



Driver & Vehicle  
Standards  
Agency

# Enforcement sanctions policy



Helping you **stay safe** on **Britain's roads**

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## GENERAL INSTRUCTIONS

## GENERAL INSTRUCTIONS

### INTRODUCTION

#### INTRODUCTION

This document outlines the course of action to be taken when dealing with the majority of offences encountered by DVSA examiners. All road traffic and construction & use offences are laid down in the appropriate legislation and these form the basic standard for all DVSA's enforcement activities. All infringements, regardless of severity, identified by examiners should be brought to the attention of the driver or operator.

This document provides the policy framework within which DVSA examiners will work thus ensuring a consistent and best practice approach. This is not a legal document and must not be treated as an interpretation of the relevant legislation, which only the courts can provide. It lists the most common offences enforced by DVSA; however, it is not exhaustive.

In many cases, examiners will deal with offences found during roadside inspections by the issue of a prohibition and/or fixed penalty notice, requiring no further action. It should be noted, however, that all investigations begin as criminal investigations and therefore have the potential to progress to prosecution. In cases where the nature, number, or seriousness of the offence(s), indicates that there is systemic failure in the management of the operation, or abuse of regulations, examiners will make appropriate investigations with the vehicle operator to obtain further evidence and where appropriate report offenders for prosecution and to the Traffic Commissioner. In such cases other lesser offences may be considered for inclusion in the prosecution case. Details of when to take follow up action are contained within this document. It may be necessary to address offending of non-licensed operators by prosecution; such circumstances are detailed in this document.

**Certain policies apply to Great Britain (GB) vehicles or drivers whereas others will apply to United Kingdom (UK) vehicles or drivers. It is important to understand this distinction as actions may be dependent upon where the driver lives or where the operator is based.**

#### **Complex Cases**

Where cases involve fraudulent activity, these matters, accompanied by any less serious matters that are discovered, will be reported for prosecution. This can include offences under the Fraud Act 2006, Criminal Law Act 1977, Criminal Justice Act 1987 and Proceeds of Crime Act 2002. These are not covered in this document in detail and would normally require senior management involvement in decisions on proceeding.

Such cases will benefit from governance arrangements during their investigation to track progress and input effort – and to enable decisions on other enforcement agency or police involvement as necessary, as part of these considerations, the need to ensure that road safety concerns can be dealt with in a timely manner – through Traffic Commissioners – will be part of the decision-making process.

| GENERAL INSTRUCTIONS                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
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| <b>SANCTIONS</b><br><br>The Enforcement Sanction Policy specifies the appropriate sanction and/or procedure for each offence type. The sanctions that can be applied are as follows: |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <b>VERBAL WARNING</b>                                                                                                                                                                | Verbal Warnings will be issued by Examiners at the time that the offence is identified. This is appropriate only for minor infringements and those that fall outside of the policy for the issue of historical fixed penalty notices. Examiners will also give guidance on how to avoid repetition of the offence.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>PROHIBITION NOTICES</b>                                                                                                                                                           | <p>The purpose of a prohibition notice is to remove the risk to road safety, and it is not to be regarded as a penalty. It is important to distinguish between current and historical drivers' hours offences. Current drivers' hours offences do attract the issue of a prohibition notice, as the breach presents an immediate road safety risk. By contrast, historical drivers' hours offences will never attract a prohibition notice. Prohibitions are only applicable where the offence is current.</p> <p>The different types and categories of prohibition currently issued are listed below. During a roadside inspection there may be more than one prohibition issued per vehicle depending on the offences or defects found. In such cases there should only be <u>one</u> of each type of prohibition notice (although there may be continuation pages). In the case of vehicles found in breach of regulations relating to the carriage of dangerous goods separate notices will be issued for each individual breach to comply with the requirements of the Health and Safety Executive.</p> <p><b>NOTICES COMING INTO FORCE IMMEDIATELY</b></p> <p><b>Traffic Enforcement:</b></p> <ul style="list-style-type: none"> <li>• Prohibition Notice TE 160 and TE 160DH are issued to UK vehicles</li> <li>• Prohibition Notice GV 170 is issued to non-UK vehicles.</li> </ul> <p><b>Roadworthiness:</b></p> <p>For defects where driving of the vehicle would involve a risk of injury to any person:</p> <ul style="list-style-type: none"> <li>• Prohibition Notice PG9 issued to UK vehicles (indicated by PG9I in action column)</li> <li>• Prohibition Notice GV 171 are issued to non-UK vehicles</li> </ul> <p><b>Note:</b> In the case of defects which, if found on a UK vehicle, would attract a delayed prohibition an immediate prohibition will be issued to non-UK vehicles.</p> <p><b>NOTICES COMING INTO FORCE UP TO 10 DAYS FROM THE DATE OF INSPECTION</b></p> <p>UK vehicles found by an Examiner to have roadworthiness defects where, in the Examiner's opinion the vehicle is, or likely to become unfit for service and there is no risk of injury to any person. The prohibition will come into force at the specified time and date which will be up to 10 days from the date of the inspection:</p> <ul style="list-style-type: none"> <li>• Prohibition Form PG9 (indicated by PG9D in action column)</li> </ul> <p>Examiners will normally select one of the following periods of delay, which have been grouped together into three bands according to the number and severity of the defects listed on the prohibition notice (PG9).</p> <ul style="list-style-type: none"> <li>• Maximum of 10 days - less than 5 defects in non-critical areas</li> <li>• 4 to 7 days - 1 defect in a safety critical area, or 5 more defects in non-critical areas</li> <li>• Up to 3 days - more than 1 defect in a safety critical area of the vehicle</li> </ul> <p>Safety critical defects or systems are those that could affect the control or directional stability of the vehicle.</p> |



| GENERAL INSTRUCTIONS                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
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| <p><b>PROHIBITION NOTICES</b></p> <p><b>CONT</b></p> | <p><b>NOTICES ENDORSED</b></p> <p><b>Against each defect it is necessary to categorise its significance in roadworthiness compliance and maintenance.</b></p> <ul style="list-style-type: none"> <li>• ‘S’ for significant failure of roadworthiness compliance,</li> <li>• ‘-’ (Blank) for defects which may or may not be attributable to poor maintenance and</li> <li>• ‘X’ where the defect is no reflection on the maintenance system</li> </ul> <p><b>Roadworthiness prohibitions both immediate and delayed, will be endorsed ‘S’ if, in the Examiner’s opinion, any of the defects which led to the prohibition was an indicator that there is significant failure of roadworthiness compliance. . These are defects that the operator and / or driver should have been aware of through any or all of the following:</b></p> <ul style="list-style-type: none"> <li>• Long standing defect that should have been detected and repaired at the last safety check.</li> <li>• The defect or issue should have been detected at the first use/daily walk round check.</li> <li>• Performance, handling and/or warning systems would have made the defect obvious to the driver.</li> <li>• Poor workmanship should have been apparent to repairer.</li> <li>• The nature of the defect(s) observed at annual test were such that they should have been found before the vehicle was presented for test.</li> <li>• The number and nature of defects present on this notice indicates a significant failure in maintenance.</li> </ul> <p><b>Defects(s) NOT considered to be maintenance related – ‘X’</b></p> <p>Appropriate for defects of an entirely random failure nature such as a lighting bulb failure or a new fracture in a road spring leaf, having arisen through a random failure of a component, and where it is also apparent that it would not have been noticed by the driver.</p> <p><b>Unable to determine whether a defect is attributable to poor maintenance:</b></p> <p>If it is not possible to determine whether or not the operator, driver or the maintenance arrangements are culpable, then the defect is not endorsed.</p> |
| <p><b>INSPECTION NOTICE</b></p>                      | <p>Where Examiners find roadworthiness defects not serious enough to warrant prohibition, they will advise the driver (user) using a Vehicle Inspection Notice (PG35EC). This notice is advisory only and does not in itself prevent further use of the vehicle.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <p><b>OFFENCE RECTIFICATION NOTICES</b></p>          | <p>For certain offences Examiners will issue an offence rectification notice (ORN) to the driver at the roadside, a copy of which will be sent to the operator. The notice states that if the offence is rectified within a set period of time and documentary evidence is produced to this effect, then no further action will be taken. If, however the operator fails to respond within the time period then Examiners will conduct follow up enquiries.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |

| GENERAL INSTRUCTIONS                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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| <b>FIXED PENALTY AND DEPOSIT NOTICES</b> | <p>Fixed Penalty Notices may be issued to any driver of a vehicle irrespective of where the vehicle is registered or based, where we know, or have reasonable cause to suspect, that the vehicle is used in conjunction with a trade or business. Any privately used vehicle will not be subject to the Fixed Penalty sanction.</p> <p>Fixed Penalty Notices allow DVSA to enforce regulations against non-UK based drivers, and UK drivers without a satisfactory address, more effectively. They will be offered for routine offences detected only during roadside inspections. Serious offences such as using a vehicle in a dangerous condition, falsified records and any element of fraud or where there is more than one endorsable offence continue to be liable for prosecution through the courts. Some minor offences may be dealt with collectively and further details can be found later in the guide.</p> <p>In cases where the vehicle is GB registered a fixed penalty notice will only be issued to the driver where the driver is partly or solely culpable for the offence.</p> <p>Some driver's hours' and overloading offences are graduated depending on the severity of offending, so for example, a driver exceeding the daily driving limit by 30 minutes would receive a lower level fixed penalty than a driver exceeding it by 2 hours. Fixed penalty notices are based on the severity of the offence as follows: –</p> <ul style="list-style-type: none"> <li>Level 1 - £50</li> <li>Level 2 - £100</li> <li>Level 3 - £200</li> <li>Level 4 - £300</li> </ul> <p>With the introduction of Community Drivers' Hours Offences (Enforcement) Regulations 2018, it is now possible to issue fixed penalty notices for driver's hours offences committed in the last 28 days or to the last control.</p> <p><b>DRIVERS HOURS HISTORICAL OFFENCES – fixed penalty and prosecution procedure</b></p> <p>Examiners should check for historical drivers' hours offences within the preceding 28 days' records, or since the last drivers' hours enforcement check evidenced by a digital record, tachograph stamp, or other inspection document. Where an offence date falls within the 28-day period, a Fixed Penalty should be issued unless there is a clear justification not to do so. Offences older than 28 days must be progressed by conventional prosecution.</p> <p>To qualify for fixed penalties historical offences must be severe (Band 4), or if of lesser severity (band 1-3), must be numerous (more than 3). See table on page 11.</p> <p><u>Dealing with the operator</u></p> <p>Follow-up Requirements - If the encounter triggers historical fixed penalty action then a follow up will be required, see page 14 for further information. For any historical driver's hours offences dealt with by fixed penalty an analysis of operator's systems will assess operator culpability. Where there is such evidence and/or further offences are found prosecution should be considered and a report will be submitted to the TC (in other cases an advisory letter may be appropriate). This ensures DVSA have a robust system in place to investigate operators whose drivers have committed serious or serial offences.</p> <p>Where it is apparent that a driver had committed numerous drivers' hours' offences but avoids fixed penalty action due to the enforcement policy,</p> |

| GENERAL INSTRUCTIONS                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
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| <b>FIXED PENALTY<br/>AND DEPOSIT<br/>NOTICES CONT</b> | <p><u>For example</u>, a driver is encountered and found to have committed three drivers' hours offences, which fall outside the historical policy, i.e. all band 2 offences. The examiner correctly records / deals with these offences and issues the driver with a verbal warning. A further encounter with the same driver 10 days later also reveals two further offences, all band 3, which again falls outside of the policy.</p> <p>In this case, the individual encounters did not meet enforcement policy. However, it indicates that the driver has failed to adhere to previous verbal warning, so FUE / prosecution action is then required for the offences detected during the most recent encounter.</p> <p><u>Prosecution Procedure</u></p> <p><u>Drivers with a verifiable UK address</u></p> <p>Fixed Penalties, or Conditional Offers as they are called in Scotland, are issued to any driver found committing offences who has a UK verifiable address where a summons can be served, up to a maximum of five offences per Examiner per encounter. Any more than five offences per Examiner per encounter or more than one endorsable offence per driver will result in a report for prosecution.</p> <p>Drivers have 28 days to pay the penalty amount or request a court hearing. Failure to either pay or request a court hearing within the time limit will result in the amount(s) being increased by 50% and being registered as a court fine at the offender's nearest court. Failure to pay a Conditional Offer or request a court hearing in the 28 days' period does not increase the penalty by 50% but will result in a report to the Procurator Fiscal who will then decide what action to take.</p> <p>In cases where some of the offences found are to be reported for prosecution but there are others which could be dealt with by Fixed Penalty notices then <u>all</u> the offences found will be reported for prosecution and no Fixed Penalty notices will be issued.</p> <p><u>Drivers without a verifiable UK address</u></p> <p>If more than five offences are found fixed penalty notices will be issued for the five most serious offences.</p> <p>Prosecution action will be taken for summary only offences which do not require an investigative interview.</p> <p>Prosecution of offences involving falsification, or some other type of fraudulent activity are to be pursued only where the assistance of the Police can be secured. So, where possible the offender will be arrested by Police. Where this is not possible, and only where there is sufficient evidence available, then fixed penalty notices will be issued for alternative offences.</p> <p>In cases where a fixed penalty notice is to be issued and the driver is unable to provide a verifiable UK address then a deposit is required immediately from the offender, as a summons cannot be served. Regardless of whether a deposit has been secured or not, the rights of appeal would be the same and a deposit would be refunded should the driver be found not guilty.</p> <p>If the driver cannot or refuses to pay a fixed penalty deposit, the vehicle will be prohibited for non-payment and will not be able to continue on its journey. It may also be immobilised until payment has been received or the case has been disposed of by the court.</p> |

GENERAL INSTRUCTIONS

FIXED PENALTY  
AND DEPOSIT  
NOTICES CONT

Pre-agreed table that DfT, DVSA and industry have agreed to target serious or serial offenders

|                            |                               |                  |                                              |                                              |                                              |                              |                              |              |
|----------------------------|-------------------------------|------------------|----------------------------------------------|----------------------------------------------|----------------------------------------------|------------------------------|------------------------------|--------------|
| Current GFPD Offences >>>> | Six offences                  |                  |                                              |                                              |                                              |                              |                              |              |
|                            | Five offences                 | 5 x current GFPD |                                              |                                              |                                              |                              |                              |              |
|                            | Four offences                 | 4 x current GFPD | 4 x current GFPD + Historical GFPD if band 4 |                                              |                                              |                              |                              |              |
|                            | Three offences                | 3 x current GFPD | 3 x current GFPD + Historical GFPD if band 4 | 3 x current GFPD + Historical GFPD if band 4 |                                              |                              |                              |              |
|                            | Two offences                  | 2 x current GFPD | 2 x current GFPD + Historical GFPD if band 4 | 2 x current GFPD + Historical GFPD if band 4 | 2 x current GFPD + Historical GFPD if band 4 |                              |                              |              |
|                            | One offences                  | 1 x current GFPD | 1 x current GFPD + Historical GFPD if band 4 | 1 x current GFPD + Historical GFPD if band 4 | 1 x current GFPD + Historical GFPD if band 4 | GFPD all offences (any band) |                              |              |
|                            | Nil                           | NFA              | Historical GFPD if band 4                    | Historical GFPD if band 4                    | Historical GFPD if band 4                    | GFPD all offences (any band) | GFPD all offences (any band) |              |
|                            |                               | Nil              | One offences                                 | Two offences                                 | Three offences                               | Four offences                | Five offences                | Six offences |
|                            | Historical GFPD offences >>>> |                  |                                              |                                              |                                              |                              |                              |              |

Court referral required / FUE / Prosecute

| GENERAL INSTRUCTIONS  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
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| <b>FURTHER ACTION</b> | <p><b>VEHICLES SUBJECT TO OPERATOR LICENSING</b></p> <p>Any Fixed Penalty Notices issued to drivers for current offences will affect the Operator Compliance Risk Score (OCRS) of the operator of that vehicle. Historical offences committed up to 28 days prior will <b>not</b> affect the Operator Compliance Risk Score (OCRS).</p> <p>Where a driver is being prosecuted for either a serious offence, e.g. fraudulent activity, or for more than five Fixed Penalty Offences Examiners will carry out an analysis of the operator's systems to assess operator culpability. Where there is such evidence of culpability and / or further offences are found, prosecution should be considered, and a report will be submitted to the Traffic Commissioner</p> <p><b>No Further Action (NFA)</b></p> <p>Where Examiners are unable to prove an offence, further enquiries reveal no offence has been committed or a valid defence has been established then the offender(s) should be informed by letter that the matter will not be pursued.</p> <p><b>Advisory Letter</b></p> <p>Where on reviewing the outcome of further enquiries in conjunction with the offender(s) history, a decision is reached that no report is to be submitted either to the Traffic Commissioner or for prosecution the offender should be advised accordingly and informed that the offence has been noted and may be referred to if further offences are committed in the future.</p> <p><b>Formal Warning Letters</b></p> <p>Where a Public Inquiry, Driver Conduct Hearing or prosecution is not deemed appropriate because of the nature or number of the offences or because of the Operator or driver's overall performance a recommendation may be made to the Traffic Commissioner that a formal warning letter should be sent. In this guide advisory letter and warning letter sanctions are combined with the former being the norm.</p> <p><b>Prosecution or referral to the Traffic Commissioner</b></p> <p>Following any prosecution (unless dealt with by fixed penalty notices for current offences or by an advisory or warning letter) a report will be submitted to the Traffic Commissioner for possible disciplinary action at a Public Inquiry or Driver Conduct hearing.</p> <p><b>Categorisation of serious infringements and Most Serious Infringements (MSIs)</b></p> <p>Regulation EC 1071/2009 and regulation EU 2016/403 categorises offences, which are deemed as Serious Infringements, the relevant individual offences are detailed in this document. MSI procedures will always be followed regardless of any other action taken, offences detailed as VSI and SI are for examiner information, Search will record these offences automatically, which may lead to an operator visit if levels are reached that require investigation. Those infringements recorded against non-UK vehicles will be sent to the home member state for investigation.</p> |

| GENERAL INSTRUCTIONS                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
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| <p><b>FURTHER ACTION</b></p> <p><b>CONT</b></p> | <p><b>Roadworthiness prohibition notices</b></p> <p>The relevant Area Office will assess all 'S' endorsed immediate or delayed prohibition notices. The number and seriousness of the defects will determine what action will be taken ranging from NFA at that time, an advisory letter, a letter requesting an explanation, a visit to the operator to analyse systems which may include checking further vehicles or further roadside inspections. Any adverse findings will be reported to the Traffic Commissioner.</p> <p><b>VEHICLES <u>NOT</u> SUBJECT TO OPERATOR LICENSING OR VEHICLES OPERATING ILLEGALLY (UNAUTHORISED USE)</b></p> <p>The following procedures will apply to UK vehicles used for commercial purposes, which are either not subject to Operators Licensing or that are committing the offence of unauthorised use. Any offences or defects found in relation the use of private vehicles will not be subject to these procedures but may be subject to prohibition notices in order to remove any risks to road safety.</p> <p><b>No Further Action (NFA)</b></p> <p>Where Examiners are unable to prove an offence, if further enquiries reveal no offence has been committed or a valid defence has been established then the offender(s) should be informed by letter that the matter is will not be pursued</p> <p><b>Advisory Letter</b></p> <p>Where, on reviewing the outcome of further enquiries in conjunction with the offender(s) available history, a decision is reached that the public interest would not be served by taking prosecution action then the offender should be advised accordingly and informed that the offence has been noted in our records and may be referred to if further offences are committed in the future.</p> <p><b>Prosecution Action</b></p> <p>In circumstances where there is sufficient evidence to warrant prosecution then such action must be taken in relation to vehicles which are not subject to operator licensing as it is not possible for Traffic Commissioners to deal with such offences.</p> <p><b>NON-GB VEHICLES</b></p> <p>Whilst DVSA is unable to take any direct action against non-GB operator's sanctions will be imposed via the drivers of such vehicles by the issue of fixed penalty notices and prohibition notices. Any offences, which are considered serious infringement (SI), very serious infringement (VSI), or most serious offence (MSI) will automatically be reported back to the appropriate member state via the European Register of Road Transport Undertakings (ERRU).</p> |



| GENERAL INSTRUCTIONS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
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| ACTION KEY CODES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <p><b>AL:</b> Advisory Letter.</p> <p><b>IN:</b> Inspection Notice (PG35EC).</p> <p><b>ORN:</b> Offence Rectification Notice – issued to UK vehicles for minor offences.</p> <p><b>PG9(D):</b> Prohibition Notice (Delayed) - issued to UK vehicles for roadworthiness offences.</p> <p><b>PG9(I):</b> Prohibition Notice (Immediate) - issued to UK vehicles for roadworthiness offences.</p> <p><b>TE160:</b> Prohibition Notice - issued to UK vehicles for offences other than drivers hours/records or roadworthiness</p> <p><b>TE160(DH):</b> Prohibition Notice - issued to UK vehicles for drivers' hours / records offences.</p> <p><b>GV170:</b> Prohibition Notice issued to foreign vehicles.</p> <p><b>DG01:</b> Uniform Monitoring Form for the checking of vehicles carrying hazardous goods.</p> <p><b>DG02:</b> Prohibition issued to vehicles carrying hazardous goods (Health &amp; Safety at Work Act 1974).</p> <p><b>GV171:</b> Immediate Prohibition Notice - issued to foreign vehicles for roadworthiness offences.</p> <p><b>PN:</b> Prohibition notice issued under the Health &amp; Safety at Work Act 1974.</p> <p><b>FP LEVEL:</b> Fixed Penalty Notice – level is dependent on the seriousness of the defect or offence (<b>E:</b> Endorsable offence or defect).</p> <p><b>FP #</b> - Drivers of <b>GB</b> registered vehicles will only be issued with the fixed penalty notice if they have culpability for this offence.</p> | <p><b>FUE:</b> Follow Up Enquiries - Further action will be dependent upon outcome of further enquiries <b>but in any case:</b></p> <ul style="list-style-type: none"> <li>• If the offence is an <b>MSI</b> offences,</li> <li>• Where a driver: <ul style="list-style-type: none"> <li>(a) is issued fixed penalty notices for historical drivers' hours offence; <b>or</b></li> <li>(b) is being prosecuted for an offence; <b>or</b></li> <li>(c) intimates that the operator instructed them to commit offences; <b>or</b></li> <li>(d) receives a fixed penalty for one or more driver's hours offences which fall into these categories: <ul style="list-style-type: none"> <li>- driving of 70 hours or more in a fixed week;</li> <li>- driving of 114½ hours or more in any two consecutive fixed weeks;</li> <li>- driving for 15 hours or more without taking a qualifying 45-minute break or without taking an uninterrupted rest period of at least 4 hours 30 minutes; <b>or</b></li> </ul> </li> <li>(e) Where it is apparent that a driver had committed numerous level 1-3 drivers' hours' offences but avoids fixed penalty action due to the historical offences enforcement policy.</li> </ul> <p>then an analysis of the operator's systems shall be carried out to assess operator culpability. Where there is such evidence and / or further offences are found prosecution should be considered and a report will be submitted to the TC.</p> <ul style="list-style-type: none"> <li>• For any historical driver's hours, offences dealt with by fixed penalty notices an analysis of the operator's systems will assess operator culpability. Where there is such evidence and/or further offences are found prosecution should be considered and a report will be submitted to the TC. See section 4</li> <li>• Where an operator is deemed solely culpable for an offence any necessary further evidence will be obtained and considered for prosecution then reported to the TC. A warning letter can be issued if deemed more suitable. Failure to comply with an ORN may result in the matter being reported to the TC.</li> </ul> <p>All other matters to be reported to TC unless minor enough to be dealt with by advisory or warning letter.</p> </li></ul> | <p><b>P:</b> Prosecution. Court deposit to be taken where offender has no verifiable UK address.</p> <p><b>X:</b> Where possible the offender will be arrested by Police. Where this is not possible, and only where there is sufficient evidence available, then fixed penalty notices will be issued for alternative offences.</p> <p><b>MSI / VSI / SI:</b> Any offence deemed to be a Most Serious Offence, regardless of any action taken for any such offences during a vehicle encounter an investigation will be carried out to establish any shortcomings in the operators system and the outcome will be reported to the Traffic Commissioner. This process applies to GB licensed vehicles only. VSI and SI offences will also be collated by DVSA</p> <p><b>N/A:</b> Not Applicable.</p> <p><b>NFA:</b> No Further Action.</p> <p><b>VW:</b> Verbal Warning. Examiners will give advice on how to prevent committing the offence.</p> <p><b>TC:</b> Traffic Commissioner.</p> <p><b>DVLA:</b> Driver and Vehicle Licensing Agency.</p> <p><b>VRO:</b> Vehicle Registration Office.</p> <p><b>HSE:</b> Health and Safety Executive.</p> <p><b>RMTD:</b> Radioactive Materials Transport Division.</p> <p><b>CLE 2/7T:</b> Vehicle Excise Offences Report.</p> <p><b>CO:</b> Conditional Offer</p> |

## SECTION 1 – DEFECTS & DIMENSIONS

### GUIDANCE NOTES

#### ROADWORTHINESS PROHIBITION NOTICES

The Categorisation of Defects details the circumstances under which a roadworthiness prohibition notice will be issued. It can be found in PDF format on the internet.

#### FIXED PENALTY NOTICES FOR ROADWORTHINESS OFFENCES

##### Drivers of GB registered vehicles

A fixed penalty Notice may be issued when an Immediate Prohibition Notice is issued in accordance with the Categorisation of Defects and is **also 'S' endorsed** for a significant failing of the maintenance system in relation to defects which:

- have obviously been present for some time (but not necessarily since the last safety check) and should have been spotted by the person responsible for carrying out the daily walk round check/first use inspection, or
- would have been obvious to the driver due to the vehicle's performance or handling or were visible or audible to the driver or because the vehicle's warning systems showed their presence

Where it is evident that the immediate defects found have only just arisen due to random failure of the component e.g. bulb, broken spring, a prohibition notice should be issued. Where the Examiner is satisfied that the defect could have occurred since the driver conducted the daily walk round check or would not have been obvious in the driving/use of the vehicle then no fixed penalty notice should be issued.

##### Drivers of non-GB registered vehicles

As drivers of such vehicles are regarded to be acting as agents for non-GB Operators, they will receive a Fixed Penalty Notice when a Prohibition Notice is issued for any immediate defect, as detailed in the Categorisation of Defects. Unless the defect is likely to have occurred since last walk round check and would not have been apparent through driving the vehicle. There is no requirement for the driver to be culpable in any way for either notice to be issued.

##### Privately used vehicles

In the case of offences which are prohibitable the appropriate prohibition notices will be issued in order to address the road safety issue. No Fixed Penalty Notice will be issued nor will the offender be reported for prosecution. The user of the vehicle should however be advised on how to avoid a repetition of the offence.

**SECTION 1 – DEFECTS & DIMENSIONS**

**SECTION 1 GUIDANCE NOTES CONT**

**TYPES OF ROADWORTHINESS OFFENCES**

Within Section 1 there are two types of roadworthiness offences listed. These are **specific** offences which relate to brakes, steering or tyres and **non-specific**.

**SPECIFIC OFFENCES – SECTION 41A**

These are offences where the Construction and Use Regulations detail the presence, maintenance or condition of a specific component relating to brakes, steering-gear or tyres. So, for example, Regulation 18 requires that “every part of every braking system and the means of operation thereof fitted to a vehicle shall be maintained in good and efficient working order and be properly adjusted”.

Any failure to comply with one of these requirements is an offence under section 41A of the Road Traffic Act 1988. These offences are endorsable.

**NON-SPECIFIC OFFENCES – SECTION 42**

For defects other than brakes, steering-gear or tyres, Section 42 of the Road Traffic Act 1988 makes it an offence to fail to comply with any construction and use requirements that being Regulation 100(1) of the Road Vehicles (Construction and Use) Regulations 1986 which requires motor vehicles and trailers to be in such condition that no danger or likelihood of danger is caused.

These offences are not endorsable.

**SECTION 40A**

Although in the majority of cases non-specific offences will be dealt with under Section 42, and by the issue of Fixed Penalty notices, Examiners may take prosecution action under Section 40A of the Road Traffic Act 1988 where in their opinion the overall condition of the vehicle, taking into account all of the defects found, is such that a danger has either been caused or is being caused.

Fixed Penalty Notices will not be issued for Section 40A offences as these offences are endorsable and carry a discretionary disqualification.

For further details on Regulation 100 and Section 40A please see the separate page later in the guidance notes.

**PSVAR**

Unless a Special Authorisation has been issued, it is an offence under Section 175 (1) of the Equality Act 2010 for an operator to use a ‘Regulated Public Service Vehicle’ on a Scheduled Service or a Local Service if the vehicle does not comply with the Public Service Vehicle Accessibility Regulations 2000.

The sanction for using a ‘Regulated Public Service Vehicle’ in contravention of these regulations is under Section 175 (2) of the Equality Act 2010, the operator of the vehicle is guilty of an offence and liable on summary conviction to a fine not exceeding level 4 on the standard scale (at this time £2500\*)

**SECTION 1 – DEFECTS & DIMENSIONS**

**SECTION 1 GUIDANCE NOTES CONT**

**SPEED LIMITERS**

**General notes**

The Table of RSL Thresholds which can be found in the Categorisation of Defects.

Examiners should bear in mind the defences in subsection 13 of Regulation 36A and subsection 14 of Regulation 36B of the Road Vehicles (Construction and use) Regulations 1986.

Comparison between the speed trace on the tachograph record sheet and the set speed on the speed limiter plate [taking into account Regulation 36B(11A)] may reveal cause for further examination or follow up enquiries.

When examining tachograph record sheets there must be clear evidence that the vehicle is exceeding its legal limited speed and care must be taken that the excess speed is not due to over-run or an isolated incident. It may be necessary to check more than one record sheet to show sustained periods of excess speed (sustained period is in excess of 5 minutes, excess speed must be in excess of 10km/h of legal limited speed).

If there is evidence that the speed limiter effectiveness has been impaired deliberately or the defect is of longstanding (since the previous working week) identified from tachograph record sheets, then an 'S' marking on the prohibition would be justified

Frequent incidents of excess speeding should be reported to the Traffic Commissioner for possible action against the Operator Licence Statement of Intent.

**Instrumented Check**

Instrumented checks by Examiners can only be carried out on analogue equipment. A prohibition issued for a defect in relation to this item only can be removed by submission of satisfactory evidence (not a photocopy) from an authorised sealing agent.

**Digital Tachograph Overspeed Setting**

Please note that the overspeed setting within a digital tachograph has no effect on the functionality of the speed limiter. For further advice on overspeed settings please go to the notes at the start of Section 4 – Drivers' Hours and Tachographs.

### SECTION 1 – DEFECTS & DIMENSIONS

#### SECTION 1 GUIDANCE NOTES CONT

##### REGULATION 100

Within this Regulation there are three distinct elements, namely, likely to be a danger, likely to be a nuisance and the unsuitability of the vehicle, and proof for each is equally distinct.

**The three sub-sections to this offence are: -**

- **100(1)** – Requires that all parts and accessories of vehicles / trailers shall at all times be in such condition, the number and manner of passengers carried, the weight, distribution, the packaging and the adjustment of the load shall at all times be such, that no danger is caused or is likely to be caused to any person in or on the vehicle or trailer or on a road.
- **100(2)** – Requires that the load carried shall at all times be so secured, if necessary, by physical restraint other than by its own weight, and in such a position that no danger or nuisance is likely to be caused (either to property or persons). Only likelihood to cause danger or nuisance needs to be proved.
- **100(3)** - Vehicle is unsuitable for the purpose that it is being used for. Only likelihood to cause danger or nuisance needs to be proved.

##### SECTION 40A

Section 40A of the Road Traffic Act 1988 is a free-standing section not dependent on there being a breach of Construction & Use Regulations. Section 40A prohibits the use etc of a motor vehicle or trailer when the condition or purpose or the number of passengers carried, or its load is such that the use involves a danger of injury to any person. The offence carries discretionary disqualification and an obligatory endorsement.

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS |          |                                                            |                         |            |                                                                                                                                          |
|----------------------------------|----------|------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                        | PLS CODE | DEFECT / OFFENCE DESCRIPTION                               | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                                      |
| TYRES                            |          |                                                            |                         |            |                                                                                                                                          |
| SIZE / TYPE OF TYRES             | IM 7     | Unsuitable load / speed rating                             | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 27(1)(a) and Section 41A of the Road Traffic Act 1988, SO-5-3pts     |
|                                  | IM 7A    | Mixing of tyres – types / sizes                            | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 26 and Section 41A of the Road Traffic Act 1988, SO-5-3pts           |
| CONDITION OF TYRES               | IM 8A    | Tread below 1.6 mm – vehicles falling into classes 4, 5, 7 | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 27(4)(d)&(f) and Section 41A of the Road Traffic Act 1988, SO-4-3pts |
|                                  | IM 8B    | Tread below 1 mm - HGV / PSV                               | £200 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 27(1)(g) and Section 41A of the Road Traffic Act 1988, SO-5-3pts     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS             |          |                                                                                                    |                         |            |                                                                                                                                      |
|----------------------------------------------|----------|----------------------------------------------------------------------------------------------------|-------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                                    | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                                       | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                                  |
| <b>CONDITION OF TYRES</b><br><br><b>CONT</b> | IM 8C    | Tyre under inflated                                                                                | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 27(1)(b) and Section 41A of the Road Traffic Act 1988, SO-5-3pts |
|                                              | IM 8D    | Tyre has lump, bulge or tear                                                                       | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 27(1)(d) and Section 41A of the Road Traffic Act 1988, SO-5-3pts |
|                                              | IM 8F    | Tyre with ply / cord exposed                                                                       | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Reg. 27(1)(e) and Section 41A of the Road Traffic Act 1988, SO-5-3pts       |
|                                              | IM 8G    | Date on tyre sidewall not legible                                                                  | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Reg. 27(1)(k) and Section 41A of the Road Traffic Act 1988, SO-5-3pts       |
|                                              | IM 8H    | Date of manufacture on a non-retreated tyre sidewall in excess of 10 years of age or not displayed | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Reg. 27(1)(i) and Section 41A of the Road Traffic Act 1988, SO-5-3pts       |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS          |          |                                                                                                |                         |            |                                                                                                                                |
|-------------------------------------------|----------|------------------------------------------------------------------------------------------------|-------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                                 | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                                   | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                            |
| <b>CONDITION OF TYRES</b><br><b>CONT</b>  | IM 8J    | Date of manufacture on a retreated tyre sidewall in excess of 10 years of age or not displayed | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Reg. 27(1)(j) and Section 41A of the Road Traffic Act 1988, SO-5-3pts |
| <b>SPARE WHEEL / CARRIER</b>              |          |                                                                                                |                         |            |                                                                                                                                |
| <b>SPARE WHEEL / CARRIER</b>              | IM 10    | Insecure – detachment imminent and danger is caused or likely to be caused                     | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4   |
| <b>ROAD WHEELS AND AXLES</b>              |          |                                                                                                |                         |            |                                                                                                                                |
| <b>ROAD WHEELS AND HUBS</b>               | IM 6     | Road wheel – missing / condition / security and danger is caused or likely to be caused        | £100 FP & MSI           | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4   |
| <b>AXLE, STUB AXLE AND WHEEL BEARINGS</b> | IM53A    | Running gear - component defective and danger is caused or likely to be caused                 | £100 FP & MSI           | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4   |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS                       |          |                                                                 |                         |            |                                                                                                                                |
|--------------------------------------------------------|----------|-----------------------------------------------------------------|-------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                                              | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                    | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                            |
| <b>AXLE, STUB AXLE AND WHEEL BEARINGS</b>              | IM53B    | Running gear - component defective affecting control            | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 29 and Section 41A of the Road Traffic Act 1988, SO-5-3pts |
| <b>STEERING / STEERING CONTROLS / DRIVING CONTROLS</b> |          |                                                                 |                         |            |                                                                                                                                |
| <b>STEERING MECHANISM</b>                              | IM 54    | Component defective                                             | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 29 and Section 41A of the Road Traffic Act 1988, SO-5-3pts |
| <b>STEERING CONTROL</b>                                | IM 30    | Component defective / free play                                 | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 29 and Section 41A of the Road Traffic Act 1988, SO-5-3pts |
| <b>DRIVING CONTROLS</b>                                | IM 28    | Component defective and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4   |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS                                      |          |                                                                                     |                         |            |                                                                                                                                                                         |
|-----------------------------------------------------------------------|----------|-------------------------------------------------------------------------------------|-------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                                                             | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                        | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                                                                     |
| <b>BRAKING SYSTEM</b>                                                 |          |                                                                                     |                         |            |                                                                                                                                                                         |
| <b>BRAKING SYSTEM AND COMPONENTS</b>                                  | IM 59    | Defective                                                                           | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 18 and Section 41A of the Road Traffic Act 1988, SO-5-3pts                                          |
| <b>BRAKING SYSTEM AND COMPONENTS - AGRICULTURAL VEHICLE / TRAILER</b> | IM 59B   | No braking system                                                                   | £100 FP & MSI           | Yes        | Regulation 16(4) Item 26 Requirement 3, Schedule 3 of the Road Vehicles (Construction and Use) Regulations 1986 and Section 41A of the Road Traffic Act 1988, SO-5-3pts |
| <b>ADDITIONAL BRAKING DEVICES</b>                                     | IM 58A   | Component insecure -detachment imminent and danger is caused or likely to be caused | £100 FP & MSI           | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4                                            |
| <b>RESERVOIR WARNING DEVICE</b>                                       | IM 34A   | Brake vacuum / pressure warning device – missing                                    | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 17(1) and Section 41A of the Road Traffic Act 1988, SO-5-3pts                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS    |          |                                                      |                         |            |                                                                                                                                |
|-------------------------------------|----------|------------------------------------------------------|-------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                           | PLS CODE | DEFECT / OFFENCE DESCRIPTION                         | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                            |
| <b>EXHAUST SYSTEM AND EMISSIONS</b> |          |                                                      |                         |            |                                                                                                                                |
| <b>EXHAUST SYSTEMS</b>              | IM46     | System defective                                     | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 54(2) and Section 42 of the Road Traffic Act 1988, SO-4    |
| <b>EXHAUST EMISSIONS</b>            | IM 5C    | Emitting excessive smoke                             | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 61(1) and Section 42 of the Road Traffic Act 1988, SO-4    |
| <b>FUEL TANK AND SYSTEM</b>         |          |                                                      |                         |            |                                                                                                                                |
| <b>FUEL TANK AND SYSTEM</b>         | IM 45A   | Insecure and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4   |
|                                     | IM 45B   | Leakage from tank                                    | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 39(2)(a) and Section 42 of the Road Traffic Act 1988, SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS |          |                                                     |                         |            |                                                                                                                                |
|----------------------------------|----------|-----------------------------------------------------|-------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                        | PLS CODE | DEFECT / OFFENCE DESCRIPTION                        | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                            |
| FUEL TANK AND SYSTEM<br><br>CONT | IM 45C   | Filler cap missing or defective permitting spillage | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 39(2)(a) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  | IM 45D   | Diesel fuel leak from defect                        | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 61(5)(a) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  | IM 45F   | Petrol fuel leak from defect                        | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4   |
| LEAKS                            |          |                                                     |                         |            |                                                                                                                                |
| OIL LEAK                         | IM 44    | Oil leaking onto road                               | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 61(5)(a) and Section 42 of the Road Traffic Act 1988, SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS |          |                                                                                                  |                         |            |                                                                                                                              |
|----------------------------------|----------|--------------------------------------------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                        | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                                     | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| WASTE LEAK                       | IM 44A   | Waste leaking from PSV which constitutes a health risk                                           | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
| ENGINE AND TRANSMISSION          |          |                                                                                                  |                         |            |                                                                                                                              |
| ENGINE AND TRANSMISSION          | IM 43    | Mounting insecure – detachment imminent and danger is caused or likely to be caused              | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
| TRANSMISSION                     | IM 57    | Transmission component -insecure or failure imminent and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Reg 100(1) and Section 42 of the Road Traffic Act 1988, SO-4        |
| TACHOGRAPH / SPEED LIMITER       |          |                                                                                                  |                         |            |                                                                                                                              |
| TACHOGRAPH                       |          | Go to Section 4 for tachograph defects.                                                          |                         |            |                                                                                                                              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS |          |                                |                         |            |                                                                                                                              |
|----------------------------------|----------|--------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                        | PLS CODE | DEFECT / OFFENCE DESCRIPTION   | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| SPEED LIMITER                    | 1-66     | Speed limiter not fitted – HGV |                         |            | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 36B(6) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  |          | - Driver                       | £200 FP & MSI           | No         |                                                                                                                              |
|                                  |          | - Operator                     | FUE                     | N/A        |                                                                                                                              |
|                                  | 1-66.1   | Cause                          | FUE                     | N/A        |                                                                                                                              |
|                                  | 1-66.2   | Permit                         | FUE                     | N/A        |                                                                                                                              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS    |          |                                                              |                         |            |                                                                                                                              |
|-------------------------------------|----------|--------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                           | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                 | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| <b>SPEED LIMITER</b><br><b>CONT</b> | 1-68A    | Speed limiter not restricting vehicle to legal maximum – HGV |                         |            | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 36B(6) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                     |          | - Driver                                                     | £200 FP                 | No         |                                                                                                                              |
|                                     |          | - Operator                                                   | FUE                     | N/A        |                                                                                                                              |
|                                     | 1-68A.1  | Cause                                                        | FUE                     | N/A        |                                                                                                                              |
|                                     | 1-68A.2  | Permit                                                       | FUE                     | N/A        |                                                                                                                              |
|                                     | 1-68     | Speed limiter defective – HGV                                |                         |            | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 36B(6) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                     |          | - Driver                                                     | £200 FP                 | No         |                                                                                                                              |
|                                     |          | - Operator                                                   | FUE                     | N/A        |                                                                                                                              |
|                                     | 1-68.1   | Cause                                                        | FUE                     | N/A        |                                                                                                                              |
|                                     | 1-68.2   | Permit                                                       | FUE                     | N/A        |                                                                                                                              |



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| SECTION 1 – DEFECTS & DIMENSIONS |          |                                     |                         |            |                                                                                                                               |
|----------------------------------|----------|-------------------------------------|-------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                        | PLS CODE | DEFECT / OFFENCE DESCRIPTION        | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                           |
| SPEED LIMIER<br>CONT             | 1-67     | Speed limiter interfered with - HGV |                         |            | The Road Vehicles (Construction & Use) Regulations 1986, Regulations 36B(6) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  |          | - Driver                            | P                       | No         |                                                                                                                               |
|                                  |          | - Operator                          | FUE                     | N/A        |                                                                                                                               |
|                                  | 1.67.1   | Cause                               | FUE                     | N/A        |                                                                                                                               |
|                                  | 1.67.2   | Permit                              | FUE                     | N/A        |                                                                                                                               |
|                                  | 1-63     | Speed limiter not fitted – PSV      |                         |            | The Road Vehicles (Construction & Use) Regulations 1986, Regulations 36A(4) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  |          | - Driver                            | £200 FP & MSI           | No         |                                                                                                                               |
|                                  |          | - Operator                          | FUE                     | N/A        |                                                                                                                               |
|                                  | 1-63.1   | Cause                               | FUE                     | N/A        |                                                                                                                               |
|                                  | 1-63.2   | Permit                              | FUE                     | N/A        |                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS    |          |                                                              |                         |            |                                                                                                                               |
|-------------------------------------|----------|--------------------------------------------------------------|-------------------------|------------|-------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                           | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                 | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                           |
| <b>SPEED LIMITER</b><br><b>CONT</b> | 1-65A    | Speed limiter not restricting vehicle to legal maximum – PSV |                         |            | The Road Vehicles (Construction & Use) Regulations 1986, Regulations 36A(4) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                     |          | - Driver                                                     | £200 FP                 | No         |                                                                                                                               |
|                                     |          | - Operator                                                   | FUE                     | N/A        |                                                                                                                               |
|                                     |          |                                                              |                         |            |                                                                                                                               |
|                                     | 1-65A.1  | Cause                                                        | FUE                     | N/A        | The Road Vehicles (Construction & Use) Regulations 1986, Regulations 36A(4) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                     | 1-65A.2  | Permit                                                       | FUE                     | N/A        |                                                                                                                               |
|                                     | 1-65     | Speed limiter defective – PSV                                |                         |            |                                                                                                                               |
|                                     |          | - Driver                                                     | £200 FP                 | No         |                                                                                                                               |
|                                     |          | - Operator                                                   | FUE                     | N/A        |                                                                                                                               |
|                                     |          |                                                              |                         |            |                                                                                                                               |
|                                     | 1-65.1   | Cause                                                        | FUE                     | N/A        |                                                                                                                               |
|                                     | 1-65.2   | Permit                                                       | FUE                     | N/A        |                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS |          |                                                                                                                   |                         |                   |                                                                                                                                                       |
|----------------------------------|----------|-------------------------------------------------------------------------------------------------------------------|-------------------------|-------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                        | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                                                      | SANCTION & OTHER ACTION | ENDORSABLE        | REG                                                                                                                                                   |
| SPEED LIMITER<br><br>CONT        | 1-64     | Speed limiter interfered with - PSV<br><br>- Driver<br><br>- Operator                                             | P<br><br><br>FUE        | No<br><br><br>N/A | The Road Vehicles (Construction & Use) Regulations 1986, Regulations 36A(4) and Section 42 of the Road Traffic Act 1988, SO-4                         |
|                                  | 1.64.1   | Cause                                                                                                             | FUE                     | N/A               |                                                                                                                                                       |
|                                  | 1.64.2   | Permit                                                                                                            | FUE                     | N/A               |                                                                                                                                                       |
|                                  |          |                                                                                                                   |                         |                   |                                                                                                                                                       |
|                                  | 1-69     | Plate not displayed in a conspicuous position in the cab<br><br>If operator fails to comply with ORN requirements | ORN<br><br>FUE          | No                | The Road Vehicles (Construction & Use) Regulations 1986, Regulations 36A(4) (PSV) or 36B(6) (Goods) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  |          |                                                                                                                   |                         |                   |                                                                                                                                                       |
| CHASSIS AND SUSPENSION           |          |                                                                                                                   |                         |                   |                                                                                                                                                       |
| CHASSIS CONDITION                | IM 41    | Chassis in unsafe condition and danger is caused or likely to be caused                                           | £100 FP & MSI           | No                | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS |          |                                                                                                  |                         |            |                                                                                                                                |
|----------------------------------|----------|--------------------------------------------------------------------------------------------------|-------------------------|------------|--------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                        | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                                     | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                            |
| SUSPENSION                       | IM 48A   | Suspension which is not part of steering – defective and danger is caused or likely to be caused | £100 FP & MSI           | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4   |
|                                  | IM 48B   | Suspension forms part of steering and steering affected by defect                                | £100 FP & MSI           | Yes        | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 29 and Section 41A of the Road Traffic Act 1988, SO-5-3pts |
| REAR UNDER-RUN AND SIDEGUARDS    |          |                                                                                                  |                         |            |                                                                                                                                |
| REAR UNDER-RUN                   | IM 9A    | Damaged or insecure and danger is caused or likely to be caused                                  | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4   |
| SIDEGUARDS                       | IM 9B    | Damaged or insecure and danger is caused or likely to be caused                                  | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4   |

