0.00000 corresponds to <0.000005 mg/kg bw/day (any value ≥0.000005 is rounded to 0.00001

L/C Low consumption (<0.1 g/day) or low number of consumers (<4)

NESTI calculations

<u>Thiamethoxam</u>

Acute Intakes (97.5th percentiles)

			adult		infant		toddler		4-6 year o	ld child	7-10 year o	ld child
commodity	HR	Ρ	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD
Sugar Beet	0.02		0.00052	0.1	0.00111	0.2	0.00156	0.3	0.00128	0.3	0.00105	0.2

			11-14 yea child	ar old	15-18 year	old child	vegetarian	1	Elderly - o home	own	Elderly - res	sidential
commodity	HR	Ρ	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD
Sugar Beet	0.02		0.00078	0.2	0.00072	0.1	0.00042	0.1	0.00028	0.1	0.00038	0.1

Pesticide Thiamethoxam

ARfD 0.500 mg/Kg bw/day

Source 07/6/EC

* 0.00000 corresponds to <0.000005 mg/kg bw/day (any value ≥0.000005 is rounded to 0.00001

<u>Clothianidin</u>

Acute Intakes (97.5th percentiles)

			adult		infant		toddler		4-6 year o	ld child	7-10 year o	ld child
commodity	HR	Ρ	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD
Sugar Beet	0.02		0.00052	0.5	0.00111	1.1	0.00156	1.6	0.00128	1.3	0.00105	1.0

			11-14 ye child	ar old	15-18 year	old child	vegetariar	1	Elderly - o home	wn	Elderly - res	sidential
commodity	HR	Ρ	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD	NESTI	%ARfD
Sugar Beet	0.02		0.00078	0.8	0.00072	0.7	0.00042	0.4	0.00028	0.3	0.00038	0.4

Pesticide Clothianidin

ARfD 0.100 mg/Kg bw/day

Source 06/41/EC

* 0.00000 corresponds to <0.000005 mg/kg bw/day (any value ≥0.000005 is rounded to 0.00001

TMDI/IEDI calculations

•	***				Thia	amethoxa	m			Inpu	t values		
*		1		LOQs (mg/kg) range		amethoxa	to:		Details - chronic r	isk	Supplementary	rosulte_	
1	•••	TSAM			Toxicolo	gical reference	values		assessment		chronic risk ass		
				ADI (mg/kg bw/day):		0.026	ARID (mg/kg bw):	0.5				$ \rightarrow$	
Eur	ropean Foo	d Safety Authority		Source of ADI:		EC 07/6/EC	Source of ARfD:	EC 07/6/EC	Details - acute ris		Details - acu		
E	FSA PRIMo re	evision 3.1; 2019/03/19		Year of evaluation:		2006	Year of evaluation:	2006	assessment/childr		assessment,	adults	
ents													
						Norma	al mode						
					Chronic r	isk assessment	: JMPR method	ology (IEDI/TMDI)					
				No of diets exceedin	g the ADI :	-	-						resulting fre
	Calculated exposure		Expsoure (µg/kg bw per	Highest contributor to MS diet	Commodity /		2nd contributor to MS diet	Commodity /		tributor to diet	Commodity /	MRLs set at the LOQ (in % of	commodities under assessm (in % of A
	(% of ADI)	MS Diet	day)	(in % of ADI) 0.6%	group of commodities		(in % of ADI)	group of commodities	(in %	of ADI)	group of commodities	ADI)	(a) se or se
	0.6%	NL child NL toddler	0.17	0.6%	Sugar beet roots Sugar beet roots			Grapefruits					
	0.4%	DE women 14-50 yr	0.09	0.4%	Sugar beet roots			Grapefruits					
	0.3%	DE general	0.08	0.3%	Sugar beet roots			Grapefruits					
	0.3%	FR child 3 15 yr	0.07	0.3%	Sugar beet roots			Grapefruits					
	0.2%	UK toddler NL general	0.06	0.2%	Sugar beet roots Sugar beet roots			Grapefruits Grapefruits					
	0.2%	FR toddler 2 3 vr	0.06	0.2%	Sugar beet roots			Grapefruits					
	0.1%	GEMS/Food G06	0.03	0.1%	Sugar beet roots			Grapefruits					
	0.1%	UK infant	0.03	0.1%	Sugar beet roots			Grapefruits					
	0.1%	FR infant	0.03	0.1%	Sugar beet roots			Grapefruits					
	0.1% 0.1%	RO general FR adult	0.03	0.1% 0.1%	Sugar beet roots Sugar beet roots			Grapefruits Grapefruits					
	0.0%	UK adult	0.02	0.0%	Sugar beet roots			Grapefruits					
	0.0%	UK vegetarian	0.01	0.0%	Sugar beet roots			Grapefruits					
	0.0%	ES child	0.00	0.0%	Sugar beet roots			Grapefruits					
	0.0%	ES adult	0.00	0.0%	Sugar beet roots			Grapefruits					
	0.0%	GEMS/Food G07 GEMS/Food G07	0.00	0.0%	Sugar beet roots Sugar beet roots			Grapefruits Grapefruits					
	0.075	DE child	0.00	0.0 /0	Grapefruits			Grapefruits					
		DE child			Grapefruits			Grapefruits					
		DE child			Grapefruits			Grapefruits					
		DE child DE child			Grapefruits Grapefruits			Grapefruits Grapefruits					
		DE child			Grapefruits			Grapefruits					
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		DE child DE child			Grapefruits Grapefruits			Grapefruits					
		DE child			Grapefruits			Grapefruits Grapefruits					
		DE onno			or ap on ano			Lorabonano.			1	1	

<u>Clothianidin</u>

*	Fsa		100= (==================================		othianidi	-					
			LOQs (mg/kg) rang		giant reference	to:			hronic risk	Supplementary	
C			ADI (mg/kg bw/day)	TOXICON	ogical reference	ARfD (mg/kg bw):	0.1	asses	sment	chronic risk asse	ssment
mann Food (Cofety Authority		ADI (ITIg/kg bw/day)		0.097	ARID (IIIg/kg bw).	0.1	Details - a	cuto risk	Details - acut	o rick
opean roou :	Safety Authority		Source of ADI:			Source of ARfD:	EC 06/41/EC	assessmer		assessment/a	
SA PRIMo revi	ision 3.1; 2019/03/19		Year of evaluation:		2005	Year of evaluation:	2005	ussessmen	igenitaten	assessmente	iuuits
					Norma	ll mode					
				Chronic			ology (IEDI/TMDI)				
			No of diets exceeding		lisk dssessment	. JMPK method					Exposur
			NU DI GIELS EXCEEDIN								MRLs set:
Calculated		Expsoure	Highest contributor			2nd contributor to			3rd contributor to		the LOQ
exposure		(µg/kg bw per		Commodity /		MS diet	Commodity /		MS diet	Commodity /	(in % of ADI)
	MS Diet	day)	(in % of ADI)	group of commodities		(in % of ADI)	group of commodities		(in % of ADI)	group of commodities	AUI)
	NL child NL toddler	0.17	0.2%	Sugar beet roots Sugar beet roots			Grapefruits				
	DE women 14-50 yr	0.09	0.1%	Sugar beet roots			Grapefruits				
	DE general	0.09	0.1%				Grapefruits				
	FR child 3 15 yr	0.08	0.1%	Sugar beet roots Sugar beet roots			Grapefruits				
	JK toddler	0.06	0.1%	Sugar beet roots			Grapefruits				
	NL general	0.06	0.1%	Sugar beet roots			Grapefruits				
	FR toddler 2 3 vr	0.06	0.1%	Sugar beet roots			Grapefruits				
	GEMS/Food G06	0.03	0.0%	Sugar beet roots			Grapefruits				
	JK infant	0.03	0.0%	Sugar beet roots			Grapefruits				
	FR infant	0.03	0.0%	Sugar beet roots			Grapefruits				
	RO general	0.03	0.0%	Sugar beet roots			Grapefruits				
	FR adult	0.02	0.0%	Sugar beet roots			Grapefruits				
	JK adult	0.01	0.0%	Sugar beet roots			Grapefruits				
	JK vegetarian	0.01	0.0%	Sugar beet roots			Grapefruits				
	ES child	0.00	0.0%	Sugar beet roots			Grapefruits				
	ES adult	0.00	0.0%	Sugar beet roots			Grapefruits				
	GEMS/Food G07	0.00	0.0%	Sugar beet roots			Grapefruits				
	GEMS/Food G07	0.00	0.0%	Sugar beet roots			Grapefruits				
0	DE child			Grapefruits			Grapefruits				
[DE child			Grapefruits			Grapefruits				
[DE child			Grapefruits			Grapefruits				
	DE child			Grapefruits			Grapefruits				
0	DE child			Grapefruits			Grapefruits				
	DE child			Grapefruits			Grapefruits				
	DE child			Grapefruits			Grapefruits				
	DE child			Grapefruits			Grapefruits				
	DE child			Grapefruits			Grapefruits				
	DE child			Grapefruits			Grapefruits				
	DE child			Grapefruits			Grapefruits				
	DE child			Grapefruits			Grapefruits				
	DE child			Grapefruits			Grapefruits				
	DE child			Grapefruits			Grapefruits				
	DE child DE child			Grapefruits			Grapefruits Grapefruits				
	DE child DE child			Grapefruits Grapefruits			Grapefruits				
L.	DE GING			Grapoliulia			orapolituito				
clusion:		1		4			1				
	rm dietary intake (TMDI/NEDI/IEI										

IESTI calculations

Thiamethoxam

A	cute risk assessment /	children		Acute risk a	ssessment / adults / g	general pop	oulation	Ac	ute risk assessment /	/children		Acute ris	sk assessment / adults / g	eneral pop	ulation
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Conclusion: No exceedance of the toxicological reference value was identified for any unprocessed commodity. A short term intake of residues of Thiamelhoxam is unlikely to present a nublic health risk For processed commodities, no exceedance of the ARD/ADI was identified.

Clothianidin

		/children		Acute risk a	ssessment / adults / g	general popul	lation	Acı	ute risk assessment	/children		Acute ris	sk assessment / adults / g	jeneral pop	ulauon
Details -	acute risk assessme	ent /chil	dren	Details	- acute risk assess	ment/adults	s	Hi	de IESTI new calcu	ulations			Show IESTI new calcu	lations	
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Conclusion: No exceedance of the toxicological reference value was identified for any unprocessed commodity. A short term intake of residues of Clothianidin is unlikely to nesent a nublic health risk For processed commodities, no exceedance of the ARID/ADI was identified.

COMBINED RISK ASSESSMENTS

See estimates presented above.

2.5 Environmental Fate and Behaviour

Summary of situation regarding application for use in 2023 (please see orange text box below for update on monitoring during 2022 season)

No new data or information has been provided at this stage that would require assessment. Therefore the assessment presented below is identical to that presented in 2021 (for use in 2022).

Residue samples have been collected this year from around 6 sites receiving treatments with 'Cruiser SB' treated sugar beet. These are intended to provide data on levels of thiamethoxam and clothianidin in both edge of field and in field soils, and in edge of field vegetation and pollen samples. However only preliminary sample results (covering pre-application levels in edge of field soils and from the first post-application sampling point from soils within treated fields) were available at the time of preparation of this report. Due to the very limited nature of results available so far, these have not been presented further. HSE would also note that results from field monitoring are likely to require some careful interpretation due to the extreme weather conditions experienced at the sample sites (i.e. the combination of high temperature and extremely low rainfall potentially impacting environmental fate and transport processes in soil and vegetation). Subject to analysis, these data may provide useful supporting information on relative levels of exposure in crop and off crop areas to support the regulatory assessment below. HSE intends to evaluate these data as soon as they are made available.

Evaluation, Summary and Conclusion by The Health and Safety Executive.

Where the assessment below indicates that the risk is either acceptable or unacceptable, this conclusion is reached within the framework of the standard criteria for a commercial authorisation based on assessment to uniform principles. Article 53 allows a derogation from the standard criteria providing specific tests are met. Therefore, whilst (for example) reference to unacceptable risks in the assessment below may highlight the areas of greatest concern, this is not the test under Article 53 and does not necessarily reflect the conclusions for this emergency authorisation application. The discussion of the overall risks and benefits from the proposed use and assessment against the requirements of Article 53 is presented in "Section 3 Conclusion of Emergency Authorisation".

No new data or information has been provided that would require assessment, and the guidance and exposure models remain unchanged from the versions used in considering the Article 53 application for 'Cruiser SB' in 2020.

When this application was considered in 2020, ECP advised that HSEs assessments were based on a sowing rate that might be less than that typically used in commercial situations and so underestimated any potential risks. The HSE assessment was based on the standard assumption used for regulatory risk assessment for sugar beet drilled at 115,000 seed/ha. HSE accepts that drilling rates will be dependent on many factors, including the variety, row and seed spacings and expected germination rates. However, HSE efficacy specialists have reviewed the latest information in this area and consider that higher sowing rates are not necessarily representative of typical widescale commercial recommendations. Noting the previous ECP member concerns, HSE do not consider that there is enough evidence to change the standard drilling rate assumptions and have therefore retained the figure of 115,000 seeds/ha as being representative of a realistic worst-case appropriate for regulatory risk assessment. If authorised, a restriction limiting the maximum drilling rate to 115,000 seeds per hectare will be included on the authorisation.

Therefore, the previous assessment from 2020 remains largely unchanged and for completeness is reproduced below. Minor changes have been made to the soil exposure section, to reflect changes firstly to the restrictions on planting following flowering crops (proposed as 32 months from drilling sugar beet), and secondly to changes to restrictions on planting treated sugar beet seed in the same field (restricted to 46 months from the date of first sowing treated seed).

Since no use of 'Cruiser SB' treated seed occurred in 2020, the surface water monitoring information has not been updated at this time.

2020 Assessment (blue text has been added in 2021)

The previous assessment performed under COP 2018/01509 (also an Article 53) considered a GAP of 1 x 69 g a.s./ha, based on a seed treatment rate of 100ml per 100,000 seeds and a sugar beet drilling rate of 115,000 seeds/ha.

This rate resulted in an unacceptable risk to aquatic organisms, but an acceptable risk to soil and groundwater.

The current application proposes a reduction to 75% of the rate considered in 2018. Based on a seed treatment rate of 75ml per 100,000 seeds and identical drilling rate, the application rate considered here will be 51.75 g a.s./ha.

The following exposure assessment uses existing agreed endpoints and latest versions of guidance and exposure models. Where appropriate relevant exposure values from existing assessments will also be included.

A tiered approach to assessing risks to aquatic organisms is presented. A first-tier assessment uses an agreed Regulatory Acceptable Concentration (RAC) of 0.14 μ g/l for thiamethoxam. A higher tier assessment compares the same surface water exposure values against a thiamethoxam RAC of 5 μ g/l derived from a higher tier mesocosm study. Both RAC values consider effects against aquatic invertebrates. For further details on the derivation of RAC values refer to the ecotoxicology section.

centration 0.14 μ g/l for thiamethoxam which was the PNEC used in the 1st Watch List developed under the Water Framework Directive (WFD) in 2015^[1]. This watch list of substances (including neonicotinoids) was established by the EU but applies in the UK^[2]. The purpose of the watch list is to generate high-quality monitoring data for substances that may pose a significant risk to or via the aquatic environment, but for which monitoring data are presently insufficient to come to a conclusion on the actual risk posed. The intention is that, in the future, the data will support the risk assessments that underpin the identification of priority substances. Monitoring data has also been considered against an updated PNEC of 0.042 µg/l proposed by a review and recommendations for the 2nd Watch List under the WFD^{[3].}

^[1] the WFD's provisions still apply in the UK via:

The Water Environment (Water Framework Directive) (England and Wales) Regulations 2017 The Water Environment (Water Framework Directive) Regulations (Northern Ireland) 2017 Water Environment and Water Services (Scotland) Act 2003

^[2] <u>Commission Implementing Decision (EU) 2018/840 of 5 June 2018 establishing a watch list of substances for Union-wide monitoring in the field of water policy pursuant to Directive 2008/105/EC of the European Parliament and of the Council and repealing Commission Implementing Decision (EU) 2015/495 (notified under document C(2018) 3362) (legislation.gov.uk)</u>

^[1] JRC Technical Reports. Review of the 1st Watch List under the Water Framework Directive and recommendations for the 2nd Watch List. April 2018.

^[3] JRC Technical Reports. Review of the 1st Watch List under the Water Framework Directive and recommendations for the 2nd Watch List. April 2018.

Predicted environmental concentrations in soil (PECsoil)

The proposed use of 'Cruiser SB' is within that considered previously for active substance approval, and the 2018 Article 53 assessment which considered a higher application rate (69 g a.s./ha compared with 51.75g a.s./ha). An acceptable risk to soil organisms was identified and no further assessment is therefore required from a fate and behaviour perspective.

To assist in assessing the risk to bees foraging in following, flowering crops, predicted environmental concentrations at a range of intervals have been provided. These calculations use the longest field DT_{50} from the regulatory database which is 172 d (DT_{90} = 570 d).

Based on an application rate of 51.75 g a.s./ha, the initial PECsoil immediately after application of treated seed would be 0.069 mg/kg over 5cm.

Based on the longest field soil DT_{50} of 172 d and single first order kinetics, residues in soil after 13 months (395 d) would be predicted to be 0.014 mg/kg over 5cm. This concentration would be reduced to 0.0035 mg/kg over 20 cm. Calculating soil residues over a 20cm soil depth would be a reasonable assumption due to the natural disturbance of soil following harvest and lifting of mature beets. Residues for a 13-month interval are provided here to match the approximate planting interval in a succeeding crop study discussed in the ecotoxicology section.

The applicant has proposed a restriction of 32 months from planting sugar beet to growing a following, flowering crop (updated from the 22-month restriction considered in 2020). This restriction is intended to mitigate risks to bees foraging in flowering crops. Based on the longest field soil DT_{50} residues in soil after 32 months (973 d) would be predicted to be 0.0014 mg/kg over 5cm and 0.00035 mg/kg over 20 cm.

The applicant has also proposed a restriction of 46 months between planting a further crop of 'Cruiser SB' treated sugar beet. Based on the longest field soil DT_{50} residues in soil after 46 months (1400 d) would be predicted to be 0.0002 mg/kg over 5cm and 0.00005 mg/kg over 20 cm. These levels are so low compared to the initial PECsoil of 0.069 mg/kg following application (less than 1% based on residues over 20cm and 46 months after application) that accumulation in soil following repeated use can be excluded if this restriction is followed.

Further consideration of these levels of soil exposure is provided in the ecotoxicology section.

Predicted environmental concentrations in surface water (PECsw) (no change from 2020)

The most recent consideration of exposure levels of thiamethoxam from 'Cruiser SB' in 2018 indicated an unacceptable risk to aquatic organisms. Since the proposed use rate is 75% of the rate considered in 2018, a revised assessment considering the lower rate has been prepared.

As this is a seed treatment no consideration of spray drift has been made. The formulation is applied to pelleted seed that is treated with a film coating, therefore the levels of dust generated at the point of application are minimal and no consideration of dust drift is required. The main route of surface water exposure is via drainflow and this has been assessed using the standard MACRO modelling approach and following published guidance.

The MACRO model simulates exposure arising from a single use pattern (i.e. single crop, ap-

plication timing and application rate) across a range of soil-climate scenarios that are representative of the conditions vulnerable to pesticide losses via drainflow across the UK agricultural landscape. The standard regulatory soil scenarios representative of sugar beet growing areas are Hanslope, Brockhurst and Clifton in dry, medium and wet climate scenarios.

The results from all soil-climate scenarios relevant to the crop are considered, with peak annual PECsw values from 30 years of model simulation data compared against the Regulatory Acceptable Concentration (RAC). The number of years where the RAC is exceeded is determined. The probability of exceeding the RAC can be weighted spatially based on the proportion of crop associated with each scenario to give an overall exceedance value. This calculation accounts for areas of the crop which are not drained or are not vulnerable to drainflow losses (for example peaty soils) as well as drained areas where no exceedances occurred. The individual number of exceedances for each soil-crop scenario is reported for comparison against regulatory triggers. The overall spatially weighted exceedance level must be less than 10%, consistent with a 90th percentile exposure assessment goal.

An application rate of 51.75 g thiamethoxam/ha has been considered with an earliest sowing date of 1st March and latest sowing date of 1st April being considered in separate assessments. The agreed substance endpoints for modelling thiamethoxam were as follows: $DT_{50} = 37 d$ (normalised to 20°C and pF2), $K_{foc} = 69.5 ml/g$, 1/n = 0.88. The output results are compared to the agreed thiamethoxam RAC of 0.14 µg/l which is based on effects on aquatic invertebrates in a first-tier assessment. A higher tier assessment compares the same surface water exposure values against a higher tier thiamethoxam RAC of 5 µg/L derived from a mesocosm. For further details on the derivation of RAC value refer to the ecotoxicology section.

PECsw via drainflow for March 1st applications against first tier thiamethoxam RAC

Table 1: Number of exceedance years following application of 51.75 g a.s./ha on 1^{st} March. These are the years when the largest concentration is greater than the first tier RAC of 0.14 µg/l on at least one day for each scenario. Total years modelled = 30; values in parentheses are percentages of exceedance years. In the standard HSE MACRO model very wet climate scenarios (>850 mm rainfall) are not modelled. Results from the wet scenarios are used as a surrogate for results from these very wet scenarios.

Soil	Dry (<625 mm per annum)	Medium (625-750 mm per annum)	Wet (750 850 mm per annum)	Very wet (> 850 mm per annum)
Hanslope	18/30 (60.0)	18/30 (60.0)	25/30 (83.3)	25/30 (83.3)
Brockhurst	7/30 (23.3)	12/30 (40.0)	18/30 (60.0)	18/30 (60.0)
Clifton	0/30 (0)	4/30 (13.3)	2/30 (6.7)	2/30 (6.7)

Information on the extent of crop likely to be grown in each soil and climate scenario is used to weight the individual percentage of exceedance years. Based on this weighting procedure, overall levels of exceedance are calculated as follows: -

RAC exceeded = 10.26%

In considering the overall acceptability of the assessment, the number of exceedance years for each scenario should be considered, alongside a consideration of the overall level of weighted scenario years exceedances. When the RAC is based on effects on fish or aquatic invertebrates (as in the case for thiamethoxam) there is a lower limit threshold value for the number of exceedance years for each scenario. The risk is considered acceptable if there are no more than 3 years out of 30 exceeding the RAC. If the exceedance years are above this level, it may still be possible to show an acceptable risk based on a more detailed case-by-case assessment. But in this case for applications from 1st March the maximum number of exceedance years is 25/30 (Hanslope wet scenario). This level of exceedance is so high (even above the absolute upper limit of 18/30 years that would be acceptable when the RAC is based on effects on aquatic plants and algae) that in this case no detailed further assessment would be able to demonstrate an acceptable risk when the RAC is based on effects on aquatic invertebrates. In addition, the overall level of weighted scenario years considering the extent of sugar beet grown on each scenario indicates that an unacceptable risk occurs in more than 10% of the cropping area (10.26%). Since this is above the threshold value of 10% and the total acceptable area is less than 90% (89.74%) an acceptable risk has not been demonstrated on the basis of the first-tier RAC.

PECsw via drainflow for April 1st applications against first-tier thiamethoxam RAC

Table 2: Number of exceedance years following application of 51.75 g a.s./ha on 1^{st} April. These are the years when the largest concentration is greater than the RAC of 0.14 µg/l on at least one day for each scenario. Total years modelled = 30; values in parentheses are percentages of exceedance years. In the standard HSE MACRO model very wet climate scenarios (>850 mm rainfall) are not modelled. Results from the wet scenarios are used as a surrogate for results from these very wet scenarios.

Soil	Dry (<625 mm per annum)	Medium (625-750 mm per annum)	Wet (750 850 mm per annum)	Very wet (> 850 mm per annum)
Hanslope	18/30 (60.0)	14/30 (46.6)	22/30 (73.3)	22/30 (73.3)
Brockhurst	7/30 (23.3)	3/30 (10.0)	10/30 (33.3)	10/30 (33.3)
Clifton	0/30 (0)	0/30 (0)	2/30 (6.7)	2/30 (6.7)

Based on the scenario weighting procedure, overall levels of exceedance are calculated as follows: -

Applications from the 1st April show marginally lower levels of exceedance – both in terms of individual scenarios, where the maximum number of exceedances was 22 out of 30 years (Hanslope wet), and for the overall weighted scenario years where the RAC was estimated to be exceeded in 7.98% of the cropping area. Although the weighted scenario years exceedance level was within the acceptable threshold level of 10% and thus the acceptable area was greater than 90% (92.02%), the number of exceedances within an individual scenario was still above acceptable thresholds. Overall, although the risks were lower for the April application, an acceptable risk has not been demonstrated on the basis of the first-tier RAC.

Due to the level of exceedances from the estimated exposure from the proposed use of thiamethoxam alone using the first-tier RAC, no further consideration has been made of the additional contribution to the overall risk posed by the major soil metabolite CGA 322704 (clothianidin), which may also be subject to drainflow losses.

Table 3: Number of exceedance years following application of 51.75 g a.s./ha on 1^{st} March. These are the years when the largest concentration is greater than the higher tier RAC of 5 µg/l on at least one day for each scenario. Total years modelled = 30; values in parentheses are percentages of exceedance years. In the standard HSE MACRO model very wet climate scenarios (>850 mm rainfall) are not modelled. Results from the wet scenarios are used as a surrogate for results from these very wet scenarios.

Soil	Dry (<625 mm per annum)	Medium (625-750 mm per annum)	Wet (750 850 mm per annum)	Very wet (> 850 mm per annum)
Hanslope	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)
Brockhurst	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)
Clifton	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)

Information on the extent of crop likely to be grown in each soil and climate scenario is used to weight the results from individual scenarios. Based on this weighting procedure, overall results

RAC exceeded = 0%

With the higher tier thiamethoxam RAC of 5 μ g/l there are zero exceedances. The maximum predicted concentration was 2.799 μ g/l for the Hanslope medium scenario.

Using the first-tier RAC an acceptable risk could not be demonstrated based on thiamethoxam levels alone and therefore no further consideration was made of the additional contribution to the overall risk posed by the major soil metabolite CGA 322704 (clothianidin). Since the higher tier RAC removes concerns over thiamethoxam, further consideration of the contribution from clothianidin is required.

Additional modelling was conducted to simulate the formation of clothianidin from the thiamethoxam seed treatment application. The agreed substance endpoints for modelling clothianidin were as follows: $DT_{50} = 120.1 \text{ d}$ (normalised to 20°C and pF2), $K_{foc} = 160 \text{ ml/g}$, 1/n = 0.83 and molar formation fraction of 0.3 (corrected to 0.257 to reflect a mass fraction value for use in the MACRO model). The output results are compared to an agreed clothianidin RAC of 0.493 µg/L which is based on effects on aquatic invertebrates in a first-tier assessment.

Table 4: Number of exceedance years following application of 51.75 g a.s./ha on 1^{st} March. These are the years when the largest clothianidin concentration is greater than the RAC of 0.493 µg/l on at least one day for each scenario. Total years modelled = 30; values in parentheses are percentages of exceedance years. In the standard HSE MACRO model very wet climate scenarios (>850 mm rainfall) are not modelled. Results from the wet scenarios are used as a surrogate for results from these very wet scenarios.

Soil	Dry (<625 mm per annum)	Medium (625-750 mm per annum)	Wet (750 850 mm per annum)	Very wet (> 850 mm per annum)
Hanslope	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)
Brockhurst	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)
Clifton	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)

Information on the extent of crop likely to be grown in each soil and climate scenario is used to weight the results from individual scenarios. Based on this weighting procedure, overall results are as follows: -

RAC exceeded	=	0%
Undrained	=	51.01%
Drained but 'safe'	=	48.99%
Total 'safe'	=	100%
Total	=	100%

Considering clothianidin with a RAC of 0.493 μ g/l there are zero exceedances. The maximum predicted concentration was 0.118 μ g/l for the Hanslope dry scenario.

For completeness HSE considered the potential combined exposure arising from residues of both thiamethoxam and clothianidin based on annual peak daily concentrations. In this case there were no exceedances considering thiamethoxam and clothianidin individually, and no exceedances considering combined residues. An acceptable risk has been demonstrated for March applications utilising the higher tier RAC for thiamethoxam.

The modelling and risk assessment exercise was repeated for the April 1st applications and confirmed the results from the March timing, that is no exceedances individually or combined. Therefore an acceptable risk has also been demonstrated for applications for both March and April application timings using the higher tier RAC for thiamethoxam.

Clothianidin formation from thiamethoxam seed treatments

In the applicant's submission they provided further information on the potential for clothianidin formation from thiamethoxam seed treatments. The applicant's brief case is provided in full below.

Formation of clothianidin: The degradation of thiamethoxam in the field in a range of European soils is considered by Hilton et al $(2019)^1$. There was no clear difference in the rate of degradation of thiamethoxam following use as a seed treatment in the field $(DT_{50} \ 16.5 \ days)$ as against use as a spray application $(DT_{50} \ 18.3 \ days)$. However, the formation of the metabolite clothianidin was far lower in seed treatments (3.4% mol/mol) compared to spray applications (17.4% mol/mol). Therefore, the movement of clothianidin to surface water is likely to be far lower following use of seed treatments than spray applications. As shown in Hilton et al (2019) degradation does not vary across soil types and thiamethoxam is not converted to clothianidin in surface water (Pickford et al 2018)².

In the limited time available, HSE have briefly reviewed the published study referenced above and concluded that the work appeared to be well conducted and followed standard regulatory study guidelines for the conduct of both laboratory and field dissipation studies. In side-by-side trials at 4 field locations clothianidin formation was observed to be much lower when thiamethoxam was applied as a seed treatment compared to formation from a spray application. The authors speculated that this difference was due to the areas of soil being exposed being variable depending on application method. Following spray application soil exposure is principally

¹ Hilton, M.J., Emburey, S.N., Edwards, P.A., Dougan, C. and Ricketts, D.C. (2019), The route and rate of thiamethoxam soil degradation in laboratory and outdoor incubated tests, and field studies following seed treatments or spray application. Pest. Manag. Sci., 75: 63-78. doi:<u>10.1002/ps.5168</u>

² Hilton, M.J., Emburey, S.N., Edwards, P.A., Dougan, C. and Ricketts, D.C. (2019), The route and rate of thiamethoxam soil degradation in laboratory and outdoor incubated tests, and field studies following seed treatments or spray application. Pest. Manag. Sci., 75: 63-78. doi:10.1002/ps.5168

² Pickford, D.B., Finnegan, M.C., Baxter, L.R., Böhmer, W., Hanson, M.L., Stegger, P., Hommen, U., Hoekstra, P.F. and Hamer, M. (2018), Response of the mayfly (*Cloeon dipterum*) to chronic exposure to thiamethoxam in outdoor mesocosms. Environ Toxicol Chem, 37: 1040-1050. doi:10.1002/etc.4028

expected in the upper layers of bulk soil. In contrast for seed treatment applications, following initial transport of residues from the seed surface to the surrounding soil, it is the soil immediately around the treated seed and roots of the growing plant (rhizosphere) that would be initially exposed to the highest concentrations. The authors suggested that the narrow area of soil around plant roots is chemically and biologically different to the remaining bulk soil, due to secretions from the roots, sloughed off root cells and subsequent colonisation by micro-organisms. Therefore, bacterial communities in the rhizosphere form a subset of the total bacteria community present in bulk soils, and hence, a rhizosphere effect can be observed on the microbial community. The authors conclude that thiamethoxam applied as a seed treatment may be subjected to different degradation processes when compared to spray applied thiamethoxam, resulting in the lower levels of clothianidin formation. In addition to the potential effect of differing microbial communities, the HSE evaluator considered that a further effect may be introduced by greater plant uptake from seed treatments compared to bare soil spray applications. Although overall dissipation rates may be similar in trials conducted with both application methods, greater dissipation via plant uptake from seed treatment applications which removes thiamethoxam from the soil may also contribute to the lower levels of clothianidin formation in the field.

Although the trial appeared well conducted, the HSE evaluator noted that the field trial used treated maize seeds. If the principle cause of the lower levels of clothianidin formation was a specific localised rhizosphere effect, then the fact that the study has only investigated the impact around maize seeds adds a degree of uncertainty to the relevance of the findings to behaviour in the immediate vicinity of pelleted sugar beet seeds. In addition, as part of the thiamethoxam data considered during active substance approval, clothianidin formation fractions were derived from a mix of field trials involving both spray applications and seed treatments. No difference in clothianidin formation fraction was observed and the agreed formation fraction was therefore taken as a mean value from all trials, irrespective of method of application. Therefore, the agreed clothianidin formation fraction endpoint already includes some consideration of the formation from seed treatments (noted that at active substance level cereal seed treatment were typically used in the studies supporting approval).

Overall the study referenced by the applicant appears well conducted and the explanations for the lower levels of clothianidin formation seem plausible. However, when assessing the risks to surface water using the agreed first-tier RAC for thiamethoxam alone, this resulted in an unacceptable risk assessment. Refinement of the clothianidin formation fraction would not alter the regulatory conclusion of the first-tier assessment. In addition, considering the higher tier RAC of thiamethoxam and agreed endpoints for clothianidin (including a formation fraction of 0.3) no exceedances were calculated for either compound individually or in combination. Refinement of the clothianidin formation fraction would therefore not alter the regulatory conclusion at the higher tier.

Applicant FOCUS surface water modelling

The applicant's 2020 submission also included a brief summary of exposure modelling. However, the summary referenced results from previous FOCUS surface water modelling, a model that is not used to support UK authorisations. The maximum PECsw value of 0.486 μ g/l was above the first tier RAC of 0.14 μ g/l for an application pattern comparable to that proposed here (sugar beet seed treatment was modelled at 58.5 g a.s./ha in FOCUSsw). However, this concentration was below the higher tier RAC of 5 μ g/l. The applicant's submission also referenced the use of vegetative buffer strips. However, this is a form of risk mitigation not yet adopted in the UK, and since this form of mitigation may principally reduce risks from runoff events, the relevance to the drainflow route of exposure is limited. The implementation of a 10-12 m vegetative buffer strip did not reduce exposure values below the first tier RAC (maximum PECsw value of 0.222 μ g/l in runoff scenarios according to FOCUS surface water). For completeness the applicant's text has been provided below in full. **Exposure:** Sugar beet is primary grown in a one in 3-year cropping cycle on undrained and peaty soils in the UK. FOCUS Tier 3 modelling (Ford 2016)³ showed a maximum PEC_{sw} of 0.486 µg thiamethoxam /L and 0.002 µg clothianidin /L occurred following run-off events with use of thiamethoxam as a sugar beet treatment (58.5 g ai/ha). This value is below the insect EC50 SSD HC5 of 1.3 µg a.s./L. Maximum time-weighted average (TWA) PEC_{sw} values (Tier II Step 3) were 0.039 µg thiamethoxam /L over 7 days

(\leq 0.001 µg clothianidin /L) which is well below the NOEC of 0.3 µg thiamethoxam /L from 35 days continuous exposure (Pickford et al 2018). However, run-off events can also be mitigated by the presence of vegetative buffer strips with significant reduction in the mass of pesticide transported in both the aqueous phase and sediment phase. Use of a 10-12m vegetative buffer strip in FOCUS Step 4 modelling using the ECPA SWAN tool⁴ resulted in a maximum PEC_{sw} of 0.222 µg thiamethoxam /L and 0.001 µg clothianidin /L.

Environment Agency surface water monitoring

The final part of the applicant's submission in 2020 included a brief summary of Environment Agency monitoring data from 2016. The HSE evaluator noted that in each of the reported metrics used to describe the monitoring data, concentrations above the first tier RAC of 0.14 μ g/l but below the higher tier RAC of 5 μ g/l were reported. For example, the maximum reported concentration was 0.77 μ g/l, the 95th percentile daily concentration was 0.30 μ g/l and the maximum mean residue over a 1-month period was 0.25 μ g/l. The first tier RAC of 0.14 μ g/l is consistent with the PNEC used in the 1st Watch List developed under the Water Framework Directive (WFD) in 2015. An updated PNEC of 0.042 μ g/l has been proposed by a review and recommendations for the 2nd Watch List under the WFD and since this is lower than the value used in the 1st Watch List, each of the reported metrics would also exceed this updated PNEC.

The most detailed information was provided for the River Waveney Catchment Sensitive Farming site (see applicant's Figure 1 below – noting that the effect concentrations plotted on this figure do not correspond to the agreed PNEC of 0.14 μ g/l from the 1st Watch List or the updated PNEC of 0.042 μ g/l recommended for the 2nd Watch List).

Data from the River Waveney site has been subject to more in depth analysis by HSE in the past, supported by detailed contextual analysis by the Environment Agency, and this was all presented to ECP 20 in March 2018 (see ECP 20 papers ECP 3-12, 3-13 and 3-14 for details). In data presented by the Environment Agency, the maximum thiamethoxam concentration in the River Waveney in 2016 was 1.8 μ g/l (higher than the value of 0.77 μ g/l reported by the applicant). The peak levels were detected in June 2016 and the Environment Agency analysis attributed these levels to run-off after a prolonged period of exceptionally heavy rain (a 1 in 30-year rainfall period). Samples from the River Waveney were taken at the bottom of this large, 863 km² catchment. The Environment Agency contextual analysis revealed that the principal uses of thiamethoxam during the 2016 sampling period were on beet crops and potatoes which represented less than 4% of arable cropping across the catchment. Noting the relatively low level of usage of thiamethoxam across the catchment and that sampling was taken from the bottom of the catchment, concentrations in small ditches adjacent to treated fields during drain-flow events would be expected to be higher. Concentrations above either of the WFD PNEC

³ Thiamethoxam - A FOCUS Surface Water Exposure Assessment at Step 3 for Parent and Metabolite CGA322704 Following Seed Treatment Applications. SYN/28/08-SW08

⁴ Ford S (2016e) Thiamethoxam - A FOCUS Surface Water Exposure Assessment at Step 4 for Parent and Metabolite CGA322704 Following Seed Treatment Applications to Sugar Beet. SYN/28/08-SW13

values (0.14 or 0.042 μ g/l) may be expected to occur at the edge of field scale (as demonstrated by the outputs of the regulatory modelling) and at the larger catchment scale as demonstrated by the monitoring data. For completeness the applicant's summary of monitoring data is provided below.

Surface water monitoring data: A weight of evidence can also be provided by investigating UK surface water monitoring data. According to the Watchlist 1 data (2016) collected by the Environment Agency from 16 rivers in England under the WFD⁵, based on 116 analyses when thiamethoxam was detected above the LOD (0.001 µg/L), the 95th percentile of environmental concentrations in samples with detects was 0.16 µg/L. For the River Waveney, which had the highest number of detects in any of the sampled rivers within typical sugar beet growing areas, the thiamethoxam residue was above the ETO RAC_{sw.ch} in one sample (0.77 µg/L) collected over the course of the 10-month sampling period. However, the 95th percentile reported daily residue was 0.3 µg/L and the maximum mean residue over a 1-month period was 0.25 µg/L. As Figure 1 demonstrates these monitoring residues indicate that populations of C. dipterum and similarly sensitive aquatic insects are unlikely to be significantly impacted by thiamethoxam exposure in natural systems represented by the conditions in the Pickford et al 2019 study (35-day continuous exposure NOEC 0.3 µg/L).

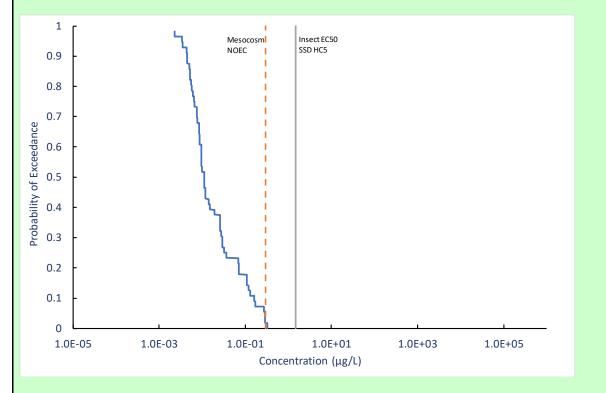


Figure 1 Distribution curve for thiamethoxam detection in daily samples collected from the River Waveney (Watchlist 1 data) compared with the mesocosm NOEC from continuous thiamethoxam exposure (Pickford et al. (2018) and the insect EC50 SSD HC5 from Finneghan et al (2017) (note these effect concentrations do not correspond to the agreed WFD PNEC of 0.14 μ g/l or proposed PNEC of 0.042 μ g/l)

⁵ https://www.eionet.europa.eu/ accessed Jan 2018 (excel spreadsheet data available on request)

EVALUATION, SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY

Name of authority: Health and Safety Executive.

Environment Agency surface water monitoring (Update to December 2022 ECP)

Where the assessment below indicates that the risk is either acceptable or unacceptable, this conclusion is reached within the framework of the standard criteria for a commercial authorisation based on assessment to uniform principles. Article 53 allows a derogation from the standard criteria providing specific tests are met. Therefore, whilst (for example) reference to unacceptable risks in the assessment below may highlight the areas of greatest concern, this is not the test under Article 53 and does not necessarily reflect the conclusions for this emergency authorisation application. The discussion of the overall risks and benefits from the proposed use and assessment against the requirements of Article 53 is presented in "Section 3 Conclusion of Emergency Authorisation".

The 2020 application for emergency use of 'Cruiser SB' included a brief summary of Environment Agency surface water monitoring data for thiamethoxam from 2016. The most detailed information in terms of frequency of sampling and detections came from Catchment Sensitive Farming (CSF) sites (samples taken twice weekly). Data from the River Waveney CSF site for both thiamethoxam and clothianidin had already been subject to more in depth analysis by HSE and was presented to ECP 20 in March 2018 (see ECP 20 papers ECP 3-12, 3-13 and 3-14 for details).

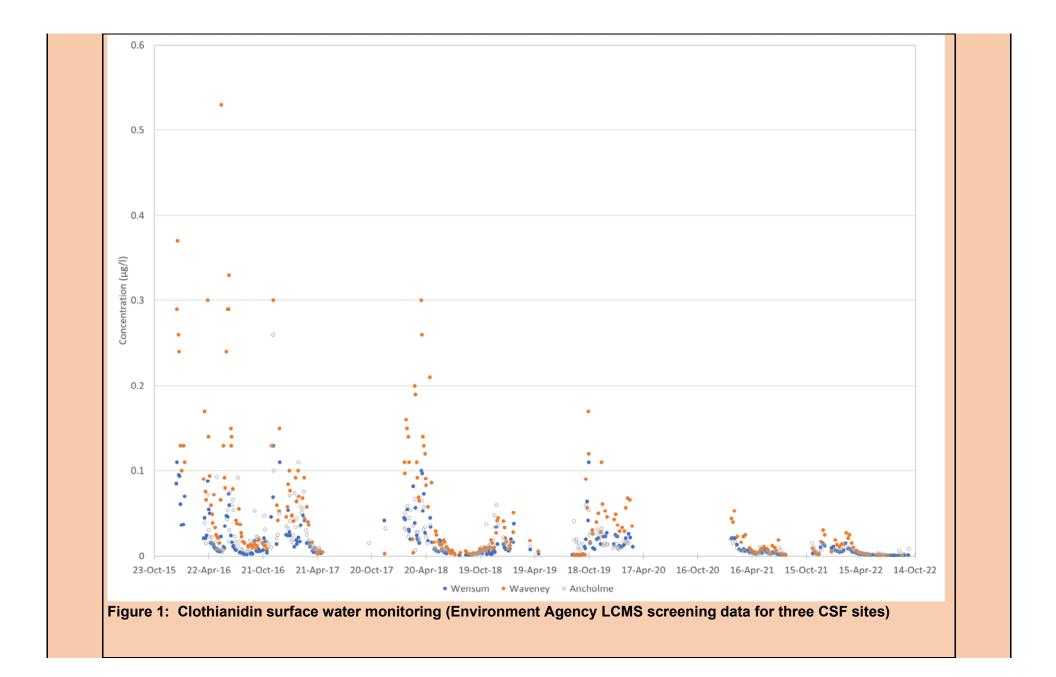
The previous HSE assessment highlighted the key difference in the spatial scale of Environment Agency monitoring versus regulatory exposure modelling. Monitoring is typically based on river samples taken from the outlets of large agricultural catchments whilst regulatory modelling is based on predicted concentrations in small ditches at the edge of treated fields. Monitoring therefore represents an average concentration across the catchment, reflecting inputs from a mix of soil and crop uses as well as dilution as a result of water inputs from untreated and/or non-agricultural land. This means that monitoring data cannot be directly compared to the outputs of the standard regulatory assessment.

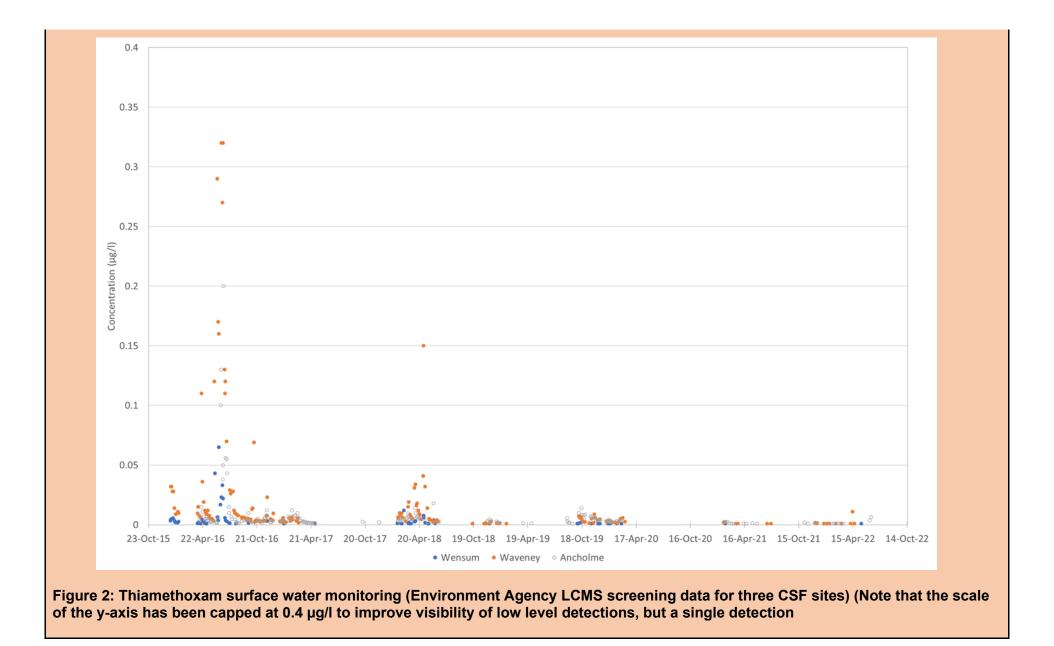
In order to provide an updated assessment, the Environment Agency provided the latest summary monitoring data available (provided to HSE at the end of October 2022). These data provided results for thiamethoxam and clothianidin up to a latest sampling date of 23rd September 2022. HSE has extracted results for the 3 CSF sites that have been shown to result in the highest number and concentration of detections of both thiamethoxam and clothianidin. The relevant sites were the Rivers Waveney, Wensum and Ancholme.

Monitoring data is presented below in Figure 1 for clothianidin and Figure 2 for thiamethoxam with data from 2016 to 2022 to illustrate longer term trends. Note that intensive monitoring of neonicotinoids started in 2016 and that fewer samples were taken in 2020 and 2021 due to COVID-19 restrictions.

In general clothianidin was detected at higher concentrations more frequently than thiamethoxam over this period. For both substances the data shows a trend for reducing concentrations year on year since 2016. This is as expected since concentrations being detected earlier in this period reflected a much wider range of use patterns authorised at that time, including uses on major crops such as cereals (clothianidin) and potatoes (thiamethoxam). Concentrations in 2022 are in general the lowest recorded, reflecting the much more limited and controlled use of thiamethoxam on sugar beet only. There were no detections of thiamethoxam above the Water Framework Directive PNEC of 0.042 µg/l at these sites. Note that for 2022, data is only available for samples taken up to 23rd September and there is always the potential for higher concentrations to occur as a result of the onset of winter drainflow periods. HSE considers it would be sensible to review data again in spring 2023 when a full years' worth of data is available to confirm the current trends.

Since the current standard regulatory assessment for 'Cruiser SB' demonstrated an acceptable risk to aquatic organisms from combined thiamethoxam and clothianidin exposure, the updated monitoring data does not alter the regulatory decision. However it is reassuring that based on data available so far for 2022, concentrations are low and reflect a trend for decreasing levels over time.





Groundwater exposure – PECgw

The proposed use of 'Cruiser SB' is within that considered previously for active substance approval, and the 2018 Article 53 assessment which considered a higher application rate (69 g a.s./ha compared with 51.75g a.s./ha). An acceptable risk to groundwater resources was identified as part of the previous assessments and no further assessment is required.

RECOMMENDATIONS

For soil and groundwater, an acceptable risk can be concluded for the proposed use of 'Cruiser SB' on sugar beet, based on reference to assessments supporting substance approval and the considerations of the original Article 53 application in 2018 under Cop no. 201801509. Soil exposure values at 13, 32- and 46-month intervals have been calculated to assist consideration of risks to bees foraging in future flowering crops. The proposed 46-month restriction between planting a further crop of 'Cruiser SB' treated seed is sufficient to exclude the risk of significant accumulation of thiamethoxam residues in soil following repeated use.

For surface water an acceptable risk has not been demonstrated using the first tier RAC for thiamethoxam. For early uses from March 1st, both the level of exceedance within individual scenarios (maximum of 25 out of 30) and the overall weighted level of exceedance (10.26%) is outside levels that would be considered acceptable. For applications from 1st April, although the weighted scenario years exceedance level was within the acceptable threshold level of 10% (7.98%) and thus the acceptable area was greater than 90% (92.02%), the number of exceedances within an individual scenario was still above acceptable thresholds (22 out of 30 years).

Acceptable risks to surface water were demonstrated using a higher tier RAC for thiamethoxam of 5 μ g/l. The assessment did not identify any exceedances of the RAC based on individual

methoxam. Acceptable risks were shown for both early (March) and late (April) application timings.

A brief review of Environment Agency surface monitoring data for England from 2016 showed that concentrations were being detected above the Water Framework Directive 1st Watch List PNEC of 0.14 μ g/l, as well as the revised PNEC of 0.042 μ g/l proposed under the 2nd Watch List. Therefore, although the standard regulatory risk assessment under Regulation 1107/2009 demonstrates an acceptable risk based on higher tier effects endpoints for thiamethoxam, use of the product may be expected to result in thiamethoxam surface water concentrations above

EVALUATION, SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY Name of authority Health and Safety Executive.

Monitoring data on soil, vegetation and pollen residues - Update to December 2022 ECP

The 2021 'Cruiser SB' neonicotinoid stewardship document included a requirement for a monitoring programme in treated sugar beet fields to determine any thiamethoxam residues in soils and plants. Interim monitoring data from the stewardship programme (extended by the Defra funded programme which included analysis of clothianidin and additional pollen sampling) was provided to HSE. Data was available from 6 sites receiving 'Cruiser SB' (containing 600 g/l thiamethoxam) treatments in spring 2022.

Soil data were available for 2 sampling times – pre-drilling (control) samples collected in March or April, and samples taken within the sugar beet growing season at full 'growth stage' in early August (one site sampled end of June). Data were collected from in-field and field edge areas

at each sample time. The final soil data set will include an additional post-harvest sample but these data were not available in the interim dataset (sampling being dependent on actual sugar beet harvest dates).

Vegetation and pollen data (from field edge) were available from full growth and pre-harvest sample periods (early August and mid-September respectively).

All available samples were analysed for thiamethoxam and clothianidin and results provided as a series of MS Excel sheets presenting individual replicate soil samples (n=3) and consolidated data tables (reporting the average of replicate samples).

The monitoring programme is ongoing and the full experimental report is not expected until 2023. Therefore HSE did not have access to any of the standard information that would normally accompany such work (e.g. drilling rates and dates, full details of analytical method and validation, sampling strategy and storage conditions, detailed site descriptions including any soil and climate data, previous pesticide use history etc). In the absence of the full report, there is a limit on the level of independent evaluation and assessment that can be provided by HSE. In addition, due to the interim nature of the results, HSE would urge caution in overinterpreting results at this stage. HSE will conduct a full evaluation upon submission of the final report in 2023.

With regards to the analytical method used, although full details were not available, the method was described by the study author (pers. comm.) as being a validated GLP analytical method for all 3 matrices (soil, vegetation and pollen). The Limit of Quantification (LOQ) was reported to be 0.01 mg/kg and the Limit of Detection (LOD) was reported to be 0.003 mg/kg. The majority of soil samples, all vegetation and all but one pollen sample returned values of < LOD. All sites had some soil samples with residues >LOD but < LOQ, and 4 out of 6 sites had soil samples with residues > LOQ.

It is important to note that a LOQ of 0.01 mg/kg over a 30cm soil horizon (the same depth of soil layer sampled in the full growth time point) and assuming a soil bulk density of 1.5 g/cm3 is equivalent to a soil loading of 45 g thiamethoxam (or clothianidin)/ha. Using the same assumptions, the LOD of 0.003 mg/kg is equivalent to a soil loading of 13.5 g thiamethoxam (or clothianidin)/ha. The Article 53 emergency use for 'Cruiser SB' should result in a maximum application rate of 51.75 g thiamethoxam/ha. Since the first post-application samples were not taken until the full growth stage (generally around 5 months after drilling) HSE would not have expected there to be significant findings of soil residues of thiamethoxam or clothianidin above the LOQ (equivalent to 45 g/ha).

Although the interim analytical phase report did include numerical concentration values for peaks <LOQ but >LOD, these are by their nature not strictly quantifiable and any concentration value reported between the LOQ and LOD should be treated with caution. However, if values are only reported as 'less than LOQ', this would render the soil monitoring part of the study largely meaningless. In the consolidated results tables below HSE has therefore chosen to report the actual number (based on average measured residue) associated with soil residues between the LOD and LOQ and the results tables report when this is the case. As stated above, these values should be treated with caution, and the analytical method should be further developed and validated with a lower LOQ to enable use of reported values.

Soil residues in the field edge samples were <LOD in 4 out of 6 sites at both the pre-drilling and

full growth stage sample times. As stated above the LOD is equivalent to 13.5 g/ha. This is approximately 25% of the expected maximum applicate rate for 'Cruiser SB' this year (51.75 g thiamethoxam/ha). Therefore, in the majority of field edge samples, it can be concluded that residues there were less than 25% of the maximum initial in-field rate. Significant migration into field margins following application of a pelleted seed treatment would not be expected. Therefore the sensitivity of the methodology used has limited the usefulness of this part of the monitoring program when put into the context of the emergency in-field use.

Residues in vegetation or pollen were also below the LOD (0.003 mg/kg or 3 μ g/kg for each substance) in all samples except a single detection of thiamethoxam in the pollen taken from the Holbeach site at 4.88 μ g/kg. To put this limit into context, Pilling et al (2013) reported the following findings as part of a four-year program investigating long-term effects of repeat exposure of honey bee colonies in flowering crops treated with thiamethoxam:-

Median residues of thiamethoxam in pollen collected from honey bees after foraging on flowering seed treated maize were found to be between 1 and 7 μ g/kg, median residues of the metabolite CGA322704 (clothianidin) in the pollen were between 1 and 4 μ g/kg. In oilseed rape, median residues of thiamethoxam found in pollen collected from bees were between <1 and 3.5 μ g/kg. Median residues of CGA322704 in pollen in the oilseed rape trials were all below the limit of quantification (1 μ g/kg).

Again although it is good that positive detections above the LOD were not found in most of the edge of field vegetation or pollen samples, all that can be concluded is that residues here are less than levels previously found in pollen of directly treated crops. Since significant migration of residues into field margins would not be expected, the vegetation and pollen findings below LOD are again as expected, and this also adds little to our understanding of this exposure route via field margin flowering plants.

