



Animal &
Plant Health
Agency

United Kingdom Variety Lists / Plant Breeder's Rights Technical Protocol for Official Examination of Distinctness, Uniformity and Stability (DUS)

Shallot

Allium cepa (Aggregatum Group)

December 2022

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Section A - General Information

1 Purpose

1.1 This Protocol sets out the procedures for conducting tests and assessments in relation to official examinations of DUS and maintenance of reference stocks of varieties of Shallot (see Appendix 2 for differentiation from Onion and Echalion) entered for Variety List (VL) Trials and Plant Breeders' Rights (PBR) tests.

2 Scope

2.1 These procedures apply to all varieties of Shallot (*Allium cepa* (Aggregatum Group)). Special procedures and responsibilities for Genetically Modified (GM) varieties are set out in Sections A5 and A6.

2.2 Except where specified in this protocol or authorised by the Plant Variety Rights Office for the UK, Animal and Plant Health Agency (APHA); only Variety List candidates, Plant Breeders' Rights candidates, candidates for Foreign Authorities and the reference varieties may be incorporated in the DUS tests.

3 Responsibilities

3.1 The growing tests and assessments in this protocol are carried out under the responsibility of the Secretary of State for Environment, Food and Rural Affairs, Scottish Ministers, Welsh Ministers and the Minister for Agriculture, Environment and Rural Affairs in Northern Ireland (the National Authorities).

3.2 They are supervised, on behalf of the National Authorities, by officials of the Testing Authorities: APHA; the Scottish Government (SG); the Department of Agriculture, Environment and Rural Affairs (DAERA); and the Welsh Government (WG).

3.3 This protocol is authorised by the Plant Variety and Seeds Committee (PVSC). It cannot be amended without its approval. Requests and suggestions for amendment of the protocol should be put in writing to APHA or the Test Centre.

3.4 The procedures are administered by:

Plant Variety Rights Office for the UK

Animal and Plant Health Agency

Eastbrook

Shaftesbury Road

Cambridge

CB2 8DR

Email: pvs.helpdesk@apha.gov.uk

3.5 Test Centre

The DUS growing tests and assessments in this protocol are co-ordinated and carried out by the:

Vegetable DUS Test Centre

SASA

Roddinglaw Road

Edinburgh

Tel No 0131-244 8890

EH12 9FJ

Fax No 0131-244 8940

3.6 The Test Centre is responsible for providing the appropriate facilities.

4 Non-Compliance with the Protocol

4.1 Where the protocol uses the word “must” for any action then failure to carry out this action will result in non-compliance. Where non-compliance occurs or there are concerns regarding the validity of any data or tests this must be reported to APHA. Where this protocol uses the word “should” for any action this is the method to be followed unless there are clear reasons which can be justified by the Test Centre as technically sound.

5 Responsibility for GM Releases

5.1 GM Release Consent Holders are responsible for GM releases. All parties involved in DUS work operating under a GM Release Consent must adhere to the instructions of the Release Consent Holder where necessary, to comply with the relevant consent conditions. Where DUS protocol non-compliance occurs, this must be reported to the consent holder and the Test Centre who will notify APHA.

6 Procedures for GM Varieties

- 6.1 Applicants intending to enter GM candidates must consult APHA, well in advance of their application, about specific requirements under GM regulations.
- 6.2 The Test Centre must ensure that no test or trial sites are planted with GM candidates and/or varieties until APHA has given the specific clearances.

7 Associated Documents

- 7.1 The following documents are associated with this protocol:

| Reference | Title |
|-----------------------------|--|
| UPOV TG/1/3 | General Introduction to the Examination of Distinctness, Uniformity and Stability and the Development of Harmonised Descriptions of New Varieties of Plants. 19.04.2002. |
| UPOV TGP/8/4 | Trial Design and Techniques Used in the Examination of Distinctness, Uniformity and Stability. 01.11.2019. |
| UPOV TGP/9/2 | Examining Distinctness. 29.11.2015. |
| UPOV TGP/10/2 | Examining Uniformity. 01.11.2019. |
| UPOV TG/46/7 | Guidelines for the Conduct of Tests for Distinctness, Uniformity and Stability, Onion and Echalion, Shallot and Grey Shallot (<i>Allium cepa</i> (Cepa Group), <i>Allium cepa</i> (Aggregatum Group), and <i>Allium oschaninii</i> O. Fedtsch.). 01/04/2009. |
| GB and NI Variety Lists | The Seeds (National Lists of Varieties) Regulations 2001 (as amended) and The Seeds (Variety Lists) Regulations (Northern Ireland) 2020 |
| Plant Varieties Act 1997 | Plant Breeders' Rights Regulations 1998 and Plant Varieties Act 1997 |
| Plant Breeders' Rights 2019 | The Plant Breeders' Rights (Amendment etc.) (EU Exit) Regulations 2019 as amended by The Animal Health, Invasive Alien Species, Plant Breeders' Rights and Seeds (Amendment etc.) (EU Exit) Regulations 2019 and The Plant Breeders' Rights (Amendment) (EU Exit) Regulations 2020 |

Section B - Application Requirements

1 Purpose

1.1 The purpose of this section is to identify the specific requirements for Variety Lists and/or Plant Breeders' Rights applications, as appropriate.

