**Office of Financial Sanctions Implementation (OFSI)**

**UK ban on the maritime transportation of Russian oil and oil products and associated services and the oil price cap**

**Notification from a Tier 2 or 3 Provider that a Tier 1 Provider has not confirmed compliance with requirement to report to OFSI on use of Oil Price Cap General Licence INT/2022/2469656**

**OVERVIEW**

* The Oil Price Cap General Licence INT/2022/2469656 sets out reporting requirements in paragraphs 19, 20 and 21. This form should be used by a Tier 2 or 3 Provider to report to OFSI instances where a Tier 1 Provider, with whom they are directly transacting, has failed to confirm compliance with the requirement to notify OFSI of activity undertaken permitted under that General Licence.
* Where they do not receive this confirmation, the Tier 2 or 3 Provider is required to report this to OFSI within 60 days of the effective date of the contract and withdraw their services as soon as reasonably practicable. If an effective/commencement date is not provided, OFSI will consider the reporting deadlines to run from the date on which the provision of services commences.
* For Tier 3 Providers, subsequent requests to the Tier 1 Provider (and reporting to OFSI) must be repeated on a periodic basis, in line with paragraph 17 of the General Licence.
* If you are a Tier 2 or Tier 3 Provider dealing with a non-UK Tier 1 Provider, please instead complete the form “Tier 2/3 notification of dealing with a non-UK Tier 1 under INT/2022/2469656”available at: [www.gov.uk/government/publications/russian-oil-services-ban](http://www.gov.uk/government/publications/russian-oil-services-ban).
* CompleteOFSI guidance on the UK maritime services ban and Oil Price Cap, including on General Licence reporting requirements, is available at: [www.gov.uk/government/publications/russian-oil-services-ban](http://www.gov.uk/government/publications/russian-oil-services-ban). We recommend you consult the guidance before completing this notification form. For any specific questions or queries please email: [OilPriceCap.OFSI@hmtreasury.gov.uk](mailto:OilPriceCap.OFSI@hmtreasury.gov.uk).
* Please submit completed notification forms as an attachment (in Word format, not PDF), including any associated documents, to [OilPriceCap.OFSI@hmtreasury.gov.uk](mailto:oilpricecap.ofsi@hmtreasury.gov.uk).
* The email (header) subject line should read: **“TIER 2/3 NOTIFICATION: TIER 1 NON-COMPLIANCE WITH REPORTING REQUIREMENTS IN OIL PRICE CAP GENERAL LICENCE INT/2022/2469656”.** It is important this subject line is followed so that the email and supporting information is processed correctly.
* Alternatively, completed forms can be posted to: Office of Financial Sanctions Implementation (OFSI), HM Treasury, 1 Horse Guards Road, London SW1A 2HQ. Returned posted forms are processed the same as online forms for reporting purposes andwill need to be received by OFSI within the 60 day reporting window.
* A criminal offence may be committed if you intentionally participate in activities knowing that the object or effect of them is to circumvent any of the prohibitions related to trade sanctions or enables or facilitates the contravention of any of those prohibitions.
* Please ensure that, when completing this form, the facts and information provided are accurate and true to the best of your knowledge. If you are unsure of your compliance or reporting obligations under trade sanctions, you should seek independent legal advice.
* Information provided may be shared for the purpose of facilitating, monitoring or ensuring compliance with sanctions, in accordance with HM Treasury’s information sharing powers and UK data protection legislation.
* Form last updated: 20 December 2023.

**PART A: NOTIFIER INFORMATION**

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| 1. **Person submitting this notification** | |
| 1. Name |  |
| 1. Job title / position |  |
| 1. Company / organisation |  |
| 1. Tier and nature of business   Tier 2 e.g. financial institutions providing trade finance  Tier 3 e.g. insurance brokers, cargo insurers, protection and indemnity insurers, reinsurers, ship owners |  |
| 1. Address |  |
| 1. Contact number |  |
| 1. Email address |  |

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| 1. **Date form submitted to OFSI (DD/MM/YYYY)** |
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| 1. **Are you submitting this form on behalf of a third party?** Tick box   e.g. you are a law firm, agent or guardian representing someone | | Yes: complete details of third party below |  |
| No |  |
| 1. Name |  | | |
| 1. Job title / position |  | | |
| 1. Company / organisation |  | | |
| 1. Nature of business |  | | |
| 1. Address |  | | |
| 1. Contact number |  | | |
| 1. Email address |  | | |

**PART B: TIER 1 PROVIDER AND REPORTING NOTIFICATION DETAILS**

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| 1. **Tier 1 Provider** | |
| 1. Name of company or organisation |  |
| 1. Nature of business |  |
| 1. Address |  |
| 1. Contact number |  |
| 1. Email address |  |

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| 1. **Reporting notification overview** | |
| 1. Description of activity undertaken by the Tier 1 Provider under the Oil Price Cap General Licence INT/2022/2469656 |  |
| 1. Nature of services provided by Tier 2 or 3 Provider to the Tier 1 Provider including date service provision commences (DD/MM/YYYY) |  |
| 1. Effective date of contract between Tier 2 or 3 Provider and Tier 1 Provider (DD/MM/YYYY) |  |
| 1. Date of request made by Tier 2 or 3 Provider for confirmation that the Tier 1 Provider has reported to OFSI in line with paragraph 19 of the Oil Price Cap General Licence INT/2022/2469656 (DD/MM/YYYY) |  |
| 1. Has confirmation from the Tier 1 Provider been received by the Tier 2 or 3 Provider within 60 days of the effective date of the contract (Y/N) |  |
| 1. If confirmation has been received after 60 days, specify the total number of days taken to receive the response |  |
| 1. Date of services withdrawn by Tier 2 or 3 Provider (DD/MM/YYYY) |  |
| 1. If services have not yet been withdrawn, please provide explanation and expected date of withdrawal |  |

**PART C: FURTHER INFORMATION**

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| 1. **Please list all external parties who have been made aware that this information is being passed to OFSI, including any designated persons** |
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| 1. **List of documents referenced in this report and other supporting evidence, to be attached when this form is submitted to OFSI** |
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| 1. **Any other relevant information not already captured in this form** |
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