Noting the points above, the available interim data is summarised in Tables 1 to 6 for each site below. Potentially notable findings in the soil monitoring are briefly highlighted for each site where relevant below each table.

Table 1: Interim monitoring data for Site 1: Bilsthorpe (sandy soil)							
Sample Matrix	Sampling occasion	Mean thiamethoxam concentration (mg/kg)	Equivalent thiamethox- am soil loading (g/ha) ^a	Mean clothianidin concentration (mg/kg)	Equivalent clothi- anidin soil loading (g/ha) ª		
Soil in-field 0-20cm	Pre-drilling	< LOD		>LOD <loq (0.0033)<="" td=""><td>9.9</td></loq>	9.9		
Soil in-field 20-40cm	Pre-drilling	< LOD		< LOD			
Soil field-edge 0-20cm	Pre-drilling	< LOD		< LOD			
Soil field edge 20- 40cm	Pre-drilling	< LOD		< LOD			
Soil in-field 0-30cm	Full growth	>LOD <loq (0.0050)<="" td=""><td>22.5</td><td>>LOD<loq (0.0051)<="" td=""><td>23.0</td></loq></td></loq>	22.5	>LOD <loq (0.0051)<="" td=""><td>23.0</td></loq>	23.0		
Soil field-edge 0-30cm	Full growth	< LOD		< LOD			
Vegetation	Full growth	< LOD		< LOD			
Pollen	Full growth	< LOD		< LOD			
Vegetation	Pre-harvest	< LOD		< LOD			
Pollen	Pre-harvest	< LOD		< LOD			
Soil in-field 0-30cm	Post- harvest	to be analysed		to be analysed			
Soil field-edge 0-30cm	Post- harvest	to be analysed		to be analysed			

^acalculated using a soil specific bulk density of 1.503 g/cm³ (where rate in g/ha = conc. mg/kg * 100 * depth (cm) * bulk density (g/cm³))

Residues of thiamethoxam in the pre-drilling (control) soil samples were less than the LOD in both in-field and edge of field samples. The mean measured concentration of clothianidin pre-drilling in-field was just above the LOD (equivalent to a soil loading of 9.9 g/ha). Since this value is between the LOD and LOQ it should be interpreted with caution.

Thiamethoxam soil residues at the full growth stage (sampled on 8/8/22 approximately 5 months after the pre-drilling samples were collected) were also between LOD and LOQ in-field, equivalent to a soil loading of 22.5 g thiamethoxam/ha. Similar levels of the major metabolite clothianidin were also seen at this time point. Assuming an application rate of 51.75 g thiamethoxam/ha, residues equivalent to 22.5 g/ha 5 months later is indicative of a DT₅₀ of slightly less than 150 d. In comparison, a worst case soil DT₅₀ of 172 d was used in the HSE regulatory risk assessment for soil. Further information on exact drilling rates and dates, plus data from post-harvest samples, would likely provide useful further context at this site.

Sample Matrix	Sampling occasion	Mean thiamethoxam concentration (mg/kg)	Equivalent thiamethox- am soil loading (g/ha) ^a	Mean clothianidin concentration (mg/kg)	Equivalent clothi- anidin soil loading (g/ha) ª
Soil in-field 0-20cm	Pre-drilling	< LOD		>LOD <loq (0.0034)<="" td=""><td>10.7</td></loq>	10.7
Soil in-field 20-40cm	Pre-drilling	< LOD		< LOD	
Soil field-edge 0-20cm	Pre-drilling	< LOD		< LOD	
Soil field edge 20- 40cm	Pre-drilling	< LOD		< LOD	
Soil in-field 0-20cm	Full growth	< LOD		>LOD <loq (0.0032)<="" td=""><td>26.2 (sum of layers)</td></loq>	26.2 (sum of layers)
Soil in-field 20-40cm	Full growth	0.025	78.8	>LOD <loq (0.0051)<="" td=""><td></td></loq>	
Soil field-edge 0-20cm	Full growth	< LOD		< LOD	
Soil field edge 20- I0cm	Full growth	< LOD		< LOD	
Vegetation	Full growth	< LOD		< LOD	
Pollen	Full growth	< LOD		< LOD	
√egetation	Pre-harvest	< LOD		<lod< td=""><td></td></lod<>	
Pollen	Pre-harvest	< LOD		< LOD	
Soil in-field 0-30cm	Post- harvest	to be analysed		to be analysed	
Soil field-edge 0-30cm	Post- harvest	to be analysed		to be analysed	

^acalculated using a soil specific bulk density of 1.576 g/cm³

Pre-drilling soil results were comparable to Site 1, with mean measured clothianidin concentrations just above LOD, equivalent to a soil loading of 10.7 g/ha and no detectable residues of thiamethoxam.

At the full growth sampling point in-field, there was a notable detection of thiamethoxam above the LOQ in the 20-40cm horizon equivalent to a soil loading of 78.8 g/ha (higher than the theoretical maximum application rate of 51.75 g/ha even though this sample was taken approximately 3 months after the pre-drilling sample collection). Clothianidin loading at this sample point was equivalent to 26.2 g/ha, leading to a combined neonicotinoid residue of over 100 g/ha. Additional information in the final report (for example confirmation of drilling rates and additional soil sampling data post-harvest) may be useful to explain these relatively high residues at the full growth sampling stage.

Table 3: Interim monitoring data for Site 3: Weybourne (sandy soil)						
Sample Matrix	Sampling occasion	Mean thiamethoxam concentration (mg/kg)	Equivalent thiamethox- am soil loading (g/ha)ª	Mean clothianidin concentration (mg/kg)	Equivalent clothi- anidin soil loading (g/ha) ª	
Soil in-field 0-20cm	Pre-drilling	< LOD		< LOD		
Soil in-field 20-40cm	Pre-drilling	< LOD		< LOD		
Soil field-edge 0-20cm	Pre-drilling	< LOD		< LOD		
Soil field edge 20- 40cm	Pre-drilling	< LOD		< LOD		
Soil in-field 0-30cm	Full growth	>LOD <loq (0.0043)<="" td=""><td>22.7</td><td>< LOD</td><td></td></loq>	22.7	< LOD		
Soil field-edge 0-30cm	Full growth	< LOD		< LOD		
Vegetation	Full growth	< LOD		< LOD		
Pollen	Full growth	< LOD		< LOD		
Vegetation	Pre-harvest	< LOD		< LOD		
Pollen	Pre-harvest	< LOD		< LOD		
Soil in-field 0-30cm	Post- harvest	to be analysed		to be analysed		
Soil field-edge 0-30cm	Post- harvest	to be analysed		to be analysed		

^acalculated using a soil specific bulk density of 1.76 g/cm³

No soil residues of either substance above the LOD were detected in the pre-drilling sample (the only site where this was the case).

At full growth stage residues of thiamethoxam in-field were equivalent to 22.7 g/ha. Since these samples were taken approximately 4 months after the pre-drilling samples, assuming the site was treated with a maximum of 51.75 g/ha this suggests that the DT₅₀ was slightly less than 120 d at this site. It was also noted that clothianidin remained below the LOD in the full growth samples, despite the indication that thiamethoxam residues had degraded past the DT₅₀ point (and thus potentially formed significant residues of clothianidin) by the full growth sample point.

Table 4: Interim monitoring data for Site 4: Holbeach (silt soil)							
Sample Matrix	Sampling occasion	Mean thiamethoxam concentration (mg/kg)	Equivalent thiamethox- am soil loading (g/ha) ^a	Mean clothianidin concentration (mg/kg)	Equivalent clothianidin soil loading (g/ha) ^a		
Soil in-field 0-20cm	Pre-drilling	>LOD <loq (0.0048)<="" td=""><td>22.8 (sum of layers)</td><td>>LOD<loq (0.0091)<="" td=""><td>46.7 (sum of layers)</td></loq></td></loq>	22.8 (sum of layers)	>LOD <loq (0.0091)<="" td=""><td>46.7 (sum of layers)</td></loq>	46.7 (sum of layers)		
Soil in-field 20-40cm	Pre-drilling	>LOD <loq (0.0041)<="" td=""><td></td><td>>LOD<loq (0.0091)<="" td=""><td></td></loq></td></loq>		>LOD <loq (0.0091)<="" td=""><td></td></loq>			
Soil field-edge 0-20cm	Pre-drilling	< LOD		>LOD <loq (0.0077)<="" td=""><td>30.3 (sum of layers)</td></loq>	30.3 (sum of layers)		
Soil field edge 20- 40cm	Pre-drilling	< LOD		>LOD <loq (0.0041)<="" td=""><td></td></loq>			
Soil in-field 0-30cm	Full growth	>LOD <loq (0.0060)<="" td=""><td>23.1</td><td>LOQ (0.01)</td><td>38.5</td></loq>	23.1	LOQ (0.01)	38.5		
Soil field-edge 0-30cm	Full growth	< LOD		>LOD <loq (0.0059)<="" td=""><td>22.7</td></loq>	22.7		
Vegetation	Full growth	< LOD		< LOD			
Pollen	Full growth	< LOD		< LOD			
Vegetation	Pre-harvest	< LOD		< LOD			
Pollen	Pre-harvest	>LOD <loq (0.00488)<="" td=""><td></td><td>< LOD</td><td></td></loq>		< LOD			
Soil in-field 0-30cm	Post- harvest	to be analysed		to be analysed			
Soil field-edge 0-30cm	Post- harvest	to be analysed		to be analysed			

^acalculated using a soil specific bulk density of 1.283 g/cm³

Accepting that residues between the LOD and LOQ should be treated with caution, HSE considered there were potentially notable findings in the pre-drilling (control) samples. In-field residues of thiamethoxam were equivalent to 22.8 g/ha (approximately 45% of the maximum use rate under the emergency authorisation). 'Cruiser SB' was not used in 2021, and according to the Article 53 approval restrictions a minimum interval of 46 month between uses of thiamethoxam seed treatment must be observed. Even if these residues were the result of a spring treatment in 2020, this still represents a 2-year interval between application and sampling. Clothianidin residues were also high in both in-field (49.7 g/ha) and field edge (30.3 g/ha) samples. Further information on pesticide use history at this site would be useful to understand these findings.

Similar levels were detected in the full growth sample time (approximately 4 months later). Note that pre-drilling samples were taken down to 40 cm, and full growth sampling was only conducted to 30 cm. The study author explained that deeper sampling was not possible due to the extremely dry soil conditions. Although understandable given the very dry soil conditions, this does hamper comparison between control and post application samples, especially when detectable residues down to 40 cm were found in the controls and this sampling depth could not be replicated at the later time point. This means that later sampling may not have measured all of the available residue due to the shallower sampling horizon.

The Holbeach site was also notable as the only site where a detectable level of thiamethoxam was found in pollen (in the pre-harvest sample). Note that the field edge soil sample returned a <LOD value and therefore it was not possible to link findings in pollen with detectable levels in the same soil.

Table 5: Interim monitoring data for Site 5: Bury (clay soil)								
Sample Matrix	Sampling occasion	Mean thiamethoxam concentration (mg/kg)	Equivalent thiamethox- am soil loading (g/ha)ª	Mean clothianidin concentration (mg/kg)	Equivalent clothi- anidin soil loading (g/ha) ª			
Soil in-field 0-20cm	Pre-drilling	< LOD		>LOD <loq (0.0071)<="" td=""><td>33.9 (sum of layers)</td></loq>	33.9 (sum of layers)			
Soil in-field 20-40cm	Pre-drilling	< LOD		>LOD <loq (0.0041)<="" td=""><td></td></loq>				
Soil field-edge 0-20cm	Pre-drilling	< LOD		< LOD				
Soil field edge 20- 40cm	Pre-drilling	< LOD		< LOD				
Soil in-field 0-30cm	Full growth	0.011	50.0	>LOD <loq (0.0075)<="" td=""><td>34.1</td></loq>	34.1			
Soil field-edge 0-30cm	Full growth	< LOD		< LOD				
Vegetation	Full growth	< LOD		to be analysed				
Pollen	Full growth	< LOD		< LOD				
Vegetation	Pre-harvest	< LOD		< LOD				
Pollen	Pre-harvest	< LOD		< LOD				
Soil in-field 0-30cm	Post- harvest	to be analysed		to be analysed				
Soil field-edge 0-30cm	Post- harvest	to be analysed		to be analysed				

^acalculated using a soil specific bulk density of 1.514 g/cm³

Thiamethoxam residues were above the LOQ in-field at the full growth sample time (equivalent to 50.0 g/ha). These samples were taken approximately 4 and a half months after the pre-drilling samples and show little decline relative to a maximum application rate of 51.75g thiamethoxam/ha. Additional data from the post-harvest sampling time may be useful in the future to better characterise degradation at this site. It is possible that degradation was reduced due to very dry soil conditions experienced.

Residues of clothianidin were similar between pre-drilling and full growth samples in-field (noting that the differences in soil depths sampled makes it difficult to directly compare sample times).

Table 6: Interim monitoring data for Site 6: Thorney (clay soil)

Sample Matrix	Sampling occasion	Mean thiamethoxam concentration (mg/kg)	Equivalent thiamethox- am soil loading (g/ha)ª	Mean clothianidin concentration (mg/kg)	Equivalent clothi- anidin soil loading (g/ha) ª
Soil in-field 0-20cm	Pre-drilling	< LOD		0.040	127.7 (sum of layers)
Soil in-field 20-40cm	Pre-drilling	< LOD		0.010	
Soil field-edge 0-20cm	Pre-drilling	< LOD		>LOD <loq (0.0077)<="" td=""><td>19.7</td></loq>	19.7
Soil field edge 20-40cm	Pre-drilling	< LOD		< LOD	
Soil in-field 0-30cm	Full growth	< LOD		0.026	99.6
Soil field-edge 0-30cm	Full growth	< LOD		>LOD <loq (0.0064)<="" td=""><td>24.5</td></loq>	24.5
Vegetation	Full growth	< LOD		to be analysed	
Pollen	Full growth	< LOD		< LOD	
Vegetation	Pre-harvest	< LOD		< LOD	
Pollen	Pre-harvest	< LOD		< LOD	
Soil in-field 0-30cm	Post- harvest	to be analysed		to be analysed	
Soil field-edge 0-30cm	Post- harvest	to be analysed		to be analysed	

^acalculated using a soil specific bulk density of 1.277 g/cm³

Thiamethoxam residues were below the LOD in all samples. The absence of detectable residues in the full growth sample (approximately 4 month after the pre-drilling samples were taken) could be evidence of faster degradation at this site.

In contrast, clothianidin soil residues were very high at this site – up to 127.7 g/ha in pre-drilling (control) samples in field, and 19.7 g/ha in field edge control samples. Similar levels were observed at the full growth sampling stage, noting that residues pre and post application are difficult to compare directly due to differences in horizon depths. When authorised as a seed treatment, rates of clothianidin typically ranged from 78-100 g/ha. Since residues in the full growth sample were only sampled to 30cm (due to extreme dry soil conditions making deeper sampling problematic) it is possible that some of the residues present in the pre-drilling samples (taken to 40cm) were not collected in the later sampling point.

EVALUATION, SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY Name of authority Health and Safety Executive.

Summary of Monitoring data on soil, vegetation and pollen residues Update to December 2022 ECP

Interim data only were available from the residue monitoring programme and results should therefore be treated with caution, especially where quantifiable levels have been reported between the LOD and LOQ.

Residues in soil in field edge areas were generally below the LOD (noting that the LOD is equivalent to approximately 25% of the maximum application rate allowed for 'Cruiser SB' under the emergency authorisation). Where detectable residues were found in field edge areas, these were always also found in the pre-drilling (control) samples at similar levels. These data are of limited use in informing on the potential exposure in field edge areas in the opinion of HSE. The analytical method should be further developed and validated with a lower LOQ to enable use of reported values.

As expected, in-field soil residues were higher. Data were only available from one sample time post application, at full growth stage generally sampled between 4 to 5 months after drilling. The limited data only allows qualitative estimates of thiamethoxam persistence across these sites. The absence of detectable residues of thiamethoxam at the Thorney site approximately 4 months after the pre-drilling samples were taken could be evidence of relatively rapid degradation at this site. In contrast at the Bury site, thiamethoxam residues equivalent to 50.0 g/ha approximately 4 and a half months after application showed little decline from an assumed maximum application rate of 51.75 g/ha. Thiamethoxam residues at Attleborough were in excess of this maximum application, quantified at 78.8 g/ha 3 months after drilling. Residues at two other sites (Bilsthorpe and Weybourne) were indicative of DT50s in the range 120 to 150 d. Note that the HSE regulatory exposure assessment for soil used a DT50 of 172 d. Although detailed site specific climate data was not available, it is known that 2022 was one of the driest and hottest on record, particularly in the sugar beet growing regions. The very dry soil conditions in particular could have significantly reduced degradation over the summer months.

The Holbeach site recorded detectable residues of thiamethoxam in the pre-drilling (control) samples equivalent to 22.8 g/ha. Since 'Cruiser SB' was not applied last year these relatively high detections require further investigation when the final report is available.

It was also notable that detectable concentrations of clothianidin were found at 5 out of 6 sites. Clothianidin residues were particularly high at the Thorney site (equivalent to 127.7 g/ha in field and 19.7 g/ha in field edge areas). Clothianidin residues equivalent to 33.9 and 46.7 g/ha were also detected in the Bury and Holbeach pre-drilling in field samples. Standard regulatory field dissipation studies indicate clothianidin persistence is variable but potentially long in some soils (DT50s ranging from 13.3 to 305 d and DT90s between 44.2 to 1018 d). Set against the potential persistence of clothianidin, it should be noted that its use has been restricted since 2018. Information on pesticide use history at all sites is required to understand the source of neonicotinoid residues in pre-drilling samples.

Residues in vegetation or pollen were also below the LOD (0.003 mg/kg or 3 μ g/kg for each substance) in all samples except a single detection of thiamethoxam in the pollen taken preharvest from the Holbeach site (4.88 μ g thiamethoxam/kg). Although it is good that positive detections above the LOD were not found in any of the edge of field vegetation or the majority pollen samples, based on a brief review of pollen data from crops treated with thiamethoxam, all that can be concluded is that residues here are less than levels previously found in pollen of directly treated crops. Since significant migration of residues into field margins following application of a pelleted seed treatment would not be expected, the vegetation and majority of pollen findings below LOD are as expected. The data therefore adds little to our understanding of this exposure route via field margin flowering plants in the opinion of HSE.

Putting this monitoring work into the context of acceptable values regarding environmental exposure is difficult in the absence of a clear understanding of either soil or pollen residue levels that would give rise to unacceptable sublethal effects in bees. Without a clearly defined residue level that would result in acceptable (or unacceptable) effects, it is difficult to see how these data could be used directly in the current regulatory assessment. However HSE considers it important to at least highlight that the current sensitivity of the methodology being used is a major shortcoming, in that the analysis is not really interrogating the soil or pollen levels to an extent that will ever support future decision making or provide reassurance on environmental levels in practice. In general the analytical method should be further developed and validated with a lower LOQ to enable use of reported values. If additional monitoring is undertaken in future years the current shortcomings in the analytical method should be highlighted to the applicants and further work undertaken to ensure appropriately sensitive analytical methodologies are used that would have the potential to support future decision making (for example if further information on sublethal effects on bees and other pollinators becomes available in the future).

Due to the interim nature of the data HSE does not consider it appropriate to utilise any of these results in a revised environmental exposure assessment. However the in-field residues of thiamethoxam at Attleborough (78.8 g/ha) were noted to be in excess of the rate that was used in the regulatory risk assessment. In at least one site (Bury) there was evidence of thiamethoxam persistence potentially in excess of the rate used in the regulatory risk assessment (although this could be linked to the very dry soil conditions experienced this year). More generally it should be noted that the regulatory risk assessment does not take account of background residues of clothianidin or thiamethoxam, but only considers the contribution from application of 'Cruiser SB' treated seeds. Significant findings of clothianidin (up to a maximum of 127.7 g/ha in the Thorney site) were found in the pre-drilling control samples and detectable levels were found in 5 out of 6 sites.

HSE proposes to conduct a full evaluation on submission of the final report in 2023.

2.6 Ecotoxicology

EVALUATION, SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY

HSE updated evaluation of the risk to bees – Update to December 2022 ECP

Where the assessment below indicates that the risk is either acceptable or unacceptable, this conclusion is reached within the framework of the standard criteria for a commercial authorisation based on assessment to uniform principles. Article 53 allows a derogation from the standard criteria providing specific tests are met. Therefore, whilst (for example) reference to unacceptable risks in the assessment below may highlight the areas of greatest concern, this is not the test under Article 53 and does not necessarily reflect the conclusions for this emergency authorisation application. The discussion of the overall risks and benefits from the proposed use and assessment against the requirements of Article 53 is presented in "Section 3 Conclusion of Emergency Authorisation".

In the EU review of the active substance thiamethoxam the available studies investigating chronic effects of thiamethoxam on adult honeybees were not considered suitable for use in the risk assessment. A new adult chronic honeybee toxicity study with thiamethoxam has now been submitted (2022) to address this point. In the following sections the reliability of the (2022) study is assessed by HSE and the key study findings are summarised. Results from this study are then considered in comparison to the other available data on chronic toxicity of thiamethoxam to adult honeybees. The impact of the new data on the previous honeybee risk assessment performed by HSE for emergency use of 'Cruiser SB' on sugar beet is then assessed. Initial monitoring summary results on residues of thiamethoxam and clothianidin in whole plants and pollen are also now available and are discussed (though the reliability of these data is still to be confirmed). Additionally, data on residues of thiamethoxam and clothianidin in honey samples from 2020 are now available and can be included.

Study title:	Thiamethoxam: 10 Day chronic oral toxicity test (repeated dose) for adult honeybees (<i>Apis mellifera</i> L.)
Objective:	The objective of the study was to assess the chronic toxicity of thiamethoxam to adult honeybees (<i>Apis mellifera</i> L.) in a 10-day continuous feeding test. The honeybee is chosen as the test organism, being representative of the pollinating insects likely to be at risk of exposure if flowering crops or weeds are sprayed with plant protection products. Honeybees may be exposed to plant protection products from foraging on sprayed plants leading to the oral uptake of contaminated food (pollen, nectar etc.). These data are required for the registration of new plant protection products and continued registration of existing products in accordance with Regulation (EC) No. 1107/2009.
Guideline:	OECD 245: Guideline for the Testing of Chemicals: Honeybee (<i>Apis Mellifera</i> L.), chronic oral toxicity test (10 Day Feeding Test in the Laboratory) 2017.
Fera study number:	FR/002785-10
Test item:	Thiamethoxam

Section 2.6-1 - Study authors' summary – (2022):

-	
Toxic reference item:	Dimethoate (Pestanal analytical grade)
Test species:	Honeybee (<i>Apis mellifera</i> L.)
Stage:	Newly emerged adult workers (< 48 hours old)
Source:	Home apiary, FERA National Bee Unit
Test system:	Newly emerged honeybees (<i>Apis mellifera</i> L.), no more than 48 hours old were used in the chronic test. They were allowed continual access to a 50% (w/v) aqueous sucrose solution, either with or without the test/reference item, via a feeder inserted into the side of the plastic housing cage. This feeder was changed and weighed in and out every day, allowing the amount of sucrose and dose consumed to be calculated.
Temperature: (Except during observations)	33 ± 2 °C
Humidity: (Except during observations)	60 ± 10% RH
Photoperiod & lighting: (Except during observations)	Test units were held in darkness
Treatments, dose calculation and expression:	The test item dose rates were based on the results of separate non-GLP range finding and solubility/suspensibility studies and in discussion with the Sponsor's monitor.
	The main test was run as a dose response test at five nominal concentrations: 0.01, 0.02, 0.04, 0.08, and 0.16 mg thiamethoxam/kg feed solution.
	Following analysis of the dosed feed samples it was seen that the difference between expected concentration and analysed content was greater than 20%. Therefore, the results have been expressed in terms of analysed a.s. content rather than nominal. Doses based on mean analysed thiamethoxam content of the dosed feed were 0.0149, 0.0304, 0.0660, 0.131, and 0.267 mg thiamethoxam/kg feed solution.
	Each cage of bees was offered approximately 1.5 mL of treated or control diet each day.
	The mean measured doses consumed by the bees in the test item treated groups were calculated to be 0.577, 1.199, 2.055, 3.733, and 6.714 ng a.s./bee/day.
	The toxic reference item was offered at a rate of 1 mg a.s./kg 50% (w/v) aqueous sucrose solution. The mean measured dose consumed by the bees in the reference item treated group was

	calculated to be 0.023 μg a.s./bee/day.
	The untreated control group was fed untreated 50% (w/v) aqueous sucrose solution.
Dosing solution analysis:	Sub-samples of the initial stock solution, control feed and all 5 dosed feed solutions were taken on day 0, and day 9.
	All dosed feed samples were analysed by liquid chromatography with Mass Spectrometry (LC-MS) to assess the concentration, homogeneity, and stability of the a.s. thiamethoxam. The method used, FR/002785-10-A, (see Appendix 4) was validated to SANTE 2020/12830 rev1 and found to be suitable (see Appendix 5). Analysis of the A samples showed a greater than 20% deviation from expected concentrations of thiamethoxam and contamination in one of the undosed controls (day 6-9 feed batch). The samples were rerun with fresh stocks which confirmed the results. The B samples were analysed which confirmed the deviation in sample concentration but demonstrated that both control samples were free of contamination. It is the B sample analysis that is reported here.
	Triplicate dosed feed samples taken on day 0 all showed less than 10% relative standard deviation (RSD), confirming the homogeneity of the solutions.
	Comparison of day 0 and day 9 sample results (taken on the first and last days of dosing) demonstrated that the test item was stable in the dosed feed over the dosing period.
	Based on the results of the analysis; as samples showed a greater than 20% deviation from expected concentrations of thiamethoxam, the results are reported in terms of analysed content of thiamethoxam in the dosing solutions.
Replicates:	3 cages of 10 bees were used for each treatment group
Test duration:	10 days with continuous exposure
Toxicity endpoints:	The toxicity endpoint is the mortality after 10 days.
Repeat of Main Test:	The Initial Main Test failed the validity criterion for control mortality (<15% control mortality) with mean control mortality on day 10 of 23% (7 / 30 bees). The test was, therefore, repeated and it is the results of this second Main Test which are reported here.

<u>Results</u>

The results of the definitive test are summarised in the table below. The was no abnormal behaviour noted in the test other than some agitated and affected (uncoordinated but not stumbling) bees that later died.

 Table HSE 2.6- 1: Mean percentage mortality in the control, reference and test item treated groups over 10 days

Treatment			dose Mean Percentage Mortality									
group	Concentration (mg a.s.kg)	ng a.s./ bee/day	Day 1	Day 2	Day 3	Day 4	Day 5	Day 6	Day 7	Day 8	Day 9	Day 10
Water control	0	-	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	10.0
	0.0149	0.577	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	0.0304	1.199	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Thiamethoxam	0.0660	2.055	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	0.131	3.733	0.0	0.0	0.0	0.0	3.33	6.67	10.0	16.7	23.3	33.3
	0.267	6.714	13.3	60.0	86.7	86.7	90.0	90.0	93.3	96.7	96.7	96.7
Toxic reference	1.0	23.0 µg a.s./bee/day	0.0	3.33	53.3	73.3	96.7	100	100	100	100	100

Conclusion

There was 10.0 % mortality in the water control, meeting the validity criterion of \leq 15% control mortality. In the toxic reference group 100% mortality was observed, meeting the validity criterion of \geq 50% mortality at day 10.

There was a clear dose response which allowed for the estimation of LC_{10} , LC_{20} and LC_{50} as well as values LDD_{10} , LDD_{20} and LDD_{50} . It was also possible to estimate the NOEC/LOEC and NOEDD and LOEDD values

Table HSE 2.6-2: LOEC/NOEC and LOEDD/NOEDD Values for Day 10

NOEC	NOEDD
(mg a.s./kg)	(ng/a.s./bee/day)
0.0660	2.055
LOEC	LOEDD
0.131	3.733

Table HSE 2.6-3: LCx values

LC ₁₀ (95% CI levels)	LC ₂₀ (95% CI levels)	LC ₅₀ (95% CI levels)				
(mg a.s./kg)						
0.1039 (0.08586 – 0.1257)	0.1185 (0.1013 – 0.1387)	0.1525 (0.1343 – 0.1732)				

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Table HSE 2.6-4: LDDx values
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LDD ₁₀ (95% CI levels)	LDD ₂₀ (95% CI levels)	LDD ₅₀ (95% CI levels)				
(ng/a.s./bee/day)						
2.553 (2.139 – 3.048)	2.931 (2.551 – 3.368)	3.816 (3.416 – 4.263)				
	comments on new chronic adult hone					
The following study report has been s use of the product 'Cruiser SB':	submitted to inform the consideration of	potential risks to bees associated with				
	0 Day chronic oral toxicity test (repea 002785-10. Final QA version (audited).	ated dose) for adult honeybees (Apis				
	E, with the Study Summary copied aboven nt of compliance. The Study also follo	, , , , , , , , , , , , , , , , , , , ,				
Visually	healthy, honeybees (Apis mellifera)					
	nth and obtained from queen-right colo he bee race. The bees were free from					
and European Foulbrood), howev	er there were low incidences of adult d the study conclusions as the bees we	diseases. These minor deviations are				
•	as below guideline requirements, along	•				
the treatments;						
	plicates of 10 female (worker) bees v h hatched bees being less than 48 ho	· · · · · · · · · · · · · · · · · · ·				
aqueous sucrose solution for less from the colony at a less favourat	than 24 hours (overnight) until test sta ble time of year (as the colony is windin e control group met the validity criteria;	rt date. Although the bees were taken				
• Use of a w/v aqueous sucrose solution;	ppropriate toxic reference substance –	dimethoate, offered at 1 mg/kg in 50%				
Appropriate test conditions with 10 bees per replicate, kept in suitable containers (volume = 1710.6 cm^3 so > 200 cm ³ minimum required by the guidelines), in the dark, except for feeding and checking. Suitable temperature range (mean: 33.0° C, range: $32.5 - 33.1^{\circ}$ C) and appropriate humidity (mean 66.7%, range $36.3 - 68.8\%$) was maintained at all times bar one occasion where low humidity (the single 36.6% reading) was recorded, linked to handling. Brief exposures to low temperatures or humidity are acceptable during handling according to the guidelines, and is unlikely to have adversely impacted the study as control validity criteria were met;						
	ate feeding with 50% (w/v) sucrose s					
•	lly accessible, taking into account evap (the guidelines stipulate minimum of 2					
libitum feeding based on past ex	perience of the laboratory. As such, the					
impacted the study conclusions;						
	ed feed solutions were refrigerated bet °C. This deviation is acceptable as all fe	-				
	covery of the active substance has been					

Analysis of the active substance concentrations in all solutions and control on days 0

•

and 9 to confirm appropriate solution concentrations of active substance. However, mean recovery was unexpectedly high in the first set of samples taken (A samples) and there was evidence of contamination of the control, so the B samples were run. The recovery was between 149.0% and 166.9% for each concentration over the two time periods combined, with no contamination in the control in the B run. As such, mean measured concentrations are used in the final calculations; and

The use of an appropriate regression analysis to determine LDD_x and LC_x values and a step-down test, equivalent to a Cochrane-Armitage Test, for determining LOEC/LOEDD and NOEDD/NOEC. Correction for control mortality was not undertaken and is optional according to the guidelines. This is acceptable given that mortality in the controls was higher than that of three of the test item treatments. Not correcting for mortality also results in more conservative endpoints, which is acceptable, and can be taken into account in the risk assessment if required.

<u>Control</u>

Daily evaporation ranged between 31.0 - 40.9 mg in the sucrose control and has been taken into account within the calculations. The food intake in the controls was 44.62 mg/bee/day. Data on behavioural abnormalities in the control groups was not provided. Mortality in the control group by day 10 was 10.0%, which is below the 15% allowed in the OECD guideline confirming the bees used were healthy and the study conditions appropriate.

Reference Item

The toxic reference Dimethoate (99.4% purity) was provided at 1 mg a.s./kg food, which is within the concentration range recommended by the guidelines, with 23 ng a.s./bee/day consumed. No data on behavioural abnormalities was provided for the reference item. Mortality was noted by day 2, with 100% mortality after 6 days of exposure, meaning the reference test criteria were met (i.e., > 50% mortality required when using one concentration of between 0.5 and 1.0 mg a.s./kg food). The daily food intake rate of Dimethoate was 23.22 mg solution/bee/day, which is below the daily intake of sucrose solutions by control bees (44.62 mg/bee/day). This suggests there may be some avoidance of Dimethoate by bees, although statistical analysis was not undertaken to confirm this.

Product

Test item concentrations of 0.01, 0.02, 0.04, 0.08 and 0.016 mg a.s./kg were used. As the analytical determination of active substance concentrations in the solutions were outside the acceptable 20% range of expected, mean measured concentrations were used, equating to 0.0149, 0.0304, 0.0660, 0.131 and 0.267 mg a.s./kg.

Daily evaporation ranged between 31.7 – 42.9 mg in tubes set up in empty test units at the lowest and highest concentration of test item (evaporation averaged between the two concentrations). This evaporation has been taken into account within the calculations for all concentrations. The mean product food intake rate was 24.90 - 39.44 mg solution/bee/day, with decreasing food uptake as product concentration increased, notable from concentrations of 0.0660 mg a.s./kg and above, This pattern of decreased uptake with increased product concentration was more evident when assessing mean total uptake of solution over the 10 days, with a notable decline in uptake relative to active substance concentration from 394.5 mg/bee (at 0.0304 mg a.s./kg) to 174.3 mg/bee (at 0.267 mg a.s./kg). This implies there is likely some avoidance of the product solutions by bees, however no statistics were run to confirm this. Nevertheless, there was incremental uptake in thiamethoxam relative to the concentration of active substances in the solutions supplied, with total uptake increasing from 5.77 ng/bee to 47 ng/bee as test item concentration increased. Therefore, bees were exposed to sufficient levels of active substance for the results to be of relevance to the risk assessment.

Abnormal behaviours were noted pre-mortality and included bees stumbling, agitated and uncoordinated ('affected') and bees knocked down ('moribund'). These symptoms were noted only in bees that subsequently died, mainly in the 0.267 mg a.s./kg treatment (with bees in all replicates 'affected' on day 1), with the only other treatment where behavioural effects were noted being the 0.131 mg a.s./kg treatment (1 bee 'moribund' on day 9).

Mortality in the samples treated with product was first noted on day 1 in the 0.267 mg a.s./kg concentration (6.714 ng a.s./bee/day), with mortality occurring from day 5 in the 0.131 mg a.s./kg treatment (3.733 ng a.s./bee/day). The

three lower test item concentrations had no mortality. By day 2 there was more than 50% mortality in the highest treatment concentration. By day 10, there was 33.3% mortality (10 bees) in the second highest concentration treatment, and 96.7% mortality in the highest concentration treatment. It is also noted that all bees had died in one replicate of the highest treatment concentration by day 3, with all bees having died in a second replicate of this treatment by day 8. The death rate of bees as a result of ingestion of 'Cruiser SB' at 0.267 mg a.s./kg, is similar to that of the toxic reference (where the >50% mortality level was reached a day later, by day 3).

The dose-response curve of dose per bee per day against mortality is copied below from Appendix 11 of the report (Figure 1 below). The data points do not fit the curve well, with a wide range recorded at the second highest uptake rate (data derived from the three replicates of treatment concentration 0.131 mg a.s./kg and one from the 0.267 mg a.s./kg treatment where uptake was lower in one replicate than in the other two). The curve is also steep due to lack of mortality in the three lower uptake rates. The statistical package has also not included 95% confidence intervals on the figure, so it is not immediately clear how statistically reliable the calculated endpoints are, although 95% confidence limits are provided as ranges later in the statistical outputs. Given these limitations there is some uncertainty in the final calculated LDD_x endpoints and this will need to be taken into account at the risk assessment stage.

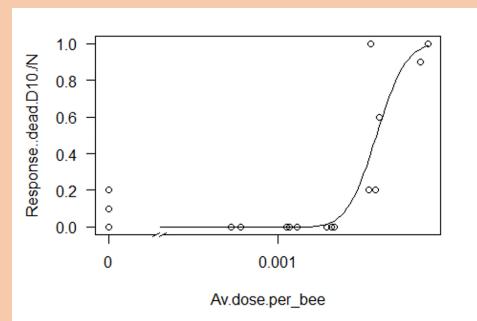


Figure HSE 2.6-1: Statistically derived dose-response curve showing average dose of thiamethoxam in μ g/bee/day from measured uptake against mortality used to determine LDD_x.

The dose-response curve of treatment concentration (mean measured) against mortality is copied below from Appendix 11 of the report (Figure 2 below). The data points fit this curve better, although the curve is still steep and there are no 95% confidence intervals. This curve, however, provides more certainty in the derived LC_x endpoints than the LDD_x endpoints derived from the thiamethoxam uptake curve above.

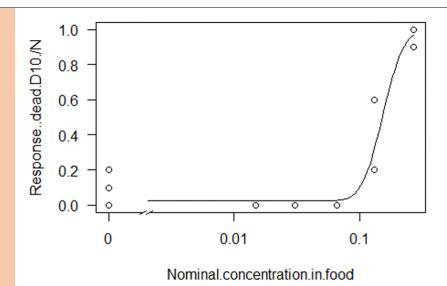


Figure HSE 2.6-2: Statistically derived dose-response curve showing mean measured concentration of thiamethoxam provided as mg/kg feed against mortality, used to determine LC_x.

There is some uncertainty in values derived from the curves. The dosing factor used was 2. Given the steep doseresponse curves, derived from effects noted in only two of the five does, a lower dosing factor may have been more appropriate, and may have provided more precise endpoints. Nevertheless, the key endpoints (including 95% confidence limits) for consideration in the risk assessment are as follows:

- LDD₅₀ **3.816** ng a.s./bee/day (3.416 4.263);
- LDD₁₀ **2.553** ng a.s./bee/day (2.139 3.048);
- LOEED 3.733 ng a.s./bee/day;
- NOEED 2.055 ng a.s./bee/day;
- LC₅₀ **0.1525** mg a.s./kg (0.1343 0.1732);
- LC₁₀ **0.1039** mg a.s./kg (0.08586 0.1257);
- LOEC 0.131 mg a.s./kg;
- NOEC 0.0660 mg a.s./kg.

In Summary: Study Acceptable: Yes Deficiencies: None Corrections: None Recent Guidelines: Yes OECD 245 (2017) and Good Laboratory Practice Evaluation use or Additional info only: Evaluation

Section 2.6-3 - HSE chemistry comments on new chronic adult honeybee toxicity study (

Thiamethoxam: 10 Day chronic oral toxicity test (repeated dose) for adult honeybees (*Apis mellifera* L.) Study Number: FR/002785-10

GLP Status: Yes

The objective of the analytical part of the study was to determine the content of thiamethoxam in feeding solutions in the context of a 10-day chronic oral toxicity test (repeated exposure) for adult honeybees (*Apis mellifera* L.). The test was run as a dose repeat test at the following concentrations:

Nominal Concentration (mg thiamethoxam/kg feed solution)	Mean measured concentration (mg thiamethoxam/kg feed solution) [*]
0.01	0.0149
0.02	0.0304
0.04	0.0660
0.08	0.131
0.16	0.267

*The mean measured concentration is used for the dose level instead of the nominal concentration in the study as samples showed a greater than 20% deviation from expected concentrations of thiamethoxam.

Analytical samples of the initial stock solution, control feed and all 5 dosed feed solutions were taken on day 0 and day 9. Samples were analysed using method FR/002785-10-A.

Principle of the method

Samples are stored in the freezer until analysis. To the supplied 1 mL aliquots of control and dosing samples, add 1 mL of methanol. Vortex mix for a few seconds and ultrasonicate for approximately 5 minutes. Filter a portion through a 0.2 µm PVDF filter into a vial for analysis. Analyse with matrix matched standards by LC-MS.

Chromatographic Conditions

Instrument		LC-MS		
Analytical column	Kinetex XB C18, 50 x 2.1 mm, 2.6 µm			
	particle size with a guard column or			
		filter		
Injection volume		1 µL	-	
Mobile phase	Solvent A: 7	1 mM ammon	ium acetate	
		(aq)		
Flow sets		vent B: metha		
Flow rate		0.4 mL/minute		
Gradient	Time	%A	%B	
	(mins)			
	0	90	10	
	3	10	90	
	7	10	90	
	7.1	90	10	
	10	90	10	
Retention time	Approx	imately 1.43 r	minutes	
MS system	AB Scie	x ATRAP 550	0+ Mass	
		spectrometer		
Ionisation type	Turbo	ospray positiv	ve ion	
Detection		MS/MS		
Source temperature	300°C			
lon spray voltage	3500 volts			
MS transitions	Thiamethoxam:			
		$n/z 292 \rightarrow 21$		
	n	n/z 292 → 18	1	

2022)

Analytical validation data for the determination of thiamethoxam in feeding solutions (50% w/v sucrose solution)

Matrix	Analyte	LOQ (mg/kg feed solution)	Fortification level (mg/kg feed solution)	Recov	veries % mean	% RSD (n)	Linearity
Feeding solution: 50% w/v sucrose solution	thiamethoxam	0.006	0.006 (corresponding to approximately 0.0036 µg/mL) 0.25 (corresponding	99.0, 99.4, 99.7, 97.4, 95.5 93.3, 98.0,	98.2 95.6	1.8 (5) 2.1 (5)	<u>Linearity 1</u> 0.001-0.01 μg/mL (n = 4*2) <u>Linearity 2</u> 0.001-0.22 μg/mL
			to approximately 0.15 μg/mL)	94.8, 97.4, 94.6			(n = 9*2) R ² = 0.9924 y = 12,592,381x+13,247

Specificity:

Specificity was demonstrated by retention time match with thiamethoxam analytical standard. The following chromatograms were presented for both mass transitions:

- Matrix matched calibration solutions (0.001 and 0.22 μg/mL)
- Control sample
- Fortified sample at 0.3 mg/kg
- Dosed feed samples at 0.01 mg/kg on day 0 and day 9

Analysis of unfortified control sample demonstrated no significant interference (> 30% of the LOQ) at the retention time of interest. It is noted a product ion spectrum has not been provided to justify the selection of ions used for the determination. However, this is not a critical concern for a risk assessment method.

Matrix Effects:

Significant matrix effects were observed. Therefore, matrix matched standards were used for quantification.

Linearity:

Two linear ranges have been presented: the first to cover the LOQ level and the second to cover the higher fortification level and B sample analysis.

Linearity 1: linearity was demonstrated by the analysis of four matrix matched standards in increasing concentration in duplicate. The range of standard concentrations used was 0.001-0.01 µg/mL. No calibration plot was presented for this linear range. However, this is acceptable as additional linearity data including a calibration plot has been provided (see below).

Linearity 2: linearity was demonstrated by the analysis of nine matrix matched standards in increasing concentration in duplicate. The range of standard concentrations used was 0.001-0.22 μ g/mL. This covers from at least 30% of the LOQ to 20% above the highest level. The response was linear with a coefficient of determination (R²) of 0.9924. SANTE/2020/12830 rev. 1 states the suitability of the chosen function should be demonstrated, which should preferably be accomplished by a residual analysis using the residuals. However, as the use of residuals is only

preferable, it is considered that the coefficient of determination is sufficient to assess the acceptability of the linearity.

<u>Accuracy:</u>

Recovery samples were prepared by spiking the control (50% aqueous sucrose solution) with thiamethoxam and analysing them by the method described. The spike concentrations were 0.006 and 0.25 mg of a.s./kg, equivalent to approximately 0.0036 and 0.15 µg a.s./L in the final diluted solution. The fortification levels are appropriate to the dose rates in the study. Five samples were prepared at each fortification level. Mean recovery levels were within the range 95.6-98.2%, which is within the acceptable limits.

Procedural recoveries are reported below on day 0 and day 9:

Matrix	Analyte	Nominal	Sample	Recoveries 9	%	
		Concentration (mg thiamethoxam/kg feed solution)	interval		mean	% RSD (n)
Feeding solution	thiamethoxam	0.01	Day 0	153, 144, 155	151	4 (3)
			Day 9	147	-	-
		0.02	Day 0	151, 148, 159	152	4 (3)
			Day 9	152	-	-
			Day 0	167, 168, 167	167	0.1 (3)
			Day 9	163	-	-
		0.08	Day 0	166, 160, 159	162	2 (3)
			Day 9	165	-	-
		0.016	Day 0	164, 166, 172	167	2 (3)
			Day 9	166	-	-

For sample analysis in the test, two sets of samples (A samples and B samples) were used. The A samples showed higher recoveries than expected and one of the controls was contaminated. Therefore, the B samples were analysed which confirmed the deviation in sample concentration but demonstrated both control samples were free of contamination. The procedural recoveries shown above are outside of the acceptable limit (70-120%). The applicant has accounted for this by using the mean analysed thiamethoxam content of the dosed feed instead of the nominal concentration for the dose level. It is also noted the recoveries from the method validation data are acceptable.

Precision:

Precision was determined from the accuracy recovery data. Five samples were prepared at each fortification level in line with SANTE/2020/12830 rev. 1. The % RSD at each fortification level was less than 20%.

LOQ:

The LOQ is 0.006 mg/kg, which is the lowest fortification level with acceptable accuracy and precision.

LOD:

The LOD is 0.00167 mg/kg, which is the lowest calibration standard.

Confirmation of identity:

In line with SANTE/2020/12830 rev. 1, confirmation of analyte identity is not required as this is a method for risk

assessment.

Storage stability:

The stock solution used for each analysis run was made up fresh on the day so no assessment was required for the stability of the stock solution. Stability of the final extract solutions was demonstrated by the acceptable recoveries of the fortified samples when measured against freshly prepared standards. It is noted only one recovery per dosing level was reported on day 9, but the day 9 recoveries were comparable to the day 0 recoveries. This indicates there are no issues with stability of the final extract solutions.

Storage stability data has also been submitted as samples were stored for 33 days prior to analysis. The mean recovery of samples at 0.2 mg/kg feed solution is 99% on day 0 and after storage for 34 days the mean recovery is 106%. It is noted the study doesn't state how many samples were analysed at each time point. Nevertheless, the recoveries are within the acceptable range and the data presented demonstrates the active is stable under the storage conditions and period used in the study.

Conclusion

The method is sufficiently validated in accordance with SANTE/2020/12830 rev. 1 for the determination of thiamethoxam in feeding solutions. It is noted mean procedural recoveries in the test were in the range 151-167%, which is outside of the acceptable limit (70-120%). However, this has been accounted for by using the mean analysed thiamethoxam content of the dosed feed instead of the nominal concentration for the dose level.

Section 2.6-4 - Comparison of the (2022) study with previous chronic adult honeybee toxicity studies with thiamethoxam

Two chronic adult honeybee toxicity studies have been previously reviewed in the EU evaluation of the active substance thiamethoxam. These are studies by **Euclement** (2002⁶) and **Eucle** (2012⁷).

- **(2002):** After 10 days of exposure (10 hours per day) a mortality of less than 7 % was observed. The cumulative dose ingested over a 10-day period was approximately 2 ng/bee. For the purposes of risk assessment a 10-day LC50 > 0.2 ng a.s./bee per day was assumed.
- (2012): Adult honeybees were exposed to concentrations of 12, 16, 20, 24, 28, 32 µg a.s./L in aqueous sucrose solution for a period of 10 hours per day over a 10-day period. No sublethal effects on bee behaviour were reported and there no statistically significant effects on mortality. Under the conditions of the study the 10-hour per day LC50 was >32 µg a.s./L equivalent to a cumulative dose of >8.9 ng a.s./bee over 10 days.

It was noted in the 2015 EFSA conclusion for thiamethoxam that neither of these studies included an assessment of the hypopharyngeal gland nor an assessment of accumulative effects. Both studies followed similar methodology whereby the honeybees were offered contaminated food for 10 hours per day for 10 days. During the remaining 14 hours per day the honeybees were offered uncontaminated food. Given that these studies did not involve continuous exposure to the active substance, they were not considered suitable for performing a chronic risk assessment or an assessment for accumulative effects for honeybees.

The new chronic adult honeybee toxicity study (2022) included continuous exposure to thiamethoxam in sucrose solution over a period of 10-days. Therefore, this study addresses this deficiency with the 2022) and 2022) studies. As discussed above, the 2022) study is considered suitable for use in regulatory

⁶ EFSA (European Food Safety Authority), 2012a; Conclusion on the peer review of the pesticide risk assessment of post-approval data submitted for the active substance thiamethoxam. EFSA Journal 2012;10(3):2601, 12 pp. doi:10.2903/j.efsa.2012.2601

⁷ EFSA (European Food Safety Authority), 2015. Conclusion on the peer review of the pesticide risk assessment for bees for the active substance thiamethoxam considering all uses other than seed treatments and granules. EFSA Journal 2015;13(8):4212, 70 pp. doi:10.2903/j.efsa.2015.4212

risk assessment, with a critical LDD50 = 3.816 ng a.s./bee/day determined. In neither the **sector** (2002) or (2012) studies was a daily dose as high as 3.816 ng a.s./bee/day evaluated, however, in both studies there were no significant mortalities at the highest dose tested. Therefore, it is considered that results from the previous studies are not contradictory when comparted to (2022) and the LDD50 from that study can be used in an updated risk assessment for the proposed use of 'Cruiser SB'.

Section 2.6-5 - Risk assessment implications of the

(2022) study

Chronic toxicity:

In the previous bee risk assessment conducted by HSE for the 2022 emergency use of 'Cruiser SB' on sugar beet seeds (see green box below), an illustrative assessment of the chronic risk to adult honeybees was performed. It was considered illustrative given the lack of a reliable chronic toxicity endpoint. Exposure was considered for bees foraging on succeeding crops, flowering plants in field margins, flowering crops in neighbouring fields and consumption of water via guttation. In the absence of new, fully reliable thiamethoxam residue data, estimated exposure levels for these exposure pathways have not been reassessed for the proposed use of 'Cruiser SB' in 2023. However, the previously used estimated exposure levels can be compared to the toxicity endpoint from the new chronic toxicity study (2022). This is summarised in the table below.

 Table HSE 2.6-5:
 Comparison of exposure and effects for chronic adult honeybee toxicity using

(2022)

Scenario	Exposure estimate (ng a.s./bee/day)	LDD50 (ng a.s./bee/day)	Factor between exposure and effects
First tier			
Succeeding crops	36.225	3.816	0.105
Field margin – with a deflector	0.006	3.816	636
Field margin – without a deflector	0.057	3.816	66.9
Adjacent crops – with a deflector	0.006	3.816	636
Adjacent crops – without a deflector	0.059	3.816	64.7
Guttation fluid	0.4788	3.816	7.97
Higher tier			
Succeeding crops – refined residues (2020)	0.512	3.816	7.45

Exposure higher than toxicity

Exposure similar to toxicity

Exposure an order of magnitude or more lower than toxicity

Due to the lack of agreed protection goals and hence trigger values for honeybees, a margin of safety approach has been adopted whereby the effects endpoint is compared to the exposure estimate. It should be noted that there is no agreed level of acceptability in terms of margin of safety.

For bees foraging in field margins and adjacent crops the estimated exposure levels are below the LDD50 by at least an order of magnitude. Given the magnitude of difference between the predicted exposure and effect levels, it is considered reasonable to conclude that mortality resulting from chronic exposure is unlikely to occur in adult honeybees foraging in field margins and adjacent crops.

For bees foraging in succeeding crops, the first tier exposure estimate exceeds the LDD50. The refined higher tier exposure estimate (taking into account measured residue values) is below the LDD50 by a factor of >7. It is therefore less clear that there is a sufficient margin of safety between the exposure estimate and LDD50 for bees exposed foraging on succeeding crops.

The exposure estimate considering uptake via guttation fluid is below the LDD50 by around a factor of 8. It is not clear that there is a sufficient margin of safety between the exposure estimate and LDD50 for bees exposed via consumption of guttation fluid. This concern does not relate to guttation fluids produced by sugar beet plants but was identified using data derived using maize as a succeeding crop. The applicability of the maize residue data for other crops is unknown, as is the extent to which guttation occurs in different crops. It is feasible that guttation fluid with residues of thiamethoxam (and clothianidin) could occur with other crops that follow sugar beet in rotation. However, it is not possible to say to what extent or concentration.

It is noted that the above consideration for succeeding crops does not account for a time period between drilling of treated sugar beet seeds and planting of bee-attractive crops of more than 1 year. In previous applications for the emergency use of 'Cruiser SB' on sugar beet a risk management decision was taken to require a 32 month period between drilling treated sugar beet seed and drilling a crop that is attractive to honeybees. In the absence of data on residues in pollen/nectar in flowering crops following a 32 month gap post-drilling of sugar beet, the impact of this difference in timing has not been quantitatively assessed. It is expected that a longer period before drilling of succeeding crops would reduce exposure but whether exposure would be sufficiently reduced not to cause concern remains unknown.

Sublethal effects:

The **constant** (2022) study included observations of sublethal effects on adult honeybees. Abnormal behaviours were noted pre-mortality and included bees stumbling, agitated and uncoordinated ('affected') and bees knocked down ('moribund'). These symptoms were noted only in bees that subsequently died. Therefore, the sublethal effects data from this study are not considered to indicate any additional concern beyond the key toxicity endpoints determined based on the mortality data.

However, the design of chronic adult honeybee laboratory toxicity studies is primarily intended to investigate mortality and the enclosed nature of these studies limits their ability to fully detect effects on bee behaviour and the implications of any such effects on survival in the field. In the EU review of thiamethoxam results from studies investigating effects on bee homing flight ability were considered – (2001) and (2001) and (2012). These studies indicated that exposure to thiamethoxam could negatively impact the return flight ability of forager honeybees.

In the study by **(2001)** honeybees were marked, exposed to thiamethoxam in sucrose solution or a control, released at a 500 m distance from their hives and it was monitored whether they returned to the hive. None of the bees exposed to 50 or 100 µg/kg sucrose solution returned to their hives. In contrast all control group bees returned to their hives. At 25 µg/kg sucrose solution (equivalent to 3 ng a.s./bee), 11% of bees did not return to their hives. On this basis a NOEC of 10 µg/kg sucrose solution was determined (equivalent to 1.13 ng a.s./bee).

The **(2012)** study followed a similar design except bees were released at up to a 1 km from their hives and their flight activity was radio-tracked. Bees were exposed to 1.85 µg/kg sucrose solution, which equated to a consumed dose of 1.34 ng a.s./bee. There was a statistically significant difference in the proportion of released bees returning to their hives in thiamethoxam group relative to the control. Across several experiments run by Henry et al. (2012), there was a maximum 31.6% of honeybees exposed to thiamethoxam failing to return to their hives. It is noted that in this study bees would have consumed the offered diet quickly, rather feeding at a slower, more realistic rate over a longer period.

In the previous UK emergency consideration for use of 'Cruiser SB' in 2021 and 2022, a toxicity endpoint for sublethal effects of 1.34 ng a.s./bee was identified, though it was noted that this was an exposure level resulting in effects, rather than a no effect level.

Due to the absence of new information regarding potential effects of thiamethoxam on bee flight ability, the previously conducted risk assessment for such effects has not been updated and the key finding are summarised below.

Scenario	Exposure estimate (ng a.s./bee/day)	Sublethal LOED (ng a.s./bee)	Factor between exposure and effects
First tier			
Succeeding crops	36.225	1.34	0.04
Field margin – with a deflector	0.006	1.34	223
Field margin – without a deflector	0.057	1.34	23.5
Adjacent crops – with a deflector	0.006	1.34	223
Adjacent crops – without a deflector	0.059	1.34	22.7
Guttation fluid	0.4788	1.34	2.80
Higher tier			
Succeeding crops – refined residues (2020)	0.512	1.34	2.62

 Table HSE 2.6-6: Comparison of exposure and sublethal effects endpoint for honeybees

As for the chronic risk, due to the lack of agreed protection goals and hence trigger values for honeybees, a margin of safety approach has been adopted whereby the effects endpoint is compared to the exposure estimate. It should be noted that there is no agreed level of acceptability in terms of margin of safety.

