**Office of Financial Sanctions Implementation (OFSI)**

**UK MARITIME SERVICES PROHIBITION AND OIL PRICE CAP: Extraordinary Situation Specific Licence Application form (Russia (Sanctions) (EU Exit) Regulations 2019).**

This form should be used by individuals or entities seeking a specific licence from the [Office of Financial Sanctions Implementation](https://www.gov.uk/government/organisations/office-of-financial-sanctions-implementation) (OFSI) to allow an activity or transaction to take place that would otherwise be prohibited under the UK ban on the provision of maritime transportation of Russian oil and oil products and associated services.

This form should be used for specific licence applications relating to the maritime transportation of certain Russian oil and oil products. Completed application forms should be submitted to: OilPriceCap.OFSI@hmtreasury.gov.uk with “SPECIFIC LICENCE APPLICATION: UK MARITIME SERVICES PROHIBITION” in the subject line.

This licence application form has been developed with commercial arrangements in mind (i.e. sales of goods or services etc.). It is to be used where a transaction involves oil traded at a price above the ‘oil price cap’. Whatever the nature of the proposed transaction(s), full supporting evidence and arguments relating to the extraordinary situation should be provided.

Licence applications cannot be issued retrospectively to permit activity which has occurred in breach of sanctions. Where feasible you should apply at least six weeks before a licence is needed and preferably even further in advance if practicable. The provision of false or misleading information during the attestation process will be considered a breach of regulation 67 (licensing offences) of the Russia (Sanctions) (EU Exit) Regulations 2019 (the UK regulations).You may wish to consider taking independent legal advice before applying for a licence. OFSI expects that legal and professional advisers will have fully considered the relevant law and formed a view about an application before approaching OFSI for guidance or submitting an application.

OFSI can only issue licences where there are specific and relevant licensing grounds enabling us to do so, and where the conditions in those grounds have been met, so please ensure this condition has been met before submitting your application. Specific licences relating to the maritime transportation of certain Russian oil and oil products and associated services are only available to enable something to be done to deal with an extraordinary situation. The OFSI approach to extraordinary situations is:

* The situation must be extraordinary in nature (unexpected, unavoidable and not recurring).
* This ground applies to non-UN designated persons and enables something to be done to deal with an extraordinary situation. This will include a situation which is extraordinary in nature but does not necessarily involve an expense.

These grounds are set out in the relevant legislation: the UK Regulations. Applicants can also consult the relevant General Licences, the UK Maritime Services Prohibition and Oil Price Cap Guidance and OFSI General Guidance.

In each application, consideration should be given to the ground on which the licence is sought, and reference should be made to the licensing ground as set out in the relevant legislation. Applications which are incomplete or do not fully consider the licensing ground will be returned with a request that the relevant licensing ground be set out. Please include in this form all the relevant information you would like to be included in your application, including any referenced/relevant documentation.

OFSI guidance on the UK maritime services prohibition and oil price cap is available at: [www.gov.uk/government/publications/russian-oil-services-ban](http://www.gov.uk/government/publications/russian-oil-services-ban). This includes overviews of compliance and enforcement (chapter 3), exceptions and licensing (chapter 4), the attestation process (chapter 5 and Annex C), reporting requirements (chapter 6), definitions (including services in scope) (Annex A), and application of the ban (including where the ban does not apply) (Annex B).

General Licences related to the UK ban on the maritime transportation of Russian oil and oil products and associated services can be found on the OFSI website at:
[www.gov.uk/government/collections/ofsi-general-licences](http://www.gov.uk/government/collections/ofsi-general-licences)

[www.gov.uk/government/publications/russian-oil-services-ban](http://www.gov.uk/government/publications/russian-oil-services-ban)

Form last updated March 13, 2024.

**PART 1 – DETAILS OF THE APPLICANT**

|  |  |
| --- | --- |
| **Date of application** |  |
| **Is this application being submitted on behalf of a third party?** | YES / NO (If “yes”, please fill in part 2 with your details and complete part 1 with the applicant’s details) |
| **Name of applicant***(Individual / Company Name etc.)* |  |
|  | **Tier 1** *For example, importers, commodities brokers, and commodities traders* |  |
| **Tier 2***For example, financial institutions providing trade finance*  |  |
| **Tier 3A** For example, *P&I clubs, hull & machinery insurers, cargo insurers, insurance brokers, flagging registries, shipowner, and ship management companies* |  |
| **Tier 3B** For *example, reinsurers, reinsurance brokers, and financial institutions providing general financing facilities* |  |
| **Address** |  |
| **Contact name** |  |
| **Contact number** |  |
| **Email address** |  |

