



Education & Skills  
Funding Agency

# **Apprenticeship qualification achievement rates technical specification 2020 to 2021**

**Version 1.4: April 2022**

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## Introduction and purpose of document

1. This document provides technical information about how we process information provided in the Individualised Learner Record (ILR) data collection to produce the qualification achievement rates (QAR) dataset.
2. This dataset produces the apprenticeship 2020 to 2021 QAR products. This document also provides information about how we generate the information in the QAR dashboard.
3. Please read this document alongside the [ILR standard file specifications and reference data documents](#) and the [2020 to 2021 QAR business rules](#).

## Understanding the terminology

4. The terms 'we' and 'ESFA' refer to the 'Education and Skills Funding Agency' and associated staff.
5. When we refer to 'you' or 'providers', this includes colleges, training organisations, local authorities and employers that receive funding from us.
6. Unless otherwise stated, we refer to apprenticeship frameworks and standards in this document as an 'apprenticeship'.

## Changes since the publication of 2020 to 2021 version 1.3 in January 2022

7. Additional clarification at paragraph 9, for standards with an actual end year of 2019 (the academic year AchDate was introduced for standards), we do not use achievement year to calculate the Hybrid End Year, this is to account for the crossover between academic years.

## Changes from 2019 to 2020

8. Timely Qualification Achievement Rates will not be calculated for 2020 to 2021. We have also clarified how we use R04 data in the QAR data set

## Apprenticeship QAR methodology

9. We base the overall QAR on the hybrid end year, which is the later of:

- the achievement year of an apprenticeship
- the actual end year of an apprenticeship
- the planned end year of an apprenticeship
- the reporting year

We only use the achievement year for apprenticeship standards on funding model 36. In addition, we include the reporting year to capture those overdue continuing learning aims, overdue planned breaks or late completions recorded in the following funding year (up to R04). See paragraph 422 for further details on how we calculate the hybrid end year.

For standards with an actual end year of 2019 (the academic year AchDate was introduced for standards), we do not use achievement year to calculate the Hybrid End Year, this is to account for the crossover between academic years.

10. The apprenticeships included in the 2020 to 2021 overall QAR are those with a hybrid end year of 2020 to 2021.

11. There can be a delay between completing an apprenticeship and the notification of the achievement. To account for this delay and to ensure all relevant leavers are included, we use information from the 2021 to 2022 R04 ILR to identify apprenticeships with a planned end date in 2020 to 2021. If you update records in your 2021 to 2022 R04 return that you submitted in your 2020 to 2021 R14 return, then we will use the R04 record to determine your QAR.

12. The [business rules](#) describe how we calculate the QAR and which apprenticeships will not be included in the QAR calculations.

13. We exclude some apprenticeships from QARs to ensure the results are more credible and appropriate. The reasons include (and this is not an exhaustive list):

- if circumstances affect QARs where we have agreed an exception (for example, if the learner transferred to new provision due to intervention from us)
- if we do not fund the apprenticeship
- if the apprentice has transferred to another apprenticeship within 120 days of finishing the old apprenticeship

## Exclusions from apprenticeship QARs

14. The table below describes the scenarios where we exclude apprenticeships. The definition of the derived variables below are in [ILR standard file specifications and reference data documents](#).

Description	Rules for identifying the excluded apprenticeship
An apprentice has transferred to a new provider because of intervention from us.	Programme aims where <b>D_SuccessRateStatus</b> is 10 (Learner has transferred to a new provider forced by ESFA intervention or to support a specific government strategy) and <b>Withdrawal Reason</b> is not 41 (Transfer to another provider to undertake learning that meets a specific government strategy).
An apprentice has transferred to a new provider due to college merger.	Programme aims where <b>Withdrawal Reason</b> is 47 (Learner has transferred to another provider due to college merger), and the <b>Learning Actual End Date</b> is less than the <b>Learning Start Date</b> on the new UKPRN (matching on Unique Learning Number).  The <b>Learning Start Date</b> on the new UKPRN must be within 30 days or less than the <b>Learning Actual End Date</b> on the original UKPRN.
For the overall QAR, where an apprentice has transferred to a different apprenticeship with the same provider.	Programme aims where <b>D_SuccessRateStatus</b> is 8 (Learner has transferred to a new programme at the same provider) and the <b>Learning Actual End Date</b> is before 1 August of the relevant year.  Where an apprentice has transferred to a different apprenticeship, as above, the <b>Learning Start Date</b> for the new apprenticeship programme (matching on Unique Learner Number and UKPRN) must be 120 days or less than the <b>Learning Actual End Date</b> of the original programme.  We will not exclude transfers from apprenticeships to other learning aims.
For the overall QAR, where an apprentice has temporarily withdrawn from the apprenticeship due to an agreed break in learning.	Programme aims where <b>D_SuccessRateStatus</b> is 11 (Learner is taking a planned break from learning).  Under certain circumstances, we do not exclude programme aims where the learner has temporarily withdrawn from the aim due to an agreed break in learning. See paragraphs 28 to 35.