For the field margin and adjacent crop scenarios the margin of difference between the exposure and effects estimates exceeds an order of magnitude. For the succeeding crops and guttation fluid scenarios the exposure estimate is also below the toxicity endpoint, though the margin of difference between the toxicity and exposure values is less than an order of magnitude. Ultimately, given the sublethal toxicity endpoint is an unbound 'less than' value it cannot be confirmed that exposure is below a level where effects could occur. For the succeeding crop and guttation fluid scenarios the comparison indicates that exposure in the field can potentially be at a similar level where effects on honeybee return flight ability were seen in published studies.

It is important to note that the **Constant** (2001) and **Constant** (2012) studies were bespoke in design and did not follow a standard protocol that is routinely assessed as part of the regulatory risk assessment process for UK pesticide active substances. There is currently no standard regulatory requirement for the conduct of such studies.

2012)

Section 2.6-6 – New monitoring data on thiamethoxam and clothianidin residues

Preliminary results from monitoring of vegetation samples collected in 2022 have been submitted. Residues of thiamethoxan and clothianidin have been determined from plants sampled in the field margins of drilled sugar beet fields. Only summary results are available, with no detail regarding how the data were generated or interpretation of study results presented. Therefore it is not currently possible to validate the reliability or sufficiency of these data. The plant residue monitoring results are summarised, as submitted to HSE, in the following tables.

Site number	Site location	Sample location	Sample matrix	Collection Date	Sample weight (g)	Thiamethoxam calculated concentration (µg/kg)	Clothianidin calculated concentration (µg/kg)
1	Bilsthorpe	edge of field	vegetation	08/08/2022	1100	< 0	No Peak
1	Bilsthorpe	edge of field	vegetation	08/08/2022	1070	< 0	No Peak
1	Bilsthorpe	edge of field	vegetation	08/08/2022	1080	No Peak	No Peak
2	Attleborough	edge of field	vegetation	22/06/2022	1035	No Peak	< 0
2	Attleborough	edge of field	vegetation	22/06/2022	1150	< 0	No Peak
2	Attleborough	edge of field	vegetation	22/06/2022	1085	< 0	1.57
3	Weybourne	edge of field	vegetation	10/08/2022	1110	No Peak	No Peak
3	Weybourne	edge of field	vegetation	10/08/2022	1130	< 0	No Peak
3	Weybourne	edge of field	vegetation	10/08/2022	1070	< 0	No Peak
4	Holbeach	edge of field	vegetation	04/08/2022	1060	< 0	No Peak
4	Holbeach	edge of field	vegetation	04/08/2022	1055	< 0	0.321
4	Holbeach	edge of field	vegetation	04/08/2022	1055	< 0	No Peak
5	Bury	edge of field	vegetation	01/08/2022	1020	No Peak	No Peak
5	Bury	edge of field	vegetation	01/08/2022	1065	< 0	No Peak
5	Bury	edge of field	vegetation	01/08/2022	1020	No Peak	No Peak
6	Thorney	edge of field	vegetation	03/08/2022	1170	< 0	No Peak
6	Thorney	edge of field	vegetation	03/08/2022	1085	< 0	No Peak
6	Thorney	edge of field	vegetation	03/08/2022	1130	< 0	No Peak

 Table HSE 2.6-7: Summary of initial whole plant monitoring results – full growth (sampling 2)

 $LOD = 3 \mu g/kg$

LOQ = 10 µg/kg

Values in italics are below the LOD

Site number	Site location	Sample location	Sample matrix	Collection Date	Sample weight (g)	Thiamethoxam calculated concentration (µg/kg)	Clothianidin calculated concentration (µg/kg)
1	Bilsthorpe	edge of field	vegetation	12/09/2022	1090	< 0	2.34
1	Bilsthorpe	edge of field	vegetation	12/09/2022	1130	< 0	2.43
1	Bilsthorpe	edge of field	vegetation	12/09/2022	1070	< 0	1.95
2	Attleborough	edge of field	vegetation	19/09/2022	1160	< 0	3.27
2	Attleborough	edge of field	vegetation	19/09/2022	1035	< 0	2.03
2	Attleborough	edge of field	vegetation	19/09/2022	1080	< 0	No peak
3	Weybourne	edge of field	vegetation	14/09/2022	1005	< 0	< 0
3	Weybourne	edge of field	vegetation	14/09/2022	1110	0.0798	< 0
3	Weybourne	edge of field	vegetation	14/09/2022	1185	< 0	< 0
4	Holbeach	edge of field	vegetation	22/09/2022	1145	< 0	< 0
4	Holbeach	edge of field	vegetation	22/09/2022	1235	< 0	No Peak
4	Holbeach	edge of field	vegetation	22/09/2022	1100	1.42	< 0
5	Bury	edge of field	vegetation	15/09/2022	1095	3.35	< 0
5	Bury	edge of field	vegetation	15/09/2022	1170	< 0	< 0
5	Bury	edge of field	vegetation	15/09/2022	1185	1.65	< 0
6	Thorney	edge of field	vegetation	21/09/2022	1280	0.616	< 0
6	Thorney	edge of field	vegetation	21/09/2022	1335	< 0	0.42
6	Thorney	edge of field	vegetation	21/09/2022	1110	< 0	< 0

 Table HSE 2.6-8: Summary of initial whole plant monitoring results – pre-harvest (sampling 3)

LOD = $3 \mu g/kg$ LOQ = $10 \mu g/kg$ Values in italics are below the LOD

 Table HSE 2.6-9: Summary of initial pollen monitoring results – full growth (sampling 2)

Site number	Site location	Sample location	Sample matrix	Collection Date	Sample weight (g)	Thiamethoxam calculated concentration (μg/kg)	Clothianidin calculated concentration (μg/kg)
1	Bilsthorpe	edge of field	pollen	08/08/2022	165	0.303	< 0
2	Attleborough	edge of field	pollen	23/06/2022	170	0.607	< 0
3	Weybourne	edge of field	pollen	10/08/2022	555	0.470	No Peak
4	Holbeach	edge of field	pollen	04/08/2022	1005	< 0	< 0
5	Bury	edge of field	pollen	01/08/2022	1035	0.0128	< 0
6	Thorney	edge of field	pollen	03/08/2022	1095	< 0	< 0

 $LOD = 3 \mu g/kg$

 $LOQ = 10 \mu g/kg$

Values in italics are below the LOD

Table HSE 2.6-10: Summary of initial pollen monitoring results - pre-harvest (sampling 3)

Site number	Site location	Sample location	Sample matrix	Collection Date	Sample weight (g)	Thiamethoxam calculated concentration (μg/kg)	Clothianidin calculated concentration (µg/kg)
1	Bilsthorpe	edge of field	pollen	08/08/2022	135	No Peak	No Peak
2	Attleborough	edge of field	pollen	23/06/2022	140	No Peak	1.18
3	Weybourne	edge of field	pollen	10/08/2022	1007	No Peak	No Peak
4	Holbeach	edge of field	pollen	04/08/2022	840	4.88	1.80
5	Bury	edge of field	pollen	01/08/2022	260	No Peak	No Peak
6	Thorney	edge of field	pollen	03/08/2022	1020	No Peak	No Peak

 $LOD = 3 \mu g/kg$

LOQ = 10 µg/kg

Values in italics are below the LOD

Whole plant data:

The data on residues in whole plants are not directly usable in the bee risk assessment but can potentially inform on the importance of different exposure pathways.

In the full growth samples (sampling 2) thiamethoxam levels were determined to be '< 0' or 'no peak'. These categories are not clearly defined but it is apparent that residues were below the LOD. While numerical concentrations of clothianidin are presented for 2 samples, all clothianidin concentrations are also below the LOD.

In the pre-harvest period (sampling 3) the maximum thiamethoxam concentration calculated in whole field margin plants across all sites was $3.35 \ \mu g/kg$. This is above the LOD but below the LOQ. All other thiamethoxam concentrations were below the LOD. Clothianidin concentrations were also below the LOD, except in one sample from the Attleborough site, where a residue of $3.27 \ \mu g$ was determined (above the LOD but below the LOQ).

Therefore, while the reliability and sufficiency of this dataset has yet to be determined, the presented results suggest that residues of both thiamethoxam and the metabolite clothianidin in field margin plants were relatively low in the full growth stage of the crop. These results, if confirmed, would suggest that any lateral movement of

thiamethoxam and clothianidin from the treated field through the soil and uptake into neighbouring plants was limited. However, it must be considered that while all residues of thiamethoxam and clothianidin were below the LOQ, in this case the LOQ of the analytical method used is relatively high (10 µg/kg).

Pollen data:

Residues of thiamethoxam and clothianidin in pollen are available for the full growth stage (sampling 2) and preharvest (sampling 3) periods. For the full growth stage (sampling 2), the maximum concentration of thiamethoxam determined is 0.607 µg/kg, though since this value is below the LOD, it is not considered a reliable estimate. For the pre-harvest period (sampling 3), the maximum thiamethoxam concentration in pollen was 4.88 µg/kg (above the LOD but below the LOQ), with concentrations from all other sites being below the LOD. For clothianidin, residues in pollen were below the LOD for both the full growth stage and pre-harvest periods. Therefore, the results suggest relatively low levels of thiamethoxam and clothianidin in the pollen of field margin plants. However, the reliability and sufficiency of these data are still to be confirmed and the LOD and LOQ are considered to be relatively high.

In the previous assessment of the risk to bees for the 2021/22 uses of 'Cruiser SB' on sugar beet (re-presented in the green box below), a measured residue value for thiamethoxam in the pollen from flowering plants in field margins or adjacent crops was not used, in the absence of available supporting data to derive such a value. Instead, a default first tier exposure estimate was determined using the methodology described in EFSA (2013). As a result, a simple comparison between residues in pollen assumed in the previous risk assessment and measured residues in pollen from the new monitoring data is not possible. In future, the risk assessment could be updated to incorporate measured residues in pollen from flowering plants in field margins, replacing the generic default values used. However, it will be first necessary to confirm the sufficiency and reliability of the new pollen residue dataset, and this will likely be impacted by the relatively high LOD and LOQ. Additionally, adult bees and larvae can be exposed to thiamethoxam (and clothianidin) via residues in nectar as well as pollen. No information is available on residues of these substances in nectar from flowering plants in field margins, following drilling of treated sugar beet seed. Therefore, the exposure assessment could only be partially updated.

Data on residues of thiamethoxam in the pollen (and nectar) of flowers in field margins is also available from a Defra funded project (PS2372 - Quantifying exposure of bumblebees to neonicotinoids and mixtures of agrochemicals – see <u>Defra, UK - Science Search</u>). It is noted though that in this study the crops studied were oilseed rape and wheat, with both of these seed treatments having higher dust drift factors than for sugar beet in the EFSA guidance (EFSA, 2013). Thiamethoxam was detected in 58% of pollen samples collected from wildflowers in OSR field margins, with a maximum residue of 86 µg/kg. Clothianidin was detected in 14% of pollen samples from wildflowers in OSR field margins, thiamethoxam was detected in only 1.8% of samples (maximum = 7.47 µg/kg) and clothianidin was not detected in any samples. Therefore, the new data on thiamethoxam in flowers from sugar beet margins suggest lower residues when compared to residues found in pollen from OSR field margins are not available for sugar beet fields at the time of drilling, where exposure via dust drift may occur, potentially leading to higher residues. As a result, meaningful comparison of the new monitoring data and PS2372 results is limited.

Comparison of the new pollen residue data from flowers in field margins with pollen residue data from succeeding crops in fields previously planted with treated sugar beet is possible. Concentrations of thiamethoxam in the pollen of succeeding crops were up to 2.6 μ g/kg for oilseed rape and <1 μ g/kg for maize and *Phacelia* (2020). The maximum concentration of clothianidin in pollen from succeeding crops in 2020). Therefore the maximum residues of both substances in pollen from succeeding crops appear similar to the residues found in pollen from flowers in sugar beet field margins. However, as the residues in pollen from field margins are to be confirmed and are below the reported LOQ (and the LOD in most cases), again this comparison is limited.

Honey data:

Information on residues of thiamethoxan and clothianidin in honey is collected under the National Honey Monitoring Scheme (NHMS - <u>link</u>). In the previous bee risk assessment conducted by HSE for the 2022 emergency use of 'Cruiser SB' on sugar beet seeds (see green box below), there was some consideration of reported residues in honey from NHMS 2019 data and comparison to equivalent data from 2014-2017. While the 2020 data has not yet

been published, the summary data have been made available to HSE and are summarised in the following table.

Ν	Statistic	Clothianidin	Thiamethoxam	Imidacloprid
80 (total arable)	Mean	0.153	0.017	0.012
	SE	0.045	0.010	0.005
	Max	2.868	0.787	0.249
61 (non-sugar	Mean	0.11	0	0.007
beet areas)	SE	0.037	0	0.005
	Max	1.84	0	0.25
19 (sugar beet	Mean	0.292	0.07	0.027
areas)	SE	0.149	0.043	0.015
	Max	2.868	0.787	0.23
20 (urban and	Mean	0	0	0.009 (1
semi-natural)				sample)

Table HSE 2.6-11: Average residue levels (ng/g w/w) of neonicotinoid seed treatments found within honey for 2020

The arable data has been subdivided, comparing those honey samples originating from hives with or without sugar beet grown within 2 km of hives (assessed using the 2020 CEH Land Cover® plus Crop Map).

For thiamethoxam, the 2019 data shows a mean concentration in honey of 0.01 ng/g and maximum concentration of 0.96 ng/g. Therefore, the 2020 maximum residue (0.79 ng/g) is slightly lower than the 2019 figure, while the 2020 average arable residue (0.017 ng/g) is similar to the average residue from 2019.

For clothianidin, the 2019 data shows a mean concentration in honey of 0.16 ng/g and maximum concentration of 1.94 ng/g. Therefore the maximum residue from 2020 is higher than 2019 (2.87 ng/g), though the difference is less than a factor of 2. The 2020 average arable residue (0.153 ng/g) are similar to the average residue from 2019.

In the previous bee risk assessment conducted by HSE for the 2022 emergency use of 'Cruiser SB' on sugar beet seeds, data on residues in honey was not directly relied upon in the risk assessment. There was some comparison between maximum residue levels of thiamethoxam in honey and available toxicity endpoints. Since the maximum residue in 2020 samples is lower, the previous consideration still represents a worst-case.

Additionally, it is noted that data on residues in honey is of limited use for assessing risks to foraging bees, since oral exposure will be from consumption of nectar and extrapolating data on residues in honey to residues in nectar is uncertain. Residues in honey could potentially be used to assess the risk to in-hive bees over winter, where feeding on honey is expected, but there is currently a lack of appropriate assessment methodology for evaluating such risks.

Section 2.6-7 – Overall consideration of the risks to bees from use of 'Cruiser SB' on sugar beet

The regulatory risk assessment situation for bees, in light of the new data submitted to HSE in November 2022, is summarised in the following table.

Foraging scenario	Honeybees				Other bee
	Acute risk to adults	Chronic risk to adults	Sublethal effects on adults	Risks to Iarvae	species (bumble bees, wild bees)
Treated crop	Low risks due to crop being harvested before flowering				
Flowering weeds within treated field	Low risks where weeds are controlled through herbicide use programme				
Flowering weeds in field margins	Low risk indicated	Low risk indicated	Low risk likely but toxicity endpoint is unbound*	Low risk indicated	No assessment performed due to insufficient toxicity data and lack of suitable risk assessment methodology
Adjacent crops	Low risk indicated	Low risk indicated	Low risk likely but toxicity endpoint is unbound*	Low risk indicated	
Succeeding crops	Low risk indicated	Insufficient margin to conclude low risk	Insufficient margin to conclude low risk	Low risk indicated	
Guttation fluid	Low risk indicated	Insufficient margin to conclude low risk for succeeding crops	Insufficient margin to conclude low risk for succeeding crops	Insufficient margin to conclude low risk for thiamethoxam and the metabolite clothianidin from succeeding crops	

Table HSE 2.6-12: Summary of bee risk assessment outcomes for 'Cruiser SB'

* There were effects at the lowest concentration tested, hence effectively the endpoint is a 'less than' value

Section 3.8.3 of Regulation 1107/2009 specifies the following regarding risks to bees:

'An active substance, safener or synergist shall be approved only if it is established following an appropriate risk assessment on the basis of Community or internationally agreed test guidelines, that the use under the proposed conditions of use of plant protection products containing this active substance, safener or synergist:

— will result in a negligible exposure of honeybees, or

— has no unacceptable acute or chronic effects on colony survival and development, taking into account effects on honeybee larvae and honeybee behaviour.'

Section 2.5.2.3 of Regulation 546/2011 goes on to state that evaluation of the risk to honeybees is required and this shall include:

(i) the ratio between the maximum application rate expressed in grams of active substance per hectare and the

contact and oral LD 50 expressed in μ g of active substance per bee (hazard quotients) and where necessary the persistence of residues on or, where relevant, in the treated plants;

(ii) where relevant, the effects on honeybee larvae, honeybee behaviour, colony survival and development after use of the plant protection product in accordance with the proposed conditions of use.

The following decision criteria are also specified for honeybees:

'Where there is a possibility of honeybees being exposed, no authorisation shall be granted if the hazard quotients for oral or contact exposure of honeybees are greater than 50, unless it is clearly established through an appropriate risk assessment that under field conditions there are no unacceptable effects on honeybee larvae, honeybee behaviour, or colony survival and development after use of the plant protection product in accordance with the proposed conditions of use.'

In order to conclude on the acceptability of risk to bees from the proposed emergency use of 'Cruiser SB' on sugar beet, the following key regulatory questions are addressed.

1. Will use result in negligible exposure to honeybees?

Residues of thiamethoxam and the metabolite clothianidin above detection/quantification limits were found in pollen, nectar and guttation fluid from succeeding crops, which could lead to exposure of bees. Exposure could also occur via flowering plants in field margins/neighbouring fields and currently no robust data are available to quantify exposure via these pathways.

Therefore, it is concluded that use of 'Cruiser SB' on sugar beet will not result in negligible exposure to honeybees.

2. <u>Are oral and contact hazard quotients ≤ 50?</u>

The acute contact hazard quotients are 0.13 and 1.3 for use with and without a deflector respectively. Oral hazard quotients have not been calculated. However, the decision-making criteria related to hazard quotient and the trigger value of 50 were developed with respect to applications made via a spray and not solid formulations like seed treatments.

It is concluded that the available hazard quotients do not exceed 50. However, this criterion was not developed for assessing risks from products applied as seed treatments and does not account for effects from chronic exposure.

3. <u>Has it been clearly established that under field conditions there are no unacceptable effects on</u> <u>honeybee larvae, honeybee behaviour, or colony survival and development after use of the plant</u> <u>protection product in accordance with the proposed conditions of use?</u>

HSE considers that in order for it to be "clearly established" that there will be no unacceptable effects on honeybees from use of the product, it needs to be transparently demonstrated that there are no unacceptable impacts and a high level of certainty is required.

The term "unacceptable effects" is not further defined in the regulation. The current risk assessment framework for bees is outlined in the SANCO terrestrial guidance document (EC 2002). Section 2.1 states:

'There is a common understanding that the ecological risk assessment aims not at individuals but at the protection of populations. In general the continuance of populations of non-target organisms should be ensured. Structural and functional endpoints should be regarded of equal importance.'

And Section 4.3 (relating to risks to bees) states:

'It is important to consider any effects observed [in field trials] in relation to the overall survival and productivity of the hive'

On this basis effects that would negatively impact the hive/colony, in terms of its survival, development or productivity would be considered unacceptable.

HSE has compared predicted (or measured) exposure levels with toxicity endpoints for honeybees to determine the likelihood of impacts occurring under field conditions. Exposure has been calculated for succeeding crops, flowering weeds in field margins, adjacent crops and guttation fluid scenarios. There is an insufficient margin of safety in the succeeding crop and guttation fluid (succeeding crop) exposure scenarios in order to be able to conclude with high certainty that there will be no unacceptable impacts on individual adult honeybees or honeybee larvae under field conditions. From the available data it is not possible to determine what the impact could be on honeybees at the colony level from the exceedances of the toxicity endpoints highlighted above. This is due to:

- the lack of an agreed risk assessment scheme with associated protection goals;
- the absence of suitable higher tier data investigating effects on honeybee colonies under field conditions;
- and the absence of suitable models that could use the output from lower tier studies to determine effects at the colony level.

Therefore, HSE remains of the view that it has not been clearly established that there will be no unacceptable effects on adult or larval honeybee survival and behaviour after use of the plant protection product in accordance with the proposed conditions of use. It has also not been clearly established that any such effects would not negatively impact the survival, development or productivity of the colony.

4. Can the risk to bees be reduced via risk mitigation measures?

Ensuring treated fields are free of flowering weeds will limit one pathway of potential exposure for bees, though it is recognised that removal of flowering plants may also impact the health of bee colonies. This mitigation measure has already been taken into account in the risk assessment.

Stipulating a 32 month period between drilling treated sugar beet seed and drilling a crop that is attractive to honeybees could reduce exposure of bees to thiamethoxam and clothianidin. However, due to the high persistence of these substances in soil, it is unclear to what extent (if any) this would impact the risk assessment. Further discussion of the persistence of the active substance and metabolite can be found in section 2.5 of this document.

Therefore, the proposed mitigation measures could reduce exposure of bees but the magnitude of any reduction is unknown and it has not been shown that this would be sufficient to reduce impacts to an acceptable level.

5. <u>What impacts on honeybees could result from the proposed emergency use of 'Cruiser SB' on sugar</u> <u>beet?</u>

As discussed above, for the succeeding crop and guttation fluid scenarios, predicted exposure levels are close to levels where mortality from chronic exposure and sublethal effects were observed in toxicity studies with thiamethoxam. In terms of sublethal effects, homing flight activity was impaired in the **sector** (2012) and **sector**

(2001) studies, with affected bees not returning to the hive and presumed dead. Assuming a 6.5 day lifespan for foragers, the authors estimated that in the experiment where the greatest effect was seen, exposure to thiamethoxam resulted in approximately doubling the normal mortality rate for foragers.

Effects at the individual level may go on to impact the survival/development/productivity of the colony/hive. The magnitude of any impact will depend on the ability of the hive to compensate for this loss. As explained above, the available data don't currently allow for a robust estimate of the effect of use of 'Cruiser SB' at a colony level to be determined. It is noted that **Control** (2012) included limited discussion of results from some population modelling, suggesting that based on the homing flight effects observed, colony size decreased during the exposure

period but was able to recover after exposure stopped. However, very little information has been provided on the modelling performed by (2012), meaning that it cannot be checked and it is not clear what assumptions underlie the modelling, e.g. was a particular landscape modelled, were other stressors considered? Therefore, HSE does not have a sound basis to rely on the modelling referred to in (2012).

It remains unknown whether honeybee colonies situated in the vicinity of treated sugar beet fields would be able to recover from any reduction in colony size. This would likely depend on the prior health of the colony, disease status and availability of other flowering plants in the locality. While honeybee maximum foraging distances can vary in relation to colony size, significant exposure of any colonies located 4 km or more from treated fields is unlikely to occur.

6. What are the risks to bee species other than honeybees?

As with honeybees, bumblebees, solitary bees and other wild bees would not be exposed directly via the treated crop but could be exposed to residues of thiamethoxam and/or clothianidin when foraging on flowering plants in field margins, surrounding fields and/or succeeding crops (if allowed to flower). Due to the lack of suitable information on sensitivity and the lack of an agreed risk assessment scheme with associated protection goals, it is not currently possible to perform an assessment of the risks to bees other than honeybees from the proposed use of 'Cruiser SB'. Therefore, in the absence of information to the contrary, it cannot be excluded that effects on such species could occur. Maximum foraging distances for bumblebees are generally lower than for honeybees, though for solitary bees the figure is highly variable between species and can be similar to honeybees.

<u>Summary of situation regarding application for use in 2023 - As presented at October</u> 2022 ECP

Previous HSE assessment

Risks to non-target organisms from 'Cruiser SB' were previously considered by HSE under the Article 53 application for use of this product on sugar beet in 2022 (COP 2021/01344). No new information regarding toxicity or exposure to non-target organisms have been submitted since this previous evaluation, though some additional information is currently being generated (as discussed below). There have been no changes in noted guidance or agreed toxicity endpoints for thiamethoxam or clothianidin since the evaluation for emergency use in 2022. In light of this, a new assessment of the risks from use of 'Cruiser SB' on sugar beet has not been conducted at this time for use in 2023, since the previous evaluation is still valid (HSE ref: 002007624). The conclusions from the 2022 use assessment are therefore applicable for the 2023 use application. The 2022 emergency use evaluation is reproduced verbatim below in a green box. Briefly, the conclusions of that evaluation are as described here.

Birds and mammals – Acute and long-term/reproductive risks to birds and mammals via consumption of treated seed and germinated seedlings have been assessed and are considered to be low.

Aquatic organisms - Risks to aquatic organisms from exposure to thiamethoxam and the metabolite clothianidin via drainflow are considered acceptable. It is noted that exposure above the PNEC under the WFD would be expected in some small, edge of field water bodies.

Bees - The acute contact risk to honeybees from dust drift is considered to be acceptable, based on a hazard quotient calculation. Oral exposure of honeybees has been considered via foraging on flowering plants in the field margin, adjacent crops and succeeding crops. For the risk to larvae and the acute risk to adults, the predicted exposure level is at least an order of

magnitude below the relevant toxicity endpoint for these oral exposure routes. Regarding the chronic oral risk to adult honeybees via these exposure routes, in the absence of a reliable toxicity endpoint for comparison, it has not been possible to determine a margin of safety in the chronic adult honeybee risk assessment. However, using the available data in an illustrative assessment indicates a potential risk to honeybees at the individual level and reliable higher tier data are not available to consider the impact further at colony level. Risks via guttation have also been considered; while the risk from the initial use on sugar beet is considered acceptable, an acceptable risk via guttation has not been clearly demonstrated for succeeding crops.

Other non-target arthropods – On the basis of the first tier data a potential risk is highlighted. This is considered further using field data; while some initial impact on collembolans is anticipated, recovery of affected populations is expected. On this basis an acceptable risk to non-target arthropods (other than bees) is concluded.

Soil macro-organisms - The acute and long term risks of thiamethoxam and the soil metabolite, clothianidin to earthworms are considered acceptable when used as proposed on sugar beet. The risk of thiamethoxam and clothianidin to other soil organisms involved in organic matter breakdown is also acceptable.

Soil micro-organisms – Comparison of the available laboratory toxicity data with predicted soil concentrations from sugar beet use indicate there are not expected to be any significant effects on soil microbial function when 'Cruiser SB' is applied at label recommended doses to sugar beet.

Terrestrial non-target plants – Given the seed treatment use, exposure of non-target plants to thiamethoxam should be negligible. Additionally, the available efficacy studies indicate low risks to non-target plants.

Overall, in light of the risks to honeybees indicated in the risk assessment, it was concluded that it had not been sufficiently demonstrated that there will be no unacceptable impacts on bees following use of 'Cruiser SB' on sugar beet. Therefore the risk according to para 2.5.2.3 of Annex Part 1, Section C of the Uniform principles for evaluation and authorisation of plant protection products, as provided for in Article 29(6) of Regulation (EC) No 1107/2009 is unacceptable.

Additional data to be generated

As noted above, HSE is aware of ongoing research work that has the potential to impact the risk assessment for non-target organisms, specifically honeybees, but these data are not yet available and hence have not been taken into account in the risk assessment.

Firstly, a laboratory study investigating the chronic toxicity of thiamethoxam to honeybees is ongoing, with the final study report expected to be completed imminently. In the bee risk assessment conducted for 2022 there was uncertainty over the chronic risk to adult bees, given the absence of a reliable chronic toxicity study (since in the available study the duration of exposure was insufficient). Therefore, if a LD50 value from a reliable chronic toxicity study is available, the bee risk assessment below could be updated using this new value. This ongoing study therefore has the potential to reduce the uncertainty in the bee risk assessment, though this is subject to confirming the reliability of the new study. Additionally, whether the availability of the new study confirms or challenges the previous conclusions regarding chronic risks to adult bees will depend on the study results.

Behavioural effects of thiamethoxam were observed on honeybees (impacts on return flight ability) in published studies (2001; 2001; 2012a). Such effects will not be monitored in the new honeybee chronic adult toxicity study and it is unknown whether the parameters monitored would be protective of such effects. It should be noted though that there is no accepted standard protocol for a study investigating effects of pesticides on bee return flight ability and the relevance of such effects for bee colonies under field conditions is unknown.

Secondly, data are being generated monitoring residue levels of thiamethoxam and clothianidin in soil, plants and pollen. Again this work is ongoing, so it is not possible to comment at this stage on how these data would change the bee risk assessment, as outlined below. Potentially such data could inform on the significance of different routes of exposure for honey bees. Additionally, residue levels in pollen could potentially be factored into the quantitative risk assessment, though the reliability of the data and sufficiency of the dataset would need to be considered. It should be noted that due to extreme weather conditions at the monitoring locations in the summer of 2022, the relevance of the residue data for other weather conditions will also need to be considered and may limit the domain of applicability of the data.

EVALUATION	I, SUMMARY AI	ND CONCLUSION BY REC	GULATORY AU	THORITY	
Name of authority	Where the asses is reached within assessment to un specific tests are below may high necessarily refle overall risks and	fety Executive sment below indicates that the n the framework of the stand niform principles. Article 53 e met. Therefore, whilst (for light the areas of greatest con act the conclusions for this en l benefits from the proposed to ection 3 Conclusion of Emerg	ard criteria for a allows a derogat example) referen icern <u>,</u> this is not nergency authori use and assessme	commercial autho ion from the stand ce to unacceptable the test under Artic sation application. ent against the requ	risation based on ard criteria providing risks in the assessment cle 53 and does not The discussion of the
Background	seeds as a see pelleted seed. The application	use of 'Cruiser SB' (contain ed treatment, noting that wh n rate expressed in terms of sugar beet seeds is assume g. Seed loading (g a.s./100000 seeds)	en sugar beet s f active substan	eed is treated it is ce is 45 g a.s. ⁸ /10	s in the form of a
	600 The following e Report for thiar HSE re registra the EU and in p below for furthe application; it is may differ. Thiamethoxam	45 ecotoxicology assessment h methoxam (European Com ation of this product. In add particular EFSA have been er details). Previously evalues possible however that if re has a major soil metabolite ce clothianidin. The risk fro ne soil.	mission 2006 ⁹) ; ition, data from considered in th uated studies ha e-evaluated to n e, known as CG	and any additiona subsequent asses ne assessment of ave not been re-ev nodern standards A 322704. This is	I data evaluated for the ssments carried out by the risk to bees (see valuated for this then the endpoints s also the pesticide

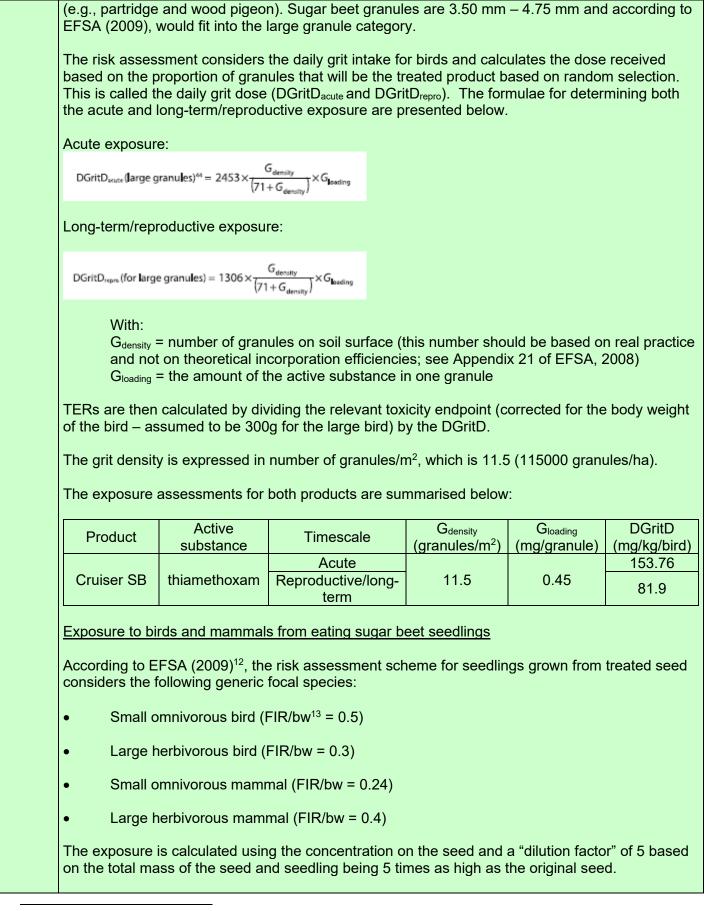
⁸ a.s. = active substance

⁹ European Commission (2006) Review report for the active substance thiamethoxam SANCO/10390/2002 - rev. 2

	· · · · · · · · · · · · · · · · · · ·		•	•		where guidance has have been provided			
	(e.g., bees). The original evaluation was circulated with the 2020 application for 'Cruiser SB', see ECP 4-7 (39/2020), HSE internal reference WIS 001072834).								
Effects on terrestrial vertebrates	The guidance in place to assess the risk to birds and mammals has changed since the original evaluation of this product ¹⁰ , however the toxicity endpoints have not changed. In light of the change in guidance, a new assessment is presented below. However, the original assessment was presented in the document circulated with the 2020 application for 'Cruiser SB', see ECP 4-7 (39/2020), HSE internal reference WIS 001072834). The following risk assessment below is based on EFSA (2009) ¹¹ using the EU agreed endpoints (European Commission (2006) ¹).								
	Toxicity								
	Toxicity endpoints	s have been ta	aken from the late	st EU review	(European (Commission (2006)):			
	Active	Group	Timescale	Endpoint	Toxicity	Units			
		Dirala	Acute	LD50	576	mg/kg bw			
	thiamethoxam	Birds	Reproductive	NOEL	29.4	mg/kg bw/d			
	uniametrioxam	Mammals	Acute	LD50	783	mg/kg bw			
		Mariniais	Reproductive	NOEL	46	mg/kg bw/d			
	both need to be c For pelleted seed Section 5.2.1 of E	s an assessm		eating the se	eeds is not r	equired (see Step 1 of			
	seeds were not re to be taken as so therefore in light of	eadily taken as urce of grit mu of this, an ass	s a food source by	v birds. Howe ered when m ed following th	ver, the pote aking a risk ne scheme f	ated that some pelleted ential for pelleted seeds assessment for birds", or birds ingesting			
	<u>Exposure</u>	Exposure to birds and mammals from eating pelleted sugar beer seeds							
		and mamma	ls from eating pelle	eted sugar be	eer seeds				
		and mamma	ls from eating pelle	eted sugar be	eer seeds				
	Exposure to birds	an assessme	nt for mammals e			quired (see Step 1 of			
	Exposure to birds <u>Mammals</u> As stated above,	an assessme	nt for mammals e			quired (see Step 1 of			

¹⁰ Guidance has changed from SANCO 4145/2001 to EFSA (2009)

¹¹ European Food Safety Authority; Guidance Document on Risk Assessment for Birds & Mammals on request from EFSA. EFSA Journal 2009; 7(12):1438. doi:10.2903/j.efsa.2009.1438.



¹² In addition to EFSA (2009), further details are provided in <u>https://www.hse.gov.uk/pesticides/pesticides-registration/data-requirements-handbook/birds.htm</u>.

¹³ FIR/bw = food intake rate/body weight

On the basis of the above assumptions, the exposure estimates for the seedlings grown from treated seed are as follows:

Active substance	Group	Timescale	FIR/bw ¹	Seed loading (mg/kg)	Ftwa ²	DDD ³ (mg/kg bw/d)
		Acute	0.5	7500	-	750
	Birds	Reproductive	0.5	7500	0.53	397.5
	Bilds	Acute	0.3	7500	-	450
thiamethoxam		Reproductive	0.3	7500	0.53	238.5
uniameurioxam		Acute	0.24	7500	-	360
		Reproductive	0.24	7500	0.53	190.8
	Mammals	Acute	0.4	7500	-	600
		Reproductive	0.4	7500	0.53	318

¹ FIR/bw – food intake rate/body weight

² time weighted average factor

³ daily dietary dose

<u>Risk</u>

Risk to birds and mammals from eating pelleted sugar beer seeds

Mammals

As stated above, an assessment for **mammals** eating the seeds is not required (see Step 1 of Section 5.2.1 of EFSA (2009)).

<u>Birds</u>

The TERs calculated with the agreed toxicity endpoints from EC (2006) and calculated exposure values from EFSA (2009) are shown below:

Product	Active substance	Timescale	DGritD (mg/300 g bird)	Toxicity (mg/300 g bird)	TER	Trigger
'Cruiser	thiomothoyom	Acute	153.76	172.8	1.12	10
SB'	thiamethoxam	Reproductive	81.9	8.82	0.11	5

All TERs are below the trigger, so the risk from birds consuming pelleted seeds as grit has **not** been shown to be acceptable.

In order to help put these TERs into context the number of pelleted seeds required to reach the toxicity endpoint (corrected for the body weight of the bird and with the relevant assessment factor of 10 for acute risk and 5 for reproductive risk) has also been calculated. The results are shown below:

Product	Active substance	Timescale	Number of seeds
(Omulaan CD)	thiamethoxam	Acute	38.4
'Cruiser SB'	uniameurioxam	Reproductive	3.9

It is noted that the previous UK view has been that birds will not take pelleted seed as a source of food based on Prosser (2001), however it is feasible that they could take them as a source of grit.

No further information has been submitted to refine the risk to birds from the consumption of pelleted sugar beet seed as grit. However, given that the constituency of the pellet, it is

considered unlikely that birds will seek pellet seed out as a source of grit.

The overall acute and long-term/reproductive risk to birds from the consumption of pelleted seed is considered to be low based primarily on field data from Prosser (2001).

Risk to birds and mammals from eating sugar beet seedlings

On the basis of the toxicity values from EC (2006) and the worst-case exposure estimates from EFSA (2009) (see above), the following TERs have been determined:

Product	Active substance	Group	Timescale	DDD (mg/kg bw/d)	Toxicity (mg/kg/d)	TER	Trigger
		Birds	Acute	750	576	0.77	10
Cruiser	thiamethoxam	Dirus	Reproductive	397.5	29.4	0.07	5
SB	uniametnoxam	Mammala	Acute	360	783	2.18	10
		Mammals	Reproductive	190.8	46	0.24	5

All TERs are below the trigger, so the risk from birds and mammals consuming seedlings grown from treated seed has not been shown to be acceptable.

Refined risk assessment for birds and mammals from eating sugar beet seedlings

Residue data in sugar beet seedlings was considered in the previous risk assessment of 'Cruiser SB' (this previous assessment was circulated with the 2020 application for 'Cruiser SB', see ECP 4-7 (39/2020), HSE internal reference WIS 00107283).

Extract from previous evaluation:

Residue data are available for sugar beet seedlings (Sole 2004). These have been used to estimate exposure to birds eating germinating seedlings. In this study pelleted sugar beet seeds were treated with the formulation 'Cruiser 70WS' at the rate of 1200 g a.s./100 kg seed. The proposed rate of 'Cruiser SB' is 1579 g a.s./100 kg seed. Due to this difference the Notifier has multiplied the residues by a factor of 1.3.

A peak concentration of 42.3 mg/kg was used for the acute assessment and a 21-day time weighted average concentration of 6.5 mg/kg was used for the reproductive assessment. This concentration was used for an application rate of 60 g a.s./100000 seeds, which is higher than the proposed rate of 45 g a.s./100000 seeds, so will cover the risk from the proposed use. The resulting TERs are shown below:

Group	Timescale	FIR/bw	C (mg/kg)	DDD (mg/kg bw/d)	Toxicity (mg/kg/d)	TER	Trigger
Dirdo	Acute	0.5	42.3	21.15	576	27.23	10
Birds	Reproductive	0.5	6.5	3.25	29.4	9.05	5
Mananala	Acute	0.24	42.3	10.152	783	77.13	10
Mammals	Reproductive	0.24	6.5	1.56	46	29.49	5

The TERs are above the trigger value, so the risk to birds and mammals from eating seedlings grown from treated seed is acceptable.

Wildlife monitoring

	For the first approval of 'Cruiser SB' an assessment under COP 2006/00175 considered by the ACP concluded that authorisation could be issued for the use of 'Cruiser SB' as a seed treatment on sugar beet but required post-approval monitoring studies on birds and mammals. These studies were considered under COP 2008/00049 and consisted of a wildlife study (Thompson 2007a, primarily considering acute effects on birds) and a wood mouse monitoring study (Thompson 2007b). The ACP considered that the wildlife study addressed the requirement for birds, but that further monitoring of wood mice was required. An additional wood mouse study was submitted under COP 2009/01381. This study involved trapping woodmice on 3 consecutive nights before and after drilling. No dead woodmice were found and numbers recaptured in the control and treated plots were similar. This study did not show any adverse effects on woodmice and was considered to address the outstanding data requirement, although it is noted that only short-term effects could be covered in this short monitoring study. It should be noted that this study has not be re-evaluated for this application.							
	Conclusion for birds a	nd mammals						
	The risk to birds and ma seed is acceptable. The consideration for birds c expected that birds will t the above monitoring da	standard risk as onsuming grit an ake pelleted see	sessment for t d this did not s d as a source	he pelleted see show an accep of grit on the b	eds is based o table risk. Hov asis of Prosse	n the wever, it is not r (2001) and		
Effects on	The guidance in place to							
aquatic life	of this product ¹⁴ , however new assessment is pres are presented in the doo (39/2020), HSE internal	ented below. Ho cument circulated	wever, the orig I with the 2020	jinal assessme	ent and associa	ated studies		
	The toxicity endpoints us review (European Comm according to the EFSA a	nission (2006) ¹),	whilst the risk	assessment h				
	For each taxonomic groubeen determined by divious overall RAC is then determined by the second secon	ding the lowest t	oxicity endpoir	nt by the releva				
	Toxicity							
	<u>Thiamethoxam</u>							
	The first-tier toxicity end	points are summ	arised below:					
	Group	Timescale	Toxicity (µg/L)	AF	RAC (µg/L)	Overall RAC (µg/L)		
	Fish	Acute	125000	100	1250			
	Fish	Chronic	20000	10	2000			
	Invertebrates	Acute	14	100	0.14	0.14		
	Invertebrates	Chronic	100000	10	10000			
	Sediment	Chronic	10	10	1			

 ¹⁴ Changed from SANCO/3268/2001/rev.4 – Guidance Document on Aquatic Ecotoxicology, to EFSA (2013).
 ¹⁵ EFSA PPR Panel (EFSA Panel on Plant Protection Products and their Residues), 2013. Guidance on tiered risk assessment for plant protection products for aquatic organisms in edge-of-field surface waters. EFSA Journal 2013;11(7):3290, 268 pp. doi:10.2903/j.efsa.2013.3290.

Algae	Chronic	81800	10	8180	
Lemna	Chronic	90200	10	9020	

In addition, a mesocosm was submitted and evaluated as part of a previous UK assessment (this previous assessment was circulated with the 2020 application for 'Cruiser SB', see ECP 4-7 (39/2020), HSE internal reference WIS 001072834). The overall NOEC from the study was $10 - 30 \mu g$ thiamethoxam/I (the lower value is based on non-significant trends in responses observed and should be considered as conservative).

According to the EFSA (2013), the NOEC from the mesocosm can be used to set at an ecological threshold option-regulatory acceptable concentration (or ETO-RAC). According to EFSA (2013), an assessment of the minimum detectable difference, or MDD, should be carried out to assist in the interpretation of the mesocosm and more importantly derivation of an appropriate endpoint and assessment factor. However, when this study was submitted and evaluated an MDD analysis was not required, and as a result it is not possible to take this into account when setting the Assessment Factor (AF). According to EFSA (2013), when the RAC is set on the basis of a NOEC or class 1 effects, then an AF of 2 can be applied to the RAC. It is considered that the proposed NOEC of 10 μ g a.s./L is based on class 1 effects and therefore, the Tier 3 **ETO-RAC is 5 \mug a.s./L.** This endpoint covers the toxicity and hence risk to aquatic invertebrates.

(It should be noted that the mesocosm study has not been re-evaluated.)

<u>Clothianidin</u>

Clothianidin is a soil metabolite of thiamethoxam and may, due to drainflow, enter surface water, hence there is a need to assess the risk to aquatic life from this metabolite.

The first-tier toxicity endpoints are summarised below and have been taken from (European Commission (2005)¹⁶):

Group	Timescale	Toxicity (µg/L)	AF	RAC (µg/L)	Overall RAC (µg/L)
Fish	Acute	104200	100	1042	
Fish	Chronic	20000	10	2000	
Invertebrates	Acute	29 ¹	100	0.29	0.072
Invertebrates	Chronic	120	10	12	0.072
Sediment	Chronic	0.72	10	0.072	
Algae	Chronic	55000	10	5500	

¹ Sediment dweller endpoint

In addition, a mesocosm was evaluated for the EU review and an "ecologically acceptable concentration" or EAC of 3.1 μ g a.s./l was determined. In order to assess this use to modern standards, it is, as indicated above for thiamethoxam, necessary to determine an ETO-RAC. The NOEC from this mesocosm is 0.986 μ g a.s./L (see HSE internal reference WIS 001329815. As, was the case above for thiamethoxam no MDD assessment was carried out, however, it is proposed to apply an assessment factor of 2 to the NOEC as for thiamethoxam. This gives a Tier 3 **ETO-RAC is 0.493 \mug a.s./L.** This endpoint covers the toxicity and hence risk to aquatic invertebrates.

(It should be noted that the mesocosm study has not been re-evaluated.)

¹⁶ Clothianidin SANCO/10533/05-rev. 2 18 January 2005 Review report for the active substance clothianidin Finalised in the Standing Committee on the Food Chain and Animal Health at its meeting on 27 January 2006 in view of the inclusion of clothianidin in Annex I of Directive 91/414/EEC.

Exposure

As this product is a seed treatment no consideration of spray drift has been made.

It is feasible that dust drift may occur from a seed treatment, however this is not part of the regulatory assessment, furthermore, as these formulations are pelleted seed that is treated with a film coating, the levels of dust generated at the point of application should be minimal and no consideration of dust drift is required for these formulations. The main route of surface water exposure is via drainflow and this has been assessed using the standard MACRO modelling approach and following published guidance.

The standard regulatory soil scenarios representative of sugar beet growing areas are Hanslope, Brockhurst and Clifton in dry, medium and wet climate scenarios.

An application rate of 51.75 g thiamethoxam/ha has been considered with an earliest sowing date of 1st March and latest sowing date of 1st April being considered in separate assessments.

Risk

<u>Thiamethoxam</u>

The results from all soil-climate scenarios relevant to the crop are considered, with peak annual PECsw values from 30 years of model simulation data compared against the Regulatory Acceptable Concentration (RAC). The number of years where the RAC is exceeded is determined.

The risk assessment using the **overall RAC of 0.14 µg/L** is summarised below:

The number of years where the RAC is exceeded along with the percentage (in brackets) is presented below. This assessment in this eRR has assumed an application rate of 51.75 g a.s./ha made on **1**st **March** and as stated above, using first-tier RACs.

Soil	Dry	Medium	Wet	Very wet
Hanslope	18/30 (60.0)	18/30 (60.0)	25/30 (83.3)	25/30 (83.3)
Brockhurst	7/30 (23.3)	12/30 (40.0)	18/30 (60.0)	18/30 (60.0)
Clifton	0/30 (0)	4/30 (13.3)	2/30 (6.7)	2/30 (6.7)

Information on the extent of crop likely to be grown in each soil and climate scenario has been used to weight the individual percentage of exceedance years. Based on this weighting procedure, overall levels of exceedance are calculated as follows: -

RAC exceeded	=	10.26%
Undrained	=	51.01%
Drained but 'safe'	=	38.72%
Total 'safe'	=	89.74%
Total	=	100%

Based on previous assessments, the risk is considered acceptable if there are no more than 3 years out of 30 exceeding the RAC; this is not the case for the proposed use. In addition, the overall level of weighted scenario years considering the extent of sugar beet grown on each scenario indicates that an unacceptable risk occurs in more than 10% of the cropping area (10.26%). The risk has not been shown to be acceptable using first tier toxicity values.

Presented below is a further assessment which has assumed the same application rate, however a slightly later application date, i.e., **1**st **April**, the first-tier RAC have also been used.

Soil	Dry	Medium	Wet	Very wet
Hanslope	18/30 (60.0)	14/30 (46.6)	22/30 (73.3)	22/30 (73.3)
Brockhurst	7/30 (23.3)	3/30 (10.0)	10/30 (33.3)	10/30 (33.3)
Clifton	0/30 (0)	0/30 (0)	2/30 (6.7)	2/30 (6.7)

As above, information on the extent of crop likely to be grown in each soil and climate scenario has been used to weight the individual percentage of exceedance years. Based on this weighting procedure, overall levels of exceedance are calculated as follows: -

RAC exceeded	=	7.98%
Undrained	=	51.01%
Drained but 'safe'	=	41.01%
Total 'safe'	=	92.02%
Total	=	100%

As stated above, the risk is considered acceptable if there are no more than 3 years out of 30 exceeding the RAC; this is not the case in this situation. The risk has not been shown to be acceptable using first tier toxicity values.

Presented below, is an assessment assuming an application rate of 51.75 g a.s./ha made on 1^{st} March and using the **ETO-RAC of 5 µg a.s./L**. As above the number of years where the ETO-RAC has been exceeded, along with the percentage (in brackets) is presented.

Soil	Dry	Medium	Wet	Very wet
Hanslope	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)
Brockhurst	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)
Clifton	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)

As above, information on the extent of the crop likely to be grown in each soil and climate scenario is used to weight the individual percentage of exceedance years. Based on this weighting procedure, overall levels of exceedance are calculated as follows: -

=	0%
=	51.01%
=	48.99%
=	100%
=	100%
	= = =

With the ETO-RAC of 5 μ g/l for thiamethoxam there are zero exceedances. The maximum predicted concentration was 2.799 μ g/l for the Hanslope medium scenario. It should be noted that when using higher tier data, like a mesocosm study, along with higher tier drainflow data, there should be some form of consideration of the exposure profiles. This consideration is required to ensure that the exposure pattern in the effects study is in line, or comparable to, that expected. In this instance, this has not been possible, however given that the highest predicted concentration is just over half the ETO-RAC, consideration of the profiles is not considered essential. Therefore, the risk from thiamethoxam for the use on 1st March is acceptable. Since this is the worst-case exposure scenario the risk is also acceptable for the remainder of the sowing period.

<u>Clothianidin</u>

The number of years where the higher-tier ETO-RAC of 0.493 μ g/L is exceeded is presented below along with the percentages (in brackets). This has assumed an application rate of the parent (thiamethoxam) and a timing of 1st March.

Soil	Dry	Medium	Wet	Very wet
Hanslope	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)
Brockhurst	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)
Clifton	0/30 (0)	0/30 (0)	0/30 (0)	0/30 (0)

As presented above, formation on the extent of crop likely to be grown in each soil and climate scenario has been used to weight the individual percentage of exceedance years. Based on this weighting procedure, overall levels of exceedance are calculated as follows: -

RAC exceeded	=	0%
Undrained	=	51.01%
Drained but 'safe'	=	48.99%
Total 'safe'	=	100%
Total	=	100%

With the ETO-RAC for clothianidin RAC of 0.493 μ g/l there are zero exceedances. The maximum predicted concentration was 0.118 μ g/l for the Hanslope medium scenario, therefore whilst it would have been ideal to consider the profiles (as outlined above) in this instance, it is not considered essential. Therefore, the risk from clothianidin for the use on 1st March is acceptable.

Combined risk

HSE considered the potential combined exposure arising from residues of both thiamethoxam and clothianidin based on annual peak daily concentrations. In this case there were no exceedances considering thiamethoxam and clothianidin individually, and no exceedances considering combined residues. An acceptable risk has been demonstrated for March applications utilising the higher tier RACs for thiamethoxam and clothianidin.

Consideration of the RAC used for thiamethoxam and the Water Framework Directive (WFD)

Two sets of PNECs are available (JRC Technical Report 2018¹⁷):

- PNECs from the 2015 JRC report entitled "Development of the 1st Watch List under the Environmental Quality Standards Directive" by Raquel N. Carvalho, Lidia Ceriani, Alessio Ippolito and Teresa Lettieri.
- Updated PNECs, based on the prioritisation exercise and on additional information received from Germany, Switzerland, and Netherlands.

The first of these is 0.14 μ g/L, which is in line with the first tier RAC used in the above assessment.

The second, updated PNEC is lower at 0.042 μ g/L, but the basis for this PNEC has not been

¹⁷ JRC Technical Reports. Review of the 1st Watch List under the Water Framework Directive and recommendations for the 2nd Watch List. April 2018

	 under the WFD. This is due to the availability of a mesocosm study. The guidance for assessing the risk to aquatic organisms in edge of field surface water (EFSA 2013³) uses a tiered approach where if additional data are available the first tier RAC can be replaced by a refined RAC using the additional data. It should be noted, however, that neither the mesocosm that assessed the toxicity of thiamethoxam, nor the one on clothianidin, were revisited for this application and hence the original assessment considered during the EU review was used; this latter assessment was prior to the use of EFSA (2013). Based on the first tier drainflow assessment it can be concluded that exposure above the PNEC under the WFD would be expected in some small, edge of field water bodies. Conclusion Based on a higher tier effects and exposure assessment the risk to aquatic organisms from the proposed use of 'Cruiser SB' is acceptable, but it is noted exposure above the PNEC under the WFD would be expected in some small, edge of field water bodies.
Effects on bees	 The risk to bees from the use of thiamethoxam has been considered in detail by EFSA (2013a¹⁸, 2015¹⁹ and 2018²⁰) and in light of this, the conclusions from these assessments are considered in the following assessment and in particular the most recent evaluation presented in EFSA (2018). EFSA (2018) considered, amongst other uses, the use as a sugar beet seed treatment at a range of rates (including the rate considered in this eRR, i.e., 0.45 mg a.s./seed) and the assessment was carried out using EFSA (2013b²¹). This EFSA assessment has been considered by HSE; however, it should be noted that the guidance (i.e., EFSA (2013b)) used was not noted by the EU when the UK left the EU. In light of this, the information from the latest assessment by EFSA has only been used to inform our assessment in terms of determining exposure values, however HSE has made no consideration of the protection goals and associated trigger values quoted in EFSA (2013b). As summarised in EFSA (2018), the European Commission requested EFSA to provide conclusions concerning an updated risk assessment for bees for the three neonicotinoids (namely clothianidin, imidacloprid and thiamethoxam), taking into account: the new relevant data collected in the framework of the specific open call for data; any other new data from studies, research and monitoring activities that are relevant to the uses under consideration; the EFSA Guidance Document on the risk assessment of plant protection products on bees (<i>Apis mellifera</i>, <i>Bombus spp</i>. and solitary bees); In order to collect all published scientific literature relevant for the current evaluation, EFSA also considered the data available from a systematic literature review performed in June 2016. Outcome of EFSA (2018) risk assessment

 ¹⁸ European Food Safety Authority; Conclusion on the peer review of the pesticide risk assessment for bees for the active substance thiamethoxam. EFSA Journal 2013;11(1):3067. [68 pp.] doi:10.2903/j.efsa.2013.3067.
 ¹⁹ EFSA (European Food Safety Authority), 2015. Conclusion on the peer review of the pesticide risk

assessment for bees for the active substance thiamethoxam considering all uses other than seed treatments and granules. EFSA Journal 2015;13(8):4212, 70 pp. doi:10.2903/j.efsa.2015.4212 ²⁰ EFSA (European Food Safety Authority), 2018. Conclusions on the peer review of the pesticide risk assessment

²⁰ EFSA (European Food Safety Authority), 2018. Conclusions on the peer review of the pesticide risk assessment for bees for the active substance thiamethoxam considering the uses as seed treatments and granules. EFSA Journal 2018;16(2):5179, 59 pp. https://doi.org/10.2903/j.efsa.2018.5179

²¹ EFSA (European Food Safety Authority), 2013. EFSA Guidance Document on the risk assessment of plant protection products on bees (*Apis mellifera, Bombus* spp. and solitary bees). EFSA Journal 2013;11(7):3295, 268 pp. doi:10.2903/j.efsa.2013.3295

	sented below are the key conclusions relevant to the proposed use on sugar beet of the ew conducted by EFSA (2018).
Risl	k via systemic translocation in plants – residues in nectar and pollen
EFS	SA (2018), stated:
	Treated crop scenario
	A risk assessment for the treated crop scenario was not considered relevant for uses of thiamethoxam on broccoli, Brussel sprout, cauliflower, head cabbage, kale, lettuce, carrot and sugar beet, as these crops are harvested before flowering. As such, a low risk to all bee species was concluded for the treated crop scenario.
	Succeeding crop scenario
	A high risk at the Tier-1 was concluded for all crops and all bee groups.
Ris	k from contamination of adjacent vegetation via dust drift
EFS	SA (2018), stated:
	Field margin and adjacent crop scenarios
	For the uses on sugar beet (both seeding rates ²²), the risk assessment could not be finalised in (sic) lack of data about chronic toxicity to adults and HPG development (whereas a low risk was indicated for acute toxicity to adults and prolonged toxicity to larvae for all bee groups – for bumblebees and solitary bees only when a deflector is used).
	The available data did not allow performing any refined risk assessment for exposure via
Risl	k via consumption of contaminated water
EFS	SA (2018), stated:
	A low risk to honey bees was concluded for the uses on sugar beet, in agreement with the evaluation of the confirmatory data for imidacloprid and clothianidin (EFSA, 2016b,c ²³) and confirmed during the expert meeting related to this assessment.
	For all other crops, a low risk to honey bees could not be demonstrated using the screening assessment based on the solubility of thiamethoxam. Nevertheless, lettuce could be sown and transplanted in greenhouses, without ever be placed in the field. When these operations happen in permanent structures, the exposure to any bee species is considered negligible, and a low risk is concluded.