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| SECTION 1 – DEFECTS & DIMENSIONS |          |                                                                                                       |                         |            |                                                                                                                              |
|----------------------------------|----------|-------------------------------------------------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                        | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                                          | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| <b>WINGS / ARCHES</b>            |          |                                                                                                       |                         |            |                                                                                                                              |
| <b>WINGS / ARCHES</b>            | IM 14    | Wing or spray suppression - detachment imminent and danger is caused or likely to be caused           | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  | IM 14B   | Missing, holed or seriously weakened                                                                  | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 63 and Section 42 of the Road Traffic Act 1988, SO-4     |
| <b>TRAILER LANDING LEGS</b>      |          |                                                                                                       |                         |            |                                                                                                                              |
| <b>TRAILER LANDING LEGS</b>      | IM 13A   | Leg – fitment insecure and danger is caused or likely to be caused                                    | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  | IM 13B   | Pad, wheel, retaining device or handle – fitment insecure and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS  |          |                                                                                           |                         |            |                                                                                                                              |
|-----------------------------------|----------|-------------------------------------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                         | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                              | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| <b>VEHICLE / TRAILER COUPLING</b> |          |                                                                                           |                         |            |                                                                                                                              |
| <b>VEHICLE / TRAILER COUPLING</b> | IM 11    | Defective and danger is caused or likely to be caused                                     | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                   | IM 11A   | Vehicle coupling not compatible with trailer                                              | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(3) and Section 42 of the Road Traffic Act 1988, SO-4 |
| <b>CAB SECURITY</b>               |          |                                                                                           |                         |            |                                                                                                                              |
| <b>CAB SECURITY</b>               | IM 15A   | Mounting or tilt retention device – defective and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                   | IM 15B   | Wind deflector - detachment imminent and danger is caused or likely to be caused          | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS |          |                                                                                                |                         |            |                                                                                                                              |
|----------------------------------|----------|------------------------------------------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                        | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                                   | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| <b>BODY OF VEHICLE / DOORS</b>   |          |                                                                                                |                         |            |                                                                                                                              |
| <b>BODY SECURITY</b>             | IM 19    | Fitment insecure or inoperative and danger is caused or likely to be caused                    | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
| <b>BODY CONDITION</b>            | IM 20    | Damaged / protruding / insecure and danger is caused or likely to be caused                    | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
| <b>BODY INTERIOR</b>             | IM 21    | Body / fitments – condition likely to cause danger and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
| <b>DOORS</b>                     | IM 16    | Door / fitting – defective and danger is caused or likely to be caused                         | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  |          | Emergency door obstructed (including being locked)<br><br>Go to Section 11, offence 11-10      |                         |            |                                                                                                                              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS           |            |                                                                                    |                         |            |                                                                                                                              |
|--------------------------------------------|------------|------------------------------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                                  | PLS CODE   | DEFECT / OFFENCE DESCRIPTION                                                       | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| <b>DRIVER CAB STEP AND SEAT</b>            |            |                                                                                    |                         |            |                                                                                                                              |
| <b>DRIVERS CAB STEP</b>                    | IM 17      | Step / step ring - damaged or insecure and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
| <b>DRIVERS SEAT</b>                        | IM 18A (D) | Driver's seat – defective and danger is caused or likely to be caused              | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                            | IM 18B (D) | Adjustment defective and danger is caused or likely to be caused                   | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 104 and Section 42 of the Road Traffic Act 1988, SO-4    |
| <b>LIGHTS / REFLECTORS / REAR MARKINGS</b> |            |                                                                                    |                         |            |                                                                                                                              |
| <b>REAR MARKINGS AND REFLECTORS</b>        | IM 62A     | Rear marker – detachment imminent and danger is caused or likely to be caused      | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS  |          |                                                                                                                 |                         |            |                                                                                                                              |
|-----------------------------------|----------|-----------------------------------------------------------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                         | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                                                    | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| REAR MARKINGS AND REFLECTORS CONT | IM 62B   | Reflector - detachment imminent and danger is caused or likely to be caused                                     | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                   | IM 66C   | Obligatory lamp, reflector, rear marking or device not fitted                                                   | £50 FP                  | No         | The Road Vehicles Lighting Regulations 1989, Regulation 18 and Section 42 of the Road Traffic Act 1988, SO-4                 |
| LAMPS                             | IM 63A   | Front position lamp insecure - detachment imminent and danger is caused or likely to be caused                  | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                   | IM 63B   | Rear position / fog / reversing lamp insecure - detachment imminent and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                   | IM 63J   | Obligatory rear position / fog / reversing lamp - defective / obscured                                          | £50 FP                  | No         | The Road Vehicles Lighting Regulations 1989, Regulation 23(1) and Section 42 of the Road Traffic Act 1988, SO-4              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1 – DEFECTS & DIMENSIONS |          |                                                                                       |                         |            |                                                                                                                              |
|----------------------------------|----------|---------------------------------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                        | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                          | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| LAMPS CONT                       | IM 63C   | Headlamp - defective                                                                  | £50 FP                  | No         | The Road Vehicles Lighting Regulations 1989, Regulation 23(1) and Section 42 of the Road Traffic Act 1988, SO-4              |
|                                  | IM 63D   | Headlamp insecure – detachment imminent and danger is caused or likely to be caused   | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  | IM 63F   | Stop lamps – defective                                                                | £50 FP                  | No         | The Road Vehicles Lighting Regulations 1989, Regulation 23(1) and Section 42 of the Road Traffic Act 1988, SO-4              |
|                                  | IM 63G   | Stop lamps insecure – detachment imminent and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                  | IM 63H   | Stop lamp - obscured / missing / obscured                                             | £50 FP                  | No         | The Road Vehicles Lighting Regulations 1989, Regulation 19 and Section 42 of the Road Traffic Act 1988, SO-4                 |
|                                  | IM 66C   | Obligatory lamp, reflector, rear marking or device not fitted                         | £50 FP                  | No         | The Road Vehicles Lighting Regulations 1989, Regulation 18 and Section 42 of the Road Traffic Act 1988, SO-4                 |

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| SECTION 1 – DEFECTS & DIMENSIONS    |          |                                                                                           |                         |            |                                                                                                                              |
|-------------------------------------|----------|-------------------------------------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                           | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                              | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| INDICATORS AND HAZARD WARNING LAMPS | IM 66A   | Indicator lamp insecure - detachment imminent and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                     | IM 66B   | Indicator lamp – defective                                                                | £50 FP                  | No         | The Road Vehicles Lighting Regulations 1989, Regulation 23(1) and Section 42 of the Road Traffic Act 1988, SO-4              |
|                                     | IM 66D   | Indicator lamp - obscured                                                                 | £50 FP                  | No         | The Road Vehicles Lighting Regulations 1989, Regulation 19 and Section 42 of the Road Traffic Act 1988, SO-3                 |
| HEADLAMP AIM                        | IM 67    | Defective                                                                                 | £50 FP                  | No         | The Road Vehicles Lighting Regulations 1989, Regulation 18(1)(b) and Section 42 of the Road Traffic Act 1988, SO-4           |
| REGISTRATION PLATES                 |          |                                                                                           |                         |            |                                                                                                                              |
| REGISTRATION PLATE                  | IM 1     | Insecure - detachment imminent and danger is caused or likely to be caused                | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |

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| SECTION 1 – DEFECTS & DIMENSIONS       |          |                                                                            |                         |            |                                                                                                                              |
|----------------------------------------|----------|----------------------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                              | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                               | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| <b>ELECTRICAL AND WIRING EQUIPMENT</b> |          |                                                                            |                         |            |                                                                                                                              |
| <b>ELECTRICAL WIRING AND EQUIPMENT</b> | IM 42    | Electrical system safety risk and danger is caused or likely to be caused  | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
| <b>WASHERS &amp; WIPERS</b>            |          |                                                                            |                         |            |                                                                                                                              |
| <b>WASHERS / WIPERS</b>                | IM 25    | No washer / wiper when required                                            | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 34(6) and Section 42 of the Road Traffic Act 1988, SO-4  |
| <b>AUDIBLE WARNING</b>                 |          |                                                                            |                         |            |                                                                                                                              |
| <b>HORN</b>                            | IM 27    | Insecure - detachment imminent and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |

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| SECTION 1 – DEFECTS & DIMENSIONS        |          |                                                                                                  |                         |            |                                                                                                                              |
|-----------------------------------------|----------|--------------------------------------------------------------------------------------------------|-------------------------|------------|------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                               | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                                     | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                          |
| <b>MIRRORS</b>                          |          |                                                                                                  |                         |            |                                                                                                                              |
| <b>MIRRORS</b>                          | IM 22    | Missing                                                                                          | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 33 and Section 42 of the Road Traffic Act 1988, SO-4     |
|                                         | IM 22B   | Detachment imminent and danger is caused or likely to be caused                                  | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                         | IM 22C   | Obscured/no adequate view to the rear, side or front and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4 |
| <b>VIEW TO THE ROAD AHEAD / WINDOWS</b> |          |                                                                                                  |                         |            |                                                                                                                              |
| <b>VIEW TO FRONT / WINDOWS</b>          | IM 23A   | View seriously impaired                                                                          | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 104 and Section 42 of the Road Traffic Act 1988, SO-4    |

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| SECTION 1 – DEFECTS & DIMENSIONS    |          |                                                                     |                         |            |                                                                                                                                                     |
|-------------------------------------|----------|---------------------------------------------------------------------|-------------------------|------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                           | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                        | SANCTION & OTHER ACTION | ENDORSABLE | REG                                                                                                                                                 |
| VIEW TO FRONT / WINDOWS<br><br>CONT | IM 23B   | Glass seriously damaged and danger is caused or likely to be caused | £100 FP                 | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4                        |
|                                     | IM 23C   | Excessively tinted                                                  | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 32(10) and Section 42 of the Road Traffic Act 1988, SO-4                        |
|                                     | IM 25A   | Driver's vision obscured.                                           | £50 FP                  | No         | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 30(3) and Section 42 of the Road Traffic Act 1988, SO-4                         |
|                                     | 1-80     | PSV - Not fitted with safety glass                                  | £50 FP                  | No         | Regulation 31(2) (item 1 in the table) of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4 |

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| SECTION 1 – DEFECTS & DIMENSIONS                        |          |                                                                                                                                                                                                                                                               |                         |                     |                                                                                                                                |
|---------------------------------------------------------|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|---------------------|--------------------------------------------------------------------------------------------------------------------------------|
| COMPONENT                                               | PLS CODE | DEFECT / OFFENCE DESCRIPTION                                                                                                                                                                                                                                  | SANCTION & OTHER ACTION | ENDORSABLE          | REG                                                                                                                            |
| <b>SEAT BELTS</b>                                       |          |                                                                                                                                                                                                                                                               |                         |                     |                                                                                                                                |
| <b>SEAT BELT &amp; SUPPLEMENTARY RESTRAINTS SYSTEMS</b> | IM 3     | Any obligatory seat belt missing                                                                                                                                                                                                                              | £100                    | No                  | The Road Vehicles (Construction and Use) Regulations 1986, Regulation 46, 47 and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                                         | IM 3B    | Any seat belt, buckle or retractor cut/signs of overstretching/vandalised/damaged /inoperative/ defective/insecure or modified and not capable of performing its intended purpose or likely to fail when required - IN USE<br><br>Anchorage likely to detach. | £100                    | No                  | The Road Vehicles (Construction and Use) Regulations 1986, Regulation 48 and Section 42 of the Road Traffic Act 1988, SO-4     |
| <b>SEAT BELTS (PSV)</b>                                 |          | Insufficient / no seat belts fitted                                                                                                                                                                                                                           | Go to offence 11-16     | Go to offence 11-16 |                                                                                                                                |
| <b>ACCESSIBILITY FEATURES</b>                           |          |                                                                                                                                                                                                                                                               |                         |                     |                                                                                                                                |
| <b>ACCESSIBILITY FEATURES</b>                           | IM 24    | Wheelchair boarding device unsafe                                                                                                                                                                                                                             | £100 FP                 | No                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of the Road Traffic Act 1988, SO-4   |

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| SECTION 1– DEFECTS & DIMENSIONS |                                             |                                                                                                                                                                                  |                          |                                  |                                                                                                                     |
|---------------------------------|---------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION                         | FURTHER GUIDANCE                                                                                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                 |
| <b>WARNING BEACONS</b>          |                                             |                                                                                                                                                                                  |                          |                                  |                                                                                                                     |
| 1-60                            | <b>WARNING BEACON NOT FITTED</b>            | If used on unrestricted dual carriageway, has four wheels and a maximum speed not exceeding 25 mph<br><br>If no danger exists<br><br>Otherwise than above                        |                          |                                  | The Road Vehicles, Lighting Regulations 1989, Regulation 17(1)(a) and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-60.1                          | <b>CAUSE</b>                                |                                                                                                                                                                                  | VW                       | N/A                              |                                                                                                                     |
| 1-60.2                          | <b>PERMIT</b>                               |                                                                                                                                                                                  | FUE                      | N/A                              |                                                                                                                     |
|                                 |                                             |                                                                                                                                                                                  | FUE                      | N/A                              |                                                                                                                     |
|                                 |                                             |                                                                                                                                                                                  | FUE                      | N/A                              |                                                                                                                     |
| 1-61                            | <b>WARNING BEACON FITTED BUT NOT IN USE</b> | If used on unrestricted dual carriageway, has four wheels and a maximum speed not exceeding 25 mph<br><br>If no danger exists and can be switched on<br><br>Otherwise than above |                          |                                  | The Road Vehicles Lighting Regulations 1989, Regulation 26, The Road Traffic Act 1988, Section 42, SO-4             |
| 1-61.1                          | <b>CAUSE</b>                                |                                                                                                                                                                                  | VW                       | VW                               |                                                                                                                     |
| 1-61.2                          | <b>PERMIT</b>                               |                                                                                                                                                                                  | FUE                      | VW                               |                                                                                                                     |
|                                 |                                             |                                                                                                                                                                                  | FUE                      | N/A                              |                                                                                                                     |
|                                 |                                             |                                                                                                                                                                                  | FUE                      | N/A                              |                                                                                                                     |



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| SECTION 1– DEFECTS & DIMENSIONS |                        |                                                                                                                                                                                                                                                                         |                          |                                  |                                                                                                                           |
|---------------------------------|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION    | FURTHER GUIDANCE                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                       |
| PARKING WITHOUT LIGHTS          |                        |                                                                                                                                                                                                                                                                         |                          |                                  |                                                                                                                           |
| 1-40A                           | PARKING WITHOUT LIGHTS |                                                                                                                                                                                                                                                                         | FUE                      | VW                               | The Road Vehicles Lighting Regulations 1989, Regulation 24(1)(b) and Section 42 of the Road Traffic Act 1988, SO-4        |
| 1-40A.1                         | CAUSE                  |                                                                                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                           |
| 1-40A.2                         | PERMIT                 |                                                                                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                           |
| DRIVERS DISTRACTIONS            |                        |                                                                                                                                                                                                                                                                         |                          |                                  |                                                                                                                           |
| 1-42A                           | USE WHEN TV VISIBLE    | Driver is in such a position as to be able to see, whether directly or by reflection, a television set receiving apparatus except when displaying information: -                                                                                                        | £50 FP                   | £50 FP                           | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 109 and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-42A.1                         | CAUSE                  |                                                                                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                           |
| 1-42A.2                         | PERMIT                 | <div>- about the state of the vehicle or its equipment;</div> <div>- about the location of the vehicle and the road it is on</div> <div>- to assist the driver to see the road adjacent to the vehicle</div> <div>- to assist the driver to reach his destination</div> | FUE                      | N/A                              |                                                                                                                           |

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| SECTION 1– DEFECTS & DIMENSIONS |                                                                 |                                                     |                          |                                  |                                                                                                                                  |
|---------------------------------|-----------------------------------------------------------------|-----------------------------------------------------|--------------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION                                             | FURTHER GUIDANCE                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                              |
| 1-42B                           | USE HAND-HELD MOBILE PHONE WHILE DRIVING                        |                                                     | TC                       | VW                               | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 110(1)(a) and Section 41D of The Road Traffic Act 1988, SO-4 |
| 1-42B.1                         | CAUSE                                                           |                                                     | TC                       | N/A                              |                                                                                                                                  |
| 1-42B.2                         | PERMIT                                                          |                                                     | TC                       | N/A                              |                                                                                                                                  |
| LEAVING VEHICLE UNATTENDED      |                                                                 |                                                     |                          |                                  |                                                                                                                                  |
| 1-62                            | LEAVING VEHICLE UNATTENDED - FAIL TO STOP ENGINE AND SET BRAKES |                                                     |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 107, Section 42 of The Road Traffic Act 1988, SO-4           |
|                                 | - DRIVER                                                        | Providing the vehicle is not likely to cause danger | VW                       | VW                               |                                                                                                                                  |
|                                 |                                                                 | Otherwise than above                                | £50 FP                   | £50 FP                           |                                                                                                                                  |
|                                 | - OPERATOR                                                      | Providing the vehicle is not likely to cause danger | NFA                      | N/A                              |                                                                                                                                  |
|                                 |                                                                 | Otherwise than above                                | FUE                      | N/A                              |                                                                                                                                  |
| 1-62.1                          | CAUSE                                                           |                                                     | FUE                      | N/A                              |                                                                                                                                  |
| 1-62.2                          | PERMIT                                                          |                                                     | FUE                      | N/A                              |                                                                                                                                  |

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| SECTION 1– DEFECTS & DIMENSIONS |                                                                                                                                             |                                                                                                                                                                                     |                                                         |                                                                   |                                                                                                                            |
|---------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|-------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION                                                                                                                         | FURTHER GUIDANCE                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                                | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                        |
| DIMENSIONS                      |                                                                                                                                             |                                                                                                                                                                                     |                                                         |                                                                   |                                                                                                                            |
| 1-43                            | <b>OVERALL WIDTH OF A MOTOR VEHICLE EXCEEDS 2.55 METRES</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><br><br><br><b>- OPERATOR</b> | Excess up to 10 cm<br><br>Excess over 10 cm or where there is persistent or regular abuse of the 10 cm tolerance<br><br><br><br><br><br>Excess up to 10 cm<br><br>Excess over 10 cm | VW<br><br>£50 FP #<br><br><br><br><br><br>AL<br><br>FUE | VW<br><br>GV170<br>£50 FP #<br><br><br><br><br><br>N/A<br><br>N/A | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 8(1) and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-43.1                          | <b>CAUSE</b>                                                                                                                                |                                                                                                                                                                                     | FUE                                                     | N/A                                                               |                                                                                                                            |
| 1-43.2                          | <b>PERMIT</b>                                                                                                                               |                                                                                                                                                                                     | FUE                                                     | N/A                                                               |                                                                                                                            |
|                                 |                                                                                                                                             |                                                                                                                                                                                     |                                                         |                                                                   |                                                                                                                            |

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| SECTION 1– DEFECTS & DIMENSIONS |                                                                           |                                                                                        |                          |                                  |                                                                                                                            |
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| PLS CODE                        | OFFENCE DESCRIPTION                                                       | FURTHER GUIDANCE                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                        |
| 1-44                            | <b>OVERALL WIDTH OF A REFRIGERATED MOTOR VEHICLE EXCEEDED 2.60 METRES</b> | Includes conditioned container or swap body                                            |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 8(1) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | <b>- DRIVER</b>                                                           | Excess up to 10 cm                                                                     | VW                       | VW                               |                                                                                                                            |
|                                 |                                                                           | Excess over 10 cm or where there is persistent or regular abuse of the 10 cm tolerance | £50 FP #                 | GV170<br>£50 FP #                |                                                                                                                            |
|                                 | <b>- OPERATOR</b>                                                         | Excess up to 10 cm                                                                     | AL                       | N/A                              |                                                                                                                            |
|                                 |                                                                           | Excess over 10 cm                                                                      | FUE                      | N/A                              |                                                                                                                            |
| 1-44.1                          | <b>CAUSE</b>                                                              |                                                                                        | FUE                      | N/A                              |                                                                                                                            |
| 1-44.2                          | <b>PERMIT</b>                                                             |                                                                                        | FUE                      | N/A                              |                                                                                                                            |

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| SECTION 1– DEFECTS & DIMENSIONS |                                                                  |                                                                                                                                                       |                          |                                  |                                                                                                                             |
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| PLS CODE                        | OFFENCE DESCRIPTION                                              | FURTHER GUIDANCE                                                                                                                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                         |
| 1-45                            | <b>EXCEED OVERALL HEIGHT</b>                                     |                                                                                                                                                       |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 9 and Section 42 of The Road Traffic Act 1988, SO-4     |
|                                 | - DRIVER                                                         |                                                                                                                                                       | £50 FP #                 | GV170<br>£50 FP #                |                                                                                                                             |
|                                 | - OPERATOR                                                       |                                                                                                                                                       | FUE                      | N/A                              |                                                                                                                             |
| 1-45.1                          | <b>CAUSE</b>                                                     |                                                                                                                                                       | FUE                      | N/A                              |                                                                                                                             |
| 1-45.1                          | <b>PERMIT</b>                                                    |                                                                                                                                                       | FUE                      | N/A                              |                                                                                                                             |
| 1-46                            | <b>VEHICLE ABOVE 3M HIGH – NO HEIGHT INDICATOR NOTICE IN CAB</b> | Vehicles over 3m high must display a notice in the cab where it is easily visible to driver which shows the overall travelling height of the vehicle. |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 10(1) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | - DRIVER                                                         |                                                                                                                                                       | £50 FP #                 | £50 FP #                         |                                                                                                                             |
| 1.46.1                          | <b>CAUSE</b>                                                     |                                                                                                                                                       | A/L                      | N/A                              |                                                                                                                             |
| 1.46.2                          | <b>PERMIT</b>                                                    |                                                                                                                                                       | A/L                      | N/A                              |                                                                                                                             |

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| SECTION 1– DEFECTS & DIMENSIONS |                                                                                                                       |                                                                                                                                                                                                                                                            |                          |                                  |                                                                                                                                   |
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| PLS CODE                        | OFFENCE DESCRIPTION                                                                                                   | FURTHER GUIDANCE                                                                                                                                                                                                                                           | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                               |
| 1-46A                           | <b>VEHICLES ABOVE 3M HIGH – INDICATOR NOT COMPLIANT WITH REGULATIONS</b>                                              | Must be displayed where it could be read by driver and can be understood as indicating a height in relation to the vehicle or any trailer drawn.<br><br>Height indication must be in feet and inches (can also be in metres) in numbers at least 40mm tall |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 10(1) & (2) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | <b>- DRIVER</b>                                                                                                       |                                                                                                                                                                                                                                                            | £50 FP #                 | £50 FP #                         |                                                                                                                                   |
| 1-46A.1                         | <b>CAUSE</b>                                                                                                          |                                                                                                                                                                                                                                                            | AL                       | N/A                              |                                                                                                                                   |
| 1-46A.2                         | <b>PERMIT</b>                                                                                                         |                                                                                                                                                                                                                                                            | AL                       | N/A                              |                                                                                                                                   |
| 1-46B                           | <b>VEHICLES WITH HIGH POWER OPERATED EQUIPMENT WITH A MAXIMUM HEIGHT OF MORE THAN 3M MUST BE FITTED WITH AN ALARM</b> | Warning device not fitted, inoperative or not visible to the driver                                                                                                                                                                                        | VW                       | VW                               | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 10A(1) and Section 42 of The Road Traffic Act 1988, SO-4      |
| 1-46B.1                         | <b>CAUSE</b>                                                                                                          |                                                                                                                                                                                                                                                            | VW                       | N/A                              |                                                                                                                                   |
| 1-46B.2                         | <b>PERMIT</b>                                                                                                         |                                                                                                                                                                                                                                                            | VW                       | N/A                              |                                                                                                                                   |

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| SECTION 1– DEFECTS & DIMENSIONS |                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                           |                                     |                                                                                                                                               |
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| PLS CODE                        | OFFENCE DESCRIPTION                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER  | NON GB OPERATOR OR NON UK DRIVER    | REG                                                                                                                                           |
| 1-47                            | <b>EXCEEDING 15.5M IN LENGTH (ARTICULATED VEHICLE)</b> | <p>If a semi-trailer does not meet the following requirements, an articulated vehicle combination is restricted to 15.5m.</p> <p>The requirements for the semi-trailer are the longitudinal distance from the axis of the king-pin to the rear of the semi-trailer does not exceed (i) 12.5m in the case of a car transporter, (ia) 12.15m when carrying containers or swap bodies as part of an intermodal transport operation, or (ii) 12m in any other case; and no point in the semi-trailer forward of the transverse plane passing through the axis of the king-pin is more than 4.19m from the axis of the king-pin, in the case of a car transporter, or 2.04m in any other case.</p> |                           |                                     | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 3 of the table) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | <b>- DRIVER</b>                                        | <p>Excess up to 30 cm</p> <p>Excess over 30 cm or where there is persistent or regular abuse of the 30 cm tolerance</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <p>VW</p> <p>£50 FP #</p> | <p>VW</p> <p>GV170<br/>£50 FP #</p> |                                                                                                                                               |
|                                 | <b>- OPERATOR</b>                                      | <p>Excess up to 30 cm</p> <p>Excess over 30 cm</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <p>AL</p> <p>FUE</p>      | <p>N/A</p> <p>N/A</p>               |                                                                                                                                               |
| 1-47.1                          | <b>CAUSE</b>                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | FUE                       | N/A                                 |                                                                                                                                               |
| 1-47.2                          | <b>PERMIT</b>                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | FUE                       | N/A                                 |                                                                                                                                               |
|                                 |                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                           |                                     |                                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                          |                                  |                                                                                                                                                |
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| PLS CODE                        | OFFENCE DESCRIPTION                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                            |
| 1-48                            | <b>EXCEEDING 16.5M IN LENGTH (ARTICULATED VEHICLE)</b> | The requirements for the semi-trailer are the longitudinal distance from the axis of the king-pin to the rear of the semi-trailer does not exceed (i) 12.5m in the case of a car transporter, or (ii) 12m in any other case; and no point in the semi-trailer forward of the transverse plane passing through the axis of the king-pin is more than 4.19m from the axis of the king-pin, in the case of a car transporter, or 2.04m in any other case. |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 3a of the table) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | <b>- DRIVER</b>                                        | Up to 30 cm<br><br>Excess over 30 cm or where there is persistent or regular abuse of the 30 cm tolerance                                                                                                                                                                                                                                                                                                                                              | VW<br><br>£50 FP #       | VW<br><br>GV170<br>£50 FP #      |                                                                                                                                                |
|                                 | <b>- OPERATOR</b>                                      | Up to 30 cm<br><br>Over 30 cm                                                                                                                                                                                                                                                                                                                                                                                                                          | AL<br><br>FUE            | N/A<br><br>N/A                   |                                                                                                                                                |
| 1-48.1                          | <b>CAUSE</b>                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                        | FUE                      | N/A                              |                                                                                                                                                |
| 1-48.2                          | <b>PERMIT</b>                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                        | FUE                      | N/A                              |                                                                                                                                                |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                                                                                                          |                                                                                                                                                |                                         |                                                   |                                                                                                                                                |
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| PLS CODE                        | OFFENCE DESCRIPTION                                                                                                                      | FURTHER GUIDANCE                                                                                                                               | GB OPERATOR OR UK DRIVER                | NON GB OPERATOR OR NON UK DRIVER                  | REG                                                                                                                                            |
| 1-48A                           | <b>EXCEEDING 18M IN LENGTH (ARTICULATED VEHICLE THE SEMI-TRAILER BEING A LOW LOADER)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> | Up to 30 cm<br><br>Excess over 30 cm or where there is persistent or regular abuse of the 30 cm tolerance<br><br>Up to 30 cm<br><br>Over 30 cm | VW<br><br>£50 FP #<br><br>AL<br><br>FUE | VW<br><br>GV170<br>£50 FP #<br><br>N/A<br><br>N/A | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 3b of the table) and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-48A.1                         | <b>CAUSE</b>                                                                                                                             |                                                                                                                                                | FUE                                     | N/A                                               |                                                                                                                                                |
| 1-48A.2                         | <b>PERMIT</b>                                                                                                                            |                                                                                                                                                | FUE                                     | N/A                                               |                                                                                                                                                |
|                                 |                                                                                                                                          |                                                                                                                                                |                                         |                                                   |                                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                 |                          |                                  |                                                                                                                                                |
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| PLS CODE                        | OFFENCE DESCRIPTION                                                                                                                     | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                            |
| 1-48B                           | <b>EXCEEDING 16.65M IN LENGTH (ARTICULATED VEHICLE CARRYING CONTAINERS OR SWAP BODIES AS PART OF AN INTERMODAL TRANSPORT OPERATION)</b> | The requirements for the semi-trailer are the longitudinal distance from the axis of the king-pin to the rear of the semi-trailer does not exceed (ia) 12.15m when carrying containers or swap bodies as part of an intermodal transport operation; and no point in the semi-trailer forward of the transverse plane passing through the axis of the king-pin is more than 2.04m from the axis of the king-pin. |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 3c of the table) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | <b>- DRIVER</b>                                                                                                                         | Up to 30 cm<br><br>Excess over 30 cm or where there is persistent or regular abuse of the 30 cm tolerance                                                                                                                                                                                                                                                                                                       | VW<br><br>£50 FP #       | VW<br><br>GV170<br>£50 FP #      |                                                                                                                                                |
|                                 | <b>- OPERATOR</b>                                                                                                                       | Up to 30 cm<br><br>Over 30 cm                                                                                                                                                                                                                                                                                                                                                                                   | AL<br><br>FUE            | N/A<br><br>N/A                   |                                                                                                                                                |
| 1-48B.1                         | <b>CAUSE</b>                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                 | FUE                      | N/A                              |                                                                                                                                                |
| 1-48B.2                         | <b>PERMIT</b>                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                 | FUE                      | N/A                              |                                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                           |                                     |                                                                                                                                               |
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| PLS CODE                        | OFFENCE DESCRIPTION                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER  | NON GB OPERATOR OR NON UK DRIVER    | REG                                                                                                                                           |
| 1-50                            | <b>EXCEEDING 18.75M IN LENGTH (RIGID VEHICLE AND TRAILER)</b> | <p>Motor vehicle drawing a trailer which exceeds 18.75m overall length.</p> <p>If both vehicles in the combination are goods vehicles they are also restricted to the following: the maximum distance measured parallel to the longitudinal axis of the combination of vehicles from the foremost point of the loading area behind the driver's cab to the rear of the trailer, less the distance between the rear of the motor vehicle and the front of the trailer, does not exceed 15.65m; and the maximum distance measured parallel to the longitudinal axis of vehicles from the foremost point of the loading area behind the driver's cab to the rear of the trailer does not exceed 16.4m.</p> |                           |                                     | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 1 of the table) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | <b>- DRIVER</b>                                               | <p>Excess up to 30 cm</p> <p>Excess over 30 cm or where there is persistent or regular abuse of the 30 cm tolerance</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <p>VW</p> <p>£50 FP #</p> | <p>VW</p> <p>GV170<br/>£50 FP #</p> |                                                                                                                                               |
|                                 | <b>- OPERATOR</b>                                             | <p>Excess up to 30 cm</p> <p>Excess over 30 cm</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <p>AL</p> <p>FUE</p>      | <p>N/A</p> <p>N/A</p>               |                                                                                                                                               |
| 1-50.1                          | <b>CAUSE</b>                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | FUE                       | N/A                                 |                                                                                                                                               |
| 1-50.2                          | <b>PERMIT</b>                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | FUE                       | N/A                                 |                                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                |                                                                                        |                          |                                  |                                                                                                                                               |
|---------------------------------|--------------------------------|----------------------------------------------------------------------------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION            | FURTHER GUIDANCE                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                           |
| 1-51                            | <b>EXCEEDING 12M IN LENGTH</b> | Rigid motor vehicle but <b>not</b> a vehicle with more than eight passenger seats.     |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 4 of the table) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | <b>- DRIVER</b>                | Excess up to 15 cm                                                                     | VW                       | VW                               |                                                                                                                                               |
|                                 |                                | Excess over 15 cm or where there is persistent or regular abuse of the 15 cm tolerance | £50 FP #                 | GV170<br>£50 FP #                |                                                                                                                                               |
|                                 | <b>- OPERATOR</b>              | Excess up to 15 cm                                                                     | AL                       | N/A                              |                                                                                                                                               |
|                                 |                                | Excess over 15 cm                                                                      | FUE                      | N/A                              |                                                                                                                                               |
| 1-51.1                          | <b>CAUSE</b>                   |                                                                                        | FUE                      | N/A                              |                                                                                                                                               |
| 1-51.2                          | <b>PERMIT</b>                  |                                                                                        | FUE                      | N/A                              |                                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                      |                                                                                        |                          |                                  |                                                                                                                                               |
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| PLS CODE                        | OFFENCE DESCRIPTION                                  | FURTHER GUIDANCE                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                           |
| 1-51A                           | <b>EXCEEDING 7M IN LENGTH - TRAILER DRAWN BY LGV</b> | Trailer drawn by a vehicle of 3,500 kgs or under                                       |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 9 of the table) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | <b>- DRIVER</b>                                      | Excess up to 15 cm                                                                     | VW                       | VW                               |                                                                                                                                               |
|                                 |                                                      | Excess over 15 cm or where there is persistent or regular abuse of the 15 cm tolerance | £50 FP #                 | GV170<br>£50 FP #                |                                                                                                                                               |
|                                 | <b>- OPERATOR</b>                                    | Excess up to 15 cm                                                                     | AL                       | N/A                              |                                                                                                                                               |
|                                 |                                                      | Excess over 15 cm                                                                      | FUE                      | N/A                              |                                                                                                                                               |
| 1-51A.1                         | <b>CAUSE</b>                                         |                                                                                        | FUE                      | N/A                              |                                                                                                                                               |
| 1-51A.2                         | <b>PERMIT</b>                                        |                                                                                        | FUE                      | N/A                              |                                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                       |                                                                                        |                          |                                  |                                                                                                                                                |
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| PLS CODE                        | OFFENCE DESCRIPTION                                   | FURTHER GUIDANCE                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                            |
| 1-52                            | <b>EXCEEDING 18.75M IN LENGTH - ARTICULATED BUS</b>   | Articulated bus                                                                        |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 2 of the table) and Section 42 of The Road Traffic Act 1988, SO-4  |
|                                 | <b>- DRIVER</b>                                       | Excess up to 30 cm                                                                     | VW                       | VW                               |                                                                                                                                                |
|                                 |                                                       | Excess over 30 cm or where there is persistent or regular abuse of the 30 cm tolerance | £50 FP – #               | GV170<br>£50 FP #                |                                                                                                                                                |
|                                 | <b>- OPERATOR</b>                                     | Excess up to 30 cm                                                                     | AL                       | N/A                              |                                                                                                                                                |
|                                 |                                                       | Excess over 30 cm                                                                      | FUE                      | N/A                              |                                                                                                                                                |
| 1-52.1                          | <b>CAUSE</b>                                          |                                                                                        | FUE                      | N/A                              |                                                                                                                                                |
| 1-52.2                          | <b>PERMIT</b>                                         |                                                                                        | FUE                      | N/A                              |                                                                                                                                                |
| 1-52A                           | <b>EXCEEDING 13.5M IN LENGTH - BUS WITH TWO AXLES</b> | Vehicle with over eight passenger seats & 1-34)                                        |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 4A of the table) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | <b>- DRIVER</b>                                       | Excess up to 15 cm                                                                     | VW                       | VW                               |                                                                                                                                                |
|                                 |                                                       | Excess over 15 cm or where there is persistent or regular abuse of the 15 cm tolerance | £50 FP #                 | GV170<br>£50 FP #                |                                                                                                                                                |
|                                 | <b>- OPERATOR</b>                                     | Excess up to 15 cm                                                                     | AL                       | N/A                              |                                                                                                                                                |
|                                 |                                                       | Excess over 15 cm                                                                      | FUE                      | N/A                              |                                                                                                                                                |
| 1-52A.1                         | <b>CAUSE</b>                                          |                                                                                        | FUE                      | N/A                              |                                                                                                                                                |
| 1-52A.2                         | <b>PERMIT</b>                                         |                                                                                        | FUE                      | N/A                              |                                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                                                                                                   |                                                                                                                                                                                                                    |                                           |                                                   |                                                                                                                                                |
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| PLS CODE                        | OFFENCE DESCRIPTION                                                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER                  | REG                                                                                                                                            |
| 1-52B                           | <b>EXCEEDING 15M IN LENGTH - BUS WITH MORE THAN TWO AXLES</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><b>- OPERATOR</b> | Vehicle with over eight passenger seats<br><br>Excess up to 15 cm<br><br>Excess over 15 cm or where there is persistent or regular abuse of the 15 cm tolerance<br><br>Excess up to 15 cm<br><br>Excess over 15 cm | VW<br><br>£50 FP – #<br><br>AL<br><br>FUE | VW<br><br>GV170<br>£50 FP #<br><br>N/A<br><br>N/A | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 7 (item 4B of the table) and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-52B.1                         | <b>CAUSE</b>                                                                                                                      |                                                                                                                                                                                                                    | FUE                                       | N/A                                               |                                                                                                                                                |
| 1-52B.2                         | <b>PERMIT</b>                                                                                                                     |                                                                                                                                                                                                                    | FUE                                       | N/A                                               |                                                                                                                                                |
|                                 |                                                                                                                                   |                                                                                                                                                                                                                    |                                           |                                                   |                                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                 |                                                                                        |                          |                                  |                                                                                                                                                   |
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| PLS CODE                        | OFFENCE DESCRIPTION                             | FURTHER GUIDANCE                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                               |
| 1-51B                           | <b>OVERHANG EXCEEDING 60% - HEAVY MOTOR CAR</b> | The overhang must exceed 60% of the vehicle wheelbase.                                 |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 11(1) (item 2 of the table) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | <b>- DRIVER</b>                                 | Excess up to 15 cm                                                                     | VW                       | VW                               |                                                                                                                                                   |
|                                 |                                                 | Excess over 15 cm or where there is persistent or regular abuse of the 15 cm tolerance | £50 FP #                 | GV170<br>£50 FP #                |                                                                                                                                                   |
|                                 | <b>- OPERATOR</b>                               | Excess up to 15 cm                                                                     | AL                       | N/A                              |                                                                                                                                                   |
|                                 |                                                 | Excess over 15 cm                                                                      | FUE                      | N/A                              |                                                                                                                                                   |
|                                 |                                                 |                                                                                        |                          |                                  |                                                                                                                                                   |
| 1-51B.1                         | <b>CAUSE</b>                                    |                                                                                        | FUE                      | N/A                              |                                                                                                                                                   |
| 1-51B.2                         | <b>PERMIT</b>                                   |                                                                                        | FUE                      | N/A                              |                                                                                                                                                   |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                                                                   |                  |                          |                                  |                                                                                                                                |
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| PLS CODE                        | OFFENCE DESCRIPTION                                                                               | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                            |
| 1-70                            | <b>LATERAL (WIDTH) PROJECTION OF LOAD EXCEEDS 305MM</b>                                           |                  |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 82(2)(a) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | - DRIVER                                                                                          |                  | £50 FP                   | GV170<br>£50 FP                  |                                                                                                                                |
|                                 | - OPERATOR                                                                                        |                  | FUE                      | N/A                              |                                                                                                                                |
| 1-70.1                          | <b>CAUSE</b>                                                                                      |                  | FUE                      | N/A                              |                                                                                                                                |
| 1-70.2                          | <b>PERMIT</b>                                                                                     |                  | FUE                      | N/A                              |                                                                                                                                |
| 1-71                            | <b>OVERALL WIDTH OF THE VEHICLE TOGETHER WITH ANY LATERAL PROJECTION OF THE LOAD EXCEEDS 2.9M</b> |                  |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 82(2)(b) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | - DRIVER                                                                                          |                  | £50 FP                   | GV170<br>£50 FP                  |                                                                                                                                |
|                                 | - OPERATOR                                                                                        |                  | FUE                      | N/A                              |                                                                                                                                |
| 1-71.1                          | <b>CAUSE</b>                                                                                      |                  | FUE                      | N/A                              |                                                                                                                                |
| 1-71.2                          | <b>PERMIT</b>                                                                                     |                  | FUE                      | N/A                              |                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                                                                                        |                      |                          |                                  |                                                                                                                                                          |
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| PLS CODE                        | OFFENCE DESCRIPTION                                                                                                    | FURTHER GUIDANCE     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                      |
| 1-72                            | <b>OVERALL WIDTH OF THE VEHICLE TOGETHER WITH ANY LATERAL PROJECTION EXCEEDS 4.3M</b>                                  | Otherwise than above |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 82(1) and Section 42 of The Road Traffic Act 1988, SO-4                              |
|                                 | - DRIVER                                                                                                               |                      | £50 FP                   | GV170<br>£50 FP                  |                                                                                                                                                          |
|                                 | - OPERATOR                                                                                                             |                      | FUE                      | N/A                              |                                                                                                                                                          |
| 1-72.1                          | CAUSE                                                                                                                  |                      | FUE                      | N/A                              |                                                                                                                                                          |
| 1-72.2                          | PERMIT                                                                                                                 |                      | FUE                      | N/A                              |                                                                                                                                                          |
| 1-73                            | <b>MARKER BOARDS NOT ILLUMINATED BETWEEN SUNSET AND SUNRISE (APPLICABLE ONLY WHERE THEY ARE REQUIRED TO BE FITTED)</b> |                      |                          |                                  | Regulation 82(2)(b)(ii) & Schedule 12(5)(c) of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | - DRIVER                                                                                                               |                      | £50 FP                   | GV170<br>£50 FP                  |                                                                                                                                                          |
|                                 | - OPERATOR                                                                                                             |                      | FUE                      | N/A                              |                                                                                                                                                          |
| 1-73.1                          | CAUSE                                                                                                                  |                      | FUE                      | N/A                              |                                                                                                                                                          |
| 1-73.2                          | PERMIT                                                                                                                 |                      | FUE                      | N/A                              |                                                                                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                                                                                                                                   |                  |                          |                                  |                                                                                                                                                       |
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| PLS CODE                        | OFFENCE DESCRIPTION                                                                                                                                               | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                   |
| 1-74                            | <b>LOAD PROJECTING TO THE FRONT EXCEEDS 2M BUT DOES NOT EXCEED 3.05M - NOT PROPERLY MARKED OR NO ATTENDANT</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>    |                  | £50 FP<br><br>FUE        | GV170<br>£50 FP<br><br>N/A       | Regulation 82(7) and item 2 in the table of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-74.1                          | <b>CAUSE</b>                                                                                                                                                      |                  | FUE                      | N/A                              |                                                                                                                                                       |
| 1-74.2                          | <b>PERMIT</b>                                                                                                                                                     |                  | FUE                      | N/A                              |                                                                                                                                                       |
| 1-74A                           | <b>LOAD PROJECTING TO THE FRONT EXCEEDS 3.05M - NOT PROPERLY MARKED OR NO ATTENDANT OR NO POLICE NOTIFICATION</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | £50 FP<br><br>FUE        | GV170<br>£50 FP<br><br>N/A       | Regulation 82(7) and item 3 in the table of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-74A.1                         | <b>CAUSE</b>                                                                                                                                                      |                  | FUE                      | N/A                              |                                                                                                                                                       |
| 1-74A.2                         | <b>PERMIT</b>                                                                                                                                                     |                  | FUE                      | N/A                              |                                                                                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                                                                |                  |                          |                                  |                                                                                                                                                     |
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| PLS CODE                        | OFFENCE DESCRIPTION                                                                            | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                 |
| 1-75                            | <b>LOAD PROJECTING TO THE REAR EXCEEDS 1M BUT DOES NOT EXCEED 2M – NOT CLEARLY MARKED</b>      |                  |                          |                                  | Regulation 82(7) (Item 4 of the table) of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | - DRIVER                                                                                       |                  | £50 FP                   | GV170<br>£50 FP                  |                                                                                                                                                     |
|                                 | - OPERATOR                                                                                     |                  | FUE                      | N/A                              |                                                                                                                                                     |
| 1-75.1                          | <b>CAUSE</b>                                                                                   |                  | FUE                      | N/A                              |                                                                                                                                                     |
| 1-75.2                          | <b>PERMIT</b>                                                                                  |                  | FUE                      | N/A                              |                                                                                                                                                     |
| 1-75A                           | <b>LOAD PROJECTION TO THE REAR EXCEEDS 2M BUT DOES NOT EXCEED 3.05M – NOT CORRECTLY MARKED</b> |                  |                          |                                  | Regulation 82(7) (Item 5 of the table) of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                 | - DRIVER                                                                                       |                  | £50 FP                   | GV170<br>£50 FP                  |                                                                                                                                                     |
|                                 | - OPERATOR                                                                                     |                  | FUE                      | N/A                              |                                                                                                                                                     |
| 1-75A.1                         | <b>CAUSE</b>                                                                                   |                  | FUE                      | N/A                              |                                                                                                                                                     |
| 1-75A.2                         | <b>PERMIT</b>                                                                                  |                  | FUE                      | N/A                              |                                                                                                                                                     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                                                                                                                                  |                  |                          |                                  |                                                                                                                                                     |
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| PLS CODE                        | OFFENCE DESCRIPTION                                                                                                                                              | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                 |
| 1-75B                           | <b>LOAD PROJECTION TO THE REAR EXCEEDS 3.05M - NOT PROPERLY MARKED OR NO ATTENDANT OR NO POLICE NOTIFICATION</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | £50 FP<br><br>FUE        | GV170<br>£50 FP<br><br>N/A       | Regulation 82(7) (Item 6 of the table) of The Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-75B.1                         | <b>CAUSE</b>                                                                                                                                                     |                  | FUE                      | N/A                              |                                                                                                                                                     |
| 1-75B.2                         | <b>PERMIT</b>                                                                                                                                                    |                  | FUE                      | N/A                              |                                                                                                                                                     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS |                                                 |                  |                                |                                           |                                                                                                                          |
|---------------------------------|-------------------------------------------------|------------------|--------------------------------|-------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION                             | FURTHER GUIDANCE | GB OPERATOR<br>OR<br>UK DRIVER | NON<br>GB OPERATOR<br>OR<br>NON UK DRIVER | REG                                                                                                                      |
| 1-81                            | <b>DRAWING UNAUTHORISED TRAILER OR TRAILERS</b> |                  |                                |                                           | Regulation 83(1) of the Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988. |
|                                 | - DRIVER                                        |                  | £50 FP                         | GV170<br>£50 FP                           |                                                                                                                          |
|                                 | - OPERATOR                                      |                  | FUE                            | N/A                                       |                                                                                                                          |
| 1-81.1                          | CAUSE                                           |                  | FUE                            | N/A                                       |                                                                                                                          |
| 1-81.2                          | PERMIT                                          |                  | FUE                            | N/A                                       |                                                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS                           |                                                                                                                                                                                                                              |                                                                                                                                                                    |                          |                                  |                                                                                                                              |
|-----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                  | OFFENCE DESCRIPTION                                                                                                                                                                                                          | FURTHER GUIDANCE                                                                                                                                                   | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                          |
| REG 100 (1) – DANGER FROM CONDION OF PARTS OR ACCESSORIES |                                                                                                                                                                                                                              |                                                                                                                                                                    |                          |                                  |                                                                                                                              |
| 1-54A                                                     | <b>100 (1) – MAINTENANCE OR USE OF VEHICLE / TRAILER IS SUCH THAT A DANGER IS CAUSED OR LIKELY TO BE CAUSED</b><br><br><b>– ALL PART AND ACCESSORIES IN SUCH A CONDITION</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> | Exterior body panelling protruding, damaged or components defective/unfit for use and likely to be a danger to other road users or result in shedding of the load. | £100 FP                  | GV171<br>£100 FP                 | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-54A.1                                                   | <b>CAUSE</b>                                                                                                                                                                                                                 |                                                                                                                                                                    | FUE                      | N/A                              |                                                                                                                              |
| 1-54A.2                                                   | <b>PERMIT</b>                                                                                                                                                                                                                |                                                                                                                                                                    | FUE                      | N/A                              |                                                                                                                              |
|                                                           |                                                                                                                                                                                                                              |                                                                                                                                                                    |                          |                                  |                                                                                                                              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS                                             |                                                                                                                                          |                                                                                                                                                                |                          |                                  |                                                                                                                              |
|-----------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                    | OFFENCE DESCRIPTION                                                                                                                      | FURTHER GUIDANCE                                                                                                                                               | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                          |
| REG 100 (1) – DANGER/NUISANCE FROM DISTRIBUTION OF LOAD OR NO OF PASSENGERS |                                                                                                                                          |                                                                                                                                                                |                          |                                  |                                                                                                                              |
| 1-55                                                                        | <b>100 (1) – THE WEIGHT, DISTRIBUTION, PACKING AND ADJUSTMENT OF THE LOAD IS SUCH THAT A DANGER OR A NUISANCE IS LIKELY TO BE CAUSED</b> | This offence, for weight purposes, should only be used in relation to estate cars, dual purpose vehicles, etc. For goods and passenger vehicles see Section 10 |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                                                             | - DRIVER                                                                                                                                 |                                                                                                                                                                | TE160<br>£100 FP         | GV171<br>£100 FP                 |                                                                                                                              |
|                                                                             | - OPERATOR                                                                                                                               |                                                                                                                                                                | FUE                      | N/A                              |                                                                                                                              |
| 1-55.1                                                                      | CAUSE                                                                                                                                    |                                                                                                                                                                | FUE                      | N/A                              |                                                                                                                              |
| 1-55.2                                                                      | PERMIT                                                                                                                                   |                                                                                                                                                                | FUE                      | N/A                              |                                                                                                                              |
| 1-55C                                                                       | <b>100 (1) – NUMBER OR MANNER IN WHICH PASSENGERS ARE CARRIED IS SUCH THAT A DANGER OR A NUISANCE IS LIKELY TO BE CAUSED</b>             |                                                                                                                                                                |                          |                                  | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(1) and Section 42 of The Road Traffic Act 1988, SO-4 |
|                                                                             | - DRIVER                                                                                                                                 |                                                                                                                                                                | £100 FP                  | GV171<br>£100 FP                 |                                                                                                                              |
|                                                                             | - OPERATOR                                                                                                                               |                                                                                                                                                                | FUE                      | N/A                              |                                                                                                                              |
| 1-55C.1                                                                     | CAUSE                                                                                                                                    |                                                                                                                                                                | FUE                      | N/A                              |                                                                                                                              |
| 1-55C.2                                                                     | PERMIT                                                                                                                                   |                                                                                                                                                                | FUE                      | N/A                              |                                                                                                                              |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS                   |                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                       |                                             |                                               |                                                                                                                              |
|---------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|-----------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                          | OFFENCE DESCRIPTION                                                                                                                                                                                                | FURTHER GUIDANCE                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                    | NON GB OPERATOR OR NON UK DRIVER              | REG                                                                                                                          |
| REG 100 (2) – DANGER/NUISANCE FROM INSECURE LOADS |                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                       |                                             |                                               |                                                                                                                              |
| 1-53                                              | <b>100 (2) – USE OF VEHICLE / TRAILER IS SUCH THAT A DANGER OR A NUISANCE IS LIKELY TO BE CAUSED</b><br><br><b>– INSECURE LOAD</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><br><br><br><b>- OPERATOR</b> | <p>If the load is safe but not secured by the prescribed manner</p> <p>If the load needs corrective action prior to the vehicle continuing its journey</p> <p>Please see the Categorisation of Vehicle Defects for specific load security defects</p> | <p>VW</p> <p>PG9<br/>£100 FP</p> <p>FUE</p> | <p>VW</p> <p>GV170<br/>£100 FP</p> <p>N/A</p> | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(2) and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-53.1                                            | <b>CAUSE</b>                                                                                                                                                                                                       |                                                                                                                                                                                                                                                       | FUE                                         | N/A                                           |                                                                                                                              |
| 1-53.2                                            | <b>PERMIT</b>                                                                                                                                                                                                      |                                                                                                                                                                                                                                                       | FUE                                         | N/A                                           |                                                                                                                              |
|                                                   |                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                       |                                             |                                               |                                                                                                                              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS                                         |                                                                                                                                                                                     |                  |                           |                                     |                                                                                                                              |
|-------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|---------------------------|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                 | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER  | NON GB OPERATOR OR NON UK DRIVER    | REG                                                                                                                          |
| REG 100 (3) – DANGER/NUISANCE FROM UNSUITABLE USE OF VEHICLE OR TRAILER |                                                                                                                                                                                     |                  |                           |                                     |                                                                                                                              |
| 1-55A                                                                   | <b>100 (3) – USE OF VEHICLE / TRAILER IS SUCH THAT A DANGER OR A NUISANCE IS LIKELY TO BE CAUSED</b><br><br><b>- UNSUITABLE USE</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | <p>£100 FP</p> <p>FUE</p> | <p>GV171<br/>£100 FP</p> <p>N/A</p> | The Road Vehicles (Construction & Use) Regulations 1986, Regulation 100(3) and Section 42 of The Road Traffic Act 1988, SO-4 |
| 1-55A.1                                                                 | <b>CAUSE</b>                                                                                                                                                                        |                  | FUE                       | N/A                                 |                                                                                                                              |
| 1-55A.2                                                                 | <b>PERMIT</b>                                                                                                                                                                       |                  | FUE                       | N/A                                 |                                                                                                                              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS                             |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                          |                                  |                                                      |
|-------------------------------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------|
| PLS CODE                                                    | OFFENCE DESCRIPTION                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                  |
| SECTION 40A OF RTA – USING VEHICLE IN A DANGEROUS CONDITION |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                          |                                  |                                                      |
| 1-56                                                        | <b>USING A VEHICLE IN A DANGEROUS CONDITION</b> | <p>Uses, causes or permits use of a vehicle on a road when:</p> <ul style="list-style-type: none"> <li>the condition of the motor vehicle or trailer, or of its accessories or equipment, or</li> <li>the purpose for which it is used, or</li> <li>the number of passengers carried by it, or the manner in which they are carried, or</li> <li>the weight, position or distribution of its load, or the manner in which it is secured,</li> </ul> <p>-is such that the use of the motor vehicle or trailer involves a danger of injury to any person</p> |                          |                                  | Section 40A of the Road Traffic Act 1988, SO-5, 3pts |
|                                                             | <b>- DRIVER</b>                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | P                        | GV171<br>P                       |                                                      |
|                                                             | <b>- OPERATOR</b>                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | FUE                      | N/A                              |                                                      |
| 1-56.1                                                      | <b>CAUSE</b>                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | FUE                      | N/A                              |                                                      |
| 1-56.2                                                      | <b>PERMIT</b>                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | FUE                      | N/A                              |                                                      |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 1– DEFECTS & DIMENSIONS                              |                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                      |                                                                |                                                     |
|--------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------|-----------------------------------------------------|
| PLS CODE                                                     | OFFENCE DESCRIPTION                                                                                                                                                                         | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                           | GB OPERATOR OR UK DRIVER             | NON GB OPERATOR OR NON UK DRIVER                               | REG                                                 |
| DRIVE VEHICLE IN CONTRAVENTION OF ROADWORTHINESS PROHIBITION |                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                      |                                                                |                                                     |
| 1-76                                                         | <b>DRIVES A VEHICLE IN CONTRAVENTION OF A PROHIBITION IMPOSED FOR ROADWORTHINESS DEFECT(S) (SECTION 69)</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><br><br><br><b>- OPERATOR</b> | <p>If any of the defects listed on the prohibition have not been rectified AND the driver is aware that the prohibition notice is still in force</p> <p>Otherwise than above</p> <p>If any of the defects listed on the prohibition have not been rectified, the vehicle is to be immobilised until either the defects have been rectified or suitable arrangements made to have the defects rectified. Operator to be informed of the immobilisation.</p> | <p>£300 FP</p> <p>NFA</p> <p>FUE</p> | <p>Go to offence 13-6</p> <p>Go to offence 13-6</p> <p>N/A</p> | Section 71(1)(a) of The Road Traffic Act 1988, SO-5 |
| 1-76.1                                                       | <b>CAUSE</b>                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                            | FUE                                  | N/A                                                            |                                                     |
| 1-76.2                                                       | <b>PERMIT</b>                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                            | FUE                                  | N/A                                                            |                                                     |
|                                                              |                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                      |                                                                |                                                     |