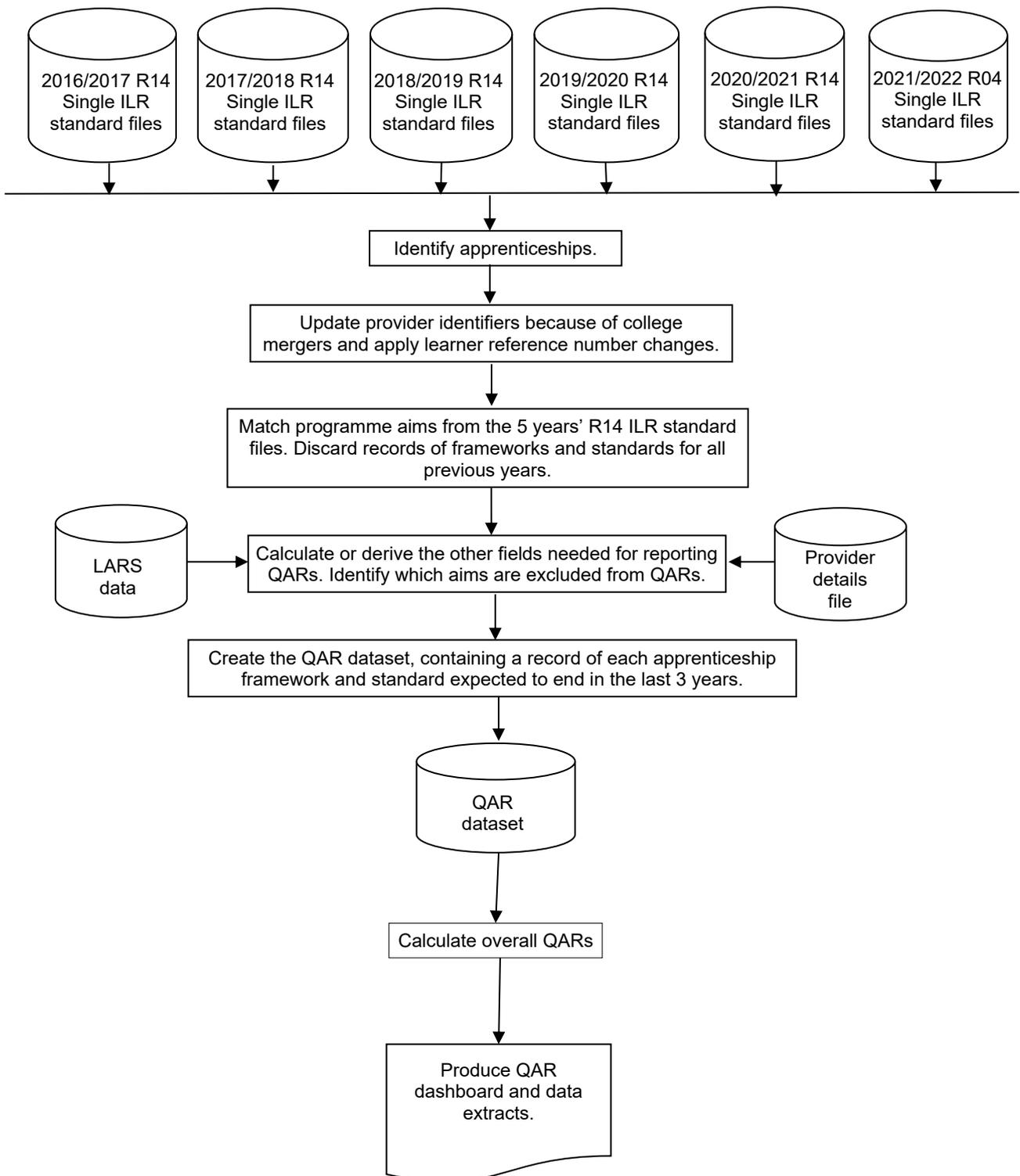
Description	Rules for identifying the excluded apprenticeship
<p>Apprenticeships where the learner has withdrawn from the apprenticeship within the funding qualifying period, and has not achieved the learning aim.</p> <p>We exclude apprentices who restarted with the same provider in this exclusion rule, as the original instance of learning will have passed the funding qualifying period.</p> <p>This does not apply to trailblazer pilot standards</p>	<p>Programme aims where:</p> <ul style="list-style-type: none"> <li>• the planned duration is 168 days or more</li> <li>• the actual duration is less than 42 days; and</li> <li>• the <b>Completion Status</b> is 3 (Withdrawal); and</li> <li>• the <b>Restart Indicator</b> is 0 (Not a Restart)</li> </ul> <p>Programme aims where:</p> <ul style="list-style-type: none"> <li>• the planned duration is between 14 and 167 days</li> <li>• the actual duration is less than 14 days; and</li> <li>• the <b>Completion Status</b> is 3 (Withdrawal); and</li> <li>• the <b>Restart Indicator</b> is 0 (Not a Restart)</li> </ul> <p>Programme aims where:</p> <ul style="list-style-type: none"> <li>• the planned duration is 168 days or more</li> <li>• the actual duration is less than 42 days; and</li> <li>• the <b>Completion Status</b> is 3 (Withdrawal); and</li> <li>• the <b>Restart Indicator</b> is 1 (Restart); and <ul style="list-style-type: none"> <li>○ the new <b>Learning Start Date</b> is greater than the original <b>Learning Actual End Date</b> of the apprenticeship on the original UKPRN</li> </ul> </li> </ul> <p>Programme aims where:</p> <ul style="list-style-type: none"> <li>• the planned duration is between 14 and 167 days</li> <li>• the actual duration is less than 14 days; and</li> <li>• the <b>Completion Status</b> is 3 (Withdrawal); and</li> <li>• the <b>Restart Indicator</b> is 1 (Restart); and <ul style="list-style-type: none"> <li>○ the new <b>Learning Start Date</b> is greater than the original <b>Learning Actual End Date</b> of the apprenticeship on the original UKPRN</li> </ul> </li> </ul> <p>The planned duration of the aim, in days, is the difference between the <b>Learning Start Date</b> and the <b>Learning Planned End Date</b>.</p> <p>The actual duration of the aim, in days, is the difference between the <b>Learning Start Date</b> and the <b>Learning Actual End Date</b>.</p>
<p>Apprenticeships with a planned end date after the last day of the QAR reporting period (31 July 2021).</p>	<p>Programme aims where the <b>Learning Planned End Date</b> is later than 31 July 2021.</p>
<p>Apprenticeships with a learning start date before the effective from date of the aim in LARS.</p> <p>This only applies to apprenticeship standards.</p>	<p>Programme aims where the <b>Learning Start Date</b> is earlier than the <b>Effective From Date</b> of the apprenticeship standard as recorded in LARS.</p>
<p>Apprenticeships that we do not fund.</p>	<p>Programme aims where the <b>Funding Model</b> is equal to 99 (No ESFA funding) in all years.</p> <p>We will exclude all trailblazer pilot standards without a net employer contribution payment recorded (i.e. any payments minus reimbursements). We calculate this for programme aims where the Funding model is 81 (Other Adult) and the sum of all payment records minus all reimbursement repayment records is equal to zero.</p>