2 Scope

2.1 These procedures apply to all applications.

3 Responsibilities

3.1 The applicants are responsible for ensuring that these procedures are complied with.

4 Receipt of Applications

4.1 The latest date for receipt of applications for Variety List and/or for Plant Breeders' Rights is stated on the GOV website <https://www.gov.uk/national-lists-of-agricultural-and-vegetable-crops>. Applications received after these dates may be considered for inclusion in the current year's tests and trials on a case-by-case basis.

4.2 The procedures for the submission of Variety Listing and Plant Breeders' Rights Applications, Technical Questionnaires (TQ) and for payment of administration fees can be obtained from APHA PVS at the address shown in Section A or on the GOV website at <https://www.gov.uk/national-lists-of-agricultural-and-vegetable-crops>

4.3 Applicants should note in the TQ, submitted with the application, any additional characteristics which may require examinations that are listed in the DUS characteristics section D, 5.2 or 5.3 (an additional fee may be required).

5 Receipt of Seed or Bulblets

5.1 The latest date for receipt of seed is stated in the Seed Gazette and is set administratively by APHA. In the absence of exceptional circumstances, seed submissions received after this date

will be refused. Instructions for the delivery of seed or bulblets will be made available to applicants by APHA (<https://www.gov.uk/national-lists-of-agricultural-and-vegetable-crops>).

6 Seed / Bulblet Quality Requirements

6.1 The seed must satisfy the certification requirements for Basic Seed as laid down in the seed marketing legislation of the Devolved Administrations.

6.2 The seed must not be chemically treated. Seed treatment, where appropriate, will be undertaken by the Test Centre. The chemicals applied and rates of application will be determined by the Test Centre.

6.3 Bulblets must be free of pest and disease and must not have been chemically treated. The shape of bulblets submitted should be representative of the variety to be tested. Care should be taken to submit well formed, high quality, uniform bulblets.

7 Seed / Bulblet Quantity

7.1 First Test cycle

5,000 or 16,000 seeds

or

150 bulblets

7.2 Second Test cycle

11,000 seeds if 5,000 seeds were provided in the first test cycle.

No seed if 16,000 seeds were provided in the first test cycle.

Where a second sample has been provided, it will be authenticated against the original submission. An additional charge will be applied.

7.3 Shortfall in Seed Quantities

Where insufficient seed is available in the first instance a further stock must be supplied in the following year which will be authenticated against the original submission. An additional charge may be applied. This must be agreed in advance with APHA and the test centre.

8 Labelling Requirements, Including Provisions for GM Varieties

8.1 Applicants must clearly label their seed or bulblets samples, inside and outside the bag, with the following information:

- Applicant
- AFP number (if known)
- Breeder's Reference number or name
- Quantity of seed or bulblets

8.2 All packages of GM material must be labelled clearly as "GMO" or "Genetically Modified Organism".

Section C – Growing Test Procedures

1 Purpose

1.1 The purpose of this section is to provide details of the procedures used in the growing tests for DUS analysis.

2 Scope

2.1 These procedures apply to all varieties of Shallot (*Allium cepa* (Aggregatum Group)).

3 Responsibilities

3.1 The Test Centre is responsible for conducting these procedures.

3.2 The Test Centre will be responsible for ensuring that no material supplied to it is used for any other purpose than the conduct of these procedures or the release of reference samples for authorised purposes (See Section E7).

4 Reference Varieties

4.1 The principles governing the selection of reference varieties are set out in Appendix 1.

4.2 Seed of reference varieties will be supplied by the Test Centre. Bulblets will be obtained from existing maintainers as required.

5 Design of Tests

5.1 The DUS Test Centre is responsible for selecting a suitable site which should be on ground that has normally not had an *Allium* crop in the previous five years but may be less where it has been determined the risk is negligible.

5.2 Field husbandry should follow best local practice for all operations and particularly as regards cultivation, drilling, transplanting, fertiliser and spray application, use of irrigation, and control of pests and diseases.

5.3 The minimum duration of tests should normally be two independent growing cycles. The National List and Seeds Committee (NLSC) must be informed on any proposed changes to the number of cycles.