**SECTION 2 – DRIVER LICENSING, INSURANCE & DRIVER CPC**

**SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC**

| PLS CODE                | OFFENCE DESCRIPTION                                                                                                                                              | FURTHER GUIDANCE                                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                              |
|-------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|--------------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>DRIVER LICENSING</b> |                                                                                                                                                                  |                                                                       |                          |                                  |                                                                                                                                                                                                                                                  |
| 2-2                     | <b>DRIVE ON A ROAD A MOTOR VEHICLE OF ANY CLASS OTHERWISE THAN IN ACCORDANCE WITH A LICENCE AUTHORISING THAT CLASS</b>                                           | In the case of renewal up to 1 month late<br><br>Otherwise than above | AL & MSI<br><br>P & MSI  | N/A<br><br>P & MSI               | Road Traffic Act 1988, Section 87(1) and Schedule 2 of the Road Traffic Offenders Act 1988, SO-3 [Disq]-3-6pts<br><br>Disqualification discretionary where driving was not in accordance with any licence that could have been granted to driver |
| 2-4.2                   | <b>AID, ABET, COUNSEL OR PROCURE A PERSON TO DRIVE ON A ROAD A MOTOR VEHICLE OF ANY CLASS OTHERWISE THAN IN ACCORDANCE WITH A LICENCE AUTHORISING THAT CLASS</b> |                                                                       | FUE                      | N/A                              | Section 87(1) of the Road Traffic Act 1988, Schedule 2 to the Road Traffic Offenders Act 1988 and Section 44 of the Magistrates Courts Act 1980, SO – 5 or IND 6 months                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                                                                               |                  |                          |                                  |                                                                                                                                                                 |
|-----------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                                                                                           | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                             |
| 2-2.4                                               | CAUSE PERSON TO DRIVE ON A ROAD A MOTOR VEHICLE OF ANY CLASS OTHERWISE THAN IN ACCORDANCE WITH A LICENCE AUTHORISING THAT CLASS<br><br>PERMIT |                  | FUE                      | N/A                              | Road Traffic Act 1988, Section 87(2) and Schedule 2 to the Road Traffic Offenders Act 1988, SO-3                                                                |
| 2-2.3                                               |                                                                                                                                               |                  | FUE                      | N/A                              |                                                                                                                                                                 |
| 2-2.5                                               | CAUSE PERSON TO DRIVE PCV WITHOUT LICENCE OR APPROPRIATE CLASS OF LICENCE<br><br>PERMIT                                                       |                  | FUE                      | N/A                              | Section 16(2)(a), (b) and (c) and Regulation 17 of the Motor Vehicles (Driving Licences) Regulations 1999 and Section 114(2) of the Road Traffic Act 1988, SO-3 |
| 2-2.6                                               |                                                                                                                                               |                  | FUE                      | N/A                              |                                                                                                                                                                 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                              |                                                                                                                                         |                          |                                  |                                                                                                                                                           |
|-----------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                                          | FURTHER GUIDANCE                                                                                                                        | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                       |
| 2-2.1                                               | <b>FAIL TO COMPLY WITH PCV PROVISIONAL DRIVING LICENCE CONDITIONS</b><br><br><b>- DRIVER</b> | - Otherwise than under the supervision of a qualified driver who is present in or on the vehicle                                        | P                        | N/A                              | Regulation 16(2)(a), (b) or (c) and 17 of the Motor Vehicles (Driving Licences) Regulations 1999 and Section 114(1)(a) of the Road Traffic Act 1988, SO-3 |
|                                                     |                                                                                              | - Not displaying L plates in prescribed format in such a manner as to be clearly visible to other road users from a reasonable distance | P                        | N/A                              |                                                                                                                                                           |
|                                                     |                                                                                              | - Whilst it is being used to draw a trailer when there is no provisional trailer entitlement                                            | P                        | N/A                              |                                                                                                                                                           |
| 2-2.2                                               | <b>FAIL TO COMPLY WITH PCV PROVISIONAL DRIVING LICENCE CONDITIONS</b><br><br><b>- DRIVER</b> | - Carrying passengers other than qualified supervising driver or other provisional licence holders also receiving instruction.          | P & MSI                  | N/A                              | Regulation 16(8) and 17 of the Motor Vehicles (Driving Licences) Regulations 1999 and Section 114(1)(a) of the Road Traffic Act 1988, SO-3                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                           |                            |                                  |                                                                                                                                                                      |
|-----------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                                          | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                          | GB OPERATOR OR UK DRIVER   | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                  |
| 2-2.1                                               | <b>FAIL TO COMPLY WITH HGV PROVISIONAL DRIVING LICENCE CONDITIONS</b><br><br><b>- DRIVER</b> | <ul style="list-style-type: none"> <li>- Otherwise than under the supervision of a qualified driver who is present in or on the vehicle</li> <li>- Not displaying L plates in prescribed format in such a manner as to be clearly visible to other road users from a reasonable distance</li> <li>- Whilst it is being used to draw a trailer when there is no provisional trailer entitlement</li> </ul> | <p>P</p> <p>P</p> <p>P</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Regulation 16(2)(a), (b) and (c) and 17 of the Motor Vehicles (Driving Licences) Regulations 1999</p> <p>Section 114(1)(a) of the Road Traffic Act 1988, SO-3</p> |
| 2-2.3                                               | <b>CAUSE</b>                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                           | FUE                        | N/A                              | CAUSE OR PERMIT OFFENCES:                                                                                                                                            |
| 2-2.4                                               | <b>PERMIT</b>                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                           | FUE                        | N/A                              | Road Traffic Act 1988, Section 87(2) and Schedule 2 to the Road Traffic Offenders Act 1988, SO-3                                                                     |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                         |                                                   |                          |                                  |                                                                                                                                                                                                                                                |
|-----------------------------------------------------|---------------------------------------------------------|---------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                     | FURTHER GUIDANCE                                  | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                            |
| 2-3                                                 | DRIVE WHILST DISQUALIFIED                               |                                                   | P<br>&<br>MSI            | N/A<br>&<br>MSI                  | Road Traffic Act 1988, Section 103(1)<br><br><i>England &amp; Wales</i><br>SO-6 months, or level 5, or both -6pts<br><i>Scotland</i><br>SO-6 months, or stat max, or both -6pts<br>IND-12 months, or a fine, or both -6pts                     |
| 2-3A                                                | AID, ABET, COUNSEL OR PROCURE DRIVE WHILST DISQUALIFIED |                                                   | P                        | N/A                              | Road Traffic Act 1988, Section 103(1) and Magistrates' Court Act 1980, Section 44<br><br><i>England &amp; Wales</i><br>SO-6 months, or level 5, or both -6pts                                                                                  |
| 2-3.1                                               | DRIVING AFTER REFUSAL OR REVOCATION OF LICENCE          | Only for refusal on medical or disability grounds | P                        | N/A                              | Road Traffic Act 1988, Section 94A(1)(a)<br><br><i>England &amp; Wales</i><br>SO-6 months, or level 5, or both - 3 to 6pts<br><i>Scotland</i><br>SO-6 months, or stat max, or both -3 to 6pts<br>IND-12 months, or a fine, or both - 3 to 6pts |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                  |                                                                              |                          |                                  |                                                                                                   |
|-----------------------------------------------------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------|--------------------------|----------------------------------|---------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                              | FURTHER GUIDANCE                                                             | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                               |
| 2-1                                                 | <b>FALSE DECLARATION TO OBTAIN DRIVING LICENCE - LGV OR PCV</b>                  | Fraudulent declaration as to age, entitlement etc in order to obtain licence | FUE                      | N/A                              | Road Traffic Act 1988, Section 174(1), SO-3                                                       |
| 2-2A                                                | <b>USE A DRIVING LICENCE WITH INTENT TO DECEIVE</b>                              |                                                                              | P                        | N/A                              | Road Traffic Act 1988, Section 173(1)(a) & (2)(a), SO Stat max or IND 2 years                     |
| 2-3D                                                | <b>FORGERY OF A COMMUNITY DRIVING LICENCE ISSUED OUTSIDE OF ENGLAND OR WALES</b> |                                                                              | P                        | X                                | Section 3 & 6 Forgery and Counterfeiting Act 1981, SO – Stat max or IND 6 months                  |
| 2-5A                                                | <b>FAIL TO PRODUCE A DRIVING LICENCE</b>                                         |                                                                              | FUE                      | N/A                              | Road Traffic Act 1988, Section 164(6) and Schedule 2 of the Road Traffic Offenders Act 1988, SO-3 |
| 2-5A.1                                              | <b>FAIL TO PRODUCE A DRIVING LICENCE ON WRITTEN REQUEST</b>                      |                                                                              | FUE                      | N/A                              | Road Traffic Act 1988, Section 164(6) and Schedule 2 of the Road Traffic Offenders Act 1988, SO-3 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                                                                                  |                                                                    |                          |                                  |                                                     |
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| PLS CODE                                            | OFFENCE DESCRIPTION                                                                                                                              | FURTHER GUIDANCE                                                   | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                 |
| 2-13                                                | <b>FAIL TO PROVIDE THE SECRETARY OF STATE WITH PARTICULARS OF A NEW ADDRESS ON A DRIVING LICENCE WHEN THE ADDRESS SHOWN CEASED TO BE CORRECT</b> | If notified within 3 months of change<br><br>Otherwise than above  | VW<br><br>FUE            | N/A<br><br>N/A                   | Road Traffic Act 1988, Section 99(4) & (5), SO-3    |
| 2-13B                                               | <b>FAIL TO PROVIDE THE SECRETARY OF STATE WITH PARTICULARS OF A NEW NAME ON A DRIVING LICENCE WHEN THE NAME SHOWN CEASED TO BE CORRECT</b>       | If notified within 3 months of change<br><br>Otherwise than above  | VW<br><br>FUE            | N/A<br><br>N/A                   | Road Traffic Act 1988, Section 99(4) & (5), SO-3    |
| 2-26                                                | <b>FAIL TO HAVE PHOTOGRAPH UPDATED</b>                                                                                                           |                                                                    | VW                       | N/A                              | Section 99(2A) of the Road Traffic Act 1988, SO-3   |
| 2-13A                                               | <b>FAIL TO NOTIFY DVLA OF A DISABILITY</b>                                                                                                       | Fail to notify in writing the nature and extent of the disability. | FUE                      | N/A                              | Road Traffic Act 1988, Section 94(1)(a) & (3), SO-3 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                                                                                                                                         |                  |                          |                                  |                                                                                                   |
|-----------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|---------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                                                                                                                                                     | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                               |
| <b>INSURANCE</b>                                    |                                                                                                                                                                                                         |                  |                          |                                  |                                                                                                   |
| 2-14                                                | USE A VEHICLE ON A ROAD WHEN THERE WAS NOT IN FORCE, IN RELATION TO USE OF THE VEHICLE, SUCH A POLICY OF INSURANCE OR SUCH A SECURITY IN RESPECT OF THIRD-PARTY RISKS AS COMPLIES WITH THE REQUIREMENTS |                  |                          |                                  | Road Traffic Act 1988, Section 143(1)(a) & (2), SO-5, 6-8 pts                                     |
|                                                     | - DRIVER                                                                                                                                                                                                |                  | £300 FP #                | Inform Police                    | Cause / permit:                                                                                   |
|                                                     | - OPERATOR                                                                                                                                                                                              |                  | FUE                      | N/A                              | Road Traffic Act 1988, Section 143(1)(b) & (2)                                                    |
| 2-14.1                                              | CAUSE                                                                                                                                                                                                   |                  | FUE                      | N/A                              |                                                                                                   |
| 2-14.2                                              | PERMIT                                                                                                                                                                                                  |                  | FUE                      | N/A                              |                                                                                                   |
| 2-14A                                               | FAIL TO PRODUCE A CERTIFICATE OF INSURANCE BY A PERSON DRIVING A MOTOR VEHICLE ON A ROAD                                                                                                                |                  | FUE                      | N/A                              | Road Traffic Act 1988, Section 165(3) and Schedule 2 of the Road Traffic Offenders Act 1988, SO-3 |
| 2-14C                                               | FAIL TO PRODUCE A CERTIFICATE OF INSURANCE WHEN THERE WAS REASONABLE CAUSE TO BELIEVE THAT PERSON HAD COMMITTED AN OFFENCE                                                                              |                  | FUE                      | N/A                              | Road Traffic Act 1988, Section 165(3) and Schedule 2 of the Road Traffic Offenders Act 1988, SO-3 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                      |                                               |                          |                                  |                                                                                                                                             |
|-----------------------------------------------------|--------------------------------------------------------------------------------------|-----------------------------------------------|--------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                                  | FURTHER GUIDANCE                              | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                         |
| 2-14A.1                                             | <b>AID, ABET, COUNSEL OR PROCURE FAILURE TO PROVIDE A CERTIFICATE OF INSURANCE</b>   |                                               | FUE                      | N/A                              | Road Traffic Act 1988, Section 165(3), Schedule 2 of the Road Traffic Offenders Act 1988 and Magistrates Courts Act 1980, Section 44., SO-3 |
| 2-14B                                               | <b>MAKES A FALSE STATEMENT OR WITHHOLDS MATERIAL INFORMATION TO OBTAIN INSURANCE</b> |                                               | FUE                      | N/A                              | Road Traffic Act 1988, Section 174(5)(a).<br>SO-6 months or Stat max or both<br>IND-2 years or fine or both                                 |
| <b>DRIVER CPC</b>                                   |                                                                                      |                                               |                          |                                  |                                                                                                                                             |
| 2-16                                                | <b>DRIVING WITHOUT A VALID DRIVER CPC - INITIAL QUALIFICATION</b>                    | Driver has not completed initial CPC training | P                        | P                                | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulations 4 (1) & 10(1), SO-3                             |
| 2-16.1                                              | <b>CAUSE</b>                                                                         |                                               | FUE                      | N/A                              | Cause / permit: -                                                                                                                           |
| 2-16.2                                              | <b>PERMIT</b>                                                                        |                                               | FUE                      | N/A                              | Regulations 4 (1) & 10(2), SO-3                                                                                                             |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                        |                                                                                                                                                                                                                                                                                                                                              |                          |                                  |                                                                                                                  |
|-----------------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                              |
| 2-17                                                | <b>DRIVING WITHOUT A VALID DRIVER CPC - PERIODIC QUALIFICATION</b>     | Driver has not completed periodic CPC training                                                                                                                                                                                                                                                                                               | P                        | P                                | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulations 9 (4) & 10(1), SO-3  |
| 2-17.1                                              | <b>CAUSE</b>                                                           |                                                                                                                                                                                                                                                                                                                                              | FUE                      | N/A                              | Cause / permit: -                                                                                                |
| 2-17.2                                              | <b>PERMIT</b>                                                          |                                                                                                                                                                                                                                                                                                                                              | FUE                      | N/A                              | Regulations 9 (4) & 10(2), SO-3                                                                                  |
| 2-18                                                | <b>FAIL TO PRODUCE EVIDENCE OF DRIVER CPC - PERIODIC QUALIFICATION</b> | Driver has made application for a qualification card but has not yet received it<br><br>Driver has completed periodic CPC training but cannot produce evidence of such <b>or</b> if driver has been previously warned and has taken no action to rectify situation                                                                           | NFA<br><br>£50 FP        | NFA<br><br>£50 FP                | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulations 9(4) and 11(1), SO-3 |
| 2-19                                                | <b>FAIL TO PRODUCE EVIDENCE OF DRIVER CPC - INITIAL QUALIFICATION</b>  | Driver has made application for a qualification card but has not yet received it<br><br>Driver has completed initial CPC training or is undergoing a vocational training course of a least 6 months duration but cannot produce evidence of such <b>or</b> if driver has been previously warned and has taken no action to rectify situation | NFA<br><br>£50 FP        | NFA<br><br>£50 FP                | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 4(9) and 11(3), SO-3  |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                                                                                                                                          |                                                                                                                                                                                                                             |                                 |                                  |                                                                                                                   |
|-----------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                                                                                                                                                      | FURTHER GUIDANCE                                                                                                                                                                                                            | GB OPERATOR OR UK DRIVER        | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                               |
| 2-27                                                | <b>FAIL TO CARRY EVIDENCE OF VOCATIONAL TRAINING COURSE - INITIAL QUALIFICATION</b>                                                                                                                      | Driver is undergoing a vocational training course of a least 6 months duration but cannot produce the required evidence of such <b>or</b> if driver has been previously warned and has taken no action to rectify situation | £50 FP & report to TC           | £50 FP                           | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 4(5) and 11(5), SO-3   |
| 2-20                                                | <b>FAIL TO SURRENDER A REVOKED AUTHORISATION DOCUMENT OR DRIVER QUALIFICATION CARD ISSUED IN ERROR OR CONTAINING AN ERROR OR OMISSION, WHEN REQUESTED IN WRITING TO DO SO BY THE COMPETENT AUTHORITY</b> | <p>If driver has not had opportunity to return it</p> <p>If reasonable explanation given for failing to return document but driver has not return it within a reasonable timescale</p> <p>Otherwise than as above</p>       | <p>NFA</p> <p>AL</p> <p>FUE</p> | <p>NFA</p> <p>VW</p> <p>VW</p>   | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 12(1), (2) & (8), SO-3 |
| 2-21                                                | <b>FAIL TO SURRENDER A DRIVER QUALIFICATION CARD WHERE THE NAME OF THE HOLDER CEASES TO BE CORRECT</b>                                                                                                   | <p>If driver has not had opportunity to return it</p> <p>If reasonable explanation given for failing to surrender document but driver has not return it within a reasonable timescale</p> <p>Otherwise than as above</p>    | <p>NFA</p> <p>AL</p> <p>FUE</p> | <p>NFA</p> <p>VW</p> <p>VW</p>   | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 12(3) & (8), SO-3      |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                             |
|-----------------------------------------------------|----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                                          | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                         |
| 2-22                                                | <b>FORGES, ALTERS OR USES WITH INTENT TO DECEIVE A DRIVER CPC OR OTHER RELEVANT DOCUMENT</b> | <p>This offence relates to the following documents:</p> <ul style="list-style-type: none"> <li>- Evidence of a vocational training course (of at least 6 months), or</li> <li>- A driver qualification card, or</li> <li>- A Community Licence with Community code, or</li> <li>- A driver's certificate granted by another member state, or</li> <li>- Any other document issued by another member state certifying initial of periodic CPC</li> </ul> | P                        | X                                | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 13(1)(a), SO – Stat max fine and/or 3 months imp IND – 2 years imp and/or a fine |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                               |
|-----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                                                                                  | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                           |
| 2-23                                                | <b>LENDS TO OR, ALLOWS TO BE USED BY, ANY OTHER PERSON A DRIVER CPC OR OTHER RELEVANT DOCUMENT</b>                                   | <p>This offence relates to the following documents:</p> <ul style="list-style-type: none"> <li>- Evidence of a vocational training course (of at least 6 months), or</li> <li>- A driver qualification card, or</li> <li>- A Community Licence with Community code, or</li> <li>- A driver's certificate granted by another member state, or</li> <li>- Any other document issued by another member state certifying initial of periodic CPC</li> </ul> | P                        | X                                | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 13(1)(b)<br>SO – Stat max fine and/or 3 months imp IND – 2 years imp and/or a fine |
| 2-24                                                | <b>MAKES OR HAS IN HIS POSSESSION ANY DOCUMENT SO CLOSELY RESEMBLING SUCH A DOCUMENT AS TO BE CALCULATED TO DECEIVE – DRIVER CPC</b> | <p>This offence relates to the following documents:</p> <ul style="list-style-type: none"> <li>- Evidence of a vocational training course (of at least 6 months), or</li> <li>- A driver qualification card, or</li> <li>- A Community Licence with Community code, or</li> <li>- A driver's certificate granted by another member state, or</li> <li>- Any other document issued by another member state certifying initial / periodic CPC</li> </ul>  | P                        | X                                | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 13(1)(c)<br>SO – Stat max fine and/or 3 months imp IND – 2 years imp and/or a fine |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 2– DRIVER LICENSING, INSURANCE & DRIVER CPC |                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                          |                                  |                                                                                                                                                                            |
|-----------------------------------------------------|----------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                            | OFFENCE DESCRIPTION                                                                                | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                        |
| 2-25                                                | <b>KNOWINGLY MAKES A FALSE STATEMENT TO OBTAIN A DRIVER QUALIFICATION CARD - INITIAL OR PERIOD</b> | <p>This offence relates to the following documents:</p> <ul style="list-style-type: none"> <li>- Evidence of a vocational training course (of at least 6 months), or</li> <li>- A driver qualification card, or</li> <li>- A Community Licence with Community code, or</li> <li>- A driver's certificate granted by another member state, or</li> <li>- Any other document issued by another member state certifying initial / periodic CPC</li> </ul> | P                        | X                                | The Vehicle Drivers (Certificates of Professional Competence) Regulations 2007, Regulation 13(3)<br>SO – Stat max fine and/or 3 months imp IND – 2 years imp and/or a fine |

**SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER & TRADE PLATES**

| <b>SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER &amp; TRADE PLATES</b> |                                                                                                            |                         |                                 |                                         |                                                                                                                                                   |
|---------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-------------------------|---------------------------------|-----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>PLS CODE</b>                                                           | <b>OFFENCE DESCRIPTION</b>                                                                                 | <b>FURTHER GUIDANCE</b> | <b>GB OPERATOR OR UK DRIVER</b> | <b>NON GB OPERATOR OR NON UK DRIVER</b> | <b>REG</b>                                                                                                                                        |
| <b>VEHICLE EXCISE LICENCE</b>                                             |                                                                                                            |                         |                                 |                                         |                                                                                                                                                   |
| 3-5                                                                       | <b>NO EXCISE LICENCE</b>                                                                                   |                         | CLE 2/7T                        | N/A                                     | Section 29(1), (3) (a) or (b) Vehicle Excise and Registration Act 1994 SO-3 or 5 x Duty payable                                                   |
| 3-5B                                                                      | <b>USES OR KEEPS ON A PUBLIC ROAD A NIL LICENCEABLE VEHICLE</b>                                            |                         | CLE 2/7                         | N/A                                     | Regulation 33 of The Road Vehicles (Registration and Licensing Regulations 2002 and Section 43A of the Vehicles Excise and Registration Act 1994. |
| 3-5C                                                                      | <b>USES OR KEEPS ON A PUBLIC ROAD A VEHICLE FOR WHICH A STATUTORY OFF-ROAD NOTIFICATION HAS BEEN MADE.</b> |                         | CLE 2/7                         | N/A                                     | Section 29(3A), (3) (a) or (b) Vehicle Excise and Registration Act 1994 SO-4 or 5 x Duty payable                                                  |
| 3-7                                                                       | <b>USE A VEHICLE WHEN A HIGHER RATE OF DUTY PAYABLE</b>                                                    |                         | CLE 2/7T                        | N/A                                     | Section 37(1) & (2), Vehicles Excise and Registration Act 1994 SO-3 or 5 x Duty payable                                                           |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER & TRADE PLATES |                                                                                                          |                                                                                                                                                     |                          |                                  |                                                                                                                                                                                                                                         |
|----------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                       | OFFENCE DESCRIPTION                                                                                      | FURTHER GUIDANCE                                                                                                                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                     |
| 3-16                                                           | <b>MAKES A FALSE DECLARATION OR GIVE MISLEADING INFORMATION FOR A VEHICLE EXCISE LICENCE APPLICATION</b> | False or misleading in any material respect.                                                                                                        | FUE                      | N/A                              | Section 45(3) & (4), Vehicle Excise and Registration Act 1994<br><br><i>England &amp; Wales</i><br>SO-Statutory Maximum<br>IND-2 years prison or fine or both<br><i>Scotland</i><br>SO- Statutory Maximum<br>IND-2 years prison or fine |
| <b>REGISTRATION NUMBER</b>                                     |                                                                                                          |                                                                                                                                                     |                          |                                  |                                                                                                                                                                                                                                         |
| 3-12                                                           | <b>REGISTRATION NUMBER NOT AFFIXED</b><br><br>- UK REGISTERED VEHICLE<br><br>- DRIVER                    | Temporary registration plate not complying with the regulations will <b>not</b> be acceptable.<br><br>Vehicle or trailer plate missing              | FUE<br><br>£100 FP       | N/A<br><br>£100 FP               | The Vehicle Excise and Registration Act 1994, Section 23 & 42(1) & (2), SO-3                                                                                                                                                            |
| 3-13                                                           | <b>REGISTRATION NUMBER NOT AFFIXED</b><br><br>- UK REGISTERED VEHICLE<br><br>- KEEPER                    | Vehicle stationary on a road without a driver<br><br>If driver arrives and a number is affixed before vehicle is driven<br><br>Otherwise than above | NFA<br><br>VW<br><br>FUE | NFA<br><br>N/A<br><br>N/A        | The Vehicle Excise and Registration Act 1994, Section 23 & 42(1) & (2), SO-3                                                                                                                                                            |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER & TRADE PLATES |                                                                                                 |                                                                                                                                                      |                           |                                  |                                                                                                                                                                                                                                        |
|----------------------------------------------------------------|-------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                       | OFFENCE DESCRIPTION                                                                             | FURTHER GUIDANCE                                                                                                                                     | GB OPERATOR OR UK DRIVER  | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                    |
| 3-21                                                           | <b>REGISTRATION NUMBER NOT AFFIXED</b><br><b>- NON-UK REGISTERED VEHICLE</b><br><b>- DRIVER</b> | Temporary registration plate not complying with the regulations will <b>not</b> be acceptable.<br><br>Vehicle or trailer plate missing               | N/A<br><br>£100 FP        | £100 FP                          | The Road Vehicles (Display of Registration Marks) Regulations 2001, Regulations 5 and 8 of The Motor Vehicles (International Circulation) Regulations 1985 and The Vehicle Excise and Registration Act 1994, Section 59(1) & (2), SO-3 |
| 3-22                                                           | <b>REGISTRATION NUMBER NOT AFFIXED</b><br><b>- NON-UK REGISTERED VEHICLE</b><br><b>- KEEPER</b> | Vehicle stationary on a road without a driver:<br><br>If driver arrives and a number is affixed before vehicle is driven<br><br>Otherwise than above | N/A<br><br>N/A<br><br>N/A | NFA<br><br>VW<br><br>NFA         | The Road Vehicles (Display of Registration Marks) Regulations 2001, Regulations 5 and 8 of The Motor Vehicles (International Circulation) Regulations 1985 and The Vehicle Excise and Registration Act 1994, Section 59(1) & (2), SO-3 |
| 3-14                                                           | <b>REGISTRATION NUMBER OBSCURED</b><br><b>- UK REGISTERED VEHICLE</b><br><b>- DRIVER</b>        | Dirty & rectified on site<br><br>Otherwise than above<br><br>Deliberately obscured                                                                   | FUE<br><br>£100 FP        | N/A<br><br>£100 FP               | Vehicle Excise and Registration Act 1994, Section 43(1)(a) & (2), SO-3                                                                                                                                                                 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER & TRADE PLATES |                                                                                                                                                                                    |                                                                                                                         |                          |                                  |                                                                                                                                                                                                                                                                 |
|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                       | OFFENCE DESCRIPTION                                                                                                                                                                | FURTHER GUIDANCE                                                                                                        | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                             |
| 3-23                                                           | <b>REGISTRATION NUMBER OBSCURED</b><br><br><b>- NON- UK REGISTERED VEHICLE</b><br><br><b>- DRIVER</b>                                                                              | Dirty & rectified on site<br><br>Otherwise than above                                                                   | N/A<br><br>£100 FP       | £100 FP                          | Regulation 11(2) of the Road Vehicles (Display of Registration Marks) Regulations 2001, Regulations 5 and 8 of The Motor Vehicles (International Circulation) Regulations 1985 and The Vehicle Excise and Registration Act 1994, Section 59(1) & (2), SO-3      |
| 3-29                                                           | <b>REFLEX REFLECTING MATERIAL ON REGISTRATION PLATE.</b><br><br><b>UK REGISTERED VEHICLE</b><br><br><b>- DRIVER</b><br><br><b>NON-UK REGISTERED VEHICLE</b><br><br><b>- DRIVER</b> | Numbers easily distinguishable and material removed before vehicle is driven.<br><br>Numbers not easily Distinguishable | FUE<br><br>£100          | £100                             | The Road Vehicles (Display of Registration Marks) Regulations 2001, Regulation 11(1), Section 59(2)(a) of the Vehicle Excise and Registration Act 1994. SO-3<br><br>Regulations 5 and 8 of The Motor Vehicles (International Circulation) Regulations 1985 SO-3 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER & TRADE PLATES |                                                                                                                                                                                        |                                                                                                                                |                           |                                  |                                                                                                                                                                                                                                                                           |
|----------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                       | OFFENCE DESCRIPTION                                                                                                                                                                    | FURTHER GUIDANCE                                                                                                               | GB OPERATOR OR UK DRIVER  | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                                       |
| 3-30                                                           | <b>ANY DESIGN TEXTURE OR PATTERN ON REGISTRATION PLATE</b><br><br><b>- UK REGISTERED VEHICLE</b><br><br><b>- DRIVER</b><br><br><b>NON-UK REGISTERED VEHICLE</b><br><br><b>- DRIVER</b> | <p>Numbers easily distinguishable and material removed before vehicle is driven.</p> <p>Numbers not easily distinguishable</p> | <p>FUE</p> <p>£100</p>    | <p>£100</p>                      | <p>The Road Vehicles (Display of Registration Marks) Regulations 2001, Regulation 11(1)(a), Section 59(2)(a) of the Vehicle Excise and Registration Act 1994. SO-3</p> <p>Regulations 5 and 8 of The Motor Vehicles (International Circulation) Regulations 1985 SO-3</p> |
| 3-31                                                           | <b>ANY OTHER WAY THAT RENDERES THE CHARACTERS LESS EASILY DISTINGUISHABLE</b><br><br><b>UK REGISTERED VEHICLE</b><br><br><b>DRIVER</b>                                                 | <p>Numbers easily distinguishable and material removed before vehicle is driven.</p> <p>Numbers not easily distinguishable</p> | <p>FUE</p> <p>£100 FP</p> | <p>£100 FP</p>                   | <p>The Road Vehicles (Display of Registration Marks) Regulations 2001, Regulation 11(2), Section 59(2)(a) of the Vehicle Excise and Registration Act 1994. SO-3</p>                                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER & TRADE PLATES |                                                                                                                                                                                                                     |                  |                          |                                  |                                                                                                                                                                                                                                                                       |
|----------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                       | OFFENCE DESCRIPTION                                                                                                                                                                                                 | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                                   |
| 3-32                                                           | <b>REGISTRATION NUMBER AFFIXED IN SUCH A WAY TO CHANGE THE APPEARANCE OR LEGIBILITY</b><br><br><b>- UK REGISTERED VEHICLE</b><br><br><b>- DRIVER</b><br><br><b>NON-UK REGISTERED VEHICLE</b><br><br><b>- DRIVER</b> |                  | FUE<br><br>£100 FP       | £100 FP                          | The Road Vehicles (Display of Registration Marks) Regulations 2001, Regulation 11(3), Section 59(2)(a) of the Vehicle Excise and Registration Act 1994.<br>SO-3<br><br>Regulations 5 and 8 of The Motor Vehicles (International Circulation) Regulations 1985<br>SO-3 |
| 3-15                                                           | <b>FRAUDULENT USE OR ALTERS A REGISTRATION MARK</b><br><br><b>- UK REGISTERED VEHICLE</b><br><br><b>- OPERATOR</b><br><br><b>- DRIVER</b>                                                                           |                  | FUE<br><br>P             | N/A                              | Section 44(1), (2)(d) & (3), Vehicle Excise and Registration Act 1994<br><br><i>England &amp; Wales</i><br>SO-Statutory Maximum<br>IND-2 years prison or fine or both<br><i>Scotland</i><br>SO- Statutory Maximum<br>IND-2 years prison or fine                       |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER & TRADE PLATES |                                                                                                                    |                                                                                                    |                          |                                  |                                                                                                                                              |
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| PLS CODE                                                       | OFFENCE DESCRIPTION                                                                                                | FURTHER GUIDANCE                                                                                   | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                          |
| FAIL TO NOTIFY CHANGE OF OWNER / ADDRESS / USE                 |                                                                                                                    |                                                                                                    |                          |                                  |                                                                                                                                              |
| 3-10                                                           | <b>FAIL TO NOTIFY NEW OWNER TO SECRETARY OF STATE</b>                                                              | Applies where current vehicle registration document was issued before 24 March 1997                | FUE                      | N/A                              | Section 59, Vehicle Excise and Registration Act 1994 and Regulation 21(3), Road Vehicles (Registration and Licensing) Regulations 2002, SO-3 |
| 3-11                                                           | <b>FAIL TO NOTIFY NEW OWNER TO SECRETARY OF STATE</b>                                                              | Applies where current vehicle registration document was issued on or after 24 March 1997           | FUE                      | N/A                              | Section 59, Vehicle Excise and Registration Act 1994 and Regulation 22(2), Road Vehicles (Registration and Licensing) Regulations 2002, SO-3 |
| 3-17                                                           | <b>REGISTRATION DOCUMENT - FAIL TO NOTIFY CHANGE OF ADDRESS</b>                                                    | Failed to send the registration document to DVLA with change of address entered in space provided. | FUE                      | N/A                              | Reg.18 of the Road Vehicles (Registration & Licensing) Regulations 2002 and Section 59 of the Vehicle Excise and Registration Act 1994, SO-3 |
| 3-18                                                           | <b>FAIL TO FURNISH THE SECRETARY OF STATE SUCH DETAILS IN RESPECT OF A NIL LICENCE WHICH CEASED TO BE IN FORCE</b> | Fail to notify a change of use                                                                     | FUE                      | N/A                              | Section 22 (2A)(d), Vehicle Excise and registration Act 1994 SO-2                                                                            |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER & TRADE PLATES |                                                                                                                                                                      |                                                                                                                                        |                          |                                  |                                                                                                                                                    |
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| PLS CODE                                                       | OFFENCE DESCRIPTION                                                                                                                                                  | FURTHER GUIDANCE                                                                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                |
| 3-19                                                           | FAIL TO NOTIFY THE SECRETARY OF STATE OF AN ALTERATION THAT MADE ANY OF THE PARTICULARS SET OUT IN THE REGISTRATION DOCUMENT INCORRECT                               |                                                                                                                                        | FUE                      | N/A                              | Regulation 16(1) of the Road Vehicles (Registration and Licensing) Regulations 2002 and Section 59 of the Vehicle Excise and Registration Act 1994 |
| TRAILER REGISTRATION                                           |                                                                                                                                                                      |                                                                                                                                        |                          |                                  |                                                                                                                                                    |
| 3-24                                                           | KEEP OR USE A TRAILER ON A ROAD THAT IS BEING USED ON A JOURNEY THAT WILL INVOLVE, OR HAS INVOLVED, ENTERING A COUNTRY OUTSIDE THE UNITED KINGDOM AND NOT REGISTERED | Applies to trailers above 750kg <b>used commercially</b> and all trailers above 3,500kg irrespective of use and on journey outside UK. | £100                     | N/A                              | Regulation 5(1) (a) & (b) of The Trailer Registration Regulations 2018 SO-3                                                                        |
| 3-25                                                           | FAIL TO COMPLY WITH REGULATION 12(1) (DUTY TO PRODUCE A REGISTRATION DOCUMENT IF REQUIRED)                                                                           | Applies to trailers above 750kg <b>used commercially</b> and all trailers above 3,500kg irrespective of use and on journey outside UK. | £100                     | N/A                              | Regulations 12(1) and 19(1)(f) of The Trailer Registration Regulations 2018 SO-3                                                                   |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER & TRADE PLATES |                                                                                                                      |                                                                                                                                        |                          |                                  |                                                                                  |
|----------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|----------------------------------------------------------------------------------|
| PLS CODE                                                       | OFFENCE DESCRIPTION                                                                                                  | FURTHER GUIDANCE                                                                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                              |
| 3-26                                                           | <b>FAIL TO COMPLY WITH REGULATION 16(1) (DUTY TO FIX A REGISTRATION PLATE ON A REGISTERED TRAILER)</b>               | Applies to trailers above 750kg <b>used commercially</b> and all trailers above 3,500kg irrespective of use and on journey outside UK. | £100                     | N/A                              | Regulations 16(1) and 19(1)(g) of The Trailer Registration Regulations 2018 SO-3 |
| 3-26.1                                                         | <b>CAUSE</b>                                                                                                         |                                                                                                                                        | FUE                      | N/A                              |                                                                                  |
| 3-26.2                                                         | <b>PERMIT</b>                                                                                                        |                                                                                                                                        | FUE                      | N/A                              |                                                                                  |
| 3-27                                                           | <b>FAIL TO COMPLY WITH REGULATION 16(3) (PROHIBITION ON DISPLAYING AN UNASSIGNED REGISTRATION MARK ON A TRAILER)</b> | Applies to trailers above 750kg <b>used commercially</b> and all trailers above 3,500kg irrespective of use and on journey outside UK. | £100                     | N/A                              | Regulation 16(3) and 19(1)(h) of The Trailer Regulations 2018 SO-3               |
| 3-27.1                                                         | <b>CAUSE</b>                                                                                                         |                                                                                                                                        | FUE                      | N/A                              |                                                                                  |
| 3-27.2                                                         | <b>PERMIT</b>                                                                                                        |                                                                                                                                        | FUE                      | N/A                              |                                                                                  |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 3 – VEHICLE EXCISE, REGISTRATION NUMBER & TRADE PLATES |                                                                                                                                                   |                                                                                                                                        |                               |                                  |                                                                                                                                               |
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| PLS CODE                                                       | OFFENCE DESCRIPTION                                                                                                                               | FURTHER GUIDANCE                                                                                                                       | GB OPERATOR OR UK DRIVER      | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                           |
| 3-28                                                           | <b>KEEP OR USE A REGISTERED TRAILER ON A ROAD IF THE REGISTRATION MARK IS IN ANY WAY OBSCURED OR NOT ALLOWED TO BECOME EASILY DISTINGUISHABLE</b> | Applies to trailers above 750kg <b>used commercially</b> and all trailers above 3,500kg irrespective of use and on journey outside UK. | £100                          | N/A                              | Regulation 21(1) of The Trailer Registration Regulations 2018 SO-3                                                                            |
| <b>TRADE PLATES</b>                                            |                                                                                                                                                   |                                                                                                                                        |                               |                                  |                                                                                                                                               |
| 3-8                                                            | <b>USE TRADE PLATES FOR UNAUTHORISED PURPOSE</b><br><br>- DRIVER<br><br>- OPERATOR                                                                |                                                                                                                                        | £50 FP #<br><br>FUE           | N/A<br><br>N/A                   | Section 34(1), Vehicle Excise and Registration Act 1994 SO-3 or 5 x Duty payable whichever is the greater                                     |
| 3-9                                                            | <b>FAIL TO DISPLAY TRADE LICENCE</b>                                                                                                              | Plates are being carried in the vehicle, but the driver failed to display then as required<br><br>Otherwise than above                 | £50 FP<br><br>Use offence 3-5 | N/A                              | Section 59(1), Vehicles Excise and Registration Act 1994 and Regulation 42, Road Vehicles (Registration and Licensing) Regulations 2002, SO-3 |

**SECTION 4 – DRIVERS’ HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS**

**GUIDANCE NOTES**

**EC 561/2006**

On the 11<sup>th</sup> April 2007 EC Regulation 561/2006 replaced EEC Regulation 3820/85 and the GB regulations amending section 96(11A) of the Transport Act 1968 (i.e. The Community Drivers’ Hours and Recording Equipment Regulations 2007) came into force on the 2<sup>nd</sup> July 2007

**AETR**

As of 20 September 2010, the AETR provisions were aligned with EC 561/2006.