²² The rates considered by EFSA (2018) were 0.45 mg a.s./seed and 0.6 mg a.s./seed, equivalent to 58.5 g a.s./ha and 78 g a.s./ha.

²³ EFSA (European Food Safety Authority), 2016b. Conclusion on the peer review of the pesticide risk assessment for the active substance clothianidin in light of confirmatory data submitted. EFSA Journal 2016;14(11):4606, 34 pp. <u>https://doi.org/10.2903/j.efsa.2016.4606</u> and EFSA (European Food Safety Authority), 2016c. Conclusion on the peer review of the pesticide risk assessment for the active substance imidacloprid in light of confirmatory data submitted. EFSA Journal 2016;14(11):4607, 39 pp. https://doi.org/10.2903/j.efsa.2016.4607

Puddle water

A low risk is concluded to honey bees from residues in puddles for the seed treatment uses under consideration.

Surface water

In the absence of agreed input parameters for FOCUS surface water modelling, no exposure assessment for the representative uses could be performed. Therefore, the risk to honey bees consuming residues in surface water could not be finalised.

Risk from foraging flowering weeds in the crop

It should be noted that according to Table 8 of EFSA (2013b), there is no need to consider the risk to bees foraging weeds in the treated field, consequently this is not covered in EFSA (2018). Despite this, it is feasible that flowering weeds may occur in the crop and that these may pose a risk to foraging honey bees. The Applicant has proposed that a:

"Robust herbicide programmes (following guidance from the pest, weed and disease charts published and distributed annually by the BBRO) to be adopted by growers and their agronomists to minimise the number of flowering weeds in treated sugar beet crops and reduce the risk of indirect exposure of pollinators to neonicotinoids."

Whilst it is not standard practice to use weed control as mitigation to protect pollinators from flowering weeds (because the loss of food can cause more harm than the pesticide and because not all farmers successfully control weeds) in the case of Article 53 applications, novel risk mitigation measures can be employed. Therefore, as controlling the presence of flowering weeds in a sugar beet field will reduce the potential risk to honey bees, then the mitigation measure proposed in the stewardship scheme is considered to be appropriate.

Toxicity data

Risk assessment type	Endpoint	Honeybee	Bumble bee	Solitary bee
Acute contact	LD ₅₀ (µg a.s./bee)	0.0121	0.0275	0.00121 ^(a)
Acute oral	LD ₅₀ (µg a.s./bee)	0.005	0.005	0.0005 ^(a)
Chronic oral	10-day LDD ₅₀ (µg a.s./bee/day)	No endpoint available	No endpoint available	No endpoint available
Larval	NOEL (µg a.s./larva/ developmental period)	0.0217	0.00217 ^(a)	0.00217 ^(a)
HPG	NOEC (µg a.s./bee)	No endpoint available	Not applicable	Not applicable

According to EFSA (2018), the key toxicity endpoints are presented below:

NOEL: no observed effect level; NOEC: no observed effect concentration.

Note. From the previously EU agreed endpoints, only the acute contact endpoint and the larvae endpoint for honeybees bees were changed

(a): Extrapolated from the endpoint for honeybee by using a factor of 10.

The following assessment will only cover the risk to honey bees; however, it should be noted that EFSA (2018) did not conclude an acceptable risk to either bumble bees or solitary bees from the use on sugar beet seed.

Previous assessments of thiamethoxam, both at the EU and UK level, have considered other toxicity endpoints, for example, in 2020, HSE considered the chronic endpoint of >0.2 ng a.s./bee/day as presented in EFSA (2013a). This endpoint will be considered further below.

Additional data

A new study of residues in following crops was submitted for the previous application (HSE internal ref: COP202001677). This study was evaluated for that application; however, the evaluation is presented below for information.

<u>Thiamethoxam – Residue Study on Pollen, Nectar, and Guttation Fluid from Crops Succeeding</u> <u>Sugar Beet Treated with A9765R in Germany, the United Kingdom, Poland, Austria and Italy in</u> <u>2017-2018</u>

Author/Year: 2020 Study/Report No.: SPK-17-29052

This is a GLP residues study which consists of 8 field trials conducted in Europe (2 DE, 2 UK, 2 PL, 1 AT, 1 IT) in 2017-18.

Eight residue field trials were conducted to investigate the magnitude of residues of thiamethoxam and its metabolite CGA322704 in rotated crops in Germany, the United Kingdom, Poland, Austria and Italy during 2017 – 2018.

Thiamethoxam was applied to pelleted sugar beet seed as A9765R, a flowable concentrate (FS) formulation for seed treatment containing nominally 600 g thiamethoxam per litre. The seeds were treated at a nominal rate of 0.45 mg thiamethoxam/seed and were drilled in spring 2017 at a rate of 1.24 - 1.34 seed units/ha (1 seed unit = 100000 seeds; equivalent to 57 - 64 g a.s./ha).

Additionally, at each trial site, an additional plot was drilled with untreated pelleted sugar beet seed in spring 2017 according to normal commercial practice at a rate of 1.24 - 1.34 seed units/ha (1 seed unit = 100 000 seeds; equivalent to 57 - 64 g ai/ha).

The sugar beet was grown to maturity and harvested according to normal commercial practice. In trials GB03 and GB04, as a result of adverse weather conditions, normal commercial harvest occurred slightly later than intended. This is not considered to impact the integrity of the trials as the samples taken were still considered to be representative of commercially harvested samples.

The sugar beet crop was sown on 5th April 2017 and the succeeding crops were sown on the following dates:

- Maize 3rd May 2018
- Potato 3rd May 2018
- Oilseed rape 30th April 2018
- Phacelia 30th April 2018

In the following spring (i.e., spring 2018), four representative succeeding crops (maize, potato, oilseed rape and phacelia) were drilled into the site previously used to grow the sugar beet, and cultivated according to normal commercial practice, thus affording four side-by-side subplots at each trial site for each treatment scenario (i.e., the untreated and treated plots).

Three insect-proof tunnels, approximately 108 m² in area per tunnel, were placed on each of the subplots of oilseed rape and phacelia prior to flowering (BBCH 61-65). Honey bee (*Apis mellifera mellifera*) colonies (one per tunnel) were placed into each of the oilseed rape and phacelia tunnels at the start of flowering (BBCH 61-65). These tunnels were treated as replicates.

Untreated and treated soil samples were collected from the entire plot at 0-3 days before drilling of the sugar beet seed (DBD1) and at 0-1 days before drilling of the succeeding crops (DBD2). Additionally, treated samples of soil were collected from the maize subplot at 0-16 days after emergence (DAE; BBCH 11-16), and from all subplots at 1-8 days after flowering (DAF; BBCH 59-67).
Treated samples of maize guttation fluid were collected at 0 days after emergence (DAE; BBCH 11-14), 5-8 DAE (BBCH 13-18), 12-15 DAE (BBCH 15-32), 19-22 DAE (BBCH 16- 35), 27-29 DAE (BBCH 16-33), 33-35 DAE (BBCH 16-34) and 40-42 DAE (BBCH 19-51). Additionally, untreated samples of maize guttation fluid were collected at 40-42 DAE (BBCH 19-51).
Treated samples of maize pollen were collected at 0 days after flowering (DAF; BBCH 61- 65), 3- 4 DAF (BBCH 63-67) and 6-9 DAF (BBCH 65-69). Additionally, untreated samples of maize pollen were collected at 0-2 DAF (BBCH 61-65) and 6-9 DAF (BBCH 65-69).
Treated samples of potato anthers were collected at 0 DAF (BBCH 59-69), 2-4 DAF (BBCH 62- 67) and 7-9 DAF (BBCH 65-69). Additionally, untreated samples of potato anthers were collected at 0-1 DAF (BBCH 62-69) and 7-9 DAF (BBCH 65-69).
Treated samples of oilseed rape pollen were collected at 0-1 DAF (BBCH 63-65), 3-4 DAF (BBCH 64-69) and 6-8 DAF (BBCH 67-69). Additionally, untreated samples of oilseed rape pollen were collected at 0-1 DAF (BBCH 63-65) and 6-8 DAF (BBCH 67-69).
Treated samples of phacelia pollen were collected at 0 DAF (BBCH 61-65), 7-15 DAF (BBCH 65-69), and 12-21 DAF (BBCH 65-69). Additionally, untreated samples of phacelia pollen were collected at 0-1 DAF (BBCH 61-65) and 12-21 DAF (BBCH 65-69).
Treated samples of oilseed rape nectar were collected at 0 DAF (BBCH 63-65), 3 DAF (BBCH 64-69) and 6-8 DAF (BBCH 67-69). Additionally, untreated samples of oilseed rape nectar were collected at 6-7 DAF (BBCH 67-69).
Treated samples of phacelia nectar were collected at 0 DAF (BBCH 61-65), 7-15 DAF (BBCH 65-69) and 12-21 DAF (BBCH 65-69). Additionally, untreated samples of phacelia nectar were collected at 11-21 DAF (BBCH 65-69).
Samples were analysed for thiamethoxam and its metabolite CGA322704.
Residues of thiamethoxam and its metabolite CGA322704 in pollen and nectar from the 8 plots are summarised in the table below.

Sampling Interval (days)	Thiamethoxam Residues in the Range (mg/kg)	CGA322704 Residues in the Range (mg/kg)
eated Plot (P2): at a rate of	of 57 – 64 g ai/ha	
	Maize Pollen	
0 DAF	< 0.0010	< 0.0010 - 0.0011
3-4 DAF	< 0.0010	< 0.0010 - 0.0012
6-9 DAF	< 0.0010	< 0.0010
	Oilseed Rape Pollen	
0-1 DAF	< 0.0010 - 0.0026	< 0.0010
3-4 DAF	< 0.0010 - 0.0024	< 0.0010
6-8 DAF	< 0.0010 - 0.0015	< 0.0010
	Phacelia Pollen	
0 DAF	< 0.0010	< 0.0010
7-15 DAF	< 0.0010	< 0.0010
12-21 DAF	< 0.0010	< 0.0010
	Oilseed Rape Nectar	
0 DAF	< 0.0005	< 0.0010
3 DAF	< 0.0005 - 0.0006	< 0.0010
6-8 DAF	< 0.0005	< 0.0010
	Phacelia Nectar	
0 DAF	< 0.0005	< 0.0010
7-15 DAF	< 0.0005	< 0.0010
12-21 DAF	< 0.0005	< 0.0010

DAF = days after start of flowering

Residues of thiamethoxam and its metabolite CGA322704 in guttation fluid are summarised in the table below.

Sampling Interval (days)	Thiamethoxam Residues in the Range (µg/L)	CGA322704 Residues in the Range (µg/L)
reated Plot (P2): at a rate o	f 57 – 64 g ai/ha	
	Guttation Fluid	
0 DAE	< 0.01 - 17	0.042 - 3.6
5-8 DAE	< 0.01 - 20	0.13 - 3.7
12-15 DAE	< 0.01 - 32	0.14-3.2
19-22 DAE	0.025 - 34	0.31 - 5.9
27-29 DAE	0.010 - 42	0.38-9.0
33-35 DAE	0.023 - 33	0.97 - 8.5
40-42 DAE	0.011-18	0.48-11

DAE = days after emergence

Residues of thiamethoxam and CGA322704 were found in guttation in some of the control samples analysed from the trials. These were as high as 1.9 mg/kg for CGA322704 in one trial and 1.0 mg/kg for thiamethoxam in the same trial. The presence of these is not explained. The analytical results reported in the table above have not been corrected for the residues in the control samples, hence, they can still be considered to represent the worst-case situation.

Residues of thiamethoxam and its metabolite CGA322704 in soil are summarised in the table below.

Sampling Interval (days)	Thiamethoxam Residues in the Range (mg/kg)	CGA322704 Residues in the Range (mg/kg)
Treated Plot (P2): at a rate of	f 57 – 64 g ai/ha	
	Soil - Total Plot	
0-3 DBD1	< 0.0010 - 0.0033	< 0.0001 - 0.0039
0-1 DBD2	< 0.0010 - 0.0034	<0.0010 - 0.0040
	Maize Soil	
0-16 DAE	< 0.0010 - 0.0029	0.00019 - 0.0039
0-8 DAF	< 0.0010 - 0.0070	0.00067 - 0.0043
	Oilseed Rape Soil	
-1-0 DAF	< 0.0010 - 0.0023	0.00078 - 0.0037
	Phacelia Soil	
-1-7 DAF	< 0.0010 - 0.0061	0.00043 - 0.0056
	Potato Soil	
0 DAF	< 0.0010 - 0.0024	0.00052 - 0.0035

DBD = days before drilling

DAE = days after emergence

DAF = days after start of flowering

Results were also provided for residues in potato anthers, but these have not been used in the current assessment and have not been presented here.

Extract from evaluation by residues specialist:

This is a GLP residues study which consists of 8 field trials conducted in Europe (2 DE, 2 UK, 2 PL, 1 AT, 1 IT) in 2017-18.

In each trial, sugar beet seeds were treated with thiamethoxam at the nominal rate of 0.450 mg a.s./seed (actual: 0.462 mg a.s./seed) using 'A9765R', a 600 g a.s./L flowable concentrate (FS) formulation – this matches the application rate being proposed for the use and the formulation type is the same.

Samples were analysed for thiamethoxam and its CGA332204 metabolite using the following analytical methods. See Section 5 for details of the acceptable validation of the method for pollen and nectar and water (representing guttation fluid). The study claims that the methods for soil and anther are also appropriately validated, but this has not been confirmed):

Analytical methods:

Pollen and nectar: Method GRM009.13A for both analytes.

LOQ:

Thiamethoxam:	0.0010 mg/kg for pollen
	0.0005 mg/kg for nectar
CGA322704:	0.0010 mg/kg for pollen and nectar

Guttation fluid: Method GRM009.10A for both analytes.

LOQ:

Thiamethoxam:	
CGA322704:	

0.01 μg/L 0.01 μg/L

Soil: Method GRM009.09A for both analytes.

LOQ:

Thiamethoxam:	0.001 mg/kg
CGA322704:	0.0001 mg/kg

Pollen and nectar:

NB: In 3 of the trials, the oilseed rape crop did not produce sufficient viable flowers for pollen or nectar samples to be collected. The number of trials on which the ranges are based are highlighted in the table below.

Number of trials which produced results:

8 for maize pollen

5 for oilseed rape pollen and nectar

8 for phacelia pollen and nectar

The study is acceptable from a residue's perspective.

HSE conclusion:

This study is suitable for use in the risk assessment of bee attractive crops planted the year following a sugar beet crop grown from seeds treated with 'Cruiser SB' at up to 0.45 mg a.s./seed, equivalent to 57 - 64 g a.s./ha.

EFSA (2013a) uses the concept of "residue per unit dose" or RUD and in deriving RUD data from field studies where pollen and nectar are collected and converted to RUD values for use in the first-tier assessment (see Appendix F of EFSA (2013a) for further details.)

Presented below is a comparison of the residues in the above succeeding crop study with those predicted using the RUD values in EFSA (2013b). It should be noted that RUD values are presented in Table F2 of Appendix F of EFSA (2013b) and relate to crops grown from treated seed, whereas the above study relates to pollen and nectar from oilseed rape grown the following season after sugar beet treated with thiamethoxam. In addition, the maximum RUD values have been chosen.

	Lowest 'maximum application rate' authorised for oilseed rape in the EU	Highest 'maximum application rate' authorised for oilseed rape in the EU	Residue trial on oilseed rape as a succeeding crop (max values) from (2020)
Application rate g a.s./ha	8	42	-
Maximum RUD nectar mg a.s./kg from Table F2 of Appendix F of EFSA (2013b)	0.081	0.081	-
Residue level in nectar for application rate	0.000648 mg a.s./kg (=0.648 µg a.s./kg)	0.003402 mg a.s./kg (=3.402 µg a.s./kg)	0.0006 mg a.s./kg (0.6 μg a.s./kg)
Maximum RUD pollen mg a.s./kg from Table F2 of Appendix F of EFSA (2013b)	0.574	0.574	
Residue level in pollen for application rate	0.004592 mg a.s./kg (=4.592 μg a.s./kg)	0.024108 mg a.s./kg (=24.108 μg a.s./kg)	0.0026 mg a.s./kg (2.6 µg a.s./kg)

From the table above it can be seen that the residue level found in nectar of a succeeding crop of oilseed rape is very similar to the residue that would be found in a treated oilseed rape crop at the minimum rate used in the EU. For residues in pollen the residue found was just over half what would be expected in a treated oilseed rape crop at the minimum rate used in the EU.

Available guidance
The current guidance document being applied is SANCO/10329/2002 ²⁴ . The guidance includes a comment on the data required under Directive 91/414/EEC, i.e., acute oral and contact studies, bee brood study, aged residue test and higher tier studies.
As regards assessing the risk, reference is made to the "Hazard Quotient (HQ) approach" for products applied as sprays, whilst for products applied to the soil, like seed treatments, note is made that the acute oral toxicity of the active substance has to be determined and that "if potential risks to honey bees are identified (i.e. very low LD50) realistic exposure conditions should be taken into account, i.e. realistic exposure concentrations as expected in nectar and pollen as indicated by residue studies. If a risk is indicated, higher tier studies (cage/tent/tunnel or field studies) with realistic exposure scenarios should be performed." In addition, it states that "for systemic plant protection products, exposure considerations and calculations should be based on the a.s. (or metabolite) present in the respective plant parts (e.g., nectar, pollen) to which honey bees could be exposed. However, it should be noted that estimates of these concentrations are rarely available." Exposure in higher tier studies is already considered within the experimental design (e.g., honey bees foraging on treated field crops)."
There is no consideration of protection goals in this guidance document and the only reference is to a first-tier decision making criterion or "HQ" of 50 for applications made by spray. As regard higher tier risk assessment for bees, reference is made to there being no clearly defined endpoints and that "a degree of expert judgement is required to interpret both semi-field and field study results".
It should be noted that the above risk is only assessed for the cropped area.
It should further be noted that there is a mismatch between the data that are required under 1107/2009 and the above guidance.
According to Regulation 283/2013 and 284/2013 data are required on the toxicity of an active substance and product to various life stages of bee. The data that are required are:
 acute oral and acute contact to bees chronic toxicity to bees effects on honey bee development and other honey bee life stages sub-lethal effects to bees cage/tunnel test field studies
It should be noted that data on points 1-4 are required for the active substances and possibly the formulation as well; points 5 and 6 are related to the formulation and are dependent upon risks being highlighted with the first-tier data, i.e., points 1 to 4.
Associated with 283/2013 and 284/2013 are "Commission Communications" which specify the test methods and the associated guidance ^{25, 26} .

²⁴ SANCO/10329/2002²⁴ rev 2 final 17 October 2002 DRAFT Working Document Guidance Document on Terrestrial Ecotoxicology Under Council Directive 91/414/EEC

²⁵ Commission Communication in the framework of the implementation of Commission Regulation (EU) No 283/2013 of 1 March 2013 setting out the data requirements for active substances, in accordance with Regulation (EC) No 1107/2009 of the European Parliament and of the Council concerning the placing of plant protection products on the market (1) (2013/C 95/01)

²⁶ Commission communication in the framework of the implementation of Commission Regulation (EU) No 284/2013 of 1 March 2013 setting out the data requirements for plant protection products, in accordance with Regulation (EC)

In light of the above, and in particular the lack of agreed/noted relevant guidance especially with regard to the assessment of chronic risk to adult bees and to larvae, use has been made of the assessment presented in EFSA (2018), noting that this is based on an un-noted guidance document (i.e., EFSA (2013b)).

Risk assessment

First-tier

Presented below is an exposure assessment based on EFSA (2013b), in the first instance the exposure from contact is considered, followed by estimates of oral exposure.

Contact exposure assessment for sugar beet seed

EFSA (2018) concluded that the acute risk to honey bees from **dust drift** was acceptable with or without a deflector for both the rate of 58.5 and 78 g a.s./ha. The proposed application rate, assuming sowing density of 115000 seeds/ha and a seed loading of 7500 g a.s./kg seed, is equivalent to 51.75 g a.s./ha. This rate is less than that considered by EFSA.

No data have been submitted on the likely levels of dust for 'Cruiser SB'; however, the EFSA assessment assumed default worst-case first-tier assumptions of deposition rates of 0.003 and 0.03 (see Table H1b of EFSA (2013b)). If it is assumed that dust from 'Cruiser SB' will not be greater than the default values used, then assuming an application rate of 51.75 g a.s./ha will give exposure values of 0.0155 g a.s./ha and 0.00155 g a.s./ha. The acute contact toxicity value as presented above is 0.0121 μ g a.s./bee, and the resulting hazard quotient is 0.13 and 1.3 for use with and without a deflector respectively. As stated above, the decision-making criteria related to hazard quotient is a trigger value of 50, however this was developed with respect to applications made via a spray and not solid formulations like seed treatments.

EFSA (2013b) did specify protection goals along with associated trigger values, however these protection goals and the associated trigger values have not been agreed.

Whilst, noting that the trigger value has not been agreed, Appendix L of EFSA (2013b) argues that it may be feasible to read across the concept of the hazard quotient, if this is accepted, then as the above hazard quotient is less than the uniform principles trigger value of 50, then the risk can be considered to be acceptable.

Oral exposure assessment resulting from use on sugar beet seed

EFSA (2018) stated that for the risk to honey bees **via systemic translocation into crop plants** was not assessed for the treated crop scenario as it was not deemed relevant as the crops were harvested before flowering. HSE agrees with this conclusion.

As regards the risk to honey bees from foraging on **flowering plants in the field margin**, **adjacent crops** and **succeeding crops**, EFSA (2018) assessed the acute oral route for adult bees as well as the risk to larvae, however due to the lack of data on the chronic toxicity to adult bees, no assessment was undertaken.

As stated above, due to the lack of agreed guidance, it is proposed to use elements of EFSA (2013b) to determine the likely exposure values and then compare them to the acute adult oral and contact toxicity endpoints as well as the larval endpoints and determine the likely margin of safety.

If the same approach regarding determining the likely exposure values for adult and larvae is taken here as in EFSA (2013b) and EFSA (2018), then the exposure values are as presented below.

Acute oral – honey bee

According to EFSA (2013b), the formula for the exposure component for both adult and larva is:

AR * Ef * SV

where

AR = Application Rate Ef = Exposure factor SV = Shortcut Value

Information on the default worst-case values is provided in EFSA (2013b) and are presented below for the key areas of the assessment, i.e., flowering plants in the field margin, adjacent crops and succeeding crops

According to Table X1b of EFSA (2013b), Exposure factors (Ef) are as follows:

Plants at the field margin

Sugar beet with deflector = 0.00003 Sugar beet without a deflector = 0.0003

Adjacent crop

Sugar beet with deflector = 0.0000115 Sugar beet without a deflector = 0.00015

Shortcut values

Shortcut values for the **treated crop** and **succeeding crop** are presented in Table Jxx of EFSA (2013b) and are as follows:

Honey bee forager acute = 0.70 (NB this is for succeeding crops) Honey bee forager acute = 3.7 (NB this is for plants in the field margin)

Honey bee larva = 0.40 (NB this is for succeeding crops) Honey bee larva = 2.2 (NB this is for plants in the field margin)

As regards the shortcut value for **adjacent crops**, this is presented in Table Jyy of EFSA (2013b) and are as follows:

Honey bee forager – crop attractive for pollen and nectar = 7.6Honey bee larva – crop attractive for pollen and nectar = 4.4

Presented below are the exposure estimates for the scenarios of honey bee adult forager in field margin, adjacent crop and succeeding crop as well the honey bee larva in the field margin, adjacent crop and succeeding crop.

Scenario	AR	Ef	SV	Exposure	
Scenario	kg a.s.ha	21	30	estimate	
	kg u.s.nu			(µg a.s./bee/day)	
		Adult		(FJJ /	
Succeeding		-	0.70	0.036225	
crops					
Field margin		0.00003	3.7	0.000006	
– with a					
deflector		0.0000	0.7	0.000057	
Field margin		0.0003	3.7	0.000057	
– without a deflector					
Adjacent	-	0.000015	7.6	0.000006	
crops – with		0.000010	7.0	0.000000	
a deflector					
Adjacent		0.00015	7.6	0.000059	
crops –					
without a					
deflector	0.05175				
	-	Larvae		0.000700	
Succeeding		-	0.4	0.020700	
crops Field margin	-	0.00003	2.2	0.000003	
– with a		0.00003	2.2	0.000003	
deflector					
Field margin		0.0003	2.2	0.000034	
– without a					
deflector					
Adjacent		0.000015	4.4	0.000003	
crops – with					
a deflector	-				
Adjacent		0.00015	4.4	0.000034	
crops – with a deflector					
a defiector]
As stated above	e the acute oral	toxicity value for	r adult forao	ers is 0.005 µg a.s./	hee whilst the
		•		riod. For adult forage	
				oxicity endpoint for a	
				a margin of safety b	
	· · · · · · · · · · · · · · · · · · ·			s except the succeed	ding crop scenario
where the exposure estimate is more or less equivalent to the NOEL.					
	the alternation of the		· · · · · · ·		41
				, it is concluded that	
contact risk from the proposed used is acceptable. As for the acute oral risk, the acute risk to adult forager honeybees foraging on succeeding crops is unacceptable, i.e., the					
exposure estimate is greater than the toxicity endpoint, similarly the risk to larva being fed from					
	-			eptable as the expo	-
the toxicity endpoint are more or less equivalent. All other scenarios, i.e., risk to bees foraging in					
				It should be noted t	
of an agroad of	ropio tovicity op	depoint that the	hrania riale	oould not be determi	inadi thia ia

considered further below.

of an agreed chronic toxicity endpoint, that the chronic risk could not be determined; this is

²⁷ Due to the lack of agreed protection goals and hence trigger values for honey bees, a margin of safety approach has been adopted whereby the effects endpoint is compared to the exposure endpoint. It should be noted that there is no agreed level of acceptability in terms of margin of safety, however from the above comparison, it is apparent that there are several orders of magnitude between the toxicity endpoint and the exposure estimate.

It is not possible on the basis of first-tier data and the lack of an agreed risk assessment scheme with associated protection goals to determine what the impact could be on honey bees at the colony level from the exceedances of the toxicity endpoints highlighted above. As the above first-tier assessment has highlighted concern, then it is necessary to try to either refine or mitigate the risk.

It should be noted that the above risk assessment only considers the potential risk from succeeding crops, dust drift²⁸ on to adjacent crops and field margins; the risk from other routes of exposure is considered further below. In addition, due to the lack of an agreed adult chronic oral toxicity endpoint, it is not possible to conclude on the chronic risk to forager honey bees.

Refined risk assessment for succeeding crops

As stated above, whilst there is not agreed guidance available to determine the risk to honey bees from foraging on succeeding crops, the method used by EFSA to determine the exposure can be used to convert the residues in pollen and nectar into dietary doses, which can be compared to the toxicity data to give an indication of risk. Presented below is information from EFSA (2013b), which outlines how a residue value in pollen or nectar can be converted into a daily dose. As the effect endpoints are expressed as daily doses, it is then possible to compare one with the other, in much the same way as was done for the first-tier assessment above.

Information from Appendix N of EFSA (2013b) states that the following equations were used to calculate the residue intakes for forager and nurse bees:

$$RI_{forager} = \frac{Rn \times Cn}{1000}$$
$$RI_{nurse} = \frac{(Rn \times Cn) + (Rp \times Cp)}{1000}$$

Where:

*RI*_{forager} is the residue intake by a forager bee expressed in µg a.s./bee/day *RI*_{nurse} is the residue intake by a nurse bee expressed in µg a.s./bee/day *Rn* is the residue level in nectar in mg a.s./kg *Rp* is the residue level in pollen in mg a.s./kg *Cn* is the consumption of nectar in mg (mg/bee/day) *Cp* is the consumption of pollen in mg (mg/bee/day)

According to Table J6 of Appendix L of EFSA (2013b), the amount of sugar consumed by bees is assumed to be:

80-120 mg sugar/day for a forager (acute)
32 - 128 mg sugar/day for a forager bee (chronic)
34 - 50 mg sugar/day and 6.5 - 12 mg pollen/day for a nurse bee
59.4 mg sugar/day for larvae

The sugar content of oilseed nectar is assumed by EFSA (2013b) to be 15% as a realistic worst case.

As regards the exposure estimate for larvae, details were taken from Table J6 in Appendix L of EFSA (2013b), where it is indicated that larva consume 2 mg/larvae pollen, and 59.4 mg sugar /larvae and that the sugar content of nectar is 15%.

²⁸ The risk from dust drift is acceptable with and without a deflector.

The residue values in pollen and nectar in the succeeding crop study (see (2020) evaluated above for details) for oilseed rape are:					
Oilseed rape pollen <0.0010 – 0.0026 mg/kg Oilseed rape nectar <0.0005 – 0.0006 mg/kg					
The lower value is the LOQ a measured, so the maximum v			s not much lower than the ma e risk assessment.	ximum values	
Therefore, the calculation of t	the residue inta	kes for for	ager and nurse bees are sho	wn below:	
Food consumption					
	Min	Max]		
Forager bee	32	128	mg sugar/d		
Larvae	59.4		mg/larvae		
Sugar content in OSP	15	%	1		
Sugar content in OSR	15	70	J		
Nectar consumption					
	Min	Max]		
Forager bee (Cn)	213.3	853.3	mg nectar/d		
Larvae	396		mg larvae		
Dellan consumption					
Pollen consumption	Min	Max]		
Larvae	2	Max	Mg/larvae		
]		
Rlforager	0.512	ng a.s./be	ee/d		
Larvae	0.2428	ng a.s./la	rvae/d		
Please note that nurse bees have not been included in the above assessment; it is likely that the risk to nurse bees will be less than that for adult forager bees. As stated above, the toxicity endpoints from the EFSA conclusion on thiamethoxam (EFSA 2018) are:					
Acute oral LD50 = 0.005 μg a.s./bee Larvae NOEL = 0.0217 μg a.s./larvae/developmental period					
An ETR calculation has not been performed as outlined in EFSA (2013b) as protection goals and associated trigger values for a standard risk assessment have not been agreed.					

The toxicity values have instead been compared to the exposure predictions to determine the factor between the two (how much higher is the toxicity endpoint than the exposure prediction). This is shown below:

		Factor between expo	sure and effects
	Toxicity	RI _{forager}	RI _{larvae}
	(μg a.s./bee/d or μg a.s./larvae/d)	0.000512	0.0002428
Acute oral LD50	0.005	10	-
Larvae NOEL	0.0217	-	~100

Exposure higher than toxicity

Exposure similar to toxicity

Exposure an order of magnitude or more lower than toxicity

There is a least an order of magnitude between the predicted exposure and the acute oral LD50 and the larvae NOEL. However, there has been no consideration of the chronic risk to adult foragers, this is considered further below.

Consideration of the lack of an adult forager chronic toxicity endpoint

As was flagged up above, there is currently no agreed adult chronic oral toxicity endpoint for forager honey bees. Conventionally, this would be addressed via OECD 245²⁹, noting that this study was adopted by the OECD after the Regulations 283/2013 and 284/2013 were agreed.

EFSA (2013a) stated the following regarding chronic and sub-lethal effects:

1.2. Chronic toxicity

A subchronic feeding study with thiamethoxam and metabolite clothianidin (CGA322704) was available (Belzunces (2002), see Study evaluation notes; EFSA 2012e). After 10 days of exposure (10 hours per day) a mortality of less than 7 % was observed. The cumulative dose ingested over a 10-day period was approximately 2 ng/bee. For the purposes of risk assessment a 10-day LC50 > 0.2 ng a.s./bee per day is assumed.

1.3. Sublethal effects

In the data submitted for the purpose of this assessment, there were two studies which specifically considered the sublethal effects of thiamethoxam or the metabolite clothianidin (CGA322704) to bees. The two return-flight ability studies conducted by (2001) (see Study evaluation notes; EFSA 2012e) were of reasonable scientific quality but

were not performed according to GLP. The methodology used to determine the return-flight ability (using colour coding of the bees) was not as sophisticated as the recent studies by (2012a) where the use of RFID (radio-frequency identification) was employed. In the study of (2001) with thiamethoxam the study author proposed that the NOEL for return-flight ability was 25 μ g/kg sucrose solution (equivalent to 3.03 ng a.s./bee). However, it is noted that, at 25 μ g/kg sucrose solution, 2 out of 11 bees had not returned within 24-hours compared to 100 % of control bees. It is therefore questionable whether the NOEL was 25 μ g/kg sucrose solution. All bees returned at 0.1, 1 and 10 μ g/kg sucrose solution and therefore the NOEL is considered to be 10 μ g/kg sucrose solution (equivalent to 1.13 ng a.s./bee). It is noted that very few bees were used during the study which creates some uncertainty with regard to the robustness of the results.

In the study of **Considered** (2012a) (considered in EFSA, 2012b) sublethal effects on returnflight ability were observed at 1.34 ng/bee.

²⁹ OECD 245: Guideline for the testing of chemicals Honey bee (*Apis mellifera* L.) Chronic oral toxicity test (10-day feeding)

It is interesting to see that the results of the two studies, although conducted using different methodologies, both indicate an adverse effect on the return-flight ability of honey bees. For the purposes of risk assessment a sublethal dose of 1.34 ng a.s./bee will be considered.

EFSA (2015) summarised several studies, including those referenced above in EFSA (2013), however they concluded the following:

No first-tier chronic risk assessment for honey bees (including an assessment of the HPG), bumble bees or solitary bees could be performed as no suitable chronic toxicity endpoints were available.

The following was also stated in EFSA (2015):

Two chronic oral toxicity studies with thiamethoxam were available in the dossiers, Belzunces (2002) (see study evaluation notes in EFSA, 2013a) and Kling (2012) (see study evaluation notes; EFSA, 2015a). Neither of the studies included an assessment of the HPG nor an assessment of accumulative effects. Both studies followed similar methodology whereby the honey bees were offered contaminated food for 10 hours per day for 10 days. During the remaining 14 hours the honey bees were offered uncontaminated food. In order to perform a risk assessment according to EFSA, 2013b, a chronic toxicity endpoint, where the honey bees were offered contaminated food continuously for 10 days, is needed. Consequently, the available chronic toxicity endpoints are not considered suitable for risk assessment in accordance with EFSA, 2013b.

EFSA (2018) stated the following:

No reliable data were available to derive a chronic lethal dietary dose (LDD50) for honey bees.

In the previous assessment of 'Cruiser SB' (HSE Internal ref: COP2020/01677), the value of 1.34 ng a.s./bee has been used, along with a value of >0.2 ng a.s./bee/day from EFSA (2013). It is noted that neither is stated to be reliable in subsequent assessments (e.g., EFSA (2018)), however it is considered that they provide a potentially illustrative indication of the chronic/sub-lethal effect of thiamethoxam on honey bees.

Using the information presented above regarding the intake of thiamethoxam and the factor between exposure and effects, the following comparison is determined:

			Factor between expo	sure and effects
		Toxicity	RI forager	RI _{nurse}
		(ng a.s./bee/d)	0.512	0.2312
Chronic LC50	>	0.2	0.4	0.9
Sublethal dose	<	1.34	2.6	5.8

Exposure higher than toxicity

Exposure similar to toxicity

Exposure an order of magnitude or more lower than toxicity

On the basis of the above, it is seen that the exposure is higher than the toxicity, however the chronic toxicity endpoint LC50 is a greater than value, and as a result, the "true" toxicity is not known. Further consideration of the chronic risk to bees from exposure via a following crop is therefore required.

For the sublethal effects, noting that this is not a standard study, or part of the routine risk assessment, the toxicity endpoint is between 2.6 and 5.8 times higher than the exposure, but this is an effect level rather than a no effect level. Therefore, it is not possible to conclude whether there would be effects on return flight ability with this level of exposure so further consideration of the sub lethal risk to bees from exposure via a following crop of oilseed rape is required.

Overall, on the basis of the above assessment, it is not possible to conclude regarding the chronic or sub-lethal effect on honey bees due to the lack of toxicity data. No assessment has been done for the field-margin and adjacent crops scenarios, it is considered that due to the lack of robust chronic endpoints, that it would not be able to conclude regarding the chronic risk for these

Further consideration of the potential chronic risk to honey bees from thiamethoxam

A published paper was submitted with an earlier application³⁰ that involved a study designed to investigate long-term effects following honey bee colony-level exposure to thiamethoxam and the resulting implications for risk assessment (Thompson *et al* 2019³¹)

This paper was based on two colony studies conducted in in Orange, Caswell or Alamance

numbers of adult bees and numbers of cells containing brood, pollen/bee-bread and nectar/honey in early June (4 weeks before the start of exposure) and of these, 96 colonies were selected for the study based on general health. Colonies had all stages of brood, a queen, and some food stores but no visible symptoms of Varroa mites (*Varroa destructor*), Nosema or other bee diseases. Each study had 6 weeks of continuous dosing of 12 colonies per treatment (24 control) to 12.5, 25, 37.5, 50 or 100 ng thiamethoxam/g sucrose solution.

The results from the study showed that, compared to control, the highest dose treatment group (100 ng a.s./g) had significant reductions in adult bees (first assessment after dosing to the last assessment before over-wintering). The 50 ng a.s./g treatment group also has significantly fewer adult bees at the end of dosing. Brood levels were also reduced at 100 ng a.s./g and 50 ng a.s./g. There was significant reduction in pupal cell numbers compared to control for the 25 ng a.s./g dose group at one time point, which the study author concluded was not dose related. Effects on the amount of stored bee-bread were seen at 37.5 ng a.s./g, 50 ng a.s./g and 100 ng a.s./g. There were effects on nectar storage at 12.5 ng a.s./g and 25 ng a.s./g, but not at the higher dose levels except at a single time point at 100 ng a.s./g, so the study author concluded this was not

Based on the published paper there were no dose related effects on the colony at 25 ng a.s./g and below. The study authors proposed 37.5 ng a.s./g as the NOEC based on the effect seen at 37.5 ng a.s./g being reversed by 10 weeks after the start of exposure, however HSE considers

considers the potential NOEC from this study to be 25 ng a.s./g.

Compared to a regulatory study there is a lack of detail provided in a publication, so this is a

In the paper the residue of thiamethoxam in nectar was compared to the colony NOEC based on the amount of thiamethoxam in a 50% sucrose solution fed to bees. When considering the risk assessment proposed by EFSA the two were not considered equivalent because a bee would need to consume more nectar than sugar in order to obtain its requirement for sugar. The use of the 15% sugar content in nectar of oilseed rape by EFSA was questioned by the study authors, as it was claimed that this leads to a bee consuming 5 times its body weight in nectar, compared to 3 times its body weight assumed by the USEPA (based on a 30% sugar content).

If the proposed no effect concentration was corrected based on a 15% sugar content, compared to the 50% sugar content in the tested solution the result would be 7.5 ng a.s./g. the maximum

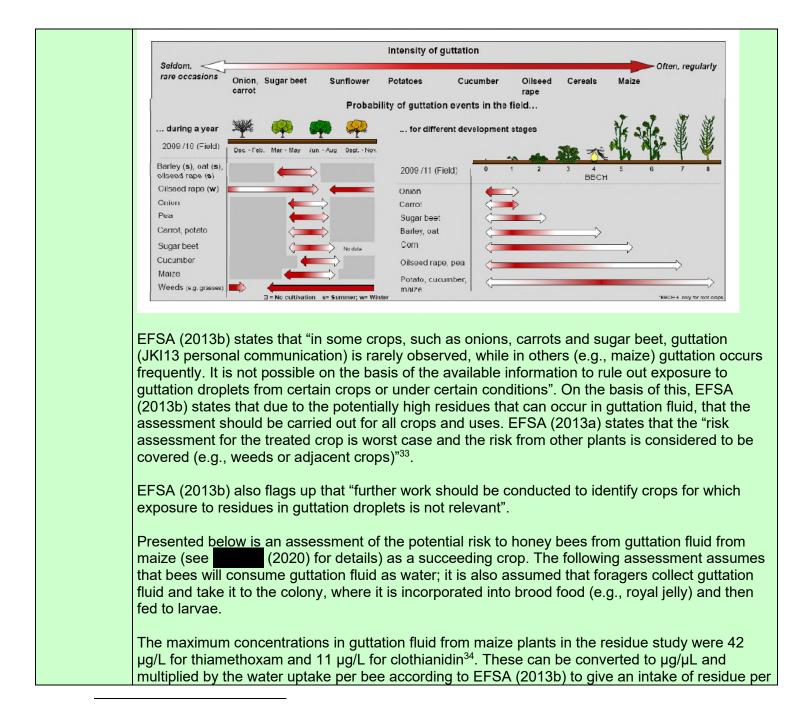
³⁰ HSE internal reference: COP202001677

³¹ Thompson, H, Overmyer, J, Feken, M, Ruddle, N, Vaughan, S, Scordie, E, Bocksch, S and M Hill (2019) Thiamethoxam: Long term effects following honey bee colony-level exposure and implications for risk assessment. Science of the Total Environment 654, 60-71.

residue in nectar in the residue study is 0.6 µg a.s./kg, which is 0.6 ng a.s./g, which is approximately an order of magnitude lower than the suggested no effect level in the colony study provided.
There are a number of uncertainties that need to be taken into account, for example:
 The representativeness of the small, low disease colonies in the USA for UK colonies. Relevant of the prevailing weather conditions to UK conditions. Residues of thiamethoxam in pollen were not taken into account in the colony study, so there would be an additional source of thiamethoxam. The study was a colony feeding study and not a foraging study, therefore there is uncertainty as to what the exposure of honey bees were.
Overall, whilst the study appears to indicate that the residues found in oilseed rape in the following crop study would not be likely to have an adverse effect on bee colonies, due to the above uncertainties and lack of details in the published paper, it does not address the concerns raised at the lower tiers of the risk assessment.
Risk from metabolites
Clothianidin is a soil metabolite of thiamethoxam and requires consideration. Data from the above following crop study (see 2020), indicates that residues are either less than the level of quantification (LOQ) of 0.0010 mg/kg or slightly above, with a maximum residue value detected in maize of 0.0012 mg/kg. It is noted that EFSA (2018) stated the following regarding clothianidin:
No specific Tier-1 risk assessment was carried out for thiamethoxam metabolite clothianidin. Indeed, it was concluded that the Tier-1 risk assessment for the parent substance (thiamethoxam) covers the risk due to the exposure of the metabolite clothianidin. Such decision was taken considering:
 the intrinsic conservativeness of the Tier-1 assessment; the very similar toxicity profiles expressed by the two compounds; the available information on plant metabolites, which suggest that the formation fraction of metabolite clothianidin is likely to be well below 100%.
In light of the above, it is not considered necessary to assess the risk from the metabolite, clothianidin.
Risk to honey bees from exposure via guttation fluid
According to EFSA (2012) "some crops show guttation more frequently than others, and the intensity of guttation also varies. Whereas some crops show guttation only at younger growth stages, some may show guttation up to inflorescence." EFSA (2012) includes the following diagram, taken from Joachimsmeier <i>et al</i> (2011) ³² which shows the intensity and frequency of guttation observed in the field trials.

³² Joachimsmeier I, Pistorius J, Heimbach U, Schenke D, Zwerger P and Kirchner W, 2011. Details on occurrence and frequency of guttation in different crops in Germany. Poster presentation on the

^{11&}lt;sup>th</sup> ICPBR Symposium Hazards of Pesticides to Bees, Wageningen, The Netherlands.



³³ Appendix T of EFSA (2013a) states the following: "The vast majority of the measurements were carried out with maize seeds treated with imidacloprid, clothiadin (sic) and thiamethoxam at rates ranging from 0.5 to 1.25 mg per seed. The few measurements of concentrations in guttation water available for other crops (winter oilseed rape, winter barley, sugar beet and wheat; see Figure H7 of the opinion of the EFSA Panel on Plant Protection Products and their Residues (PPR) (2012a), and (Reetz et al., 2011)) show concentrations that are considerably lower than those found for maize. The estimated values have been based on the results for maize as this is expected to result in conservative estimates for all crops." Whilst, this conclusion is based on a limited dataset, it could be interpreted that maize is worst-case, however it is on a limited dataset and a limited range of compounds. Furthermore, the overarching guidance states that the assessment should be done for all crops and uses and assumes in the absence of data that the concentration in guttation fluid is equivalent to the water solubility (see Section 3.5.1 of EFSA (2013a). Overall, it is considered that it is not, currently, possible, to derive a worst-case crop/situation.

³⁴ It should be noted that there was background contamination within the study. No residues of thiamethoxam >LOQ (0.001 mg/kg) were detected in control soil samples, but residues of clothianidin >LOQ (0.0001 mg/kg), up to 0.0039 mg/kg were detected in soil control samples from 6 of the 8 trials. Whilst these were at low levels, they were within the range of residues identified in the actual test samples. Residues of thiamethoxam and CGA322704 were found in guttation fluid in some of the control samples analysed from the trials. These were as high as 1.9 mg/kg for CGA322704 in one trial and 1.0 mg/kg for thiamethoxam in the same trial. The presence of these is not explained. The analytical results reported in the table above have not been corrected for the residues in the control samples and the levels found in the control samples are generally well below the maximum levels found in the test samples. Hence, they can still be considered to represent the worst-case situation

day. The water uptake of adult bees is 11.4 μ L/bee per day for adult bees and 111 μ L/5 day period for larvae. The EFSA guidance then calculates an ETR and compares to a trigger but since this guidance has not been noted this step will not be conducted. Instead, the predicted exposure will be compared directly to the toxicity endpoints to give an indication of the level of risk.

The toxicity values have, as above, been compared to the exposure predictions to determine the factor between the two (i.e., how much higher is the toxicity endpoint than the exposure prediction).

Thiamethoxam		Toxicity	Factor between exposure and effects
		(µg a.s./bee/day)	
	_	Adult	
			0.0004788 µg/µL/bee/day
Acute oral LD50		0.005	10.4
Chronic LC50	>	0.0002	0.4
Sublethal dose	<	0.00134	2.8
		Larvae	
			0.004662 µg/periodª
Larvae		0.0217	4.65
Clothianidin ³⁵		Toxicity	Factor between exposure and effects
		(µg a.s./bee/d)	
		Adult	
			0.0001254 µg/periodª
Acute oral LD50		0.00379	30.2
Chronic LC50		0.00138	11
		Larvae	
			0.001221 µg/µL/bee/dayª
Larvae NOEL		0.00528 ³⁶	4.32
Larvae NOEL		0.00528 ³⁶	4.32

^a The exposure value is, according to EFSA (2013b), meant to be a 5-day time-weighted average value. Whilst data in (2020) cover several time points, it is noted that the samples were only taken every 7 days and more importantly, the data did not show a simple decline. In some of the trials, (e.g., page 416 of the study report), the concentration in the guttation fluid increased to a peak/plateau and then declined. It is noted that the 5-day time-weighted concentration either side of the peak is probably very similar to the peak, hence by taking the peak concentration as above, is not overly precautionary.

There is a least an order of magnitude between the predicted exposure and the acute LD50 for both active substances, so the acute risk from exposure via guttation is likely to be low as there is a margin of safety of at least ten between the acute oral endpoint and the exposure values.

The result is less clear cut for the chronic risk from thiamethoxam (noting the comments above regarding the reliability of these endpoints), where the exposure is higher than the toxicity, but the chronic LC50 is a greater than value, so the true toxicity is not known. Further consideration of the chronic risk to bees from exposure via guttation is required. There is a margin of safety of at least ten between the chronic endpoint and the exposure value for clothianidin.

For the sublethal effects (thiamethoxam only) the toxicity endpoint is 2.8 times higher than the

³⁵ Endpoints taken from EFSA (European Food Safety Authority), 2016. Conclusion on the peer review of the pesticide risk assessment for the active substance clothianidin in light of confirmatory data submitted. EFSA Journal 2016;14(11):4606, 34 pp. doi:10.2903/j.efsa.2016.4606

³⁶ Endpoint stated to be "provisional endpoint because of 3 days exposure and nominal food consumption".

exposure, however, it should be noted that this is an effect level rather than a no effect level. Therefore, it is not possible to conclude whether there would be effects on return flight ability with this level of exposure so further consideration of the sub lethal risk to bees from exposure via guttation is required

As regards the risk to larvae, the above assessment indicates that the exposure is similar to the effects endpoint, indicating a potential risk from the active substance and the metabolite.

EFSA (2013b) indicates that there are uncertainties associated with the approach to the assessment of the risk from guttation fluid, for example:

- 1. The degree to which guttation occurs. The risk assessment scheme in EFSA (2013b) assumes that guttation occurs in every crop albeit within the guttation period. The likely occurrence of guttation occurring has not been considered in the above assessment; this is due to the lack of information on the likelihood of occurrence.
- 2. The degree to which honey bees forage guttation fluid. EFSA (2013b) assumes that in the lower tiers that honey bees will forage on and collect/consume guttation fluid.
- 3. The use of guttation fluid in royal jelly and other brood food. EFSA (2013b) assumes that guttation fluid is used in brood food. It is unknown to what extent this may occur.

In addition to the above, EFSA (2012) stated the following:

Plants offering nectar and pollen will attract bees from further away, whereas water is collected in closer proximity of the hive. Thus, in contrast to nectar and pollen, collection of guttation liquid does not appear to be a regular exposure scenario. The possible uptake of guttation water may be highly variable and is determined by, for example, climate conditions, time of bee activity, seasonal activity and the seasonal water needs of colonies and the occurrence of guttation droplets containing high residue levels. The water need of a colony is highest during spring and summer. As water foragers will preferably choose water sources in the proximity of the hive and avoid long distance flights for energetic reasons, the position of the bee hive in relation to the treated crop and the availability of alternative water sources are most important factors. Furthermore, if guttation occurs, it also occurs in untreated plants like grasses and weeds.

Furthermore, EFSA (2013b) states the following:

The few measurements of concentrations in guttation water available for other crops (winter oilseed rape, winter barley, sugar beet and wheat; see Figure H7 of the opinion of the EFSA Panel on Plant Protection Products and their Residues (PPR) (2012a), and (Reetz et al., 2011)) show concentrations that are considerably lower than those found for maize. The estimated values have been based on the results for maize as this is expected to result in conservative estimates for all crops.

The above points regarding the uncertainties related to the assessment of guttation are considered relevant to the assessment carried out by HSE and hence indicate that approach taken by HSE is potentially precautionary.

According to EFSA (2018), "a low risk to honey bees was concluded for residues in guttation fluid for the uses in sugar beet", however it further states that "a high risk was concluded for all other uses". On the basis of the available evidence, HSE agrees regarding the risk to honey bees from foraging on guttation fluid from treated sugar beet.

It should, however, be noted that EFSA (2018) assessed, and hence concluded on, the risk from the seed treatment use, and not, as has been considered above, the risk from succeeding crops growing in soil where thiamethoxam treated seed has previously been drilled. (2020) indicates that residues of thiamethoxam can occur in a succeeding crop, albeit only maize was

considered, hence it is considered appropriate to assess the risk.

Given what is stated above regarding the likelihood of occurrence, it is feasible that guttation fluid with residues of thiamethoxam (and clothianidin) could occur with other crops that follow sugar beet in rotation. However, it is not possible to say to what extent or concentration.

Consideration of the interval between planting the treated seed and planting a bee attractive following crop

The above study by (2020) provided information on residues in a range of crops approximately 1 year following drilling of a sugar beet crop. The current application proposes a 32 month gap between drilling treated sugar beet seed and drilling a crop that is attractive to honey bees.

Environmental Fate provided initial predicted environmental concentrations in soil (PECsoil) values as well as PECsoil values for 13 months, 22 months and 32 months following drilling of the sugar beet crop, so the effect of additional years in delaying planting a flowering crop that is an attractive crop.

The PECs from Environmental Fate are:

PEC	Concentration (mg/kg)		
Initial PEC soil	0.069		
13 month PEC (20cm)	0.0035		
32 month PEC (20 cm)	0.00035		

It should be noted that due to a lack of a reliable chronic toxicity endpoint for adult forager honey bees, it is not possible to use the above information in a quantitative risk assessment. It is only possible to say that the risk will reduce with time, but it is not possible to quantify the risk, or even indicate whether the level is sufficiently low not to cause concern.

When the previous application was considered (HSE Internal reference COP 2020/01677), a risk management decision was made by Defra that the risk was deemed to be acceptable after 32 months to drill oilseed rape seed. It should be noted that that this recommendation was not supported by an HSE assessment indicating what the potential risk to bees is at this time interval.

Residues in honey

In September 2018, HSE presented an assessment of a second (2018)³⁷ to the Expert Committee on Pesticides (ECP), see ECP 5 (24/2018). Sourced from amateur beekeepers both before (2014) and after (2015) the implementation of the EU moratorium on neonicotinoid use. The residues in honey were then related to the areas of oilseed rape, winter sown cereals and total arable cover that surrounded the sampled apiaries.

Over 130 honey samples were analysed ($N_{2014} = 21$; $N_{2015} = 109$). Concentrations of clothianidin, thiamethoxam and imidacloprid residues within honey were low and did not exceed 1.69 ng/g for any given product. The combined residues of all three products did not exceed 1.99 ng/g in a honey sample in 2015. However, across the three active substances there was little difference in the maximum residue concentration in the post moratorium period, with the values ranging from 1.41 ± 1.69 ng/g. The likelihood of honey containing neonicotinoid residues was higher before the moratorium than after it, with 52.3% of samples from 2014 containing residues of either clothianidin, thiamethoxam or imidacloprid, compared to the 22.9% in 2015. The most frequently identified neonicotinoid was clothianidin, which was in 72.0% of samples testing positive for

³⁷ Neonicotinoid residues in UK honey despite European Union moratorium (2018). PLoS ONE 13(1):e0189681. https://doi.org/10.1371/journal.pone.0189681 neonicotinoids in 2014 (pre-moratorium) and 38.1% of samples in 2015 (post-moratorium). Thiamethoxam and imidacloprid were less common, occurring in 14±28% of neonicotinoid-contaminated honey samples in either year.

