**Office of Financial Sanctions Implementation (OFSI)**

**Maritime transportation and associated services: notification of use of emergency exception.**

This form is to notify OFSI of activities undertaken in dealing with an emergency under regulation 61(B) of the Russia (Sanctions) (EU Exit) Regulations 2019 (the Regulations). This exception provides that the prohibitions in regulations 46Z9B to 46Z9D of the Regulations (maritime transportation of certain oil and oil products) are not contravened by any act done by a person to assist with the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health or safety, infrastructure, or the environment.

Any person purporting to act under this exception must notify OFSI within 5 working days of the activity (beginning with the day on which the act is done).

Completed notification forms should be submitted by notifiers as an attachment (Word format, not PDF) to: OilPriceCap.OFSI@hmtreasury.gov.uk. If you need to add any additional information to the boxes, please add a new row(s) below.

The email (header) subject line should read: **“EMERGENCY EXCEPTION: UK MARITIME SERVICES PROHIBITION. REPORTING TIMEFRAME COMPLIANT: Y or N” (please pick either 'Y' or 'N')**. It is important this subject line is followed so that the email and supporting information is processed correctly.

Alternatively, completed forms can be posted to: Office of Financial Sanctions Implementation (OFSI), HM Treasury, 1 Horse Guards Road, London SW1A 2HQ. Returned posted forms are processed the same as online forms for reporting purposes and will need to be received by OFSI within the relevant reporting window.

Complete OFSI guidance on the UK maritime services prohibition and oil price cap, including on General Licence reporting requirements, is available at: [www.gov.uk/government/publications/russian-oil-services-ban](http://www.gov.uk/government/publications/russian-oil-services-ban). This includes overviews of compliance and enforcement (Chapter 3), exceptions and licensing (Chapter 4), the attestation process (Chapter 5 and Annex C), reporting requirements (Chapter 6), definitions (including services in scope) (Annex A) and application of the ban (including where the ban does not apply) (Annex B). We recommend you consult the guidance, particularly Chapter 6, before completing the reporting form. For any specific questions or queries please email OilPriceCap.OFSI@hmtreasury.gov.uk.

A criminal offence may be committed if you intentionally participate in activities knowing that the object or effect of them is to circumvent any of the prohibitions related to trade sanctions or enables or facilitates the contravention of any of those prohibitions.

**Please ensure that, when completing this form,** **the facts and information provided are accurate and true to the best of your knowledge. If you are unsure of your compliance or reporting obligations under trade sanctions, you should seek independent legal advice.**

Information provided may be shared for the purpose of facilitating, monitoring or ensuring compliance with sanctions, in accordance with HM Treasury’s information sharing powers and UK data protection legislation.

General Licences related to the UK ban on the maritime transportation of Russian oil and oil products and associated services can be found on the OFSI website at:
[www.gov.uk/government/collections/ofsi-general-licences](http://www.gov.uk/government/collections/ofsi-general-licences)

[www.gov.uk/government/publications/russian-oil-services-ban](http://www.gov.uk/government/publications/russian-oil-services-ban)

Form last updated March 13, 2024.

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**PART 1 – REPORTER’S DETAILS**

|  |  |
| --- | --- |
| **Is this report being submitted on behalf of a third party?**  | YES / NO  (If “yes”, please fill in part 2 with your details and complete Part 1 with the notifier’s details)   |
| **Name of reporter** *(Individual / Company Name etc.)*  |   |
| **Tier and nature of business**  | **Tier 1** *For example, importers, commodities brokers, and commodities traders*  |   |
| **Tier 2** *For example, financial institutions providing trade finance*  |   |
| **Tier 3A** *For example, P&I clubs, hull & machinery insurers, cargo insurers, insurance brokers, flagging registries, shipowners, and ship management companies* |   |
|  | **Tier 3B***For example, reinsurers, reinsurance brokers, and financial institutions providing general financing facilities* |  |
| **Address** *(Full address including country)* |   |
| **Contact name**  |   |
| **Telephone number**  |   |
| **Email address**  |   |

**PART 2 – YOUR DETAILS**

*This section is only to be filled in if this report is being submitted on behalf of a third party*

|  |  |
| --- | --- |
| **Your name** *Name of the person submitting this form on behalf of the notifier*  |   |
| **Nature of business** *For example, law firm*  |   |
| **Address** *Full address including country*  |   |
| **Contact name**  |   |
| **Telephone number**  |   |
| **Email address**  |   |

**PART 3 – DETAILS OF ACTIVITY**

*This section refers to act(s) done by a person to assist with the urgent prevention or mitigation of an event likely to have a serious and significant impact on human health or safety, infrastructure, or the environment.*

|  |  |
| --- | --- |
| **Nature of activity**    |   |
| **Names of parties involved**  | Seller / supplier   |   |
| Buyer / customer    |   |
| Agent / broker / another intermediary   |   |
| **Oil/Refined Oil Product Price Cap at effective date of the contract to which the activity relates**  |   |
| **Unit price of oil/refined oil product at effective date of the contract to which the activity relates**   |   |
| **The value of any goods, services or funds to which the activity relates**  |   |
| **Date(s) of activity that has taken place**   |   |

**PART 4 – OVERVIEW**

|  |  |
| --- | --- |
| **Explain here why your case was an event likely to have a serious and significant impact on human health or safety, infrastructure, or the environment**.*Please include below any documents referenced in this section* |   |
| **Set out the details of the relevant activity**  *Include details here of the activity undertaken to deal with the emergency set out above* |   |

**PART 5 – DOCUMENTATION**

*Include below any documents referenced in this notification form and any other relevant documentation for this case.*

|  | **Document name**  | **Explanation of document/relevance**  |
| --- | --- | --- |
| **1** |   |   |
| **2** |   |   |
| **3** |   |   |
| **4** |   |   |
|  |  |  |

**Office of Financial Sanctions Implementation**

HM Treasury

1 Horse Guards Road

London

SW1A 2HQ

OilPriceCap.OFSI@hmtreasury.gov.uk