**EU 165/2104**

This is the regulation relating to tachograph recording equipment and record keeping. It came fully into effect on 2 March 2016 and replaced EEC 3821/85

Annex IB is not directly included in EU 165/2014 (in the same way that Annex I) but by virtue of the transition measures at Article 46 of EU 165/2014 Annex IB remains in force. The following regulations are therefore still pertinent:

- EC 2135/98 - Inclusion of Annex IB
- EC 1360/2002 - Amendments to Annexes IB and II
- EC 432/2004 - Amendments to IB
- EC 68/2009 – Amendments to Annex IB
- EC 1266/2009 – Amendments to Annex IB including changes to the calculation of driving time (the “one minute” issue) and tachographs activated from 1/10/12 to be tamper-proof against simple magnets and have a second motion sensor.
- EU 2016/799 Annex IC

**SECTION 99**

Section 99 of the Transport Act 1968 was amended by The Passenger and Goods Vehicles (Recording Equipment) Regulations 2005 (S.I.2005/1904). The main

change was to introduce sub-sections 99ZA to 99ZF which relate to the powers to inspect records and equipment required under EU 165/2014 and the related offences.

**DIGITAL TACHOGRAPH EQUIPMENT**

A vehicle in-scope of EC Regulation 561/06 put into service for the first time on or after the 1<sup>st</sup> May 2006 is required to be fitted with recording equipment which conforms to the requirements of Annex 1B of EU 165/2014 (digital).

A vehicle in-scope of EC Regulation 561/2006 put into service for the first time on or after the 15<sup>th</sup> June 2019 is required to be fitted with recording equipment which conforms to the requirements of Annex IC of (EU) 2016/799.

AETR Agreement has not adopted Annex IC tachographs.

**DIGITAL TACHOGRAPH OVERSPEED SETTING**

The overspeed setting contained within the digital tachograph calibration parameters has no effect on the functioning of the speed limitation device fitted to the vehicle. The setting is used to trigger the production of an 'Overspeed Event' should the vehicle exceed the authorised speed of the vehicle for a period greater than one minute. The overspeed setting should be set to the maximum stabilised speed of the vehicle concerned.

For example, in the case of a maximum weight articulated vehicle these values are currently, 85kmh for the speed limiter setting with the stabilised speed not to exceed 90 kmh. Therefore, the overspeed setting for this type of vehicle must be no greater than 90 kmh.

If the 'overspeed' file or the 'technical data' file shows the overspeed setting to be higher than the permitted value, then the procedure to be followed is that appropriate to a vehicle with a tachograph that has not been correctly fitted (offence 4-20).

**SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS**

**SECTION 4 GUIDANCE NOTES CONT**

The Trade and Cooperation Agreement (TCA) between the EU and UK provides that the AETR rules will not apply on journeys between UK and EU territory. The TCA specifies that the drivers hours and tachograph rules to be applied under the agreement are the equivalent of EC 561/2006 and EU 165/2014, which directly applies the technical specifications for Annex I, IB and IC tachographs. The UK's mechanism for applying the requirements of the TCA will be via the retained versions of EC 561 and EU 165. The retained regulations will also apply to journeys on UK territory to which those regulations previously applied prior to 1/1/21.

The AETR rules will continue to be applied by the UK as they were previously, that being for journeys to, from or through non-UK or EU territory. The only change is that journeys to, from or through Norway, Lichtenstein and Iceland will no longer be under EU rules and will be under AETR rules instead, as the UK ceased to be an EEA member on 31/1/20. Please note that this change does not apply to journeys between EU territory and these three countries and they will still be under EU rules.

DVSA notices will therefore continue to reference EC561/2006 and EU165/2014 and their Articles, as these are the corrected legislative references for the retained regulations.

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                   |                                                                                                                |                                                                                                                                        |
|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                        | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                                                                                          | NON GB OPERATOR OR NON UK DRIVER                                                                               | REG                                                                                                                                    |
| DRIVERS' HOURS – EC - BREAKS / REST                                     |                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                   |                                                                                                                |                                                                                                                                        |
| 4-34A                                                                   | <b>DRIVER: -</b><br><br><b>EXCEED 4½ HOURS DRIVING WITHOUT THE REQUIRED BREAK OR BREAKS</b><br><br><b>(EC REGULATIONS)</b> | <p>If driving time exceeded by less than 15 minutes and: -</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time exceeded by more than 15 minutes and offence is <b>current</b> unless valid justification is given, i.e. genuine traffic congestion or emergency</p> <p><b>Current / Historical offence FP</b></p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> | <p>Article 7, Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                              |                                                        |                                                        |                                                                                                                                        |
|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                         | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                               | NON GB OPERATOR OR NON UK DRIVER                       | REG                                                                                                                                    |
| 4-34A.2                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER EXCEEDS 4½ HOURS DRIVING WITHOUT THE REQUIRED BREAK OR BREAKS</b></p> <p><b>(EC REGULATIONS)</b></p> | <p>If driving time exceeded by less than 15 minutes and: -</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey was suspected</li> </ul> <p>If driving time exceeded by more than 15 minutes</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 7, Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |



# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                            |                                                                                                                                         |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | GB OPERATOR OR UK DRIVER                                                                                                                   | NON GB OPERATOR OR NON UK DRIVER                                                                                                        | REG                                                                                                                                       |
| 4-38A                                                                   | <b>DRIVER: -</b><br><b>INSUFFICIENT REST WITHIN 24 HOUR PERIOD (SINGLE MANNED)</b><br><b>(EC REGULATIONS)</b> | <p>If daily rest period insufficient by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If daily rest period insufficient by more than 15 minutes and offence is <b>current</b> unless a reasonable justification is given, ie finish of duty and is very close to base:</p> <ul style="list-style-type: none"> <li>- <b>Current / Historical offence FP</b></li> <li>- Over 15 minutes - up to 1 hour</li> <li>- Over 1 hour - up to 2 hours</li> <li>- Over 2 hours</li> </ul> <p>If daily rest is 4½ hours or less in conjunction with the daily driving limit being exceeded by any margin</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>Article 8(2), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                             |                                                                   |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | GB OPERATOR OR UK DRIVER                                                    | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                       |
| 4-38A.2                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER HAS INSUFFICIENT REST WITHIN 24 HOUR PERIOD (SINGLE MANNED)</b></p> <p><b>(EC REGULATIONS)</b></p> | <p>If daily rest period insufficient by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey was suspected</li> </ul> <p>If daily rest period was insufficient by more than 15 minutes</p> <p>If daily rest was 4½ hours or less in conjunction with the daily driving limit Being exceeded by any margin</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 8(2), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                            |                                                                                                                                         |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                      | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                                                                                                                   | NON GB OPERATOR OR NON UK DRIVER                                                                                                        | REG                                                                                                                                       |
| 4-39A                                                                   | <b>DRIVER: -</b><br><b>INSUFFICIENT REST IN 30 HOUR PERIOD (MULTI-MANNED)</b><br><b>(EC REGULATIONS)</b> | <p>If daily rest period insufficient by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If daily rest period insufficient by more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, i.e. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <ul style="list-style-type: none"> <li>- Over 15 minutes - up to 1 hour</li> <li>- Over 1 hour - up to 2 hours</li> <li>- Over 2 hours</li> </ul> <p>If daily rest is 4½ hours or less in conjunction with the daily driving limit being exceeded by any margin</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>Article 8(5), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                             |                                                                   |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                              | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | GB OPERATOR OR UK DRIVER                                                    | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                       |
| 4-39A.2                                                                 | <p><b>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVERS HAVE INSUFFICIENT REST IN 30 HOUR PERIOD (MULTI-MANNED) (9 HOURS)</b></p> <p><b>(EC REGULATIONS)</b></p> | <p>If daily rest period insufficient by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey was suspected</li> </ul> <p>If daily rest period was insufficient by more than 15 minutes</p> <p>If daily rest was 4½ hours or less in conjunction with the daily driving limit being exceeded by any margin</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 8(5), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                  |                                                                                                                               |                                                                                                                                    |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                                                                                                         | NON GB OPERATOR OR NON UK DRIVER                                                                                              | REG                                                                                                                                |
| 4-40C                                                                   | <b>DRIVER: -<br/>INSUFFICIENT WEEKLY REST<br/>(EC REGULATIONS)</b> | <p>If weekly rest period insufficient by less than 1 hour:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If weekly rest period insufficient by more than 1 hour and offence is <b>current</b> - unless a reasonable justification is given, i.e. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <p>Over 1hour - up to 2 hours</p> <p>Over 2 hour - up to 3 hours</p> <p>Over 3 hours</p> <p>Conditions of regular weekly rest not met</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP</p> | Article 8(6), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                   |                                                                   |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                              | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                            | GB OPERATOR OR UK DRIVER                                          | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                       |
| 4-40C.2                                                                 | <p><b>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER FAILS TO TAKE REQUIRED WEEKLY REST</b></p> <p><b>(EC REGULATIONS)</b></p> | <p>If weekly rest period insufficient by less than 1 hour and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey was suspected</li> </ul> <p>If weekly rest period was insufficient by more than 1 hour</p> <p>Conditions of regular weekly rest not met</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 8(6), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                              |                                                                                                                                                           |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                   | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | GB OPERATOR OR UK DRIVER                                                                                                                                     | NON GB OPERATOR OR NON UK DRIVER                                                                                                                          | REG                                                                                                                                       |
| DRIVERS' HOURS – EC - DRIVING TIME                                      |                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                              |                                                                                                                                                           |                                                                                                                                           |
| 4-35A                                                                   | <b>DRIVER: -</b><br><br><b>EXCEED 10 HOURS DRIVING</b><br><br><b>(EC REGULATIONS)</b> | <p>If driving time exceeded by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time exceeded by more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, i.e. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <ul style="list-style-type: none"> <li>- Over 15 minutes - up to 1 hour</li> <li>- Over 1 hour - up to 2 hours</li> <li>- Over 2 hours</li> </ul> <p>If driving time exceeded by 5 hours or more <b>or</b> if driving time exceeded by any margin in conjunction with daily rest of 4½ hours or less</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP</p> <p><b>&amp;</b><br/>MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP</p> <p><b>&amp;</b><br/>MSI</p> | <p>Article 6(1), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                             |                                                                   |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                                                    | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                       |
| 4-35A.2                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER EXCEEDS 10 HOURS DRIVING</b></p> <p><b>(EC REGULATIONS)</b></p> | <p>If driving time exceeded by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey was suspected</li> </ul> <p>If driving time exceeded by more than 15 minutes</p> <p>If driving time exceeded by 5 hours or more <b>or</b> if driving time exceeded by any margin in conjunction with daily rest of 4½ hours or less</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 6(1), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |



# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                          |                                                                                                                                       |                                                                                                                                    |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                              | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | GB OPERATOR OR UK DRIVER                                                                                                                 | NON GB OPERATOR OR NON UK DRIVER                                                                                                      | REG                                                                                                                                |
| 4-36A                                                                   | <b>DRIVER: -<br/>EXCEED 9 HOURS DRIVING<br/>(EC REGULATIONS)</b> | <p>If driving time exceeded by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time exceeded by more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, i.e. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <ul style="list-style-type: none"> <li>- Over 15 minutes - up to 1 hour</li> <li>- Over 1 hour - up to 2 hours</li> <li>- Over 2 hours</li> </ul> <p>If driving time exceeded by 5 hours or more <b>or</b> if driving time exceeded by any margin in conjunction with daily rest of 4½ hours or less</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH<br/>&amp;</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP<br/>&amp;<br/>MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170<br/>&amp;</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP<br/>&amp;<br/>MSI</p> | Article 6(1), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                             |                                                                   |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                            | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                                                    | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                       |
| 4-36A.2                                                                 | <b>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b><br><br><b>USES VEHICLE WHEN DRIVER EXCEEDS 9 HOURS DRIVING</b><br><br><b>(EC REGULATIONS)</b> | <p>If driving time exceeded by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey was suspected</li> </ul> <p>If driving time exceeded by more than 15 minutes</p> <p>If driving time exceeded by 5 hours or more <b>or</b> if driving time exceeded by any margin in conjunction with daily rest of 4½ hours or less</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 6(1), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                            |                                                                                                                                         |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                                                                                                                   | NON GB OPERATOR OR NON UK DRIVER                                                                                                        | REG                                                                                                                                       |
| 4-37C                                                                   | <b>DRIVER: -</b><br><b>EXCEED 56 HOURS WEEKLY DRIVING TIME</b><br><b>(EC REGULATIONS)</b> | <p>If driving time exceeded by up to 1 hour and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time exceeded more than 1 hour and offence is <b>current</b> - unless a reasonable justification is given, i.e. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <ul style="list-style-type: none"> <li>- Over 1hour - up to 2 hours</li> <li>- Over 2 hour - up to 3 hours</li> <li>- Over 3 hours</li> </ul> <p>If driving time exceeded by 14 hours or more</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>Article 6(2), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                             |                                                                   |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER                                                    | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                       |
| 4-37C.2                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER EXCEEDS 56 HOURS WEEKLY DRIVING TIME</b></p> <p><b>(EC REGULATIONS)</b></p> | <p>If driving time exceeded by up to 1 hour and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey was suspected</li> </ul> <p>If driving time exceeded by more than 1 hour</p> <p>If driving time exceeded by 14 hours or more</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 6(2), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                            |                                                                                                                                         |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                            | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | GB OPERATOR OR UK DRIVER                                                                                                                   | NON GB OPERATOR OR NON UK DRIVER                                                                                                        | REG                                                                                                                                       |
| 4-37A                                                                   | <b>DRIVER: -</b><br><br><b>EXCEED 90 HOURS ACCUMULATED DRIVING IN ANY TWO CONSECUTIVE WEEKS</b><br><br><b>(EC REGULATIONS)</b> | <p>If driving time exceeded by up to 1 hours and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time exceeded more than 1 hour and offence is <b>current</b> - unless a reasonable justification is given, i.e. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <ul style="list-style-type: none"> <li>- Over 1hour - up to 3 hours</li> <li>- Over 3 hour - up to 4 hours</li> <li>- Over 4 hours</li> </ul> <p>If driving time exceeded by 22½ hours or more</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>Article 6(3), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                             |                                                                   |                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                                                    | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                       |
| 4-37A.2                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER EXCEEDS 90 HOURS ACCUMULATED DRIVING IN ANY TWO CONSECUTIVE WEEKS</b></p> <p><b>(EC REGULATIONS)</b></p> | <p>If driving time exceeded by up to 1 hour and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey was suspected</li> </ul> <p>If driving time exceeded by more than 1 hour</p> <p>If driving time exceeded by 22½ hours or more</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 6(3), Regulation (EC) No 561/2006 of the European Parliament and of the Council, Transport Act 1968, Section 96(11A), SO-4</p> |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                      |                                                                                                                   |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                                                             | NON GB OPERATOR OR NON UK DRIVER                                                                                  | REG                                                                                                                                                                        |
| DRIVERS' HOURS – AETR - BREAKS / REST                                   |                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                      |                                                                                                                   |                                                                                                                                                                            |
| 4-34B                                                                   | <b>DRIVER: -</b><br><br><b>EXCEED 4.5 HOURS DRIVING WITHOUT THE REQUIRED BREAK OR BREAKS</b><br><br><b>(AETR)</b> | <p>If the time has been exceeded by less than 15 minutes and: -</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey was suspected and offence was <b>current</b></li> </ul> <p>If time has been exceeded by more than 15 minutes and offence is <b>current</b> - unless valid justification is given, i.e. genuine traffic congestion or emergency</p> <p><b>Current / Historical offence FP</b></p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> | <p>VW</p> <p>P</p> <p>TE160DH</p><br><p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> | <p>VW</p> <p>VW</p> <p>GV160</p><br><p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> | <p>Article 7(1), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                      |                                                        |                                                        |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                     | GB OPERATOR OR UK DRIVER                               | NON GB OPERATOR OR NON UK DRIVER                       | REG                                                                                                                                                                        |
| 4-34B.1                                                                 | <p><b>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER EXCEEDS 4½ HOURS DRIVING WITHOUT THE REQUIRED BREAK OR BREAKS</b></p> <p><b>(AETR)</b></p> | <p>If the time was exceeded by less than 15 minutes: -</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey was suspected</li> </ul> <p>If time was exceeded by more than 15 minutes</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 7(1), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |



# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                            |                                                                                                                                         |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                         | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                                                                                                                   | NON GB OPERATOR OR NON UK DRIVER                                                                                                        | REG                                                                                                                                                                        |
| 4-38B                                                                   | <b>DRIVER: -</b><br><br><b>INSUFFICIENT REST WITHIN 24 HOUR PERIOD (SINGLE MANNED)</b><br><br><b>(AETR)</b> | <p>If daily rest period insufficient by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If daily rest period insufficient by more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, i.e. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <ul style="list-style-type: none"> <li>- Over 15 minutes - up to 1 hour</li> <li>- Over 1 hour - up to 2 hours</li> <li>- Over 2 hours</li> </ul> <p>If daily rest was 4½ hours or less in conjunction with the daily driving limit being exceeded by any margin</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>Article 8(2), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                             |                                                                   |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | GB OPERATOR OR UK DRIVER                                                    | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                                                        |
| 4-38B.1                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER HAS INSUFFICIENT REST WITHIN 24 HOUR PERIOD (SINGLE MANNED)</b></p> <p><b>(AETR)</b></p> | <p>If daily rest period insufficient by up to 15 minutes:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey was suspected</li> </ul> <p>If daily rest period was insufficient by more than 15 minutes</p> <p>If daily rest was 4½ hours or less in conjunction with the daily driving limit being exceeded by any margin</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 8(2), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                            |                                                                                                                                         |                                                                                                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                          | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                                                                                                                   | NON GB OPERATOR OR NON UK DRIVER                                                                                                        | REG                                                                                                                                                                 |
| 4-39B                                                                   | <b>DRIVER</b><br><b>INSUFFICIENT REST IN 30 HOUR PERIOD (DOUBLE MANNED)</b><br><b>(AETR)</b> | <p>If daily rest period insufficient by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If daily rest period insufficient by more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, e.g. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <ul style="list-style-type: none"> <li>- Over 15 minutes - up to 1 hour</li> <li>- Over 1 hour - up to 2 hours</li> <li>- Over 2 hours</li> </ul> <p>If daily rest was 4½ hours or less in conjunction with the daily driving limit being exceeded by any margin</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | Article 8(3), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                             |                                                                   |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                            | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER                                                    | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                                                        |
| 4-39B.1                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVERS HAVE INSUFFICIENT REST IN 30 HOUR PERIOD (MULTI-MANNED) (AETR)</b></p> | <p>If daily rest period insufficient by up to 15 minutes:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey was suspected</li> </ul> <p>If time was insufficient by more than 15 minutes</p> <p>If daily rest was 4½ hours or less in conjunction with the daily driving limit being exceeded by any margin</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 8(3), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                  |                                                                                                                               |                                                                                                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                      | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER                                                                                                         | NON GB OPERATOR OR NON UK DRIVER                                                                                              | REG                                                                                                                                                                 |
| 4-40D                                                                   | <b>DRIVER: -<br/>INSUFFICIENT WEEKLY REST<br/>(AETR)</b> | <p>If weekly rest insufficient by less than 60 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If weekly rest period insufficient by more than 1 hour and offence is <b>current</b> - unless a reasonable justification is given, e.g. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hour - up to 3 hours</p> <p>Over 3 hours</p> <p>Conditions of regular weekly rest not met</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP</p> | Article 8(6), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                   |                                                                   |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER                                          | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                                                        |
| 4-40D.1                                                                 | <p><b>THE OFFENDER’S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER FAILS TO TAKE REQUIRED WEEKLY REST</b></p> <p><b>(AETR)</b></p> | <p>If weekly rest insufficient by less than 1 hour:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey was suspected</li> </ul> <p>if time was insufficient by more than 1 hour</p> <p>Conditions of regular weekly rest not met</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 8(6), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                              |                                                                                                                                                           |                                                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                         | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER                                                                                                                                     | NON GB OPERATOR OR NON UK DRIVER                                                                                                                          | REG                                                                                                                                                                       |
| DRIVERS' HOURS – AETR - DRIVING TIME                                    |                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                              |                                                                                                                                                           |                                                                                                                                                                           |
| 4-35B                                                                   | <b>DRIVER: -</b><br><br><b>EXCEED 10 HOURS DRIVING</b><br><br><b>(AETR)</b> | <p>If driving time exceeded by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time exceeded more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, e.g. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p>If driving time exceeded by 5 hours or more and offence is <b>current or</b> if driving time exceeded by any margin in conjunction with daily rest of 4½ hours or less</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP</p> <p><b>&amp;</b><br/>MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP</p> <p><b>&amp;</b><br/>MSI</p> | <p>Article 6(1), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A) SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                   |                                                                   |                                                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                            | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | GB OPERATOR OR UK DRIVER                                          | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                                                       |
| 4-35B.1                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER EXCEEDS 10 HOURS DRIVING (AETR)</b></p> | <p>If driving time exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey was suspected</li> </ul> <p>If driving time exceeded by more than 15 minutes</p> <p>If driving time exceeded by 5 hours or more and offence is <b>current or</b> if driving time exceeded by any margin in conjunction with daily rest of 4½ hours or less</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 6(1), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A) SO-4</p> |



# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                    |                                                                                                                                                 |                                                                                                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER                                                                                                                           | NON GB OPERATOR OR NON UK DRIVER                                                                                                                | REG                                                                                                                                                                 |
| 4-36B                                                                   | <b>DRIVER: -<br/>EXCEED 9 HOURS DRIVING<br/>(AETR)</b> | <p>If driving time exceeded by up to 15 minutes and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time exceeded more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, e.g. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> <p>If driving time exceeded by 5 hours or more <b>or</b> if driving time exceeded by any margin in conjunction with daily rest of 4½ hours or less</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP<br/>&amp;<br/>MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP<br/>&amp;<br/>MSI</p> | Article 6(1), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                             |                                                                   |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | GB OPERATOR OR UK DRIVER                                                    | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                                                        |
| 4-36B.1                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER EXCEEDS 9 HOURS DRIVING (AETR)</b></p> | <p>If driving time exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey was suspected</li> </ul> <p>If driving time exceeded by more than 15 minutes</p> <p>If driving time exceeded by 5 hours or more <b>or</b> if driving time exceeded by any margin in conjunction with daily rest of 4½ hours or less</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 6(1), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                        |                                                                                                                     |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | GB OPERATOR OR UK DRIVER                                                                                               | NON GB OPERATOR OR NON UK DRIVER                                                                                    | REG                                                                                                                                                                        |
| 4-37D                                                                   | <b>DRIVER: -</b><br><br><b>EXCEED 56 HOURS WEEKLY DRIVING TIME</b><br><br><b>(AETR REGULATIONS)</b> | <p>If driving time exceeded by up to 1 hour:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time exceeded more than 1 hour and offence is <b>current</b> - unless a reasonable justification is given, i.e. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b><br/>Over 1hour</p> <p>If driving time exceeded by 14 hours or more and offence is <b>current</b></p> | <p>VW</p> <p>P</p> <p>TE160DH *</p> <p>TE160DH *</p> <p><b>&amp;</b></p> <p>£50 FP</p> <p>£50 FP<br/>&amp;<br/>MSI</p> | <p>VW</p> <p>VW</p> <p>GV170 *</p> <p>GV170 *</p> <p><b>&amp;</b></p> <p>£50 FP</p> <p>£50 FP<br/>&amp;<br/>MSI</p> | <p>Article 6(2), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                              |                                                       |                                             |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                  | FURTHER GUIDANCE                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                              | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                                                                                                        |
| 4-37D.1                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER EXCEEDS 56 HOURS WEEKLY DRIVING TIME</b></p> <p><b>(AETR REGULATIONS)</b></p> | <p>If driving time has been exceeded by up to 1 hour and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- in any other case</li> </ul> <p>If driving time exceeded by 14 hours or more</p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 6(2), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                            |                                                                                                                                         |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | GB OPERATOR OR UK DRIVER                                                                                                                   | NON GB OPERATOR OR NON UK DRIVER                                                                                                        | REG                                                                                                                                                                        |
| 4-37B                                                                   | <b>DRIVER: -</b><br><br><b>EXCEED 90 HOURS ACCUMULATED DRIVING IN ANY TWO CONSECUTIVE WEEKS (AETR)</b> | <p>If driving time exceeded by up to 1 hours and:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- if apparent that the tolerance is being deliberately abused on regular basis</li> <li>- if a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time exceeded more than 1 hour and offence is <b>current</b> - unless a reasonable justification is given, e.g. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <ul style="list-style-type: none"> <li>- Over 1hour - up to 3 hours</li> <li>- Over 3 hour - up to 4 hours</li> <li>- Over 4 hours</li> </ul> <p>If driving time exceeded by 22½ hours or more</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>VW</p> <p>VW</p> <p>GV170</p> <p>GV170</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> | <p>Article 6(3), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                             |                                                                   |                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                   | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER                                                    | NON GB OPERATOR OR NON UK DRIVER                                  | REG                                                                                                                                                                        |
| 4-37B.1                                                                 | <p><b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b></p> <p><b>USES VEHICLE WHEN DRIVER EXCEEDS 90 HOURS ACCUMULATED DRIVING IN ANY TWO CONSECUTIVE WEEKS</b></p> <p><b>(AETR)</b></p> | <p>If driving time exceeded by up to 1 hour:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey was suspected</li> </ul> <p>If driving time exceeded by more than 1 hour</p> <p>If driving time exceeded by 22½ hours or more and offence was <b>current</b></p> <p>If criteria for the issue of historical offences met or exceeded</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> <p>FUE &amp; MSI</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Article 6(3), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                    |                                                  |                                                                                                                      |
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| PLS<br>CODE                                                             | OFFENCE DESCRIPTION                                                                                                      | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR<br>OR<br>UK DRIVER                     | NON<br>GB OPERATOR<br>OR<br>NON UK DRIVER        | REG                                                                                                                  |
| <b>EC - RECORDS – FAIL TO PRODUCE / ALLOW TO INSPECT, COPY ETC</b>      |                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                    |                                                  |                                                                                                                      |
| 4-3                                                                     | <b>FAIL TO PRODUCE TACHOGRAPH RECORD SHEET(S), DRIVER CARD OR PRINT-OUTS AT THE ROADSIDE (EC)</b><br><br><b>– DRIVER</b> | <p><b>STEP 1 - PROHIBITION ACTION: -</b></p> <p>Driver fails to produce: -</p> <ul style="list-style-type: none"> <li>- At least <u>all</u> records back to the last regular weekly rest period (includes the record immediately prior to the commencement of the regular weekly rest period)</li> <li>- Only those records which pre-date the last regular weekly rest period (excluding the record immediately prior to the commencement of the regular weekly rest period)</li> </ul> <p><b>STEP 2 - FURTHER ACTION: -</b></p> <ul style="list-style-type: none"> <li>- If prohibition notice is still in force at the end of the road or mobile check</li> <li>- If prohibition issued and removed only because another driver took over vehicle, but the records are still missing for the original driver</li> <li>- If prohibition issued but removed because records were produced back to last weekly rest, but other records are still missing</li> <li>- If no prohibition issued but there are still missing records at the end of the inspection</li> </ul> <p><b>* Only 1 fixed penalty notice will be issued irrespective of the number of records which have not been produced (non-production of driver card counts as one offence)</b></p> | TE160DH<br><br><br><br><br><br><br><br><br><br>N/A | GV170<br><br><br><br><br><br><br><br><br><br>N/A | Article 36(1)&(2) Regulation EU 165/2014, Transport Act 1968, Section 99ZA(1)(b) & (2)(a)(b)& 99ZD(1)(a) & (2), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                    |                                                   |                                                  |                                                                                                                                                                                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                | FURTHER GUIDANCE                                                                                                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER                          | NON GB OPERATOR OR NON UK DRIVER                 | REG                                                                                                                                                                                                                                                      |
| 4-4                                                                     | <b>USE VEHICLE WHEN DRIVER FAILED TO PRODUCE TACHOGRAPH RECORD SHEET(S), DRIVER CARD OR PRINT-OUTS AT THE ROADSIDE (EC)</b><br><br><b>- OPERATOR</b>                                                               | In special circumstances i.e. first day of driving due to absence / holiday / other duties / lack of knowledge / inadvertent mistake<br><br>Where it is suspected that the failure to produce records/card is deliberate or an attempt to hide offences                            | AL<br><br>FUE                                     | N/A<br><br>N/A                                   | Article 36(1)&(2) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO - 5                                                                                                                                                              |
| 4-4.1                                                                   | <b>CAUSE</b>                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                    | FUE                                               | N/A                                              |                                                                                                                                                                                                                                                          |
| 4-4.2                                                                   | <b>PERMIT</b>                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                    | FUE                                               | N/A                                              |                                                                                                                                                                                                                                                          |
| 4-14A                                                                   | <b>FAIL TO PERMIT TO INSPECT, REMOVE, RETAIN AND COPY ANY BOOK, REGISTER OR OTHER DOCUMENT WHEN REQUESTED AT THE ROADSIDE (EC)</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><br><br><br><b>- OPERATOR</b> | Where failure to produce book, register or document is due to lack of knowledge or inadvertent mistake<br><br>Otherwise than above<br><br>Where failure to produce book, register or other document is due to lack of knowledge or inadvertent mistake<br><br>Otherwise than above | VW<br><br>TE160DH<br>£300 FP<br><br>AL<br><br>FUE | VW<br><br>GV170<br>£300 FP<br><br>N/A<br><br>N/A | Article 36(3) Regulation EU 165/2014, Transport Act 1968, Section 99ZA(1)(c), & 99ZD(1)(a) & (2), SO-5<br><br><b>For Operator, Cause &amp; Permit:</b><br><br>Article 36(1)(2) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO - 5 |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                                             |                                                                                                                                  |                              |                                  |                                                                                                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                                                         | FURTHER GUIDANCE                                                                                                                 | GB OPERATOR OR UK DRIVER     | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                                     |
| 4-14B                                                                   | <b>FAIL TO PERMIT TO INSPECT, REMOVE, RETAIN OR COPY ANY RECORD SHEET OR HARD COPY OF DRIVER CARD DATA (EC) OR<br/>FAIL TO PERMIT TO INSPECT CARD, COPY DATA OR REMOVE AND RETAIN COPY OF DATA (EC)</b><br><br><b>WHEN REQUESTED AT THE ROADSIDE</b><br><br><b>- DRIVER</b> | If genuine reason is given and hard copy is produced later during check.<br><br>Otherwise than above                             | VW<br><br>TE160DH<br>£300 FP | VW<br><br>GV170<br>£300 FP       | Article 36(1)(2) Regulation EU 165/2014, Transport Act 1968, Section 99ZA(1)(b) & (2)(a)&(b) & 99ZD(1)(a) & (2), SO-5<br><br><b>For Operator, Cause &amp; Permit:</b><br><br>Article 36(1)(2) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO - 5 |
| 4.14B.1                                                                 | <b>- OPERATOR</b>                                                                                                                                                                                                                                                           | If genuine reason is given and hard copy is produced later during check.<br><br>Otherwise than above                             | AL<br><br>FUE                | N/A<br><br>N/A                   |                                                                                                                                                                                                                                                                         |
| 4.14B.2                                                                 | <b>CAUSE</b>                                                                                                                                                                                                                                                                |                                                                                                                                  |                              |                                  |                                                                                                                                                                                                                                                                         |
| 4.14B.3                                                                 | <b>PERMIT</b>                                                                                                                                                                                                                                                               |                                                                                                                                  |                              |                                  |                                                                                                                                                                                                                                                                         |
| 4-7                                                                     | <b>FAIL TO PERMIT TO INSPECT, REMOVE, RETAIN AND COPY ANY TACHOGRAPH RECORD SHEET, DOCUMENT OR HARD COPY OF ELECTRONICALLY STORED DATA WHEN REQUESTED AT OPERATOR'S PREMISES (EC)</b>                                                                                       | If genuine reason is given and the requested item(s) is / are produced within a reasonable timescale<br><br>Otherwise than above | VW<br><br>FUE                | N/A<br><br>N/A                   | Article 33(2) Regulation EU 165/2014, Transport Act 1968, Sections 99ZA(1)(b) & 99ZD(1)(a) & (2), SO-5                                                                                                                                                                  |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                 |                          |                                  |                                                                                                        |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                    |
| 4-8                                                                     | <b>FAIL TO PRODUCE ANY TACHOGRAPH RECORD SHEET, DRIVER CARD, DOCUMENT OR HARD COPY OF ELECTRONICALLY STORED DATA WHEN REQUESTED BY MEANS OF WRITTEN REQUEST (EC)</b><br><br>- OPERATOR                            | If genuine reason is given and the requested item(s) is / are produced within a reasonable timescale<br><br>Otherwise than above                                                                                                                                                                | VW<br><br>FUE            | N/A<br><br>N/A                   | Article 33(2) Regulation EU 165/2014, Transport Act 1968, Section 99ZA(3) & 99ZD(1)(a) & (2), SO-5     |
| 4-9                                                                     | <b>FAIL TO PRODUCE ANY DRIVER CARD WHEN REQUESTED BY MEANS OF WRITTEN REQUEST (EC)</b><br><br>- DRIVER                                                                                                            | If genuine reason is given and the card is produced within a reasonable timescale<br><br>Otherwise than above                                                                                                                                                                                   | VW<br><br>FUE            | N/A<br><br>N/A                   | Article 36(1)&(2) Regulation EU 165/2014, Transport Act 1968, Section 99ZA(3) & 99ZD(1)(a) & (2), SO-5 |
| 4-17P                                                                   | <b>TRANSPORT UNDERTAKING FAILS WITHOUT DELAY, ON THE REQUEST OF AN EXAMINER, TO PERMIT HIM TO INSPECT OR COPY THE DOWNLOADED DATA (EC)</b>                                                                        |                                                                                                                                                                                                                                                                                                 | FUE                      | N/A                              | Transport Act 1968 Section 97F(2)(b), 97(G) and Council Regulation (EC) 561/2006 Article 10(5), SO - 5 |
| 4-17Q                                                                   | <b>ANY PERSON WHO FAILS, WITHOUT REASONABLE EXCUSE, TO MAKE READILY ACCESSIBLE TO AN EXAMINER, EITHER ON OR FROM PREMISES OCCUPIED OR CONTROLLED BY THAT PERSON IN QUESTION, THAT PERSON'S RETAINED DATA (EC)</b> | This means failure by the transport undertaking to provide data relating to any person, which has been retained by that transport undertaking<br><br>Retained data means any data as required by Article 10 to be kept for at least 12 months from the date the data was created not downloaded | FUE                      | N/A                              | Transport Act 1968 Section 97H(1)&(2) and Council Regulation (EC) 561/2006 Article 10(5), SO - 5       |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                                |                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                              |                                                           |                                                                                       |
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| PLS CODE                                                                                               | OFFENCE DESCRIPTION                                                                     | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                                     | NON GB OPERATOR OR NON UK DRIVER                          | REG                                                                                   |
| EC - RECORDS – FAIL TO USE RECORD SHEET OR DRIVER CARD / FAIL TO KEEP ARTICLE 6(5) RECORD (EC OR AETR) |                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                              |                                                           |                                                                                       |
| 4-25                                                                                                   | <b>FAIL TO USE A TACHOGRAPH RECORD SHEET OR DRIVER CARD (EC)</b><br><br><b>- DRIVER</b> | <p>Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence is of failing to keep a <b>current</b> record</p> <p>If failure is to keep a previous record which falls within the period going back to the last 45-hour weekly rest period meaning rest periods cannot be assessed</p> <p>Historical offences</p> | <p>VW</p> <p>TE160DH<br/>£300 FP</p> <p>TE160DH</p> <p>P</p> | <p>VW</p> <p>GV170<br/>£300 FP</p> <p>GV170</p> <p>VW</p> | Article 34(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                           |                                                                                                                                                                                                                                                                                                                                                                            |                                            |                                             |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                           | GB OPERATOR OR UK DRIVER                   | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                   |
| 4-25.1                                                                  | <b>FAIL TO USE A TACHOGRAPH RECORD SHEET OR DRIVER CARD (EC)</b><br><br><b>- OPERATOR</b> | <p>Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence was <b>current</b></p> <p>If failure is to keep a previous record which falls within the period going back to the last 45-hour weekly rest period meaning rest periods cannot be assessed</p> <p>Historical offences</p> | <p>AL</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Article 34(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-25.2                                                                  | <b>CAUSE</b>                                                                              |                                                                                                                                                                                                                                                                                                                                                                            | FUE                                        | N/A                                         |                                                                                       |
| 4-25.3                                                                  | <b>PERMIT</b>                                                                             |                                                                                                                                                                                                                                                                                                                                                                            | FUE                                        | N/A                                         |                                                                                       |
|                                                                         |                                                                                           |                                                                                                                                                                                                                                                                                                                                                                            |                                            |                                             |                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                              |                                  |                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                        | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                     | GB OPERATOR OR UK DRIVER     | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                     |
| 4-47                                                                    | <b>DRIVER: -</b><br><br><b>FAIL TO RECORD ACTIVITIES NOT FALLING INTO SCOPE OF 561/2006</b><br><br><b>(EC REGULATIONS)</b>                                                                                 | Failure to record daily walk round check<br><br>Failure to record any of the following: <ul style="list-style-type: none"> <li>any time spent as defined as working time in Article 3(a) of 2002/15/EC,</li> <li>any time spent driving a vehicle used for commercial operations <u>not</u> falling within the scope of 561/2006,</li> <li>any periods of availability as defined in Article 34(5)(b)(iii) of EU 165/2014</li> </ul> | VW<br><br>TE160 DH<br>£50 FP | VW<br><br>GV170<br>£50 FP        | Article 6(5), Council Regulation EC 561/2006, Transport Act 1968, Section 96(11A), SO-4 |
| 4-47.1                                                                  | <b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b><br><br><b>FAIL TO RECORD ACTIVITIES NOT FALLING INTO SCOPE OF 561/2006</b><br><br><b>(EC REGULATIONS)</b> | Failure to record daily walk round check<br><br>Failure to record any of the following: <ul style="list-style-type: none"> <li>any time spent as defined as working time in Article 3(a) of 2002/15/EC,</li> <li>any time spent driving a vehicle used for commercial operations <u>not</u> falling within the scope of 561/2006,</li> <li>any periods of availability as defined in Article 34(5)(b)(iii) of EU 165/2014</li> </ul> | NFA<br><br>FUE               | N/A<br><br>N/A                   | Article 6(5), Council Regulation EC 561/2006, Transport Act 1968, Section 96(11A), SO-4 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                     |                                                                                                                                                                                                       |                                           |                                          |                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER         | REG                                                                                       |
| EC- RECORDS – FAIL TO TAKE A PRINT / SIGN HARD COPY                     |                                                                                                                                                     |                                                                                                                                                                                                       |                                           |                                          |                                                                                           |
| 4-33S                                                                   | <b>FAIL, AT THE START OF JOURNEY, TO PRINT OUT DETAILS OF VEHICLE AND TO ENTER ON THE PRINTOUT THE REQUIRED DETAILS (EC)</b><br><br><b>- DRIVER</b> | If offence is <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists  | TE160DH<br>£200 FP<br><br><br>VW<br><br>P | GV170<br>£200 FP<br><br><br>VW<br><br>VW | Article 35(2)(a) Regulation EU 165/2014,<br>Transport Act 1968 Section 97(1)(a)(iii) SO-5 |
| 4.33S.3                                                                 | <b>- OPERATOR</b>                                                                                                                                   | If offence was <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | FUE<br><br>AL<br><br>FUE                  | N/A<br><br>N/A<br><br>N/A                |                                                                                           |
| 4-33S.1                                                                 | <b>CAUSE</b>                                                                                                                                        |                                                                                                                                                                                                       | FUE                                       | N/A                                      |                                                                                           |
| 4-33S.2                                                                 | <b>PERMIT</b>                                                                                                                                       |                                                                                                                                                                                                       | FUE                                       | N/A                                      |                                                                                           |
|                                                                         |                                                                                                                                                     |                                                                                                                                                                                                       |                                           |                                          |                                                                                           |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                   |                                                                                                                                                                                                       |                                               |                                              |                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                               | FURTHER GUIDANCE                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                      | NON GB OPERATOR OR NON UK DRIVER             | REG                                                                                     |
| 4-33T                                                                   | <b>FAIL, AT THE END OF THE JOURNEY, TO PRINT OUT THE PERIODS OF TIME RECORDED BY THE TACHOGRAPH AND RECORD THE REQUIRED INFORMATION AND MARK THE DETAILS REQUIRED (EC)</b><br><br><b>- DRIVER</b> | If offence is <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists  | TE160DH<br>£200 FP<br><br><br>VW<br><br><br>P | GV170<br>£200 FP<br><br><br>VW<br><br><br>VW | Article 35(2)(b) Regulation EU 165/2014, Transport Act 1968 Section 97(1)(a)(iii), SO-5 |
| 4.33T.3                                                                 | <b>- OPERATOR</b>                                                                                                                                                                                 | If offence was <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | FUE<br><br><br>AL<br><br>FUE                  | N/A<br><br><br>N/A<br><br>N/A                |                                                                                         |
| 4-33T.1                                                                 | <b>CAUSE</b>                                                                                                                                                                                      |                                                                                                                                                                                                       | FUE                                           | N/A                                          |                                                                                         |
| 4-33T.2                                                                 | <b>PERMIT</b>                                                                                                                                                                                     |                                                                                                                                                                                                       | FUE                                           | N/A                                          |                                                                                         |
|                                                                         |                                                                                                                                                                                                   |                                                                                                                                                                                                       |                                               |                                              |                                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                                                           |                                                                                                   |                                                                                                                                                                                                                                                                      |                               |                                  |                                                                                                                                                                                                    |
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| PLS CODE                                                                                                                          | OFFENCE DESCRIPTION                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                     | GB OPERATOR OR UK DRIVER      | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                |
| 4-33M                                                                                                                             | <b>FAIL WITHOUT REASONABLE EXCUSE TO COMPLY WITH A REQUIREMENT TO SIGN HARD COPY OF DATA (EC)</b> | This offence applies where an officer makes any hard copy of data stored on a driver card or on recording equipment under the powers of Inspection in Section 99ZA or 99ZB of the 1968 Act (see note 4-65)                                                           | VW                            | VW                               | Transport Act 1968, Section 99ZC(1) & 99ZD(1)(a) SO-5                                                                                                                                              |
| <b>EC - RECORDS – INSUFFICIENT RECORD SHEETS OR PRINT ROLL / WRONG TYPE OF PAPER / FAIL TO ENSURE PRINTING CAN BE CARRIED OUT</b> |                                                                                                   |                                                                                                                                                                                                                                                                      |                               |                                  |                                                                                                                                                                                                    |
| 4-28A                                                                                                                             | <b>EMPLOYER FAILED TO ISSUE SUFFICIENT RECORD SHEETS (EC)</b>                                     | If rectified on site<br><br>Otherwise than above                                                                                                                                                                                                                     | VW<br><br>AL                  | VW<br><br>VW                     | Article 33(1) Regulation EU 165/2014,<br>Transport Act 1968, Section 97(1)(a)(iii), SO-5                                                                                                           |
| 4-52 / 4.142                                                                                                                      | <b>PRINTOUT PAPER NOT APPROVED (EC)</b><br><br><b>- DRIVER</b>                                    | <b>Annex 1C / smart - 4-142, 4-142.1 or 4-142.2</b><br><br>Paper either does not bear an approval mark or is not approved for the model of tachograph in which it is being used.<br><br>- If able to print onto the paper<br><br>- If unable to print into the paper | VW<br><br>Go to offence 4-28D | VW<br><br>Go to offence 4-28D    | Article 33(1) and item 136 of Section 16 of Part III of Annex IB of Regulation (EU) No 165/2014 and Section 97(1)(a)(ii) & (iii) of the Transport Act 1968.<br><br><u>For smart tachograph use</u> |
|                                                                                                                                   | <b>- OPERATOR</b>                                                                                 | - If driver able to print onto the paper<br><br>- If driver unable to print into the paper                                                                                                                                                                           | AL<br><br>Use offence 4-28D   | N/A<br><br>Use offence 4-28D     | Article 33(1) of EU 165/2014, Section 3.16 of Annex IC of Regulation (EU) 2016/799 and Section 97(1)(a)(ii) & (iii) of the Transport Act 1968.                                                     |
| 4-52.1 / 4.142.1                                                                                                                  | <b>CAUSE</b>                                                                                      |                                                                                                                                                                                                                                                                      | FUE                           | N/A                              |                                                                                                                                                                                                    |
| 4-52.2 / 4.142.2                                                                                                                  | <b>PERMIT</b>                                                                                     |                                                                                                                                                                                                                                                                      | FUE                           | N/A                              |                                                                                                                                                                                                    |