HSE reviewed this paper and compared the concentrations of the active substances in honey with those measured in nectar and considered by EFSA. The results of the comparison for thiamethoxam are presented below:

Comparison of thiamethoxam residue levels in honey and nectar

Maximum residue measured in 2015 honey – Thiamethoxam (mg/Kg)	Range of measured values in winter OSR nectar – Thiamethoxam (mg/Kg) Thiamethoxam EFSA conclusion (2018) Appendix D	Range of measured values in nectar from succeeding crops (sum of thiamethoxam + clothianidin) mg/Kg (3 trials – considered insufficient for refining exposure) Thiamethoxam EFSA conclusion (2018)
0.00141	<loq-0.003< td=""><td>OSR 0.0022-0.0077 Phacelia 0.001-0.0021 Alfalfa 0.0005-0.0022</td></loq-0.003<>	OSR 0.0022-0.0077 Phacelia 0.001-0.0021 Alfalfa 0.0005-0.0022

Note: for succeeding crops data was only available for thiamethoxam and clothianidin combined.

In addition to the above, HSE also compared the residues in honey with the toxicity endpoints for thiamethoxam (see EFSA (2018)) for honey bees; this is presented below:

Comparison of thiamethoxam residues measured in honey with toxicity endpoints for honey bees

		Daily consumption of residues (using max residue measured in 2015 honey)	Margin of safety
Acute oral toxicity	0.005 µg a.s./bee	0.001203 µg/bee/day	~4 fold
Larval toxicity	0.0217 µg a.s./larva per developmental period	0.0005203 µg/larvae	~42 fold

Note: No chronic toxicity data is available for thiamethoxam

It is worth noting that the above assessment is based on using maximum residues in honey as a surrogate for nectar. If the bees were only consuming the honey then the exposure would be lower – due to the much higher sugar content of honey compared with nectar (calculations have assumed sugar content of nectar of 15%, whereas honey is likely to be around 80%). As a consequence, the margin of safety would be greater.

Defra has recently funded further work on the likely levels of pesticides in honey³⁸; part of this work focused on the occurrence of neonicotinoids, including thiamethoxam, in samples of honey. Although not yet finalized and published, it was considered important to include a consideration of this work in this eRR.

This work indicated that "following the cessation of use in oilseed rape, by 2015 there was a significant reduction in the detection frequency of all three compounds in honey samples. By 2019 both IMI and TMX were largely absent from honey (3% of samples). This reduction is

³⁸ Defra research project – PN 0806: Analysis of samples from National Honey Monitoring Scheme for pesticide residues to quantify pesticide exposure risk to honey bees. Draft report accessed August 2021.

concurrent with their almost (IMI) or complete (TMX) cessation of use from 2015 onwards. However, CTD while reducing in frequency from 2014-2015, continued to be found in on average between 10.9 to 21.0 % of honey samples. It is likely this reflects the continued use of this product on winter wheat and sugar beet from 2015-2018". Presented below is a summary table outlining the residues of clothianidin, thiamethoxam and imidacloprid in honey samples.

Summary statistics for the residues of clothianidin (CTD), thiamethoxam (TMX) and imidacloprid (IMI) identified from honey samples from 2014-19. Where: LoD= residue limit of detection set at 0.38 ng / g ww; N= number of samples with residues above the limit of detection.

		2014 (pre- moratorium)	2015	2016	2017	2019 (NHMS data)
Number of hon samples	ey	21	109	92	101	100
	СТД	38.1% (N=8)	16.6% (N=18)	10.9% (N=10)	11.9% (N=12)	21.0% (N=21)
Percentage of Residues >	тмх	14.3% (N=3)	6.5% (N=7)	5.5% (N=5)	0.0% (N=0)	1.0% (N=1)
LoD	IMI	9.6% (N=2)	5.6% (N=6)	2.2% (N=2)	1.0% (N=1)	0.0% (N=0)
Mean	CTD	0.29 (SE 0.09)	0.12 (SE 0.03)	0.07 (SE 0.03)	0.10 (SE 0.04)	0.16 (SE 0.04)
concentration in honey	ТМХ	0.11 (ŚE 0.08)	0.05 (ŚE 0.02)	0.03 (SE 0.01)	0.00 (ŚE 0.00)	0.01 (ŚE 0.01)
(ng g ⁻¹)	IMI	0.05 (SE 0.04)	0.04 (SE 0.02)	0.02 (SE 0.01)	0.01 (SE 0.01)	0.00 (SE 0.00)
Maximum	CTD	1.02 ng g ⁻¹	1.69 ng g ⁻¹	1.94 ng g ⁻¹	2.78 ng g ⁻¹	1.94 ng g ⁻ 1
recorded	ТМХ	1.41 ng g ⁻¹	1.41 ng g ⁻¹	0.82 ng g ⁻¹	0 ng g ⁻¹	0.96 ng g ⁻ 1
concentration	ІМІ	0.64 ng g ⁻¹	1.61 ng g ⁻¹	0.98 ng g ⁻¹	0.78 ng g ⁻¹	0.00 ng g ⁻ 1

According to the above table, the maximum concentration of thiamethoxam in 2019 was 0.96 ng a.s./g, in the 2015 data previously considered by HSE and the ECP, the maximum figure was 1.41 ng a.s/g (see above). The resulting risk will be slightly less than that outlined above.

Additional consideration of the risk to bees foraging in field margins

Data from a Defra funded project (PS2372 - Quantifying exposure of bumblebees to neonicotinoids and mixtures of agrochemicals – see <u>Defra, UK - Science Search</u>) indicated that residues of thiamethoxam could occur in the pollen and nectar of flowers in field margins.

In this study, the crops being studied were oilseed rape and wheat, both of these seed treatments have higher dust drift factors than for sugar beet, i.e., default deposition percentages for sugar beet (as used above) are 0.003 and 0.03 with and without a deflector, whereas for oilseed rape with and without a deflector the range is 0.66 and 6.6 respectively, whilst for cereals the range is 0.99 and 9.9 with and without a deflector, respectively (see Table H1b of EFSA (2013a) for further details). Therefore, exposure and hence risk resulting from dust drift should be less for sugar beet seed than for either cereals or oilseed rape. Further details regarding the risk from dust drift is outlined above.

It was further noted in PS2372, that the concentrations in plants in field margins could be greater than those in the field. It was postulated by the study authors that the "differential presence of these compounds in OSR flowers and field margin wildflowers was related to the route of contamination in each case (i.e., root uptake from the residues in soil and soil water, spray drift or contaminated dust emissions during coated-seeds sowing)". It should be noted that at this point in time, the routine honey bee risk assessment focuses on the risk to honey bees foraging the

	treated crop and not the off-field habitat, however an assessment has been done for the use of 'Cruiser SB' due to the concerns associated with the a.s., metabolite and use of the product. Furthermore, the reasons why residues in pollen and nectar in the off-field habitat were greater than in-field is unclear and warrants further consideration.
	Overall conclusion The acute contact risk from dust drift is considered to be acceptable, providing that the read across from the spray-based hazard quotient approach and the associated trigger value is accepted.
	As regards the oral routes of exposure the risk from honey bees foraging on the treated crop is deemed not be relevant and hence is acceptable. As regards honey bees foraging on flowering plants in the field margin , adjacent crops and succeeding crops , the acute oral route for adult bees as well as the risk to larvae have been assessed, and as a result of the assessment there is at least an order of magnitude between the predicted exposure and either the acute oral LD50 or the larvae NOEL.
	The chronic oral risk to adult honey bees could not be assessed due to the lack of data and hence the risk according to para 2.5.2.3 of Annex Part 1, Section C of the Uniform principles for evaluation and authorisation of plant protection products, as provided for in Article 29(6) of Regulation (EC) No 1107/2009 is unacceptable.
	A detailed consideration of possible chronic endpoints for adult honeybees was undertaken, and two endpoints previously considered by EFSA and EU review programme were used. One was from a homing flight study, whilst the other was from a non-ideal laboratory chronic study. As regards the homing study, this is not a standard regulatory study, and hence interpreting what the outcome from the study means is unknown in terms of how it relates to field conditions. The chronic study was not up to modern standards as the exposure was not appropriate (for further details see "Effects on bees – Consideration of the lack of an adult forager chronic endpoint" (page no. 135 above)). Using these endpoints in an illustrative manner, indicated a potential risk, i.e., either the exposure estimate was greater or more or less equal to the effects endpoints. Whilst it is acknowledged that these data are not ideal, using these data do indicate that the active substance may reach levels in the environment that could cause adverse effects on the survival and/or behaviour of adult forager honey bees. Due to the lack of readily available suitable higher tier data and/or models that could use the output from lower tier studies it is has not been possible to extrapolate the effects seen in these studies to potential colony level effects.
	The risk from guttation was considered and it was noted that the risk from the initial use on sugar beet seed was considered to be acceptable by EFSA (2018); HSE agrees with this conclusion. However, the risk from guttation formed in succeeding crops was not assessed in EFSA (2018). HSE has assessed the risk using data on the levels of thiamethoxam in guttation fluid formed on maize (see (2020)) with the available toxicity data, with the outcome indicating potential concern, especially with regard to chronic risk to adult forager honey bees. It should be noted that data on the likely levels of the active substance in guttation fluid on other plants were not available, nor were data on the likely frequency of occurrence of guttation fluid. Due to the lack of information regarding the likelihood of occurrence of guttation including which crops it may occur in, it is not possible to determine which crops could pose an acceptable risk.
Other areas of the risk assessment	The following assessments (presented on a blue background) are taken from the original assessment of 'Cruiser SB' supporting the original commercial authorisation (previously circulated with the 2020 application for 'Cruiser SB', see ECP 4-7 (39/2020), HSE internal reference WIS 001072834). As the rate is within that being proposed for 'Cruiser SB' and the guidance has not changed, the risk assessment has not been revisited. It should however be noted that PEC values are greater in the following assessment than for the proposed use. It should also be noted that

Effects on	key impact accumulat same field repeated t field for 46	t of the chang tion. However f for 46 month use is effective 6 months.	e in the DT90 is t , with the propose s, the risk of accu	that this trigged restriction umulation of ne restriction	en changes to the DT5 gers the need to consid n not to apply sugar bee residues of thiamethox n not to plant sugar bee 3.2, IIIA 10.5)	er the issue et treated se am in soil fr	of ed to the om
arthropod species other than bees	 It should be noted that the risk to non-target arthropods from a plant protection product is usually assessed using ESCORT 2 (see Candolfi <i>et al</i> 2001). According to Candolfi <i>et al</i> when a seed treatment is being considered, data on species such as spiders and ground dwelling beetles should be considered. Outlined below is a summary of all the toxicity data that has been submitted including ground dwelling and leaf dwelling non-target arthropods. Data on the effects of thiamethoxam as well as the metabolite CGA 322704 on the soil mite are also included below. (The effects of thiamethoxam and the metabolite CGA 322704 on soil <i>function</i> are considered in Section B.9.7.) B.9.5.1 Laboratory toxicity studies Studies have been submitted on the toxicity of the formulated products 'Cruiser 350FS' and 'Actara 25WG' to non-target terrestrial arthropods. These data have been summarised in Table B.9.66. All tests were conducted in accordance with GLP. No data were submitted from laboratory studies with technical thiamethoxam but it is acceptable to address the risk to non-target arthropods using formulation studies. Table B.9.66 Effects of formulations of thiamethoxam on non-target terrestrial arthropods 						
	5	Species	Test type, substrate	Appln. (g a.s./ha)	Effect(s)	Test guideline	Ref
	6	Cruiser 350FS	& duration				
	ŀ		laboratory sand substrate, treated wheat seed placed on surface to equate to 140 g a.s./ha. Equivalent to 70 g a.s./100 kg seed, or 0.035 mg a.s./seed assuming 20000 seeds/kg.	control 140	Adult mortality after 4 days (%): 0 100 Food consumption in treated was reduced compared to untreated from start	Heimbach (1992)	1998a

Aleochara bilineata	laboratory sand substrate, treated wheat seed placed on surface to equate to 140 g a.s./ha. Four days exposure followed by 10 days egg viability assessment. Equivalent to 70 g a.s./ha or 0.035 mg a.s./seed assuming 20000 seeds/kg.	control 140	Adult mortality after 4 days (%): 0 90 79% reduction in food consumption relative to untreated (days 1-4) No eggs laid in treated compared to 54 eggs/beetle in untreated (93% hatch)	Samsoe- Petersen (1992)	1998b
		'Actar	a 25WG'		
Aphidius rhopalosiphi	exposure of adults to dry residues on glass plates for 48 hrs followed by fecundity assessment	control 200	Adult mortality (%): 5 100 Parasitisation not assessed due to 100% mortality	IOBC (Mead- Briggs 1992) Hassan (1992)	1998a
Typhlodromus pyri	exposure of nymphs to dry	control 200 control 200	Adult mortality after one day (%): 0 87 after 3 days (%): 8 100 Fecundity not assessed due to 100% mortality	Overmeer (1988) Hassan (1992)	1998b
	bilineata Aphidius rhopalosiphi Typhlodromus	bilineatasubstrate, treated wheat seed placed on surface to equate to 140 g a.s./ha. Four days exposure followed by 10 days egg viability assessment. Equivalent to 70 g a.s./ha or 0.035 mg a.s./seed assuming 20000 seeds/kg.Aphidius rhopalosiphiexposure of adults to dry residues on glass plates for 48 hrs followed by fecundity assessmentTyphlodromus pyriexposure of nymphs to dry residues on glass plates for 7 days followed by fecundity	bilineatasubstrate, treated wheat seed placed on surface to equate to 140 g a.s./ha. Four days exposure followed by 10 days egg viability assessment. Equivalent to 70 g a.s./ha or 0.035 mg a.s./seed assuming 20000 seeds/kg.control 140Aphidius rhopalosiphiexposure of adults to dry residues on glass plates for 48 hrs followed by fecundity assessmentcontrol 200Typhlodromus pyriexposure of nymphs to dry residues on glass plates for 7 days followed by fecundity controlcontrol 200	bilineatasubstrate, treated wheat seed placed on surface to equate to 140 g a.s./ha. Four days exposure followed by 10 days egg viability assessment. Equivalent to 70 g a.s./ha or 0.035 mg a.s./seed assuming 20000 seeds/kg.days (%): 0Aphidius rhopalosiphiexposure of adults to dry residues on glass plates for 48 hrs followed by fecundity assessmentdays (%): 0Typhlodromus pyriexposure of nymphs to dry residues on glass plates for 7 days followed by 	bilineatasubstrate, treated wheat seed placed on surface to equate to 140 g a.s./ha. Four days exposure followed by 10 days egg viability assessment. Equivalent to 70 g a.s./ha or 0.035 mg a.s./seed assuming 20000 seeds/kg.days (%): official controlPetersen (1992)Aphidius rhopalosiphiexposure of adults to dry residues on glass plates for 48 hrs followed by fecundity assessmentcontrol 200Adult mortality (%): 5IOBC (Mead- Briggs 100Typhlodromus pyriexposure of nymphs to dry residues on glass plates for 7 days followed by fecundity assessmentcontrol 200Adult mortality (%): 5IOBC (Mead- Briggs 1992)Typhlodromus pyriexposure of nymphs to dry residues on glass plates for 7 days followed by fecundity assessmentcontrol 200Adult mortality after one day (%): control 200Overmeer (1992)Typhlodromus pyriexposure of nymphs to dry residues on glass plates for 7 days followed by fecundity assessmentcontrol 200Adult mortality after one day (%): control 8Overmeer (1992)Typhlodromus pyriexposure of nymphs to dry residues on glass plates for 7 days followed by fecundity assessmentcontrol 200Adult mortality after one days (%): 8Overmeer (1988) Hassan (1992)

¹ proposed max. application rate equates to 61.25 g a.s./ha on cereals and 147 g a.s./ha on peas

a)

The chronic toxicity of technical thiamethoxam (purity 98.7%) to *Folsomia candida* (Collembola) was investigated in a 4-week laboratory study. Juveniles (10-12 days old at start) were exposed to thiamethoxam at 0.36, 0.72, 4.44, 2.88, 5.76, 11.52, 23.04 and 46.08 mg a.s./kg dry soil in glass vessels of 100 ml capacity (5 cm diameter) containing 30 g of artificial soil (10% peat). The collembola were fed moist yeast every 7 days. Results are summarised in Table B.9.67.

Table B.9.67 Toxicity of technical thiamethoxam to collembola

Treatment	Nominal conc.n (mg a.s./kg)]	Mean adult mortality after 4 weeks (%)	juveniles/ replicate after 4 weeks mean % of control	
Control	-	4	735	-
Thiamethoxam	0.36	3	756	103
	0.72	20*	802	109
	1.44	8	707	96
	2.88	15	713	97
	5.76	43*	385	52*
	11.52	68*	20	3*
	23.04	80*	4	1*

	46.08	98*	0	0*
Toxic standard	30.3	12	384	52

* significantly different to control

A clear concentration-dependent effect on the survival of collembola was observed after 4 weeks exposure to thiamethoxam. The relatively high adult mortality at 0.72 mg a.s./kg was not considered to be treatment related. The level of reproduction observed in this treatment was greater than the control. Surviving collembola exhibited normal behaviour in all treatments. Reproduction of collembola was unaffected at concentrations of thiamethoxam up to and including 2.88 mg/kg dry weight soil. At concentrations of 5.76 mg/kg dry weight soil and higher the reproductive performance of collembola was negatively affected. The validity criteria for the control reproduction were accomplished, with > 100 juveniles/replicate and a coefficient of variation of reproduction of 11.6% (i.e. < 30%).

The 28-day EC50 (based on reproduction) of collembola following exposure to thiamethoxam was determined to be 5.61 mg/kg dry soil and the 28-day NOEC to be 2.88 mg/kg dry soil.

This study was conducted according to ISO 11267 (1999) and in compliance with GLP.

b) The chronic toxicity of the thiamethoxam metabolite CGA 322704 (purity 99%) to *Folsomia candida* (Collembola) was investigated in a 4-week laboratory study. Juveniles (10-12 days old at start) were exposed to CGA 322704 at 0.15, 0.3, 0.6, 1.2, 2.4, 4.8, 9.6 and 19.2 mg/kg soil in glass vessels of 100 ml capacity (5 cm diameter) containing 30 g of artificial soil (10% peat). The collembola were fed moist yeast every 7 days. Results are summarised in Table B.9.68.

Treatment	Nominal conc.n	Mean adult mortality after 4 weeks	juveniles/ replicate after 4 weeks	
	(mg a.s./kg)]	(%)	mean	% of control
Control	-	20	1267	-
Thiamethoxam	0.15	50*	671	53*
	0.3	100*	2	0*
	0.6	98*	2	0*
	1.2	100*	1	0*
	2.4	100*	0	0*
	4.8	100*	0	0*
	9.6	100*	0	0*
	19.2	100*	0	0*
Toxic standard	30.3	50*	305	24*

Table B.9.68 Toxicity of CGA 322704 (metabolite) to collembola

* significantly different to control

Significant mortality in comparison to the control, as well as a significant decrease in reproduction was observed at 0.15 mg CGA 322704/kg dry weight soil. Surviving collembola exhibited normal behaviour. The validity criteria for the control reproduction were accomplished, with > 100 juveniles/replicate and a coefficient of variation of reproduction of 23.2% (i.e. < 30%). The EC50 for reproduction was not calculated but the 28-day NOEC (based on reproduction) of collembola following exposure to CGA 322704 was < 0.15 mg/kg dry weight soil. This study was conducted according to ISO

	11267 (1999) and in compliance with GLP.
	(2001b)
c)	The chronic toxicity of the metabolite CGA 322704 applied to artificial soil to <i>Hypoaspis</i> (<i>Geolaelaps</i>) <i>aculeifer</i> was determined using the OECD Guideline Proposal for the Testing of Chemicals "Predatory mite reproduction test in soil (<i>Hypoaspis</i> (<i>Geolaelaps</i>) <i>aculeifer</i>)", Fifth Draft March 06, 2005. The study was conducted to GLP and there were no deviations.
	Adult mated female mites of similar age (approx. 7 - 14 days after reaching the adult stage) from a synchronised culture taken between the 28 th and 35 th day after starting the respective culture were kept in a precisely defined artificial soil to which the test item had been applied.
	On the day of test initiation, the test item was dissolved in an amount of deionised water sufficient to prepare a stock solution. This stock solution was used to produce the various dosage solutions of the test item. An appropriate amount of the stock and the dosage solutions respectively served to prepare the different concentrations of the test item in the artificial soil.
	The control substrate contained the corresponding amount of water only. The test item was incorporated into the soil. Each test vessel was then filled with the treated soil (approximately 30 g dry weight).
	Ten adult mated female mites were placed on the soil substrate of each test vessel (4 control vessels and 4 replicates per treatment rate).
	At test start three spatula tips of <i>Tyrophagus putrescentiae</i> were added as a food source to each test vessel. On days 4, 7, 11 and 14 after application, humidity of the test substrate and the amount of food consumed were checked and deionised water and prey mites were added. On day 16 the pH-value and the moisture of the artificial soil were checked for each concentration in additional vessels without mites.
	Assessments were performed after an extraction period of 48 hours. The mites of each test vessel were poured into extraction funnels and heat-extracted by a modified infrared extractor. The final number of surviving adult mites and the number of surviving juveniles after 16 days exposure and 2 days heat extraction were recorded.
	Results and Discussion
	After 16 days of exposure and an additional two days of extraction, 13 to 20 adult mites (females and males) were observed in the control and 8 to 32 adult mites in all concentrations of the test item tested. Since at the end of the test the number of adult mites found was greater than the initial number and furthermore, not only females but males were determined, it can be assumed that an unknown number of individuals of the F1-generation became adult during the test period.
	The number of juveniles was statistically significantly reduced compared to the control (Williams test; 1-sided, $p \le 0.05$) at the highest concentration (500 mg CGA 322704/kg soil (dw)) of the test item tested.
	The NOEC _{Reproduction} was determined as 100 mg CGA 322704/kg soil (dw) and the LOEC _{Reproduction} as 500 mg CGA 322704/kg soil (dw).
	The EC50 value for reproduction was calculated by Probit analysis using Linear Max.

Likelihood Regression as 472.7 mg CGA 322704/kg soil (dw) (95 % confidence limits: 275.2 - 1520.3 mg CGA 322704/kg soil (dw)).

The results are summarised in the tables below in Table B.9.69:

 Table B.9.69 Summary of results from the chronic toxicity study on the metabolite CGA 322704

 applied to artificial soil to Hypoaspis (Geolaelaps) aculeifer

Concentration (mg CGA 322704/kg soil dw)	Mean number of adult mites (± standard deviation)	Mortality (%)	Mean number of juvenile mites (± standard deviation)	Number of juvenile mites (% of control)
Control	16.0 ± 3.0	-60.0	271.4 ± 33.5	-
5	17.8 ± 5.2	-77.5	249.0 ± 25.4	91.8
10	20.8 ± 1.7	-107.5	282.0 ± 47.4	103.9
25	21.0 ± 11.1	-110.0	254.5 ± 55.7	93.8
50	13.5 ± 7.2	-35	266.0 ± 33.3	98.0
100	12.3 ± 2.9	-22.5	245.3 ± 11.1	90.4
500	13.5 ± 4.5	-35.0	130.3 ± 28.0 *	48.0

* significantly different to control (Williams test; 1-sided, $p \le 0.05$)

Conclusions:

The effects of CGA 322704 on the reproduction of the Predatory Soil Mite *Hypoaspis* (*Geolaelaps*) *aculeifer* were evaluated after incorporating the required quantity of the test item into the artificial soil substrate.

The NOEC_{Reproduction} was determined as 100 mg CGA 322704/kg soil (dw).

The EC50 value for reproduction was calculated as 472.7 mg CGA 322704/kg soil (dw) (95 % confidence limits: 275.2 - 1520.3 mg CGA 322704/kg soil (dw)).

2005)

B.9.5.2 Extended laboratory toxicity studies

Larvae of the Carabid beetle *Poecilus cupreus* were exposed to pea seeds treated with 'Cruiser 350FS' at the proposed recommended dose of 150 ml/100 kg seed. Individual larvae were caged in glass tubes (2.2 cm diameter x 7 cm high) containing 5cm of soil, a single treated pea seed and an insect pupa as a food source.

One pea per container was stated to be equivalent to 7143 kg seed/ha, resulting in an application rate of 3750 g a.s./ha. This is approximately 60 times and 26 times the maximum application rate on cereals and peas respectively.

After 3 days, 62.5% of larvae exposed to treated seed had died, and by day 5 all larvae exposed to treated seed had died. No mortality occurred in untreated tubes at this time.

This study was performed according to Heimbach (1998) and in accordance with GLP.

2000)

B.9.5.3 Semi-field studies

No semi-field studies were conducted with the proposed formulation 'Cruiser 350FS'

	but two semi-field studies were conducted with 'Cruiser 70WS'.
a)	In a semi-field study in Northern Switzerland, adults of the Carabid beetle <i>Poecilus cupreus</i> were exposed to wheat seeds treated with 'Cruiser WS70' to apply 70 g a.s./100 kg seed or 0.035 mg a.s./seed assuming 20000 seeds/kg.
	The study used exposure units consisting of 50 cm square metal frames, approximately 25 cm deep, sunk 10-15 cm into the soil with approximately 10 cm protruding. The soil had the following characteristics; 58.29% sand, 17.33% clay and 24.38% silt, the organic carbon was 1.96% and pH was 7.14. Spring wheat was sown at the equivalent of 200 kg/ha to give the equivalent of 140 g a.s./ha. The seeds were equally distributed in rows (distance between rows 7 cm and 2.5 cm distance between seeds in the row) at a depth approximately 1-2 cm. The units were covered with a large mesh netting to avoid disturbance by birds or other large animals yet minimising the influence of the microclimate. Ten beetles (5M, 5F) were placed in each test chamber immediately after seed sowing. Pupae of <i>Calliphora spp</i> . were provided as food. Mortality and behaviour were recorded at 1-3 hours after beetle introduction and thereafter at 1, 2, 4, 7, 10 and 14 days after treatment.
	By the end of the 14-day study, 25% of the beetles in the 'Cruiser' plots had died compared to 7.5% in untreated plots (corrected mortality = 18.9%). In addition, 33% of surviving beetles in the 'Cruiser' plots showed co-ordination problems while all beetles in untreated plots appeared normal. No effects on mean food consumption/beetle/day were seen.
	This study was performed according to Barrett <i>et al</i> (1994), Dohmen (1998) and Heimbach <i>et al</i> (1992) and in accordance with GLP.
b)	The reproductive performance (parasitism of onion fly pupae) of adult <i>Aleochara bilineata</i> exposed to wheat seeds treated with 'Cruiser WS70' was investigated in a 27- day study under semi-field conditions with rain protection. The exposure units were plastic containers (57 cm x 37 cm, approximately 21 cm high) containing approximately 11-12 cm layer of soil. The moisture content of the soil was maintained at approximately 35-40% of the maximum water holding capacity. A wheat seed density of 4.218 g seeds/unit was calculated based on 200 kg seeds/ha (140 g a.s./ha). Seed loading was calculates as 0.035 mg a.s./seed assuming 20000 seeds/kg. The seeds were equally distributed in rows 7 cm apart and planted approximately 1 cm deep. The units were covered with a fine mesh netting to avoid predation and test insect escape. Each test unit held 200 beetles (100M, 100F) and there were four replicates. On days 0, 1, 3, 6, 8, 10, 13, 17 and 20 the beetles were fed with thawed <i>Chironomus</i> sp. larvae. On each of days 6, 13 and 20, approximately 5000 <i>Delia antiqua</i> pupae were added to each of the exposure units. The fly pupae being buried in 3 rows (1-3 cm deep). The second and third introductions of fly pupae were placed in new rows, each beside the previous rows. On day 27 all onion fly pupae were carefully removed and set up under laboratory conditions to monitor emergence of adult <i>Aleochara</i> . The emergence stage lasted 35 days.
	The percentage reduction in parasitism compared to the control was 66.6% for the 'Cruiser' treatment and 99.9% for the toxic standard treatment. Both reductions were statistically different. The actual levels of parasitism were 21.2 % in the control, 7.1 % in the 'Cruiser SB' treatment and < 0.1 % in the toxic standard treatment. 'Cruiser WS70' applied at a rate of 70 g a.s./ 100 kg seeds (equivalent to 140 g a.s./ha with a seed density of 200 kg wheat seeds/ha) resulted in a 66.6 % reduction of <i>A. bilineata</i> fecundity compared to the control under semi-field conditions.

This study was performed according to Barrett *et al* (1994), Moreth & Naton (1992) and Naton (1988) and in accordance with GLP.

1998b)

B.9.5.4 Field studies

No field studies were conducted with the proposed formulation 'Cruiser 350FS' but a range of other formulations were used in four field studies.

a) In a field study near Leipzig in Germany, the effects of a thiamethoxam seed treatment on non-target arthropods in a spring barley crop was examined. The size of the test field was 12.6 ha, with treatment replicate plot sizes ranging from 0.9 to 1.2 ha. Three treatments were set up, with four replicate plots per treatment: untreated, seed treated with 100 g 'Cruiser 70WS'/100 kg seed, and toxic standard (untreated seed with granular carbofuran at 470 g a.s./ha). Seeds were sown at 150 kg/ha, giving a thiamethoxam equivalent rate of 105 g a.s./ha.

Sampling was carried out over 102 days, covering key crop stages from sowing to shortly before harvest. Pitfall traps (8 per plot) were used to sample surface-active soil dwelling arthropods. Pitfall trapping was carried out continuously from 13 April to 3 August, giving 10 trapping periods, each of one or two week's duration. However during four trapping periods, traps were lost to a variety of causes (flooding, mud, mice). Consequently arthropod taxa were identified from only six sampling periods during the growing season (13 April – 25 May continuously; 1-14 June and 25 June-6 July) Photo-eclectors (5 per plot) were used to sample phototactic arthropods; taxa from four sampling periods performed during the growing season were identified (10 June – 3 August continuously). Aphid counts were also performed four times during the growing season.

The data were analysed for community response to the different treatments using Principle Response Curves (multivariate analysis); and Dunnett-tests on different taxonomic levels for population density dynamics and number of taxa (univariate analysis).

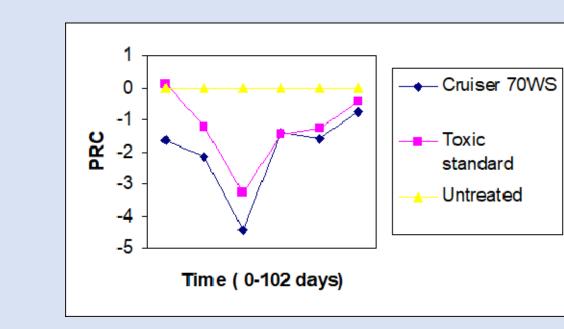


Figure B.9.14. Principal response curve for non-target invertebrates

¹⁸³

In the pitfall traps, there was no significant difference in the number of taxa between the test substance treatment and the control from 52 days after sowing. In the photo-eclectors, there was no significant difference in the number of taxa between the test substance treatment and the control from 89 days after sowing.

Univariate population analyses of pitfall trap catches indicated that the 'Cruiser' treatment transiently affected a range of soil surface active ground dwelling arthropod taxa. This was followed by recovery of the catches to levels similar to the control. Of 247 species-level taxa identified, 22 showed statistically significant differences between the 'Cruiser' treatment and the control at some time during the sampling period. Main taxonomic groupings were also summed for analysis. The most affected taxa were the Collembola ('springtails', families Sminthuridae and Entomobryoidae), the rove beetles Callericerini, Oxypodini, Gyrohypnus angustatus and Oxytelus rugosus (Coleoptera: Staphylinidae), wolf spiders (Araneae: Lycosidae) and money spiders (Araneae: Linyphiidae). However, by the end of the sampling period, 102 days after sowing, all groups had recovered to control levels, with the exception of the Collembola. Note that population development of Collembola was similar to that in the control from Day 52 onwards, indicating that their populations were recovering. Numbers of Collembola in all treatments were in natural seasonal decline by the end of the sampling period and catch numbers were too low for definitive conclusions to be made. No significant treatment effects were observed in the abundantly caught Hymenoptera (wasps & bees; excluding ants in this analysis), Diptera (flies) and Acari (mites).

Significant differences between treatment and control were observed on some phototactic arthropod populations caught in the photo-eclectors until 89 days after sowing. Of 87 species-level taxa identified, 12 showed statistically significant differences between the 'Cruiser' treatment and the control at some time during the sampling period. Main taxonomic groupings were also summed for analysis. The most affected groups included the target pests Aphidiidae ('aphids', Homoptera), Thysanoptera ('thrips') and Ciccadellidae ('leafhoppers', Homoptera). Probably due to a reduction of hosts and prey, some groups of parasitoids and predators were similarly affected for a time period up to 89 days after sowing: Syrphidae ('hover flies', Diptera), Myrmaridae ('fairy flies', Hymenoptera), Ichneumonid wasps (Hymenoptera: Ichneumonidae) and Coccinellidae ('ladybird beetles', Coleoptera). The most abundant insect groups were not affected: the Phoridae ('phorid flies', Diptera), Cecidomyiidae ('gall midges', Diptera), Drosophilidae ('fruit flies', Diptera) and Muscidae ('house flies', Diptera); none of which are dependent on the pest species as hosts or prey.

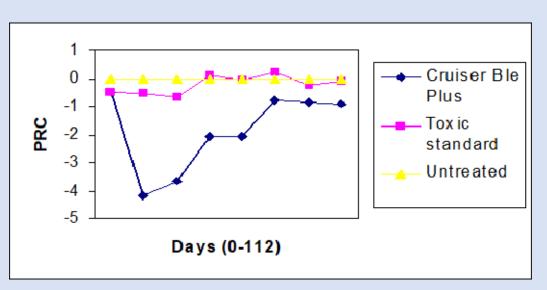
Results of the multivariate analysis supported results from the univariate analyses. The test treatment generally had little impact on the variation observed in the different communities in the Principle Response Curve (PRC) analysis. Most of the variation was a result of population dynamics due to seasonal or random effects, rather than treatment. In the pitfall trap catch PRC analysis, only 16% of the variance was explained by treatment, whilst 57% was explained by time (seasonal effects). Nevertheless, a high proportion of that variance explained by treatment, 45.7%, could be described by the first component of the PRC. In the photo-eclector catches, again only 16% of the variance explained by treatment, whilst 48.4% was explained by time. Of that variance explained by treatment, 50.4% could be described by the first component of the PRC.

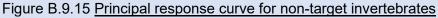
The PRC of the pitfall trap data, which is a more sensitive indicator than the statistical analysis of individual taxa because it incorporates the whole data set, showed significant reductions of arthropod populations up to the end of the sampling period, 102 days after sowing. A marked drop in the PRC of the test treatment was observed until day 32; after day 32 the difference between the treatment and the control gradually

	decreased, indicating a recovery period. The groups which most influenced the PRC were the Collembola (Sminthuridae and Entomobryoidae), Carabidae and Staphylinidae.
	The PRC of the photo-eclector samples was also strongly influenced by the reduction of the target pest species, as would be expected from an insecticide treatment. The three aphid genera: <i>Metoplophium, Rhopalosiphum</i> and <i>Macrosiphum</i> contributed most strongly to the curve, which initially dropped from the first sampling on day 61 until day 75 after sowing, and then gradually increased. The observed reduction was significant until day 89. Further pest species that contributed to the difference in the PRC compared to control were thrips (Thysanoptera) and leafhoppers (Homoptera: Cicadellidae). Of the non-pest species, most of the taxa influencing the PRC contained important predators and parasitoids of the above-mentioned pests: Syrphidae (significantly lower than control on day 75), Mymaridae (significant on day 61), Ichneumonidae (significant on day 89) and Coccinellidae (significant on day 102). These are highly mobile arthropod groups which are likely to be attracted by the presence of hosts or prey. Very abundant taxa which are not bound to the phytophagous (herbivorous) species as predators or parasitoids did not show a significant difference from the control on any day in the univariate analyses, e.g. the Phoridae, Cecidomyiidae, Drosophilidae and Muscidae (whereas the Dipteran Syrphidae did show a difference from control). Therefore, it appears that the PRC was also influenced <i>indirectly</i> by the effect of the test item on the target pest species.
	The reference item, carbofuran, resulted in a significant reduction of individuals in the pitfall traps 14 and 32 days after sowing, and a reduction in the number of taxa present 32 days after sowing. A significant effect of the reference item on the number of taxa recorded in the photo-eclector samples was detected on day 61 after sowing. The PRC showed a significant effect of the reference item until day 74.
	Treatment of barley seeds with the 'Cruiser WS70' at a rate equivalent to 105 g a.s./ha, initially affected a range of soil surface active and phototactic ground dwelling arthropod taxa. Both phytophagous and predatory arthropods were affected. This was followed by recovery to control levels in most cases by the end of the sampling period, 102 days after sowing. Collembola did not fully recover to control levels by the end of the sampling period. However, Collembola populations were in seasonal decline in all treatments at the end of the sampling period, so numbers were too low for definitive conclusions. Changes in the arthropod community due to treatment were mainly influenced by Collembola and aphids. There were no effects of the test substance on the number of taxa caught (diversity) from 89 days after sowing.
	This study was performed according to ESCORT guidelines (Barrett <i>et al</i> 1994), MAFF & HSE (1995) and Hassan (1992) and in compliance with GLP.
b)	In a field study near Mulhouse in France, the effects of 'Cruiser Ble Plus' applied to spring wheat seed on non-target arthropods was investigated. Note that 'Cruiser Ble Plus' contains 137 g/l thiamethoxam plus 51 g/l of the insecticide tefluthrin, 13.3 g/l difenoconazole and 13.3 g/l fludioxonil. 'Cruiser Ble Plus' was applied to seed at a rate of 400 ml/100kg seed. Sowing rate was 175 kg seed/ha (equivalent to a nominal rate of 92 thiamethoxam/ha).
	Three sampling methods were used. Pitfall traps (eight traps per plot) were used to sample surface active, ground dwelling arthropods. Sampling was carried out continuously between 19 April and 18 August (112 days), covering key crop stages from sowing to shortly before harvest. There were 10 individual sampling periods of approximately 10 days each but four were lost to a variety of causes. Arthropods

collected in eight sampling periods throughout the growing season were identified. Photo-eclectors (five per plot) were used to sample photo-tactic arthropods emerging from the soil and collected individuals from three sampling periods between 1 July and 16 August were identified. Foliar sweep-net samples were also collected on three occasions (mid-July, late July and mid-August).

The resulting data were analysed for community response to the different treatments using Principle Response Curves (multivariate analysis); and Dunnett-tests on different taxonomic levels for population density dynamics and number of taxa (univariate analysis).





For each of the eight sampling periods, the value for the 'Cruiser Ble Plus' plots was statistically significantly different from the untreated (P=0.05).

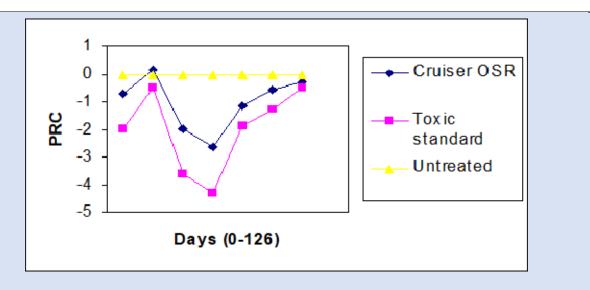
A total of 181 taxa were observed and identified in the pitfall traps throughout the trial. The number of taxa in test substance treatment was significantly different from the control only at 44 days after sowing; there were no other significant differences. In the photo-eclectors and sweep-net samples, there were no significant differences in the number of taxa between the test substance treatment and the control on any occasion.

In the photo-eclectors, in most cases there was no evidence of a lower abundance of taxa in the test item plots compared to the control. Of the 136 taxa observed throughout the study, 16 showed a significant difference from the control in at least one of the sampling dates; only nine of these indicated a reduction in numbers compared to the control.

In the sweep-net samples, in most cases there was no evidence of a real difference in the abundance of taxa in the treatment groups (test item or toxic standard) compared to the untreated control. A detectable difference was found in ten of the 97 observed taxa, in at least one of the treatment groups and sampling dates.

The main factors influencing the community response in the test item treatment pitfall trap catches were the numbers of Collembola (family Sminthuridae), and the numbers of aphids. The latter are the main target species; both taxa are potential prey items for a range of non-target predatory arthropods.

	Some predatory arthropod species also added significant weight to the community response in the pitfall traps. The most influential of these was Coccinellidae larvae ('ladybird beetles'; aphid-specific predators), though it is highly likely that their response was, at least in part, a secondary effect due to the major removal of potential prey causing the predators to relocate.
	In the photoeclector samples the main community effect drivers were Cicadellidae ('leaf hoppers') and the Collembola family Sminthuridae, both of which taxa contain phytophagous pest species and are prey for non-target predatory arthropods. The Collembola family Entomobryoidea, which consists mainly of fungivorous species, had a significantly 'negative' value compared to the control, i.e. they were more relatively abundant in the treatment community than in the control catches.
	In the sweep-net samples, again there was a significant community response to the test item treatment on all three sampling occasions. Also again, the main groups influencing the community response were phytophagous potential pest taxa: Ciccadellidae ('leaf hoppers'), Sminthuridae (Collembola) and Heteroptera ('bugs').
	All three sampling methods showed significant differences between the toxic standard and control population abundances for some taxa and sampling occasions.
	Wheat seed treatment with 'Cruiser Ble Plus' (equivalent to 92 g thiamethoxam/ha) caused significant effects on arthropod population and community dynamics. However, the main groups influencing the community response were target or potential secondary pest species. Therefore, the study author considered it likely that effects on the abundances of some predatory non-target arthropod species were indirect effects, caused by relocation of these predators to areas with a higher abundance of prey items. There were no effects of the test substance on the number of taxa caught (diversity) from 44 days after sowing.
	This study was performed according to ESCORT guidelines (Barrett <i>et al</i> 1994), MAFF & HSE (1995) and Hassan (1992) and in compliance with GLP.
c)	The effects of 'Cruiser OSR' (containing 28% w/w thiamethoxam plus 3% w/w metalaxyl- and 0.8% w/w fludioxonil) on non-target arthropods was investigated in a field study near Leipzig in Germany. 'Cruiser OSR' was applied to spring oilseed rape seed at a rate of 1.5 litres/100kg seed. Sowing rate was 8 kg seed/ha (equivalent to a nominal rate of 34 thiamethoxam/ha).
	Pitfall traps (8 traps per plot) were used to sample surface active, ground dwelling arthropods. Sampling was carried out continuously between 21 April and 25 August (126 days), covering key crop stages from sowing to shortly before harvest. There were 12 individual sampling periods of approximately 10 days each but five were lost to a variety of causes. Arthropods collected in seven sampling periods throughout the growing season were identified.
	The resulting data were analysed for community response to the different treatments using Principle Response Curves (multivariate analysis); and Dunnett-tests on different taxonomic levels for population density dynamics and number of taxa (univariate analysis).
Figu	ure B.9.16 Principal response curve for non-target invertebrates



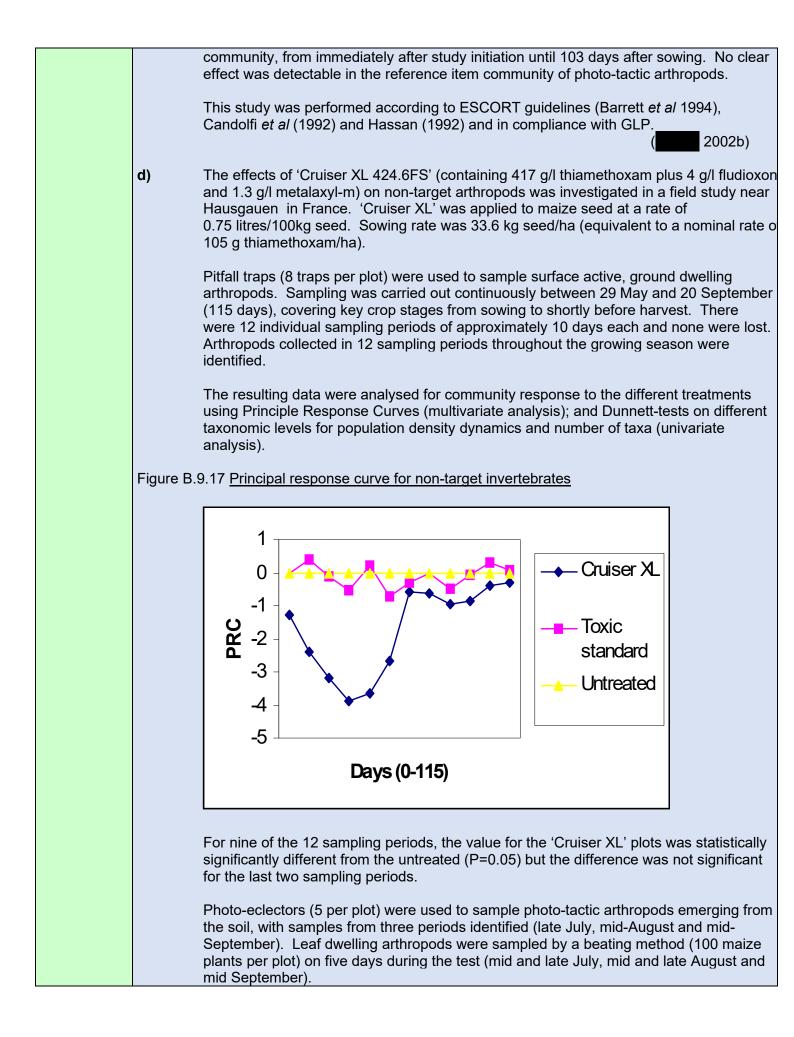
For four of the seven sampling periods, the value for the 'Cruiser OSR' plots was statistically significantly different from the untreated (P=0.05) but the difference was not significant for the last two sampling periods.

Photo-eclectors (5 per plot) were used to sample photo-tactic arthropods emerging from the soil, and individuals collected from six sampling periods were identified. Pest pressure of aphids was assessed by visual inspection of plants on 4 days during the test, and pollen beetles (*Meligethes* spp.) were counted using the beating method on 3 sampling days.

In the pitfall trap samples, 13 of 193 taxa (6.7%) showed a statistically significant reduction in abundance in the test item treatment during at least one sampling period. However only 1 out of 193 taxa revealed statistically significantly lower abundances on the last sampling interval, *Agonum muelleri* (Coleoptera: Carabidae). The lower abundances of *A. muelleri* were considered more likely to be related to an abundance peak due to chance fluctuations in the control than to any treatment effect. Collembola of the family Sminthuridae showed a statistically significant reduction in abundance over a longer time, as recovery by the end of the test period could not be fully demonstrated in this taxon.

In the photo-eclector samples, 5 of the 80 different taxa (6.3 %) showed a statistically significant reduction in abundance in the 'Cruiser OSR' treatment during one or more sampling periods. Most of the taxa that were collected reliably by this method, as reflected by high numbers in the samples, were not affected by the test treatment during any sampling period. Five taxa had higher abundances in the treatments than in the control during different sampling periods, and none of the abundantly collected Diptera taxa, or Araneae, showed any treatment effect on their population densities throughout the sampling period.

The treatment effect on composition of the ground dwelling arthropod community sampled with pitfall traps persisted until day 75. From day 54, recovery occurred rapidly in the treatment plots up until day 75; and from thereon there was no statistically significant difference between the control and the 'Cruiser OSR' treatment up to the end of the sampling period, 126 days after sowing. The main contributor to the PRC was Sminthuridae (Collembola). In the arthropod community collected with photo-eclectors, no statistically significant treatment effects on community composition were detected at any time throughout the sampling period. The reference item carbofuran showed a distinct and statistically significant treatment effect in the ground dwelling arthropod



In the pitfall traps there were no significant differences in the number of taxa between the 'Cruiser XL' plots and the control on any occasion. In the photo-eclector samples there were significant differences in the number of taxa between the 'Cruiser XL' treatment and the control in the first two sampling periods; in the last photo-eclector sampling period there was no significant difference.

In the univariate analyses, 13 of the 199 taxa collected in pitfall traps showed a statistically significant reduction in abundance compared to the control at some time during the test period. Collembola of the family Sminthuridae showed a statistically significant reduction in abundance in the first half of the sampling period. Recovery of this taxa could not be demonstrated as population densities remained on an extremely low level thereafter in all treatments.

In the photo-eclector samples, 136 taxa were identified and 11 taxa showed significantly lower numbers in the 'Cruiser XL' treatment compared to the control at some time during the sampling period. All affected taxa showed recovery by the last sampling period, or were considered to be chance probability effects, with the exception of the 'fungus gnats' (Diptera: Mycetophilidae) and the Sminthuridae. The 'fungus gnats' were likely to have been indirectly affected by the fungicidal component of the formulation acting on their food supply, as well as by the insecticidal component [though no such significant effect on Mycetophilidae was detected in an oilseed rape study with the same active substances, conducted in a different country]. In the Sminthuridae, statistically significant effects persisted until the end of the sampling period.

In beating samples, of the 97 taxa identified, seven showed significantly lower catches in the test substance treatment compared to the control. There was a significantly lower population density in various Homopteran taxa in the test item plots, compared to control, and a lower Sminthuridae catch in the last sampling days (though the latter was not statistically significant due to high variability). Both of these taxa include mainly phytophagous groups which may have been feeding on sap of the crop plants, and thus may be considered as pests which had been affected by the systemic test substance. Tetragnathid spiders were also present in lower abundances in the test treatment than in the control on the last sampling date. However, as their abundance generally followed the population curve of the control, the study author considered that this was more likely to be explained by chance than by a true treatment-related response. No other spider taxa found in the beating samples showed any significant treatment effect.

Results of the multivariate analysis supported results from the univariate analyses. In the PRC analysis, generally, the test treatment had little impact on the variation observed in the different communities. Most of the variation in abundances was as a result of population dynamics due to seasonal changes, which result in variations in species composition. For all three trapping methods, it was shown in the multivariate PRC analysis that about 90% of the total variation was not related to treatment but was either due to time (seasonal changes) or should be classified as random. Nevertheless, the first component of the PRC was able to explain a relatively high percentage of the remaining treatment-related variation (between 37% and 58%).

For the ground dwelling arthropod community that was recorded using pitfall traps, there was a strong treatment-related effect that occurred directly after sowing but which had disappeared by the end of the growing season. The treatment effect on the composition of the ground dwelling arthropod community sampled with pitfall traps persisted until day 94 after sowing, and can be described in three steps: from planting until day 34 after sowing the treatment effect was most pronounced; after day 34 recovery occurred quickly until day 62, and then more slowly until day 94 after sowing.

By 94 days after sowing, the arthropod community of the 'Cruiser XL' treatment was not significantly different in composition to that in the control. The main community driver in the pitfall traps was the Sminthuridae.
Statistically significant treatment effects were observed in the composition of the photo- tactic arthropod community collected with photo-eclectors throughout the sampling period. The test treatment effect on community composition was to a high degree explained by the behaviour of the two taxa Sminthuridae and 'fungus gnats' (Mycetophilidae), which were the most abundant groups collected by the photo- eclectors.
The leaf dwelling arthropod community, collected by beating, showed a significant treatment effect in the last two samples, days 94 and 112 after sowing. The treatment effect on the community composition could be explained by the decrease in the population density of various Homopteran taxa and a decrease in Sminthuridae catch numbers in the last two sampling days.
The study author considered it is likely that many of the Sminthuridae present were phytophagous (herbivores). It was notable that the Entomobryoidea, the other main family of Collembola collected in high numbers, did not show any reduction in abundance. The Entomobryoidea feed almost exclusively on fungi. Therefore, it was considered more likely that the Sminthuridae, a potential secondary pest, were affected by the insecticide in the plants than by the fungicide component of the formulation.
Overall, the observed treatment effects on the total arthropod community in the maize field could be explained by the behaviour of three groups. The 'fungus gnats' (Mycetophilidae) are likely to have been affected indirectly by the fungicidal component of the test substance acting on their food supply, as well as potentially by the insecticidal component. These were among the most abundant taxa in the photo-eclector samples, so the decrease in numbers caught had a strong influence on the community composition of the catches. Secondly, the phytophagous 'aphids' (Homoptera) and 'leaf hoppers' (Cicadellidae) in their various life stages, and other taxa in the Hemiptera ('bugs'), had a great impact on the composition of the communities caught in the photo-eclector and beating samples. Many of these taxa are herbivorous potential pest species. Thirdly, the most influential taxon on community composition, due to the high numbers trapped by all three methods, was the Collembolan family Sminthuridae. The population density of this group was probably affected by the test item because some species feed directly on maize plants. The study author deduced that all phytophagous taxa that feed on the sap of maize plants were affected by the test treatment. The majority of all other arthropod taxa sampled adequately during the study showed full or incipient recovery of numbers trapped within 112 days after sowing. The exceptions were the taxa that decreased in all treatments to an extremely low level, due to natural seasonal population or activity declines, which made it impossible to demonstrate recovery. Effects on some predatory species due to systemic insecticides were considered likely to have been an indirect result of treatment, as sufficiently mobile predators will relocate due to the reductions in prey numbers in the treated plots.
Treatment of maize seeds with the 'Cruiser XL 424.6 FS' (105 g thiamethoxam/ha) initially affected a range of foliar dwelling and soil surface active and phototactic ground dwelling arthropod taxa. This trend was followed by recovery to control levels in most cases by the end of the sampling period, 112 days after sowing. Community effects were largely influenced by the population dynamics of the Sminthuridae. The majority of all other arthropods sampled adequately during the study showed recovery of trapped numbers by the end of the sampling period. Exceptions were a few taxa that decreased in all treatments, due to normal seasonal decline. There were no effects of

the test substance on the number of taxa caught (diversity) by the end of the test period.

This study was performed according to ESCORT guidelines (Barrett *et al* 1994), Candolfi *et al* (2000) and Hassan (1992) and in compliance with GLP.

2002c)

B.9.5.5 Metabolites

a) In a non-GLP screening study, four metabolites of thiamethoxam were tested for insecticidal activity against a range of insect and mite pest species. Seven species were exposed to each metabolite, either by contact to dry spray deposits (100 mg/l) on leaf discs or systemically by placing infested plants directly into test solutions. Results are summarised in Table B.9.70.

Table B.9.70 Results of screening tests on four metabolites against insects and mites

			Mortal	ity [%]	
Test Species Life stage	Test Method	CGA 355190	NOA 404617	NOA 407475	CGA 322704
Aphis craccivora mixed population	contact	0	0	0	100
<i>Myzus persicae</i> mixed population	systemic	0	0	0	100
Spodoptera littoralis L-1	feeding contact	0	0	0	100
Spodoptera littoralis L-1	systemic	0	0	0	100
Heliothis virescens	egg mortality	0	0	0	100
egg-larva	L-1 mortality	0	0	0	-
	L-1 effect	0	0	0	-
<i>Diabrotica balteata</i> L-2	feeding contact	0	0	0	100
Nilaparvata lugens	N-3 mortality	0	0	0	0
N-3 / F-1	F-1 reduction			0	100
Tetranychus urticae	egg mortality	0	0	0	0
mixed population	larval mortality	0	0	0	0
	adult mortality	0	0	0	0

The main metabolite of thiamethoxam, CGA 322704, exhibited broad insecticidal activity but had no effects on mites at the tested rates. The other tested metabolites, CGA 355190, NOA 404617 and NOA 407475 showed no biological activity on any of the tested arthropod species.