5.4 From information given in the TQ the candidate variety may be grown in plots and compared with varieties which are in the same classification for the following characters:

UPOV grouping characteristics that could be used for grouping:

Seed-propagated varieties only:

Bulb: tendency to split into bulbets (with dry skin around each bulblet) (characteristic 10)

Bulb: splitting into bulblets (with dry skin around each bulblet) (characteristic 11)

Bulb/Bulblet: shape (in longitudinal section) (characteristic 18)

Bulb/Bulblet: basic colour of dry skin (characteristic 23)

Bulb/Bulblet: number of growing points per kg (characteristic 27)

Male sterility (characteristic 36)

Additional grouping character used in the UK:

Long Day Shallots Time of harvest maturity for spring sown trials (foliage fall-over in 80% of plants)

5.5 Varieties known to be clearly different from the candidate on any other discontinuous or continuous characteristic may be excluded from the trial. If this exclusion is based on a characteristic which is not listed in Section D5.1, approval by the NLSC must be sought. See Section F for further information on additional characters.

5.6 The tests are carried out using a grouped design, with a plot of each candidate variety present in each replicate as follows:

Seed-propagated Long Day Shallot

| | |
|-------------------------|------|
| Number of replications | 3 |
| Number of rows per plot | 3 |
| Spacing between rows | 0.3m |

| | |
|--------------------------------|-----------------|
| Plot length | 4m |
| Number of plants per replicate | approx. 75 |
| Plant spacing | 0.15m (approx.) |

Vegetatively propagated Long Day Shallot

| | |
|---------------------------------|-----------------|
| Number of replications | 2 |
| Number of rows per plot | 3 |
| Spacing between rows | 0.3m |
| Plot length | 4m |
| Number of bulbils per replicate | approx. 75 |
| Plant spacing | 0.15m (approx.) |

Groups are randomised and varieties are randomised within groups.

- 5.7 Seed of seed-propagated Long Day Shallots is sown in the glasshouse in mid-March. Seedlings are transplanted into the field between mid-April and mid-May, according to a plan produced by the Test Centre. Varieties are coded by the Test Centre.
- 5.8 Bulblets of vegetatively propagated Long Day Shallots are transplanted into the field between mid-April and mid-May, according to a plan produced by the Test Centre. Varieties are coded by the Test Centre.
- 5.9 Any candidate with distinctness problems in the first test cycle may be grown side by side with their close controls in the second or third test cycles.
- 5.10 Recordings are taken on each trial from approximately 18 weeks after sowing until harvest maturity stage. Characters recorded are listed in Section D.

6 Records and Recording

- 6.1 All records and plot data should be in a form determined and validated by the Test Centre.

6.2 Characters, recording details and instructions are given in Section D. Any variant and abnormal plants or plants resulting from an adverse reaction to husbandry practice are noted but excluded from analysis.

6.3 In the first recording year, characters, as indicated in Section D 5.2, are recorded on all candidates and their close controls. The data for measured characters are analysed and used to determine the most similar reference varieties and assess uniformity of the candidate. (For details see Section G).

6.4 In the second recording cycle, characters, as indicated in Section D 5.2, are recorded on all candidates and their close controls. The data for measured characters are analysed and, together with those from the first recording cycle, used to determine the most similar reference varieties and assess the uniformity of the candidate. (For details see Section G).

6.5 If a third test cycle is necessary, characters, as indicated in Section D 5.2, are recorded on all candidates and their close controls. The data for measured characters are analysed and, together with those from the first and second test cycles, are used to determine the most similar reference varieties and assess the uniformity of the candidate. (For details see Section G).

If the Test Centre notices unusual or novel characters in a candidate, a note must be made of these at any time and a photographic record made.

7 Communication with the Applicant

7.1 The Test Centre will notify the applicant or the agent of any DUS problems at the earliest practical opportunity as they arise during the test. All such notifications must be copied to APHA.

7.2 In the case of distinctness problems, if confidentiality considerations allow, the applicant should be informed which variety is not distinct and be invited to submit any information which may help to distinguish them.

7.3 If DUS problems arise, applicants will be invited to visit the DUS tests by arrangement so that the material can be examined (if appropriate) and discussions held with the Test Centre.

7.4 After each recording cycle the results are summarised and reported to the applicant and APHA by the Test Centre.

Section D - Summary of DUS Characteristics to be Assessed, Method of Assessment and Standards Applied

1 Purpose

1.1 The purpose of this section is to summarise the characteristics to be assessed.

2 Scope

2.1 This section summarises characteristics, states of expression, methods of observation and standards required for DUS assessment.

3 Responsibilities

3.1 The Test Centre is responsible for co-ordinating the procedures in this summary of characteristics.

4 Organisation

4.1 The minimum duration of tests to assess characteristics is normally two independent growing cycles. Shorter durations may be applied for assessment of additional characteristics. Proposed changes to the number of growing cycles must be approved by the NLSC.

5 DUS Characteristics to be Assessed

5.1 Routine Characteristics

The following table summarises the DUS characteristics to be routinely examined.

Note:

* a characteristic which must be examined according to UPOV Guidelines.