Description	Rules for identifying the excluded apprenticeship
<p>Changes to records previously submitted 2 or more years ago.</p> <p>The example given is for apprenticeships reported in 2019 to 2020. The exclusion also applies to apprenticeships reported in 2018 to 2019 or 2017 to 2018 that meet the same conditions for the corresponding earlier years.</p>	<p>For apprenticeships reported in the 2019 to 2020 ILR, that were previously reported in the 2017 to 2018 ILR or earlier, and not reported in the 2018 to 2019 ILR. Where</p> <ul style="list-style-type: none"> <li>• the actual or planned end dates are not in 2019 to 2020 or later</li> <li>• the apprenticeship was first reported complete in the 2019 to 2020 ILR</li> </ul> <p>The record is not an update to an apprenticeship that would otherwise have been an overdue planned break counted in the 2019 to 2020 hybrid end year.</p> <p>This applies only to 2019 to 2020 and previous years.</p>
<p>Former Employer Ownership Pilot learning aims.</p>	<p>Programme aims where any <b>Learning Delivery Monitoring Code</b> is 351 (Former Employer Ownership Pilot Provision)</p>
<p>SILR exclusion</p>	<p>Aims in the <a href="#">QAR business rules</a> that do not meet the definition of an apprenticeship programme aim.</p>

# Processing standard files

## Process flow diagram

15. This flow diagram below shows how we process ILR information for multiple funding years to produce the QAR dataset, which is the source of the information used for the QAR dashboard.



## Identifying apprenticeship aims

16. We identify apprenticeship programme aims in the ILR dataset by using **Aim Type** is 1 (Programme aim) and **Programme Type** is 2, 3, 10, 20, 21, 22, 23 or 25, as set out in the ILR standard file specification document.
17. We use the component aims of an apprenticeship framework programme to determine whether you have subcontracted the competency aim. We add this information to the programme aim, although we exclude the component aims of the programme from the achievement rates dataset. As in previous years, we will not report the subcontractor for apprenticeship standard programmes.
18. We identify the component aims of an apprenticeship in the ILR dataset by using **Aim Type** is 3 (component aim) and **Programme Type** is 2, 3, 10, 20, 21, 22, 23 or 25, as set out in the ILR standard file specification document.

## Processing learner reference number changes

19. Providers assign the Learner reference number and we use this number to match aims across years.
20. If, for any reason, a learner's Learner reference number changes, either within a funding year or between years, then you must record this using the Learner reference number in previous year field.
21. We use the information in the Learner reference number in the previous year field to change the Learner reference number for previous years to the latest Learner reference number. We keep the original Learner reference number in the QAR dataset in the LearnRefNumber\_Orig field.
22. If you do not return the Learner reference number in previous year field when there has been a change, then the process of matching aims does not work correctly. This creates 2 records in the QAR dataset for the same aim: one using the old Learner reference number and one using the new Learner reference number. Where the aim from the earlier year's ILR file does not have any achievement data, this will reduce your QAR.
23. If you use the Learner reference number in previous year field when there **has not** been a change in the learner's Learner reference number, then this will prevent us from correctly matching that learner's aims.
24. You **must** remove the data from this field between years, as detailed in the [ILR specification](#), otherwise the learners will not match correctly.

**Example:**

Learner A has a Learner reference number of 'LNA1234' in 2018 to 2019. Due to a change in the provider's management information system, Learner A has a new Learner reference number in 2019 to 2020 of 'LRA9876'. For QARs to match correctly, you must record the references as follows:

- In 2018 to 2019:
  - Learner reference number = LNA1234
  - Learner reference number in previous year is not returned
- In 2019 to 2020:
  - Learner reference number = LRA9876
  - Learner reference number in previous year = LNA1234
- In 2020 to 2021:
  - Learner reference number = LRA9876
  - Learner reference number in previous year is not returned (2019/20 QAR cannot match if reported in R04)

## Matching programme aims across years

25. Many apprenticeship programmes take more than one year to complete, meaning that the same programme aim for an apprentice can appear in more than one ILR file.

26. We use various combinations of variables to ensure we match the correct records across years. We match the UKPRN, Learner reference number, Programme type and Framework code or Standard code together with the following combinations, in the following sequence:

- Learning start date, Learning planned end date and Learning actual end date
- Learning start date and Learning planned end date
- Learning start date and Learning actual end date
- Learning start date

We do not include apprenticeship pathway code in this combination.

27. Once we have matched programme aim records, we discard those from previous years, leaving just the latest information for each programme aim in the QAR dataset.

## Identifying overdue continuing aims and planned breaks

28. Continuing learning aims (with a Completion status of 1 'The learner is continuing or intending to continue the learning activities leading to the learning aim') in the R14 ILR return for a funding year, that do not have a corresponding record in the following funding year, are treated as a withdrawal for the overall methodology.

For example, if the year you submitted the record on the ILR is '2019/2020' and there is no corresponding record in 2020 to 2021 R14 ILR return:

- the hybrid end year (P\_Hybridendyr) is set to 2020; and
- P\_Count\_Overall is set to 1; and
- P\_Ach\_Overall is set to 0

If you subsequently return the record in the ILR in any of the years after, we will exclude it from your QAR so that the same record is not counted against QARs in two different years.

29. Aims where the learner is on a planned break (with a Completion status of 6 'Learner has temporarily withdrawn from the aim due to an agreed break in learning'), are treated as a withdrawal for the overall methodology where either of these apply:

- they do not have a corresponding restart record in the same funding year
- they do not have a corresponding restart record in the following funding year
- if the planned break was recorded in the ILR R14 return for funding year 2019 to 2020, they do not have a corresponding restart record in the R04 ILR return of the funding year 2021 to 2022

30. An ILR record is a restart record if it has a Learning Delivery Funding and Monitoring Type of 'RES' with a Learning Delivery Funding and Monitoring Code of 1 (Learning aim restarted).

31. We match restart records to planned break records by matching on UKPRN, Learner reference number, Programme type and Framework code or Standard code where the Original learning start date on the restart record matches the Learning start date or Original learning start date of the planned break record; this allows for situations where the planned break was itself a restart.