No guidelines were cited for this study which was not conducted in accordance with GLP.

b) In a non-GLP screening study, metabolite NOA 459602 was tested for insecticidal activity against a range of insect species. Exposure to NOA 459602 was either to dry spray deposits on leaves, to direct spray or systemically by feeding. A range of doses were tested from 0.4-12.5 mg/l. No mortality was seen to *Myzus persicae* and *Aphis craccivora* (Aphididae), *Spodoptera* larvae (Lepidoptera), *Diabrotica* larvae (Coleoptera, Chrysomelidae) or *Nilaparvata* nymphs (Homoptera). Thiamethoxam was also tested against the same species at identical doses and gave 33-100% mortality (>70% in most

1998)

cases).

No guidelines were cited for this study which was not conducted in accordance with GLP.

2001a)

c) In a non-GLP study, metabolite SYN 501406 was tested for insecticidal activity against a range of insect species using the same methodology as (2001a) above. No mortality was seen to *Myzus persicae* and *Aphis craccivora* (Aphididae), *Spodoptera* larvae (Lepidoptera), *Diabrotica* larvae (Coleoptera, Chrysomelidae) or *Nilaparvata* nymphs (Homoptera). Thiamethoxam was also tested against the same species at identical doses and gave 95-100% mortality.

No guidelines were cited for this study which was not conducted in accordance with GLP.



B.9.5.6 Risk assessment

It should be noted that the risk to non-target arthropods from a plant protection product is usually assessed using ESCORT 2 (see Candolfi *et al* 2001). According to Candolfi *et al* when a seed treatment is being considered, data on spiders and ground dwelling beetles should be considered. Outlined below is a summary of all the toxicity data that has been submitted including ground dwelling and leaf dwelling non-target arthropods. Data on the effects of thiamethoxam as well as the metabolite CGA 322704 on the soil mite are also included below. The risk that thiamethoxam poses to these organisms is also assessed. (The effects of thiamethoxam and the metabolite CGA 322704 on soil *function* are considered in Section B.9.7.1)

'Cruiser SB' is to be used as a seed treatment on sugar beet. Exposure to the off-field environment is unlikely and therefore only the risk to non-target arthropods in the cropped area will be considered. The non-target arthropod groups most likely to come into direct contact with treated seed include surface or sub-surface-active polyphagous predators such as carabid or staphylinid beetles and their larvae, as well as other soil-dwelling species (e.g. phytophagus collembolans).

Laboratory tier studies

Laboratory toxicity tests on the ground-dwelling non-target arthropods Poecilus cupreus and Aleochara bilineata have been carried out with the formulation 'Cruiser 350FS'. 'Cruiser 350FS' was applied to cereal seeds at the rate of approximately 0.035 mg a.s./seed which was calculated to be equivalent to 140 g a.s./ha (see 1998 (a) and (b)). In these tier I laboratory studies 'Cruiser 350FS' caused 100% and 90%mortality of these species, respectively. The seed loading for sugar beet is 0.6 mg a.s./ha whilst the application rate is equivalent to 78 g a.s./ha. It is clear that these studies were done at significantly greater application rates in terms of g/ha, but the seed loading was significantly less – i.e., 0.035 vs 0.6 mg a.s/seed. This means that should an arthropod encounter a treated seed it will be at greater potential risk from the sugar beet seed, due to the higher seed loading, than the cereal seed. However, what also needs to be considered is the density of seed, it is clear that cereal seeds are sown at approximately 30 times the rate of sugar beet, therefore whilst the concentration per seed is greater on sugar beet, the number of seeds and overall concentration per hectare is greater for cereals. On balance, it is considered that these studies highlight a potentially high risk to soil dwelling beetles from the use of

thiamethoxam on sugar beet seed.
In addition, under extended laboratory conditions (natural soil substrate) 'Cruiser 350FS' was harmful (100% mortality) to larvae of <i>P. cupreus</i> when applied to pea seeds at a rate equivalent to 3750 g a.s./ha (see 2000).
The above studies indicate a high risk to soil dwelling beetles that requires further consideration – see below for details.
It is customary to considered data on soil mites, eg <i>Folsomia candida</i> , under the section on effects on soil macro-invertebrates (see Annex III Section 10.6.2). However, as this particular assessment is concerned with a seed treatment it is considered appropriate to assess the risk to soil mites as part of the non-target arthropod assessment. In a laboratory reproduction study using the collembolan <i>Folsomia candida</i> , the EC50 for reproduction was 5.61 mg a.s./kg substrate, whilst the NOEC was 2.88 mg a.s./kg substrate (2001a). When assessing the risk to soil mites, it is usual to compare the NOEC with the soil PEC, if this is done for above endpoint a TER is determined 27.7. According to the Terrestrial Guidance document as the TER is greater than 5, then the risk is to soil function is low.
Data were submitted on the metabolite CGA 322704 and these indicate that this compound is more toxic to <i>Folsomia candida</i> with a NOEC of less than 0.15 mg/kg soil (1999 , 2001b). If the NOEC of <0.15 mg/kg is compared to the soil PEC of 0.0312 mg/kg for this metabolite, a TER of less than 4.8 is produced. The mite <i>Hypoaspis aculeifer</i> was less sensitive with a NOEC of 100 mg/kg (Moser 2005); comparing this endpoint with the above soil PEC a TER of 3200, indicating a low risk.
The first-tier risk assessment on soil mites indicates that the risk to soil function is low risk, however higher tier data on the structure of soil organisms have been submitted and this is considered below.
Semi-field studies
In a semi-field study on <i>P. cupreus</i> using the seed treatment formulation 'Cruiser 70 WS' applied to seed at a rate of 0.035 mg a.s./seed assuming 20000 seeds/kg which was deemed to be equivalent to 140 g a.s./ha, corrected mortality of 18.9% was observed after 14 days of exposure (see 1998a). However, it should be noted that at this time 33.3% of the surviving beetles demonstrated co-ordination problems and the mortality was still increasing (8.9% corrected mortality during the second week of exposure). Therefore, it cannot be ruled out that should the exposure period have been extended further treatment-related mortalities could have occurred. Despite this the percentage of beetles either dead, or demonstrating co-ordination problems, at the end of the test was 46% when corrected for the control treatment. Therefore the effect levels recorded with <i>P.cupreus</i> under semi-field conditions were slightly below the 'harmful' trigger value of 50% (Candolfi <i>et al</i> , 2000 ³⁹ , 2001 ⁴⁰). The Staphylinid beetle <i>A</i> .

³⁹ Candolfi M., F. Bigler, P. Campbell, U. Heimbach, R. Schmuck, G. Angeli, F. Bakker, K. Brown, G. Carli, A. Dinter, D. Forti, R. Forster, A. Gathmann, S. Hassan, M. Mead-Briggs, M. Melandri, P. Neumann, E. Pasqualini, W. Powell, J.-N. Reboulet, K. Romijn, B. Sechser, T. Thieme, A. Ufer, C. Vergnet and H. Vogt. 2000. Principles for regulatory testing and interpretation of semi-field and field studies with non-target arthropods. Journal of Pesticide Science 73(6): 141-147.

⁴⁰ Candolfi M., K.L., Barrett, P. Campbell, R. Forster, N., Grandy, M.-C, Huet., G. Lewis, P.A. Oomen, R. Schmuck & H. Vogt. 2001. Guidance document on regulatory testing and risk assessment procedures for plant protection products with non-target arthropods. Proceedings of the European Standard Characteristics Of non-target arthropod Regulatory Testing workshop ESCORT 2, Wageningen, The Netherlands, 21-23 March 2000.

observed in a study with the same formulation and application rate (see Candolfi 1998b).

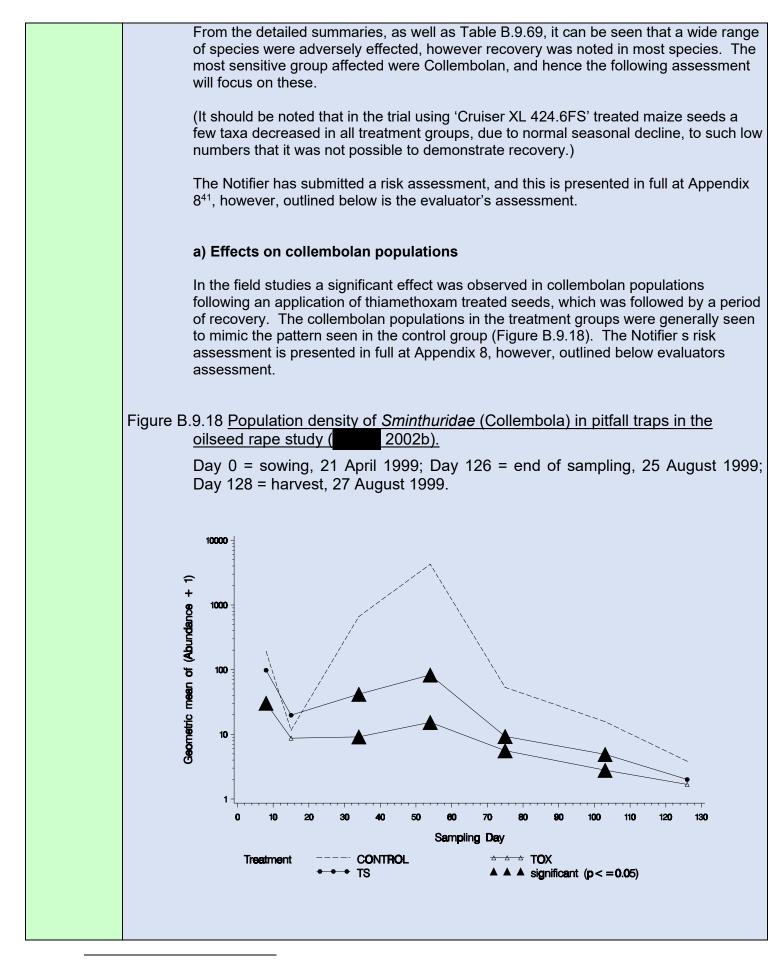
Based on the above studies 'Cruiser SB' seed treatment is considered to pose a potential risk to non-target arthropods that requires further consideration.

Field studies

Due to the results of the first tier risk assessment, the Notifier has carried out four field trials. These are briefly summarised in Table B.9.71 and discussed in more detail below.

Table B.9.71 <u>Summary of results from four field trials on natural populations of non-target</u> <u>arthropods</u>

Form.n	Crop	g a.s./ha	Summary of results
'Cruiser 70WS'	Spring barley	105	Initially, both phytophagous and predatory arthropods were affected. This was followed by recovery to control levels in most cases by the end of the sampling period, 102 days after sowing. Collembola did not fully recover to control levels by the end of the sampling period but populations were in seasonal decline at this stage. However, population development from day 52 was similar to untreated plots. Changes in the arthropod community due to treatment were mainly influenced by the pest species aphids and phytophagous collembola. There were no effects of the test substance on the number of taxa caught (diversity) from 89 days after sowing.
'Cruiser Ble Plus'	Spring wheat	92	Significant effects on arthropod population and community dynamics were seen. However, the main groups influencing the community response were target or potential secondary pest species. Therefore, it is likely that effects on the abundances of some predatory non-target arthropod species were indirect effects, caused by relocation of these predators to areas with a higher abundance of prey items. There were no effects of the test substance on the number of taxa caught (diversity) from 44 days after sowing. The presence of tefluthrin at 8.75 g a.s./ha does not seem to have affected the magnitude and duration of effects compared with the 2 other studies where thiamethoxam was used at a higher rate.
'Cruiser OSR'	Spring oilseed rape	34	In pitfall trap samples, 13 of 193 taxa (6.7%) showed a statistically significant reduction in abundance in the test item treatment during at least one sampling period. However only 1 out of 193 taxa revealed statistically significantly lower abundance at the last sampling day (126 days after sowing). Collembola of the family Sminthuridae showed a statistically significant reduction in abundance over a longer time period, as recovery by the end of the test period could not be fully demonstrated.
'Cruiser XL 424.6FS'	Maize	105	A range of phototactic foliar dwelling and soil surface active arthropod taxa were initially affected. This was followed by recovery to control levels in most cases by 112 days after sowing. Community effects were largely influenced by the population dynamics of the Sminthuridae. The majority of other arthropods showed recovery of numbers by the end of the sampling period. Exceptions were a few taxa that decreased in all treatments, due to normal seasonal decline, to such low numbers that it was not possible to demonstrate recovery. There were no effects of the test substance on the number of taxa caught (diversity) by the end of the test period. It should be noted that in this trial a few taxa decreased in all treatment groups, due to normal seasonal decline, to such low numbers that it was not possible to demonstrate recovery.



⁴¹ Appendix 8 has not be included, but is available if required.

b)	Recovery
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At the end of the field studies the populations of collembolan had recovered to levels which were no longer *statistically* significant in comparison to the control group. However it should be noted that the populations did not fully recover to equal the levels in the control. The Notifier has hypothesised that in-field populations of collembolan will recover by recolonisation from the off-crop habitats as well as reproduction of the surviving in-field populations. The Notifier has also stated that the long-term dynamics of collembolan populations seen in these field studies reflects the normal seasonal pattern, with natural increases seen in spring after soil cultivation, followed by a rapid decline in the hot, dry summer months of July and August. The Notifier proposes that populations of Collembola would be expected to increase again in the damp autumn. The populations in the thiamethoxam treatments at the end of the sampling periods in the field studies were not statistically different to the controls, and hence the population dynamics thereafter would be expected to be similar.

It is considered that the above argument is feasible and hence the studies indicate that the potential for recovery within the treated field.

c) Indirect effects on predatory arthropods

The Notifier has stated that there was a reduction in the number of predatory arthropods observed in the treatment groups compared to the control. The Notifier has proposed that this effect on population is due to the indirect effect of the pesticide and the reduction of potential food for the predatory arthropods and this is to be expected after an application of an insecticide. The Notifier has also suggested that the effect may be exaggerated by the migration of predatory arthropods from the treatment plots to the control plots where there is a higher abundance of food. Whilst it is acknowledged that this is a feasible situation, the evaluator wishes to note that the migration is not quantified. It is therefore impossible to determine whether the population of predatory arthropods in the control plot was amplified by such migration.

d) Effect on taxonomic diversity

There were no reported effects on the taxonomic diversity in the samples taken in any of the field trials.

B.9.5.8 Assessment

On the basis of the first-tier data a potential risk was highlighted, due to this several field studies were conducted.

On the basis of the information provided it is judged that, although collembolan populations did not fully recover to equal the control, the differences were no longer *statistically* significant and therefore indicate that the population dynamics of collembolan have the ability to cope with an application of thiamethoxam treated seeds when sown at the rates tested in the field trials. Therefore, on the basis of the four field studies as well as the above assessment and that provided by the Notifier (see Appendix 8) it is considered that there will be an initial impact on collembolan populations at the rates tested and that these populations should recover and be equivalent to untreated plots.

It should be noted that none of the field studies considered above were conducted using 'Cruiser SB', and therefore it is necessary to determine whether these studies provide sufficient information to enable to the risk from the use of 'Cruiser SB' to be fully assessed.

Information is presented in Table B.9.72 on the application rates, seed loadings etc for the crops assessed in the field trials summarised above, also presented is the same information for sugar beet.

Сгор	Concentration of thiamethoxam on seed (mg/kg fresh weight)	Weight of 1 seed (mg)	mg thiamethoxam /seed	Number of seeds/ha (x 10 ⁶)	Dose per ha (g a.s./ha)
Barley	700	45	0.032	3.3	105
Wheat	525	50	0.026	3.5	95
Maize	3150	200	0.63	0.17	105
Sugar beet	1579	38	0.6	0.11 to 0.13	78

Table B.9.72 Seed loading number of seeds per hectare

It is clear from Table 9.72 that the trial carried out on maize most closely matches the proposed use on sugar beet both in terms of seed loading and g/ha. The other two studies were done at significantly greater application rates in terms of g/ha, but the seed loading was significantly less – i.e. 0.026 vs 0.6 mg a.s/seed. This means that should an arthropod encounter a treated seed it will be at greater potential risk from the sugar beet seed, due to the higher seed loading, than the cereal seed. However, what also needs to be considered is the density of seed, from the above table it is clear that cereal seeds are sown at 20 times the rate of maize and 30 times for sugar beet, therefore whilst the concentration per seed is greater on sugar beet, the number of seeds and overall concentration per hectare is greater for cereals.

The four studies give similar results in terms of magnitude and duration of effects. This indicates that whilst exposure differed in terms of seed loading and rates per hectare, the effect on non-target arthropods was similar; indicating that overall exposure in the field is probably equivalent.

On the basis of the information provided it is judged that, although collembolan populations did not fully recover to equal the control, the differences were no longer *statistically* significant and therefore indicate the potential for non-target arthropod populations to recovery following exposure to thiamethoxam treated sugar beet seed.

It should be noted that issues related to the *function* of soil macro-organisms are considered below in Section B.9.71.

B.9.5.9 Metabolites

Based on results from non-GLP studies, the following metabolites showed no insecticidal activity against a range of arthropod species: CGA 355190, NOA 404617, NOA 407475, NOA 459602 and SYN 501406

However, CGA 322704 showed broad-spectrum insecticidal activity. This metabolite has been identified as the major metabolite to occur in soil (Section B.8.1.3) occurring at up to 35% AR after 90 days in laboratory studies and 61.5% AR after 29 days in worst case field studies. Data were submitted on the metabolite CGA 322704 to the mite *Hypoaspis aculeifer* and the NOEC was 100 mg/kg (Moser 2005); data were also submitted on the toxicity of the metabolite to *Folsomia candida*, this organism was considerably more sensitive with a NOEC of less than 0.15 mg/kg soil (

	2001b)
	2001b).
	Thiamethoxam has a worst-case field DT50 in soil of 86 days and is not predicted to accumulate in soil. As the four field studies were of 102-126 days duration, it is likely that CGA 322704 was formed during the studies. On the basis of the field dissipation studies conducted with thiamethoxam (see Section B.8.1.1.2.2) the evaluator considers it likely that significant amounts of CGA 322704 had formed during the NTA field studies and hence there was exposure of non-target arthropods to residues of thiamethoxam.
	In summary, it is deemed that the risk to non-target arthropods from CGA 322704 has been assessed via the use of laboratory and field studies (using thiamethoxam), therefore the risk is considered to be addressed.
Effects on soil organisms	As stated above, the guidance in place to assess the risk to soil organisms has not changed since the original evaluation of this product and the endpoints have not changed, so the original conclusion that the risk to soil organisms is acceptable remains unchanged. The assessment is presented in the in the document circulated with the 2020 application for 'Cruiser SB', see ECP 4-7 (39/2020), HSE internal reference WIS 001072834)., however, has been presented below (on a blue background) for completeness.
	B.9.6 Effects on earthworms (IIA 8.4, IIIA 10.6.1)
	B.9.6.1 Acute toxicity
	B.9.6.1.1 Acute toxicity of the active substance
	In an acute toxicity study, earthworms (<i>Eisenia fetida</i>) were exposed to technical thiamethoxam (purity 98.6%) for 14 days in artificial soil (70% sand, 20% clay, 10% peat). The test was conducted in 1.5 litre glass beakers with lids, each containing 750 g of moist soil. Nominal soil concentrations of 0 and 1000 mg a.s./kg dry soil were tested in 4 replicates of 10 worms each. By day 14, 7.5% mortality had occurred in the treated soil compared to nil in the untreated. Worms in the treated soil showed a mean 18.6% weight loss during the study compared to a 3.4% weight gain in the untreated. Burrowing time was assessed on day 14. In treated soil, mean burrowing time was 8.3 minutes compared to 4.0 minutes in the untreated.
	The LC50 for the earthworm (<i>Eisenia fetida</i>) was >1000 mg a.s./kg, the highest concentration tested. The NOEC was <1000 mg a.s./kg (the only concentration tested).
	The study was conducted to OECD guideline 207 and GLP. (1995)
	B.9.6.1.2 Acute toxicity of metabolites (IIIA 8.4)
	a) In an acute toxicity study, earthworms <i>(Eisenia fetida)</i> were exposed to the metabolite NOA 407475 (99.9% pure) for 14 days. The test was performed in glass beakers containing 750 g of moist artificial soil and NOA 407475 was added at nominal concentrations from 62 to 1000 mg/kg. No mortality occurred in any treatment or the untreated. The worms were not fed during the test and worms in all treatments and the untreated lost 31-44% of their starting weight over the 14 days of the study, with no difference between treatments.

	The 14 day LC50 was >1000 mg/kg and the NOEC was 125 mg/kg based on some thinning and reduced reaction to external stimuli at higher concentrations. The study was conducted to OECD 207 and GLP.
b)	In an acute toxicity study, earthworms <i>(Eisenia fetida)</i> were exposed to the metabolite CGA 355190 (99% pure) for 14 days. The test was performed in glass beakers containing 750 g of moist artificial soil and CGA 355190 was added at nominal concentrations of 62, 125, 250, 500 and 1000 mg/kg. The worms were not fed during the test and worms in all treatments and the untreated lost 28-42% of their starting weight over the 14 days of the study, with no difference between treatments. No mortality occurred at 500 mg/kg soil but 92.5% mortality occurred at 1000 mg/kg.
	The 14 day LC50 was 753 mg/kg and the NOEC was 250 mg/kg based on some thinning and reduced reaction to external stimuli at higher concentrations. The study was conducted to OECD 207 and GLP.
c)	In a second acute toxicity study on metabolite CGA 355190, earthworms <i>(Eisenia fetida)</i> were exposed to CGA 355190 (99% pure) at nominal concentrations of 95, 171, 309, 556 and 1000 mg/kg. No mortality occurred at 500 mg/kg soil but 5% mortality occurred at 1000 mg/kg by day-14. At the start of the study, worms at all doses had burrowed within 15 minutes. On day-7, worms in the untreated and all doses up to and including 556 mg/kg again burrowed within 15 minutes while worms at 1000 mg/kg took over 2 hours to burrow. On day-14, flaccidity and open wounds were seen at 556 and 1000 mg/kg. A clear dose-related bodyweight loss was seen on day 14 (-5% in untreated increasing to -35% at 1000mg/kg).
	The 14 day LC50 was >1000 mg/kg and the NOEC was 171 mg/kg based on biologically relevant bodyweight reductions (>10%) at higher concentrations. The study was conducted to OECD 207 and GLP.
d)	In an acute toxicity study, earthworms (<i>Eisenia fetida</i>) were exposed to the metabolite CGA 322704 (purity 99%) for 14 days in artificial soil at nominal concentrations of 1.25, 2.5, 5, 10, 20 and 40 mg/kg dry soil. All earthworm groups including the control lost weight during the study (range 14-22%) but no dose-related trend was observed. No mortality occurred at 2.5 mg/kg or below but mortality at 5, 10 and 20 mg/kg was 30%, 95% and 100% respectively.
	The 14 day LC50 was 5.93 mg/kg and the NOEC was 2.5 mg/kg based on mortality at higher concentrations. The study was conducted to OECD 207 and GLP.
e)	In an acute toxicity study, earthworms <i>(Eisenia fetida)</i> were exposed to the metabolite NOA 459602 (99% pure) for 14 days in artificial soil at nominal concentrations of 100 and 1000 mg/kg. No mortality occurred in any treatment or the untreated. The worms were not fed during the test and worms in all treatments and the untreated lost 4-6% of their starting weight over the 14 days of the study, with no difference between treatments.
	The 14 day LC50 was >1000 mg/kg and the NOEC was 1000 mg/kg, the highest dose tested. The study was conducted to OECD 207 and GLP.

B.9.6.1.3 Acute toxicity of the plant protection product (IIIA 10.6.1)

In an acute toxicity study, earthworms *(Eisenia fetida)* were exposed to 'Cruiser WS70' (containing 70% thiamethoxam) for 14 days in artificial soil at nominal concentrations of 12.3, 37, 111, 333 and 1000 mg product/kg dry soil (= 8.6, 25.9, 77.7, 233 and 700 mg a.s./kg respectively). No mortality occurred in any of the treatment groups or the control group. All earthworm groups including the control lost weight during the study. Losses in treated groups were clearly dose-related (7% loss in untreated and 8.6 mg dose, 10%, 12%, 15% and 17% losses at 25.9, 77.7, 233 and 700 mg a.s./kg respectively).

The 14 day LC50 was >1000 mg product/kg (>700 mg a.s./kg). The NOEC was 1000 mg product/kg based on the absence of sub-lethal symptoms such as flaccidity at any test concentrations and 10% weight loss at 1000 mg product/kg (>700 mg a.s./kg). The study was conducted to OECD 207 and GLP.



B.9.6.1.4 Chronic toxicity of the plant protection product

A laboratory chronic and reproductive toxicity study was carried our using 4-litre glass vessels (180 cm² surface area) containing 10 cm depth of artificial soil (10% peat).
 'Cruiser 350FS' was applied to barley seed (70 g a.s./100kg seed) which was then sown in the vessels at a rate equivalent to 150 kg/ha (6 seeds/vessel; 105 g a.s./ha). Twenty adult earthworms (Eisenia fetida) were added to each vessel.

The earthworms were fed cattle manure every 7 days. After 4 weeks the barley seedlings were removed and the mortality and weight of adult worms measured. The soil was then returned to the test vessels for a further 4 weeks, after which the number of offspring was assessed. Results are summarised in Table B.9.73.

Table B.9.73 Results of a chronic/reproductive study using the formulated product

	Mean mortality after 4 weeks (%)	Mean weight of adults after 4 weeks (mg)	Mean weight increase after 4 weeks (%)	Number of offspring/test vessel after 8 weeks
Untreated	5	509.8	1.8	331
Cruiser 350FS (70 g a.s./100 kg seed)	1.25	506.5	1.0	306

'Cruiser 350FS' used at 70 g a.s./100 kg barley seeds and with a sowing density equivalent to 150 kg seeds/ha (=105 g a.s./ha) had no adverse effects on adult earthworm survival, condition or reproductive ability.

This study was conducted according to BBA VI 2-2 (1994), ISO 11268-2 (1998) and in compliance with GLP.

1999)

b) A laboratory chronic and reproductive toxicity study was carried our using 1-litre plastic vessels (198 cm² surface area) containing 750 g of artificial soil (10% peat). Ten adult earthworms (*Eisenia fetida*) were added to each vessel and allowed to burrow. 'Actara' (25% thiamethoxam) was applied as a spray to the soil surface at nominal rates equivalent to 931 and 4616 g a.s./ha. The earthworms were fed cattle manure every 7 days. After 4 weeks the adult worms were removed and mortality and weight recorded.

The soil was then returned to the test vessels for a further 4 weeks, after which the number of offspring was assessed.

No adverse effects on adult survival, mean live weight of adults or the numbers of offspring were observed. The NOEC was 4616 g formulation/ha.

This study was conducted according to BBA VI 2-2 (1994), draft ISO 11268-2 (1993) and in compliance with GLP.



B.9.6.1.5 Chronic toxicity of metabolites

The chronic and reproductive toxicity effects of CGA 322704 were investigated in a laboratory study using 1-litre glass vessels containing 515 g of artificial soil (10% peat). CGA 322704 was thoroughly mixed into the soil to give concentrations of 0.06, 0.18 and 0.3 mg/kg dry soil prior to the introduction of 10 adult earthworms (Eisenia fetida) per vessel.

The earthworms were fed cattle manure every 7 days. After 4 weeks the adult worms were removed and mortality and weight recorded. The soil was then returned to the test vessels for a further 4 weeks, after which the number of offspring was assessed. Results are summarised in Table B.9.74.

	Mean mortality after 4 weeks (%)	Mean weight of adults after 4 weeks (mg)	Mean weight increase after 4 weeks (%)	Number of offspring/test vessel after 8 weeks
Untreated	5	570	25	165
CGA 322704	0	527	12	179
0.06	2.5	552	19	71
0.18 0.3	0	536	19	104

Table B.9.74 Results of a chronic/reproductive study on metabolite CGA 322704

The survival of adult earthworms was not affected by exposure to CGA 322704 at concentrations up to 0.3 mg/kg. The NOEC was 0.06 mg/kg based on reduced numbers of offspring at higher concentrations. This study was conducted according to BBA VI 2-2 (1994), ISO 11268-2 (1998) and in compliance with GLP.

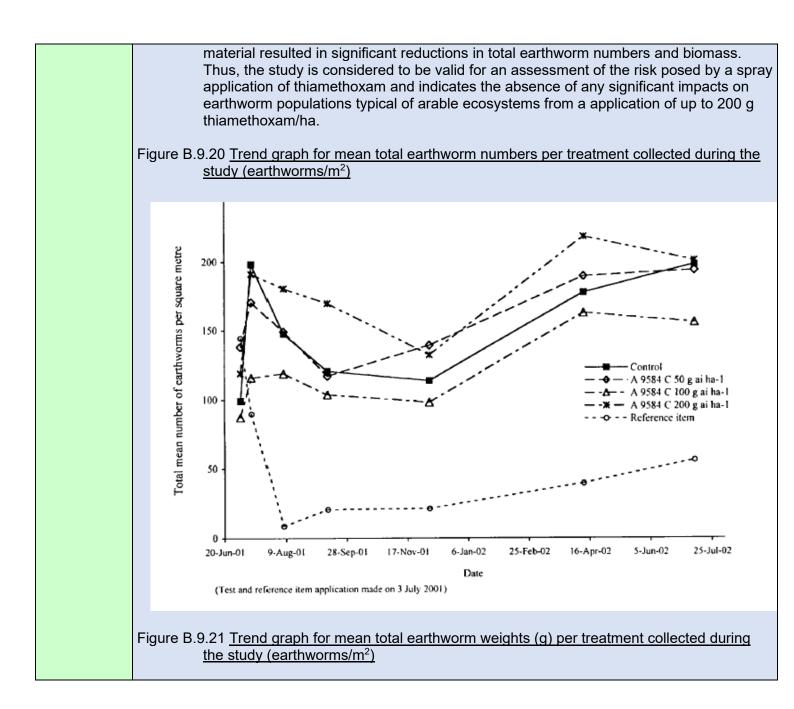
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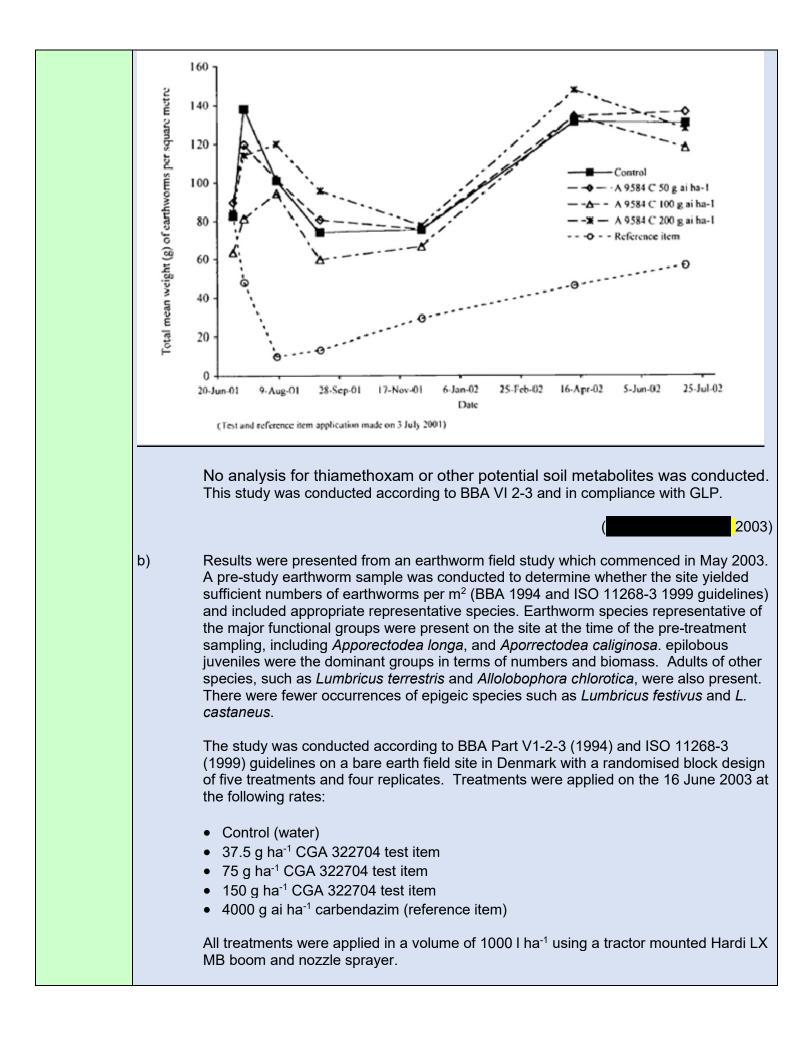
B.9.6.1.6 Field studies

 Results were presented from a Danish field study which commenced in early July 2001. A single foliar application of 'Actara 25WG' (25.8% w/w thiamethoxam/kg) was applied to a grass sward to deliver three doses of thiamethoxam (50, 100 and 200 g a.s./ha) in a spray volume calibrated to deliver 400 I water/ha. Individual plot size was 16 x 16 m, with a total of 4 plots per replicate and 4 plots each for the untreated control and the toxic standard (carbendazim single application at 4000 g a.s./ha). A few days before application, the grass was cut to approx. 5 cm height and the cuttings left in situ with the aim of providing a worst case exposure for surface-feeding species of earthworms. 5.8 mm of rain fell on the study area during the night following treatment and over the following 48 hrs, 5.6 mm of irrigation was applied and a further 16.2 mm of rain fell. Earthworm numbers were assessed using either the formalin sampling method (pretreatment samples and three subsequent samples) or by hand sampling (for the final

three samples). The efficiency of the recoveries using the formalin method was assessed on each sampling occasion by comparing the numbers recorded by hand digging the formalin treated areas and counting the numbers remaining. The formalin method was considered acceptable where the numbers extracted was greater than 60% of the combined total extracted by digging and formalin extraction. Post-treatment sampling was conducted 8 DAT and at 1, 2.5, 5, 9 and 12 months after treatment (MAT). The total number of earthworms in untreated plots doubled over the course of the study, increasing from 99/m ² before treatment to 198/m ² at 12 MAT. Total earthworm biomass in untreated plots increased from 83 g/m ² before treatment to 130 g/m ² at 12 MAT. No treatment related differences in total earthworm numbers or total biomass were seen at any assessment.
The soil was described as a sandy loam to loamy sand, with a mean pH of 6.8 and mean organic content of 2.3% and a mean moisture holding capacity of 12.4% w/w. The vegetation cover at the time of application was 100%, with no bare earth.
Four species of the genus Lumbricus were observed on site; L terrestris, L castaneus, L festivus and L rubellus. Numbers of individual species were low. Analysis of the data (ANCOVA) for Lumbricus spp earthworm numbers showed that there were no significant differences between the control and any of the treatments on any of the six post-treatment sampling occasions. Three species of the genus Aporrectodea were observed on site on most sampling occasions; A caliginosa, A rosea and A icterica. All three species were found in good numbers on the first three sampling occasions, but fewer were collected from December 2001, to July 2002. With one exception, analysis of data (ANCOVA) for the numbers of these species, showed that there were no significant differences between treatments. On one occasion only, 20 August 2001, one month after application, there was a significant difference between treatments in (ANCOVA) (p<0.01) for A rosea only. This was not significant by Dunnet's test and could not be allocated to treatment. Other species on site were Allobophora chlorotica and Dendrodrilus rubidus. A chlorotica was present in very low numbers and was not found on all sampling occasions. D rubidus was not found in pre-treatment of first post treatment samples, but was present on all other sampling groups (epilobous and tanylobous) and individual species (including Lumbricus terrestris, L, castaneus, L, festivus, L, rubellus, Aporrectodea caliginosa and A. rosea) generally mirror those seen for total earthworm numbers and do not show any adverse effects of the test item treatments. A significant difference between the controls on the first post-treatment sampling occasion in the 100 g a.s./ha treatment compared with the controls on the first post-treatment sampling occasion on y adverse effects of the test item treatments. A significant difference between the control on the first post-treatment (Pot 0.00) was found between weights (but not numbers) of epilobous juveniles in the 100 g a.s./ha treatment compared with the c
Representatives of the three major functional groups: litter dwellers such as L castaneus and D rubidus: deep burrowers such as L terrestris and borizontal burrowers

Representatives of the three major functional groups: litter dwellers such as L castaneus and D rubidus: deep burrowers such as L terrestris and horizontal burrowers such as A caliginosa. The total number of earthworms present at the start and throughout the study was equal to or greater than given in the guideline. The reference





	using four 0.2 Earthworms whand-sorting application, s same four 1 r item treatmer		each plot, c ng a digging casions. For were carried were identifi	ombined (to a dep r a period out daily ied and co	to give a oth of app of sever and eart ounted in	sample of proximate days imit hworms of the test a	of 1m ² . Iy 30 cm) mediately collected and refere) and after from t ence
	and after trea treatment), a mm rainfall a application. Ir	Bording Mobil M atment application pproximately 50 r nd irrigation at the n the 5 day period asion approximation	n. Between 4 nm irrigation e site was re d, 8 to 13 Jul	June 200 was applecorded fo by 2003 le)3 and 1 lied to th r the 3-d ading up	5 June 20 e site. A ay period to the firs	003 (pre- combinat following st post-tre	ion of
	Samples of s	oil were taken for	· analvtical v	erification	and for	soil chara	cterisatio	on.
		e soil was analys						
		exchange capaci lean water holdin				ganic mai	iter conte	nt of
						wing the	opplicatio	an of
Γable B	The results fr CGA 322704 .9.75 <u>Total mean</u>	om the sampling in the field are p <u>number of earthw</u> CGA 322704 in th	of earthworr resented in t vorms <u>collec</u>	n populat he tables	ions follo below.	ing occas		
able B	The results fr CGA 322704 .9.75 <u>Total mean</u> application of	om the sampling in the field are p number of earthy CGA 322704 in th	of earthworr resented in t vorms collec	n populat he tables t ted on ea d	ions follo below. ch sampl 2004	ling occas	ion follov	ving
ble B	The results fr CGA 322704 .9.75 <u>Total mean</u>	om the sampling in the field are p number of earthy	of earthworr resented in t vorms collec	n populat he tables	ions follo below. ch sampl 2004	ling occas	ion follov	ving
ble B	The results fr CGA 322704 .9.75 <u>Total mean</u> application of	om the sampling in the field are p number of earthy CGA 322704 in th	of earthworr resented in t vorms collec ne field Mean Pre-	n populat he tables t ted on ea	ions follo below. ch sampl 2004)	l <mark>ing occas</mark> thworms co	iion follov	ving
able B	The results fr CGA 322704 .9.75 <u>Total mean</u> application of	om the sampling in the field are p number of earthy CGA 322704 in th	of earthworr resented in t vorms collec ne field Pre- treatment	n populat he tables ted on eac an total num 28DAT	ions folic below. ch sampl 2004 ber of ear 92DAT 85.00 64.75	thworms co	iion follov llected / m ² 274DAT	ving 386D 63.75
able B	The results fr CGA 322704 .9.75 <u>Total mean</u> application of	om the sampling in the field are p number of earthy CGA 322704 in the Application rate	of earthworr resented in t vorms collected ne field Pre- treatment 120.25	n populat he tables ted on eac an total num 28DAT 76.50	ions follc below. 2004 ber of ear 92DAT 85.00	thworms co 169DAT 72.25	llected / m ² 274DAT 88.25	ving 386D 63.75
able B	The results fr CGA 322704 .9.75 <u>Total mean</u> application of Treatment Control CGA 322704	om the sampling in the field are provided in the field are provided are provided in the field are provided are provided in the field are provided in the field are provided ar	of earthworr resented in t vorms collect ne field Pre- treatment 120.25 106.00 123.25 112.25	n populat he tables ted on eac an total num 28DAT 76.50 50.75 67.00 59.75	ions folic below. ch sampl 2004 aber of ear 92DAT 85.00 64.75 72.00 54.25	thworms co 169DAT 72.25 65.75 61.50 65.50	ion follow llected / m ² 274DAT 88.25 74.00 84.00 66.75	ving 386E 63.79 67.29 80.29 55.79
able B	The results fr CGA 322704 .9.75 <u>Total mean</u> <u>application of</u> Treatment Control CGA 322704 Carbendazim	om the sampling in the field are provided in the field are provided are provided in the field are provided ar	of earthworr resented in t vorms collected ne field Pre- treatment 120.25 106.00 123.25	n populat he tables ted on eac an total num 28DAT 76.50 50.75 67.00	ions folic below. ch sampl 2004) nber of ear 92DAT 85.00 64.75 72.00	thworms co 169DAT 72.25 65.75 61.50	llected / m ² 274DAT 88.25 74.00 84.00	ving 3860 63.7 67.2 80.2 55.7
	The results fr CGA 322704 9.75 <u>Total mean</u> application of Treatment Control CGA 322704 Carbendazim DAT – Days afte *Significantly dif	om the sampling in the field are provided in the field are provided are provided in the field are provided ar	of earthworr resented in t vorms collected ne field Pre- treatment 120.25 106.00 123.25 112.25 105.75 of in Dunnet test	m populat he tables an total num 28DAT 76.50 50.75 67.00 59.75 47.50* st, (p<0.05).	ions folic below. ch sampl 2004 aber of ear 92DAT 85.00 64.75 72.00 54.25 40.25*	thworms co 169DAT 72.25 65.75 61.50 65.50 55.25	ion follov llected / m ² 274DAT 88.25 74.00 84.00 66.75 47.75*	ving 386D 63.75 67.25 80.25 55.75 53.75
	The results fr CGA 322704 9.75 <u>Total mean</u> <u>application of</u> Treatment Control CGA 322704 Carbendazim DAT – Days afte *Significantly dif 9.76 <u>Total mean</u> <u>application of</u>	om the sampling in the field are provided in the formation of the field are provided in the field are provided in the field are provided in the field are provided are provide	of earthworr resented in t vorms collected in terms collected in field Pre- treatment 120.25 106.00 123.25 112.25 105.75 in Dunnet test in Dunnet test in Dunnet test	m populat he tables an total num 28DAT 76.50 50.75 67.00 59.75 47.50* at, (p<0.05). ected on o	ions follo below. ch sampl 2004) ber of ear 92DAT 85.00 64.75 72.00 54.25 40.25* each sam 2004)	thworms co 169DAT 72.25 65.75 61.50 65.50 55.25	ion follow llected / m ² 274DAT 88.25 74.00 84.00 66.75 47.75*	ving 386D 63.75 67.25 80.25 55.75 53.75
	The results fr CGA 322704 9.75 <u>Total mean</u> application of Treatment Control CGA 322704 Carbendazim DAT – Days afte *Significantly dif	om the sampling in the field are provided in the formation for the formation for the sampling and the field are provided in the field are provided a	of earthworr resented in t vorms collected in terms collected in field Pre- treatment 120.25 106.00 123.25 112.25 105.75 in Dunnet test in Dunnet test in Dunnet test	m populat he tables an total num 28DAT 76.50 50.75 67.00 59.75 47.50* st, (p<0.05).	ions follo below. ch sampl 2004) ber of ear 92DAT 85.00 64.75 72.00 54.25 40.25* each sam 2004)	thworms co 169DAT 72.25 65.75 61.50 65.50 55.25	ion follow llected / m ² 274DAT 88.25 74.00 84.00 66.75 47.75*	ving 3860 63.7 67.2 80.2 55.7 53.7

		Pre- treatment	28DAT	92DAT	169DAT	274DAT	386DAT
Control	-	81.63	55.81	84.42	70.10	87.48	68.75
	37.5 g ha ⁻¹	72.04	40.06	59.19	62.79	73.23	71.98
CGA 322704	75 g ha⁻¹	86.73	47.60	60.37*	65.39	89.24	87.62
	150 g ha ⁻¹	74.69	49.65	53.42*	59.03	66.78	55.07
Carbendazim	4000 g ai ha ⁻¹	71.91	31.99*	40.25*	49.63	51.91*	61.30

DAT – Days after treatment.

*Significantly different from the control in Dunnet test (p<0.05).

Results of the post-treatment surface searches showed that \leq 1 % of the pre-treatment sample population died on the surface during the first week after application in the test and reference item treatments.

Sco	nario LC50/NOEC	PEC	Acute	Long-	Annex VI	Reference	7
I able E	.9.77 Acute and long-term ri	sk to earth	worms fro	m thiame	thoxam		
	adjustment is therefore re content of the artificial tes 6.3). The acute LC50 and from use in a single year i	quired to t t soils com d NOEC va n Table B	ake accou npared with alues are t .8.1.3.5.	nt of the r n field soi herefore o	relatively high I (SANCO/10 compared dir	n organic matt 329/2002, Se	ctio
2.0.0.2	Thiamethoxam has a log			•	Section B.2.	1.13). No	
B.9.6.2		from the	parent co	mpound			
	dislodged and evenly distr subsequent degradation. risk assessments.	ributed in t These fig	the top 5 c ures will be	m of soil (e used in	(density 1.5 g the first tier a	g/cm ³) with no acute and chro	nic
	Section B.8.1.3.5 propose sugar beet ⁴² . This assum	es that all	the thiame	ethoxam a	applied to trea	ated seed is	
	Acute toxicity studies have product called 'Cruiser 35 350FS' and 'Actara 25 Wo	0FS'. Chr					
B.9.6.2	Risk assessment Earthworms may be expo 'Cruiser SB' as a seed tre	atment on	sugar bee	et.		-	
					(20(
	In conclusion; CGA 32270 showed no adverse effect individual species in samp	s on earth	worm pop	ulations fo	or either ecol	ogical groups	or
	The test item applied at 1 any earthworm group afte nine months after applicat in this treatment.	r the fourt tion. Biom	h post-trea lass was n	tment sa nore sens	mple collecte itive to effect	d approximate s than abunda	ely anc
	The test item applied at 3 the first sample collected The test item applied at 7 the second post treatmen application.	approxima 5 g ha ⁻¹ ha	ately one m ad no adve	onth afte rse effect	r application. on any earth	worm group a	
	4000 g ai ha ⁻¹ for total nur controls approximately on the validity of the study. T item treatment and the co approximately one year at	e, four and here were ntrols for a fter applica	d nine mor no signific any taxa or ation.	nths after ant differ n the final	treatment. Th ences betwe sampling oc	hese data con en the referen casion	ice

Scenario	LC50/NOEC (test substance)	PEC mg a.s./kg	Acute TER	Long- term TER	Annex VI trigger 91/414 EEC	Reference
Sugar beet	LC50:	0.10443	>9615	-	10	1995

 ⁴² Please note that, as stated above, this text is from the original assessment of Cruiser SB, this PEC relates to an application of 78 g /ha which is higher than currently proposed here.
 ⁴³ Please note these PECs are for the rate considered in the original assessment of 'Cruiser SB' which was equiva-

lent to 78g a.s./ha. The rate has been reduced for this application.

soil	0 mg a.s./kg						
LC5		0.104	>6730	-	10	1997	
soil	0 mg formn/kg						
soil]	0 mg a.s./kg						
NOE	iiser WS70') C (repro)*	0.104	-	1.35	5	1999	
[0.14	kg formn/ha 4 mg a.s./kg] # iiser 350FS')						
4.6	EC (repro)* kg formn/ha 5 mg a.s./kg	0.104	-	29.3	5	1997d	
	ara")						
# Highest c * Laboratory	oncentration tes studies	ted					
acute risk t		from the p	roposed us	sed of 'Cr	uiser SB' trea	g an acceptabl ated sugar beet quired.	
	erm TER using					esults in a long	
						, it should be no udy an <u>d the</u> refo	
the NOEC	was set at the	top dose.	Another s	tudy has l	been submitte		
Cruiser SE	3'. No effects v	vere obser	ved in this	latter stu	dy and again	the NOEC was EC from this stu	s set
is compare	d to the soil PE	EC a TER	above the	Annex VI	trigger value	of 5 is produce	
addressed.	it is concluded	that the lo	ng-term ris	sk from th	e active subs	stance is	
	•					pplied as a spra	-
beet) (see	2003).	It was not	ed that the	e vegetati	on cover at th		
	was 100% wit 12 MAT (mont					elated effects v levels of	vere
						what concentrat y validated and	
not require	d to identify an						15
regarded a	s gratuitous.						
B.9.6.2.2 Risk to	o earthworms	from met	abolites o	of thiamet	hoxam		
	ity data were s for each are g				of thiamethox	am and the LC	50
Table B.9.78 <u>Summa</u>	ry of acute tox	icity of thia	methoxam	n metabol	ites to earthw	vorms	
Metaboli	te	L	C50		NOEC		
NOA 407			1000 mg/k	•	125 mg/kg		
NOA 459			1000 mg/k 53 mg/kg /	•	1000 mg/kg		
CGA 350	5190 (two studi	(5)	53 mg/kg a	anu	250 mg/kg a	UIIU	

	NOA 459602 a	ehaviour Section (Sec nd CGA 355190 have	been identi	fied as being	metaboli minor so	il metabolites
		at less than 10%, SAN cur at 30 % in soil and 3.5).				
	toxicity to thian	tes NOA 407475, NOA nethoxam but the majo oxic than the parent su	or metabolite	e CGA 32270	4 is clea	
	indicates that the metabolite can	polite considered majo he field DT50 for CGA therefore be assumed	322704 is 2 d to be >365	28 days. Th days, the lor	e estima ng term ri	ted DT ₉₀ for this isk must be
	established for	a laboratory study a re this metabolite. The n B.8.1.3.5 as 0.0312	maximum ao		•	V
Table B.	9.79 <u>Acute and l</u>	ong-term risk to earth	worms from	metabolite C	<u>GA 3227</u>	<u>04</u>
	Scenario	LC50/NOEC (test substance)	PEC mg/kg ¹	Acute TER	Long- term TER	Annex VI trigger 91/414 EEC
	Sugar beet	LC50: 5.93 mg/kg soil	0.0312	190	-	10
		NOEC (repro) 0.06 mg/kg soil	0.0312	-	1.9	5
		d on the laboratory No the trigger value of 5				
	application of t Part V1-2-3 (19 B.9.6.1.6 above	s issue, an earthworm he CGA 322704 to ba 994) and ISO 11268-3 e. this study showed sta	re soil. The s (1999) guid	study was co elines and is	nducted summar	according to BE ised in Section
	application of the Part V1-2-3 (19) B.9.6.1.6 above The findings of earthworms be g /ha 28 days a 386 DAT. The and 150 g/ha to collected one y study would res at or above the	he CGA 322704 to ba 294) and ISO 11268-3 e. This study showed sta tween treatment plots after treatment (DAT). e study showed that C b bare soil had no adv rear after application of sult in PEC _{soil} in the to worst case PEC _{soil} of wing use of 'Cruiser S	re soil. The s (1999) guid atistical differ and the cor There were GA 322704, erse effects of the treatme p 5cm rangii 0.0312 mg/l	study was co elines and is rences in the trols at test of no statistica when applie on earthworr ents. The tre ng from 0.05 kg for CGA 3	nducted summar mean we concentra l differen d at three n popula atment ra to 0.2 mg 22704 (E	according to BE ised in Section ations 75 and 15 ces 169, 274 o e rates of 37.5, tions in sample ates used in the g/kg. These ar 3.8.1.3.5)
9.6.3	application of the Part V1-2-3 (19) B.9.6.1.6 above The findings of earthworms be g /ha 28 days a 386 DAT. The and 150 g/ha to collected one y study would res at or above the estimated follow	he CGA 322704 to ba 294) and ISO 11268-3 e. This study showed state tween treatment plots after treatment (DAT). The study showed that C to bare soil had no adv rear after application of sult in PEC _{soil} in the to the worst case PEC _{soil} of wing use of 'Cruiser S topulations.	re soil. The s (1999) guid atistical differ and the cor There were GA 322704, erse effects of the treatme p 5cm rangii 0.0312 mg/l	study was co elines and is rences in the trols at test of no statistica when applie on earthworr ents. The tre ng from 0.05 kg for CGA 3	nducted summar mean we concentra l differen d at three n popula atment ra to 0.2 mg 22704 (E	according to BE ised in Section ations 75 and 15 ces 169, 274 o e rates of 37.5, tions in sample ates used in the g/kg. These ar 3.8.1.3.5)

B.9.7 Effects on soil non-target macro-organisms (IIIA 10.6.2)

Studies on the toxicity of the a.s. and soil metabolite CGA 322704 to collembola were submitted and these have been considered in Section B.9.5.8. It was considered that these studies contributed to an assessment of the effects of thiamethoxam and its associated metabolite on non-target arthropods. Outlined below is a consideration of the effects of thiamethoxam and the metabolite CGA322704 on the function of soil.

B.9.7.1 Effect on litter degradation

a) In a German study, litter bags (10 x 10 cm; mesh size unstated) each containing 5 g of untreated wheat straw were used to study effects of thiamethoxam on the degradation of organic matter. The study field was a grass meadow, which had not received artificial fertiliser or other chemicals in the previous 5 years. The litter bags were placed on the meadow surface and thiamethoxam (as 'Actara 25WG') was applied as an overall spray to deliver 200 g a.s./ha. When spray residues had dried (at least 1 hour), the litterbags were buried 2-5 cm deep in their respective plots. Benomyl was included as a toxic standard (4 kg a.s./ha). There were 4 replicates and 36 bags were buried in each plot. Eight bags were recovered and weighed from each plot at 0, 28, 84, 224 and 364 DAT. After recovery of the litterbags, soil particles and root material were removed and the straw remnants were dried and weighed before ashing at 530-570°C for 4-5 hours. Results are summarised in Table B.9.80.

	% degradation of wheat straw					
	Day 0	Day 28	Day 84	Day 224	Day 364	
Control	100	5.8	28.7	53.9	68.0	
Actara 25 WG	100	9.3	28.7	42.7	71.4	
benomyl toxic standard	100	7.3	34.6	59.6	76.4	

Table B.9.80 Results of a litter bag study using thiamethoxam

There was no significant difference in weight loss of wheat straw between the plots treated with 'Actara' and the untreated at any of the sampling dates.

The study was conducted in accordance with BBA VI 2-3, 'Minutes of a workshop to discuss the data requirements of Annex III point 10.6.2' held in February 2000, and to GLP.