G a grouping characteristic

Type of observation of characteristics:

MG Single measurement of a group of plants or parts of plants

MS Measurement of a number of individual plants or parts of plants

VG Visual assessment by a single observation of a group of plants or parts of plants

VS Visual assessment by observation of individual plants or parts of plants

5.2 Shallot Characteristics Routinely Recorded in DUS Tests

| UPOV TG/46/7 2008 | UK | Character | Material examined | Number of plants or sample size for assessment | Method of assessment and recording | States of expression | D Method and Minimum distance required | U Method and Standard Applied |
|-------------------------|-----|---|----------------------|---|--|--|--|-------------------------------------|
| * 1 QN VG | 21 | Plant: number of leaves per pseudostem | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score or a single value derived from a count on a sample of single plants | 3 = few 5 = medium 7 = many | Clear visual difference or 2 states | Visual Assessment |
| * 2 QN VG | 35 | Foliage: attitude | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = erect 2 = erect to semi-erect 3 = semi-erect 4 = semi-erect to horizontal 5 = horizontal | Clear visual difference or 2 states | Visual Assessment |
| * 3 QN VG | 33 | Foliage: waxiness | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = absent or very weak 3 = weak 5 = medium 7 = strong 9 = very strong | Clear visual difference or 2 states | Visual Assessment |
| * 4 QN VG | *31 | Foliage: intensity of green colour | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = very light 3 = light 5 = medium 7 = dark | Clear visual difference or 2 states | Visual Assessment |
| 5 QN VG | 73 | Foliage: cranking | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = absent or weak 2 = intermediate 3 = strong | Clear visual difference or 2 states | Visual Assessment |

| UPOV TG/46/7 2008 | UK | Character | Material examined | Number of plants or sample size for assessment | Method of assessment and recording | States of expression | D Method and Minimum distance required | U Method and Standard Applied |
|-------------------------|-----|---|------------------------------------|---|--|--|---|--|
| 6.2 QN VG/MS | 23 | <u>Shallot varieties only: Leaf: length</u> | DUS plot or single plants | For details of sample sizes see information at end of table | Visual observation or visual score or Measurement of a sample of single plants | 3 = short 5 = medium 7 = long | Clear visual difference or 2 states or COYD @ 5% | Visual Assessment or for measured samples COYU @ 0.1% |
| * 7.2 QN VG | 24 | <u>Shallot varieties only: Leaf: diameter</u> | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score or a single value derived from a count on a sample of single plants | 3 = small 5 = medium 7 = large | Clear visual difference or 2 states | Visual Assessment |
| *10G QN VG | 85 | <u>Seed propagated varieties only: Bulb: Tendency to split into bulblets (with dry skin around each bulblet)</u> | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = absent or very weak 3 = weak 5 = medium 7 = strong 9 = very strong | Clear visual difference or 2 states | Visual Assessment |
| *11G QN VG | 86 | <u>Bulb: degree of splitting into bulblets (with dry skin around each bulblet)</u> | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = absent or very weak 3 = weak 5 = medium 7 = strong 9 = very strong | Clear visual difference or 2 states | Visual Assessment |
| *12.2 QN VG | 59D | <u>Shallot varieties only: Bulblet: size</u> | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 3 = small 5 = medium 7 = large | Clear visual difference or 2 states | Visual Assessment |

| UPOV TG/46/7 2008 | UK | Character | Material examined | Number of plants or sample size for assessment | Method of assessment and recording | States of expression | D Method and Minimum distance required | U Method and Standard Applied |
|--------------------------|----|---|------------------------------------|---|---|--|---|--|
| *13.2 QN VG/MS | 51 | <u>Shallot varieties</u> only: Bulblet: height | DUS plot or single plants | For details of sample sizes see information at end of table | Visual observation or visual score or Measurement of a sample of single plants | 3 = short 5 = medium 7 = tall | Clear visual difference or 2 states or COYD @ 5% | Visual Assessment or for measured samples COYU @ 0.1% |
| *14.2 QN VG/MS | 50 | <u>Shallot varieties</u> only: Bulblet: diameter | DUS plot or single plants | For details of sample sizes see information at end of table | Visual observation or visual score or Measurement of a sample of single plants | 3 = small 5 = medium 7 = large | Clear visual difference or 2 states or COYD @ 5% | Visual Assessment or for measured samples COYU @ 0.1% |
| *15.2 QN VG/MS | 77 | <u>Shallot varieties</u> only: Bulb: ratio height/diameter | DUS plot or single plants | For details of sample sizes see information at end of table | Visual observation or visual score or Derived from single plant measurements for characters 13.2 and 14.2 | 1 = very small 3 = short 5 = medium 7 = large 9 = very large | Clear visual difference or 2 states or COYD @ 5% | Visual Assessment or for measured samples COYU @ 0.1% |
| *16 QN VG | 76 | Bulb/Bulblet: position of maximum diameter | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = towards apex 2 = at middle 3 = towards base | Clear visual difference or 2 states | Visual Assessment |
| 17 QN VG | 78 | Bulb/Bulblet: width of neck | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = very narrow 3 = narrow 5 = medium 7 = broad 9 = very broad | Clear visual difference or 2 states | Visual Assessment |