32. For example, an aim where the learner is on a planned break, that is submitted in the 2018 to 2019 ILR (YearAimSubmitted is '2018/19'), **has** a corresponding restart record if:

- the UKPRN, Learner reference number, Learning aim reference number Programme type and Framework code or Standard code on the planned break record is the same as the UKPRN, Learner reference number, Programme type and Framework code or Standard code on a restart record; and
- either the Learning start date or the Original learning start date on the planned break record is the same as the Original learning start date on the restart record; and
- the restart record was submitted in the 2018 to 2019, 2019 to 2020 or 2020 or 2021 ILR (YearAimSubmitted is '2018/19', '2019/20' or '2020/21')

33. If an aim where the learner is on a planned break that is submitted in the 2018 to 2019 ILR (YearAimSubmitted is '2018/19') **does not have** a corresponding restart record:

- the reporting year is set to one year after the later of the expected end year or actual end year; and
- P\_Count\_Overall is set to 1; and
- P\_Ach\_Overall is set to 0

34. An aim where the learner is on a planned break, that is submitted in the 2019 to 2020 ILR (YearAimSubmitted is '2019/20'), **has** a corresponding restart record if:

- the UKPRN, Learner reference number, Programme type and Framework code or Standard code on the planned break record is the same as the UKPRN, Learner reference number, Programme type and Framework code or Standard code on a restart record; and
- either the Learning start date or the Original learning start date on the planned break record is the same as the original learning start date on the restart record; and
- the restart record was submitted in the 2019 to 2020 or 2020 to 2021 ILR, or the 2021 to 2022 R04 ILR (YearAimSubmitted is '2019/20', '2020/21' or '2021/22')

35. If an aim where the learner is on a planned break that is submitted in the 2019 to 2020 ILR (YearAimSubmitted is '2019/20'), **does not have** a corresponding restart record:

- the reporting year is set to one year after the later of the expected end year or actual end year; and
- P\_Count\_Overall is set to 1; and
- P\_Ach\_Overall is set to 0

## QAR calculations

36. We use the following fields from the QAR dataset to calculate the overall QARs:

- **Hybrid end year (P\_Hybridendyr)** - This field is the later of the achievement year (P\_Achyr), actual learning end year (P\_Actendyr), expected learning end year (P\_Expendyr), or the reporting year.
- **P\_Count\_Overall** - This field indicates whether the programme aim has ended, or it is an overdue continuing learning aim or an overdue continuing planned break.
- **P\_Ach\_Overall** - This field indicates whether a programme aim has been achieved (achieved learning aims have an Outcome of 1 (Achieved)).

37. For each funding year the overall QAR percentage is:

$$\frac{\text{Number of programmes that have been achieved}}{\text{Number of programmes that have ended}} \times 100$$

38. For each hybrid end year (P\_Hybridendyr) the overall QAR percentage is:

$$\frac{\text{Number of programme aims where P_Ach_Overall is 1}}{\text{Number of programme aims where P_Count_Overall is 1}} \times 100$$

## Further Information

39. If you have any queries in relation to QAR, please contact us via our [online enquiry form](#), select the QAR query type, and ask us to assign your query to 'ESFA Business Operations QAR'. Where the enquiry relates to specific learner queries, please also complete and submit the data query form which can be downloaded from [Qualification achievement rates \(QAR\) 2020 to 2021 - GOV.UK \(www.gov.uk\)](#).

40. Please also use the [QAR collections page](#) that has links to the relevant QAR rules, technical specifications, National Achievement Rate tables and guidance.

## Contents of the QAR dataset

41. The 5 reference files used in some of the calculations are as follows:

- a) The 2020/2021 Framework lookup file – this file holds programme aim information from the Learning Aims Reference System (LARS) as at the hard close of the 2020 to 2021 ILR (21 October 2021).
- b) The 2020/2021 Standard lookup file – this file holds programme aim information from the Learning Aims Reference System (LARS) at the hard close of the 2020 to 2021 ILR (21 October 2021).
- c) The 2020/2021 Provider Details file – this file holds providers' details from the Provider and Organisation Information Management System (PIMS), as at 31 July 2021.
- d) The 2020/2021 Funded Provider Details file – this file holds details of providers who received funding for the funding year.
- e) We obtain the lookup used to determine the delivery region and delivery local authority of a delivery location postcode from the National Statistics Postcode Lookup (UK) May 2021. This will be available to download from the Office of National Statistics website.

Data item	Description	Data values	Source (ILR)	Derivation
AchDate	The achievement date (DDMMYYYY). Only collected for apprenticeship standards.		ILR standard files	Set to <b>Achievement Date</b> .
Age_Band	A banding of the age on the start of the apprenticeship programme.	1 = 16 to 18 2 = 19 to 23 3 = 24 or more	Derived	Set to 1 if <b>D_AgeAimStart</b> is 0 to 18. Set to 2 if <b>D_AgeAimStart</b> is 19 to 23. Set to 3 if <b>D_AgeAimStart</b> or 24 or greater, or unknown.
App_Stand_NoNetPay_Excl	Indicates a learner on a trailblazer pilot standard without a net employer contribution payment being recorded.	0 or 1	Derived	Set to 1 if: <ul style="list-style-type: none"> <li>• the Programme Type is 25, the Funding Model is 81; and</li> <li>• the sum of the <b>Payment Records</b> in the ILR Apprenticeship Financial Record entity with codes 1 and 2 <b>MINUS</b> the sum of the <b>Payment Records</b> with code 3 is zero</li> </ul> Otherwise set to 0.
Apprenticeship_Level	The level of the apprenticeship.		LARS lookups	If the <b>Programme Type</b> is 25, set to the Level from the Standard lookup. Otherwise, set to the Level from the Framework lookup.