2001)

b) In a Swiss study, litter bags (12 x 12 cm; mesh size 6-8 mm) each containing 3-5 g of untreated wheat straw were used to study effects of metabolite CGA 322704 on degradation of organic matter. The study field was a grass meadow, which had not received pesticides in the previous 5 years, though artificial fertilisers had been applied. The litterbags were placed on the meadow surface and CGA 322704 was applied as an overall spray to deliver 70.7 g metabolite/ha. When spray residues had dried (at least 1 hour), the litterbags were buried approximately 5 cm deep in their respective plots. Benomyl was included as a toxic standard (4 kg a.s./ha). There were 4 replicates and 36 bags were buried in each plot. Eight bags were recovered and weighed from each plot at 0, 33, 92, 155 and 275 DAT. After recovery of the litter bags, soil particles and root material were removed and the straw remnants were dried and weighed before

ashing at 600° summarised in		o determine the an	nount of litter remain	ining. Results are
Summanseu in				
Table B.9.81 Results of a	<u>i litter bag study u</u>	sing CGA 322704		
		0/ degre detier	of who of other	
	Day 33	Day 92	of wheat straw Day 155	Day 275
Control	48.5	73.8	78.7	85.6
CGA 322704	44.4	74.2	76.4	81.9
benomyl toxic standard	38.5	69.8	88.7	90.9
275 day test pe thiamethoxam) The study was	eriod following the at 70.7 g/ha. conducted in acco	application of CGA ordance with BBA	the field were obs A 322704 (metabol VI 2-3, 'Minutes of .6.2' held in Februa	ite of a workshop to ary 2000, and to
				(2001)
			omposition of orgar udy was based on	
III, point 1 Minutes e BBA (200 Directive 9 (Braunsch Guske, Ma effects of Internation Effects of Workshop	0.6.2, organised b dited by C. Kula and 1): Minutes of a mo 01/414/EEC, Anne weig) 27-28 th Nov arch, 2001. Recon poesticides on the s nal Workshop, Car plant protection pr recommendations	y the BBA (Brauns and S. Guske, Febru eeting on the requi x III, point 10.6.2, o ember, 12000; Mir mended laborator soil microflora. From nbridge, Septembe oducts on function s, Lisbon, Portugal	irement of data acc organised by the B nutes edited by C. I y testing for assess m the proceedings er 1985. SETAC-EI al endpoints in soil , April, 2002	ovember, 1999; cording to Council BA Kula and S. sing the side- of the 3 rd PFES, 2002. (EPFES)
characteri of plot hist	sation, straw dryin	g, litterbag prepara mpling, set-up of t	xceptions – the soi ation, plot preparati he weather station	ion, establishing
was conducted Switzerland. Tl	before the start one soil at the field	f the test. The field site was a sandy lo	ne field populations I site was an arable pam (54.1-57.0% s n organic carbon co	e field in Stein, and, 29.0-31.1%
9584C/ha (non was incorporat the litterbags w 25WG (A-9584 bare soil in a w	ninally equivalent f ed to a depth of 10 vere buried, after a C) at 800g /ha (no vater volume of 80	o 104.4 g a.s./ha)) cm. Thirteen day further two days a ominally equivalent 0 L/ha (this was ac	s at rate equivalent in a water volume is after application a second spray app t to 200 g a.i./ha) w chieve by two conse ater control were m	of 400 L/ha and of the first spray blication of Actara vas made to the ecutive

Test item Control Actara 25 WG (A- 9584C) Deviation from contr (%)	$\begin{array}{c} 29.98 \pm 2.15 \\ 30.01 \pm 2.23 \\ \end{array}$ rol 0.03	Day 58 47.46 ± 3.15 46.93 ± 2.70 -0.52 aw decomposit	70.69 ± 2.82 1.65	81.23 ± 3.0
Control Actara 25 WG (A- 9584C) Deviation from conti	$\begin{array}{c} 29.98 \pm 2.15 \\ 30.01 \pm 2.23 \\ \end{array}$ rol 0.03	47.46 ± 3.15 46.93 ± 2.70 -0.52	69.04 ± 4.01 70.69 ± 2.82 1.65	81.88 ± 5.0 81.23 ± 3.0 -0.64
Control Actara 25 WG (A-	29.98 ± 2.15	47.46 ± 3.15	69.04 ± 4.01	81.88 ± 5.0
Control	29.98 ± 2.15	47.46 ± 3.15	69.04 ± 4.01	81.88 ± 5.0
Test item		Day 58	Day IZI	Day 183
Test item	$\frac{(\text{Mean} \pm 3D)}{\text{Day } 30}$		Day 121	
	Percentage ((Mean ± SD)	decomposition	of ash-free res	idues of stra
	82 Percentage degra o Actara 25 WG (A-9			uaw observe
Actara 25	WG (A-9584C) is pre	sented in Table	B.9.82.	•
,	y of the degradation of	of ash-free resid	ues of straw foll	owing exposu
second ap	plication soil residues i./kg dry soil (mean ±	s in the Actara 2	5WG (A-9584C)) treated plots
•	analysis of the soil re	sidues in the tor	10 cm denth in	dicated that c
soil). The n	a.i./kg dry soil (mean nean residue value is a.i./kg soil).			
	analysis of the soil re ation soil residues in			
treatment.	ampling interval, 8 lit The weight of ash-fre gradation of the orga	ee dry residues o		
thiamethox (from a 3 x	rst application, analyt kam in the top 10 cm k 3 m sampling area v	soil layer was co vithin each plot)	onducted. Litterl 30, 58, 121 and	bags were sai I 183 days aft
was irrigate	e was no precipitatior ed with 10 L of water, I without crop during	/m ² . The plots th	at had previous	ly been arable
approximat	tely 3.4 g (dry weight the bags were record) of wheat straw	, cut into 5-10 c	
between lit and Actara	buried. The litterbag approximately 5 cm a tterbags was 40±10 c a 25WG (A-9584C), w a, made from nylon no	and were recove cm There were th vith 6 plots assig	red by the treat nus two treatme ned to each trea	ed soil. The d nt groups tes atment. Each
	ed plots of 25 m ² were			

There were no significant differences amongst treatments in any of the sampling events. Since after 183 days (i.e. 6 months) in the control plots the litter degradation was > 50% (being 81.88%) no further sampling was required.

The test item Actara 25WG (A-9584C) applied once to bare soil at a rate of 417.69 g A-9584C/ha (nominally equivalent to 104.4 g a.s./ha) and a second spray application 15 days later of Actara 25WG (A-9584C) at 800 g/ha (nominally equivalent to 200 g a.s./ha; mean measured concentration of 0.185 mg a.s./kg dry soil in top 10cm soil depth) had no measurable effect on the decomposition of wheat straw enclosed in litterbags and exposed for up to 6 months in the top soil of an arable field site.



B.9.7.3 Risk assessment to soil organisms involved in the breakdown of organic matter

Studies on the toxicity of the a.s. and soil metabolite CGA 322704 to collembola were submitted and these have been considered in Section B.9.5.8. It was considered that these studies contributed to an assessment of the effects of thiamethoxam and its associated metabolite on non-target arthropods. Outlined below is a consideration of the effects of thiamethoxam and the metabolite CGA322704 on the function of soil.

B.9.7.3.1 Thiamethoxam

The worst case field soil DT₉₀ for thiamethoxam is 286 days⁴⁴ (Section B.8.1.1.2.2 9g) and therefore according to the Terrestrial Guidance Document, a consideration of the potential effects on soil macro-organisms is required. According to the Terrestrial Guidance Document if the DT90 is between 100 and 365 days there needs to be a consideration of the potential effects on organic matter breakdown. It is recommended as a screening step to assess the long-term risk to earthworms, non-target arthropods, collembolan and mites. If concerns are raised in these areas then a litter bag study is required. From the first tier assessment carried out for non-target arthropods (including soil organisms) it is clear that concern is raised, therefore the Notifier has conducted two litter bag studies.

The study was conducted at 200 g a.s./ha, however it was carried out on a meadow and there was no analytical verification of the exposure. Due to this it is not possible to determine what soil organisms responsible for organic matter breakdown were exposed to; therefore this study is of supplemental interest.

The (2005) study was done on bare soil and there was also analytical verification of thiamethoxam, therefore the study is considered to be acceptable. This study was conducted at 104 g a.s./ha followed by a second application of 200 g a.s./ha which gave a measured concentrations immediately after the second application of 0.13 to 0.27 mg a.s./kg (mean measured on 0.185 mg/kg soil). The predicted rate on sugar beet is stated to be equivalent to 78 g a.s./ha whilst the initial predicted soil concentration for the active substance is 0.104 mg a.s./kg therefore the study is considered to address the proposed use.

No adverse effects on straw degradation were seen following application of thiamethoxam. On the basis of the **second**, the risk to organisms involved in organic matter breakdown processes is considered to be acceptable.

⁴⁴ Please note that this text is taken from the original assessment of 'Cruiser SB' and it is noted that the DT90 now quoted is 570 days. This issue is further considered above.

The risk to soil macro-invertebrates populations is considered in Section B.9.5.6.

B.9.7.3.2 Metabolite CGA 322704

CGA 322704 is more persistent in soil (DT_{90} greater than 365 days) than the parent thiamethoxam (DT_{90} approx 286 days Section B.8.1.1.2.2), therefore a litter bag study was carried out (see 2001). In the field litter bag study provided, no adverse effect on straw degradation was observed following application of CGA 322704 applied at 70.7 g/ha. It should be noted that no analytical confirmation of the metabolite was performed, and therefore as the study was conducted on a grass meadow it is not know what the exposure of the soil organisms was. It has been estimated that the soil PEC was 0.0094 mg/kg soil which has been calculated using a grass interception of 90%. The PEC for CGA 322704 calculated in Section B.8.1.3.5 is 0.0312 mg/kg soil. In the absence of any analytical confirmation of the levels of CGA 322704 to which the litter bags were actually exposed in the soil it is not possible to directly relate the results of this study to the proposed use of 'Cruiser SB' as a seed treatment.

In order to address the above concern regarding the potential effects on organic matter breakdown, the Notifier has put forward an argument which basically highlights that the risk to soil organisms, i.e. non-target arthropods, earthworms and soil microbial processes from the metabolite CGA 322704 is acceptable and hence there is unlikely to be an adverse effect on organic matter breakdown. This case is plausible; however it is given further weight if the fate and behaviour of thiamethoxam is considered. Thiamethoxam has a worst case field DT50 in soil of 86 days and is not predicted to accumulate in soil. On the basis of the field dissipation studies conducted with thiamethoxam (see Section B.8.1.1.2.2) the evaluator considers it likely that significant amounts of CGA 322704 may had formed during the litter bag study. This assumption is based on the fact that at several field dissipation sites in Northern Europe (Germany, Northern France, Denmark and Sweden) residues of CGA322704 formed from thiamethoxam had peaked by days 29 to 112. At sites where CGA322704 residues peaked beyond the 120 d sampling point (i.e. peak residues formed at between 180 d and 1 year) CGA322704 residues at the 90 to 120 d time points were between 47 to 70% of the maximum peak level observed at each site. It is therefore considered that CGA 322704 was present in the study and therefore as there were no adverse effects on litter degradation in the study, the risk to organisms involved in organic matter breakdown following exposure to CGA 322704 is acceptable.

B.9.7.4 Summary

The risk of thiamethoxam and CGA 322704 to soil organisms involved in organic matter breakdown is acceptable.

B.9.8 Effects on soil non-target micro-organisms (IIA 8.5, IIIA 10.7)

B.9.8.1 Toxicity

B.9.8.1.1 Toxicity of the active substance (IIA 8.5)

Data were submitted from a 28-day laboratory study of the effect of technical thiamethoxam (purity 98.6%) on respiration and nitrification in a loamy sand soil. The soil was treated with thiamethoxam at nominal concentrations of 0.27 and 2.67 mg a.s./kg dry soil (equivalent to 0.2 and 2.0 kg a.s./ha respectively, assuming 5 cm depth of soil and soil bulk density of 1.5 g/cm³). For the respiration test, soil respiration was stimulated by the addition of glucose (1000 mg/100 g soil). For the mineralisation test, lucerne meal was added at 5g/kg soil.

No meaningful effect on soil respiration was seen at either test concentration after 0, 14 or 28 days of incubation (range -6.7% to +1.3% compared to untreated). No meaningful effect on soil mineralisation was seen at either test concentration after 0, 14 or 28 days of incubation (range -1.1% to -11.5% compared to untreated).

The study was conducted according to BBA VI 1-1, OECD (draft 1996), SETAC (1995) and to GLP.



B.9.8.1.2 Toxicity of the plant protection product (IIIA 10.7)

No studies on the formulated product 'Cruiser SB' were submitted.

B.9.8.1.3 Toxicity of metabolites

Data were submitted from a 28-day laboratory study of the combined effect of metabolites CGA 322704 and CGA 355190 (both 99% purity) on respiration and nitrification in a loamy sand soil. The soil was treated with the metabolite mixture at nominal concentrations of 0.1 mg of each/kg dry soil and 0.5 mg of each/kg dry soil (equivalent to 0.15 and 0.75 kg total metabolite/ha respectively, assuming 5 cm depth of soil and soil bulk density of 1.5 g/cm³). For the respiration test, soil respiration was stimulated by the addition of glucose (1000 mg/100 g soil). For the mineralisation test, lucerne meal was added at 5g/kg soil.

No meaningful effect on soil respiration was seen at either test concentration after 0, 14 or 28 days of incubation (range -16.8% to +5.0% compared to untreated). Total nitrogen content of treated soils over the incubation period (0, 7, 14 and 28 DAT) differed from the untreated by +9.8, -24.5, -9.2 and -7.5% respectively at the lower test concentration and by +11.0, -33.9, -19.2 and -8.0 respectively at the higher test concentration. These results indicate that neither metabolite has a lasting effect on nitrogen metabolism.

The study was conducted according to OECD 216 and 217 (draft 1999) and to GLP.

B.9.8.2 Risk assessment

As neither respiration nor nitrogen mineralisation of treated soils differed from untreated soils by greater than 25% (the Annex VI trigger) after 28 days there was no need to continue the studies beyond that point. The maximum PEC values for thiamethoxam and the major soil metabolite CGA 322704 based on the use on sugar beet is 0.104 mg a.s./kg soil (see Section B.8.1.3.5). Thus there is a margin of safety between the concentrations observed to give no significant adverse effects (2.67 mg a.s./kg and 0.5 mg CGA 322704/kg) and the maximum respective soil concentrations 0f 0.104 mg a.s./kg soil and 0.0312 mg/kg CGA 322704. There are not expected to be any significant effects on soil microbial function when 'Cruiser SB' is applied at label recommended doses to sugar beet.

Effects on non-target terrestrial plants The guidance in place to assess the risk to non-target plants has not changed since the original evaluation of this product and the endpoints have not changed, so the original conclusion that the risk to soil organisms is acceptable remains unchanged. The assessment is presented in the document circulated with the 2020 application for 'Cruiser SB', see ECP 4-7 (39/2020), HSE internal reference WIS 001072834)., however, has been presented in blue below for completeness.

	B.9.9.1 Effects on non-target flora
	No data have been submitted to PSD on the toxicity of technical thiamethoxam or 'Cruiser SB' to non-target plants. However, as thiamethoxam is an insecticide, the risk of adverse effects on plants would be expected to be low. In addition, as 'Cruiser SB' is a seed treatment, exposure of non-target plants to thiamethoxam should be negligible. The only way that exposure could occur
	In Efficacy studies on safety to following crops (Section B.10.8.1), a range of crop species were exposed to soil residues of thiamethoxam applied at 300 g a.s./ha (3.8 times the proposed rate on sugar beet seeds) three weeks before planting/sowing. Barley, lettuce, potato, oilseed rape, sugar beet and onion were unaffected. Germination of carrot may have been slightly retarded but effects were outgrown and plant stand was equal to the untreated by the 6-8 leaf stage.
	{Additional data summarised in Addendum B-9 (January 2004) to the Rapporteur's DAR indicate little evidence for phytotoxicity in a wide range of weed species. Provided the Notifier can prove that Data requirement 3.5 in the Evaluation Table (SANCO/10389/2002 rev 1-2) has been
Conclusion	The risk to all birds, mammals, aquatic life, non-target arthropods, soil organisms, microbial processes and non-target terrestrial plants is considered to be acceptable when considering
	The chronic oral risk to adult honey bees could not be assessed due to the lack of data and hence the risk according to para 2.5.2.3 of Annex Part 1, Section C of the Uniform principles for evaluation and authorisation of plant protection products, as provided for in Article 29(6) of Regulation (EC) No 1107/2009 is unacceptable.

2.7 Relevance of metabolites

EVALUATIO	EVALUATION, SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY				
Name of	Health and Safety Executive				
authority					
Reviewer's	No consideration has been undertaken. The original UK assessment (for the higher rate)				
	concluded that thiamethoxam or its metabolite CGA 322704 are unlikely to occur in				
	groundwater at or above 0.1 μg/ L.				

3 Conclusion of Emergency Authorisation

3.1 Regulatory Approach

3.2 Conclusion

Evaluation, Summary and Conclusion by The Health and Safety Executive.

Conclusion

Summary of the risk assessment based on the uniform principles for commercial authorisation.

Where the conclusion indicates that the risk is either acceptable or unacceptable, this conclusion is reached within the framework of the standard criteria for a commercial authorisation based on assessment to uniform principles. Article 53 allows a derogation from the standard criteria providing specific tests are met. Therefore, whilst (for example) reference to unacceptable risks in the conclusion may highlight the areas of greatest concern, this is not the test under Article 53 and does not necessarily reflect the conclusions for this emergency authorisation application.

For the proposed use of 'Cruiser SB' on sugar beet the predicted exposure of humans (dietary and non-dietary) falls within the agreed safe levels (ADI/ ARfD/ AOEL) and no health effects are anticipated.

It has been sufficiently demonstrated that there will be no unacceptable impacts on birds, mammals, aquatic life, non-target arthropods (other than bees), soil macro-invertebrates, soil micro-organisms and non-target terrestrial plants, in accordance with the decision criteria specified in Regulation 546/2011.

Update to December 2022 ECP

It is noted that exposure above the Predicted No Effect Concentration (PNEC) for thiamethoxam established under the Water Framework Directive (WFD) would be expected in some small, edge of field water bodies. At the larger catchment scale, concentrations above the WFD PNEC may also be expected as demonstrated by Environment Agency monitoring data from previous years. However detections above the WFD PNEC of 0.042 µg/l were not found in the current data available for 2022. This was supported by data from 2021, noting that no applications of Cruiser were made in 2021, and that in both years the level of sampling was reduced compared to previous years as a result of COVID-19 restrictions. It should also be noted that the critical Regulatory Acceptable Concentration (RAC) used in the HSE aquatic risk assessment is higher than the WFD PNEC, given that it is based on results from a mesocosm study, rather than first tier laboratory study data.

Interim monitoring data from the Article 53 stewardship programme was made available providing data on thiamethoxam and clothianidin residues in soil, pollen and vegetation. Since data were interim in nature, and not yet supported by a full report, HSE considered the results should be treated with caution. Due to the limitations of the sensitivity of the analytical method used, these data are of limited use in informing on the potential for non-target organism exposure in field edge areas via soil, pollen or vegetation in the opinion of HSE and have not been used in the environmental exposure assessment

Honey bees could be exposed to thiamethoxam and the metabolite clothianidin when foraging on the treated crop, flowering weeds within the crop field, succeeding crops, adjacent crops and/or flowers in field margins. The new chronic honeybee toxicity study for thiamethoxam has enabled risks to honey bees via these potential routes of exposure to be assessed and the outcome is as summarised in the table below. Cells are highlighted green where there is a sufficient margin of safety between the level where toxic effects are expected and the predicted exposure level, such that a low risk can be concluded. Cells are highlighted yellow where there is not a sufficient margin of safety between the level where toxic effects are expected and the predicted exposure level, meaning a low risk cannot be concluded. Cells are not highlighted where a robust comparison of toxicity and exposure is not possible (due to an absence of suitable data or risk assessment methodology).

Foraging	Honey bees Other bee				
scenario	Acute risk to adults	Chronic risk to adults	Sublethal effects on adults	Risks to Iarvae	species (bumble bees, wild bees)
Treated crop Flowering weeds within treated field	Low risks due to crop being harvested before flowering Low risks where weeds are controlled through herbicide use programme				
Flowering weeds in field margins	Low risk indicated	Low risk indicated	Low risk likely but toxicity endpoint is unbound*	Low risk indicated	No assessment performed due to insufficient
Adjacent crops	Low risk indicated	Low risk indicated	Low risk likely but toxicity endpoint is unbound*	Low risk indicated	toxicity data and lack of suitable risk assessment methodology
Succeeding crops	Low risk indicated	Insufficient margin to conclude low risk	Insufficient margin to conclude low risk	Low risk indicated	
Guttation fluid#	Low risk indicated	Insufficient margin to conclude low risk for succeeding crops	Insufficient margin to conclude low risk for succeeding crops	Insufficient margin to conclude low risk for thiamethoxam and the metabolite clothianidin from succeeding crops	int is a 'less than'

value

Guttation is the process of secretion of water droplets from the pores of some vascular plants

Overall, it has not been demonstrated that the proposed use of 'Cruiser SB' will result in no unacceptable impacts on bees, as required in Regulation 546/2011. It has not been clearly established that there will be no unacceptable effects on adult or larval honeybee survival and behaviour after use of the plant protection product in accordance with the proposed conditions of use. This is because the predicted exposure levels for honey bees foraging in crops grown in fields where sugar beet seeds were previously drilled (the succeeding crop scenario), are similar to levels where mortality was observed in toxicity studies. This is the case when exposure is via consumption of pollen and nectar or guttation fluid. Additionally, effects on bee homing flight ability were observed at similar exposure levels to that predicted for the succeeding crop scenario. Since there were effects on bee flight ability at the lowest level tested in the relevant study, sublethal effects also cannot be excluded for the other exposure scenarios.

In light of the risk assessment conducted, a reduction in survival of honey bees and impacts on homing flight ability (which also influences survival of foragers) could occur following use Cruiser SB on sugar beet. Effects at the individual level may go on to impact the survival/development/productivity of the colony/hive. The magnitude of any impact will depend on the ability of the colony to compensate for this loss. Due to an absence of suitable data with which to further consider the impact at colony level, it is concluded that it has not been clearly established that any such effects on individual bees would not negatively impact the survival, development or productivity of the colony. Therefore, the risk to bees is not considered to be acceptable, within the framework of the standard criteria for a commercial authorisation based on assessment to uniform principles.

Risk Assessment Area	Has a Risk Been Identified that cannot be mitigated?	What is HSE's Assessment of That Risk?
Non-Dietary Human Exposure (operator/ worker/ bystander and resident)	N	
Residues and Consumer Exposure	Ν	
Maximum Residue Levels	Ν	
Environmental Fate and Behaviour	Ν	
Ecotoxicology	(specific categories of	covered below)
Effects on terrestrial vertebrates	Ν	
Effects on aquatic species	Ν	
Effects on bees	Y	see conclusions immediately above this table

Effects on other arthropod species other than bees	Ν	
Effects on soil organisms	Ν	
Effects on non-target terrestrial plants	Ν	

Article 53 tests

An emergency authorisation may be granted under Article 53 of Regulation 1107/2009 in special circumstances, for limited and controlled use, where the authorisation appears necessary because of a danger which cannot be contained by any other reasonable means. If an emergency authorisation is granted the product may be placed on the market for a period not exceeding 120 days. A judgement on whether an authorisation appears necessary to address the danger involves a consideration as to whether the likely benefits of granting the authorisation to address the identified danger outweigh the potential adverse impacts of granting it.

Four of these tests are considered in section 1.3 above and summarised below, the test of necessity is only considered below:

Only the test of Necessity has been updated following consideration of the latest information

Special Circumstances

For over 25 years *Myzus persicae* vectors and the Yellows Virus complex were controlled by the neonicotinoid seed treatments. Since the withdrawal of neonicotinoid seed treatments in 2018, there have only been 4 seasons for the industry to understand and develop new strategies to manage aphid/virus yellows complex with a limited range of effective foliar treatments. The applicant had recognised the need to find alternatives and initiated significant investment in long term research to develop commercial resistant varieties even before neonicotinoids were withdrawn. This is proving challenging because the BVY complex consists of three viruses and there is no one single trait conferring resistance/tolerance to all. All of this uncertainty, and growing threat to crop yields, is reflected in British Sugar and NFU sugar supporting growers through the new virus yellows assurance scheme (funded by British Sugar) to compensate for yield losses.

This test is considered met.

<u>Danger</u>

The biology of the yellows virus complex and principal aphid vector, peach-potato aphid (*Myzus persicae*, MYZUPE), economic impacts and control measures in sugar beet has been well described, evidenced and addressed in the series of Article 53 applications. The impact in seasons when conditions are favourable to high population development was illustrated by the 2020 season, with the worst virus epidemic since the 1970's and significant yield losses. It is also notable that the review of aphid numbers caught each year in the Broom's Barn trap up to mid-June provides a very strong illustration of the continuing build-up of *M. persicae* populations (with the six highest migrations occurring in the last eight years) and the limitations of existing control measures.

This test is considered met.

Which cannot be contained by any other reasonable means

The available authorised effective PPP options are one foliar application of 'Teppeki' (flonicamid) and one foliar application of Insyst (acetamiprid) which would be insufficient under sustained pest pressure to provide protection for around 12 - 16 week period when sugar beet seedlings remain most susceptible to virus yellows (and subsequent yield losses). Whilst pyrethroids are also authorised, widespread established resistance in *Myzus* populations means they are not effective. Integrated measures to reduce aphid populations and virus incidence are also extremely important, but not in themselves sufficient. HSE has therefore also previously issued Article 53 authorisations to provide further foliar sprays, and these combined measures have provided useful control, particularly in seasons with moderate/low pest pressure. But there are practical challenges in using foliar sprays to target the emerging seedlings with sufficient contact on the leaves, and additionally reliant on favourable weather conditions at point of germination to be able to spray. In contrast, a seed treatment provides available active as the seed germinates and moves systemically through the plant including to new growth areas. In conclusion, unless cold weather develops at the critical early part of the year, there remains a significant and growing threat to sugar beet crop most years. If the treatment threshold is met for 'Cruiser SB', this indicates a high degree of risk to the crop in terms of predicted economic yield losses which would warrant application of the seed treatment rather than reliance on foliar sprays and integrated measures.

This test is considered met

Limited and Controlled

The use of 'Cruiser SB' will, as in 2021 and 2022, be limited by using an agreed treatment threshold. The pre-season forecast as provided by Rothamsted Research is recognized as providing an appropriate mechanism to limit the use. No other European country, including those issuing Article 53 authorisations for sugar beet neonicotinoid treatments in the last few years, has such a model that allows this limitation.

Sugar is grown under contract to British Sugar. BBRO provide detailed and extensive advice on all aspects of sugar beet growing and provide exhaustive information on crop management, IPM measures, monitoring aphid populations/virus incidence throughout the season, as well as technical advice and plant clinics. This includes season-long real-time information on; the incidence of the virus vectors, their resistance status and infectivity from both the Rothamsted suction trap and BBRO-managed yellow water pan networks (run in association with British Sugar staff, growers and agronomists). There are various measures discussed in the application relating to advice, and a draft stewardship plan has been included (at appendix 3). If an authorisation is granted, this will include an additional restriction limiting the planting density to a maximum of 115,000 seeds/ha which is already reflected in the draft plan. All of these combined measures, are considered sufficiently robust in supporting growers and meet the test for limited and controlled use.

This test is considered met.

Update to December 2022 ECP

Necessity

A judgement on whether an authorisation appears necessary to address the danger involves consideration of whether the likely benefits of granting the authorisation in terms of addressing

the identified danger outweigh the potential adverse impacts of granting it.

HSE agrees that the proposed use would bring significant benefits to UK sugar beet production if high virus levels are predicted in 2023.

In relation to the potential adverse effects of an authorisation, an assessment conducted using standard criteria for a commercial authorisation indicates concerns regarding risks to honey bees. Specifically, honey bees may be exposed to levels of thiamethoxam and/or the metabolite clothianidin which could cause adverse effects on the survival and/or behaviour of adult forager honey bees. The available data do not allow an assessment of the consequences of any such adverse effects on honey bees at the colony level. It should be noted that adverse consequences to bees and other pollinators were the basis for the EU restrictions on certain neonicotinoids including thiamethoxam (Commission Implementing Regulation (EU) 2018/785) which prohibited all outdoor uses.

Given this context, and taking into account the precautionary principle, HSE considers the potential adverse effects to honey bees (and other pollinators) which could arise if an authorisation was to be granted outweigh the likely benefits of granting the authorisation, so on the basis of the information currently available the authorisation cannot be supported.

This test is <u>not</u> considered to be met

HSE conclusion

Assessments of the benefits from the proposed use, the risks from that use and whether the necessary Article 53 tests are met, are presented above.

Based on the information currently available to HSE, it is considered that the potential adverse effects to honeybees (and other pollinators) which could arise if an authorisation was to be granted, outweigh the likely agronomic benefits of granting the emergency authorisation.

On 30 November NFU Sugar submitted the 2022 Cruiser SB Stewardship Report to HSE. HSE can confirm that appropriate stewardship messages were published throughout the season in the BBRO Advisory bulletins. It is considered that there is sufficient evidence in the public domain to support the conclusion that British Sugar and BBRO provided sufficient communications on the requirements of the authorisation and associated agreed stewardship. However, no evidence has been submitted in this document on the compliance of this stewardship.

Post ECP further consideration and conclusion

Full ECP advice note is at Appendix 7

The Committee *advised* that:

- Overall, the new evidence does not alter previous advice because landscape effects will be driven by chronic impacts on pollinators, such as behavioural changes, rather than direct mortality. Members also noted that the ECP views took into consideration effects on all pollinators not just honeybees.
- The applicants have submitted evidence from one field study that has been assessed by HSE, however, it is not the only field study published and there is scope for a meta-analysis of these studies.
- Additional data and information on impacts of thiamethoxam and clothianidin on

homing and behaviour is available in published literature, which could be considered and integrated into the chronic risk assessment.

- The monitoring data do not directly show that use of 'Cruiser SB' is causing concern for water. However, these data are collected at the catchment scale, rather than at edge-of-field. Concentrations are likely to have been diluted by drainage from untreated parts of the catchment. To understand the dilution effect on the surface water data, it would be useful to understand how much of a catchment is being treated and what local effects this is having (e.g. via monitoring headwater streams containing treated fields).
- It was also noted that the samples in the monitoring data are only from part of the year when there has been exceptionally low rainfall. More data over the remainder of the year and into next season will be beneficial to understanding whether use is causing detection frequency and peak concentrations to rise.
- The monitoring data on soil do show some detections at the field margin. The interpretation of the data is hampered by rather high detection limits (>10 fold higher than other reported limit of detection values). There are insufficient data available to conclude on the risk of transfer of the active (and major metabolites) to plants. However, as these are only interim data, the data can be re-examined when the full report is available. Continued monitoring in this area is required to allow meaningful conclusions to be reached. However, improving analytical methods to lower limits of quantitation would be useful, if possible.
- The lack of yield data prevents effective interpretation of the seed/foliar treatment breakdown data. It could be possible, using maximum likelihood statistical methods to estimate the contribution of each control option to eventual yield if these data were available. Robust yield data may more suitably be derived from specific studies rather than within the complex co-factors inevitable if relying on monitoring data.
- The inclusion of yield data and an appropriate statistical analysis of treatment effects would be useful to inform any future applications. Alongside this, updates on projects looking for alternatives, specifically resistant varieties, would be useful to understand future options.
- The committee was not aware of any agronomic factors (including market conditions, availability/unavailability of alternatives), that alter the case from their previous assessments.
- It would be beneficial to have an assessment of the quantity of active substance deployed into the environment as part of the suite of information used to help determine whether the benefits from insecticide use outweigh the environmental risks.

ECP Conclusion

On the basis of the evidence presented to ECP, the Committee agreed it supports the CRD assessment and that it is unable to support an emergency authorisation under Article 53 of Regulation 1107/2009, as potential adverse effects to honeybees and other pollinators outweigh the likely benefits.

The HSE conclusion is unchanged post ECP meeting.

	Final	HSE	decision
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Assessment Against the Requirements of Article 53	Is the Requirement Met?	Summary of HSE's Assessment
Are there Special circumstances supporting the proposed use?	Yes	Industry needs time to develop new strategies (see 'Special Circumstances' above)
If a repeat, are there measures in place to develop long term solutions?	Yes	There has been and continues to be considerable investment in non- chemical solutions; but these are taking time to develop (See Special Circumstances above)
Does the pest/situation present a 'danger'?	Yes	In years of high virus risk (informed by Rothamsted virus yellows forecasting model) there is a threat and danger to the yield production of sugar beet and therefore the production of sugar
Are there insufficient reasonable alternative means?	No	The two available foliar sprays would be insufficient under sustained pest pressure to provide protection for around 12 – 16 week period when sugar beet seedlings remain most susceptible to virus yellows
Will the proposed use be limited?	Yes	It is proposed the use is limited by use of an agreed treatment threshold based on the Rothamsted virus yel- lows forecast to restrict use to sea- sons when there is a high virus threat. Use will only be on sugar beet grown for sugar production.
Will the proposed use be controlled?	Yes	Sugar beet for sugar production is grown under contact to British Sugar. If an authorisation is granted, use would be subject to various restrictions and a stewardship scheme.

the necessity of the case supports derogation from Article 28 of Regulation 1107/2009, whereby the benefit of addressing the danger outweighs the potential for harm taking into account any potential mitigations	No - As discussed in the conclusions above
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3.3 Data Requirements for Repeat Applications

Evaluation, Summary and Conclusion by The Health and Safety Executive.

Data required supporting a returning application.

Currently no authorisation is recommended and data requirements have not been set. If a decision taking wider considerations into account is taken such that an authorisation is issued, at the very least it is likely that requirements in line with those set for the 2022 authorisation will be set, however any data requirements will depend on the final decision. For completeness the previous requirements are again copied below:

Requirements

- (1) By 4 March 2022, details of whether the threshold for treatment was met and the quantity of 'Cruiser SB' treated seed ordered, must be submitted to HSE.
- (2) By end of November 2022 (and ideally earlier), a report summarising:
 - How much 'Cruiser SB' treated seed was drilled and in which locations.
 - Information on whether any follow up foliar aphicide sprays were required, with details on what product was applied, timing of application (days after drilling, beet growth stage) and which relevant foliar treatment threshold was met for the growth stage. This information should be used to give an indication of the level of persistence of "Cruiser SB"; activity in sugar beet plants grown from treated seed.
 - Evidence that the stewardship document was implemented including an assessment of how successful it was in achieving its aims, and recommendations for improvement as necessary.
- (3) By end of November 2022; the final report of the residues monitoring in soil and noncrop vegetation described in Annex 2 of the stewardship document.

No authorisation is recommended, however a copy of the 2022 authorisation (minus appendices) is included for information.

Emergency Authorisation Number: 0394 of 2022

EMERGENCY AUTHORISATION FOR A MINOR USE OF A PLANT PROTECTION PRODUCT

PLANT PROTECTION PRODUCTS REGULATION (EC) No 1107/2009

Extent of authorisation:	Englan	d
Product name:	Cruiser	SB
Active ingredient:	600 g /	I thiamethoxam
Emergency authorisation holde	ers.	NFU Sugar and British Sugar plc
This Emergency Authorisation	starts:	18 February 2022

This Emergency authorisation ends:

 a) 18 June 2022 for placing the product on the market, use, storage and disposal of unused stocks.

This emergency authorisation can be withdrawn or amended before its end date if the requirements of authorisation under Regulation 1107/2009 are no longer met. The requirements may no longer be met as a result of, for example, new information brought to the attention of the competent authority on the danger necessitating the use of the PPP, the effects of the PPP, or whether use of the PPP is limited and controlled. These examples are not exhaustive.

Digitally signed by: Stephen Thornley Date: 2022.02.18 12:24:48 Z

HSE Digital Signature

This and the attached Appendices 1 and 2 are signed by the Health and Safety Executive for and on behalf of the Secretary of State.

Date of issue: 18 February 2022

EXPLANATORY NOTES

- This is Emergency authorisation number 0394 of 2022.
- 2. This Emergency authorisation will be published on HSE's website.
- 3. Application reference number: COP 2021/01344.
- Persons using the product to which this Emergency authorisation applies should acquaint themselves with and observe all requirements contained in the Regulation (EC) No 1107/2009.
- The efficacy of the product for which this Emergency authorisation has been granted has not been assessed and, as such, the user bears the risk in respect of failures concerning its efficacy.
- In this notice Regulation (EC) No 1107/2009 means: In relation to Great Britain, Regulation (EC) No 1107/2009 as it has effect in Great Britain.

ADVISORY INFORMATION

This Emergency Authorisation relates to the use of 'Cruiser SB' for the control of Peach potato aphid (*Myzus persicae*) to prevent virus yellows infection.

This emergency authorisation relates to use as a seed coating. This use shall only be performed in professional seed treatment facilities. Those facilities must apply the best available techniques in order to ensure that the release of dust during application to the seed, storage and transport is minimised.

IMPORTANT: 'Cruiser SB' contains thiamethoxam a neonicotinoid insecticide (IRAC 4a). There are no known cases of resistance to thiamethoxam or other neonicotinoid insecticides in the UK to date for *peach-potato aphid (Myzus persicae)*. However, the possible development of resistance cannot be excluded or predicted and control may be reduced if strains of pest resistant to thiamethoxam or other neonicotinoids develop.

Use of this product should form part of a resistance management strategy. Subsequent foliar sprays against peach-potato aphid (Myzus persicae) should be made with a product containing a different active substance and from a different mode of action class.

Consult the UK IRAG website for further information on a particular management strategy.

2021_01344 SUGAR BEET

APPENDIX 1: CONDITIONS OF EMERGENCY AUTHORISATION

The conditions below are obligatory. They must be complied with when the product is placed on the market and used pursuant to this Emergency authorisation. Failure to comply with the following conditions is likely to result in the withdrawal or amendment of the emergency authorisation under Regulation (EC) No 1107/2009 and may result in other enforcement action, including prosecution.

Packaging:	The product may only be placed on the market in the following containers:
	5 to 25 litre high density polyethylene container.
	100 to 200 litre high density polyethylene returnable container.
	1000 litre high density polyethylene container with a top-mounted discharge valve for use with a closed transfer system (the container must not be fitted with any other type of outlet).
Label:	The product may only be sold or supplied with the agreed labels (for product and seed bag), which were the labels submitted on 26 October 2020 (HSE ref.: W001980922) and label amendments as specified in Annex A to HSE's letter dated 18 February 2022 sent to Syngenta UK Limited.

Use:

Field of use: ONLY AS A SEED TREATMENT

User: Professional

Crops/situations:	Maximum individual dose: (ml product / 100,000 seeds)	Maximum total dose:	Maximum number of treatments: (per batch)	Latest time of application:
Seed sugar beet	75	-	1	Before drilling

Operator Protection:

 Engineering control of operator exposure must be used where reasonably practicable in addition to the following personal protective equipment:

(a) Operators must wear suitable protective clothing (coveralls) and suitable protective gloves when handling the concentrate or handling contaminated surfaces.

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- (b) Operators must wear suitable protective clothing (coveralls), suitable protective gloves and suitable respiratory protective equipment* when cleaning machinery. *Disposable filtering facepiece respirator to at least EN149 FFP3 or equivalent.
- (c) Operators must wear suitable protective clothing (coveralls) when bagging treated seed.
- (d) Workers must wear suitable protective clothing (coveralls) and suitable protective gloves when handling treated seed and contaminated seed sowing equipment.
- (2) However, engineering controls may replace personal protective equipment if a COSHH assessment shows that they provide an equal or higher standard of protection.

Environmental protection:

- To protect birds and mammals treated seed must be entirely incorporated in the soil; ensure that the product is also fully incorporated at the end of rows.
- (2) To protect birds and mammals treated seed should not be left on the soil surface. Bury or remove spillages.
- (3) To minimise the number of flowering weeds in treated sugar beet crops and reduce the risk of indirect exposure of pollinators to neonicotinoids BASIS recommended herbicide programmes must be adopted by growers and their agronomists. This applies in treated fields only. (Not field margins or the surrounding area).
- (4) In order to reduce the risk of exposure to pollinators a minimum 32 month interval must be observed between drilling 'Cruiser SB' treated sugar beet seed and planting any restricted crop* on the same area of land.

*Refer to agreed stewardship document for details of restricted/ non-restricted crops.

(5) A minimum 46 month interval must be observed between drilling 'Cruiser SB' treated sugar beet seed and planting any other seed treated with thiamethoxam on the same area of land.

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Other specific restrictions:

- (1) This product must only be applied for the control of peach-potato aphid (Myzus persicae) in accordance with the terms of this Emergency Authorisation, the product label and/or leaflet and the agreed stewardship document (see Appendix 3).
- (2) Sugar beet seed must only be treated in accordance with this authorisation under the direction of British Sugar, and only if the agreed 19% threshold of virus levels is met based on the Rothamsted Research 2022 virus yellows forecast.
- (3) Seed coating shall only be performed in professional seed treatment facilities. Those facilities must apply the best available techniques in order to ensure that the release of dust during application to the seed, storage and transport can be minimised.
- (4) Treated seed must be labelled with the appropriate precautions using printed sacks, labels or bag tags (refer to label for agreed text).
- (5) Treated seed must not be used for food or feed.
- (6) Sacks containing treated seed must not be re-used for food or feed.
- (7) Treated seed must be drilled (broadcasting and aerial spreading of coated seed is forbidden).
- (8) Adequate seed drilling equipment shall be used to ensure a high degree of incorporation in soil, minimisation of spillage and minimisation of dust emission.
- (9) The drilling rate for Cruiser SB treated sugar beet seed must not exceed 115,000 seeds/ha.
- (10) Returnable containers must not be re-used for any other purpose.
- Returnable containers must be returned to the supplier.
- (12) Records must be kept of the fields sown with 'Cruiser SB' treated seed and monitoring in accordance with the agreed stewardship document.

2021_01344 SUGAR BEET

Appendix 2 Product Label

EVALUATION, SUMMARY AND CONCLUSION BY REGULATORY AUTHORITY

For completeness a copy of the draft label for 2022 and the amendments that were required to it is presented below.

The 1000 L IBC draft label is presented below, similar labels were supplied for the different packaging but are not reproduced below.

If authorised the following label amendments would be required:

- (i) All reference to 'MAPP 15012' must be deleted. [This is because the label relates to an emergency derogation rather than an authorised product].
- (ii) All references to 'fodder beet' must be deleted.
- (iii) The biological use phrase must be amended to read 'CRUISER SB is a seed treatment, containing the neonicotinoid insecticide thiamethoxam, for the control of peach-potato aphid (*Myzus persicae*) an aphid vector of virus yellows attacking sugar beet seedlings. Sugar beet seed must only be treated in accordance with the emergency authorisation, under the direction of British Sugar, if the agreed X% threshold of virus levels is met based on the British Beet Research Organisation 2022 virus yellows forecast.
- (iv) Under 'Operator Protection', the phrase 'WEAR SUITABLE PROTECTIVE CLOTHING...when handling the concentrate, calibrating or cleaning machinery and when handling contaminated surfaces or dealing with spillages' must be amended to read 'WEAR SUITABLE PROTECTIVE CLOTHING...when handling the concentrate or handling contaminated surfaces'.
- (v) Under the heading 'Environmental protection' the following must appear:
 - (a) In order to reduce the risk of exposure to pollinators the following restrictions apply to following crops planted in the same area of land:

A minimum 32 month interval must be observed between drilling 'Cruiser SB' treated sugar beet seed and planting any flowering crop*.

A minimum 46 month interval must be observed between drilling 'Cruiser SB' treated sugar beet seed and planting any other seed treated with thiamethoxam.

- (b) Treated seed must be drilled (Broadcasting and aerial spreading of coated seed is forbidden).
- (c) The drilling rate for Cruiser SB treated sugar beet seed must not exceed 115,000 seeds/ha.
- (d) Records must be kept of the fields sown with 'Cruiser SB' treated seed and monitoring carried out and recorded in accordance with any agreed stewardship plan.
- (vi) Under 'Resistance management', the paragraph 'CRUISER SB' must be amended as follows:

CRUISER SB contains thiamethoxam a neonicotinoid insecticide (IRAC 4a). There are no known cases of resistance to thiamethoxam or other neonicotinoid insecticides in the UK to date for any of the pests listed on this label. However, the possible development of resistance cannot be excluded or predicted and control may be reduced if strains of pest resistant to thiamethoxam or other neonicotinoids develop. Use of this product should form part of a resistance management strategy. Subsequent foliar sprays against peach-potato aphid (*Myzus persicae*) should be made with a product containing a different active substance and from a different mode of action class.

Consult the UK IRAG website for further information on a particular management strategy.

- (vii) Under 'PESTS CONTROLLED':
 - (a) The paragraph: CRUISER SB is a broad spectrum treatment, containing the neonicotinoid insecticide thiamethoxam, for the control of aphid vectors of virus yellows' must be amended to read 'CRUISER SB is a seed treatment, containing the neonicotinoid insecticide thiamethoxam, for the control of peach-potato aphid (*Myzus persicae*) an aphid vector of virus yellows attacking sugar beet seedlings'.
 - (b) the phrase 'In situations where very high populations of soil pests are present, the level of protection given by CRUISER SB may be inadequate to achieve an optimum plant stand' must be deleted.
 - (c) the following must be added 'control of aphid vectors' may decline after 10 weeks'.
- (viii) Under the heading 'Storage after treatment' the phrase 'Seed should be ... longer than 18 months' must be amended to read "Seed should be stored in a cool, dry, ventilated building. Treated seed must be used in the season of use only'.
- (ix) The following must appear under the heading 'Herbicides':

To minimise the number of flowering weeds in treated sugar beet crops and reduce the risk of indirect exposure of pollinators to neonicotinoids BASIS recommended herbicide programmes must be adopted by growers and their agronomists. This applies in treated fields only (NOT next to or around sugar beet field drilled with Cruiser SB seed).

(x) The following amendments are required for the SEED BAG label text:

- (a) 'This seed has been treated with CRUISER SB' must be amended to read 'This seed has been treated with CRUISER SB for the control of peach-potato aphid (*Myzus persicae*) to prevent virus yellows infection. Records must be kept of the fields sown with 'Cruiser SB' treated seed and monitoring in accordance with the agreed stewardship plan'
- (b) The phrase 'In situations where very high populations of soil pests are present, the level of protection given by CRUISER SB may be inadequate to achieve an optimum plant stand' must be deleted.
- (c) All reference to fodder beet must be deleted
- (d) The following phrases must appear:

Treated seed must be drilled (Broadcasting and aerial spreading of coated seed is forbidden).

The drilling rate for Cruiser SB treated sugar beet seed must not exceed 115,000 seeds/ha.

To minimise the number of flowering weeds in treated sugar beet crops and

reduce the risk of indirect exposure of pollinators to neonicotinoids BASIS recommended herbicide programmes must be adopted by growers and their agronomists. This applies in treated fields only (NOT next to or around sugar beet field drilled with Cruiser SB seed).

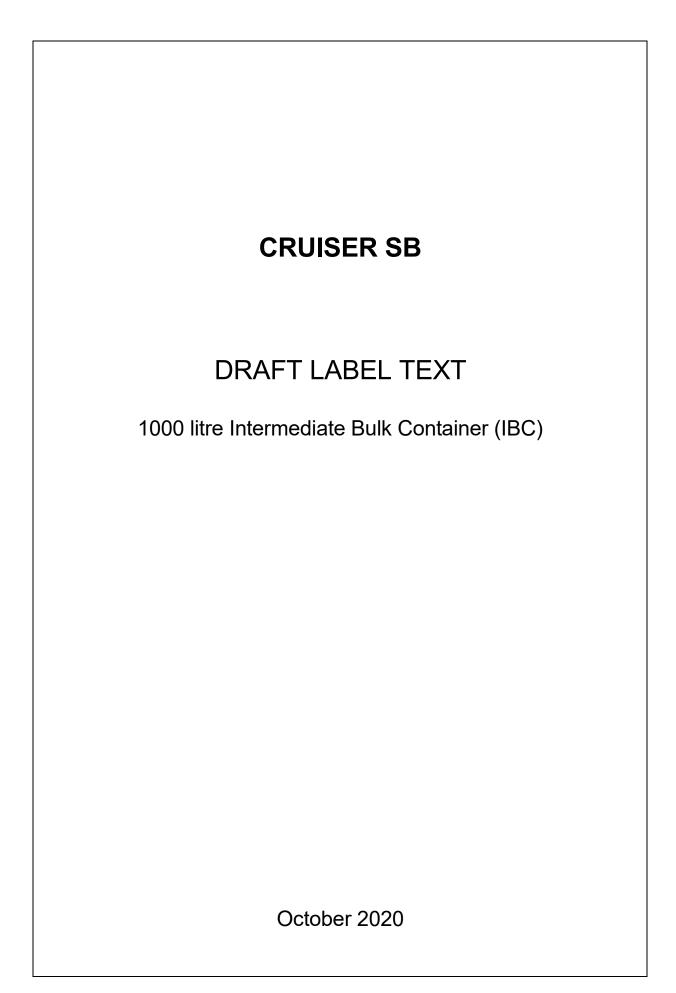
In order to reduce the risk of exposure to pollinators the following restrictions apply to following crops planted in the same area of land:

A minimum 32 month interval must be observed between drilling 'Cruiser SB' treated sugar beet seed and planting any flowering crop.

A minimum 46 month interval must be observed between drilling 'Cruiser SB' treated sugar beet seed and planting any other seed treated with thiamethoxam.

WEAR SUITABLE PROTECTIVE CLOTHING (COVERALLS) AND SUITABLE PROTECTIVE GLOVES when handling treated seed and contaminated seed sowing equipment.

- (e) The phrase 'HARMFUL TO GAME.... Remove spillages' must be amended to read 'HARMFUL TO GAME OR OTHER WILDLIFE. Treated seed must be entirely incorporated in the soil; ensure that the product is also fully incorporated at the end of rows. Treated seed should not be left on the soil surface. Bury or remove spillages.'
- (f) The mode of action group (Group 4A) may be added to the label.
- (g) The phrase 'Consider resistance......' must be deleted and replaced with 'Subsequent foliar sprays against peach-potato aphid (*Myzus persicae*) should be made with a product from a different mode of action class.
- (h) Under NOTES, under Storage, the paragraph 'Seed should be stored ... longer than 18 months' must be amended to read "Seed should be stored in a cool, dry, ventilated building. Treated seed must be used in the season of use only'



Oct 2020

CRUISER SB



Product registration number: MAPP 15012

A flowable concentrate for seed treatment containing 600g/litre thiamethoxam.

CRUISER SB is a broad spectrum seed treatment, containing the neo-nicotinoid insecticide thiamethoxam, for the control of aphid vectors of virus yellows and a range of foliar and soil pests attacking sugar beet and fodder beet seedlings.

The (COSHH) Control of Substances Hazardous to Health Regulations may apply to the use of this product at work.

This product label is compliant with the CPA Voluntary Initiative (VI) guidance.

Net contents

Syngenta UK Ltd CPC4, Capital Park Fulbourn Cambridge CB21 5XE

In case of toxic or transport emergency ring 01484 538444 any time.

PROTECT FROM FROST MIX THOROUGHLY BEFORE USE

This container should be handled only by mechanical means

Product code number/print date/xxxxx

Batch number

SAFETY PRECAUTIONS

(a) Operator protection

Engineering control of operator exposure must be used where reasonably practicable in addition to the following personal protective equipment:

WEAR SUITABLE PROTECTIVE CLOTHING (COVERALLS) AND SUITABLE PROTECTIVE GLOVES when handling the concentrate, calibrating or cleaning machinery, and when handling contaminated surfaces or dealing with spillages

WEAR SUITABLE PROTECTIVE CLOTHING (COVERALLS) when bagging treated seed. WEAR SUITABLE PROTECTIVE CLOTHING (COVERALLS), SUITABLE PROTECTIVE GLOVES AND SUITABLE RESPIRATORY PROTECTIVE EQUIPMENT# when cleaning machinery #i.e. disposable filtering facepiece respirator to EN 149 FFP3(S) or equivalent.

However, engineering controls may replace personal protective equipment if a COSHH assessment shows they provide an equal or higher standard of protection.

WASH HANDS AND EXPOSED SKIN after cleaning and re-calibrating equipment. WASH HANDS AND EXPOSED SKIN before meals and after work.

(b) Environmental protection

Seed coating shall only be performed in professional seed treatment facilities. Those facilities must apply the best available techniques in order to ensure that the release of dust during application to the seed, storage and transport can be minimised.

Adequate seed drilling equipment shall be used to ensure a high degree of incorporation in soil, minimisation of spillage and minimisation of dust emission.

Do not contaminate water with the product or its container. Do not clean application equipment near surface water. Avoid contamination via drains from farmyards and roads.

HARMFUL TO BIRDS, GAME AND OTHER WILDLIFE. To protect birds and wild mammals the product must be entirely incorporated in the soil; ensure that the product is fully incorporated at the end of rows. Remove spillages.

TREATED SEED MUST NOT BE BROADCAST.

(c) Consumer protection

Do not re-use sacks or containers that have been used for treated seed for food or feed.

(d) Storage and disposal

For returnable containers KEEP IN ORIGINAL CONTAINER, tightly closed in a safe place. DO NOT RINSE OUT CONTAINER. RETURN EMPTY CONTAINER TO SUPPLIER DO NOT RE-USE CONTAINER FOR ANY OTHER PURPOSE OPEN THE CONTAINER ONLY AS DIRECTED LABEL TREATED SEED with the appropriate precautions using printed sacks, labels or bag tags.

Do not use treated seed as food or feed.

ADDITIONAL PRECAUTIONS SPECIFIC TO 1000 LITRE INTERMEDIATE BULK CONTAINERS (IBC)

FOLLOW THE OPERATING INSTRUCTIONS SUPPLIED WITH EACH IBC AT ALL TIMES. (REF. "SAFE OPERATION OF CRUISER OSR DISPENSING SYSTEM USING IBC") OPEN THE CONTAINER ONLY AS DIRECTED EMPTY IBC'S SHOULD BE TREATED AS FULL CONTAINERS WITH RESPECT TO STORAGE, TRANSPORT AND HANDLING AS THEY WILL STILL BE CONTAMINATED INTERNALLY. DO NOT RINSE OUT THE CONTAINER DO NOT RE-USE THE CONTAINER FOR ANY OTHER PURPOSE ENSURE THAT VALVES ARE CLOSED, ALL CAPS ARE SECURED AND THAT THE PRODUCT LABEL IS LEGIBLE.

CRUISER SB

A flowable concentrate for seed treatment containing 600g/litre thiamethoxam.



Signal Word Hazard Statements	Warning Very toxic to aquatic life with long lasting effects.
Precautions Statements	Avoid release to the environment. Collect spillage Dispose of contents/container to a licensed hazardous- waste disposal contractor or collection site except for empty clean containers which can be disposed of as non- hazardous waste.
Supplemental Information	To avoid risks to human health and the environment comply with the instructions for use. MAPP 15012

IMPORTANT INFORMATION

FOR USE ONLY AS AN AGRICULTURAL SEED TREATMENT

For use on: Crops: Maximum individual dose: Maximum number of treatments: Latest time of application:

Sugar beet (seed) and fodder beet (seed) 75 ml product per unit of seed One per batch Before drilling

READ THE LABEL BEFORE USE. USING THIS PRODUCT IN A MANNER THAT IS INCONSISTENT WITH THE LABEL MAY BE AN OFFENCE. FOLLOW THE CODE OF PRACTICE FOR USING PLANT PROTECTION PRODUCTS.

This leaflet is part of the approved Product Label.

DIRECTIONS FOR USE

IMPORTANT: This information is approved as part of the Product Label. All instructions within this section must be carefully read in order to obtain safe and successful use of this product.

RESISTANCE MANAGEMENT

CRUISER SB contains thiamethoxam a neo-nicotinoid insecticide. There are no known cases of resistance to thiamethoxam or other neo-nicotinoid insecticides in the UK to date for any of the pests listed on this label. However, the possible development of resistance cannot be excluded or predicted and control may be reduced if strains of pest resistant to thiamethoxam or other neo-nicotinoids develop.

Use of this product should form part of a resistance management strategy. Subsequent foliar sprays should be made with a product containing a different active substance and from a different mode of action class.

Consult the IRAG website for further information on a particular management strategy for the targets in question.

Since the occurrence of resistance cannot be forecast, neither Syngenta UK Limited nor its distributors can accept responsibility for any loss or damage to crops caused by the failure of CRUISER SB to control resistant strains.

PESTS CONTROLLED

CRUISER SB is a broad spectrum seed treatment, containing the neo-nicotinoid insecticide thiamethoxam, for the control of aphid vectors of virus yellows and a range of foliar and soil pests attacking sugar beet and fodder beet seedlings (including springtails, millipedes, symphylids, beet leaf miner/mangold fly, pygmy beetle and flea beetle) and improves crop establishment by reducing damage by wireworms.

In situations where very high populations of soil pests are present, the level of protection given by CRUISER SB may be inadequate to achieve an optimum plant stand.

CROP SPECIFIC INFORMATION

Crops

Sugar beet and fodder beet

Timing Before drilling

Rate of Use

Apply 75 ml CRUISER SB per unit of seed (1 unit = 100,000seeds)

APPLICATION

CRUISER SB must only be applied to sugar beet and fodder beet seed as part of the normal commercial pelleting process using special treatment machinery.

Re-circulate contents of the IBC before use to ensure homogeneity. Containers of greater than 20 litres capacity should be handled only with mechanical assistance.

The container should be connected to the seed treater suction hose using the dry break coupling provided.

Storage after treatment

Seed should be stored in a cool, dry, ventilated building. Ideally treated seed should be used in the season of treatment. It is not recommended to store CRUISER SB treated seed for longer than 18 months.