| UPOV TG/46/7 2008 | UK | Character | Material examined | Number of plants or sample size for assessment | Method of assessment and recording | States of expression | D Method and Minimum distance required | U Method and Standard Applied |
|-------------------------|-----|--|----------------------|---|--|---|--|-------------------------------------|
| *18G PQ VG | *53 | Bulb/Bulblet: shape (in longitudinal section) | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = elliptic 2 = medium ovate 3 = broad elliptic 4 = circular 5 = broad ovate 6 = broad obovate 7 = rhombic 8 = transverse medium elliptic 9 = transverse narrow elliptic | Clear visual difference | Visual Assessment |
| *20 QN VG | 55 | Bulb/Bulblet: shape of root end (as for 18) | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = depressed 2 = flat 3 = round 4 = weakly tapered 5 = strongly tapered | Clear visual difference or 2 states | Visual Assessment |
| 21 QN VG | 79 | Bulb/Bulblet: adherence of dry skin after harvest | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 3 = weak 5 = medium 7 = strong | Clear visual difference or 2 states | Visual Assessment |
| 22 QN VG | 80 | Bulb/Bulblet: thickness of dry skin | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 3 = thin 5 = medium 7 = thick | Clear visual difference or 2 states | Visual Assessment |
| *23G PQ VG | *44 | Bulb/Bulblet: base colour of dry skin | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = white 2 = grey 3 = green 4 = yellow 5 = brown 6 = pink 7 = red | Clear visual difference | Visual Assessment |

| UPOV TG/46/7 2008 | UK | Character | Material examined | Number of plants or sample size for assessment | Method of assessment and recording | States of expression | D Method and Minimum distance required | U Method and Standard Applied |
|-------------------------|----|--|----------------------|---|---|--|--|-------------------------------------|
| *24 QN VG | 81 | Excluding varieties with white dry skin: Bulb/Bulblet: intensity of base colour of dry skin | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 3 = light 5 = medium 7 = dark | Clear visual difference or 2 states | Visual Assessment |
| *25 PQ VG | 82 | Bulb/Bulblet: hue of colour of dry skin (in addition to base colour) | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = absent 2 = greyish 3 = greenish 4 = yellowish 5 = brownish 6 = pinkish 7 = reddish 8 = purplish | Clear visual difference | Visual Assessment |
| *26 PQ VG | 43 | Bulb/Bulblet: coloration of epidermis of fleshy scales | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = absent 2 = greenish 3 = reddish | Clear visual difference or 1 state | Visual Assessment |
| *27G QN MS | 83 | Bulb/Bulblet: number of growing points per kg | Single plants | For details of sample sizes see information at end of table | Counted on a sample of single plants | 1 = very low 3 = low 5 = medium 7 = high 9 = very high | COYD @ 5% | COYU @0.1% |
| *28 QN MG | 84 | Bulb/Bulblet: dry matter content | Single plants | For details of sample sizes see information at end of table | Single measurement on a sample of single plants | 1 = very low 3 = low 5 = medium 7 = high 9 = very high | 2 states | Not assessed |

| UPOV TG/46/7 2008 | UK | Character | Material examined | Number of plants or sample size for assessment | Method of assessment and recording | States of expression | D Method and Minimum distance required | U Method and Standard Applied |
|-------------------------|------|---|----------------------|---|--|---|--|-------------------------------------|
| *34.2 QN MS | *14G | Shallot varieties only: Time of harvest maturity for spring sown trials (foliage fall-over in 80% of plants) | Single plants | For details of sample sizes see information at end of table | Dating on a sample of single plants | 3 = early 5 = medium 7 = late | COYD @ 5% | COYU @0.1% |
| 35 QN MS | 15 | Time of sprouting during storage | Single plants | For details of sample sizes see information at end of table | Dating on a sample of single plants | 3 = early 5 = medium 7 = late | COYD @ 5% | COYU @0.1% |
| *36G QN VG | 71 | Male sterility | DUS plot | For details of sample sizes see information at end of table | Visual observation or visual score | 1 = absent or very weak 2 = weak 3 = strong | 2 states | Visual Assessment |

(a) to be judged on material directly grown from seed

(b) to be judged on material directly grown from submitted bulbs or from replanted bulbs harvested from seed-propagated varieties

Sample sizes for VG/MS characters

For seed-propagated varieties: at least 300, or 60, plants in total from 5 replicates

For vegetatively propagated varieties: at least 100, or 40, plants in total from 2 replications

Sample sizes for VG characters

For seed-propagated varieties: at least 300 plants in total from 5 replicates

For vegetatively propagated varieties: at least 100 plants in total from 2 replications