Data item	Description	Data values	Source (ILR)	Derivation
CampusID	The campus identifier of the programme aim.		ILR standard files	Set to <b>Campus ID</b> .
CompStatus	The completion status of the programme aim.		ILR standard files	Set to 4 if the <b>Completion Status</b> is 3 and the <b>Withdrawal Reason</b> is 40. Otherwise, set to <b>Completion Status</b> .
D_AchieveRateStatus	The status of the learning aim for calculating QARs.		ILR standard files	Set to the value of the ILR derived variable <b>D_SuccessRateStatus</b> .
D_AgeAimStart	The age of the apprentice on the start date of the programme aim.		ILR standard files	Set to the value of the ILR derived variable <b>D_AgeAimStart</b> .
Datasource	The source of the record on the QARs dataset.	ILR	Derived	Set to 'ILR'.
DelLocPostCode	The postcode of the delivery location.		ILR standard files	Set to <b>Delivery Location Postcode</b> .
DelLocPostCode_GOR	The delivery location postcode Government office region.		Postcode lookup	Obtained from the National Statistics Postcode Lookup (UK) May 2021.
DelLocPostCode_LocalAuthority	The delivery location postcode local authority district.		Postcode lookup	Obtained from the National Statistics Postcode Lookup (UK) May 2021.
EOP_Excl	Indicates that the programme aim is former EOP provision.	0 or 1	Derived	Set to 1 if and there is a <b>Learning Delivery Funding and Monitoring Type</b> of 'LDM' with a <b>Learning Delivery Funding and Monitoring Code</b> of 351 (Former Employer Ownership Pilot). Otherwise set to 0.
Ethnicity	The apprentice's ethnic background.		ILR standard files	Set to <b>Ethnicity</b> .
Framework_Standard_Filter	Indicates whether the apprenticeship is a framework or a standard.	Framework or Standard	Derived	Set to 'Standard' if the <b>Programme Type</b> is 25. Otherwise set to 'Framework'.
FreeMeals	Indicates that the learner is eligible for free meals, or is eligible and receiving free meals. 19+ learners are not eligible for free meals.		Derived	If the <b>Learner Funding and Monitoring Type</b> is 'FME' and the <b>Learner Funding and Monitoring Code</b> is 1 or 2 (14-15 year old learner is eligible for free meals <b>OR</b> 16-19 year old learner is eligible for and in receipt of free meals), set to the <b>Learner Funding and Monitoring Code</b> , otherwise set to -1.

Data item	Description	Data values	Source (ILR)	Derivation
Fund_Qual_Excl	<p>Indicates that the learner has withdrawn from a learning aim before the qualifying number of days for funding has been met.</p> <p>(The qualifying period for funding aims with a planned duration of less than 14 days is 1 day. Fund_Qual_Excl is always set to 0 for aims with a planned duration of less than 14 days.)</p> <p>This does not apply to trailblazer pilot standards.</p>	0 or 1	Derived	<p>The planned duration of the aim, in days, is the difference between the <b>Learning Start Date</b> and the <b>Learning Planned End Date</b>.</p> <p>The actual duration of the aim, in days is the difference between the <b>Learning Start Date</b> and the <b>Learning Actual End Date</b>.</p> <p>Set to 1 if the <b>Programme Type</b> is not 25, or the <b>Programme Type</b> is 25 and the <b>Funding Model</b> is not 81; and</p> <ul style="list-style-type: none"> <li>the planned duration is 168 days or more, the actual duration is less than 42 days and the <b>Completion Status</b> is 3 (Withdrawn); or</li> <li>the planned duration is between 14 and 167 days, the actual duration is less than 14 days and the <b>Completion Status</b> is 3 (Withdrawn)</li> </ul> <p>And</p> <ul style="list-style-type: none"> <li>the <b>Restart Indicator</b> is 0 (Not a Restart); or</li> <li>the <b>Restart Indicator</b> is 1 (Restart) and <ul style="list-style-type: none"> <li>the original <b>UKPRN</b> does not equal the new <b>UKPRN</b></li> <li>the original <b>Learning Actual End Date</b> is less than the new <b>Learning Start Date</b></li> <li>we can find an apprenticeship on the same <b>ULN</b> at the original <b>UKPRN</b></li> </ul> </li> </ul> <p>Otherwise set to 0.</p>
FworkCode	Framework code.		ILR standard files	Set to <b>Framework Code</b> .
FworkName	Framework name.		Derived	Set to <b>FworkName</b> from the framework lookup.
High_Needs_Students	Indicates that the learner is a high needs student in receipt of element 3 'top-up' funding from the local authority	0 or 1	ILR standard files	Set to <b>L_HNS</b>
L_PriorPcode	The apprentice's home postcode.		ILR standard files	Set to <b>L_PriorPcode</b> (Postcode prior to enrolment)
L_PriorPcode_GOR	The apprentice's home postcode Government office region.		Postcode lookup	Obtained from the National Statistics Postcode Lookup (UK) May 2021.

Data item	Description	Data values	Source (ILR)	Derivation
L_PriorPcode_LocalAuthority	The apprentice's home postcode local authority district.		Postcode lookup	Obtained from the National Statistics Postcode Lookup (UK) May 2021.
LearnActEndDate	The learning actual end date (DDMMYYYY).		ILR standard files	Set to <b>Learning Actual End Date</b> .
LearnPlanEndDate	The learning planned end date (DDMMYYYY).		ILR standard files	Set to <b>Learning Planned End Date</b> .
LearnRefNumber	The learner reference number.		ILR standard files	Set to <b>Learner Reference Number</b> .
LearnStartDate	The learning start date (DDMMYYYY).		ILR standard files	Set to <b>Learning Start Date</b> .
LLDDHealthProb	Indicates whether the apprentice considers that they have a learning difficulty, disability, or health problem.		ILR standard files	Set to <b>LLDD and Health Problem</b> .
LSD_Before_EFD_Excl	Identifies whether the learning start date of the apprenticeship standard falls before the effective from date as recorded in LARS.	0 or 1	Derived	Set to 1 if the <b>Programme Type</b> is 25, and the <b>Learning Start Date</b> on the ILR record is earlier than the earliest <b>Effective From Date</b> as recorded in LARS, matching on <b>Standard Code</b> . Otherwise set to 0.
Not_Funded_Excl	Identifies whether an apprenticeship has ever received funding from us. Non-funded aims are excluded from QARs.	0 or 1	Derived	Set to 0 if the <b>Funding Model</b> is not 99 (No ESFA funding) in any year. Otherwise set to 1.
Orig_Learn_Start_Date	The original date that the learner commenced the learning on the original aim. This is only applicable where the aim is a restart (DDMMYYYY)		ILR standard files	
Outcome	The learning outcome of the programme aim.		ILR standard files	Set to <b>Outcome</b> .
Overdue_Continuing_Aim	Indicates whether the aim is an overdue continuing aim.	0 or 1	Derived	Set to 1 if the aim is an overdue continuing learning aim (as identified in paragraph 28), otherwise set to 0.
Overdue_Planned_Break	Indicates whether the aim is an overdue planned break.	0 or 1	Derived	Set to 1 if the aim is an overdue planned break (as identified in paragraphs 28 to 35), otherwise set to 0.