Seedbed Preparation And Drilling

Seed drills must be suitable for use with polymer-coated seeds. If in any doubt, refer to the drill manufacturer. Treatment with CRUISER SB does not alter the physical characteristics of pelleted seed and no change to standard drill settings should be necessary.

Prepare a firm, even seedbed. CRUISER SB is not known to have any adverse effect on seed germination or crop emergence but poor seed quality or seedbed conditions (waterlogged, capped, dry, fluffy or cloddy seedbeds) may result in delayed emergence and/or poor establishment. Similarly, avoid deep or shallow drilling which can adversely affect crop establishment and may reduce the level of pest control.

Herbicides

Herbicides containing the active ingredient lenacil should not be used pre-crop emergence on fields drilled with seed treated with CRUISER SB. Other approved herbicides may be applied pre-emergence of the crop. Approved herbicides may be used as recommended post emergence of the crop.

Seed Spillages

In case of seed spillage, clean up as much as possible into the related seed sack and re-use the clean seed. Bury or remove the remainder completely.

After Use

The empty container should not be rinsed out but should be stored in a purpose built chemical store and subsequently returned to the supplier. The empty container should be treated as if containing product and transported in accordance with the advice in the Code of Practise for the Safe Use of Pesticides on Farms and Holdings.

SEED BAG LABEL TEXT

This seed has been treated with CRUISER SB

CRUISER SB contains thiamethoxam a broad spectrum neo-nicotinoid insecticide seed treatment for the control of a range of foliar and soil pests attacking sugar beet and fodder beet seedlings.

In situations where very high populations of soil pests are present, the level of protection given by CRUISER SB may be inadequate to achieve an optimum plant stand.

Consider resistance management when using subsequent foliar applications. Consult the IRAG website for further information.

SAFETY PRECAUTIONS

DO NOT HANDLE seed unnecessarily. DO NOT USE TREATED SEED as food or feed. KEEP TREATED SEED SECURE from people, domestic stock/pets and wildlife at all times during storage and use. HARMFUL TO GAME OR OTHER WILDLIFE. Treated seed should not be left on the soil surface. Bury or remove spillages. DO NOT RE-USE SACKS OR CONTAINERS THAT HAVE BEEN USED FOR TREATED SEED for food or feed. TREATED SEED MUST NOT BE USED as food or feed. TREATED SEED MUST NOT BE BROADCAST. WASH HANDS AND EXPOSED SKIN before meals and after work.

NOTES

1 Drilling

Seed drills must be suitable for use with polymer-coated seeds. If in any doubt, refer to the drill manufacturer. Treatment with CRUISER SB does not alter the physical characteristics of pelleted seed and no change to standard drill settings should be necessary. Check drill calibration before drilling each batch of seed to ensure an accurate drilling rate.

2 <u>Storage</u>

Seed should be stored in a cool, dry, well ventilated building. Ideally treated seed should be used in the season of treatment. It is not recommended to store CRUISER SB treated seed for longer than 18 months.

3 <u>Seed spillages</u>

In case of seed spillage, clean up as much as possible into the related seed sack and re-use the clean seed. Bury or remove the remainder completely.

Syngenta UK Limited CPC4, Capital Park Fulbourn Cambridge CB21 5XE Tel: Cambridge (01223) 883400

Section 6 of the Health and Safety at Work Act Additional Product Safety Information

(This section does not form part of the product label under the Plant Protection Product Regulations 1995.)

The product label provides information on a specific pesticidal use of the product; do not use otherwise, unless you have assessed any potential hazard involved, the safety measures required and that the particular use has Extension of use approval or is otherwise permitted under the Plant Protection Product Regulations 1995.

The information on this label is based on the best available information including data from test results.

Appendix 3 Proposed Stewardship

If a decision is taken to authorise the requested use, the stewardship scheme below will need to

HSE comments on 2023 Stewardship:

The following points will require revisiting in the event of a decision to authorise:

The trigger for treatment (The proposed threshold nor price of Cruiser SB is not known by HSE)

The final dates for storage and use.

Ideally a more up to date reference to the review of available herbicide chemistry (currently refers to Jan 2022)

More generally, the foliar spray threshold may be better described as 5 aphids in 20 rather than 1 in 4. This to ensure an appropriate sized sample is assessed.

It would be preferable if the following crops section in Annex is appropriately titled (similar to that in the following crops section in the main stewardship document).

The residues monitoring protocol should be updated following the assessment of the interim data provided so far

Also

Reference to 2022 in the title should be amended to 2023.

On last line of page 2 suggest that Where possible, timing cover crop destruction ... to "Where possible, time cover crop destruction ..."









2023 Cruiser SB Neonicotinoid Stewardship Document

For Growers/Operators/Agronomists

Purpose

This document is prepared in accordance with the specific conditions of the **EMERGENCY AUTHORISATION OF 'CRUISER SB'.** It must be followed, as a condition of the authorisation, by individuals in the sugar beet industry that have opted to grow Cruiser SB treated sugar beet in 2023, subject to the specified threshold being met as a condition of the emergency authorisation being used.

Outcome

Effective stewardship to clearly explain the conditions of the emergency authorisation to ensure understanding and compliance. A specific stewardship group was set-up to manage this.

Structure

The document is broken down into specific sections starting with the Virus Yellows forecast in 2023 through to drilling the crop and subsequent sugar beet agronomy and production. It also highlights other stewardship activities that will be covered by BBRO outside of the grower/operator/agronomist base.

Timing

This Stewardship Document is issued as part of the Cruiser SB Emergency Authorisation for 2023.

Contents

- 1. The Virus Yellows Forecast
- 2. Reducing potential sources of VY infection
- 3. Drill Operator guidance and seed rates
- 4. Pesticide spill kits
- 5. Late drilling/re-drilling of sugar beet
- 6. Weed control in sugar beet fields
- 7. Aphid monitoring, thresholds and subsequent aphicide applications
- 8. Integrated crop management to boost beneficial insects
- 9. Following crop restrictions
- 10. BBRO soil and plant residue monitoring
- 11. BBRO liaison with relevant water companies/organisations
- 12. Knowledge Exchange (KE) activities









1. The 2022 Virus Yellows Forecast

The Cruiser SB EA requires the submission of the 2023 Virus Yellows forecast to HSE at the beginning of March 2023.

Annually Rothamsted Research conducts a Virus Yellows forecast for sugar beet under contract to BBRO. This provides an indication of the incidence and abundance of aphids and Virus Yellows. The Virus Yellows forecast has been in operation for the UK sugar beet crop since 1965 and is one of the longest running predictive models available anywhere in the world, used to indicate the level and potential impact of an economically important plant disease. The proposed 2022 economic threshold for use of neonicotinoid seed treatments for virus yellows was 7%. The same formula will be used to calculate the economic threshold for 2023 once the 2023 sugar beet contract price and Cruiser SB price is known.

The forecast is issued at the beginning of March and is based on the relationship between virus incidence and winter temperature (January and February mean temperatures being critical to the analysis), the timing and size of the spring aphid migration (as recorded by the suction traps managed by the Insect Survey group at Rothamsted Research) and crop emergence date.

Alongside the forecasted VY levels for the forthcoming crop, the model also predicts the timing of aphid first flights, which is key in monitoring aphids in the field and helping growers to be prepared for when they may reach their foliar spray thresholds.

2. Reducing potential sources of VY infection

The sugar beet industry is committed to communicating grower best practice for infection control. Whilst aphid vector activity will be reduced following spells of very cold/freezing weather, it remains critical to ensure potential sources of virus on the farm are removed, especially before temperatures start to rise as we go into late spring and early summer. As soon as conditions allow, growers will be reminded to remove, or manage sources of potential virus-infected material.

Good farm hygiene is key, follow these top tips:

- · Monitor harvesting closely in order to minimise the number of roots left in the soil
- Regularly re-check fields and remove any groundkeepers
- Carefully dispose of all crop debris under cleaner loaders and around clamps
- Clear and destroy any remaining spoil heaps before the new crop emerges
- Control any leaf growth on beet clamps

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- Keep crop volunteers and weed species under control with well-timed, comprehensive herbicide programmes. This standard best practice applies in field, not next to or around sugar beet fields, i.e. field margins.
- Be aware of energy/AD beet or unharvested sugar beet still in the ground on neighbouring fields/farms

Overwintered cover crops can also be a source of VY infection for following sugar beet crops and should be destroyed ahead of sugar beet being drilled. Cover crops may still be under-sown in sugar beet crops for managing wind-blow but it is recommended to avoid the use of brassica-based cover crops to help reduce the potential build-up of aphid numbers. Ensure that cover crops are destroyed thoroughly, so no green material is left, on which aphids can survive. Target to destroy cover crops a minimum of 5-6 weeks ahead of drilling sugar beet. Where possible, timing cover crop destruction,

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particularly mechanical destruction and grazing to coincide with predicted spells of cold weather as this will help reduce aphid numbers even further.

Additional information can be found at:

- Controlling the Green Bridge, June 2020
- Brilliant Basic 5: Don't keep virus yellows alive
- Sugar Beet Review, Feb 2021, Vol 89, No.1, P 11-15 Virus Feature
- Use of an under-sown barley cover crop to control virus, January 2021.

3. Drill Operator guidance and seed rates

The sugar beet industry is committed to targeting Cruiser SB stewardship information to all growers and drill operators therefore we have created a drill operator guidance document.

BBRO is aware that farm operators do not always receive the information that is sent directly to growers as the contract decision maker (this is the contact person on the database for receipt of emails etc.). Therefore, in recognition of this, the stewardship group has developed a specific and targeted guidance document for drill operators (see Annex 1) to be distributed on farm. The drilling rate for Cruiser SB treated sugar beet seed **must not exceed 115,000 seeds/ha**. It is each grower's responsibility to ensure this seed rate is adopted to ensure the terms of the emergency authorisation are adhered to. However, where rates above 1.15 units/ha are required to establish robust plant populations, this should be made up with seed that has NOT been treated with 'Cruiser SB'.

A drill set-up check list and maintenance information can be found in the <u>BBRO Sugar Beet Drill</u> <u>Maintenance Guide</u>. This also explains the importance of tillage options, efficient drilling to ensure seeds are buried effectively, the benefits of drill testing, understanding seed rates and optimising plant populations. It is critical that drills are checked and set up accurately to ensure 100,000 plants per hectare are achieved as expected. Further information can also be found in the <u>BBRO Crop</u> <u>Establishment Guide</u>.

4. Pesticide spill kits

The use of Cruiser SB treated seed requires growers to have access to a spill kit.

As part of the industry due diligence spill kits will be provided to all growers (to be passed onto the drill operator) in case of any accidental spillage of Cruiser SB treated seed. The kits will be sent to growers directly by the company providing them to ensure speedy delivery as soon as the Cruiser SB trigger is reached and seed processing begins.

Each spill kit includes the following items:

- 25kg polythene sack (450 x 650mm OT Welded base 90mu)
- Cable tie (300mm x 3.6mm)

In addition to the spill kits drill operators are advised to ensure they have appropriate PPE (e.g. face mask & gloves) and a small shovel/scoop in their drill cab to clean up any spilled seed. All spillages should be cleaned up using the spill kit provided, bags should be tied up appropriately and taken to an approved disposal contractor. Whilst this should be standard practice for operators the importance of this will be highlighted for Cruiser SB seed as its use is only allowed under the derogation.

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5. Late drilling/re-drilling of sugar beet

No thiamethoxam seed treatment i.e. Cruiser SB may be used on the same field area for 46 months from the date of sowing treated sugar beet seed in 2023.

No Cruiser SB treated seed can be used after the TBC June 2023, this includes placing the product on the market, use, storage and disposal of unused stocks-. This is regardless of any unfavourable weather conditions, e.g. extreme wet, that may result in a delay to drilling and also includes any redrilling of treated sugar beet from crop loss (due to wind blow or capping) on the same field area for 46 months from the date of sowing treated sugar beet seed in 2023. This is to minimise the risk of residues being acquired by succeeding flowering crops or weeds and hence exposing bees and/or other pollinators to neonicotinoid seed treatments. This will be communicated by British Sugar to all growers in January 2023, ahead of Cruiser SB seed being available on-farm. Information will also be sent out directly to all British Sugar Contract Managers reiterating the 120-approval period, crop restrictions and redrilling restrictions and it is also covered in the Drill Operators Guidance, ensuring that the stewardship information is received by drill operators, growers and other individuals speaking to growers e.g. agronomists.

6. Weed control in sugar beet fields

Alongside the use of Cruiser SB treated seed, it is a condition of use that robust BASIS recommended herbicide programmes must be adopted by growers and their agronomists to minimise the number of flowering weeds in treated sugar beet crops to reduce the risk of indirect exposure of pollinators to neonicotinoids. This applies in treated fields only (NOT next to or around sugar beet field drilled with Cruiser SB seed).

BBRO will issue Advisory Bulletins to all growers clarifying the herbicide conditions of use requirement for effective weed control in the sugar beet crop, particularly emphasising that this requirement does <u>not</u> include areas outside or next to the crop such as field margins. This will also be re-emphasised in the BBRO winter technical events for agronomists and growers in February/March 2023.

As is standard practice in the sugar beet sector weed control must be carried out in accordance with recommendations from a BASIS qualified agronomist. Guidelines and further information are also provided in the grower facing <u>BBRO Reference Book</u>. The 2022 Reference Book had an expanded section on weed control to provide further information. The 2023 updates to the Reference Book are in electronic format and this will be available on the BBRO website. Recognising weed control can be challenging in sugar beet, with the loss of some key herbicides in recent years, BBRO commissioned a 6-page technical feature in the February 2021 Beet Review, pulling in expertise from three industry experts on weed control to help growers <u>Sugar Beet Review</u>, Feb 2021, Vol 89, No.1, P 16-21 – Weeds <u>Feature</u>. An update on available chemistry was also provided in the January 2022 Beet Review, <u>Sugar Beet Review</u>, Jan 2022, Vol 90, No.1, P 16-18.

The key basics of weed control are also covered in <u>Brilliant Basic 3: Keep your plants growing strong</u>, don't get your spray mix or timing wrong, growers will be reminded of this again in 2023.

Here are the 10 top tips for weed control in sugar beet which will be communicated to growers by BBRO in the spring, they will also be reminded of these during the season:

- 1. Greater monitoring of weeds and weed growth stages
- 2. First spray timing is critical

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- 3. Consider a pre-emergence herbicide where conditions allow
- Monitor the crop carefully for growth stage and stress levels to minimise herbicide damage. Be wary of large diurnal fluctuations in temperatures
- 5. Be flexible on your approach to the choice of actives and rates of use
- 6. Consider 'tailoring' your herbicides to 'problem' fields
- 7. Don't delay in controlling fat hen
- 8. Select rates of phenmedipham carefully in relation to weeds and conditions
- 9. Consider use of adjuvants, but be mindful of conditions of use
- 10. Mechanical hoeing may be an option be prepared!

7. Aphid monitoring, thresholds and subsequent aphicide applications <u>Product use Monitoring</u>

The Cruiser SB EA requires all treated crops and associated field-areas to be recorded. All treated crops and associated field-areas will be recorded via the British Sugar CRM database and monitored by their team of agricultural contract managers.

Aphid Monitoring

BBRO runs an annual yellow water pan network to provide a large amount of data across numerous sites in order to provide UK sugar beet growers with a clearer view to aphid activity in their area. Monitoring aphid numbers is also done in the field by BBRO, British Sugar and a range of agronomists and growers. Aphid numbers are recorded in an annual survey and also a representative sample of aphids are tested in the laboratory to confirm the presence/absence of virus yellows throughout the season.

Growers and agronomists are also encouraged to regularly check their crops for aphids from crop emergence and for the following 10-week period, when the crop is at its most susceptible to aphid attack. Previous scientific research has identified an aphid threshold, above which foliar insecticides should be applied to protect the crop – the thresholds are explained below, Cruiser SB will run out of residual activity around 10-weeks after drilling so crop monitoring for aphids will be necessary up until the 16-leaf stage, previous work has shown that treatments after the 16-leaf stage are uneconomic.

Foliar Sprays

Growers will continue to have the option to drill untreated seed, regardless of whether the 'Cruiser SB' treated seed becomes available and employ a foliar spray programme as an alternative control strategy.

Cruiser SB is expected to provide good efficacy for up to 10 weeks after drilling reducing the need for any further foliar sprays. If however, aphid thresholds are met when Cruiser SB treated crops remain at the susceptible growth stages, foliar aphicide sprays may be appropriate. The spray thresholds are:

- The threshold for foliar insecticide applications is 1 green wingless aphid per 4 plants up to the 12-leaf stage (or 5 aphids per 20 plants).
- Between 12-16 leaves the treatment threshold is 1 green wingless aphid per plant.

Foliar sprays should be applied as soon as the above thresholds are met and not delayed. High temperatures and drought stress can reduce efficacy of insecticides. The current available authorised

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foliar sprays are one application of flonicamid (either 'Teppeki' (MAPP 12402) or 'Afinto' (MAPP 19622)), and one application of 'Insyst' containing the neonicotinoid acetamiprid (MAPP 13414).

Where Cruiser SB seed treatment has been used, it is anticipated that this will provide sufficient control during the most susceptible stages of the crop. Even if the foliar threshold for treatment is met, 'Insyst' must not be used as the first foliar spray on the Cruiser-treated crop as this would jeopardise resistance management. If the Virus Yellows pressure is low further spray applications should not be necessary but every field/farm is different and hence the importance of crop monitoring at the field level.

A detailed article on crop monitoring can be found in the <u>Sugar Beet Review, Feb 2021, Vol 89, No.1,</u> <u>P 11-15 – Virus Feature</u>. Magnifying glasses were provided to all growers in 2021 with the Beet Review publication to help growers identify aphids in the crop during regular checks. An aphid identification clinic will also be provided to agronomists and growers in the BBRO BeetTech23 winter technical events (dates TBC). Any ground-truth data provided by growers and agronomists will be double checked by BBRO. A new BBRO aphid identification guide was produced in May 2022 and made available at BBRO events in hard copy and circulated via email to all growers and agronomists on the industry database. It's also available on the BBRO website: <u>Know your aphids</u>.

8. Integrated crop management to boost beneficial insects

Although not a complete solution, the industry is committed to maximising beneficial insects as part of our commitment to integrated pest management.

Hedgerows and field margins have been shown to support beneficials and to contribute to reducing aphid numbers in crops. Beneficial insects can increase when prey numbers e.g. aphids are high. There are a number of different things growers can do to encourage beneficial insects into their crops and the following points are key:

- Consider establishing field margins or drill strips with plant species which encourage beneficial insects such as ladybirds, ground beetles, lacewings, hover flies and parasitic wasps.
- Early establishment of field margins will help build beneficial numbers earlier in the season and have more impact.
- Use a mix of grasses and wild flowers in field margins to provide ground cover and sources of
 pollen and nectar. Mixes including some of the following flowering species are considered to
 be effective oxeye daisy, buckwheat, bird's foot trefoil, yarrow, common knapweed, wild
 carrot, chamomile, sainfoin, wild red clover, selfheal, phacelia and borage. NB. This applies to
 margins only; following crop restrictions detailed in section 9 apply in the cropped area where
 Cruiser seed has been used.
- Some growers have released beneficial insects into crops to predate on aphids but the number and the timing of release is critical to success. BBRO continues to look into this approach.
- It is essential to avoid using pyrethroid foliar insecticides for aphid control as aphids are widely
 resistant to these insecticides and they can reduce the number of beneficials which is counter
 productive, leading to an increase in aphids in the longer-term.

This was reported in the <u>Sugar Beet Review</u>, Feb 2021, Vol 89, No.1, P 11-15 – Virus Feature and further information can be found in <u>Sugar Beet Review</u>, May 2020, Vol 88, No. 2, P16-23 – The Good, The Bad and The Ugly the <u>Sugar Beet Review</u>, May 2021, Vol 89, No. 2, P26-29 – What's in your crop? And the

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Sugar Beet Review, Jan 2022, Vol 90, No.1, P21-27. These messages will be reiterated during the growing season via BBRO Bulletins issued regularly to growers and agronomists.

9. Following crop restrictions

The Cruiser SB EA requires growers to follow strict rotational requirements.

The Inter Professional Agreement (IPA) is an extensive document governing the relationship between NFU Sugar (growers) and British Sugar (processor), the terms of the IPA are incorporated into each grower's contract. A grower may not sell sugar beet to British Sugar without a contract and complying with the accompanying IPA agreement. Growers must follow the following crop restrictions stated in the table below. If a grower is in non-compliance, then they are breaking the law and in breach of their contract.

Table 5 will be shared with growers, operators and agronomists on multiple occasions by British Sugar, NFU Sugar and BBRO. Growers will place their seed orders, plan future rotations and evaluated their future growing sugar beet in response to the information contained in the table below.

The following-crop restrictions apply for subsequent crops planted on the same area of land as Cruiser SB sugar beet drilled in 2023.

- Any crop excluded from the below table should be considered 'restricted' i.e. a minimum of 32 months from drilling of Sugar Beet.
- The 32-month restriction applies to those agri-environment options that allow flowers to grow or appear on the same ground on which Cruiser SB treated seed was sown in 2023.
- · Cover crops (including mixes) must also follow the 32-month restrictions.

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	Non-restricted	Restricted
Rules	No restrictions following Sugar Beet	A minimum of 32 months from drilling of Sugar Beet
Crops	1. Wheat (including Durum Wheat)	23. Oilseed Rape
	2. Barley	24. Linseed
	3. Millet	25. Mustard
	4. Sorghum	26. Soya Bean
	5. Oat	27. Pea
	6. Maize / Corn	28. Bean
	7. Rye	29. Buckwheat
	8. Triticale	30. Clover
	9. Canary seed	31. Phacelia
	10. Spelt	32. Chicory
	11. Potato	33. Radish
	12. Cabbage	34. Vetch
	13. Kale	35. False Flax
	14. Swede	36. Lucerne
	15. Lettuce / Babyleaf / Spinach	37. Sunflower
	16. Onions	38. Borage
	17. Leeks	39. Sainfoin
	18. Carrots	40. Nyger
	19. Parsnips	41. Lupins
	20. Cauliflower	
	21. Broccoli	
	22. Turnip	

Fodder, energy, and red beet are not included as part of the derogation to ensure the 'controlled and limited' element of the Emergency Authorisation.

It has also been made very clear that no further use of thiamethoxam seed treatments (including any re-drilling of treated sugar beet if crop lost due to wind blow or capping) on the same field area for 46 months from the date of sowing treated sugar beet seed in 2023 – a requirement of the Cruiser SB EA. This is to minimise the risk of any residues being acquired by succeeding bee-attractive crops or weeds and hence exposing bees and/or other pollinators to the neonicotinoid seed treatment.

10. BBRO soil and plant residue monitoring

A programme of sampling of neonicotinoid-treated sugar beet fields started in 2022 to determine any neonicotinoid seed treatment residue levels in soil and plants will be established and this will continue in 2023. Annex 2 details the 2023 Neonicotinoid Residue Monitoring Protocol.

11. BBRO liaison with relevant water companies/organisations

As part of the industry due diligence contact will be made with relevant water companies to understand what monitoring they are doing and review any data they hold regarding neonicotinoids

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in water. Companies that will be contacted are: Anglia Water, Cambridge Water, Yorkshire Water, Severn Trent, Suffolk & Essex Water, Affinity Water, and the Environment Agency.

Alongside water companies operating in the sugar beet growing areas, we will also liaise with other relevant organisations e.g. Norfolk Rivers Trust, who operate in these areas and often have grower groups/meetings.

12. Knowledge Exchange (KE) activities

BBRO, NFU Sugar and British Sugar are all jointly involved in communicating the importance of good stewardship to the sugar beet industry, with BBRO taking the lead on KE technical information to the grower and agronomy base. Many different KE channels are used, this list below highlights BBRO's regular activities carried out every year.

Activity	Format	Audience	Frequency
Advisory Bulletin	Electronic	Growers/Operators/ Agronomists	Every 2-3 weeks during growing key season
Beet Review	Hard copy & electronic	Growers/Operators/ Agronomists	3 times p.a.
BBRO Reference Book	Hard copy & electronic	Growers/Operators/ Agronomists	Annual update Feb
News & Opinions pieces	Electronic	Growers/Operators/ Agronomists	When topical
BeetTech	Webinar/face2face	Growers/Operators/ Agronomists	Annual update Feb
BeetField	Webinar/face2face	Growers/Operators/ Agronomists	Annual update July/Sept
Agronomist Company Briefings	webinar	Agronomists	Annual update Feb/Mar
Demonstration Farms	Face2face	Growers/Operators/ Agronomists	When topical
YouTube videos	online	Growers/Operators/ Agronomists	When topical
BeetCast	audio	Growers/Operators/ Agronomists	Monthly topical updates
Brilliant Basics	Variety of different channels per topic	Growers/Operators/ Agronomists	c. 4-5 times p.a.
Breakfast meetings	Webinar Q&A	British Sugar Contract Managers	Every 2 weeks during growing key season
NFU Regional meetings	Webinar/face2face	Growers	Every 2-3 months or as invited
Ad hoc technical requests	Webinar/face2face	Growers/Operators/ Agronomists	As requested
Training events	Webinar/face2face	Growers/Operators	2-3 times p.a.

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In addition, BBRO will respond to any requests to provide technical information outside of its routine activities highlighted above. BBRO will proactively and reactively communicate with growers and agronomists to respond to any issues that arise during the season.

A draft KE plan for 2023 is presented in Annex 3 highlighting different channel and timelines. This will evolve during the year.

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Annex 1 - Cruiser SB Drill Operator Guidance

In accordance with the requirements of the Cruiser SB Emergency Authorisation for the 2023 sugar beet crop, the industry is required to follow strict conditions. This card outlines the on-farm requirements that must be followed when using Cruiser SB treated sugar beet seed – please ensure it is seen by drill operators. The drilling rate for Cruiser SB treated sugar beet seed must not exceed 115,000 seeds/ha. It is each grower's responsibility to ensure this seed rate is adopted to ensure the terms of the emergency authorisation are adhered to. In some cases, 1.15 units/ha will be lower than the recommended rate as stated in the <u>BBRO Reference Book</u>. Where rates above 1.15 units/ha are required to establish robust plant populations, this should be made up with seed that has NOT been treated with 'Cruiser SB'.

Conditions of the Cruiser SB Emergency Authorisation

- Cruiser SB is available for use under Emergency Authorisation for 120 days the Emergency Authorisation ends TBC June 2023. All treated seed must be drilled within these dates.
- The neonicotinoid seed treatment available is: Cruiser SB (45g ai/unit), Force ST (8g ai/unit).
- If a field is drilled with Cruiser SB treated seed, any re-drilled beet in that field must not be treated
 with Cruiser SB due to loading limits on any given area. There can be no further use of thiamethoxam
 seed treatments on the same field within 46 months. If you need to plant sugar beet in the same field
 within 46 months, it will have to be a non-neonicotinoid treated seed. This is important if any future
 Cruiser SB derogations are granted.
- There are strict following crop rules attached to the Emergency Authorisation. Refer to table overleaf.
- Only sugar beet contracted with British Sugar plc is included in the Emergency Authorisation. Fodder, energy and red beet are not included.

Drilling

- Handle seed carefully and wear PPE such as gloves and a mask
- Store seed securely in a dry and frost-free area
- Ensure the drill has been checked and tested
- Set the drill to deliver a maximum seed rate of 115,000 seeds/ha, this may not deliver the optimum final field population of 100,000 plants/ha in some cases (see below)
- All spillages should be cleaned up using the spillage kit provided. Label and tie up bags appropriately
 and use an approved disposal contractor to destroy the treated seed (Details can be found at the
 Environment Agency website <u>https://www.wastedirectory.org.uk</u> if you do not know an approved
 disposal contractor)
- Ensure that all seed is well covered with soil including the drill row ends
- Empty all units at the end of the drilling season and importantly, before moving to seeds which have not been treated with Cruiser
- Records must be kept of the fields sown with 'Cruiser SB' treated seed for a minimum or 3 years.

Herbicides

As part of the Emergency Authorisation growers and industry partners must observe standard best practice, industry-recommended herbicide programmes, applicable only to **in field** weeds. Please adopt the programme recommended by your BASIS-qualified agronomist/adviser and BBRO guidance contained in Advisory Bulletins and the BBRO Reference Book. Also ensure that all weed beet and bolters are adequately controlled to prevent any following that may attract insects.

Insecticides

- The threshold for foliar insecticide applications is 1 green wingless aphid per 4 plants up to the 12-leaf stage (or 5 aphids per 20 plants).
- Between 12-16 leaves the treatment threshold is 1 green wingless aphid per plant.

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 Foliar sprays should be applied as soon as the above thresholds are met and not delayed. The current available authorised foliar sprays are one application of flonicamid (either 'Teppeki' (MAPP 12402) or 'Afinto' (MAPP 19622)), and one application of 'Insyst' containing the neonicotinoid acetamiprid (MAPP 13414).

Seed rate and optimum plant populations

The crop is referred to as established once it reaches the 6-leaf stage. Most sugar beet is drilled using 50cm or 45cm row widths. The ideal row spacing is 16cm but use your predicted establishment to choose the required seed spacing for your establishment conditions. Please be aware the maximum seed rate you can go to when using Cruiser SB treated seed, under the terms of the Emergency Authorisation, is 1.15 units/Ha. Any seed rates above 1.15 units/ha required to establish robust plant populations, can only be made up with seed that has <u>NOT</u> been treated with 'Cruiser SB'.

The following-crop restrictions apply for subsequent crops planted on the same area of land as Cruiser SB sugar beet drilled in 2023.

- Any crop excluded from the below table should be considered 'restricted' i.e. a minimum of 32 months from drilling of Sugar Beet.
- The 32-month restriction applies to those agri-environment options that allow flowers to grow or appear on the same ground on which Cruiser SB treated seed was sown in 2023.
- Non-restricted Restricted Rules No restrictions following Sugar Beet A minimum of 32 months from drilling of Sugar Beet 23. Oilseed Rape Crops 1. Wheat (including Durum Wheat) 2. Barley 24. Linseed 3. Millet 25. Mustard 4. Sorghum 26. Soya Bean 5. Oat 27. Pea 28. Bean 6. Maize / Corn 7. Rye 29. Buckwheat 8. Triticale 30. Clover 9. Canary seed 31. Phacelia 10. Spelt 32. Chicory 11. Potato 33. Radish 12. Cabbage 34. Vetch 13. Kale 35. False Flax 14. Swede 36. Lucerne 15. Lettuce / Babyleaf / Spinach 37. Sunflower 16. Onions 38. Borage 17. Leeks 39. Sainfoin 18. Carrots 40. Nyger 19. Parsnips 41. Lupins 20. Cauliflower 21. Broccoli 22. Turnip
- Cover crops (including mixes) must also follow the 32-month restrictions.

No further use of thiamethoxam seed treatments (including any re-drilling of treated sugar beet if crop lost due to wind blow or capping) on the same field area for 46 months from the date of sowing treated sugar beet seed in 2023 – a requirement of the Cruiser SB EA. This is to minimise the risk of any residues being acquired by succeeding bee-attractive crops or weeds and hence exposing bees and/or other pollinators to the neonicotinoid seed treatment.

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Annex 2 - 2023 Neonicotinoid Residue Monitoring Protocol

Background

If the sugar beet industry is granted an Emergency Authorisation for the use of a neonicotinoid seed treatment (Formulated product 'Cruiser', containing the active ingredient thiamethoxam) on sugar beet grown in the UK under contract to British Sugar in 2023, treated seed will only be available for use where the Rothamsted Virus Yellows Risk Forecast model predicts a high risk and the economic threshold being met. Once treated seed is drilled several other criteria will be met including a programme of monitoring in soil and vegetation for neonicotinoid residues. Potential issues include the build-up of residues in the soil profile as a result of the relative persistence of the compounds, migration of residues from the area of use, and translocation to non-target flowering plants that could be a source of food for bees.

Objectives of the study

To provide robust data on thiamethoxam residues in soil and non-crop vegetation to support the continued use of neonicotinoid seed treatments if required by the sugar beet industry until more sustainable solutions are available.

A targeted soil monitoring programme would need to establish a baseline preceding drilling of treated sugar beet seed, with monitoring extending to post harvest, and through the following crop due to the reported persistence of neonicotinoids. Vegetation sampling should also be conducted e.g. from field margins.

The <u>OECD guidance document</u> for conducting pesticide terrestrial field dissipation studies and for determination of vegetative residues (applicable to studies destined for submission to regulatory authorities) suggest the number of individual trials to be undertaken (per region) for determination of soil residues should be 4 to 6, and in vegetation 6 to 10.

Considerations

- Six sites will be selected for monitoring
- Representative of soil type (BBRO data suggests roughly 60% cropping occurs on sandy soils, 30% on clay soils, and 10% on silty soils)
- Geographical location
- · Climatic conditions e.g. low/high rainfall areas
- Number of repeat samples
- · A full pesticide use history (5 years) of the selected sites must be available

Sampling

- The OECD guidance for TFD studies mentioned above will be followed to ensure sufficient replication in sampling. For each site, and on each sampling occasion, 15 soil cores will be taken in-field and edge of field (outside of the cropped area) to give replicated bulk samples (N=3) at each of 2 depths (0-20, 20-40 cm). This regime will generate 12 samples (6 in-field, 6 edge of field) for each trial site, and a total of 72 soil samples per sampling occasion.
- It is suggested that a minimum of 3 sampling occasions be considered, e.g., before drilling (baseline), during the growing season, and post-harvest. This would generate a total of 216 soil samples for analysis.

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- In addition to the soil sampling regime, samples of field margin vegetation (outside of the cropped area) will be taken from each of the field sites on two occasions, firstly when the majority of plants are in flower, and secondly in the Autumn in advance of harvest. At each site/sampling occasion three samples will be taken and analysed for neonicotinoid residues (whole of plant), giving a total of 36 samples. In advance of analysis, plant species and abundance within the sample will be identified and documented.
- The sampling, as described, will be carried out following Good laboratory Practice (GLP)
 practices and principles, although GLP will not be claimed for this phase.

Analysis

 Soil and vegetation residue analysis will be carried out by an appropriate laboratory operating to GLP.

Reporting

Interim data will be provided to the Stewardship Group after each sampling occasion.

Sampling time	Data	Analysis Due Date
Mid-late March	Pre-drilling soil sample (baseline)	End July*
End June	Within-season soil sample @ GS39	End July
End June	Within-season flowering vegetation sample	End July
End June	Within-season pollen sample (defra-funded TBC)	End August
Early Sept	Pre-harvest vegetation sample	End Sept
Early Sept	Pre-harvest pollen sample (defra-funded TBC)	End Oct
Late Oct-Nov	Post-harvest soil sample	End Nov
Late Oct-Nov	Post-harvest pollen sample (defra-funded TBC)	Mid-Dec

 A final report will be provided to the Stewardship Group following analysis of the final set of samples, with a target date of 30 December 2023.

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Annex 3 – BBRO Knowledge Exchange Plan for 2023

Activity	Jan	Feb early	Feb late	Mar early	Mar late	Apr	May
Advisory Bulletin	Hygeine, clear spoil	Hygeine	Beneficials	VY forecast	Drilling	Crop monitoring	Crop monitoring
Reference Book		Expanded VY info					
Beet Review	VY model, VY 2022 review, key targets for 2023						VY 2023 forecast, aphid control
BeetCast		Seedbed prep		VY forecast			
BeetTech Growers live		Operation Emerald, key VY take- homes, future VY solutions					
Agronomist mtgs live			Operation Emerald, key VY take- homes, future VY solutions				
Website				Cruiser FAQ, VY forecast, beneficials			
Social Media		Hygeine, destroy cover crops	Beneficials	seed bed prep	Stewardship & crop restrictions	crop monitoring	spray thresholds
Brilliant Basics		Hygeine		seed bed prep	seed placement		

For the latest information keep checking the BBRO website: www.bbro.co.uk

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Appendix 4: 2022 Weather maps

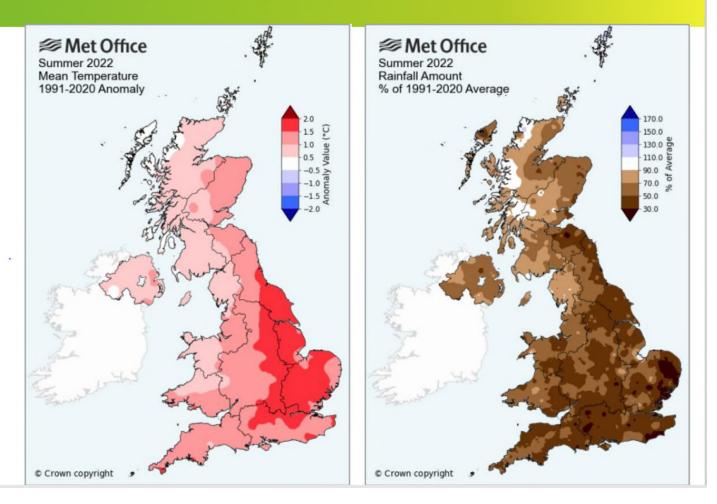
Summer 2022 - Warmer and dryer than average

Eastern beet growing area had less than 30% of avg rainfall Most growing areas <50% rainfall

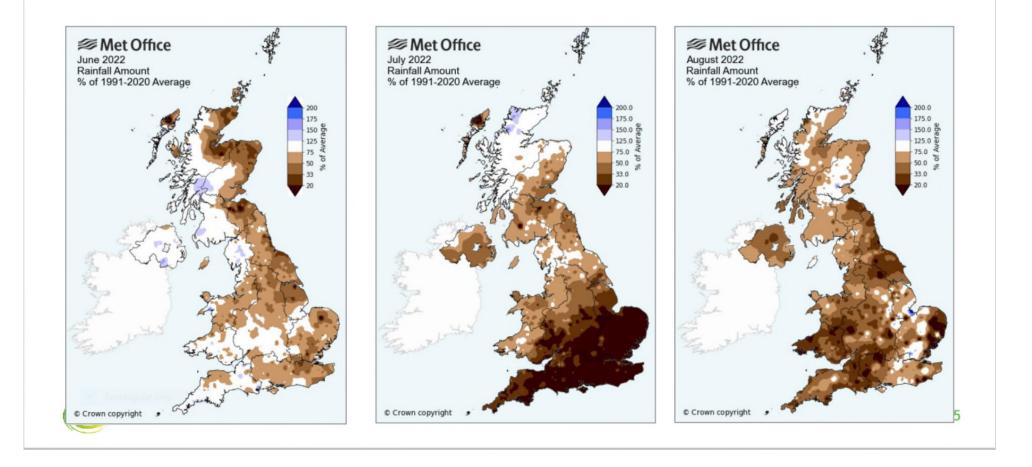
Drought impact across the growing area could depress yields by up to 50% in areas

Possible 15% impact on average

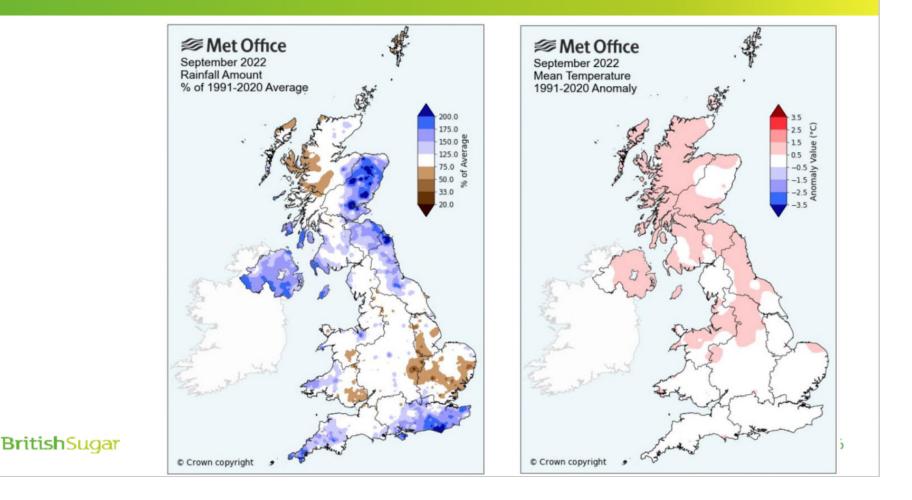




2022 Summer Rainfall vs avg



2022 September - Closer to average rainfall and temperatures



Appendix 5: Virus yellows analysis 2022

Embedded below is [an updated] powerpoint presentation presenting an analysis of the virus yellows position at the end of October 2022 [corrected 22 November 2022]. It is followed by a more detailed HSE narrative of the position than that given in section 1.4 above, which uses these slides and the BBRO published advisory bulletins from throughout 2022.



analysis seed treatm

Drilling

The target date for the commencement of drilling sugar beet is the 1st March. Conditions before this date are usually too cold and wet and therefore unsuitable for the establishment of the crop however in some years conditions can be suitable for drilling before this date.

Over the past ten years drilling has started between the 28th February and mid-March and is usually completed during April with the current 5 year average dates for drilling being from 1st March to end of April with the mid-point falling in the beginning of the third week of March.

Conditions experienced during the drilling season can also impact on the drilling progress. The shortest season was that for 2012 which started 28th February and was completed by beginning of April and 85% drilled before the middle of March.

The longest drilling season occurred in 2018 when drilling commenced 7th March and was completed by 2nd May. In that year only 10% of the crop had been drilled by 4th April but rapidly increased to 60% by 11th April slowing slightly to over 90% by 25th April.

For 2022, drilling started comparatively late around the 15th March and after a slow start was finished by 18th April with seed being drilled in ideal conditions.

Drilling started late in 2022 due to delays in 'Cruiser SB' treated seed being delivered to farm between the 7th and 21st March. This delay in seed availability would explain the slow start to the drilling but ideal conditions allowed drilling to be completed relatively early. No specific information is available of when seed not treated with 'Cruiser SB' was delivered to farm or when drilling commenced.

Crop Development – to 12 true leaves

As crops develop, they begin to acquire mature plant resistance (MPR) to virus transmission. From about the 12-leaf stage, aphid multiplication is gradually reduced therefore reducing the further spread of virus both within and between crops. This 12-leaf stage is reached approximately 50-60 days after emergence and when the crop is at about 50% crop cover. However, this depends considerably on variety growth habit and specific growing conditions such as temperatures and soil moisture and is difficult to predict with any level of accuracy.

As a guide and using average seedbed and growing conditions, a crop drilled in the last week of March should reach the 12-leaf stage in late May/early June. At the application rate authorised under the 2022 Article 53, 'Cruiser SB' would provide at least 10 weeks of protection against aphids and this 10-week period will be close to elapsing at this stage. It is therefore likely that in some situations, crops will still be at a more susceptible stage and will require continued aphid monitoring for threshold exceedances and potential additional foliar insecticide protection.

Information has been provided on crop development progress up to the 6 true leaves for the past 10 years including 2022. No data are available for development to 12 true leaves.

In 2011 the 6 true leaves stage was first recorded being reached at the beginning of April with 95% of the national crop reaching this stage by 20th May. This was the year in which the earliest 6 true leaf stage was recorded. The latest year was 2021 with 6 true leaves first recorded 2nd May and 90% by 5th June. The 5 year average starts 18th April, with 95% of the crop reaching 6 true leaves by 5th June. By comparison, in 2022 6 true leaves was first recorded on 2nd May with 95% of the crop reaching this growth stage by 28th May. However, the Advisory Bulletins which are published by BBRO during the season report crops reaching the 16 leaf stage by 1st June with most at the 8 leaf stage, reaching 12 leaves by 9th June and 16 leaves by 15th June.

Some areas required re-drilling due to crop loss caused by wind blow. These re-sown areas caused some fields to have a wide range of growth stages, in some cases from 2 leaves to 12 leaves. However, this discussion centres on the average for the National crop rather than considering exceptions. Additionally, in line with the stewardship requirements, any re-drilling would/should have been done with seed not treated with 'Cruiser SB'.

Virus Prediction

The Rothamsted virus model predicted a level of 68% incidence in 2022 in the absence of any control options being employed. This was the 8th highest predicted level since 1974 (2020 was the highest at 82%). Virus assessments were conducted during the season and are discussed below.

Aphids

The Rothamsted prediction of early aphid migration on 19th April, proved accurate with the first aphids actually being recorded on the 18th April.

Monitoring on 27 non-cruiser treated sites recorded green wingless aphids on 18th April, reaching a peak of 32 green wingless aphids 21st-27th May before reducing to 2-3 wingless aphids by 4th–10th June then 0 by 25th June.

Monitoring of 7 'Cruiser SB' treated sites recorded the first green wingless aphids around the 7th May, increasing to approximately 3 by 14th May, increasing again from 4th June to a peak of 9 by the 18th June dropping to 2 green wingless aphids by 25th June.

Rainfall

2022 saw many regions in the UK experiencing drought conditions and record temperatures. Rainfall summary data have been presented to compare 2022 (up to 22nd October) with the wettest (1872), 5th wettest (2000), 5th driest (1840) and driest (1921) years experienced and recorded in East Anglia along with the average between 1991 and 2020.

The rainfall recorded for 2022 was below the average for the whole duration and dropped below the 5th driest rainfall from mid-July. The Met office reported East Anglia receiving less than 20% of the average rainfall in July.

During the crop establishment phase from mid-March to early June, (accumulative) rainfall increased from 100mm to 160mm (approximately) when the average was from 120mm to 250mm.

Over the period from 1st January to 22nd October for 2022, East Anglia recorded a total of 300 mm of rain, when in an average year it would be 480mm at the same point.

The driest year on record was 270mm at that point and the wettest 700mm.

These data emphasise just how dry the 2022 growing season has been.

Cruiser SB vs Non-Cruiser SB treated seed

2022 saw 87,300 ha of sugar beet drilled, 71% (62,000 ha) with 'Cruiser SB' treated seed and 29% (25,300) which wasn't treated with 'Cruiser SB'. There were some regional differences between the four sugar beet growing regions of Bury St Edmunds, Wissington (South of Kings Lynn), Newark and Cantley (South East of Norwich).

Bury, Newark and Wissington saw 70%, 75% or 80% of seed treated with 'Cruiser SB' whereas in the Cantley region only 40% of seed was 'Cruiser SB' treated. Historically this region has seen lower levels of virus and thus it is assumed that the majority of growers did not see a need for treatment with 'Cruiser SB'.

Foliar Sprays

Information from the BBRO National Crop Survey provides an estimation of usage of foliar sprays on the National crop which are summarised below.

Seed treatment	% of National Crop Area	Area	No of Sprays - %national crop treated	Area treated	% of area treated with Cruiser SB
Cruiser SB	71%	62000	0 - 28%	24444	39.5%
			1 - 42%	36666	59%
			2 - 1%	873	1.5%
Seed Treatment	% of National Crop Area	Area	No of Sprays - % national crop treated	Area treated	% of area not treated with Cruiser SB
Non-Cruiser	29%	25300	0 – 3%	2619	10.4
-					
			1 – 9%	7857	31.2%
			<u>1 – 9%</u> 2 – 15%	7857 13095	31.2% 51.9%

These data suggest that most growers are content with the protection offered by two insecticide treatments whether they be two foliar or 'Cruiser SB' seed treatment plus a foliar.

These data also show that the use of the seed treatment reduces the need for a follow up foliar treatment.

British sugar submitted amended data post-December ECP submission concerning the areas of sugar beet either treated on not treated with Cruiser SB and subsequent foliar sprays. These data alter the figures in the final column of the table above as follows:

Of the 62,000 ha of crop grown from seed treated with 'Cruiser SB': 58% had no foliar applications 42% received a single follow up foliar treatment and 0% received a second foliar treatment

Of the 25,300 ha of crop grown from seed not treated with 'Cruiser SB': 24% had no foliar applications 34.5% received a single foliar application 38% received two foliar treatments and 3.5% received three foliar treatments

There was some regional variation evidenced.

No 'Cruiser SB' treated seed sown in Bury, Cantley and Newark received a second spray however some crop in the Wissington region did receive a second spray.

Some Non-Cruiser SB seed sown in Bury and Cantly received 3 sprays but a third spray was not applied in Newark or Wissington regions.

Virus Yellows Assessments

VY assessments were conducted early in the season in July and also later, in September. The early assessment would reflect primary infection with the September assessment for secondary infection.

In July, 99% of the crop treated with 'Cruiser SB' showed no or little (<5%) infection compared to the non-'Cruiser SB' treated seed where 89% of the crop showed no or little (<5%) infection. However of the 'Cruiser SB' treated seed 82% showed no infection compared with 4% showing no infection for non-'Cruiser SB' treated crops.

July	No/minimal infection	<5%	<10%	>10%
Cruiser SB	82	18	1	0
Non-Cruiser SB	4	85	6	4

The situation in September shows comparable levels for both 'Cruiser SB' and non –'Cruiser SB' treated crops with 77% of the 'Cruiser SB' treated crop showing no or little (<5%) infection compared with the non-Cruiser treated crop where 69% showed no or little (<5%) infection. However there appeared to be a difference at the >10% infection level.

September	No/minimal infection	<5%	<10%	>10%
Cruiser SB	33	44	13	9
Non-Cruiser SB	32	37	8	23

BBRO have declared the September data set to be poor due to the 2022 season. For example, 37% of the leaves thought to have virus present were assessed and found not to be infected. This figure is around "5%" in a normal year.

Yield

No yield data are available.

Appendix 6 Glossary

General

Contortai	
ACP	Advisory Committee on Pesticides
DAR	Draft assessment report
EC	European Commission
ECP	Expert Committee on Pesticides
EFSA	European Food Safety Authority
EU	European Union
GAP	Good Agricultural Practice
MS	Member state

Non-dietary Human Exposure

AOEL	Acceptable Operator Exposure Level	
PPE	Personal Protective Equipment	

Residues

TTC	Threshold of toxicological concern
NEDI	National estimate of dietary intake
IEDI	International estimated daily intake
ADI	Acceptable daily intake
ARfD	Acute reference dose
MRL	Maximum residue level
RO	EFSA Reasoned Opinion

Environmental Fate and Behaviour

PEC	Predicted Environmental Concentration
PECSOIL	Predicted Environmental Concentration in soil
PNEC	Predicted No Effect Concentration
DT50 /DT90	Degradation time for 50 % or 90 % of substance to degrade.
PECSW	Predicted Environmental Concentration in surface water
PECSED	Predicted Environmental Concentration in sediment
PECGW	Predicted Environmental Concentration in ground water
Ра	Pascal
1/n	Freundlich exponent
LogPow	Octanol/water partition coefficient

Ecotoxicology

Effect concentration for 50% of the test population
Lethal concentration for 50% of test population
No Observed Effect Concentration
Lowest Observed Effect Concentration
No Observed Effect Daily Dose
Lowest Observed Effect Daily Dose
Hazardous concentration for 5% of species
species sensitivity distribution
Exposure Toxicity Ratio
Toxicity/exposure ratio

Appendix 7 ECP Advice Note

ECP ADVICE TO GOVERNMENT: USE OF 'CRUISER SB' ON SUGAR BEET

Issue

1. The Government has received an application for an emergency authorisation for the use of 'Cruiser SB' (containing thiamethoxam) for use as a seed treatment on sugar beet.

Action required

- 2. The Committee is requested to consider newly presented information and advise on:
 - How this impacts on the previous ECP advice regarding the risk to bees, including pollinator behaviour, if 'Cruiser SB' were to be used in 2023?
 - Whether there are any agronomic factors (for example, market conditions, availability/unavailability of alternatives), which might influence the case or need as part of the decision-making process?
 - Whether there are any additional restrictions or monitoring which could be practically implemented to mitigate any unacceptable risks identified or inform any future application?

Discussion

3. The Committee noted that:

- This is the third consecutive application for this proposed use.
- The environmental risk assessment indicated an acceptable risk to birds, mammals, aquatic life, non-target arthropods, soil macro-invertebrates, soil processes and non-target terrestrial plants.
- The risks to birds from consuming treated seeds had not been demonstrated to be acceptable. However, consumption of pelleted seeds is considered an unlikely route of exposure.
- The new chronic honey-bee toxicity study for thiamethoxam has enabled risks to honey-bees foraging in adjacent crops and field margins, to be demonstrated as low. The predicted exposure levels for honey-bees foraging in crops grown in fields where sugar beet seeds were previously drilled (the succeeding crop scenario), are similar to levels where mortality was observed in toxicity studies.
- In light of the risk assessment conducted, a reduction in survival of honey-bees and impacts on homing flight ability (which also influences survival of foragers) could occur following use 'Cruiser SB' on sugar beet.
- Surface water monitoring from catchment sensitive farming sites shows higher concentrations of clothianidin than thiamethoxam when 'Cruiser SB' has been used. However, as expected, overall, the concentration levels are much lower

than was the case when thiamethoxam and clothianidin were authorised for use on a range of higher acreage crops because the fraction of the monitored catchments receiving these products is likely to be lower now than in the past.

- There are different risks associated with using neonicotinoid seed treatments and foliar sprays containing other active substances to control pests in sugar beet crops. Currently authorised foliar spray products have passed all the relevant risk assessments and thus are an acceptable alternative, at least in principle.
- 4. The Committee *agreed* with HSE's evaluation that:
 - Based on the information currently available, it is considered that the potential adverse effects to honey-bees and other pollinators cannot be excluded to a satisfactory level if an authorisation were to be granted and this outweighs any likely benefits.
 - The requirements for emergency authorisation have not been met.
- 5. The Committee *advised* that:
 - Overall, the new evidence does not alter previous advice because landscape effects will be driven by chronic impacts on pollinators, such as behavioural changes, rather than direct mortality. Members also noted that the ECP views took into consideration effects on all pollinators not just honey-bees.
 - The applicants have submitted evidence from one field study that has been assessed by HSE, however, it is not the only field study published and there is scope for a meta-analysis of these studies.
 - Additional data and information on impacts of thiamethoxam and clothianidin on homing and behaviour is available in published literature, which could be considered and integrated into the chronic risk assessment.
 - The monitoring data do not directly show that use of 'Cruiser SB' is causing concern for water. However, these data are collected at the catchment scale, rather than at edge-of-field. Concentrations are likely to have been diluted by drainage from untreated parts of the catchment. To understand the dilution effect on the surface water data, it would be useful to understand how much of a catchment is being treated and what local effects this is having (e.g. via monitoring headwater streams containing treated fields).
 - It was also noted that the samples in the monitoring data are only from part of the year when there has been exceptionally low rainfall. More data over the remainder of the year and into next season will be beneficial to understanding whether use is causing detection frequency and peak concentrations to rise.
 - The monitoring data on soil do show some detections at the field margin. The interpretation of the data is hampered by rather high detection limits (>10 fold higher than other reported limit of detection values). There are insufficient data available to conclude on the risk of transfer of the active (and major metabolites) to plants. However, as these are only interim data, the data can be re-

examined when the full report is available. Continued monitoring in this area is required to allow meaningful conclusions to be reached. However, improving analytical methods to lower limits of quantitation would be useful, if possible.

- The lack of yield data prevents effective interpretation of the seed/foliar treatment breakdown data. It could be possible, using maximum likelihood statistical methods to estimate the contribution of each control option to eventual yield if these data were available. Robust yield data may more suitably be derived from specific studies rather than within the complex co-factors inevitable if relying on monitoring data.
- The inclusion of yield data and an appropriate statistical analysis of treatment effects would be useful to inform any future applications. Alongside this, updates on projects looking for alternatives, specifically resistant varieties, would be useful to understand future options.
- The committee was not aware of any agronomic factors (including market conditions, availability/unavailability of alternatives), that alter the case from their previous assessments.
- It would be beneficial to have an assessment of the quantity of active substance deployed into the environment as part of the suite of information used to help determine whether the benefits from insecticide use outweigh the environmental risks.

Conclusion

On the basis of the evidence presented to ECP, the Committee agreed it supports the CRD assessment and that it is unable to support an emergency authorisation under Article 53 of Regulation 1107/2009, as potential adverse effects to honeybees and other pollinators outweigh the likely benefits.