5.3 Previously Approved Characteristics Routinely Recorded in DUS Tests

The following table summarises the additional characteristics which have been approved by the NLSC and can be examined at the request of the applicant where necessary to establish Distinctness. A fee may be charged for examination of these characteristics as advised by APHA, Plant Varieties and Seeds.

| UPOV | UK | Character | Material examined | Number of plants or sample size for assessment | Method of assessment and recording | States of expression | D Method and Minimum distance required | U Method and Standard Applied |
|------|-----|-----------|-------------------|--|------------------------------------|----------------------|--|-------------------------------|
| N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

5.4 New Additional DUS Characteristics

Applicants can suggest new additional characters on the TQ for testing DUS or after notification by the DUS Test Centre of distinctness problems. (For procedures see Section F).

Section E - Reference Seed Stock Maintenance

1 Purpose

1.1. This section sets out the procedures for reference seed or vegetative material (bulblets) stock maintenance and VCU seed stock authentication (if applicable).

2 Scope

2.1 These procedures apply to all reference collection varieties.

3 Responsibilities

3.1 The Test Centre is responsible for conducting these procedures.

4 Procedures for Reference Seed Stock Maintenance

4.1 The seed sample submitted with the successful or pending application is considered to be the definitive stock of the variety. Subject to meeting the required certification standards a small portion of the seed is sown for observation and measurement. The remainder is stored under controlled and monitored storage conditions as part of the official reference collection.

4.2 If bulblets are required for DUS testing purposes, a sample will be requested from the official maintainer and checked against the official description compiled by the authority responsible for registration or for awarding Plant Breeders' Rights.

4.3 If during the normal tests there is any evidence that a seed stock is deteriorating in storage, or that stocks have low quantity, a request will be made to the maintainer asking for a replacement stock of the variety. This replacement stock must be authenticated, by comparing plots established from the replacement seed with that of the definitive seed, over a maximum of two recording cycles.

4.4 If the replacement seed sample cannot be visually distinguished from the definitive reference stock, it will be accepted as representing the variety. If there are visual differences, the new sample will be recorded, and will be accepted as representing the variety if there are no significant ($P=0.05$) differences in the first recording cycle, or no significant ($P=0.05$) differences over two recording cycles in a COYD analysis (see associated document UPOV TGP/8/1 for details). It may then be accepted as definitive and substituted for the existing definitive stock in the reference collection. These procedures may be modified, where, in the opinion of the technical officer, differences are the result of environmental or cultural factors.

4.5 A replacement sample or an additional replacement sample will be considered sufficiently uniform after one recording cycle, if the level of off-types is the same or less than the number at 1% population standard and 95% acceptance probability, and the standard deviations of the measured characters are not significantly greater at the 0.1% ($P=0.001$) significance level than that of the mean standard deviations of the control varieties. Over 2 years the additional replacement sample will be considered sufficiently uniform if the Combined Over Years Uniformity (COYU) is not significantly greater at the 0.1% ($P=0.001$) significance level than that of the reference varieties. These procedures may be modified, where, in the opinion of the technical officer, differences are the result of environmental or cultural factors.

4.6 In the event of the replacement sample not meeting the required acceptance standards, an additional replacement sample is requested. Plots will be established from any additional replacement seed sample and compared over a maximum of two recording cycles. If the additional replacement sample does not meet the acceptance criteria set out in 4.3, the variety will be deleted from the reference collection and the Variety Lists reviewed.

5 Procedures for the Inclusion of New Common Knowledge Varieties into the Reference Collection

5.1 When a new variety enters into common knowledge, it must be included in the reference collection if seed is available. A request for seed will be sent by the Test Centre to the maintainer of the variety and an official description will be requested from the Testing Authority which registered the variety. If an official description is provided, seed received will be assumed to be definitive if the seed conforms to the official description. Small differences in the expression of quantitative characters are likely to be the result of recording in a different environment and will be considered as conforming to the description. If no official description is available, seed will be assumed to be definitive.

5.2 If the seed does not conform to the official description, a request for definitive seed will be sent to the Testing Authority that added the variety to its Variety List or granted Plant Breeders' Rights. This seed will then be used to validate the sample of seed from the maintainer. The standards for this validation will be as for authentication of replacement seed (see E4).

6 Release of Reference Samples for Authorised Purposes

6.1 Seed of reference samples can be supplied by the Test Centre, on request, to UK and UPOV DUS Testing Authorities and UK and OECD Seed Certification Agencies, provided the recipient is notified in writing that this material, or any material derived from it, must not be supplied to a third party or used for any other purpose than as a reference for official DUS testing or seed certification.

6.2 Provision of reference samples, other than in 6.1, to any other parties must be authorised by APHA.

Section F- Procedures for Assessment of New Additional DUS Characters

1 Purpose

1.1 This section sets out the procedures for assessment of new additional DUS characters for varieties of Shallot entered for Variety Listing and/or Plant Breeders Rights trials.