Data item	Description	Data values	Source (ILR)	Derivation
P_Ach_Overall	For the overall QAR measure, this indicates whether the programme aim has been achieved.	0 or 1	Derived	Set to 1 if: <ul style="list-style-type: none"> <li>• <b>D_AchieveRateStatus</b> is 1 (Achieved); and</li> <li>• the <b>Achievement Date</b> is not later than 31 July 2021 (where returned on the ILR for learners on <b>Programme Type</b> of 25 and a <b>Funding Model</b> of 36); or</li> <li>• the <b>Learning Actual End Date</b> is not later than 31 July 2021 (for all other records that do not meet the above criteria)</li> </ul> Otherwise set to 0.
P_Achyr	The achievement year of the programme aim (20_ _).		Derived	When the completion status is 2 and the <b>Achievement Date</b> is complete, set to the first calendar year of the funding period the <b>Achievement Date</b> falls in – where returned on the ILR for learners on <b>Programme Type</b> of 25 and a <b>Funding Model</b> of 36, When the Completion status is 1 and Outcome is 8 set to 0, Else set to -1. (For example, if the <b>Achievement Date</b> falls in the 2020 to 2021 funding year, <b>P_Achyr</b> has the value of 2020)
P_Actendyr	The actual end year of the programme aim (20_ _).		Derived	Set to the first calendar year of the funding period the <b>Learning Actual End Date</b> falls in. (For example, if the <b>Learning Actual End Date</b> falls in the 2020 to 2021 funding year, <b>P_Actendyr</b> has the value of 2020)

Data item	Description	Data values	Source (ILR)	Derivation
P_Count_Overall	For the overall QAR measure, this indicates whether the record should be counted towards the overall QAR.	0 or 1	Derived	Set to 1 if: <ul style="list-style-type: none"> <li>• (<b>Learning Actual End Date</b> is present; and the <b>Learning Actual End Date</b> is no later than 31 July 2021); or</li> <li>• (<b>Achievement Date</b> is present; and the <b>Achievement Date</b> is no later than 31 July 2021 – where returned on the ILR for learners on <b>Programme Type</b> of 25 and a <b>Funding Model</b> of 36); or</li> <li>• <b>Overdue Continuing Aim</b> = 1; or</li> <li>• <b>Overdue Planned Break</b> = 1</li> </ul> Otherwise set to 0.
P_Expendyr	The expected end year of the programme aim (20__).		Derived	Set to the value of the first calendar year of the funding period the <b>Learning Planned End Date</b> falls in. (For example, if the <b>Learning Planned End Date</b> falls in the 2020 to 2021 funding year, <b>P_Expendyr</b> has the value of 2020)
P_Hybridendyr	The hybrid end year of the programme aim (20__).		Derived	Set to: <ul style="list-style-type: none"> <li>• the achievement year (<b>P_Achyr</b>); or</li> <li>• the actual learning end year (<b>P_Actendyr</b>); or</li> <li>• the expected learning end year (<b>P_Expendyr</b>);</li> <li>• the reporting year</li> </ul> whichever is higher.
P_Startyr	The start year of the programme aim (20__).		Derived	Set to the value of the first calendar year of the funding period the <b>Learning Start Date</b> falls in. (For example, if the <b>Learning Start Date</b> falls in the 2020 to 2021 funding year, <b>P_Startyr</b> is set to 2020)
PathwayCode	The pathway of the apprenticeship framework being undertaken.		ILR standard files	Set to <b>Apprenticeship Pathway</b> .
PathwayName	The pathway name on the final matched record.		Derived	Set to <b>PathwayName</b> from the framework lookup.
Pilot_Excl	Apprenticeship seasonal worker pilot learning aims are excluded from QARs.	0 or 1	Derived	Set to 1 if and there is a <b>Learning Delivery Funding and Monitoring Type</b> of 'LDM' with a <b>Learning Delivery Funding and Monitoring Code</b> of 348 (Apprenticeship seasonal worker pilot).

Data item	Description	Data values	Source (ILR)	Derivation
Plan_Break_Overall_Excl	For the overall QAR measure, this indicates that the apprentice is on a planned break. Planned break aims are excluded from QAR.	0 or 1	Derived	Set to 1 if: <ul style="list-style-type: none"> <li>• <b>D_AchieveRateStatus</b> is 11 or 12;</li> <li>• <b>Overdue_Plan_Break</b> = 0; and</li> <li>• the <b>Learning Actual End Date</b> is no later than 31 July 2021</li> </ul> Otherwise set to 0.
Plan_Break_Timely_Excl	For the timely QAR measure, this indicates whether the apprentice is on a planned break. Planned break aims are excluded from QAR.	0 or 1	Derived	Set to 1 if: <ul style="list-style-type: none"> <li>• <b>D_AchieveRateStatus</b> is 11 or 12;</li> <li>• the <b>Learning Planned End Date</b> is not later than 31 July 2021; and</li> <li>• the <b>Learning Actual End Date</b> is on or before the <b>Learning Planned End Date</b>, or no more than 90 days after it</li> </ul> Otherwise set to 0.
PrimaryLLDD	The primary learner disability, learning difficulty or and health problem of the learner.		ILR standard files	Set to <b>LLDDCat</b> where <b>PrimaryLLDD</b> is 1.
PriorAttain	The learner's prior attainment level.		ILR standard files	Set to <b>Prior Attainment</b> .
ProgType	The programme type.		ILR standard files	Set to <b>Programme Type</b> .
Provision_Type	Identified as apprenticeship aim	Apps	Derived	Set to 'Apps'.
Prv_Type	The type of provider.		ILR 2020 to 2021 provider details file	Obtained from the ILR 2020 to 2021 provider details file.
Restart_Indicator	Indicates whether the learner restarted the apprenticeship programme.	0 or 1	ILR standard files	Set to <b>D_Restart</b> .
QAR_Status_Overall	Indicates whether or not the record is included in the provider's overall QAR calculations.	QAR Inclusions QAR Exclusions	Derived	Set to 'QAR Exclusions' if the aim is not included in overall QAR calculations. Otherwise set to 'QAR Inclusions'. For most excluded aims, a specific exclusion flag will also be set.