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| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                           |                                                            |                               |                                  |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                       | FURTHER GUIDANCE                                           | GB OPERATOR OR UK DRIVER      | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                   |
| 4-28D                                                                   | <b>FAIL TO ENSURE PRINTING CAN BE CARRIED OUT ON REQUEST-DIGITAL (EC)</b> |                                                            |                               |                                  | Article 33(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
|                                                                         | <b>- DRIVER</b>                                                           | Paper is not being carried.<br>Printing facility defective | £100 FP<br>Go to offence 4-21 | £100 FP<br>Go to offence 4-21    |                                                                                       |
| 4-28D.1                                                                 | <b>- OPERATOR</b>                                                         | Paper is not being carried.<br>Defective printer           | FUE<br>Go to Offence 4-21     | N/A<br>N/A                       |                                                                                       |
| 4-28D.2                                                                 | <b>CAUSE</b>                                                              |                                                            | FUE                           | N/A                              |                                                                                       |
| 4-28D.3                                                                 | <b>PERMIT</b>                                                             |                                                            | FUE                           | N/A                              |                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS      |                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                              |                                                           |                                                          |                                                                                       |
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| PLS CODE                                                                     | OFFENCE DESCRIPTION                                                                                      | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                                  | NON GB OPERATOR OR NON UK DRIVER                         | REG                                                                                   |
| EC - RECORDS – FAIL TO SWITCH RECORD SHEETS OR CARDS (DM) / USE CORRECT SLOT |                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                              |                                                           |                                                          |                                                                                       |
| 4-25.4<br>(a)                                                                | <b>FAIL TO AMEND RECORD SHEET OR AS NECESSARY – DOUBLE MANNED – ANALOGUE (EC)</b><br><br><b>- DRIVER</b> | <p>Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>VW</p> <p>TE160DH *<br/>£200 FP</p> <p>VW</p> <p>P</p> | <p>VW</p> <p>GV170 *<br/>£200 FP</p> <p>VW</p> <p>VW</p> | Article 34(4) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                               |                                           |                                             |                                                                                       |
|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|---------------------------------------------|---------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                     | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                   |
| 4-25.4<br>(b)                                                           | <b>FAIL TO AMEND RECORD SHEET AS NECESSARY – DOUBLE MANNED – ANALOGUE (EC)</b><br><br><b>- OPERATOR</b> | <p>Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence was <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>AL</p> <p>FUE</p> <p>AL</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Article 34(4) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-25.5                                                                  | <b>CAUSE</b>                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                               | FUE                                       | N/A                                         |                                                                                       |
| 4-25.6                                                                  | <b>PERMIT</b>                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                               | FUE                                       | N/A                                         |                                                                                       |
|                                                                         |                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                               |                                           |                                             |                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                              |                                                         |                                                        |                                                                                               |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                                | NON GB OPERATOR OR NON UK DRIVER                       | REG                                                                                           |
| 4-25B(a)                                                                | <b>FAIL TO ENSURE THAT THE DRIVER CARD IS INSERTED INTO THE CORRECT SLOT IN TACHOGRAPH – DOUBLE MANNED (EC)</b><br><br><b>- DRIVER</b> | <p>Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>VW</p> <p>TE160DH<br/>£200 FP</p> <p>VW</p> <p>P</p> | <p>VW</p> <p>GV170<br/>£200 FP</p> <p>VW</p> <p>VW</p> | <p>Article 34(4) Regulation EU 1665/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                          |                                                                                                                                                                   |                          |                                  |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                      | FURTHER GUIDANCE                                                                                                                                                  | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                   |
| 4-25B(b)                                                                | <b>FAIL TO ENSURE THAT THE DRIVER CARD IS INSERTED INTO THE CORRECT SLOT IN TACHOGRAPH – DOUBLE MANNED (EC)</b><br><br><b>- OPERATOR</b> | Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported<br><br>Otherwise than above and offence was <b>current</b> | AL                       | N/A                              | Article 34(4) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
|                                                                         |                                                                                                                                          | <b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists  | FUE                      | N/A                              |                                                                                       |
|                                                                         |                                                                                                                                          |                                                                                                                                                                   | AL                       | N/A                              |                                                                                       |
|                                                                         |                                                                                                                                          |                                                                                                                                                                   | FUE                      | N/A                              |                                                                                       |
|                                                                         |                                                                                                                                          |                                                                                                                                                                   | FUE                      | N/A                              |                                                                                       |
| 4-25.14                                                                 | <b>CAUSE</b>                                                                                                                             |                                                                                                                                                                   | FUE                      | N/A                              |                                                                                       |
| 4-25.15                                                                 | <b>PERMIT</b>                                                                                                                            |                                                                                                                                                                   | FUE                      | N/A                              |                                                                                       |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                                                |                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                         |                                                         |                                                                                          |
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| PLS CODE                                                                                                               | OFFENCE DESCRIPTION                                                                                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER                                | NON GB OPERATOR OR NON UK DRIVER                        | REG                                                                                      |
| EC - RECORDS – MANUAL ENTRIES / CENTREFIELD DETAILS / MODE SWITCH / COUNTRY SYMBOL / TIME NOT SET TO COUNTRY OF ORIGIN |                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                         |                                                         |                                                                                          |
| 4-25.7<br>(a)                                                                                                          | <b>FAIL TO ENTER THE REQUIRED PERIODS OF TIME MANUALLY, AUTOMATICALLY OR BY OTHER MEANS ON THE RECORD SHEET – ANALOGUE (EC)</b><br><br><b>- DRIVER</b> | <p>If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only)</p> <p>Otherwise than above and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>VW</p> <p>TE160DH<br/>£200 FP</p> <p>VW</p> <p>P</p> | <p>N/A</p> <p>GV170<br/>£200 FP</p> <p>VW</p> <p>VW</p> | Article 34(3)(a) Regulation EU 165/2104, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                    |                                      |                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                      | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                     | GB OPERATOR OR UK DRIVER           | NON GB OPERATOR OR NON UK DRIVER     | REG                                                                                      |
| 4-25.7<br>(b)                                                           | <b>FAIL TO ENTER THE REQUIRED PERIODS OF TIME MANUALLY, AUTOMATICALLY OR BY OTHER MEANS ON THE RECORD SHEET – ANALOGUE (EC)</b><br><br><b>- OPERATOR</b> | If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only)<br><br>Otherwise than above and offence was <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | AL<br><br>FUE<br><br>AL<br><br>FUE | N/A<br><br>N/A<br><br>N/A<br><br>N/A | Article 34(3)(a) Regulation EU 165/2104, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-25.8                                                                  | <b>CAUSE</b>                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                      | FUE                                | N/A                                  |                                                                                          |
| 4-25.9                                                                  | <b>PERMIT</b>                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                      | FUE                                | N/A                                  |                                                                                          |
|                                                                         |                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                    |                                      |                                                                                          |
|                                                                         |                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                    |                                      |                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                         |                                                         |                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                                | NON GB OPERATOR OR NON UK DRIVER                        | REG                                                                                      |
| 4-25.10<br>(a)                                                          | <b>FAIL TO ENTER THE REQUIRED PERIODS OF TIME ONTO THE DRIVER CARD BY USING THE MANUAL ENTRY FACILITY PROVIDED ON THE TACHOGRAPH – DIGITAL OR SMART (EC)</b><br><br><b>- DRIVER</b> | <p>If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p>Otherwise than above and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>VW</p> <p>TE160DH<br/>£200 FP</p> <p>VW</p> <p>P</p> | <p>N/A</p> <p>GV170<br/>£200 FP</p> <p>VW</p> <p>VW</p> | Article 34(3)(b) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                           |                                             |                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                   | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                      |
| 4-25.10<br>(b)                                                          | <b>FAIL TO ENTER THE REQUIRED PERIODS OF TIME ONTO THE DRIVER CARD BY USING THE MANUAL ENTRY FACILITY PROVIDED ON THE TACHOGRAPH – DIGITAL OR SMART (EC)</b><br><br><b>- OPERATOR</b> | <p>If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p>Otherwise than above and offence was <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>AL</p> <p>FUE</p> <p>AL</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Article 34(3)(b) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-25.11                                                                 | <b>CAUSE</b>                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | FUE                                       | N/A                                         |                                                                                          |
| 4-25.12                                                                 | <b>PERMIT</b>                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | FUE                                       | N/A                                         |                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                           |                                                        |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                                  | NON GB OPERATOR OR NON UK DRIVER                       | REG                                                                                   |
| 4-26                                                                    | <b>FAIL TO ENTER DETAILS ON TACHOGRAPH RECORD SHEET CENTREFIELD (EC)</b><br><br><b>- DRIVER</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is <b>current</b></p> <p>Where no suspicion of motive <b>or</b> If genuine lack of knowledge e.g. New qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p><b>*Only one fixed penalty notice to be issued where driver fails to make several entries on current record</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>TE160DH<br/>£50 FP*</p> <p>VW</p> <p>VW</p> <p>FUE</p> | <p>GV170<br/>£50 FP*</p> <p>VW</p> <p>VW</p> <p>VW</p> | Article 34(6) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                           |                                             |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                   |
| 4-26.1                                                                  | <b>FAIL TO ENTER DETAILS ON TACHOGRAPH RECORD SHEET CENTREFIELD (EC)</b><br><br><b>- OPERATOR</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence was <b>current</b></p> <p>No suspicion of motive <b>or</b> If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence was <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>FUE</p> <p>AL</p> <p>AL</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Article 34(6) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-26.2                                                                  | <b>CAUSE</b>                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | FUE                                       | N/A                                         |                                                                                       |
| 4-26.3                                                                  | <b>PERMIT</b>                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | FUE                                       | N/A                                         |                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                              |                                             |                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                         | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                     | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                      |
| 4-27                                                                    | <b>INCORRECT USE OF MODE SWITCH (EC)</b><br><b>- DRIVER</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is <b>current</b></p> <p>No suspicion of motive <b>or</b> offence is due to lack of knowledge (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>£50 FP</p> <p>VW</p> <p>VW</p> <p>FUE</p> | <p>£50 FP</p> <p>VW</p> <p>VW</p> <p>VW</p> | Article 34(5)(b) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                           |                                             |                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                      |
| 4-27A                                                                   | <b>INCORRECT USE OF MODE SWITCH (EC)</b><br><b>- OPERATOR</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence was <b>current</b></p> <p>No suspicion of motive <b>or</b> offence is due to lack of knowledge (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence was <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>FUE</p> <p>AL</p> <p>AL</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Article 34(5)(b) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-27.1                                                                  | <b>CAUSE</b>                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | FUE                                       | N/A                                         |                                                                                          |
| 4-27.2                                                                  | <b>PERMIT</b>                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | FUE                                       | N/A                                         |                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                |                                                                                                                                     |                          |                                  |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                            | FURTHER GUIDANCE                                                                                                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                   |
| 4-26A                                                                   | <b>FAIL TO ENTER THE SYMBOLS OF THE COUNTRY (DIGITAL)-(EC)</b> |                                                                                                                                     |                          |                                  | Article 34(7) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
|                                                                         | <b>- DRIVER</b>                                                | If genuine error e.g. lack of knowledge<br><br>Otherwise than above and offence is <b>current</b><br><br><b>Historical</b> offences | VW<br><br>£50 FP         | VW<br><br>£50 FP                 |                                                                                       |
| 4-26A.1                                                                 | <b>- OPERATOR</b>                                              |                                                                                                                                     | AL                       | N/A                              |                                                                                       |
| 4-26A.2                                                                 | <b>CAUSE</b>                                                   |                                                                                                                                     | FUE                      | N/A                              |                                                                                       |
| 4-26A.3                                                                 | <b>PERMIT</b>                                                  |                                                                                                                                     | FUE                      | N/A                              |                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                           |                                       |                                      |                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                   | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                          | GB OPERATOR OR UK DRIVER              | NON GB OPERATOR OR NON UK DRIVER     | REG                                                                                      |
| 4-24                                                                    | <b>FAIL TO ENSURE THAT THE TIME RECORDED ON RECORD SHEET AGREES WITH THE OFFICIAL TIME IN THE COUNTRY OF REGISTRATION (EC)</b><br><br><b>- DRIVER</b> | In isolated cases - providing that it has been established that no other motive exists.<br><br>Otherwise than above and offence is <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | VW<br><br>£50 FP<br><br>VW<br><br>FUE | VW<br><br>£50 FP<br><br>VW<br><br>VW | Article 34(5)(a) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-24.2                                                                  | <b>CAUSE</b>                                                                                                                                          |                                                                                                                                                                                                                                                                                                                           | FUE                                   | N/A                                  |                                                                                          |
| 4-24.3                                                                  | <b>PERMIT</b>                                                                                                                                         |                                                                                                                                                                                                                                                                                                                           | FUE                                   | N/A                                  |                                                                                          |
|                                                                         |                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                           |                                       |                                      |                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                 |                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                             |                                              |                                                                                       |
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| PLS CODE                                                                                | OFFENCE DESCRIPTION                                                                                                                                                                | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                    | NON GB OPERATOR OR NON UK DRIVER             | REG                                                                                   |
| EC - RECORDS – UNAUTHORISED WITHDRAWAL / USE TOO LONG / DIRTY OR DAMAGED / INCOMPATABLE |                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                             |                                              |                                                                                       |
| 4-29                                                                                    | <b>UNAUTHORISED WITHDRAWAL OF TACHOGRAPH RECORD SHEET OR DRIVER CARD - REMOVAL DURING A WORKING DAY WHILST STILL RESPONSIBLE FOR THAT SAME VEHICLE (EC)</b><br><br><b>- DRIVER</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is <b>current</b></p> <p>Where no suspicion of motive <b>or</b> If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>£200 FP</p> <p>VW</p> <p>VW</p> <p>P</p> | <p>£200 FP</p> <p>VW</p> <p>VW</p> <p>VW</p> | Article 34(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                           |                                             |                                                                                       |
|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|---------------------------------------------|---------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                  | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                   |
| 4-29                                                                    | <b>UNAUTHORISED WITHDRAWAL OF TACHOGRAPH RECORD SHEET OR DRIVER CARD - REMOVAL DURING A WORKING DAY WHILST STILL RESPONSIBLE FOR THAT SAME VEHICLE (EC)</b><br><br><b>- OPERATOR</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is <b>current</b></p> <p>Where no suspicion of motive <b>or</b> If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>FUE</p> <p>AL</p> <p>AL</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Article 34(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-29.1                                                                  | <b>CAUSE</b>                                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | FUE                                       | N/A                                         |                                                                                       |
| 4-29.2                                                                  | <b>PERMIT</b>                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | FUE                                       | N/A                                         |                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                         |                                                        |                                                       |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                        | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER                               | NON GB OPERATOR OR NON UK DRIVER                      | REG                                                                                   |
| 4-29A                                                                   | <b>USE A TACHOGRAPH RECORD SHEET OR DRIVER CARD FOR LONGER THAN INTENDED (EC)</b><br><br><b>- DRIVER</b>   | <p>Unable to determine whether proper rest periods have been taken or driving does not exceed limits and offence is <b>current</b></p> <p>Otherwise than above</p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>TE160DH<br/>£50 FP</p> <p>VW</p> <p>VW</p> <p>P</p> | <p>GV170<br/>£50 FP</p> <p>VW</p> <p>VW</p> <p>VW</p> | Article 34(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-29A                                                                   | <b>USE A TACHOGRAPH RECORD SHEET OR DRIVER CARD FOR LONGER THAN INTENDED (EC)</b><br><br><b>- OPERATOR</b> | <p>If traces are legible and no other offences found</p> <p>Otherwise than above and offence is current</p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul>                                                        | <p>AL</p> <p>FUE</p> <p>AL</p> <p>FUE</p>              | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p>           | Article 34(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-29A.1                                                                 | <b>CAUSE</b>                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                         | FUE                                                    | N/A                                                   |                                                                                       |
| 4-29A.2                                                                 | <b>PERMIT</b>                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                         | FUE                                                    | N/A                                                   |                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                             |                                                          |                                                       |                                                                                       |
|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|---------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                        | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                            | GB OPERATOR OR UK DRIVER                                 | NON GB OPERATOR OR NON UK DRIVER                      | REG                                                                                   |
| 4-30                                                                    | <b>USE DIRTY, DAMAGED DEFACED RECORD SHEETS OR DRIVER CARD (EC)</b><br><br><b>- DRIVER</b> | <p>Unreadable in part but not affecting analysis of record or card</p> <p>Unreadable and affecting analysis of record or card or making card unreadable and offence is <b>current</b></p> <p><b>Historical offences</b></p> <p>- Unreadable in part but not affecting analysis of record or card</p> <p>- Unreadable and affecting analysis of record or card or making card unreadable</p> | <p>VW</p> <p>TE160DH<br/>£50 FP</p> <p>VW</p> <p>FUE</p> | <p>VW</p> <p>GV170<br/>£50 FP</p> <p>VW</p> <p>VW</p> | Article 34(2) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                      |                                        |                                          |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                         | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                     | GB OPERATOR OR UK DRIVER               | NON GB OPERATOR OR NON UK DRIVER         | REG                                                                                   |
| 4-30                                                                    | <b>USE DIRTY, DAMAGED DEFACED RECORD SHEET OR DRIVER CARD (EC)</b><br><br><b>- OPERATOR</b> | Unreadable in part but not affecting analysis of record or card<br><br>Unreadable and affecting analysis of record or card or making card unreadable and offence is <b>current</b><br><br><b>Historical offences</b><br><br>- Unreadable in part but not affecting analysis of record or card<br><br>- Unreadable and affecting analysis of record or card or making card unreadable | AL<br><br>FUE<br><br><br>AL<br><br>FUE | N/A<br><br>N/A<br><br><br>N/A<br><br>N/A | Article 34(2) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
| 4-30.1                                                                  | <b>CAUSE</b>                                                                                |                                                                                                                                                                                                                                                                                                                                                                                      | FUE                                    | N/A                                      |                                                                                       |
| 4-30.2                                                                  | <b>PERMIT</b>                                                                               |                                                                                                                                                                                                                                                                                                                                                                                      | FUE                                    | N/A                                      |                                                                                       |
|                                                                         |                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                      |                                        |                                          |                                                                                       |
|                                                                         |                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                      |                                        |                                          |                                                                                       |
| 4-28                                                                    | <b>EMPLOYER ISSUED INCOMPATIBLE RECORD SHEET (EC)</b>                                       | If traces can be analysed<br><br>If traces cannot be analysed                                                                                                                                                                                                                                                                                                                        | AL<br><br>FUE                          | VW<br><br>VW                             | Article 33(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii) SO-5  |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                              |                              |                          |                                  |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                          | FURTHER GUIDANCE             | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                   |
| 4-28B                                                                   | <b>USE AN INCOMPATIBLE RECORD SHEET (EC)</b> |                              |                          |                                  | Article 33(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
|                                                                         | <b>- DRIVER</b>                              | If traces can be analysed    | VW                       | VW                               |                                                                                       |
|                                                                         |                                              | If traces cannot be analysed | FUE                      | VW                               |                                                                                       |
|                                                                         | <b>- OPERATOR</b>                            | If traces can be analysed    | AL                       | N/A                              |                                                                                       |
|                                                                         |                                              | If traces cannot be analysed | FUE                      | N/A                              |                                                                                       |
| 4-28B.1                                                                 | <b>CAUSE</b>                                 |                              | FUE                      | N/A                              |                                                                                       |
| 4-28B.2                                                                 | <b>PERMIT</b>                                |                              | FUE                      | N/A                              |                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                            |                  |                                      |                                  |                                                                                                                                                             |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                        | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER             | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                         |
| EC - RECORDS – FALSIFIED RECORDS                                        |                                                                                                            |                  |                                      |                                  |                                                                                                                                                             |
| 4-18                                                                    | <b>FALSE INSTRUMENT (EC)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>                               |                  | P<br>&<br>MSI<br><br>FUE<br>&<br>MSI | X<br><br>N/A                     | Forgery and Counterfeiting Act 1981, Section 3<br>SO-Stat Max or 6 months prison, or both<br>IND-10 years Max prison                                        |
| 4-18.1                                                                  | <b>AID, ABET, COUNSEL OR PROCURE FALSE INSTRUMENT (EC)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | P<br><br>FUE                         | X<br><br>N/A                     | Forgery and Counterfeiting Act 1981, Section 3, 6 & 9 and Magistrates Courts Act 1980<br>SO-Stat Max or 6 months prison, or both<br>IND-10 years Max prison |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                |                                                                                                                                                              |                                     |                                  |                                                                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                            | FURTHER GUIDANCE                                                                                                                                             | GB OPERATOR OR UK DRIVER            | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                        |
| 4-18C                                                                   | <b>FALSE INSTRUMENT</b><br><br>Being in possession of two cards and using the previous card with the intention of inducing it to be accepted as the current driver card (EC)<br><br>- DRIVER<br><br>- OPERATOR |                                                                                                                                                              | P<br><br><br>FUE                    | X<br><br><br>N/A                 | Forgery and Counterfeiting Act 1981, Section 3, 6 & 9<br>SO-Stat Max or 6 months prison, or both<br>IND-10 years max prison                                                                                                |
| 4-31                                                                    | <b>KNOWINGLY MAKES A FALSE RECORD OR ENTRY (EC)</b><br><br>- DRIVER<br><br><br>- OPERATOR                                                                                                                      | This offence relates to any record or entry required to be made or for the purposes of the EU Tachographs Regulation or section 97 of the Transport Act 1968 | TE160DH<br>P & MSI<br><br>FUE & MSI | X<br><br><br>N/A                 | Transport Act 1968, Section 99ZE(1)(a), (2)(a) and (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(a), (2)(a) and (5), SO-5 |
| 4-31.1                                                                  | <b>CAUSE</b>                                                                                                                                                                                                   |                                                                                                                                                              | FUE                                 | N/A                              |                                                                                                                                                                                                                            |
| 4-31.1A                                                                 | <b>PERMIT</b>                                                                                                                                                                                                  |                                                                                                                                                              | FUE                                 | N/A                              |                                                                                                                                                                                                                            |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                     |                  |                                                                     |                                  |                                                                                                                                                                                                                             |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                 | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER                                            | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                         |
| 4-31.2                                                                  | <b>AID, ABET, COUNSEL OR PROCURE MAKING OF FALSE RECORD (EC)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>                                    |                  | <p>P</p> <p>FUE</p>                                                 | <p>X</p> <p>N/A</p>              | <p>Section 99ZE(1)(a), (2)(a) and (4) of the Transport Act 1968 and Section 44 of Magistrates Courts Act 1980</p> <p>a) SO-a sum not exceeding the statutory max</p> <p>b) IND-Prison 2yrs or fine or both</p>              |
| 4-33F                                                                   | <b>RECORDS DATA WHICH KNOWS TO BE FALSE ON RECORDING EQUIPMENT OR ON A DRIVER CARD (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | <p>TE160DH<br/>P<br/>&amp;<br/>MSI</p> <p>FUE<br/>&amp;<br/>MSI</p> | <p>X</p> <p>N/A</p>              | <p>Transport Act 1968, Section 99ZE(3)(a) &amp; (4)</p> <p>a) SO-a sum not exceeding the statutory max.</p> <p>b) IND-Prison 2yrs or fine or both</p> <p>Permit: - Transport Act 1968, Section 99ZE(3)(a) and (5), SO-5</p> |
| 4-33F.1                                                                 | <b>CAUSE</b>                                                                                                                                        |                  | FUE                                                                 | N/A                              |                                                                                                                                                                                                                             |
| 4-33F.2                                                                 | <b>PERMIT</b>                                                                                                                                       |                  | FUE                                                                 | N/A                              |                                                                                                                                                                                                                             |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                          |                                                                                                                           |                                                                       |                                        |                                                                                                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                      | FURTHER GUIDANCE                                                                                                          | GB OPERATOR OR UK DRIVER                                              | NON GB OPERATOR OR NON UK DRIVER       | REG                                                                                                                                                                                                                       |
| 4-33G                                                                   | <b>RECORDS DATA WHICH HE/SHE KNOWS TO BE FALSE ON ANY HARD COPY OF DATA (EC or AETR)</b> | This relates to any data previously stored on recording equipment or on a driver card                                     | TE160DH<br>P<br>&<br>MSI<br><br>FUE<br>&<br>MSI<br><br>FUE<br><br>FUE | X<br><br><br>N/A<br><br>N/A<br><br>N/A | Transport Act 1968, Section 99ZE(3)(b) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(3)(b) and (5), SO-5                  |
|                                                                         | - DRIVER                                                                                 |                                                                                                                           |                                                                       |                                        |                                                                                                                                                                                                                           |
|                                                                         | - OPERATOR                                                                               |                                                                                                                           |                                                                       |                                        |                                                                                                                                                                                                                           |
| 4-33G.1                                                                 | CAUSE                                                                                    |                                                                                                                           |                                                                       |                                        |                                                                                                                                                                                                                           |
| 4-33G.2                                                                 | PERMIT                                                                                   |                                                                                                                           |                                                                       |                                        |                                                                                                                                                                                                                           |
| 4-32                                                                    | <b>KNOWINGLY MAKES A FALSE ENTRY IN A BOOK, REGISTER OR DOCUMENT (EC or AETR)</b>        | This relates to any record or entry required to be made for the purposes of the Community Recording Equipment Regulation. | TE160DH<br>P<br><br>FUE<br><br>FUE<br><br>FUE                         | X<br><br><br>N/A<br><br>N/A<br><br>N/A | Transport Act 1968, Section 99ZE(1)(a), (2)(b) and (4)<br>a) SO-a sum not exceeding the statutory max<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(a), (2)(b) and (5), SO-5 |
|                                                                         | - DRIVER                                                                                 |                                                                                                                           |                                                                       |                                        |                                                                                                                                                                                                                           |
|                                                                         | - OPERATOR                                                                               |                                                                                                                           |                                                                       |                                        |                                                                                                                                                                                                                           |
| 4-32.1                                                                  | CAUSE                                                                                    |                                                                                                                           |                                                                       |                                        |                                                                                                                                                                                                                           |
| 4-32.2                                                                  | PERMIT                                                                                   |                                                                                                                           |                                                                       |                                        |                                                                                                                                                                                                                           |

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| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                      |                                                                                                                                                   |                                                 |                                  |                                                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                  | FURTHER GUIDANCE                                                                                                                                  | GB OPERATOR OR UK DRIVER                        | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                     |
| 4-33                                                                    | <b>WITH INTENT TO DECEIVE ALTERS A RELEVANT RECORD OR ENTRY (EC)</b><br><br>- DRIVER<br><br>- OPERATOR                               | This relates to any record or entry required to be made for the purposes of the EU Tachographs Regulation or Section 97 of the Transport Act 1968 | TE160DH<br>P<br>&<br>MSI<br><br>FUE<br>&<br>MSI | X<br><br>N/A                     | Section 99ZE(1)(b), (2)(a) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: -Transport Act 1968, Section 99ZE(1)(b), (2)(a) and (5), SO-5                     |
| 4-33.1                                                                  | <b>CAUSE</b>                                                                                                                         |                                                                                                                                                   | FUE                                             | N/A                              |                                                                                                                                                                                                                         |
| 4-33.2                                                                  | <b>PERMIT</b>                                                                                                                        |                                                                                                                                                   | FUE                                             | N/A                              |                                                                                                                                                                                                                         |
| 4-33A                                                                   | <b>WITH INTENT TO DECEIVE ALTERS A RELEVANT ENTRY IN A BOOK, REGISTER OR DOCUMENT (EC or AETR)</b><br><br>- DRIVER<br><br>- OPERATOR | This relates to any entry in a book, register or document kept or carried for the purposes of applicable Community Rules.                         | TE160DH<br>P<br><br>FUE                         | X<br><br>N/A                     | Transport Act 1968, Section 99ZE(1)(b), (2)(b) & (4)<br>a) SO-a sum not exceeding the statutory max<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(b), (2)(b) and (5), SO-5 |
| 4-33A.1                                                                 | <b>CAUSE</b>                                                                                                                         |                                                                                                                                                   | FUE                                             | N/A                              |                                                                                                                                                                                                                         |
| 4-33A.2                                                                 | <b>PERMIT</b>                                                                                                                        |                                                                                                                                                   | FUE                                             | N/A                              |                                                                                                                                                                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                 |                                                                                                                                                   |                          |                                  |                                                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                             | FURTHER GUIDANCE                                                                                                                                  | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                     |
| 4-33H                                                                   | <b>WITH INTENT TO DECEIVE ALTERS ANY DATA STORED ON RECORDING EQUIPMENT OR DRIVER CARD OR APPEARING ON ANY COPY OF DATA PREVIOUSLY SO STORED (EC or AETR)</b><br><br>- DRIVER<br><br>- OPERATOR | This offence includes any data appearing on any copy of data previously so stored.                                                                | TE160DH<br>P<br>&<br>MSI | X                                | Transport Act 1968, Section 99ZE(3)(c) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(3)(c) and (5), SO-5                |
| 4-33H.1                                                                 | <b>CAUSE</b>                                                                                                                                                                                    |                                                                                                                                                   | FUE                      | N/A                              |                                                                                                                                                                                                                         |
| 4-33H.2                                                                 | <b>PERMIT</b>                                                                                                                                                                                   |                                                                                                                                                   | FUE                      | N/A                              |                                                                                                                                                                                                                         |
| 4-33B                                                                   | <b>DESTROYS OR SUPPRESSES A RELEVANT RECORD OR ENTRY (EC)</b><br><br>- DRIVER<br><br>- OPERATOR                                                                                                 | This relates to any record or entry required to be made for the purposes of the EU Tachographs Regulation or Section 97 of the Transport Act 1968 | TE160DH<br>P & MSI       | X                                | Transport Act 1968, Section 99ZE(1)(c), (2)(a) & (4)<br>a) SO-a sum not exceeding the statutory max<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(c), (2)(a) and (5), SO-5 |
| 4-33B.1                                                                 | <b>CAUSE</b>                                                                                                                                                                                    |                                                                                                                                                   | FUE                      | N/A                              |                                                                                                                                                                                                                         |
| 4-33B.2                                                                 | <b>PERMIT</b>                                                                                                                                                                                   |                                                                                                                                                   | FUE                      | N/A                              |                                                                                                                                                                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                     |                                                                                                                            |                          |                                  |                                                                                                                                                                                                                         |
|-------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                 | FURTHER GUIDANCE                                                                                                           | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                     |
| 4-33C                                                                   | <b>DESTROYS OR SUPPRESSES AN ENTRY IN A BOOK, REGISTER OR DOCUMENT 9EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>                 | This relates to any entry in a book, register or document kept or carried for the purposes of applicable Community Rules.  | TE160DHP                 | X                                | Transport Act 1968, Section 99ZE(1)(c), (2)(b) & (4)<br>a) SO-a sum not exceeding the statutory max<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(c), (2)(b) and (5), SO-5 |
| 4-33C.1                                                                 | <b>CAUSE</b>                                                                                                                                        |                                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                                         |
| 4-33C.2                                                                 | <b>PERMIT</b>                                                                                                                                       |                                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                                         |
|                                                                         |                                                                                                                                                     |                                                                                                                            |                          |                                  |                                                                                                                                                                                                                         |
| 4-33K                                                                   | <b>DESTROYS OR SUPPRESSES ANY DATA STORED ON RECORDING EQUIPMENT OR ON DRIVER CARD (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> | This offence applies to any data stored in compliance with the Community rules on recording equipment or on a driver card. | TE160DHP & MSI           | X                                | Transport Act 1968, Section 99ZE(3)(e) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(3)(e) and (5), SO-5                |
| 4-33K.1                                                                 | <b>CAUSE</b>                                                                                                                                        |                                                                                                                            | FUE & MSI                | N/A                              |                                                                                                                                                                                                                         |
| 4-33K.2                                                                 | <b>PERMIT</b>                                                                                                                                       |                                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                                         |
|                                                                         |                                                                                                                                                     |                                                                                                                            |                          |                                  |                                                                                                                                                                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                |                                                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                            | FURTHER GUIDANCE                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                      |
| 4-33D                                                                   | <b>FAILS, WITHOUT REASONABLE EXCUSE, TO MAKE A RELEVANT RECORD OR ENTRY (EC)</b>                               | This relates to any <b>omission</b> in a record or failure to make an entry on a record required to be made for the purposes of the EU Tachographs Regulation or Section 97 of the Transport Act 1968 | TE160DH<br>P<br>&<br>MSI | X                                | Transport Act 1968, Section 99ZE(1)(d), (2)(a) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(d), (2)(a) and (5), SO-5 |
|                                                                         | - DRIVER                                                                                                       |                                                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                                          |
|                                                                         | - OPERATOR                                                                                                     |                                                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                                          |
|                                                                         | CAUSE                                                                                                          |                                                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                                          |
| 4-33D.1                                                                 |                                                                                                                |                                                                                                                                                                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                          |
| 4-33D.2                                                                 | PERMIT                                                                                                         |                                                                                                                                                                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                          |
| 4-33E                                                                   | <b>FAILS, WITHOUT REASONABLE EXCUSE, TO MAKE A RELEVANT ENTRY IN A BOOK, REGISTER OR DOCUMENT (EC or AETR)</b> | This relates to any entry in a book, register or document kept or carried for the purposes of applicable Community Rules                                                                              | TE160DH<br>P             | X                                | Transport Act 1968, Section 99ZE(1)(d), (2)(b) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(d), (2)(b) and (5), SO-5 |
|                                                                         | - DRIVER                                                                                                       |                                                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                                          |
|                                                                         | - OPERATOR                                                                                                     |                                                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                                          |
|                                                                         | CAUSE                                                                                                          |                                                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                                          |
| 4-33E.1                                                                 |                                                                                                                |                                                                                                                                                                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                          |
| 4-33E.2                                                                 | PERMIT                                                                                                         |                                                                                                                                                                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                          |                  |                                                                       |                                        |                                                                                                                                                                                                                               |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                      | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER                                              | NON GB OPERATOR OR NON UK DRIVER       | REG                                                                                                                                                                                                                           |
| 4-33L                                                                   | <b>FAILS, WITHOUT REASONABLE EXCUSE, TO RECORD ANY DATA ON RECORDING EQUIPMENT OR ON A DRIVER CARD (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>                                      |                  | TE160DH<br>P<br>&<br>MSI<br><br>FUE<br>&<br>MSI<br><br>FUE<br><br>FUE | X<br><br><br>FUE<br><br>N/A<br><br>N/A | Transport Act 1968, Section 99ZE(3)(f) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(3)(f) and (5), SO-5                      |
| 4-33L.1                                                                 | <b>CAUSE</b>                                                                                                                                                                                             |                  | FUE                                                                   | N/A                                    |                                                                                                                                                                                                                               |
| 4-33L.2                                                                 | <b>PERMIT</b>                                                                                                                                                                                            |                  | FUE                                                                   | N/A                                    |                                                                                                                                                                                                                               |
| 4-33J                                                                   | <b>WITH INTENT TO DECEIVE PRODUCES ANYTHING FALSELY PURPORTING TO BE A HARD COPY OF DATA STORED ON RECORDING EQUIPMENT OR A DRIVER CARD (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | TE160DH<br>P<br><br>FUE                                               | X<br><br>N/A                           | Transport Act 1968, Section 99ZE(3)(d) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>There are no offences of causing or permitting someone to produce hard copy of data |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                   |                                                                                                                                                           |                                                                                                                  |                          |                                  |                                                                                        |
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| PLS CODE                                                                                  | OFFENCE DESCRIPTION                                                                                                                                       | FURTHER GUIDANCE                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                    |
| 4-48                                                                                      | <b>FALSIFYING, SUPPRESSING OR DESTROYING DATA RECORDED ON A RECORD SHEET, STORED IN THE RECORDING EQUIPMENT OR ON THE DRIVER CARD, OR PRINT OUTS (EC)</b> |                                                                                                                  |                          |                                  | Article 32(3) Regulation EU 165/2014, Section 97(1)(a)(iii) Transport Act 1968, SO - 5 |
|                                                                                           | <b>- DRIVER</b>                                                                                                                                           |                                                                                                                  | TE160DH<br>P<br>&<br>MSI | X                                |                                                                                        |
| 4-48.1                                                                                    | <b>- OPERATOR</b>                                                                                                                                         |                                                                                                                  | FUE                      | N/A                              |                                                                                        |
| 4-48.2                                                                                    | <b>CAUSE</b>                                                                                                                                              |                                                                                                                  | FUE                      | N/A                              |                                                                                        |
| 4-48.3                                                                                    | <b>PERMIT</b>                                                                                                                                             |                                                                                                                  | FUE                      | N/A                              |                                                                                        |
| <b>EC - RECORDS – FAIL TO RETURN / ENSURE RETURN OF RECORDS / FAIL TO KEEP FOR 1 YEAR</b> |                                                                                                                                                           |                                                                                                                  |                          |                                  |                                                                                        |
| 4-16B                                                                                     | <b>DRIVER FAILS TO RETURN RECORD SHEETS/MANUAL RECORD/PRINTOUT TO THE TRANSPORT UNDERTAKING WITHIN 42 DAYS (EC)</b>                                       | If exceptional circumstances prevailed and/or the offence is unlikely to be repeated<br><br>Otherwise than above | VW<br><br>FUE            | VW<br><br>N/A                    | Transport Act 1968, Section 97C(1)(a), (b), (2) & (4) SO-4                             |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                                                       |                                                                                                                                                          |                                                                                                                  |                          |                                  |                                                                                                                                                                                                                                 |
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| PLS CODE                                                                                                                      | OFFENCE DESCRIPTION                                                                                                                                      | FURTHER GUIDANCE                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                             |
| 4-17B                                                                                                                         | <b>TRANSPORT UNDERTAKING FAILS TO SECURE RETURN OF RECORD SHEETS / MANUAL RECORD / PRINTOUT WITHIN 42 DAYS (EC)</b>                                      | If exceptional circumstances prevailed and/or the offence is unlikely to be repeated<br><br>Otherwise than above | VW<br><br>FUE            | N/A<br><br>N/A                   | Transport Act 1968, Section 97C(1)(a) (b), (2) & (5) SO-4                                                                                                                                                                       |
| 4-17A                                                                                                                         | <b>OPERATOR FAIL TO KEEP RECORD SHEETS AND / OR PRINTOUTS AT LEAST ONE YEAR (EC)</b>                                                                     | Documents are required to be kept in chronological order and in a legible form.                                  | FUE                      | N/A                              | Article 33(2) Regulation EU 165/2014, Transport Act 1968, Sec 97(1)(a)(iii), SO-5                                                                                                                                               |
| <b>EC - DRIVERS CARDS – MORE THAN ONE / DEFECTIVE / EXPIRED / CORRECT FUNCTIONING / PROPER USE OF / APPLY FOR REPLACEMENT</b> |                                                                                                                                                          |                                                                                                                  |                          |                                  |                                                                                                                                                                                                                                 |
| 4-33N                                                                                                                         | <b>IN POSSESSION OF MORE THAN ONE DRIVER CARD ON WHICH HE IS IDENTIFIED AS THE HOLDER (EC)</b>                                                           |                                                                                                                  | £50 FP                   | £50 FP                           | Article 27(2), Regulation EU 165/2014, Transport Act 1968, Sec 97(1)(a)(iii) SO-5                                                                                                                                               |
| 4-33N.1                                                                                                                       | <b>CAUSE</b>                                                                                                                                             |                                                                                                                  | FUE                      | N/A                              | Cause or permit: -                                                                                                                                                                                                              |
| 4-33N.2                                                                                                                       | <b>PERMIT</b><br><br><i>Cause or permit offences also include using or attempting to use more than one card on which he is identified as the holder.</i> |                                                                                                                  | FUE                      | N/A                              | Regulation 3(2)(a), (1)(a) and (6) of the Passenger and Goods Vehicles (Recording Equipment) (Tachograph Card) Regulations 2006, SO-5<br><br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both |



# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                          |                              |                                |                                  |                                                                                                                                                                 |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                      | FURTHER GUIDANCE             | GB OPERATOR OR UK DRIVER       | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                             |
| 4-33P                                                                   | USES, OR ATTEMPTS TO USE, A DRIVER CARD ON WHICH HE IS NOT IDENTIFIED AS THE HOLDER (EC) | If offence is <b>current</b> | TE160DH<br>£300 FP<br>&<br>MSI | GV170<br>£300 FP<br>&<br>MSI     | Article 27(2) Regulation EU 165/2014, Transport Act 1968, Sec 97(1)(a)(iii)<br>SO-5                                                                             |
| 4.33P.3                                                                 | <b>OPERATOR</b>                                                                          | <b>Historical offences</b>   | P                              | VW                               | Cause or permit: -<br><br>Regulation 3(2)(a), (1)(b) and (6) of the Passenger and Goods Vehicles (Recording Equipment) (Tachograph Card) Regulations 2006, SO-5 |
| 4-33P.1                                                                 | <b>CAUSE</b>                                                                             |                              | FUE                            | N/A                              | a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both                                                                              |
| 4-33P.2                                                                 | <b>PERMIT</b>                                                                            |                              | FUE                            | N/A                              |                                                                                                                                                                 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                           |                                                                       |                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                     | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                  | GB OPERATOR OR UK DRIVER                                                  | NON GB OPERATOR OR NON UK DRIVER                                      | REG                                                                                 |
| 4-33Q                                                                   | <b>USE A DEFECTIVE DRIVER CARD (EC)</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><br><br><br><b>- OPERATOR</b> | If offence is <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists<br><br>If offence was <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | TE160DH<br>£100 FP<br><br><br>VW<br><br>P<br><br>FUE<br><br>AL<br><br>FUE | GV170<br>£100 FP<br><br>VW<br><br>VW<br><br>N/A<br><br>N/A<br><br>N/A | Article 27(2) Regulation EU 165/2014, Transport Act 1968 Section 97(1)(a)(iii) SO-5 |
| 4-33Q.1                                                                 | <b>CAUSE</b>                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                   | FUE                                                                       | N/A                                                                   |                                                                                     |
| 4-33Q.2                                                                 | <b>PERMIT</b>                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                   | FUE                                                                       | N/A                                                                   |                                                                                     |
|                                                                         |                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                           |                                                                       |                                                                                     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                        |                                                                                                                                                                                          |                          |                                  |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                    | FURTHER GUIDANCE                                                                                                                                                                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                   |
| 4-33QA                                                                  | <b>USE AN EXPIRED DRIVER CARD (EC)</b> |                                                                                                                                                                                          |                          |                                  | Article 27(2), Regulation EU 165/2014, Transport Act 1968 Section 97(1)(a)(iii), SO-5 |
|                                                                         | - DRIVER                               | Driver card expired whilst in the VU and <b>is</b> removed at the earliest opportunity after it became expired (i.e. when next stopping for a break/rest or to undertake other work)     | NFA                      | NFA                              |                                                                                       |
|                                                                         |                                        | Driver card expired whilst in the VU and <b>is not</b> removed at the earliest opportunity after it became expired (i.e. when next stopping for a break/rest or to undertake other work) | £100 FP                  | £100 FP                          |                                                                                       |
|                                                                         | - OPERATOR                             | Driver card expired whilst in the VU and <b>is</b> removed at the earliest opportunity after it became expired (i.e. when next stopping for a break/rest or to undertake other work)     | NFA                      | N/A                              |                                                                                       |
|                                                                         |                                        | Driver card expired whilst in the VU and <b>is not</b> removed at the earliest opportunity after it became expired (i.e. when next stopping for a break/rest or to undertake other work) | FUE                      | N/A                              |                                                                                       |
| 4-33QA.1                                                                | <b>CAUSE</b>                           |                                                                                                                                                                                          | FUE                      | N/A                              |                                                                                       |
| 4-33QA.2                                                                | <b>PERMIT</b>                          |                                                                                                                                                                                          | FUE                      | N/A                              |                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                               |                                                                      |                          |                                  |                                                                                      |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                           | FURTHER GUIDANCE                                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                  |
| 4-41                                                                    | <b>FAIL TO ENSURE CORRECT FUNCTIONING OF DRIVER CARD (EC)</b> | If wilful and offence is <b>current</b>                              | TE160DH<br>£100 FP       | GV170<br>£100 FP                 | Article 32(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii) SO-5 |
|                                                                         | <b>- DRIVER</b>                                               | If caused by lack of knowledge                                       | VW                       | VW                               |                                                                                      |
|                                                                         |                                                               | <b>Historical offences</b>                                           |                          |                                  |                                                                                      |
|                                                                         |                                                               | - Due to lack of knowledge or no suspicious motive                   | VW                       | VW                               |                                                                                      |
|                                                                         |                                                               | - Where suspicion of motive to disguise more serious offences exists | P                        | VW                               |                                                                                      |
| 4-41.1                                                                  | <b>- OPERATOR</b>                                             | If wilful and offence was <b>current</b>                             | FUE                      | N/A                              |                                                                                      |
|                                                                         |                                                               | If caused by lack of knowledge                                       | AL                       | N/A                              |                                                                                      |
|                                                                         |                                                               | <b>Historical offences</b>                                           |                          |                                  |                                                                                      |
|                                                                         |                                                               | - Due to lack of knowledge or no suspicious motive                   | AL                       | N/A                              |                                                                                      |
|                                                                         |                                                               | - Where suspicion of motive to disguise more serious offences exists | FUE                      | N/A                              |                                                                                      |
| 4-41.2                                                                  | <b>CAUSE</b>                                                  |                                                                      | FUE                      | N/A                              |                                                                                      |
| 4-41.3                                                                  | <b>PERMIT</b>                                                 |                                                                      | FUE                      | N/A                              |                                                                                      |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                      |                                                                      |                          |                                  |                                                                                      |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                  | FURTHER GUIDANCE                                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                  |
| 4-42                                                                    | <b>FAIL TO ENSURE PROPER USE OF DRIVER CARD (EC)</b> | If wilful and offence is <b>current</b>                              | TE160DH<br>£200 FP       | GV170<br>£200 FP                 | Article 32(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii) SO-5 |
|                                                                         | <b>- DRIVER</b>                                      | If caused by lack of knowledge                                       | VW                       | VW                               |                                                                                      |
|                                                                         |                                                      | <b>Historical offences</b>                                           |                          |                                  |                                                                                      |
|                                                                         |                                                      | - Due to lack of knowledge or no suspicious motive                   | VW                       | VW                               |                                                                                      |
|                                                                         |                                                      | - Where suspicion of motive to disguise more serious offences exists | P                        | VW                               |                                                                                      |
| 4-42.1                                                                  | <b>- OPERATOR</b>                                    | If wilful and offence was <b>current</b>                             | FUE                      | N/A                              |                                                                                      |
|                                                                         |                                                      | If caused by lack of knowledge                                       | AL                       | N/A                              |                                                                                      |
|                                                                         |                                                      | <b>Historical offences</b>                                           |                          |                                  |                                                                                      |
|                                                                         |                                                      | - Due to lack of knowledge or no suspicious motive                   | AL                       | N/A                              |                                                                                      |
|                                                                         |                                                      | - Where suspicion of motive to disguise more serious offences exists | FUE                      | N/A                              |                                                                                      |
| 4-42.2                                                                  | <b>CAUSE</b>                                         |                                                                      | FUE                      | N/A                              |                                                                                      |
| 4-42.3                                                                  | <b>PERMIT</b>                                        |                                                                      | FUE                      | N/A                              |                                                                                      |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                |                                                                          |                          |                                  |                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                            | FURTHER GUIDANCE                                                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                 |
| 4-33R                                                                   | <b>FAIL TO APPLY FOR A REPLACEMENT DRIVER CARD WITHIN 7 DAYS – DRIVER (EC)</b> | When driver was driving a vehicle with <b>digital</b> or smart equipment | £50 FP                   | £50 FP                           | Article 29(4) Regulation EU 165/2014, Transport Act 1968 Section 97(1)(a)(iii) SO-5 |
| 4-33U                                                                   | <b>FAIL TO APPLY FOR A REPLACEMENT DRIVER CARD WITHIN 7 DAYS – DRIVER (EC)</b> | When driver was driving a vehicle with <b>analogue</b> equipment         | £50 FP                   | £50 FP                           | Article 29(4) Regulation EU 165/2014, Transport Act 1968 Section 97(1)(a)(iii) SO-5 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS   |                                                                                   |                  |                          |                                  |                                                                                                                                                                                                                                                            |
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| PLS CODE                                                                  | OFFENCE DESCRIPTION                                                               | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                        |
| EC - DRIVERS CARDS – FORGED OR ALTERED / FALSE DECLARATION TO OBTAIN CARD |                                                                                   |                  |                          |                                  |                                                                                                                                                                                                                                                            |
| 4-50                                                                      | USES, OR IS IN POSSESSION OF, A DRIVER CARD WHICH HAS BEEN FORGED OR ALTERED (EC) |                  | P & MSI                  | X                                | Regulation 3(1)(e) and (6) of the Passenger and Goods Vehicles (Recording Equipment) (Tachograph Card) Regulations 2006, SO-5                                                                                                                              |
| 4-50.1                                                                    | CAUSE                                                                             |                  | FUE                      | N/A                              | a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both                                                                                                                                                                         |
| 4-50.2                                                                    | PERMIT                                                                            |                  | FUE                      | N/A                              | Cause or permit: -<br><br>Regulation 3(1)(e) & (2)(b) and (6) of the Passenger and Goods Vehicles (Recording Equipment) (Tachograph Card) Regulations 2006, SO-5<br><br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both |