2 Scope

2.1 These procedures apply to applications where additional DUS characteristics which have not previously been approved by the NLSC are requested for use in the examination of DUS.

3 Responsibilities

3.1 The Test Centre is responsible for liaising with the applicant to produce a proposed procedure for the conduct of new tests. This procedure must ensure that Distinctness, Uniformity and Stability requirements will be met.

3.2 All new additional characteristics must be authorised by the NLSC in consultation with the PVSC.

4 Reference Varieties

4.1 The reference varieties must include varieties from which the candidate variety is not distinct, as well as other varieties for control purposes.

4.2 Seed of reference varieties will be supplied by the Test Centre.

5 Procedures

- 5.1 Details of the proposed special test or assessments will be submitted to the NLSC.
- 5.2 The NLSC may commission a test or trial to further investigate a proposal. The applicant will be advised by APHA of arrangements and costs.
- 5.3 Where the test for a character is approved by the NLSC it should be subsequently listed in Section D 5.2 or 5.3 as appropriate.

Section G - Procedures for DUS Decisions

1 Purpose

1.1 This section sets out the standards to assess distinctiveness, uniformity and stability on varieties of Shallot.

2 Scope

2.1 These procedures apply to all varieties of Shallot (*Allium cepa* (Aggregatum Group)) entered for Variety Listing and/or Plant Breeders' Rights tests and those being tested for Foreign Authorities.

3 Responsibilities

3.1 The Test Centre is responsible for applying the criteria for DUS, set out in this procedure.

3.2 The Test Centre is responsible for producing the DUS report in accordance with these procedures and for ensuring that they are in accordance with UPOV guidelines.

4 Reference Varieties

4.1 Appendix I sets out which varieties are considered as reference varieties for these procedures.

5 Distinctness

5.1 Distinctness is normally assessed in two independent test cycles, but a candidate variety could be considered distinct after one test cycle if there are no other similar varieties. A third independent test cycle may be undertaken if distinctness is not established after two test cycles.

5.2 In accordance with associated document UPOV TG/1/3 varieties can be considered distinct where they have a different expression in a grouping character.

- 5.3 The distinctness standard applied for qualitative characters is a difference of one state, unless otherwise indicated in Section D. For pseudo-qualitative characters the distinctive standard is a difference in 1, 2 or 3 states depending on characteristics.
- 5.4 If a candidate is clearly different in a visually assessed quantitative character it is considered to be distinct without the need for a repeated observation.
- 5.5 Where varieties are grown in close proximity under the same conditions, and a direct comparison can be made, a candidate is considered to be distinct if a clear visual difference is observed in a quantitative character.
- 5.6 Where varieties are not grown in close proximity, a candidate is considered to be distinct if a difference of two states (see table in section D 5.2) is recorded in a visually observed quantitative character.
- 5.7 The standard for measured or counted quantitative characters, is, at least, a 5% ($P=0.05$) significant difference in one character over two, or three growing cycles in a Combined Over Years Distinctness (COYD) analysis. Please see associated document UPOV TGP/8/1 for details.
- 5.8 Where COYD cannot be applied, alternative methods should be considered.
- 5.9 When the number of varieties grown does not provide sufficient degrees of freedom for use of the standard COYD analysis, alternative methods should be adopted. If there is sufficient historical data (at least 5 years and sufficient degrees of freedom) then the long-term LSD is applied. This LSD is calculated using up to 10 years of the most recent data. If there is insufficient historical data, the 2 x 1% method should be used.
- 5.10 Where the candidate has a full complement of data for two test cycles, but there is only data for control varieties for one test cycle, the use of FITC (Fitted Constant program in DUST) may be applied. This situation may arise due to the loss of plant material within plots in any one year or where suitable control varieties were not grown in both test cycles. The standard applied for Distinctness in such cases is $P=0.01$.

6 Uniformity

- 6.1 Uniformity is assessed for all characteristics used to establish distinctness.

Uniformity based on the assessment of 'Off-types'

- 6.2 The assessment of 'Off-types' is undertaken in the both test cycles and the total number of 'off-types' combined should not exceed the number allowed using the population standards.

6.3 Off-type plants in the glasshouse or field are identified and marked for exclusion from recording.

6.4 The total number of off-type plants recorded in the test, should not exceed the number indicated in TGP/8/1 using a population standard of 1% and a 95% acceptance probability. In a population of 360 seed-propagated long day plants, 7 off-types are allowed. Alternatively, in a population of 120 vegetatively propagated long day shallot plants, 3 off-types are allowed.