<b>Data item</b>	<b>Description</b>	<b>Data values</b>	<b>Source (ILR)</b>	<b>Derivation</b>
SectorSubjectAreaTier1	Sector subject area – the broad (tier 1) classification of the subject of the programme aim.		Derived	Set to <b>SectorSubjectAreaTier1</b> from the framework or standard lookup.
SectorSubjectAreaTier2	The more specialised classification (tier 2) of the subject of the programme aim.		Derived	Set to <b>SectorSubjectAreaTier2</b> from the framework or standard lookup.
Sex	The apprentice's sex.		ILR standard files	Set to <b>Sex</b> .
StdCode	Standard code.		ILR standard files	Set to <b>Standard Code</b> .
StdName	Standard name.		Derived	Set to <b>StdName</b> from the Standard lookup.
Subcontractor_Name	The subcontractor's name.		ILR 2020 to 2021 provider details file	The name of the subcontracting provider, from the ILR 2020 to 2021 provider details file.

Data item	Description	Data values	Source (ILR)	Derivation
Subcontractor_UKPRN	The UKPRN of the partner provider that delivered the main assessment aim or competency aim of an apprenticeship.		Derived	<p>Set to the <b>Subcontracted or Partnership UKPRN</b> of the competency learning aim of the apprenticeship. The competency learning aims is identified from the LARS Framework Aims table.</p> <p>The component aims of an apprenticeship programme are those where:</p> <ul style="list-style-type: none"> <li>• <b>Aim Type</b> is 3 (Component learning aim within a programme);</li> <li>• <b>UKPRN</b> is the same as the <b>UKPRN</b> of the programme aim;</li> <li>• <b>Learner Reference Number</b> is the same as the <b>Learner Reference Number</b> of the programme aim;</li> <li>• <b>Framework Code</b> is the same as the <b>Framework Code</b> of the programme aim;</li> <li>• <b>Programme Type</b> is the same as the <b>Programme Type</b> of the programme aim; and</li> <li>• <b>Learning Start Date</b> is the same as the <b>Learning Start Date</b> of the programme aim</li> </ul> <p>Each component aim of the apprenticeship is checked against the LARS Framework Aims table. The component learning aim is the competency aim where:</p> <ul style="list-style-type: none"> <li>• <b>Learning Aim Reference</b> is the same as <b>LearnAimRef</b> on the LARS Framework Aims table;</li> <li>• <b>Framework Code</b> is the same as <b>FworkCode</b> on the LARS Framework Aims table;</li> <li>• <b>Programme Type</b> is the same as <b>ProgType</b> on the LARS Framework Aims table;</li> <li>• <b>Apprenticeship Pathway</b> is the same as <b>PwayCode</b> on the LARS Framework Aims table; and</li> <li>• <b>FrameworkComponentType</b> on the LARS Framework Aims table is 1</li> </ul>
SWSupAimID	The software supplier's aim identifier of the aim.		ILR standard files	Set to <b>SWSupAimID</b> .

Data item	Description	Data values	Source (ILR)	Derivation
Transfer_Overall_Excl	For the overall QAR measure, this indicates that the apprentice has transferred to another apprenticeship programme within the same provider. Transfer aims are not included in QAR.	0 or 1	Derived	Set to 1 if: <ul style="list-style-type: none"> <li>(<b>D_AchieveRateStatus</b> is 8 and a later programme record exists with a <b>Learning Start Date</b> no more than 120 days after the <b>Learning Actual End Date</b> of the programme with <b>D_AchieveRateStatus</b> = 8 and matching <b>ULN</b> and <b>UKPRN</b>), or (<b>D_AchieveRateStatus</b> is 10 and <b>Withdrawal Reason</b> is not 41), or (<b>WithdrawalReason</b> is 47 and a programme record exists with a <b>Learning Start Date</b> no more than 30 days after the <b>Learning Actual End Date</b> of the programme matching <b>ULN</b> and a different <b>UKPRN</b>); and</li> <li>the <b>Learning Actual End Date</b> is no later than 31 July 2021</li> </ul> Otherwise set to 0.
UKPRN	The UK provider reference number (UKPRN).		ILR standard files	Set to <b>UK Provider Reference Number</b> .
UKPRN_orig	The UKPRN of the programme aim before the college merger process was applied to a provider's data.		Derived	Further detail on college structural change can be found in the QAR business rules
ULN	Unique learner number.		ILR standard files	Set to <b>Unique Learner Number</b> .
WithdrawalReason	The reason learning ended.		ILR standard files	Set to <b>Withdrawal Reason</b> .
Year	The current funding year for the QARs dataset.	2017/18	Derived	Set to '2020/21'.
YearAimSubmitted	The funding year which the aim was submitted in.		Derived	Set to '2016/17' if the learning aim is taken from the 2016 to 2017 ILR; '2017/18' if from the 2017 to 2018 ILR; '2018/19' if from the 2018 to 2019 ILR; '2019/20' if from the 2019 to 2020 ILR or '2020/21' if from the 2020 to 2021 ILR.