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| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                  |                                                                                                                              |                          |                                  |                                                                                                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                              | FURTHER GUIDANCE                                                                                                             | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                       |
| 4-51                                                                    | USES OR IS IN POSSESSION OF A DRIVER CARD ISSUED AS A CONSEQUENCE OF AN APPLICATION WHICH INCLUDED, WITH INTENT TO DECEIVE, A FALSE STATEMENT OR FORGED OR ALTERED DOCUMENT (EC) |                                                                                                                              | P                        | X                                | Regulation 3(1)(d) and (6) of the Passenger and Goods Vehicles (Recording Equipment) (Tachograph Card) Regs 2006, SO-5                                                                                                    |
| 4-51.3                                                                  | OPERATOR                                                                                                                                                                         |                                                                                                                              |                          |                                  | a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both                                                                                                                                        |
| 4-51.1                                                                  | CAUSE                                                                                                                                                                            |                                                                                                                              | FUE                      | N/A                              | Cause or permit: -                                                                                                                                                                                                        |
| 4-51.2                                                                  | PERMIT                                                                                                                                                                           |                                                                                                                              | FUE                      | N/A                              | Regulation 3(1)(d) & (2)(b) and (6) of the Passenger and Goods Vehicles (Recording Equipment) (Tachograph Card) Regs 2006, SO-5<br><br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both |
| EC - RECORDS – DOWNLOADING OF VU / CARD                                 |                                                                                                                                                                                  |                                                                                                                              |                          |                                  |                                                                                                                                                                                                                           |
| 4-17N                                                                   | TRANSPORT UNDERTAKING FAILS WITHOUT DELAY, ON THE REQUEST OF AN EXAMINER, TO DOWNLOAD DATA FROM THE VEHICLE UNIT OR DRIVER CARD (EC)                                             | If genuine reason is given and the requested item(s) is/are produced within reasonable timescale<br><br>Otherwise than above | NFA<br><br>FUE           | N/A<br><br>N/A                   | Transport Act 1968 Section 97F(2)(a), 97(G) and Council Regulation (EC) 561/2006 Article 10(5), SO - 5                                                                                                                    |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                             |                          |                                  |                                                                                                                                   |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                            | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                               |
| 4-17C.1                                                                 | <p><b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT RELEVANT DATA IS DOWNLOADED FROM THE VU WITHIN THE RELEVANT PERIOD IN RELATION TO: -</b></p> <p><b>DATA WHICH HAS NOT PREVIOUSLY DOWNLOADED DATA FROM THE UNIT (EC)</b></p>        | <p>The download period starts with the first day on which the undertaking controlled the use of the vehicle and ends 90 calendar days later <b>or</b> on the day it is downloaded if this falls before the 90<sup>th</sup> day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p> | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Transport Act 1968 Section 97D(2)(a)(b)&amp;(3)(1), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p> |
| 4-17C.2                                                                 | <p><b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT RELEVANT DATA IS DOWNLOADED FROM THE VU WITHIN THE RELEVANT PERIOD IN RELATION TO: -</b></p> <p><b>USE OF THE VEHICLE IN THE 90 CALENDAR DAYS AFTER THE LAST DOWNLOAD (EC)</b></p> | <p>The download period begins on the day after the last download and ends 90 calendar days later <b>or</b> on the day it is downloaded if this falls before the 90<sup>th</sup> day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p>                                            | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Transport Act 1968 Section 97D(2)(a)(b)&amp;(3)(2), 97(G) and Council Regulation (EC) 561/2006 Article 10(5)(a)(i), SO - 5</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                   |                          |                                  |                                                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                  | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                      |
| 4-17C.3                                                                 | <p><b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT RELEVANT DATA IS DOWNLOADED FROM THE VU WITHIN THE RELEVANT PERIOD IN RELATION TO: -</b></p> <p><b>VEHICLES NOT USED IN THE 90 CALENDAR DAYS FOLLOWING THE LAST DOWNLOAD (EC)</b></p> | <p>The downloading period begins on the first day of the undertaking's use of the vehicle after the last download and ends 90 calendar days later <b>or</b> on the day it is downloaded if this falls before the 90<sup>th</sup> day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p> | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Transport Act 1968 Section 97D(2)(a)(b)&amp;(3)(3), 97(G) and Council Regulation (EC) 561/2006 Article 10, SO - 5</p> |
| 4-17D                                                                   | <p><b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU IMMEDIATELY BEFORE TRANSFERRING CONTROL OF THE USE OF THE VEHICLE TO ANOTHER PERSON (EC)</b></p>                                          | <p>If genuine reason is given and the missing data is subsequently recovered</p> <p>Otherwise than above</p>                                                                                                                                                                                                                                      | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Transport Act 1968 Section 97D(4)(a), 97(G) and Council Regulation (EC) 561/2006 Article 10, SO - 5</p>               |
| 4-17E                                                                   | <p><b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU WITHOUT DELAY UPON PERMANENTLY REMOVING THE UNIT FROM SERVICE IN THE VEHICLE (EC)</b></p>                                                 | <p>If genuine reason is given and the missing data is subsequently recovered</p> <p>Otherwise than above</p>                                                                                                                                                                                                                                      | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Transport Act 1968 Section 97D(4)(b), 97(G) and Council Regulation (EC) 561/2006 Article 10, SO - 5</p>               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                   |                          |                                  |                                                                                                        |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                  | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                    |
| 4-17F                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU WITHOUT DELAY UPON BECOMING AWARE THAT THE UNIT IS MALFUNCTIONING (EC)</b>                                                                                            | <p>Does not apply when, because of the malfunctioning of the unit, it is impossible to download the data in which case a Certificate of Undownloadability must be produced to show downloading was not possible.</p> <p>If genuine reason is given and the missing data is subsequently recovered</p> <p>Otherwise than above</p> | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | Transport Act 1968 Section 97D(4)(c), 97(G) and Council Regulation (EC) 561/2006 Article 10(5), SO - 5 |
| 4-17G                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU WITHOUT DELAY IN ANY CIRCUMSTANCES SUCH THAT THE IMMINENT ERASURE OF THE DATA BY THE UNIT, IN THE NORMAL COURSE OF ITS OPERATIONS, IS REASONABLY FORESEEABLE (EC)</b> | <p>This means the Transport undertaking has failed to download data from a VU where use of the vehicle has caused old data to be overwritten by newer data.</p>                                                                                                                                                                   | FUE                      | N/A                              | Transport Act 1968 Section 97D (4)(d), 97(G) and Council Regulation (EC) 561/2006 Article 10, SO - 5   |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                      |                          |                                  |                                                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                 |
| 4-17H.1                                                                 | <p><b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM A CARD WITHIN THE RELEVANT PERIOD IN RELATION TO: -</b></p> <p><b>A CARD THAT HAS NOT PREVIOUSLY BEEN DOWNLOADED BY THE UNDERTAKING (EC)</b></p> | <p>The downloading period begins on the first day on which the driver drives for the undertaking and ends 28 calendar days later <b>or</b> on the day it is downloaded if this falls before the 28<sup>th</sup> day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p>                                     | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Transport Act 1968 Section 97E(2)&amp; (3)(1), 97(G) and Council Regulation (EC) 561/2006 Article 10, SO - 5</p> |
| 4-17H.2                                                                 | <p><b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM A CARD WITHIN THE RELEVANT PERIOD IN RELATION TO: -</b></p> <p><b>A CARD THAT HAS PREVIOUSLY BEEN DOWNLOADED BY THE UNDERTAKING (EC)</b></p>     | <p>The downloading period begins on the first day on which the driver drives for the undertaking after the last download of the card and ends 28 calendar days later <b>or</b> on the day it is downloaded if this falls before the 28<sup>th</sup> day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p> | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Transport Act 1968 Section 97E(2)&amp; (3)(2), 97(G) and Council Regulation (EC) 561/2006 Article 10, SO - 5</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                  |                          |                                  |                                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                           | FURTHER GUIDANCE                                                                                                                                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                 |
| 4-17J                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD BEFORE THE DRIVER CEASES TO BE EMPLOYED BY THE UNDERTAKING AS A DRIVER, OR OTHERWISE TO CARRY OUT WORK FOR THE UNDERTAKING AS A DRIVER (EC)</b>            | Driver does not need to cease employment with operator merely that he has ceased to be a driver<br><br>If driver still in operator employment and data is subsequently downloaded<br><br>Operator unable to gain access to data  | NFA<br><br>FUE           | N/A<br><br>N/A                   | Transport Act 1968 Section 97E(4)(a), 97(G) and Council Regulation (EC) 561/2006 Article 10, SO - 5 |
| 4-17K                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD WITHOUT DELAY UPON BECOMING AWARE THAT THE CARD HAS BEEN DAMAGED OR IS MALFUNCTIONING (EC)</b>                                                             | Does not apply when, because of the damage to the card or its malfunctioning, it is impossible to download the data<br><br>If genuine reason is given and the missing data is subsequently recovered<br><br>Otherwise than above | NFA<br><br>FUE           | N/A<br><br>N/A                   | Transport Act 1968 Section 97E(4)(b), 97(G) and Council Regulation (EC) 561/2006 Article 10, SO - 5 |
| 4-17L                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD WITHOUT DELAY IN ANY CIRCUMSTANCES SUCH THAT THE IMMINENT ERASURE OF THE DATA, IN THE NORMAL COURSE OF USE OF THE CARD, IS REASONABLY FORESEEABLE (EC)</b> | Does not apply when, because of the malfunctioning of the card, it is impossible to download the data<br><br>Otherwise than above                                                                                                | FUE                      | N/A                              | Transport Act 1968 Section 97E(4)(c), 97(G) and Council Regulation (EC) 561/2006 Article 10, SO - 5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                        |                                                                                                          |                          |                                  |                                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                                    | FURTHER GUIDANCE                                                                                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                 |
| 4-17M                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD IF IT IS NOT POSSIBLE TO DO SO OTHER THAN BY MEANS OF A VEHICLE UNIT INSTALLED IN A VEHICLE, IMMEDIATELY BEFORE CEASING TO CONTROL THE USE OF THAT VEHICLE (EC)</b> | If genuine reason is given and the missing data is subsequently recovered<br><br>Otherwise than as above | NFA<br><br>FUE           | N/A<br><br>N/A                   | Transport Act 1968 Section 97E(4)(d), 97(G) and Council Regulation (EC) 561/2006 Article 10, SO - 5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                   |                                                                                                                                     |                                |                                  |                                                                                    |
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| PLS CODE                                                                | OFFENCE DESCRIPTION               | FURTHER GUIDANCE                                                                                                                    | GB OPERATOR OR UK DRIVER       | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                |
| EC - TACHOGRAPH – NOT FITTED                                            |                                   |                                                                                                                                     |                                |                                  |                                                                                    |
| 4-19                                                                    | <b>TACHOGRAPH NOT FITTED (EC)</b> | Tachograph not fitted but vehicle exempt by Article 3 of 561/2006 or exempt by way of a derogation as per Article 13(1) of 561/2006 | NFA                            | NFA                              | Article 3(1), Regulation EU 165/2104, Transport Act 1968, Section 97(1)(a)(i) SO-5 |
|                                                                         | <b>- DRIVER</b>                   | Otherwise than above                                                                                                                | TE160<br>£300 FP #<br>&<br>MSI | GV170<br>£300 FP #<br>&<br>MSI   |                                                                                    |
| 4-19.1                                                                  | <b>- OPERATOR</b>                 | Tachograph not fitted but vehicle exempt by Article 3 of 561/2006 or exempt by way of a derogation as per Article 13(1) of 561/2006 | NFA                            | N/A                              |                                                                                    |
|                                                                         |                                   | Otherwise than above                                                                                                                | FUE<br>&<br>MSI                | N/A                              |                                                                                    |
| 4-19.2                                                                  | <b>CAUSE</b>                      |                                                                                                                                     | FUE                            | N/A                              |                                                                                    |
| 4-19.3                                                                  | <b>PERMIT</b>                     |                                                                                                                                     | FUE                            | N/A                              |                                                                                    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                           |                                                                                                                                                                                                                                                                                                           |                                                       |                                                        |                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                          | GB OPERATOR OR UK DRIVER                              | NON GB OPERATOR OR NON UK DRIVER                       | REG                                                                                      |
| EC - TACHOGRAPH – SPEED TRACE MISALIGNED / IMPROPER USE                 |                                                                                           |                                                                                                                                                                                                                                                                                                           |                                                       |                                                        |                                                                                          |
| 4-28C                                                                   | <b>SPEED NOT PROPERLY RECORDED - STYLI MISS-ALIGNED WITH BASE LINE (EC)</b>               | <p>If bent styli disguises speeds above those permitted:</p> <ul style="list-style-type: none"> <li>- If speed limiter defective, interfered with or not restricting vehicle to legal maximum</li> <li>- If speed limiter functioning correctly</li> </ul>                                                | <p>Go to Section 1</p> <p>AL</p>                      | <p>Go to Section 1</p> <p>N/A</p>                      | Annex I & II of I Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(ii), SO-5 |
| 4-46                                                                    | <b>FAIL TO ENSURE PROPER USE OF TACHOGRAPH – DIGITAL ONLY (EC)</b><br><br><b>- DRIVER</b> | <p>If wilful and offence is <b>current</b></p> <p>If caused by lack of knowledge</p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>TE160<br/>£200 FP</p> <p>VW</p> <p>VW</p> <p>P</p> | <p>GV170<br/>£200 FP</p> <p>VW</p> <p>VW</p> <p>VW</p> | Article 32(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii) SO5      |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                             |                                                                                                                                                                                                                                                        |                          |                                  |                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                         | FURTHER GUIDANCE                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                 |
| 4-46.1                                                                  | <b>FAIL TO ENSURE PROPER USE OF TACHOGRAPH – DIGITAL ONLY (EC)</b><br><br><b>- OPERATOR</b> | If wilful and offence was <b>current</b><br><br>If caused by lack of knowledge<br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | FUE                      | N/A                              | Article 32(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii) SO5 |
|                                                                         |                                                                                             |                                                                                                                                                                                                                                                        | AL                       | N/A                              |                                                                                     |
|                                                                         |                                                                                             |                                                                                                                                                                                                                                                        | AL                       | N/A                              |                                                                                     |
|                                                                         |                                                                                             |                                                                                                                                                                                                                                                        | FUE                      | N/A                              |                                                                                     |
|                                                                         |                                                                                             |                                                                                                                                                                                                                                                        | FUE                      | N/A                              |                                                                                     |
| 4-46.2                                                                  | <b>CAUSE</b>                                                                                |                                                                                                                                                                                                                                                        | FUE                      | N/A                              |                                                                                     |
| 4-46.3                                                                  | <b>PERMIT</b>                                                                               |                                                                                                                                                                                                                                                        | FUE                      | N/A                              |                                                                                     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                       |                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |                                                          |                                                                                     |
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| PLS CODE                                                                                      | OFFENCE DESCRIPTION                                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                                  | NON GB OPERATOR OR NON UK DRIVER                         | REG                                                                                 |
| EC - TACHOGRAPH – INCORRECT FUNCTIONING / NOT PROPERLY FITTED / INCORRECT REPAIR / NOT SEALED |                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |                                                          |                                                                                     |
| 4-43                                                                                          | <b>FAIL TO ENSURE CORRECT FUNCTIONING OF TACHOGRAPH – ANALOGUE OR DIGITAL (EC)</b><br><br><b>- DRIVER</b> | <p>If offence is <b>current and</b></p> <ul style="list-style-type: none"> <li>- unable to determine compliance with drivers' hours rules</li> <li>- incorrect functioning has not affected accurate recording of drivers' activities</li> </ul> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>TE160DH<br/>£100 FP #</p> <p>VW</p> <p>VW</p> <p>P</p> | <p>GV170<br/>£100 FP #</p> <p>VW</p> <p>VW</p> <p>VW</p> | Article 32(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii) SO5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                             |                                                                                                                                                                                                                                                                                                                                                           |                                |                                  |                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                         | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                          | GB OPERATOR OR UK DRIVER       | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                 |
| 4-43.1                                                                  | <b>FAIL TO ENSURE CORRECT FUNCTIONING OF TACHOGRAPH – ANALOGUE OR DIGITAL (EC)</b><br><br><b>- OPERATOR</b> | If offence was <b>current and</b><br>- unable to determine compliance with drivers' hours rules<br><br>- incorrect functioning did not affected accurate recording of drivers' activities<br><br><b>Historical offences</b><br>- Due to lack of knowledge or no suspicious motive<br>- Where suspicion of motive to disguise more serious offences exists | FUE<br><br>AL<br><br>AL<br>FUE | N/A<br><br>N/A<br><br>N/A<br>N/A | Article 32(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii) SO5 |
| 4-43.2                                                                  | <b>CAUSE</b>                                                                                                |                                                                                                                                                                                                                                                                                                                                                           | FUE                            | N/A                              |                                                                                     |
| 4-43.3                                                                  | <b>PERMIT</b>                                                                                               |                                                                                                                                                                                                                                                                                                                                                           | FUE                            | N/A                              |                                                                                     |
|                                                                         |                                                                                                             |                                                                                                                                                                                                                                                                                                                                                           |                                |                                  |                                                                                     |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                            |                                                               |                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                                                                   | NON GB OPERATOR OR NON UK DRIVER                              | REG                                                                                      |
| 4-20                                                                    | <b>TACHOGRAPH NOT PROPERLY FITTED (EC)</b><br><br><b>- DRIVER</b> | <p>Not fully meeting requirements of Annex I &amp; II of EU 165/2014 or Annex IB &amp; II of EEC 3821/85 or Annex 1C of EU 2016/799 <b>and</b>:</p> <ul style="list-style-type: none"> <li>- journey is exempt under Article 3 (exemptions) or Article 13(1) (derogations) of EC 561/2006</li> <li>- journey is not exempt</li> </ul> <p>- Where the 'L' factor appears to have been omitted at the time of calibration, (recorded as 0 on the VU technical printout) but is correctly displayed on the calibration plaque and calibration certificate, and there is no other evidence of non-compliance.</p> <p>For vehicles not exceeding 3500 kgs or not more than 8 passenger seats the tachograph meets all requirements of Annex I &amp; II of EC 165/2014 or Annex IB &amp; II of EU 165/2014 <b>but is not fitted in an acceptable position*</b></p> | <p>NFA</p> <p>TE160DH – in all cases<br/>&amp;<br/>£300 FP #</p> <p>ORN</p> <p>TE160DH</p> | <p>NFA</p> <p>GV170<br/>£300 FP #</p> <p>NFA</p> <p>GV170</p> | Article 3(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(i) & (ii) SO-5 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                             |                                             |                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER                    | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                       |
| 4-20                                                                    | <b>TACHOGRAPH NOT PROPERLY FITTED (EC)</b><br><b>- OPERATOR</b> | <p>Not fully meeting requirements of Annex I &amp; II of EU 165/2014 or Annex IB &amp; II of EEC 3821/85 or Annex 1C Of EU 2016/799 and:</p> <ul style="list-style-type: none"> <li>- journey is exempt under Article 3 (exemptions) or Article 13(1) (derogations) of EC 561/2006</li> <li>- journey is not exempt</li> <li>- Where the 'L' factor appears to have been omitted at the time of calibration, (recorded as 0 on the VU technical printout) but is correctly displayed on the calibration plaque and calibration certificate, and there is no other evidence of non-compliance.</li> </ul> <p>For vehicles not exceeding 3500 kgs or not more than 8 passenger seats the tachograph meets all requirements of Annex I &amp; II of EC 165/2014 or Annex IB &amp; II of EU 165/2014 <b>but is not fitted in an acceptable position*</b></p> | <p>NFA</p> <p>FUE</p> <p>ORN</p> <p>NFA</p> | <p>N/A</p> <p>N/A</p> <p>NFA</p> <p>N/A</p> | Article 3(1), Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(i) & (ii) SO-5 |
| 4-20.2                                                                  | <b>CAUSE</b>                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | FUE                                         | N/A                                         |                                                                                           |
| 4-20.3                                                                  | <b>PERMIT</b>                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | FUE                                         | N/A                                         |                                                                                           |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                              |                  |                          |                                  |                                                                                                              |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                          | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                          |
| 4-22                                                                    | <b>TACHOGRAPH REPAIRED OTHERWISE THAN IN ACCORDANCE WITH COMMUNITY RECORDING REGULATIONS – ANALOGUE (EC)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | TE160<br>£50 FP #        | GV170<br>£50 #                   | Article 22(1) & Annex I, Regulation EU 165/2014, Transport Act 1968, Section 97(1)(b), SO-5                  |
| 4-22.1                                                                  | <b>CAUSE</b>                                                                                                                                                 |                  | FUE                      | N/A                              |                                                                                                              |
| 4-22.2                                                                  | <b>PERMIT</b>                                                                                                                                                |                  | FUE                      | N/A                              |                                                                                                              |
|                                                                         |                                                                                                                                                              |                  |                          |                                  |                                                                                                              |
| 4-23                                                                    | <b>TACHOGRAPH SYSTEM NOT SEALED – ANALOGUE (EC)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>                                                          |                  | TE160<br>£50 FP #        | GV170<br>£50 FP #                | Article 22(2), (3) & (5) and Annex I, Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(ii), SO-5 |
| 4-23.1                                                                  | <b>CAUSE</b>                                                                                                                                                 |                  | FUE                      | N/A                              |                                                                                                              |
| 4-23.2                                                                  | <b>PERMIT</b>                                                                                                                                                |                  | FUE                      | N/A                              |                                                                                                              |
|                                                                         |                                                                                                                                                              |                  |                          |                                  |                                                                                                              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                    |                                                        |                                           |                                  |                                                                                                                                                                                                                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                | FURTHER GUIDANCE                                       | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                                                      |
| 4-23A / 4-139                                                           | <b>TACHOGRAPH SYSTEM NOT SEALED – DIGITAL (EC)</b> | Annex 1C / smart tachograph 4.139, 4.139.1 or 4.139.2. | TE160 – in all cases<br><br>&<br>£50 FP # | GV170<br>£50 FP #                | Article 22 & Annex IB, Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(ii), SO-5<br><br><u>For smart tachograph use</u><br><br>Article 22 of Regulation EU 165/2014 and Section 5.3 of Annex IC of Regulation (EU) 2016/799, Transport Act 1968, Section 97(1)(a)(ii), SO-5 |
|                                                                         | <b>- DRIVER</b>                                    |                                                        |                                           |                                  |                                                                                                                                                                                                                                                                                          |
|                                                                         | <b>- OPERATOR</b>                                  |                                                        |                                           |                                  |                                                                                                                                                                                                                                                                                          |
|                                                                         | <b>CAUSE</b>                                       |                                                        |                                           |                                  |                                                                                                                                                                                                                                                                                          |
| 4-23A.1 / 4-139.1                                                       |                                                    |                                                        | FUE                                       | N/A                              |                                                                                                                                                                                                                                                                                          |
| 4-23A.2 / 4-139.2                                                       | <b>PERMIT</b>                                      |                                                        | FUE                                       | N/A                              |                                                                                                                                                                                                                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                       |                                                                                                                                                        |                                       |                                       |                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                   | FURTHER GUIDANCE                                                                                                                                       | GB OPERATOR OR UK DRIVER              | NON GB OPERATOR OR NON UK DRIVER      | REG                                                                                   |
| EC - TACHOGRAPH – DEFECTIVE                                             |                                                       |                                                                                                                                                        |                                       |                                       |                                                                                       |
| 4-21                                                                    | <b>USE A VEHICLE WITH A DEFECTIVE TACHOGRAPH (EC)</b> |                                                                                                                                                        |                                       |                                       | Article 32(1) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii), SO-5 |
|                                                                         | <b>- DRIVER</b>                                       | <ul style="list-style-type: none"> <li>- If statutory defence applies</li> <li>- If statutory defence <b>no longer</b> applies</li> </ul>              | <p>ORN</p> <p>TE160<br/>£100 FP #</p> | <p>NFA</p> <p>GV170<br/>£100 FP #</p> |                                                                                       |
| 4-21.1                                                                  | <b>- OPERATOR</b>                                     | <ul style="list-style-type: none"> <li>- If statutory defence applies</li> <li>- If operator then fails to comply with the ORN requirements</li> </ul> | <p>NFA</p> <p>FUE</p>                 | <p>N/A</p> <p>N/A</p>                 |                                                                                       |
| 4-21.2                                                                  | <b>CAUSE</b>                                          |                                                                                                                                                        | FUE                                   | N/A                                   |                                                                                       |
| 4-21.3                                                                  | <b>PERMIT</b>                                         |                                                                                                                                                        | FUE                                   | N/A                                   |                                                                                       |



# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                  |                                                       |                          |                                  |                                                                                                                                                                                                                                                                 |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                              | FURTHER GUIDANCE                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                             |
| EC - TACHOGRAPH – CALIBRATION / 2 YEARLY CHECKS                         |                                                                                                  |                                                       |                          |                                  |                                                                                                                                                                                                                                                                 |
| 4-1                                                                     | <b>TACHOGRAPH NOT CALIBRATED (EC)</b><br><br><b>- ANALOGUE</b>                                   | If operator fails to comply with the ORN requirements | ORN                      | VW                               | Annex I(VI) 3(b), Regulation EU 165/2014. Transport Act 1968, Section 97(1)(a)(ii), SO-5                                                                                                                                                                        |
| 4-1.1                                                                   | <b>CAUSE</b>                                                                                     |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                                                 |
| 4-1.2                                                                   | <b>PERMIT</b>                                                                                    |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                                                 |
| 4-1A / 4.140                                                            | <b>TACHOGRAPH NOT CALIBRATED FOLLOWING INSTALLATION AS REQUIRED (EC)</b><br><br><b>- DIGITAL</b> | If operator fails to comply with the ORN requirements | ORN                      | VW                               | Annex IB(V) (1), Council Regulation EU 165/2014 (as per EC 3821/85), Transport Act 1968, Section 97(1)(a)(ii), SO-5<br><br>For smart tachographs use<br><br>Section 5.1 of Annex IC of Regulation (EU) 2016/799, Transport Act 1968, Section 97(1)(a)(ii), SO-5 |
| 4-1A.1 / 4.140.1                                                        | <b>CAUSE</b>                                                                                     |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                                                 |
| 4-1A.2 / 4.140.2                                                        | <b>PERMIT</b>                                                                                    |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                                                 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                      |                                                       |                          |                                  |                                                                                                                                                                                                                                                                  |
|-------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|-------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                  | FURTHER GUIDANCE                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                              |
| 4-1B / 4.141                                                            | <b>TACHOGRAPH NOT CALIBRATED WITHIN 2 YEARS OF PREVIOUS CALIBRATION (EC)</b><br><br><b>- DIGITAL</b> | If operator fails to comply with the ORN requirements | ORN                      | VW                               | Annex IB(VI) (4), Council Regulation EU 165/2014 (as per EC 3821/85), Transport Act 1968, Section 97(1)(a)(ii), SO-5<br><br>For smart tachographs use<br><br>Section 6.4 of Annex IC of Regulation (EU) 2016/799, Transport Act 1968, Section 97(1)(a)(ii), SO-5 |
| 4-1B.1 / 4.141.1                                                        | <b>CAUSE</b>                                                                                         |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                                                  |
| 4-1B.2 / 4.141.2                                                        | <b>PERMIT</b>                                                                                        |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                                                  |
| 4-2                                                                     | <b>TACHOGRAPH NOT 2 YEARLY CHECKED – ANALOGUE (EC)</b>                                               | If operator fails to comply with the ORN requirements | ORN                      | VW                               | Annex I(VI) 3(a), Regulation EU 165/2014, Section 97(1)(a)(ii) of The Transport Act 1968, SO-5                                                                                                                                                                   |
| 4-2.1                                                                   | <b>CAUSE</b>                                                                                         |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                                                  |
| 4-2.2                                                                   | <b>PERMIT</b>                                                                                        |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                                                  |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                      |                                                                                                                                                                                                                                                                     |                                                                                    |                                                   |                                                                                                             |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                  | FURTHER GUIDANCE                                                                                                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                                                           | NON GB OPERATOR OR NON UK DRIVER                  | REG                                                                                                         |
| 4-20B                                                                   | <b>TACHOGRAPH INCORRECTLY CALIBRATED - INSTRUMENTED CHECK (ANALOGUE) (EC)</b><br><br><b>- DRIVER</b> | <p>'K' factor incorrect but speed difference is 6km/h or less and: -</p> <p>- if no evidence of tampering.</p> <p>- If obvious evidence of tampering (seal damage etc)</p> <p>Tachograph 'K' factor incorrect, resulting in speed difference of more than 6km/h</p> | <p>NFA</p> <p>TE160<br/>FUE</p> <p>TE160 – in all cases<br/>&amp;<br/>£50 FP #</p> | <p>NFA</p> <p>GV170</p> <p>GV170<br/>£50 FP #</p> | Annex I, Part III, para (f)3 of Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(i) & (ii) SO-5 |
|                                                                         | <b>- OPERATOR</b>                                                                                    | <p>'K' factor incorrect but speed difference is 6km/h or less and: -</p> <p>- if no evidence of tampering.</p> <p>- If obvious evidence of tampering (seal damage etc)</p> <p>Tachograph 'K' factor incorrect, resulting in speed difference of more than 6km/h</p> | <p>NFA</p> <p>FUE</p> <p>FUE</p>                                                   | <p>N/A</p> <p>N/A</p> <p>N/A</p>                  |                                                                                                             |
| 4-20B.1                                                                 | <b>CAUSE</b>                                                                                         |                                                                                                                                                                                                                                                                     | FUE                                                                                | N/A                                               |                                                                                                             |
| 4-20B.2                                                                 | <b>PERMIT</b>                                                                                        |                                                                                                                                                                                                                                                                     | FUE                                                                                | N/A                                               |                                                                                                             |
|                                                                         |                                                                                                      |                                                                                                                                                                                                                                                                     |                                                                                    |                                                   |                                                                                                             |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                |                                                                                                                      |                          |                                  |                                                                                               |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                            | FURTHER GUIDANCE                                                                                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                           |
| EC - TACHOGRAPH – INTERFERENCE DEVICES / FORGED SEALS                   |                                                                                                                                                                                                                                |                                                                                                                      |                          |                                  |                                                                                               |
| 4-20A                                                                   | <b>UNAUTHORISED DEVICE PRESENT ON VEHICLE (EC)</b>                                                                                                                                                                             | Any device that is in or on the vehicle capable of interfering with the proper operation of the recording equipment. |                          |                                  | Article 32(3) Regulation EU 165/2014, Transport Act 1968, Section 97(1)(a)(iii) SO-5          |
|                                                                         | - DRIVER                                                                                                                                                                                                                       |                                                                                                                      | TE160<br>P<br>&<br>MSI   | X                                |                                                                                               |
|                                                                         | - OPERATOR                                                                                                                                                                                                                     |                                                                                                                      | FUE<br>&<br>MSI          | N/A                              |                                                                                               |
| 4-20A.1                                                                 | CAUSE                                                                                                                                                                                                                          |                                                                                                                      | FUE                      | N/A                              |                                                                                               |
| 4-20A.2                                                                 | PERMIT                                                                                                                                                                                                                         |                                                                                                                      | FUE                      | N/A                              |                                                                                               |
| 4-20A.3                                                                 | <b>INSTALLED DEVICE DESIGNED TO INTERFERE WITH THE PROPER OPERATION OF ANY RECORDING EQUIPMENT OR IS DESIGNED TO ENABLE FALSIFICATION, ALTERATION, DESTRUCTION OR SUPPRESSION OF DATA STORED ON THE VU OR DRIVER CARD (EC)</b> |                                                                                                                      | FUE                      | X                                | Article 32(2) Regulation EU 165/2014 Transport Act 1968 Section 99ZE(6)(a)&(b) and (9) , SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                    |                          |                                  |                                                                                      |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                                                                        | FURTHER GUIDANCE                                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                  |
| 4-20A.4                                                                 | <b>PRODUCED, DISTRIBUTES, ADVERTISES OR SELLS A DEVICE DESIGNED TO INTERFERE WITH THE PROPER OPERATION OF ANY RECORDING EQUIPMENT OR THAT IS DESIGNED TO ENABLE FALSIFICATION, ALTERATION, DESTRUCTION OR SUPPRESSION OF DATA STORED ON THE VU OR DRIVER CARD (EC)</b>                     |                                                                                                                                                                                                                    | FUE                      | X                                | Article 32(5) Regulation EU 165/2104, Transport Act 1968 Section 99ZE(6)(a)(b), SO-5 |
| 4-20A.5                                                                 | <b>PROVIDED INFORMATION TO ASSIST THE PRODUCTION OF AN UNAUTHORISED DEVICE DESIGNED TO INTERFERE WITH THE PROPER OPERATION OF ANY RECORDING EQUIPMENT OR THAT IS DESIGNED TO ENABLE FALSIFICATION, ALTERATION, DESTRUCTION OR SUPPRESSION OF DATA STORED ON THE VU OR DRIVER CARD (EC)</b> | Any device that is designed to interfere with the proper operation of the recording equipment or which enables the falsification, alteration, destruction or suppression of data stored in the recording equipment | FUE                      | X                                | Article 32(5) Regulation EU 165/2014, Transport Act 1968 Section 99ZE(7)&(9) SO-5    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                                                       |                                                                                                                  |                          |                                  |                                                                                |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                                                                   | FURTHER GUIDANCE                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                            |
| 4-23B                                                                   | <b>FORGE, ALTER OR USE WITH INTENT TO DECEIVE A SEAL ON TACHOGRAPH SYSTEM (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>                                                                                                                                            |                                                                                                                  | TE160 / P<br><br>FUE     | X<br><br>N/A                     | Transport Act 1968, Section 97AA(1)&(2), SO-Stat Max<br>IND-2 years Max prison |
| <b>EC - MISCELLANEOUS</b>                                               |                                                                                                                                                                                                                                                                                       |                                                                                                                  |                          |                                  |                                                                                |
| 4-16A.1                                                                 | <b>ANY DRIVER SUBJECT TO THE ORDERS OF MORE THAN ONE TRANSPORT UNDERTAKING FAILS, WITHOUT REASONABLE EXCUSE, TO PROVIDE TO EACH EMPLOYER SUFFICIENT INFORMATION TO ENABLE EACH TRANSPORT UNDERTAKING TO AVOID A CONTRAVENTION OF ARTICLES 5-9 OF COUNCIL REGULATION (EC) 561/2006</b> | If exceptional circumstances prevailed and/or the offence is unlikely to be repeated<br><br>Otherwise than above | VW<br><br>FUE            | N/A<br><br>N/A                   | Transport Act 1968 Section 96(11)(D), SO - 4                                   |
| 4-44                                                                    | <b>DRIVE VEHICLE IN CONTRAVENTION OF A PROHIBITION ISSUED IN RESPECT OF HOURS / RECORDS (EC or AETR)</b>                                                                                                                                                                              |                                                                                                                  | £300 FP                  | Go to offence 13-6               | Section 99C(a) Transport Act 1968, SO-5                                        |
| 4-44.1                                                                  | <b>CAUSE</b>                                                                                                                                                                                                                                                                          |                                                                                                                  | FUE                      | N/A                              | Section 99C(b) Transport Act 1968, SO-5                                        |
| 4-44.2                                                                  | <b>PERMIT</b>                                                                                                                                                                                                                                                                         |                                                                                                                  | FUE                      | N/A                              |                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                 |                             |                          |                                  |                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                             | FURTHER GUIDANCE            | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                       |
| 4-45                                                                    | REFUSES OR FAILS TO COMPLY, WITHIN A REASONABLE TIME WITH A DIRECTION NOTICE ISSUED IN RELATION TO A DRIVERS' HOURS PROHIBITION (EC or AETR)                    |                             | £300 FP                  | Go to offence 13-29              | Section 99C(a) Transport Act 1968, SO-5                                                   |
| 4-132                                                                   | SUPPLIED RECORDING EQUIPMENT WITH NO TYPE APPROVAL CERTIFICATE IN FORCE (EC OR AETR)                                                                            | Investigation purposes only | N/A                      | N/A                              | EU Tachograph Regulation, Transport Act 1968 Section 97ZB(1) SO - 4                       |
| 4-133                                                                   | FAILED TO INFORM SECRETARY OF STATE OF SECURITY VULNERABILITIES IN RELATION TO A VEHICLE UNIT, MOTION SENSOR OR TACHOGRAPH CARD THAT IS ON THE MARKET (EC ONLY) | Investigation purposes only | N/A                      | N/A                              | Article 20(3) of the EU Tachographs Regulation, Transport Act 1968 Section 97ZC(1) SO - 4 |
| 4-134                                                                   | FAIL TO TAKE ALL REASONABLE STEPS TO COMPLY WITH REQUIREMENTS OF ARTICLE 10(4) OF REGULATION (EC)561/2006                                                       | Investigation purposes only | N/A                      | N/A                              | Article 10(4) of the EU Tachographs Regulation, Transport Act 1968 Section 96(11C) SO - 4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