6.5 Where the number of off-types in the first test cycle exceeds 7 but is less than 13 for seed-propagated shallot or alternatively exceeds 3 but is less than 6 for vegetatively propagated shallot, the applicant may submit a new seed sample or vegetative material (Resubmission) in the second test sample with the aim of meeting the off-type standard. Distinctness will be assessed on data from the original seed or vegetative material submitted in the first test cycle and on data from the resubmitted seed or vegetative material in the second test cycle. The resubmitted seed or vegetative material will be authenticated against the original seed or vegetative material in side by side plots.

6.6 In addition, the number of aneuploid or inbred plants allowed in F1 hybrids should not exceed the numbers indicated in UPOV TGP/8/1 for a 3% population standard and a 95% acceptance probability.

6.7 After the variants have been excluded, the characteristics listed in Section D5 are used to assess the uniformity of the remaining plants, according to the methods described.

Uniformity based on the assessment of general variation where no measurements are recorded:

6.8 Uniformity of continuous variation is assessed visually according to the following scale:

Score 1-5 unacceptable (1 is worst)

Score 6-9 acceptable (9 is best)

A candidate with a visual uniformity score of 6 or more is satisfactory.

Uniformity based on the assessment of general variation where measurements are recorded:

6.9 Provided a variety meets the off-type standard, it can be considered sufficiently uniform after two, or three, test cycles if, for all measured characters necessary for distinctness, the combined over years uniformity (COYU) is not significantly greater than that of the reference varieties at the 0.1% ($P=0.001$) significance level (see document UPOV TGP/8/1). In all cases an examination of data from individual test cycles is carried out to investigate the uniformity problem indicated by the COYU result. Decisions on whether any outlier plants (off-types) identified by data

analysis should be excluded from the calculation of variety means and standard deviations, should be taken by the Test Centre.

7 Stability

7.1 A variety is considered sufficiently stable when there is no evidence to indicate that it lacks uniformity or fails to conform to the essential characteristics of its description in different submissions or in different tests.

8 DUS Report and Variety Description

8.1 Upon completion of the DUS examination the DUS Summary report will be submitted to APHA and will be discussed at the relevant DUS Test Centre Meeting. This report will specify all non-routine characteristics used for establishing distinctness.

8.2 The final DUS report, including the full variety description for positive reports will be submitted to APHA. The characteristics to be used in the description are identified in Section D.

Appendix 1 – Reference Collection Varieties

1 Variety Listing and Plant Breeders Rights

1.1 The DUS reference collection, for any given category of plant variety comprises the following at the time when the application for the candidate is made:

1.1.1 All other candidate varieties already in DUS test in the UK or entering testing at the same time as the candidate.

1.1.2 All varieties with UK PBR.

1.1.3 All varieties on the OECD variety list that are listed by countries with comparable climatic conditions to the UK.

1.1.4 All varieties protected under National PBR (UPOV contracting parties) with comparable climatic conditions to the UK

1.1.5 Any varieties nominated by the applicant as being comparable i.e., known to be similar.

1.1.6 Any other varieties considered to be comparable i.e., known to be similar by the appropriate Test Centre or DUS Centre Group.

1.1.7 Other available comparable varieties in common knowledge.

Appendix 2

Differentiation between onion and shallot (see CPVO-TP/46/2)

The differentiation between onions and shallots shall be made depending on the response to characteristics 10 and/or 11 in conjunction with characteristic 27.

Characteristic 10

Seed-propagated varieties applied for as onion/echalion with notes 1, 2 or 3 for characteristic 10 are grouped as onion/echalion.

Seed-propagated varieties applied for as onion/echalion with notes 7, 8 or 9 for characteristic 10 are grouped as shallot.

Seed-propagated varieties applied for as onion/echalion with notes 4, 5 or 6 for characteristic 10, need to be assessed for characteristic 11, after re-planting in a second growing cycle.

Varieties applied for as seed shallots with notes 1, 2, 3, 4, 5, or 6 for characteristic 10, need to be assessed for characteristic 11, after re-planting in a second growing cycle. Varieties with notes 7, 8 or 9 are grouped as shallot.

Characteristic 11

Varieties with notes 1, 2 or 3 for characteristic 11 are grouped as onion/echalion.

Varieties with notes 7, 8 or 9 for characteristic 11 are grouped as shallots.

Varieties with notes 4, 5 or 6 for characteristic 11 need to be assessed for characteristic 27 (number of growing points) after vegetative multiplication (in the second growing cycle).

Characteristic 27

Varieties with notes 1, 2 or 3 for characteristic 27 are grouped as onions/echalion. whereas varieties with notes 5, 6, 7, 8 or 9 are grouped as shallot.

Varieties with note 4 for characteristic 27, should be compared with the varieties in both the onion and the shallot groups. [To determine the correct group, the variety needs to be observed in at least two further growing cycles to establish whether the description is nearer 3 or 5]. This is illustrated as follows:

Characteristic 10: Seed propagated varieties only:

Bulb: Tendency to split into bulblets (with dry skin around each bulblet).

[to be judged on material directly grown from seed]



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