## Calculating the hybrid end year

42. To understand the logic that hybrid end year uses, it is important to highlight the key QAR derived variables that we use to calculate the hybrid end year, and then how we use these in the calculation. The following examples relate to the 2020 to 2021 QAR data set and we refer to this as the current QAR.

43. The key derived variables are:

- Achievement Year – this is the same as P\_Achyr
- Actual End Year – this is the same as P\_Actendyr
- Planned End Year – this is the same as P\_Expendyr
- Reporting Year – this refers to the academic year you submitted the learning aim to us. If the academic year is after the current QAR year, then we set this variable to 2020.5 (for example, if you report the learning aim in 2021 to 2022).
- Overdue Continuing Aim – this is the same as Overdue\_Continuing\_Aim
- Overdue Planned Break – this is the same as Overdue\_Planned\_Break
- Year First Reported Completed – this refers to the academic year you first reported the record with a Completion status of code 2 ('Complete') or 3 ('Withdrawn'). If the academic year you first reported the record with a Completion status of code 2 or 3 is after the current QAR year, then we set this variable to 2020.5 (for example, if you report the learning aim in 2021 to 2022).

44. We then implement two steps to calculate the hybrid end year:

### Step 1

45. We assign all records an initial value in step 1, based on the Achievement Year (P\_Achyr), Actual End Year (P\_Actendyr) and Planned End Year (P\_Expendyr) derived variables. The table below explains the conditions we use to set the values. If the data does not meet the first condition, then we apply the second condition. If the data does not meet the second condition, then we apply the third condition.

Condition	Outcome	Example
<p>If the Programme Type is 25, and the Funding Model is 36, and...</p> <p>the achievement year is unpopulated, and the ILR data was last reported in 2020/21 or after...</p> <p><b>OR</b></p> <p>the achievement year is populated, and the ILR data was last reported in 2018/19 with a 2020/21 achievement date...</p> <p><b>OR</b></p> <p>If the Programme Type is 25 and the Funding Model is not 36; or the Programme Type is not 25; and the actual end year is unpopulated...</p>	<p>...we set hybrid end year to 2020.5.</p>	
<p>If the planned end year is greater than or equal to the actual end year and achievement year...</p>	<p>...we set hybrid end year to equal the planned end year.</p>	<p>The planned end date is 31/08/2021. The actual end date is 30/05/2021. The achievement date is 30/08/2021.</p> <p>The planned end year is 2021. The actual end year is 2020. The achievement year is 2021.</p> <p>2021 is greater than 2020, so the hybrid end year is set to 2020.5.</p>
<p>If the achievement year is greater than or equal to the actual end year...</p>	<p>...we set hybrid end year to equal the achievement year.</p>	<p>The actual end date is 30/05/2021. The achievement date is 30/08/2021.</p> <p>The actual end year is 2020. The achievement year is 2021.</p> <p>2021 is greater than 2020, so the hybrid end year is set to 2020.5.</p>
<p>Else...</p>	<p>...we set hybrid end year to equal the actual end year.</p>	

## Step 2

46. We use the output from step 1 for step 2, when we account for the other derived variables.

Condition	Outcome	Example
If the record is marked as an overdue continuing aim...	...we set the hybrid end year to the maximum value recorded in the actual end year, planned end year and reporting year derived variables.	The record is marked as an overdue continuing aim, the reporting year is 2018, the actual end year is unpopulated and the planned the planned end year is 2020. The hybrid end year is set to 2020.
If the record is marked as an overdue planned break and the hybrid end year from step 1 is after the current QAR year...	...we set the hybrid end year to 2020.5.	
If the record is marked as an overdue planned break and the hybrid end year from step 1 is <b>not</b> after the current QAR year...	...we set the hybrid end year to the hybrid end year from step 1 plus one year.	The record is marked as an overdue planned break, the planned end year is 2019 and the actual end year is 2018. The hybrid end year from step 1 is 2019, the hybrid end year in step 2 is set to 2019 plus one year, so the hybrid end year is set to 2020.
If the year first reported completed is after the hybrid end year from step 1...	...we set the hybrid end year to equal the first year reported complete.	The planned end year is 2019, the actual end year is 2018, the year first reported complete is 2020. The hybrid end year from step 1 is 2019, the year first reported complete is after this, so the hybrid end year is set to 2020.
Else...	...hybrid end year is set to the value from step 1.	

## Further examples

47. The following table gives some more examples using the steps given above.

Key criteria	Hybrid end year and explanation
<ul style="list-style-type: none"> <li>• Planned end date = 20/05/21</li> <li>• Actual end date (AED) = 20/05/20</li> <li>• AED first reported in 2020/21</li> </ul>	<p><b>2020/21</b> – because the later of the 3 dates is in the 2020 to 2021 funding year.</p>
<ul style="list-style-type: none"> <li>• Planned end date = 20/05/21</li> <li>• Actual end date = 25/07/21</li> <li>• AED first reported in 2020/21</li> </ul>	<p><b>2020/21</b> – because the later of the 3 dates is in the 2020 to 2021 funding year.</p>
<ul style="list-style-type: none"> <li>• Planned end date = 20/06/21</li> <li>• Actual end date = 25/07/21</li> <li>• AED first reported in R04 2021/22 rather than occurring in 2021/22</li> </ul>	<p><b>2021/22</b> – because the later of the 3 dates is in the 2021 to 2022 funding year.</p>
<ul style="list-style-type: none"> <li>• Planned end date = 20/08/21</li> <li>• Actual end date = 25/07/21</li> <li>• AED first reported in 2020/21</li> </ul>	<p><b>2021/22</b> – because the later of the 3 dates is in the 2021 to 2022 funding year.</p>
<ul style="list-style-type: none"> <li>• Planned end date = 20/05/21</li> <li>• Actual end date = 25/07/21</li> <li>• AED first reported in 2020/21</li> <li>• AED then corrected to a month earlier in R04 2021/22 to 25/06/21</li> </ul>	<p><b>2020/21</b> – because the later of the 3 dates is in the 2020 to 2021 funding year.</p> <p>The actual end date was first reported in 2020 to 2021, so we discount the second instance that was reported in 2021 to 2022</p>



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