**PART 2 – YOUR DETAILS**

*This section is only to be filled in if this application is being submitted on behalf of a third party*

|  |  |
| --- | --- |
| **Your name** *Name of the person submitting this form on behalf of the applicant*  |  |
| **Nature of business** *For example, law firm*  |  |
| **Address***Full address including country* |  |
| **Contact name** |  |
| **Telephone number** |  |
| **Email address** |  |

**PART 3 – ABOUT THE LICENCE SOUGHT**

|  |  |  |
| --- | --- | --- |
| **URGENCY***Please provide any details that may help us determine the urgency of the case (OFSI will prioritise urgent and humanitarian cases, i.e. cases that involve a risk of harm or a**threat to life).* | High urgency  |  |
| Medium urgency  |  |
| Low urgency  |  |
| **Date by which response is needed** *OFSI does not guarantee a decision will be made by this date. This is to help inform urgency of application.* |  |
| **Is the applicant a “designated person” (that is, subject to an asset freeze), or owned or controlled by a designated person?***If so, please provide details below* | YES / NO (If “Yes”, please explain other financial sanctions fully) |
| **Please give the reference number(s) of any other licence applications submitted by the applicant to OFSI** |   |
| **Please give the number(s) of any licence(s) already received by the applicant** |  |

**PART 4 – OVERVIEW**

|  |  |
| --- | --- |
| **To which associated oil and oil product(s) is your application referring?** | **2709** Petroleum oils and oils obtained from bituminous minerals, crude. Includes clean condensate |
| **2710** Petroleum oils and oils obtained from bituminous minerals, other than crude; preparations not elsewhere specified or included, containing by weight 70% or more of petroleum oils or of oils obtained from bituminous minerals, these oils being the basic constituents of the preparations; waste oils. Includes HSFO, VGO, Kerosene. |
| **Explain here why this transaction could not occur at a price at or below the Oil Price Cap***Include any documents referenced in this section in section 8 (documentation)* |  |
| **Explain here why your case is an ‘extraordinary situation’***Under the UK Regulations the only licensing ground OFSI will consider are extraordinary situations. Please explain why this application falls within this licencing ground.* |  |

**PART 5 – DETAILS OF THE TRANSACTIONS(S) CONCERNED**

|  |  |
| --- | --- |
| **Date of contract** |  |
| **Date of the intended transaction(s)** |  |
| **Unit price of oil at the time of transaction(s)** |  |
| **Oil price cap at time of transaction(s).** |  |
| **Ship IMO number (*If known*).***For the ship carrying Russian oil/oil products in the given transaction (and any other ship known to have been involved in the oil/oil product’s journey or service provision to a ship carrying the oil/oil product)* |  |
| **Value and/or quantity of the goods, services or funds to be supplied or obtained.** |  |
| **Names of the parties to the contract.***If helpful, please provide a diagram to clarify complex commercial arrangements* | **Seller / supplier** |  |
| **Buyer / customer** |  |
| **Agent / broker / another intermediary** |  |
| **Do you know or have reasonable suspicion that the Russian oil/oil products will be used by a designated person, or by a person acting on their behalf or at their direction, or by entities owned or controlled by them?** | YES / NOIf “Yes”, who is the individual or entity? |
| **Dates of any transactions or shipments already made.** |  |
| **Dates of any future transactions or shipments.** |  |

**PART 6 – BANKING DETAILS**

|  |  |
| --- | --- |
| **Method of payment (e.g. cash, cheque, bank transfer, confirmed or unconfirmed letter of credit, or other method).** |  |
| **The banks (including correspondent, intermediary and confirming banks, if applicable) through which payment will be made.** *Please include details such as account numbers.* | **Correspondent bank** |  |
| **Intermediary bank** |  |
| **Confirming or advisory bank** |  |
| **Are payment instructions / funds available for this payment?** | YES / NO |

**PART 7 – Further details**

|  |  |
| --- | --- |
| **Please provide any additional background information or explanation that would help HM Treasury consider your application.***Please attach any documents referenced in this section within part 8*   |  |

**PART 8 – DOCUMENTATION**

You must provide evidence to support an application and demonstrate that all criteria of the relevant licensing ground (where applicable) have been met. Please provide below a list and an explanation of your supporting evidence.

|  |  |
| --- | --- |
| **Document name** | **Explanation of document/relevance to application** |
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NOTES

The form comprises the following parts:

* 1. **Part 1** asks for the details of the person on whose behalf the licence is sought.
	2. **Part 2** should only be filled out by those applying on behalf of a third party. This part asks of your details.
	3. **Part 3** is about the licence sought and degree of urgency.
	4. **Parts 4 and 5** are about the transaction or activity involved.
	5. **Part 6** is about the banking details of the transaction.
	6. **Part 7** provides the applicant with an opportunity to add any additional background.
	7. **Part 8** allows the opportunity to attach and list any additional documents you are sending to support this application.

**Office of Financial Sanctions Implementation**

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