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## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                        |                                                                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 4-54                                                                    | <b>USE VEHICLE WHEN DRIVER FAILED TO PRODUCE TACHOGRAPH RECORD SHEET(S), DRIVER CARD OR PRINT-OUTS AT THE ROADSIDE (AETR)</b><br><br><b>- OPERATOR</b> | In special circumstances i.e. first day of driving due to absence / holiday / other duties / lack of knowledge / inadvertent mistake<br><br>Where it is suspected that the failure to produce records/card is deliberate or an attempt to hide offences | AL                       | N/A                              | Article 12(7)(a)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), contrary to Sections 97ZA(1)(a)(iii) of the Transport Act 1968. SO-5                                                                                                                                                                                                                                                                                             |
| 4-54.1                                                                  | <b>CAUSE</b>                                                                                                                                           |                                                                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 4-54.2                                                                  | <b>PERMIT</b>                                                                                                                                          |                                                                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                                                                         |                                                                                                                                                        |                                                                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 4-55                                                                    | <b>FAIL TO PERMIT TO INSPECT, REMOVE, RETAIN AND COPY ANY BOOK, REGISTER OR OTHER DOCUMENT WHEN REQUESTED AT THE ROADSIDE (AETR)</b>                   | Where failure to produce book, register or document is due to lack of knowledge or inadvertent mistake                                                                                                                                                  | VW                       | VW                               | Article 12(7)(c) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), contrary to Sections 99ZA(1)(c) and 99ZD(1)(a) and (2) of the Transport Act 1968. SO-5<br><br><b>For Operator, Cause &amp; Permit use:</b><br><br>Article 12(7)(c) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), contrary to Sections 97ZA(1)(a)(iii) of the Transport Act 1968. SO-5 |
| 4.55.1                                                                  | <b>- DRIVER</b>                                                                                                                                        | Otherwise than above                                                                                                                                                                                                                                    | TE160DH<br>£300 FP       | GV170<br>£300 FP                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                                                                         | <b>- OPERATOR</b>                                                                                                                                      | Where failure to produce book, register or other document is due to lack of knowledge or inadvertent mistake<br><br>Otherwise than above                                                                                                                | AL                       | N/A                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                                                                         |                                                                                                                                                        |                                                                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 4.55.2                                                                  | <b>CAUSE</b>                                                                                                                                           |                                                                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 4.55.3                                                                  | <b>PERMIT</b>                                                                                                                                          |                                                                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                                                                         |                                                                                                                                                        |                                                                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                     |                                                                                                                                  |                              |                                  |                                                                                                                                                                                                                                                                                                      |
|-------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                 | FURTHER GUIDANCE                                                                                                                 | GB OPERATOR OR UK DRIVER     | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                                                                  |
| 4-56                                                                    | <b>FAIL TO PERMIT TO INSPECT, REMOVE, RETAIN OR COPY ANY RECORD SHEET OR HARD COPY OF DRIVER CARD DATA OR<br/>FAIL TO PERMIT TO INSPECT CARD, COPY DATA OR REMOVE AND RETAIN COPY OF DATA WHEN REQUESTED AT THE ROADSIDE (AETR)</b> | If genuine reason is given and hard copy is produced later during check.<br><br>Otherwise than above                             | VW<br><br>TE160DH<br>£300 FP | VW<br><br>GV170<br>£300 FP       | Article 12(7)(a)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), contrary to Sections 99ZA(1)(b) & (2)(a)(b) & 99ZD(1)(a) and (2) of the Transport Act 1968. SO-5<br><br><b>For Operator, Cause &amp; Permit use:</b> |
| 4.56.1                                                                  | <b>- DRIVER</b>                                                                                                                                                                                                                     |                                                                                                                                  |                              |                                  |                                                                                                                                                                                                                                                                                                      |
|                                                                         | <b>- OPERATOR</b>                                                                                                                                                                                                                   | If genuine reason is given and hard copy is produced later during check.<br><br>Otherwise than above                             | AL<br><br>FUE                | N/A<br><br>N/A                   | Article 12(7)(a)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), contrary to Sections 97ZA(1)(a)(iii) of the Transport Act 1968. SO-5                                                                                 |
| 4.56.2                                                                  | <b>CAUSE</b>                                                                                                                                                                                                                        |                                                                                                                                  | FUE                          | N/A                              |                                                                                                                                                                                                                                                                                                      |
| 4.56.3                                                                  | <b>PERMIT</b>                                                                                                                                                                                                                       |                                                                                                                                  | FUE                          | N/A                              |                                                                                                                                                                                                                                                                                                      |
| 4-57                                                                    | <b>FAIL TO PERMIT TO INSPECT, REMOVE, RETAIN AND COPY ANY TACHOGRAPH RECORD SHEET, DOCUMENT OR HARD COPY OF ELECTRONICALLY STORED DATA WHEN REQUESTED AT OPERATOR'S PREMISES (AETR)</b>                                             | If genuine reason is given and the requested item(s) is / are produced within a reasonable timescale<br><br>Otherwise than above | VW<br><br>FUE                | N/A<br><br>N/A                   | Article 11(2)(a) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), contrary to Sections 99ZA(1)(b) and 99ZD(1)(a) and (2) of the Transport Act 1968. SO-5                                                                  |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                 |                                                                                                                                  |                          |                                  |                                                                                                                                                                                                 |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                             | FURTHER GUIDANCE                                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                             |
| 4-58                                                                    | <b>FAIL TO PRODUCE ANY TACHOGRAPH RECORD SHEET, DRIVER CARD, DOCUMENT OR HARD COPY OF ELECTRONICALLY STORED DATA WHEN REQUESTED BY MEANS OF WRITTEN REQUEST (AETR)</b><br><br><b>- OPERATOR</b> | If genuine reason is given and the requested item(s) is / are produced within a reasonable timescale<br><br>Otherwise than above | VW<br><br>FUE            | N/A<br><br>N/A                   | Transport Act 1968, Sections 99ZA(3) and 99ZD(1)(a) and (2), SO-5                                                                                                                               |
| 4-59                                                                    | <b>FAIL TO PRODUCE ANY DRIVER CARD WHEN REQUESTED BY MEANS OF WRITTEN REQUEST (AETR)</b><br><br><b>- DRIVER</b>                                                                                 | If genuine reason is given and the card is produced within a reasonable timescale<br><br>Otherwise than above                    | VW<br><br>FUE            | N/A<br><br>N/A                   | Transport Act 1968 Section 97F(2)(b) & 97G, Article 11(2)(b) of the annex to European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), SO – 5 |
| 4-60                                                                    | <b>TRANSPORT UNDERTAKING FAILS WITHOUT DELAY, ON THE REQUEST OF AN EXAMINER, TO PERMIT HIM TO INSPECT OR COPY THE DOWNLOADED DATA (AETR)</b>                                                    |                                                                                                                                  | FUE                      | N/A                              | Transport Act 1968 Section 97F(2)(b) & 97G, Article 11(2)(b) of the annex to European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), SO – 5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                                         |                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                              |                                                           |                                                                                                                                                                                          |
|-----------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|-----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                                                        | OFFENCE DESCRIPTION                                                                                                                                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                                     | NON GB OPERATOR OR NON UK DRIVER                          | REG                                                                                                                                                                                      |
| 4-61                                                                                                            | <b>ANY PERSON WHO FAILS, WITHOUT REASONABLE EXCUSE, TO MAKE READILY ACCESSIBLE TO AN EXAMINER, EITHER ON OR FROM PREMISES OCCUPIED OR CONTROLLED BY THAT PERSON IN QUESTION, THAT PERSON'S RETAINED DATA (AETR)</b> | <p>This means failure by the transport undertaking to provide data relating to any person, which has been retained by that transport undertaking</p> <p>Retained data means any data as required by Article 10 to be kept for at least 12 months from the date the data was created not downloaded</p>                                                                                                | FUE                                                          | N/A                                                       | Transport Act 1968 Section 97H(1)&(2) and Article 11(2)(b) of European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), SO - 5         |
| <b>AETR - RECORDS – FAIL TO USE RECORD SHEET OR DRIVER CARD / FAIL TO KEEP ARTICLE 6(5) RECORD (EC OR AETR)</b> |                                                                                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                       |                                                              |                                                           |                                                                                                                                                                                          |
| 4-62                                                                                                            | <b>FAIL TO USE A TACHOGRAPH RECORD SHEET OR DRIVER CARD (AETR)</b><br><br><b>- DRIVER</b>                                                                                                                           | <p>Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence is of failing to keep a <b>current</b> record</p> <p>If failure is to keep a previous record which falls within the period going back to the last 45-hour weekly rest period meaning rest periods cannot be assessed</p> <p>Historical offences</p> | <p>VW</p> <p>TE160DH<br/>£300 FP</p> <p>TE160DH</p> <p>P</p> | <p>VW</p> <p>GV170<br/>£300 FP</p> <p>GV170</p> <p>VW</p> | Articles 12(2) of the annex European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968 Section 97ZA(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                        |                                                                                                                                                                                                                                                                                                                                                                            |                                            |                                             |                                                                                                                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                           | GB OPERATOR OR UK DRIVER                   | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                                                                                                                      |
| 4-62.1                                                                  | <b>FAIL TO USE A TACHOGRAPH RECORD SHEET OR DRIVER CARD *</b><br><br><b>- OPERATOR</b> | <p>Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence was <b>current</b></p> <p>If failure is to keep a previous record which falls within the period going back to the last 45-hour weekly rest period meaning rest periods cannot be assessed</p> <p>Historical offences</p> | <p>AL</p> <p>FUE</p> <p>FUE</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Articles 12(2) of the annex European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968 Section 97ZA(1)(a)(iii), SO-5 |
| 4-62.2                                                                  | <b>CAUSE</b>                                                                           |                                                                                                                                                                                                                                                                                                                                                                            | FUE                                        | N/A                                         |                                                                                                                                                                                          |
| 4-62.3                                                                  | <b>PERMIT</b>                                                                          |                                                                                                                                                                                                                                                                                                                                                                            | FUE                                        | N/A                                         |                                                                                                                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                              |                                                                                                                                               |                              |                                  |                                                                                                                                                                     |
|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                          | FURTHER GUIDANCE                                                                                                                              | GB OPERATOR OR UK DRIVER     | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                 |
| 4-49                                                                    | <b>DRIVER: -</b><br><br><b>FAIL TO RECORD ACTIVITIES NOT FALLING INTO SCOPE OF AETR</b><br><br><b>(AETR)</b>                                                                                 | Failure to record daily walk round check<br><br>Failure to record 'other work' and 'availability' since the last daily or weekly rest period  | VW<br><br>TE160 DH<br>£50 FP | VW<br><br>GV170<br>£50 FP        | Article 6(5), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4 |
| 4-49.1                                                                  | <b>THE OFFENDER'S EMPLOYER, AND ANY OTHER PERSON TO WHOSE ORDERS THE OFFENDER WAS SUBJECT: -</b><br><br><b>FAIL TO RECORD ACTIVITIES NOT FALLING INTO SCOPE OF AETR</b><br><br><b>(AETR)</b> | Failure to record daily walk round check<br><br>Failure to record 'other work' and 'availability' since the last daily or weekly rest period* | NFA<br><br>FUE               | N/A<br><br>N/A                   | Article 6(5), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11A), SO-4 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                       |                                                                                                                                                                                                       |                                           |                                          |                                                                                                                                                                                                  |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                   | FURTHER GUIDANCE                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER         | REG                                                                                                                                                                                              |
| AETR - RECORDS – FAIL TO TAKE A PRINT / SIGN HARD COPY                  |                                                                                                                                                       |                                                                                                                                                                                                       |                                           |                                          |                                                                                                                                                                                                  |
| 4-63                                                                    | <b>FAIL, AT THE START OF JOURNEY, TO PRINT OUT DETAILS OF VEHICLE AND TO ENTER ON THE PRINTOUT THE REQUIRED DETAILS (AETR)</b><br><br><b>- DRIVER</b> | If offence is <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists  | TE160DH<br>£200 FP<br><br><br>VW<br><br>P | GV170<br>£200 FP<br><br><br>VW<br><br>VW | Articles 13(2)(b)(i) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97ZA(1)(a)(iii) SO-5 |
| 4.63.1                                                                  | <b>- OPERATOR</b>                                                                                                                                     | If offence was <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | FUE<br><br>AL<br><br>FUE                  | N/A<br><br>N/A<br><br>N/A                |                                                                                                                                                                                                  |
| 4-63.2                                                                  | <b>CAUSE</b>                                                                                                                                          |                                                                                                                                                                                                       | FUE                                       | N/A                                      |                                                                                                                                                                                                  |
| 4-63.3                                                                  | <b>PERMIT</b>                                                                                                                                         |                                                                                                                                                                                                       | FUE                                       | N/A                                      |                                                                                                                                                                                                  |
|                                                                         |                                                                                                                                                       |                                                                                                                                                                                                       |                                           |                                          |                                                                                                                                                                                                  |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                     |                                                                                                                                                                                                       |                                               |                                              |                                                                                                                                                                                                   |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                      | NON GB OPERATOR OR NON UK DRIVER             | REG                                                                                                                                                                                               |
| 4-64                                                                    | <b>FAIL, AT THE END OF THE JOURNEY, TO PRINT OUT THE PERIODS OF TIME RECORDED BY THE TACHOGRAPH AND RECORD THE REQUIRED INFORMATION AND MARK THE DETAILS REQUIRED (AETR)</b><br><br><b>- DRIVER</b> | If offence is <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists  | TE160DH<br>£200 FP<br><br><br>VW<br><br><br>P | GV170<br>£200 FP<br><br><br>VW<br><br><br>VW | Articles 13(2)(b)(ii) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97ZA(1)(a)(iii) SO-5 |
| 4.64.1                                                                  | <b>- OPERATOR</b>                                                                                                                                                                                   | If offence was <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | FUE<br><br><br>AL<br><br>FUE                  | N/A<br><br><br>N/A<br><br>N/A                |                                                                                                                                                                                                   |
| 4-64.2                                                                  | <b>CAUSE</b>                                                                                                                                                                                        |                                                                                                                                                                                                       | FUE                                           | N/A                                          |                                                                                                                                                                                                   |
| 4-64.3                                                                  | <b>PERMIT</b>                                                                                                                                                                                       |                                                                                                                                                                                                       | FUE                                           | N/A                                          |                                                                                                                                                                                                   |
|                                                                         |                                                                                                                                                                                                     |                                                                                                                                                                                                       |                                               |                                              |                                                                                                                                                                                                   |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                                                             |                                                                                                     |                                                                                                                                                                                                            |                              |                                  |                                                                                                                                                                                                                                                                                              |
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| PLS CODE                                                                                                                            | OFFENCE DESCRIPTION                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                           | GB OPERATOR OR UK DRIVER     | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                                                          |
| 4-65                                                                                                                                | <b>FAIL WITHOUT REASONABLE EXCUSE TO COMPLY WITH A REQUIREMENT TO SIGN HARD COPY OF DATA (AETR)</b> | This offence applies where an officer makes any hard copy of data stored on a driver card or on recording equipment under the powers of Inspection in Section 99ZA or 99ZB of the 1968 Act (see note 4-65) | VW                           | VW                               | Transport Act 1968, Section 99ZC(1) & 99ZD(1)(a)&(2) SO-5                                                                                                                                                                                                                                    |
| <b>AETR - RECORDS – INSUFFICIENT RECORD SHEETS OR PRINT ROLL / WRONG TYPE OF PAPER / FAIL TO ENSURE PRINTING CAN BE CARRIED OUT</b> |                                                                                                     |                                                                                                                                                                                                            |                              |                                  |                                                                                                                                                                                                                                                                                              |
| 4-66                                                                                                                                | <b>EMPLOYER FAILED TO ISSUE SUFFICIENT RECORD SHEETS (AETR)</b>                                     | If rectified on site<br><br>Otherwise than above                                                                                                                                                           | VW<br><br>AL                 | VW<br><br>VW                     | Article 11(1) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5                                                                                                  |
| 4-67                                                                                                                                | <b>PRINTOUT PAPER NOT APPROVED (AETR)</b>                                                           | Paper either does not bear an approval mark or is not approved for the model of tachograph in which it is being used.                                                                                      |                              |                                  | Article 11(1) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Article 33(1) Section 16 of Part III of Annex IB of Regulation (EU) No 165/2014 and Section 97ZA(1)(a)(ii) & (iii) of the Transport Act 1968. SO-5 |
|                                                                                                                                     | <b>- DRIVER</b>                                                                                     | - If able to print onto the paper<br><br>- If unable to print into the paper                                                                                                                               | VW<br><br>Go to offence 4-68 | VW<br><br>Go to offence 4-68     |                                                                                                                                                                                                                                                                                              |
|                                                                                                                                     | <b>- OPERATOR</b>                                                                                   | - If driver able to print onto the paper<br><br>- If driver unable to print into the paper                                                                                                                 | AL<br><br>Use offence 4-68   | N/A<br><br>Use offence 4-68      |                                                                                                                                                                                                                                                                                              |
| 4-67.1                                                                                                                              | <b>CAUSE</b>                                                                                        |                                                                                                                                                                                                            | FUE                          | N/A                              |                                                                                                                                                                                                                                                                                              |
| 4.67.2                                                                                                                              | <b>PERMIT</b>                                                                                       |                                                                                                                                                                                                            | FUE                          | N/A                              |                                                                                                                                                                                                                                                                                              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                    |                                                                |                                    |                                    |                                                                                                                                                                                                  |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                | FURTHER GUIDANCE                                               | GB OPERATOR OR UK DRIVER           | NON GB OPERATOR OR NON UK DRIVER   | REG                                                                                                                                                                                              |
| 4-68                                                                    | <b>FAIL TO ENSURE PRINTING CAN BE CARRIED OUT ON REQUEST-DIGITAL (AETR)</b><br><br><b>- DRIVER</b> | Paper is not being carried.<br><br>Printing facility defective | £100 FP<br><br>Go to offence 4-122 | £100 FP<br><br>Go to offence 4-122 | Article 11(1) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) of the Transport Act 1968 SO-5 |
| 4-68.1                                                                  | <b>- OPERATOR</b>                                                                                  | Paper is not being carried.<br><br>Defective printer           | FUE<br><br>Go to Offence 4-122     | N/A<br><br>N/A                     |                                                                                                                                                                                                  |
| 4-68.2                                                                  | <b>CAUSE</b>                                                                                       |                                                                | FUE                                | N/A                                |                                                                                                                                                                                                  |
| 4-68.3                                                                  | <b>PERMIT</b>                                                                                      |                                                                | FUE                                | N/A                                |                                                                                                                                                                                                  |
|                                                                         |                                                                                                    |                                                                |                                    |                                    |                                                                                                                                                                                                  |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS        |                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                              |                                                           |                                                          |                                                                                                                                                                                                       |
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| PLS CODE                                                                       | OFFENCE DESCRIPTION                                                                                        | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                                  | NON GB OPERATOR OR NON UK DRIVER                         | REG                                                                                                                                                                                                   |
| AETR - RECORDS – FAIL TO SWITCH RECORD SHEETS OR CARDS (DM) / USE CORRECT SLOT |                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                              |                                                           |                                                          |                                                                                                                                                                                                       |
| 4-69                                                                           | <b>FAIL TO AMEND RECORD SHEET OR AS NECESSARY – DOUBLE MANNED – ANALOGUE (AETR)</b><br><br><b>- DRIVER</b> | <p>Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>VW</p> <p>TE160DH *<br/>£200 FP</p> <p>VW</p> <p>P</p> | <p>VW</p> <p>GV170 *<br/>£200 FP</p> <p>VW</p> <p>VW</p> | <p>Articles 12(2)(c) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5</p> |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                               |                                           |                                             |                                                                                                                                                                                                |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                                                                                                                            |
| 4-69.1                                                                  | <b>FAIL TO AMEND RECORD SHEET AS NECESSARY – DOUBLE MANNED – ANALOGUE (AETR)</b><br><br><b>- OPERATOR</b> | <p>Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence was <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>AL</p> <p>FUE</p> <p>AL</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Articles 12(2)(c) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
| 4-69.2                                                                  | <b>CAUSE</b>                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                               | FUE                                       | N/A                                         |                                                                                                                                                                                                |
| 4-69.2                                                                  | <b>PERMIT</b>                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                               | FUE                                       | N/A                                         |                                                                                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                              |                                                         |                                                        |                                                                                                                                                                                                |
|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                                | NON GB OPERATOR OR NON UK DRIVER                       | REG                                                                                                                                                                                            |
| 4-70                                                                    | <b>FAIL TO ENSURE THAT THE DRIVER CARD IS INSERTED INTO THE CORRECT SLOT IN TACHOGRAPH – DOUBLE MANNED – DIGITAL (AETR)</b><br><br><b>- DRIVER</b> | <p>Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported</p> <p>Otherwise than above and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>VW</p> <p>TE160DH<br/>£200 FP</p> <p>VW</p> <p>P</p> | <p>VW</p> <p>GV170<br/>£200 FP</p> <p>VW</p> <p>VW</p> | Articles 12(2)(a) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                           |                          |                                  |                                                                                                                                                                                                |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                  | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                          | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                            |
| 4-70.1                                                                  | <b>FAIL TO ENSURE THAT THE DRIVER CARD IS INSERTED INTO THE CORRECT SLOT IN TACHOGRAPH – DOUBLE MANNED – DIGITAL (AETR)</b><br><br><b>- OPERATOR</b> | Exceptional circumstances e.g. driver just started, and / or valid reason given which can be supported<br><br>Otherwise than above and offence was <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | AL                       | N/A                              | Articles 12(2)(a) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
| 4-70.2                                                                  |                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                           | FUE                      | N/A                              |                                                                                                                                                                                                |
| 4-70.3                                                                  |                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                           | AL                       | N/A                              |                                                                                                                                                                                                |
|                                                                         |                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                           | FUE                      | N/A                              |                                                                                                                                                                                                |
| 4-70.2                                                                  | <b>CAUSE</b>                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                           | FUE                      | N/A                              |                                                                                                                                                                                                |
| 4-70.3                                                                  | <b>PERMIT</b>                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                           | FUE                      | N/A                              |                                                                                                                                                                                                |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                                                  |                                                                                                                                                          |                                                                                                                                                                                                   |                          |                                  |                                                                                                                                                                                                   |
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| PLS CODE                                                                                                                 | OFFENCE DESCRIPTION                                                                                                                                      | FURTHER GUIDANCE                                                                                                                                                                                  | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                               |
| AETR - RECORDS – MANUAL ENTRIES / CENTREFIELD DETAILS / MODE SWITCH / COUNTRY SYMBOL / TIME NOT SET TO COUNTRY OF ORIGIN |                                                                                                                                                          |                                                                                                                                                                                                   |                          |                                  |                                                                                                                                                                                                   |
| 4-71                                                                                                                     | <b>FAIL TO ENTER THE REQUIRED PERIODS OF TIME MANUALLY, AUTOMATICALLY OR BY OTHER MEANS ON THE RECORD SHEET – ANALOGUE (AETR)</b><br><br><b>- DRIVER</b> | If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) | VW                       | N/A                              | Articles 12(2)(b)(i) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
|                                                                                                                          |                                                                                                                                                          | Otherwise than above and offence is <b>current</b>                                                                                                                                                | TE160DH<br>£200 FP       | GV170<br>£200 FP                 |                                                                                                                                                                                                   |
|                                                                                                                          |                                                                                                                                                          | <b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists                                  | VW<br><br>P              | VW<br><br>VW                     |                                                                                                                                                                                                   |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                    |                                      |                                                                                                                                                                                                   |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                     | GB OPERATOR OR UK DRIVER           | NON GB OPERATOR OR NON UK DRIVER     | REG                                                                                                                                                                                               |
| 4-71.1                                                                  | <b>FAIL TO ENTER THE REQUIRED PERIODS OF TIME MANUALLY, AUTOMATICALLY OR BY OTHER MEANS ON THE RECORD SHEET – ANALOGUE</b><br><br><b>- OPERATOR</b> | If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only)<br><br>Otherwise than above and offence was <b>current</b><br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | AL<br><br>FUE<br><br>AL<br><br>FUE | N/A<br><br>N/A<br><br>N/A<br><br>N/A | Articles 12(2)(b)(i) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
| 4-71.2                                                                  | <b>CAUSE</b>                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                      | FUE                                | N/A                                  |                                                                                                                                                                                                   |
| 4-71.3                                                                  | <b>PERMIT</b>                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                      | FUE                                | N/A                                  |                                                                                                                                                                                                   |
|                                                                         |                                                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                    |                                      |                                                                                                                                                                                                   |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                              |                                                                                                                                                                                                                                 |                          |                                  |                                                                                                                                                                                                    |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                          | FURTHER GUIDANCE                                                                                                                                                                                                                | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                |
| 4-72                                                                    | <b>FAIL TO ENTER THE REQUIRED PERIODS OF TIME ONTO THE DRIVER CARD BY USING THE MANUAL ENTRY FACILITY PROVIDED ON THE TACHOGRAPH – DIGITAL (AETR)</b><br><br><b>- DRIVER</b> | If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b> | VW                       | N/A                              | Articles 12(2)(b)(ii) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
|                                                                         |                                                                                                                                                                              | Otherwise than above and offence is <b>current</b>                                                                                                                                                                              | TE160DH<br>£200 FP       | GV170<br>£200 FP                 |                                                                                                                                                                                                    |
|                                                                         |                                                                                                                                                                              | <b>Historical offences</b>                                                                                                                                                                                                      |                          |                                  |                                                                                                                                                                                                    |
|                                                                         |                                                                                                                                                                              | - Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists                                                                                                  | VW<br><br>P              | VW<br><br>VW                     |                                                                                                                                                                                                    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                           |                                             |                                                                                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                            | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                                                                                                                                       |
| 4-72.1                                                                  | <b>FAIL TO ENTER THE REQUIRED PERIODS OF TIME ONTO THE DRIVER CARD BY USING THE MANUAL ENTRY FACILITY PROVIDED ON THE TACHOGRAPH – DIGITAL (AETR)</b><br><br><b>- OPERATOR</b> | <p>If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p>Otherwise than above and offence was <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>AL</p> <p>FUE</p> <p>AL</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Articles 12(2)(b)(ii) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5</p> |
| 4-72.2                                                                  | <b>CAUSE</b>                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | FUE                                       | N/A                                         |                                                                                                                                                                                                           |
| 4-72.3                                                                  | <b>PERMIT</b>                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | FUE                                       | N/A                                         |                                                                                                                                                                                                           |
|                                                                         |                                                                                                                                                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                           |                                             |                                                                                                                                                                                                           |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                           |                                                        |                                                                                                                                                                                                       |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                                  | NON GB OPERATOR OR NON UK DRIVER                       | REG                                                                                                                                                                                                   |
| 4-73                                                                    | <b>FAIL TO ENTER DETAILS ON TACHOGRAPH RECORD SHEET CENTREFIELD (AETR)</b><br><br><b>- DRIVER</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is <b>current</b></p> <p>Where no suspicion of motive <b>or</b> If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p><b>*Only one fixed penalty notice to be issued where driver fails to make several entries on current record</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>TE160DH<br/>£50 FP*</p> <p>VW</p> <p>VW</p> <p>FUE</p> | <p>GV170<br/>£50 FP*</p> <p>VW</p> <p>VW</p> <p>VW</p> | <p>Articles 12(2)(5) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                           |                                             |                                                                                                                                                                                                |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                                                                                                                            |
| 4-73.1                                                                  | <b>FAIL TO ENTER DETAILS ON TACHOGRAPH RECORD SHEET CENTREFIELD (AETR)</b><br><br><b>- OPERATOR</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence was <b>current</b></p> <p>No suspicion of motive <b>or</b> If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence was <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>FUE</p> <p>AL</p> <p>AL</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Articles 12(2)(5) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
| 4-73.2                                                                  | <b>CAUSE</b>                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | FUE                                       | N/A                                         |                                                                                                                                                                                                |
| 4-73.3                                                                  | <b>PERMIT</b>                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | FUE                                       | N/A                                         |                                                                                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                              |                                             |                                                                                                                                                                                             |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                     | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                                                                                                                         |
| 4-74                                                                    | <b>INCORRECT USE OF MODE SWITCH (AETR)</b><br><b>- DRIVER</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is <b>current</b></p> <p>No suspicion of motive <b>or</b> offence is due to lack of knowledge (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>£50 FP</p> <p>VW</p> <p>VW</p> <p>FUE</p> | <p>£50 FP</p> <p>VW</p> <p>VW</p> <p>VW</p> | Articles 12(3) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                           |                                             |                                                                                                                                                                                             |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                                                                                                                         |
| 4-74.1                                                                  | <b>INCORRECT USE OF MODE SWITCH (AETR)</b><br><b>- OPERATOR</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence was <b>current</b></p> <p>No suspicion of motive <b>or</b> offence is due to lack of knowledge (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence was <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>FUE</p> <p>AL</p> <p>AL</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Articles 12(3) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
| 4-74.2                                                                  | <b>CAUSE</b>                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | FUE                                       | N/A                                         |                                                                                                                                                                                             |
| 4-74.3                                                                  | <b>PERMIT</b>                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | FUE                                       | N/A                                         |                                                                                                                                                                                             |
|                                                                         |                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                           |                                             |                                                                                                                                                                                             |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                |                                                                                                                                     |                            |                                  |                                                                                                                                                                                                 |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                            | FURTHER GUIDANCE                                                                                                                    | GB OPERATOR OR UK DRIVER   | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                             |
| 4-75                                                                    | <b>FAIL TO ENTER THE SYMBOLS OF THE COUNTRY WHERE DAILY WORK PERIOD STARTS OR ENDS – DIGITAL (AETR)</b><br><br><b>- DRIVER</b> | If genuine error e.g. lack of knowledge<br><br>Otherwise than above and offence is <b>current</b><br><br><b>Historical</b> offences | VW<br><br>£50 FP<br><br>VW | VW<br><br>£50 FP<br><br>VW       | Articles 12(5 bis) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
| 4-75.1                                                                  | <b>- OPERATOR</b>                                                                                                              |                                                                                                                                     | AL                         | N/A                              |                                                                                                                                                                                                 |
| 4-75.2                                                                  | <b>CAUSE</b>                                                                                                                   |                                                                                                                                     | FUE                        | N/A                              |                                                                                                                                                                                                 |
| 4-75.3                                                                  | <b>PERMIT</b>                                                                                                                  |                                                                                                                                     | FUE                        | N/A                              |                                                                                                                                                                                                 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                               |                                              |                                             |                                                                                                                                                                                                    |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                     | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                     | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                                                                                                                                |
| 4-76                                                                    | <b>FAIL TO ENSURE THAT THE TIME RECORDED ON RECORD SHEET AGREES WITH THE OFFICIAL TIME IN THE COUNTRY OF REGISTRATION (AETR)</b><br><br><b>- DRIVER</b> | <p>In isolated cases - providing that it has been established that no other motive exists.</p> <p>Otherwise than above and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>VW</p> <p>£50 FP</p> <p>VW</p> <p>FUE</p> | <p>VW</p> <p>£50 FP</p> <p>VW</p> <p>VW</p> | <p>Articles 12(3) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5</p> |
| 4-76.1                                                                  | <b>CAUSE</b>                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                               | FUE                                          | N/A                                         |                                                                                                                                                                                                    |
| 4-76.2                                                                  | <b>PERMIT</b>                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                               | FUE                                          | N/A                                         |                                                                                                                                                                                                    |
|                                                                         |                                                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                               |                                              |                                             |                                                                                                                                                                                                    |



# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                   |                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                             |                                              |                                                                                                                                                                                                    |
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| PLS CODE                                                                                  | OFFENCE DESCRIPTION                                                                                                                                                                  | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                    | NON GB OPERATOR OR NON UK DRIVER             | REG                                                                                                                                                                                                |
| AETR - RECORDS – UNAUTHORISED WITHDRAWAL / USE TOO LONG / DIRTY OR DAMAGED / INCOMPATABLE |                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                             |                                              |                                                                                                                                                                                                    |
| 4-77                                                                                      | <b>UNAUTHORISED WITHDRAWAL OF TACHOGRAPH RECORD SHEET OR DRIVER CARD - REMOVAL DURING A WORKING DAY WHILST STILL RESPONSIBLE FOR THAT SAME VEHICLE (AETR)</b><br><br><b>- DRIVER</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is <b>current</b></p> <p>Where no suspicion of motive <b>or</b> If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>£200 FP</p> <p>VW</p> <p>VW</p> <p>P</p> | <p>£200 FP</p> <p>VW</p> <p>VW</p> <p>VW</p> | <p>Articles 12(3) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                           |                                             |                                                                                                                                                                                                    |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                  | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                                                                                                                                |
| 4-77                                                                    | <b>UNAUTHORISED WITHDRAWAL OF TACHOGRAPH RECORD SHEET OR DRIVER CARD - REMOVAL DURING A WORKING DAY WHILST STILL RESPONSIBLE FOR THAT SAME VEHICLE (AETR)</b><br><br><b>- OPERATOR</b> | <p>Where suspicion of motive to disguise more serious offences exists but insufficient proof is gained of such offences and offence is <b>current</b></p> <p>Where no suspicion of motive <b>or</b> If genuine lack of knowledge e.g. new qualified driver (but not if he holds a driver CPC) or employer has held only operator licence for a short period of time (restricted licence holders only) and offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>FUE</p> <p>AL</p> <p>AL</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Articles 12(3) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5</p> |
| 4-77.1                                                                  | <b>CAUSE</b>                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | FUE                                       | N/A                                         |                                                                                                                                                                                                    |
| 4-77.2                                                                  | <b>PERMIT</b>                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | FUE                                       | N/A                                         |                                                                                                                                                                                                    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                              |                                                                                                                                                                                                                                                                                                                                     |                                                |                                               |                                                                                                                                                                                                |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                          | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                       | NON GB OPERATOR OR NON UK DRIVER              | REG                                                                                                                                                                                            |
| 4-78                                                                    | <b>USE A TACHOGRAPH RECORD SHEET OR DRIVER CARD FOR LONGER THAN INTENDED (AETR)</b><br><br><b>- DRIVER</b>   | Unable to determine whether proper rest periods have been taken or driving does not exceed limits and offence is <b>current</b><br><br>Otherwise than above<br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | TE160DH<br>£50 FP<br><br>VW<br><br>VW<br><br>P | GV170<br>£50 FP<br><br>VW<br><br>VW<br><br>VW | Articles 12(2)(a) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
| 4-78                                                                    | <b>USE A TACHOGRAPH RECORD SHEET OR DRIVER CARD FOR LONGER THAN INTENDED (AETR)</b><br><br><b>- OPERATOR</b> | If traces are legible and no other offences found<br><br>Otherwise than above and offence is current<br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists                                                        | AL<br><br>FUE<br><br>AL<br><br>FUE             | N/A<br><br>N/A<br><br>N/A<br><br>N/A          | Articles 12(2)(a) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
| 4-78.1                                                                  | <b>CAUSE</b>                                                                                                 |                                                                                                                                                                                                                                                                                                                                     | FUE                                            | N/A                                           |                                                                                                                                                                                                |
| 4-78.2                                                                  | <b>PERMIT</b>                                                                                                |                                                                                                                                                                                                                                                                                                                                     | FUE                                            | N/A                                           |                                                                                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                             |                                                          |                                                       |                                                                                                                                                                                             |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                          | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                            | GB OPERATOR OR UK DRIVER                                 | NON GB OPERATOR OR NON UK DRIVER                      | REG                                                                                                                                                                                         |
| 4-79                                                                    | <b>USE DIRTY, DAMAGED DEFACED RECORD SHEETS OR DRIVER CARD (AETR)</b><br><br><b>- DRIVER</b> | <p>Unreadable in part but not affecting analysis of record or card</p> <p>Unreadable and affecting analysis of record or card or making card unreadable and offence is <b>current</b></p> <p><b>Historical offences</b></p> <p>- Unreadable in part but not affecting analysis of record or card</p> <p>- Unreadable and affecting analysis of record or card or making card unreadable</p> | <p>VW</p> <p>TE160DH<br/>£50 FP</p> <p>VW</p> <p>FUE</p> | <p>VW</p> <p>GV170<br/>£50 FP</p> <p>VW</p> <p>VW</p> | Articles 12(1) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                      |                                    |                                      |                                                                                                                                                                                             |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                     | GB OPERATOR OR UK DRIVER           | NON GB OPERATOR OR NON UK DRIVER     | REG                                                                                                                                                                                         |
| 4-79                                                                    | <b>USE DIRTY, DAMAGED DEFACED RECORD SHEET OR DRIVER CARD (AETR)</b><br><br><b>- OPERATOR</b> | Unreadable in part but not affecting analysis of record or card<br><br>Unreadable and affecting analysis of record or card or making card unreadable and offence is <b>current</b><br><br><b>Historical offences</b><br><br>- Unreadable in part but not affecting analysis of record or card<br><br>- Unreadable and affecting analysis of record or card or making card unreadable | AL<br><br>FUE<br><br>AL<br><br>FUE | N/A<br><br>N/A<br><br>N/A<br><br>N/A | Articles 12(1) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
| 4-79.1                                                                  | <b>CAUSE</b>                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                      | FUE                                | N/A                                  |                                                                                                                                                                                             |
| 4-79.2                                                                  | <b>PERMIT</b>                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                      | FUE                                | N/A                                  |                                                                                                                                                                                             |
|                                                                         |                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                      |                                    |                                      |                                                                                                                                                                                             |
| 4-80                                                                    | <b>EMPLOYER ISSUED INCOMPATIBLE RECORD SHEET (AETR)</b>                                       | If traces can be analysed<br><br>If traces cannot be analysed                                                                                                                                                                                                                                                                                                                        | AL<br><br>FUE                      | VW<br><br>VW                         | Articles 11(1) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                |                              |                          |                                  |                                                                                                                                                                                             |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                            | FURTHER GUIDANCE             | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                         |
| 4-81                                                                    | <b>USE AN INCOMPATIBLE RECORD SHEET (AETR)</b> |                              |                          |                                  | Articles 12(1) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
|                                                                         | <b>- DRIVER</b>                                | If traces can be analysed    | VW                       | VW                               |                                                                                                                                                                                             |
|                                                                         |                                                | If traces cannot be analysed | FUE                      | VW                               |                                                                                                                                                                                             |
|                                                                         | <b>- OPERATOR</b>                              | If traces can be analysed    | AL                       | N/A                              |                                                                                                                                                                                             |
|                                                                         |                                                | If traces cannot be analysed | FUE                      | N/A                              |                                                                                                                                                                                             |
|                                                                         |                                                |                              |                          |                                  |                                                                                                                                                                                             |
| 4-81.1                                                                  | <b>CAUSE</b>                                   |                              | FUE                      | N/A                              |                                                                                                                                                                                             |
| 4-81.2                                                                  | <b>PERMIT</b>                                  |                              | FUE                      | N/A                              |                                                                                                                                                                                             |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                    |                  |                                      |                                  |                                                                                                                                                             |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER             | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                         |
| AETR - RECORDS – FALSIFIED RECORDS                                      |                                                                                                                    |                  |                                      |                                  |                                                                                                                                                             |
| 4-82                                                                    | <b>FALSE INSTRUMENT (AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>                                     |                  | P<br>&<br>MSI<br><br>FUE<br>&<br>MSI | X<br><br>N/A                     | Forgery and Counterfeiting Act 1981, Section 3, 6 & 9<br>SO-Stat Max or 6 months prison, or both<br>IND-10 years max prison                                 |
| 4-18.1                                                                  | <b>AID, ABET, COUNSEL OR PROCURE FALSE INSTRUMENT (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | P<br><br>FUE                         | X<br><br>N/A                     | Forgery and Counterfeiting Act 1981, Section 3, 6 & 9 and Magistrates Courts Act 1980<br>SO-Stat Max or 6 months prison, or both<br>IND-10 years Max prison |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                  |                                                                                                                                                              |                                                 |                                  |                                                                                                                                                                                                                                   |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                              | FURTHER GUIDANCE                                                                                                                                             | GB OPERATOR OR UK DRIVER                        | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                               |
| 4-138                                                                   | <b>FALSE INSTRUMENT (AETR)</b><br><br>Being in possession of two cards and using the previous card with the intention of inducing it to be accepted as the current driver card<br><br>- DRIVER<br><br>- OPERATOR |                                                                                                                                                              | P<br><br><br><br>FUE                            | X<br><br><br><br>N/A             | Forgery and Counterfeiting Act 1981, Section 3, 6 & 9<br>SO-Stat Max or 6 months prison, or both<br>IND-10 years max prison                                                                                                       |
| 4-83                                                                    | <b>KNOWINGLY MAKES A FALSE RECORD OR ENTRY (AETR)</b><br><br>- DRIVER<br><br><br>- OPERATOR                                                                                                                      | This offence relates to any record or entry required to be made or for the purposes of the EU Tachographs Regulation or section 97 of the Transport Act 1968 | TE160DH<br>P<br>&<br>MSI<br><br>FUE<br>&<br>MSI | X<br><br><br><br>N/A             | Transport Act 1968, Section 99ZE(1)(a), (2)(a) and (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: -<br><br>Transport Act 1968, Section 99ZE(1)(a), (2)(a) and (5), SO-5 |
| 4-83.1                                                                  | <b>CAUSE</b>                                                                                                                                                                                                     |                                                                                                                                                              | FUE                                             | N/A                              |                                                                                                                                                                                                                                   |
| 4-83.2                                                                  | <b>PERMIT</b>                                                                                                                                                                                                    |                                                                                                                                                              | FUE                                             | N/A                              |                                                                                                                                                                                                                                   |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                     |                  |                                                                     |                                  |                                                                                                                                                                                                                           |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                 | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER                                            | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                       |
| 4-31.2                                                                  | <b>AID, ABET, COUNSEL OR PROCURE MAKING OF FALSE RECORD (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>                            |                  | <p>P</p> <p>FUE</p>                                                 | <p>X</p> <p>N/A</p>              | <p>Section 99ZE(1)(a), (2)(a) and (4) of the Transport Act 1968 and Section 44 of Magistrates Courts Act 1980</p> <p>a) SO-a sum not exceeding the statutory max</p> <p>b) IND-Prison 2yrs or fine or both</p>            |
| 4-33F                                                                   | <b>RECORDS DATA WHICH KNOWS TO BE FALSE ON RECORDING EQUIPMENT OR ON A DRIVER CARD (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | <p>TE160DH<br/>P<br/>&amp;<br/>MSI</p> <p>FUE<br/>&amp;<br/>MSI</p> | <p>X</p> <p>N/A</p>              | <p>Transport Act 1968, Section 99ZE(3)(a)&amp;(4)</p> <p>a) SO-a sum not exceeding the statutory max.</p> <p>b) IND-Prison 2yrs or fine or both</p> <p>Permit: - Transport Act 1968, Section 99ZE(3)(a) and (5), SO-5</p> |
| 4-33F.1                                                                 | <b>CAUSE</b>                                                                                                                                        |                  | FUE                                                                 | N/A                              |                                                                                                                                                                                                                           |
| 4-33F.2                                                                 | <b>PERMIT</b>                                                                                                                                       |                  | FUE                                                                 | N/A                              |                                                                                                                                                                                                                           |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                            |                                                                                                                           |                          |                                  |                                                                                                                                                                                                                               |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                        | FURTHER GUIDANCE                                                                                                          | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                           |
| 4-33G                                                                   | <b>RECORDS DATA WHICH HE/SHE KNOWS TO BE FALSE ON ANY HARD COPY OF DATA (EC or AETR)</b>   | This relates to any data previously stored on recording equipment or on a driver card                                     | TE160DH<br>P<br>&<br>MSI | X                                | Transport Act 1968, Section 99ZE(3)(b) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(3)(b) and (5), SO-5                      |
|                                                                         | <b>- DRIVER</b>                                                                            |                                                                                                                           |                          |                                  |                                                                                                                                                                                                                               |
|                                                                         | <b>- OPERATOR</b>                                                                          |                                                                                                                           |                          |                                  |                                                                                                                                                                                                                               |
|                                                                         | <b>CAUSE</b>                                                                               |                                                                                                                           |                          |                                  |                                                                                                                                                                                                                               |
| 4-33G.1                                                                 | <b>CAUSE</b>                                                                               |                                                                                                                           | FUE                      | N/A                              |                                                                                                                                                                                                                               |
| 4-33G.2                                                                 | <b>PERMIT</b>                                                                              |                                                                                                                           | FUE                      | N/A                              |                                                                                                                                                                                                                               |
| 4-32                                                                    | <b>KNOWINGLY MAKES A FALSE ENTRY IN A BOOK, REGISTER OR DOCUMENT- EC REGS (EC or AETR)</b> | This relates to any record or entry required to be made for the purposes of the Community Recording Equipment Regulation. | TE160DH<br>P             | X                                | Transport Act 1968, Section 99ZE(1)(a), (2)(b) and (4)<br>a) SO-a sum not exceeding the statutory max<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(a), (2)(a)&(b) and (5), SO-5 |
|                                                                         | <b>- DRIVER</b>                                                                            |                                                                                                                           |                          |                                  |                                                                                                                                                                                                                               |
|                                                                         | <b>- OPERATOR</b>                                                                          |                                                                                                                           |                          |                                  |                                                                                                                                                                                                                               |
|                                                                         | <b>CAUSE</b>                                                                               |                                                                                                                           |                          |                                  |                                                                                                                                                                                                                               |
| 4-32.1                                                                  | <b>CAUSE</b>                                                                               |                                                                                                                           | FUE                      | N/A                              |                                                                                                                                                                                                                               |
| 4-32.2                                                                  | <b>PERMIT</b>                                                                              |                                                                                                                           | FUE                      | N/A                              |                                                                                                                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                          |                                                                                                                                                   |                                                 |                                  |                                                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                      | FURTHER GUIDANCE                                                                                                                                  | GB OPERATOR OR UK DRIVER                        | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                     |
| 4-84                                                                    | <b>WITH INTENT TO DECEIVE ALTERS A RELEVANT RECORD OR ENTRY (AETR)</b><br><br>- DRIVER<br><br><br>- OPERATOR                             | This relates to any record or entry required to be made for the purposes of the EU Tachographs Regulation or Section 97 of the Transport Act 1968 | TE160DH<br>P<br>&<br>MSI<br><br>FUE<br>&<br>MSI | X<br><br><br>N/A                 | Section 99ZE(1)(b), (2)(a) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: -<br><br>Transport Act 1968, Section 99ZE(1)(b), (2)(a) and (5), SO-5             |
| 4-84.1                                                                  | <b>CAUSE</b>                                                                                                                             |                                                                                                                                                   | FUE                                             | N/A                              |                                                                                                                                                                                                                         |
| 4-84.2                                                                  | <b>PERMIT</b>                                                                                                                            |                                                                                                                                                   | FUE                                             | N/A                              |                                                                                                                                                                                                                         |
| 4-33A                                                                   | <b>WITH INTENT TO DECEIVE ALTERS A RELEVANT ENTRY IN A BOOK, REGISTER OR DOCUMENT (EC or AETR)</b><br><br>- DRIVER<br><br><br>- OPERATOR | This relates to any entry in a book, register or document kept or carried for the purposes of applicable Community Rules.                         | TE160DH<br>P<br><br>FUE                         | X<br><br><br>N/A                 | Transport Act 1968, Section 99ZE(1)(b), (2)(b) & (4)<br>a) SO-a sum not exceeding the statutory max<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(b), (2)(b) and (5), SO-5 |
| 4-33A.1                                                                 | <b>CAUSE</b>                                                                                                                             |                                                                                                                                                   | FUE                                             | N/A                              |                                                                                                                                                                                                                         |
| 4-33A.2                                                                 | <b>PERMIT</b>                                                                                                                            |                                                                                                                                                   | FUE                                             | N/A                              |                                                                                                                                                                                                                         |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                               |                                                                                                                                                   |                          |                                  |                                                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                           | FURTHER GUIDANCE                                                                                                                                  | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                     |
| 4-33H                                                                   | <b>WITH INTENT TO DECEIVE ALTERS ANY DATA STORED ON RECORDING EQUIPMENT OR DRIVER CARD OR APPEARING ON ANY COPY OF DATA PREVIOUSLY SO STORED (EC or AETR)</b> | This offence includes any data appearing on any copy of data previously so stored.                                                                |                          |                                  | Transport Act 1968, Section 99ZE(3)(c) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(3)(c) and (5), SO-5                |
|                                                                         | - DRIVER                                                                                                                                                      |                                                                                                                                                   | TE160DH<br>P<br>&<br>MSI | X                                |                                                                                                                                                                                                                         |
|                                                                         | - OPERATOR                                                                                                                                                    |                                                                                                                                                   | FUE<br>&<br>MSI          | N/A                              |                                                                                                                                                                                                                         |
| 4-33H.1                                                                 | CAUSE                                                                                                                                                         |                                                                                                                                                   | FUE                      | N/A                              |                                                                                                                                                                                                                         |
| 4-33H.2                                                                 | PERMIT                                                                                                                                                        |                                                                                                                                                   | FUE                      | N/A                              |                                                                                                                                                                                                                         |
| 4-85                                                                    | <b>DESTROYS OR SUPPRESSES A RELEVANT RECORD OR ENTRY (AETR)</b>                                                                                               | This relates to any record or entry required to be made for the purposes of the EU Tachographs Regulation or Section 97 of the Transport Act 1968 |                          |                                  | Transport Act 1968, Section 99ZE(1)(c), (2)(a) & (4)<br>a) SO-a sum not exceeding the statutory max<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(c), (2)(a) and (5), SO-5 |
|                                                                         | - DRIVER                                                                                                                                                      |                                                                                                                                                   | TE160DH<br>P & MSI       | X                                |                                                                                                                                                                                                                         |
|                                                                         | - OPERATOR                                                                                                                                                    |                                                                                                                                                   | FUE<br>& MSI             | N/A                              |                                                                                                                                                                                                                         |
| 4-85.1                                                                  | CAUSE                                                                                                                                                         |                                                                                                                                                   | FUE                      | N/A                              |                                                                                                                                                                                                                         |
| 4-85.2                                                                  | PERMIT                                                                                                                                                        |                                                                                                                                                   | FUE                      | N/A                              |                                                                                                                                                                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                     |                                                                                                                            |                          |                                  |                                                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                 | FURTHER GUIDANCE                                                                                                           | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                     |
| 4-33C                                                                   | <b>DESTROYS OR SUPPRESSES AN ENTRY IN A BOOK, REGISTER OR DOCUMENT (EC or AETR)</b>                 | This relates to any entry in a book, register or document kept or carried for the purposes of applicable Community Rules.  |                          |                                  | Transport Act 1968, Section 99ZE(1)(c), (2)(b) & (4)<br>a) SO-a sum not exceeding the statutory max<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(c), (2)(b) and (5), SO-5 |
|                                                                         | <b>- DRIVER</b>                                                                                     |                                                                                                                            | TE160DHP                 | X                                |                                                                                                                                                                                                                         |
|                                                                         | <b>- OPERATOR</b>                                                                                   |                                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                                         |
|                                                                         | <b>CAUSE</b>                                                                                        |                                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                                         |
| 4-33C.1                                                                 |                                                                                                     |                                                                                                                            |                          |                                  |                                                                                                                                                                                                                         |
| 4-33C.2                                                                 | <b>PERMIT</b>                                                                                       |                                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                                         |
| 4-33K                                                                   | <b>DESTROYS OR SUPPRESSES ANY DATA STORED ON RECORDING EQUIPMENT OR ON DRIVER CARD (EC or AETR)</b> | This offence applies to any data stored in compliance with the Community rules on recording equipment or on a driver card. |                          |                                  | Transport Act 1968, Section 99ZE(3)(e) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(3)(e) and (5), SO-5                |
|                                                                         | <b>- DRIVER</b>                                                                                     |                                                                                                                            | TE160DHP & MSI           | X                                |                                                                                                                                                                                                                         |
|                                                                         | <b>- OPERATOR</b>                                                                                   |                                                                                                                            | FUE & MSI                | N/A                              |                                                                                                                                                                                                                         |
|                                                                         | <b>CAUSE</b>                                                                                        |                                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                                         |
| 4-33K.1                                                                 |                                                                                                     |                                                                                                                            |                          |                                  |                                                                                                                                                                                                                         |
| 4-33K.2                                                                 | <b>PERMIT</b>                                                                                       |                                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                |                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                                                                          |
|-------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                            | FURTHER GUIDANCE                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                      |
| 4-86                                                                    | <b>FAILS, WITHOUT REASONABLE EXCUSE, TO MAKE A RELEVANT RECORD OR ENTRY (AETR)</b>                             | This relates to any <b>omission</b> in a record or failure to make an entry on a record required to be made for the purposes of the AETR Tachographs Regulation or Section 97 of the Transport Act 1968 | TE160DH<br>P<br>&<br>MSI | X                                | Transport Act 1968, Section 99ZE(1)(d), (2)(a) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(d)(2)(a) and (5), SO-5   |
|                                                                         | - DRIVER                                                                                                       |                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                                                                          |
|                                                                         | - OPERATOR                                                                                                     |                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                                                                          |
|                                                                         | CAUSE                                                                                                          |                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                                                                          |
| 4-86.1                                                                  | CAUSE                                                                                                          |                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                                                                                                                          |
| 4-86.2                                                                  | PERMIT                                                                                                         |                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                                                                                                                          |
| 4-33E                                                                   | <b>FAILS, WITHOUT REASONABLE EXCUSE, TO MAKE A RELEVANT ENTRY IN A BOOK, REGISTER OR DOCUMENT (EC or AETR)</b> | This relates to any entry in a book, register or document kept or carried for the purposes of applicable Community Rules                                                                                | TE160DH<br>P             | X                                | Transport Act 1968, Section 99ZE(1)(d), (2)(b) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(1)(d), (2)(b) and (5), SO-5 |
|                                                                         | - DRIVER                                                                                                       |                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                                                                          |
|                                                                         | - OPERATOR                                                                                                     |                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                                                                          |
|                                                                         | CAUSE                                                                                                          |                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                                                                          |
| 4-33E.1                                                                 | CAUSE                                                                                                          |                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                                                                                                                          |
| 4-33E.2                                                                 | PERMIT                                                                                                         |                                                                                                                                                                                                         | FUE                      | N/A                              |                                                                                                                                                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                          |                  |                                                 |                                  |                                                                                                                                                                                                                               |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                      | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER                        | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                           |
| 4-33L                                                                   | <b>FAILS, WITHOUT REASONABLE EXCUSE, TO RECORD ANY DATA ON RECORDING EQUIPMENT OR ON A DRIVER CARD (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>                                      |                  | TE160DH<br>P<br>&<br>MSI<br><br>FUE<br>&<br>MSI | X<br><br>FUE                     | Transport Act 1968, Section 99ZE(3)(f) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>Permit: - Transport Act 1968, Section 99ZE(3)(f) and (5), SO-5                      |
| 4-33L.1                                                                 | <b>CAUSE</b>                                                                                                                                                                                             |                  | FUE                                             | N/A                              |                                                                                                                                                                                                                               |
| 4-33L.2                                                                 | <b>PERMIT</b>                                                                                                                                                                                            |                  | FUE                                             | N/A                              |                                                                                                                                                                                                                               |
| 4-33J                                                                   | <b>WITH INTENT TO DECEIVE PRODUCES ANYTHING FALSELY PURPORTING TO BE A HARD COPY OF DATA STORED ON RECORDING EQUIPMENT OR A DRIVER CARD (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | TE160DH<br>P<br><br>FUE                         | X<br><br>N/A                     | Transport Act 1968, Section 99ZE(3)(d) & (4)<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both<br><br>There are no offences of causing or permitting someone to produce hard copy of data |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                             |                                                                                |                          |                                  |                                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                         | FURTHER GUIDANCE                                                               | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                        |
| 4-87                                                                    | <b>FALSIFYING, SUPPRESSING OR DESTROYING DATA RECORDED ON A RECORD SHEET, STORED IN THE RECORDING EQUIPMENT OR ON THE DRIVER CARD, OR PRINT OUTS (AETR)</b><br><br>- DRIVER |                                                                                | TE160DH<br>P<br>&<br>MSI | X                                | Article 12(8) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968, SO-5 |
| 4-87.1                                                                  | - OPERATOR                                                                                                                                                                  |                                                                                | FUE                      | N/A                              |                                                                                                                                                                                            |
| 4-87.2                                                                  | CAUSE                                                                                                                                                                       |                                                                                | FUE                      | N/A                              |                                                                                                                                                                                            |
| 4-87.3                                                                  | PERMIT                                                                                                                                                                      |                                                                                | FUE                      | N/A                              |                                                                                                                                                                                            |
| 4-137                                                                   | <b>USING A FALSE ATTESTATION LETTER (AETR)</b><br><br>- DRIVER<br><br><br>- OPERATOR                                                                                        | An attestation letter in accordance with Article 11(3) of Directive 2006/22/EC | P<br><br><br>FUE         | X<br><br><br>N/A                 | Forgery and Counterfeiting Act 1981, Section 3, 6 & 9 SO-Stat Max or 6 months prison, or both IND-10 years max prison                                                                      |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS              |                                                                                                                       |                                                                                                                  |                          |                                  |                                                                                                                                                                                               |
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| PLS CODE                                                                             | OFFENCE DESCRIPTION                                                                                                   | FURTHER GUIDANCE                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                           |
| AETR - RECORDS – FAIL TO RETURN / ENSURE RETURN OF RECORDS / FAIL TO KEEP FOR 1 YEAR |                                                                                                                       |                                                                                                                  |                          |                                  |                                                                                                                                                                                               |
| 4-88                                                                                 | <b>DRIVER FAILS TO RETURN RECORD SHEETS/MANUAL RECORD/PRINTOUT TO THE TRANSPORT UNDERTAKING WITHIN 42 DAYS (AETR)</b> | If exceptional circumstances prevailed and/or the offence is unlikely to be repeated<br><br>Otherwise than above | VW<br><br>FUE            | VW<br><br>N/A                    | Transport Act 1968, Section 97C(1)(a), (b), (2) & (4) SO-4                                                                                                                                    |
| 4-89                                                                                 | <b>TRANSPORT UNDERTAKING FAILS TO SECURE RETURN OF RECORD SHEETS / MANUAL RECORD / PRINTOUT WITHIN 42 DAYS (AETR)</b> | If exceptional circumstances prevailed and/or the offence is unlikely to be repeated<br><br>Otherwise than above | VW<br><br>FUE            | N/A<br><br>N/A                   | Transport Act 1968, Section 97C(1)(a) (b), (2) & (5) SO-4                                                                                                                                     |
| 4-90                                                                                 | <b>OPERATOR FAIL TO KEEP RECORD SHEETS AND / OR PRINTOUTS AT LEAST ONE YEAR (AETR)</b>                                | Documents are required to be kept in chronological order and in a legible form.                                  | FUE                      | N/A                              | Article 11(2)(a) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968, SO-5 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                                                  |                                                                                                                                     |                              |                                |                                  |                                                                                                                                                                                                                                                                                |
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| PLS CODE                                                                                                                 | OFFENCE DESCRIPTION                                                                                                                 | FURTHER GUIDANCE             | GB OPERATOR OR UK DRIVER       | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                                            |
| AETR - DRIVERS CARDS – MORE THAN ONE / DEFECTIVE / EXPIRED / CORRECT FUNCTIONING / PROPER USE OF / APPLY FOR REPLACEMENT |                                                                                                                                     |                              |                                |                                  |                                                                                                                                                                                                                                                                                |
| 4-91                                                                                                                     | IN POSSESSION OF MORE THAN ONE DRIVER CARD ON WHICH HE IS IDENTIFIED AS THE HOLDER (AETR)                                           |                              | £50 FP                         | £50 FP                           | Article 11(4) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968<br><br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both |
| 4-91.1                                                                                                                   | OPERATOR                                                                                                                            |                              | FUE                            | N/A                              |                                                                                                                                                                                                                                                                                |
| 4-91.2                                                                                                                   | CAUSE                                                                                                                               |                              | FUE                            | N/A                              |                                                                                                                                                                                                                                                                                |
| 4-91.3                                                                                                                   | PERMIT                                                                                                                              |                              | FUE                            | N/A                              |                                                                                                                                                                                                                                                                                |
|                                                                                                                          | <i>Cause or permit offences also include using or attempting to use more than one card on which he is identified as the holder.</i> |                              |                                |                                  |                                                                                                                                                                                                                                                                                |
| 4-92                                                                                                                     | USES, OR ATTEMPTS TO USE, A DRIVER CARD ON WHICH HE IS NOT IDENTIFIED AS THE HOLDER (AETR)                                          | If offence is <b>current</b> | TE160DH<br>£300 FP<br>&<br>MSI | GV170<br>£300 FP<br>&<br>MSI     | Article 11(4) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968<br><br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both |
|                                                                                                                          |                                                                                                                                     | <b>Historical offences</b>   | P                              | VW                               |                                                                                                                                                                                                                                                                                |
| 4-92.1                                                                                                                   | OPERATOR                                                                                                                            |                              | FUE                            | N/A                              |                                                                                                                                                                                                                                                                                |
| 4-92.2                                                                                                                   | CAUSE                                                                                                                               |                              | FUE                            | N/A                              |                                                                                                                                                                                                                                                                                |
| 4-92.3                                                                                                                   | PERMIT                                                                                                                              |                              | FUE                            | N/A                              |                                                                                                                                                                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                               |                                                                               |                                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER                                                      | NON GB OPERATOR OR NON UK DRIVER                                              | REG                                                                                                                                                                                        |
| 4-93                                                                    | <b>USE A DEFECTIVE DRIVER CARD (AETR)</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><br><br><br><b>- OPERATOR</b> | <p>If offence is <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> <p>If offence was <b>current</b></p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>TE160DH<br/>£100 FP</p> <p>VW</p> <p>P</p> <p>FUE</p> <p>AL</p> <p>FUE</p> | <p>GV170<br/>£100 FP</p> <p>VW</p> <p>VW</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Article 11(4) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968, SO-5 |
| 4-93.1                                                                  | <b>CAUSE</b>                                                                                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | FUE                                                                           | N/A                                                                           |                                                                                                                                                                                            |
| 4-93.2                                                                  | <b>PERMIT</b>                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | FUE                                                                           | N/A                                                                           |                                                                                                                                                                                            |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                 |                                                 |                                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                      | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER                        | NON GB OPERATOR OR NON UK DRIVER                | REG                                                                                                                                                                                        |
| 4-94                                                                    | <b>USE AN EXPIRED DRIVER CARD (AETR)</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><br><br><br><b>- OPERATOR</b> | <p>Driver card expired whilst in the VU and <b>is</b> removed at the earliest opportunity after it became expired (i.e. when next stopping for a break/rest or to undertake other work)</p> <p>Driver card expired whilst in the VU and <b>is not</b> removed at the earliest opportunity after it became expired (i.e. when next stopping for a break/rest or to undertake other work)</p> <p>Driver card expired whilst in the VU and <b>is</b> removed at the earliest opportunity after it became expired (i.e. when next stopping for a break/rest or to undertake other work)</p> <p>Driver card expired whilst in the VU and <b>is not</b> removed at the earliest opportunity after it became expired (i.e. when next stopping for a break/rest or to undertake other work)</p> | <p>NFA</p> <p>£100 FP</p> <p>NFA</p> <p>FUE</p> | <p>NFA</p> <p>£100 FP</p> <p>N/A</p> <p>N/A</p> | Article 11(4) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968, SO-5 |
| 4-94.1                                                                  | <b>CAUSE</b>                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | FUE                                             | N/A                                             |                                                                                                                                                                                            |
| 4-94.2                                                                  | <b>PERMIT</b>                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | FUE                                             | N/A                                             |                                                                                                                                                                                            |
|                                                                         |                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                 |                                                 |                                                                                                                                                                                            |
|                                                                         |                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                 |                                                 |                                                                                                                                                                                            |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                        |                                                                                                                                                                                                                                                        |                                                 |                                                |                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                        | NON GB OPERATOR OR NON UK DRIVER               | REG                                                                                                                                                                                     |
| 4-95                                                                    | <b>FAIL TO ENSURE CORRECT FUNCTIONING OF DRIVER CARD (AETR)</b><br><br><b>- DRIVER</b> | If wilful and offence is <b>current</b><br><br>If caused by lack of knowledge<br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists  | TE160DH<br>£100 FP<br><br>VW<br><br>VW<br><br>P | GV170<br>£100 FP<br><br>VW<br><br>VW<br><br>VW | Article 10 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968, SO-5 |
| 4-95.1                                                                  | <b>- OPERATOR</b>                                                                      | If wilful and offence was <b>current</b><br><br>If caused by lack of knowledge<br><br><b>Historical offences</b><br><br>- Due to lack of knowledge or no suspicious motive<br><br>- Where suspicion of motive to disguise more serious offences exists | FUE<br><br>AL<br><br><br>AL<br><br>FUE          | N/A<br><br>N/A<br><br><br>N/A<br><br>N/A       |                                                                                                                                                                                         |
| 4-95.2                                                                  | <b>CAUSE</b>                                                                           |                                                                                                                                                                                                                                                        | FUE                                             | N/A                                            |                                                                                                                                                                                         |
| 4-95.3                                                                  | <b>PERMIT</b>                                                                          |                                                                                                                                                                                                                                                        | FUE                                             | N/A                                            |                                                                                                                                                                                         |
|                                                                         |                                                                                        |                                                                                                                                                                                                                                                        |                                                 |                                                |                                                                                                                                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                        |                                                                      |                          |                                  |                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                    | FURTHER GUIDANCE                                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                     |
| 4-96                                                                    | <b>FAIL TO ENSURE PROPER USE OF DRIVER CARD (AETR)</b> | If wilful and offence is <b>current</b>                              | TE160DH<br>£200 FP       | GV170<br>£200 FP                 | Article 10 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968, SO-5 |
|                                                                         | <b>- DRIVER</b>                                        | If caused by lack of knowledge                                       | VW                       | VW                               |                                                                                                                                                                                         |
|                                                                         |                                                        | <b>Historical offences</b>                                           |                          |                                  |                                                                                                                                                                                         |
|                                                                         |                                                        | - Due to lack of knowledge or no suspicious motive                   | VW                       | VW                               |                                                                                                                                                                                         |
|                                                                         |                                                        | - Where suspicion of motive to disguise more serious offences exists | P                        | VW                               |                                                                                                                                                                                         |
| 4-96.1                                                                  | <b>- OPERATOR</b>                                      | If wilful and offence was <b>current</b>                             | FUE                      | N/A                              |                                                                                                                                                                                         |
|                                                                         |                                                        | If caused by lack of knowledge                                       | AL                       | N/A                              |                                                                                                                                                                                         |
|                                                                         |                                                        | <b>Historical offences</b>                                           |                          |                                  |                                                                                                                                                                                         |
|                                                                         |                                                        | - Due to lack of knowledge or no suspicious motive                   | AL                       | N/A                              |                                                                                                                                                                                         |
|                                                                         |                                                        | - Where suspicion of motive to disguise more serious offences exists | FUE                      | N/A                              |                                                                                                                                                                                         |
| 4-96.2                                                                  | <b>CAUSE</b>                                           |                                                                      | FUE                      | N/A                              |                                                                                                                                                                                         |
| 4-96.3                                                                  | <b>PERMIT</b>                                          |                                                                      | FUE                      | N/A                              |                                                                                                                                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                  |                                                                  |                          |                                  |                                                                                                                                                                                            |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                              | FURTHER GUIDANCE                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                        |
| 4-97                                                                    | <b>FAIL TO APPLY FOR A REPLACEMENT DRIVER CARD WITHIN 7 DAYS – DRIVER (AETR)</b> | When driver was driving a vehicle with <b>digital</b> equipment  | £50 FP                   | £50 FP                           | Article 12(1) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968, SO-5 |
| 4-98                                                                    | <b>FAIL TO APPLY FOR A REPLACEMENT DRIVER CARD WITHIN 7 DAYS – DRIVER (AETR)</b> | When driver was driving a vehicle with <b>analogue</b> equipment | £50 FP                   | £50 FP                           | Article 12(1) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968, SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS            |                                                                                                                         |                  |                          |                                  |                                                                                                                                                                                                                                                                                      |
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| PLS CODE                                                                           | OFFENCE DESCRIPTION                                                                                                     | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                                                  |
| <b>AETR - DRIVERS CARDS – FORGED OR ALTERED / FALSE DECLARATION TO OBTAIN CARD</b> |                                                                                                                         |                  |                          |                                  |                                                                                                                                                                                                                                                                                      |
| 4-99                                                                               | <b>USES, OR IS IN POSSESSION OF, A DRIVER CARD WHICH HAS BEEN FORGED OR ALTERED</b>                                     |                  | P & MSI                  | X                                | Article 11(4) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968, SO-5<br><br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both |
| 4-99.1                                                                             | <b>OPERATOR</b>                                                                                                         |                  | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                      |
| 4-99.2                                                                             | <b>CAUSE</b>                                                                                                            |                  | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                      |
| 4-99.3                                                                             | <b>PERMIT</b>                                                                                                           |                  | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                      |
| 4-100                                                                              | <b>USES OR IS IN POSSESSION OF A DRIVER CARD OBTAINED ON THE BASIS OF A FALSE DECLARATION OR FORGED DOCUMENT (AETR)</b> |                  | P                        | X                                | Article 11(4) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) Transport Act 1968, SO-5<br><br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both |
| 4-100.1                                                                            | <b>OPERATOR</b>                                                                                                         |                  | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                      |
| 4-100.2                                                                            | <b>CAUSE</b>                                                                                                            |                  | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                      |
| 4-100.3                                                                            | <b>PERMIT</b>                                                                                                           |                  | FUE                      | N/A                              |                                                                                                                                                                                                                                                                                      |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                              |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                          |
| <b>AETR - RECORDS – DOWNLOADING OF VU / CARD</b>                        |                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                              |
| 4-136                                                                   | <b>TRANSPORT UNDERTAKING FAILS WITHOUT DELAY, ON THE REQUEST OF AN EXAMINER, TO DOWNLOAD DATA FROM THE VEHICLE UNIT OR DRIVER CARD (AETR)</b>                                                                             | If genuine reason is given and the requested item(s) is/are produced within reasonable timescale<br><br>Otherwise than above                                                                                                                                                                                                          | NFA<br><br>FUE           | N/A<br><br>N/A                   | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97F(2)(a) & 97G Transport Act 1968, SO-5                |
| 4-101                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT RELEVANT DATA IS DOWNLOADED FROM THE VU WITHIN THE RELEVANT PERIOD IN RELATION TO: -<br/><br/>DATA WHICH HAS NOT PREVIOUSLY DOWNLOADED DATA FROM THE UNIT (AETR)</b>        | The download period starts with the first day on which the undertaking controlled the use of the vehicle and ends 90 calendar days later <b>or</b> on the day it is downloaded if this falls before the 90 <sup>th</sup> day<br><br>If genuine reason is given and there is no loss of continuity of data<br><br>Otherwise than above | NFA<br><br>FUE           | N/A<br><br>N/A                   | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97D(2)(a)(b) & (3)(1), 97(G), SO - 5 |
| 4-102                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT RELEVANT DATA IS DOWNLOADED FROM THE VU WITHIN THE RELEVANT PERIOD IN RELATION TO: -<br/><br/>USE OF THE VEHICLE IN THE 90 CALENDAR DAYS AFTER THE LAST DOWNLOAD (AETR)</b> | The download period begins on the day after the last download and ends 90 calendar days later <b>or</b> on the day it is downloaded if this falls before the 90 <sup>th</sup> day<br><br>If genuine reason is given and there is no loss of continuity of data<br><br>Otherwise than above                                            | NFA<br><br>FUE           | N/A<br><br>N/A                   | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97D(2)(a)(b) & (3)(2), 97(G), SO - 5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                          |                          |                                  |                                                                                                                                                                                                              |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                          |
| 4-103                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT RELEVANT DATA IS DOWNLOADED FROM THE VU WITHIN THE RELEVANT PERIOD IN RELATION TO: -</b><br><br><b>VEHICLES NOT USED IN THE 90 CALENDAR DAYS FOLLOWING THE LAST DOWNLOAD (AETR)</b> | The downloading period begins on the first day of the undertaking's use of the vehicle after the last download and ends 56 calendar days later <b>or</b> on the day it is downloaded if this falls before the <sup>th</sup> day<br><br>If genuine reason is given and there is no loss of continuity of data<br><br>Otherwise than above | NFA<br><br>FUE           | N/A<br><br>N/A                   | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97D(2)(a)(b) & (3)(3), 97(G), SO - 5 |
| 4-104                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU IMMEDIATELY BEFORE TRANSFERRING CONTROL OF THE USE OF THE VEHICLE TO ANOTHER PERSON (AETR)</b>                                          | If genuine reason is given and the missing data is subsequently recovered<br><br>Otherwise than above                                                                                                                                                                                                                                    | NFA<br><br>FUE           | N/A<br><br>N/A                   | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97D(4)(a), 97(G), SO - 5             |
| 4-105                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU WITHOUT DELAY UPON PERMANENTLY REMOVING THE UNIT FROM SERVICE IN THE VEHICLE (AETR)</b>                                                 | If genuine reason is given and the missing data is subsequently recovered<br><br>Otherwise than above                                                                                                                                                                                                                                    | NFA<br><br>FUE           | N/A<br><br>N/A                   | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97D(4)(b), 97(G), SO - 5             |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                   |                          |                                  |                                                                                                                                                                                                  |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                  | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                              |
| 4-106                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU WITHOUT DELAY UPON BECOMING AWARE THAT THE UNIT IS MALFUNCTIONING (AETR)</b>                                                                                            | <p>Does not apply when, because of the malfunctioning of the unit, it is impossible to download the data in which case a Certificate of Undownloadability must be produced to show downloading was not possible.</p> <p>If genuine reason is given and the missing data is subsequently recovered</p> <p>Otherwise than above</p> | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97D(4)(c), 97(G), SO - 5 |
| 4-107                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL RELEVANT DATA IS DOWNLOADED FROM THE VU WITHOUT DELAY IN ANY CIRCUMSTANCES SUCH THAT THE IMMINENT ERASURE OF THE DATA BY THE UNIT, IN THE NORMAL COURSE OF ITS OPERATIONS, IS REASONABLY FORESEEABLE (AETR)</b> | This means the Transport undertaking has failed to download data from a VU where use of the vehicle has caused old data to be overwritten by newer data.                                                                                                                                                                          | FUE                      | N/A                              | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97D(4)(d), 97(G), SO - 5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                      |                          |                                  |                                                                                                                                                                                                                   |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                               |
| 4-108                                                                   | <p><b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM A CARD WITHIN THE RELEVANT PERIOD IN RELATION TO: -</b></p> <p><b>A CARD THAT HAS NOT PREVIOUSLY BEEN DOWNLOADED BY THE UNDERTAKING (AETR)</b></p> | <p>The downloading period begins on the first day on which the driver drives for the undertaking and ends 28 calendar days later <b>or</b> on the day it is downloaded if this falls before the 28<sup>th</sup> day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p>                                     | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97E(2) &amp; (3)(1), 97(G), SO - 5</p> |
| 4-109                                                                   | <p><b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM A CARD WITHIN THE RELEVANT PERIOD IN RELATION TO: -</b></p> <p><b>A CARD THAT HAS PREVIOUSLY BEEN DOWNLOADED BY THE UNDERTAKING (AETR)</b></p>     | <p>The downloading period begins on the first day on which the driver drives for the undertaking after the last download of the card and ends 28 calendar days later <b>or</b> on the day it is downloaded if this falls before the 28<sup>th</sup> day</p> <p>If genuine reason is given and there is no loss of continuity of data</p> <p>Otherwise than above</p> | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97E(2) &amp; (3)(2), 97(G), SO - 5</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                  |                          |                                  |                                                                                                                                                                                                   |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                             | FURTHER GUIDANCE                                                                                                                                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                               |
| 4-110                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD BEFORE THE DRIVER CEASES TO BE EMPLOYED BY THE UNDERTAKING AS A DRIVER, OR OTHERWISE TO CARRY OUT WORK FOR THE UNDERTAKING AS A DRIVER (AETR)</b>            | Driver does not need to cease employment with operator merely that he has ceased to be a driver<br><br>If driver still in operator employment and data is subsequently downloaded<br><br>Operator unable to gain access to data  | NFA<br><br>FUE           | N/A<br><br>N/A                   | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97E(4)(a) & 97(G), SO - 5 |
| 4-111                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD WITHOUT DELAY UPON BECOMING AWARE THAT THE CARD HAS BEEN DAMAGED OR IS MALFUNCTIONING (AETR)</b>                                                             | Does not apply when, because of the damage to the card or its malfunctioning, it is impossible to download the data<br><br>If genuine reason is given and the missing data is subsequently recovered<br><br>Otherwise than above | NFA<br><br>FUE           | N/A<br><br>N/A                   | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97E(4)(b) & 97(G), SO - 5 |
| 4-112                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD WITHOUT DELAY IN ANY CIRCUMSTANCES SUCH THAT THE IMMINENT ERASURE OF THE DATA, IN THE NORMAL COURSE OF USE OF THE CARD, IS REASONABLY FORESEEABLE (AETR)</b> | Does not apply when, because of the malfunctioning of the card, it is impossible to download the data<br><br>Otherwise than above                                                                                                | FUE                      | N/A                              | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97E(4)(c) & 97(G), SO - 5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                          |                                                                                                                 |                          |                                  |                                                                                                                                                                                                 |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                                      | FURTHER GUIDANCE                                                                                                | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                             |
| 4-113                                                                   | <b>TRANSPORT UNDERTAKING FAILS TO ENSURE THAT ALL DATA IS DOWNLOADED FROM THE CARD IF IT IS NOT POSSIBLE TO DO SO OTHER THAN BY MEANS OF A VEHICLE UNIT INSTALLED IN A VEHICLE, IMMEDIATELY BEFORE CEASING TO CONTROL THE USE OF THAT VEHICLE (AETR)</b> | <p>If genuine reason is given and the missing data is subsequently recovered</p> <p>Otherwise than as above</p> | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | Article 11(2)(b) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97E(4)(d) & 97(G), SO - |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                    |                                                                                         |                                                                             |                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER                                                                | NON GB OPERATOR OR NON UK DRIVER                                            | REG                                                                                                                                                                                     |
| AETR - TACHOGRAPH – NOT FITTED                                          |                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                    |                                                                                         |                                                                             |                                                                                                                                                                                         |
| 4-114                                                                   | <b>TACHOGRAPH NOT FITTED (AETR)</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><br><br><b>- OPERATOR</b> | Tachograph not fitted but vehicle exempt by Article 3 of 561/2006 or exempt by way of a derogation as per Article 13(1) of 561/2006<br><br>Otherwise than above<br><br><br><br><br>Tachograph not fitted but vehicle exempt by Article 3 of 561/2006 or exempt by way of a derogation as per Article 13(1) of 561/2006<br><br>Otherwise than above | NFA<br><br><br>TE160<br>£300 FP #<br>&<br>MSI<br><br><br>NFA<br><br><br>FUE<br>&<br>MSI | NFA<br><br><br>GV170<br>£300 FP #<br>&<br>MSI<br><br><br>N/A<br><br><br>N/A | Article 10 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97ZA(1)(a)(i), SO - 5 |
| 4-114.1                                                                 | <b>CAUSE</b>                                                                                                    |                                                                                                                                                                                                                                                                                                                                                    | FUE                                                                                     | N/A                                                                         |                                                                                                                                                                                         |
| 4-114.2                                                                 | <b>PERMIT</b>                                                                                                   |                                                                                                                                                                                                                                                                                                                                                    | FUE                                                                                     | N/A                                                                         |                                                                                                                                                                                         |
|                                                                         |                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                    |                                                                                         |                                                                             |                                                                                                                                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                               |                                                                                                                                                                                                                                                                                                           |                                                       |                                                        |                                                                                                                                                                                                  |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                          | GB OPERATOR OR UK DRIVER                              | NON GB OPERATOR OR NON UK DRIVER                       | REG                                                                                                                                                                                              |
| <b>AETR - TACHOGRAPH – SPEED TRACE MISALIGNED / IMPROPER USE</b>        |                                                                               |                                                                                                                                                                                                                                                                                                           |                                                       |                                                        |                                                                                                                                                                                                  |
| 4-115                                                                   | <b>SPEED NOT PROPERLY RECORDED - STYLI MISS-ALIGNED WITH BASE LINE (AETR)</b> | <p>If bent styli disguises speeds above those permitted:</p> <ul style="list-style-type: none"> <li>- If speed limiter defective, interfered with or not restricting vehicle to legal maximum</li> <li>- If speed limiter functioning correctly</li> </ul>                                                | <p>Go to Section 1</p> <p>AL</p>                      | <p>Go to Section 1</p> <p>N/A</p>                      | Appendix 1 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97ZA(1)(a)(ii) & 97(G), SO - 5 |
| 4-116                                                                   | <b>FAIL TO ENSURE PROPER USE OF TACHOGRAPH – ANALOGUE OR DIGITAL (AETR)</b>   | <p>If wilful and offence is <b>current</b></p> <p>If caused by lack of knowledge</p> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>TE160<br/>£200 FP</p> <p>VW</p> <p>VW</p> <p>P</p> | <p>GV170<br/>£200 FP</p> <p>VW</p> <p>VW</p> <p>VW</p> | Article 10 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968, Section 97ZA(1)(a)(iii) SO - 5        |
| 4.116.1                                                                 | <b>OPERATOR</b>                                                               | <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul>                                                                                      | <p>AL</p> <p>FUE</p>                                  | <p>N/A</p> <p>N/A</p>                                  |                                                                                                                                                                                                  |
| 4.116.2                                                                 | <b>CAUSE</b>                                                                  |                                                                                                                                                                                                                                                                                                           | FUE                                                   | N/A                                                    |                                                                                                                                                                                                  |
| 4.116.3                                                                 | <b>PERMIT</b>                                                                 |                                                                                                                                                                                                                                                                                                           | N/A                                                   | N/A                                                    |                                                                                                                                                                                                  |



# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS                         |                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |                                                          |                                                                                                                                                                                           |
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| PLS CODE                                                                                        | OFFENCE DESCRIPTION                                                                  | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                                  | NON GB OPERATOR OR NON UK DRIVER                         | REG                                                                                                                                                                                       |
| AETR - TACHOGRAPH – INCORRECT FUNCTIONING / NOT PROPERLY FITTED / INCORRECT REPAIR / NOT SEALED |                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |                                                          |                                                                                                                                                                                           |
| 4-117                                                                                           | <b>FAIL TO ENSURE CORRECT FUNCTIONING OF TACHOGRAPH – ANALOGUE OR DIGITAL (AETR)</b> | <p>If offence is <b>current and</b></p> <ul style="list-style-type: none"> <li>- unable to determine compliance with drivers' hours rules</li> <li>- incorrect functioning has not affected accurate recording of drivers' activities</li> </ul> <p><b>Historical offences</b></p> <ul style="list-style-type: none"> <li>- Due to lack of knowledge or no suspicious motive</li> <li>- Where suspicion of motive to disguise more serious offences exists</li> </ul> | <p>TE160DH<br/>£100 FP #</p> <p>VW</p> <p>VW</p> <p>P</p> | <p>GV170<br/>£100 FP #</p> <p>VW</p> <p>VW</p> <p>VW</p> | Article 10 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968, Section 97ZA(1)(a)(iii) SO - 5 |
| 4.117.1                                                                                         | <b>OPERATOR</b>                                                                      | <p>If offence was <b>current and</b></p> <ul style="list-style-type: none"> <li>- unable to determine compliance with driver's hours rules</li> <li>- incorrect functioning has not affected accurate recording of driver's activities</li> </ul>                                                                                                                                                                                                                     | <p>AL</p> <p>FUE</p>                                      | <p>N/A</p> <p>N/A</p>                                    |                                                                                                                                                                                           |
| 4.117.2                                                                                         | <b>CAUSE</b>                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | FUE                                                       | N/A                                                      |                                                                                                                                                                                           |
| 4.117.3                                                                                         | <b>PERMIT</b>                                                                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | FUE                                                       | N/A                                                      |                                                                                                                                                                                           |
|                                                                                                 |                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                           |                                                          |                                                                                                                                                                                           |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                            |                                                               |                                                                                                                                                                                              |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                 | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                                   | NON GB OPERATOR OR NON UK DRIVER                              | REG                                                                                                                                                                                          |
| 4-118                                                                   | <b>TACHOGRAPH NOT PROPERLY FITTED (AETR)</b><br><br><b>- DRIVER</b> | <p>Not fully meeting requirements of Annex I &amp; II of EU 165/2014 or Annex IB &amp; II of EU 165/2014 and:</p> <ul style="list-style-type: none"> <li>- journey is exempt under Article 3 (exemptions) or Article 13(1) (derogations) of EC 561/2006</li> <li>- journey is not exempt</li> </ul> <p>- Where the 'L' factor appears to have been omitted at the time of calibration, (recorded as 0 on the VU technical printout) but is correctly displayed on the calibration plaque and calibration certificate, and there is no other evidence of non-compliance.</p> <p>For vehicles not exceeding 3500 kgs or not more than 8 passenger seats the tachograph meets all requirements of Article 10 of the Annex to AETR <u>but is not fitted in an acceptable position*</u></p> | <p>NFA</p> <p>TE160DH – in all cases<br/>&amp;<br/>£300 FP #</p> <p>ORN</p> <p>TE160DH</p> | <p>NFA</p> <p>GV170<br/>£300 FP #</p> <p>NFA</p> <p>GV170</p> | Article 10 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968, Section 97ZA(1)(a)(i) & (ii) SO-5 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                             |                                             |                                                                                                                                                                                             |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                   | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | GB OPERATOR OR UK DRIVER                    | NON GB OPERATOR OR NON UK DRIVER            | REG                                                                                                                                                                                         |
| 4-118                                                                   | <b>TACHOGRAPH NOT PROPERLY FITTED (AETR)</b><br><br><b>- OPERATOR</b> | <p>Not fully meeting requirements of Annex I &amp; II of EU 165/2014 or Annex IB &amp; II of EU 165/2014 and:</p> <ul style="list-style-type: none"> <li>- journey is exempt under Article 3 (exemptions) or Article 13(1) (derogations) of EC 561/2006</li> <li>- journey is not exempt</li> <li>- Where the 'L' factor appears to have been omitted at the time of calibration, (recorded as 0 on the VU technical printout) but is correctly displayed on the calibration plaque and calibration certificate, and there is no other evidence of non-compliance.</li> </ul> <p>For vehicles not exceeding 3500 kgs or not more than 8 passenger seats the tachograph meets all requirements of Article 10 of the Annex to AETR <u>but is not fitted in an acceptable position*</u></p> | <p>NFA</p> <p>FUE</p> <p>ORN</p> <p>NFA</p> | <p>N/A</p> <p>N/A</p> <p>NFA</p> <p>N/A</p> | Article 10 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968, Section 97ZA(1)(a)(i) &(ii) SO-5 |
| 4-118.1                                                                 | <b>CAUSE</b>                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | FUE                                         | N/A                                         |                                                                                                                                                                                             |
| 4-118.2                                                                 | <b>PERMIT</b>                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | FUE                                         | N/A                                         |                                                                                                                                                                                             |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                  |                  |                          |                                  |                                                                                                                                                                                                                                  |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                              | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                              |
| 4-119                                                                   | <b>TACHOGRAPH REPAIRED OTHERWISE THAN IN ACCORDANCE WITH COMMUNITY RECORDING REGULATIONS – ANALOGUE (AETR)</b><br><br>- DRIVER<br><br>- OPERATOR |                  | TE160<br>£50 FP          | GV170<br>£50                     | Article 9(1) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968, Section 97ZA(1)(b), SO-5                                            |
| 4-119.1                                                                 | <b>CAUSE</b>                                                                                                                                     |                  | FUE                      | N/A                              |                                                                                                                                                                                                                                  |
| 4-119.2                                                                 | <b>PERMIT</b>                                                                                                                                    |                  | FUE                      | N/A                              |                                                                                                                                                                                                                                  |
| 4-120                                                                   | <b>TACHOGRAPH SYSTEM NOT SEALED – ANALOGUE (AETR)</b><br><br>- DRIVER<br><br>- OPERATOR                                                          |                  | TE160<br>£50 FP          | GV170<br>£50 FP                  | Article 9(2) of the Annex & paragraph B of chapter V of the annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968, Section 97ZA(1)(a)(ii) SO-5 |
| 4-120.1                                                                 | <b>CAUSE</b>                                                                                                                                     |                  | FUE                      | N/A                              |                                                                                                                                                                                                                                  |
| 4-120.2                                                                 | <b>PERMIT</b>                                                                                                                                    |                  | FUE                      | N/A                              |                                                                                                                                                                                                                                  |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                             |                  |                                                      |                                  |                                                                                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                         | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER                             | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                     |
| 4-121                                                                   | <b>TACHOGRAPH SYSTEM NOT SEALED – DIGITAL (AETR)</b><br><br><b>- DRIVER</b> |                  | TE160 – in all cases<br><br><b>&amp;</b><br>£50 FP # | GV170<br>£50 FP #                | Article 9(2) of chapter II the Annex of the annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) & paragraph 4 of part V of 165/2014.<br>Transport Act 1968, Section 97ZA(1)(a)(ii) SO-5 |
|                                                                         | <b>- OPERATOR</b>                                                           |                  | FUE                                                  | N/A                              |                                                                                                                                                                                                                                                         |
| 4-121.1                                                                 | <b>CAUSE</b>                                                                |                  | FUE                                                  | N/A                              |                                                                                                                                                                                                                                                         |
| 4-121.2                                                                 | <b>PERMIT</b>                                                               |                  | FUE                                                  | N/A                              |                                                                                                                                                                                                                                                         |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                  |                                                                                                                                                        |                               |                                  |                                                                                                                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                              | FURTHER GUIDANCE                                                                                                                                       | GB OPERATOR OR UK DRIVER      | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                      |
| AETR - TACHOGRAPH – DEFECTIVE                                           |                                                  |                                                                                                                                                        |                               |                                  |                                                                                                                                                                                          |
| 4-122                                                                   | <b>USE A VEHICLE WITH A DEFECTIVE TACHOGRAPH</b> |                                                                                                                                                        |                               |                                  | Article 10 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968, Section 97ZA(1)(a)(iii), SO-5 |
|                                                                         | <b>- DRIVER</b>                                  | <ul style="list-style-type: none"> <li>- If statutory defence applies</li> <li>- If statutory defence <b>no longer</b> applies</li> </ul>              | ORN<br><br>TE160<br>£100 FP # | NFA<br><br>GV170<br>£100 FP #    |                                                                                                                                                                                          |
|                                                                         | <b>- OPERATOR</b>                                | <ul style="list-style-type: none"> <li>- If statutory defence applies</li> <li>- If operator then fails to comply with the ORN requirements</li> </ul> | NFA<br><br>FUE                | N/A<br><br>N/A                   |                                                                                                                                                                                          |
| 4-122.1                                                                 | <b>CAUSE</b>                                     |                                                                                                                                                        | FUE                           | N/A                              |                                                                                                                                                                                          |
| 4-122.2                                                                 | <b>PERMIT</b>                                    |                                                                                                                                                        | FUE                           | N/A                              |                                                                                                                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                    |                                                       |                          |                                  |                                                                                                                                                                                                                                         |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                | FURTHER GUIDANCE                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                     |
| <b>AETR - TACHOGRAPH – CALIBRATION / 2 YEARLY CHECKS</b>                |                                                                                                    |                                                       |                          |                                  |                                                                                                                                                                                                                                         |
| 4-123                                                                   | <b>TACHOGRAPH NOT CALIBRATED (AETR)</b><br><br><b>- ANALOGUE</b>                                   | If operator fails to comply with the ORN requirements | ORN                      | VW                               | Paragraph 3(b) of chapter VI of appendix I) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968, Section 97ZA(1)(a)(ii), SO-5                |
| 4-123.1                                                                 | <b>CAUSE</b>                                                                                       |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                         |
| 4-123.2                                                                 | <b>PERMIT</b>                                                                                      |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                         |
| 4-124                                                                   | <b>TACHOGRAPH NOT CALIBRATED FOLLOWING INSTALLATION AS REQUIRED (AETR)</b><br><br><b>- DIGITAL</b> | If operator fails to comply with the ORN requirements | ORN                      | VW                               | Article 10 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Annex IB(V) (1), Council Regulation EU 165/2014, Transport Act 1968, Section 97ZA(1)(a)(ii), SO-5 |
| 4-124.1                                                                 | <b>CAUSE</b>                                                                                       |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                         |
| 4-124.2                                                                 | <b>PERMIT</b>                                                                                      |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                        |                                                       |                          |                                  |                                                                                                                                                                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                    | FURTHER GUIDANCE                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                      |
| 4-125                                                                   | <b>TACHOGRAPH NOT CALIBRATED WITHIN 2 YEARS OF PREVIOUS CALIBRATION (AETR)</b><br><br><b>- DIGITAL</b> | If operator fails to comply with the ORN requirements | ORN                      | VW                               | Article 10 of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Annex IB(VI) (4), Council Regulation EU 165/2014, Transport Act 1968, Section 97ZA(1)(a)(ii), SO-5 |
| 4-125.1                                                                 | <b>CAUSE</b>                                                                                           |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                          |
| 4-125.2                                                                 | <b>PERMIT</b>                                                                                          |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                          |
| 4-126                                                                   | <b>TACHOGRAPH NOT 2 YEARLY CHECKED – ANALOGUE (AETR)</b>                                               | If operator fails to comply with the ORN requirements | ORN                      | VW                               | Paragraph 3(a) of chapter VI of appendix I) of the Annex to European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968, Section 97ZA(1)(a)(ii), SO-5                 |
| 4-126.1                                                                 | <b>CAUSE</b>                                                                                           |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                          |
| 4-126.2                                                                 | <b>PERMIT</b>                                                                                          |                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                                          |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                 |                                                                                                                                                                     |                               |                                  |                                                                                                                                                                                                                                                          |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                             | FURTHER GUIDANCE                                                                                                                                                    | GB OPERATOR OR UK DRIVER      | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                      |
| 4-127                                                                   | <b>TACHOGRAPH INCORRECTLY CALIBRATED - INSTRUMENTED CHECK (ANALOGUE) (AETR)</b><br><br>- DRIVER | 'K' factor incorrect but speed difference is 6km/h or less and: -<br><br>- if no evidence of tampering.<br><br>- If obvious evidence of tampering (seal damage etc) | NFA<br><br>TE160 FUE          | NFA<br><br>GV170                 | paragraph 3(a) of part F of Chapter III of Appendix 1 of the Annex to the European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), contrary to Section 97ZA(1)(a)(ii) of the Transport Act 1968, SO-5 |
|                                                                         |                                                                                                 | Tachograph 'K' factor incorrect, resulting in speed difference of more than 6km/h                                                                                   | TE160 – in all cases & £50 FP | GV170 £50 FP                     |                                                                                                                                                                                                                                                          |
|                                                                         | - OPERATOR                                                                                      | 'K' factor incorrect but speed difference is 6km/h or less and: -<br><br>- if no evidence of tampering.<br><br>- If obvious evidence of tampering (seal damage etc) | NFA<br><br>FUE                | N/A<br><br>N/A                   |                                                                                                                                                                                                                                                          |
|                                                                         |                                                                                                 | Tachograph 'K' factor incorrect, resulting in speed difference of more than 6km/h                                                                                   | FUE                           | N/A                              |                                                                                                                                                                                                                                                          |
| 4-127.1                                                                 | CAUSE                                                                                           |                                                                                                                                                                     | FUE                           | N/A                              |                                                                                                                                                                                                                                                          |
| 4-127.2                                                                 | PERMIT                                                                                          |                                                                                                                                                                     | FUE                           | N/A                              |                                                                                                                                                                                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                  |                                                                                                                      |                          |                                  |                                                                                                                                                                                                                |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                              | FURTHER GUIDANCE                                                                                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                            |
| <b>AETR - TACHOGRAPH – INTERFERENCE DEVICES / FORGED SEALS</b>          |                                                                                                                                                                                                                                  |                                                                                                                      |                          |                                  |                                                                                                                                                                                                                |
| 4-128                                                                   | <b>UNAUTHORISED DEVICE PRESENT ON VEHICLE (AETR)</b>                                                                                                                                                                             | Any device that is in or on the vehicle capable of interfering with the proper operation of the recording equipment. |                          |                                  | Article 12(8) of the Annex to the European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Section 97ZA(1)(a)(iii) of the Transport Act 1968.               |
|                                                                         | - DRIVER                                                                                                                                                                                                                         |                                                                                                                      | TE160<br>P<br>&<br>MSI   | X                                |                                                                                                                                                                                                                |
|                                                                         | - OPERATOR                                                                                                                                                                                                                       |                                                                                                                      | FUE<br>&<br>MSI          | N/A                              |                                                                                                                                                                                                                |
| 4-128.1                                                                 | CAUSE                                                                                                                                                                                                                            |                                                                                                                      | FUE                      | N/A                              |                                                                                                                                                                                                                |
| 4-128.2                                                                 | PERMIT                                                                                                                                                                                                                           |                                                                                                                      | FUE                      | N/A                              |                                                                                                                                                                                                                |
| 4-129                                                                   | <b>INSTALLED DEVICE DESIGNED TO INTERFERE WITH THE PROPER OPERATION OF ANY RECORDING EQUIPMENT OR IS DESIGNED TO ENABLE FALSIFICATION, ALTERATION, DESTRUCTION OR SUPPRESSION OF DATA STORED ON THE VU OR DRIVER CARD (AETR)</b> |                                                                                                                      | FUE                      | X                                | Article 12(8) of the of the Annex to the European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 99ZE(6)(a) & (b) and (9), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                    |                          |                                  |                                                                                                                                                                                                                                                        |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                                                                          | FURTHER GUIDANCE                                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                    |
| 4-130                                                                   | <b>PRODUCED, DISTRIBUTES, ADVERTISES OR SELLS A DEVICE DESIGNED TO INTERFERE WITH THE PROPER OPERATION OF ANY RECORDING EQUIPMENT OR THAT IS DESIGNED TO ENABLE FALSIFICATION, ALTERATION, DESTRUCTION OR SUPPRESSION OF DATA STORED ON THE VU OR DRIVER CARD (AETR)</b>                     |                                                                                                                                                                                                                    | FUE                      | X                                | Article 12(8) of the of the Annex to the European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) Transport Act 1968 Sections 99ZE(6)(a) & (b) and (9) SO-5                                          |
| 4-131                                                                   | <b>PROVIDED INFORMATION TO ASSIST THE PRODUCTION OF AN UNAUTHORISED DEVICE DESIGNED TO INTERFERE WITH THE PROPER OPERATION OF ANY RECORDING EQUIPMENT OR THAT IS DESIGNED TO ENABLE FALSIFICATION, ALTERATION, DESTRUCTION OR SUPPRESSION OF DATA STORED ON THE VU OR DRIVER CARD (AETR)</b> | Any device that is designed to interfere with the proper operation of the recording equipment or which enables the falsification, alteration, destruction or suppression of data stored in the recording equipment | FUE                      | X                                | Article 12(8) of the of the Annex to the European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) of the Council on tachographs in road transport, Transport Act 1968 Sections 99ZE(7) and (9), SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                            |                  |                          |                                  |                                                                                     |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                        | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                 |
| 4-23B                                                                   | <b>FORGE, ALTER OR USE WITH INTENT TO DECEIVE A SEAL ON TACHOGRAPH SYSTEM (EC or AETR)</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                  | TE160<br>P<br><br>FUE    | X<br><br>N/A                     | Transport Act 1968, Section 97AA(1) & (2),<br>SO-Stat Max<br>IND-2 years Max prison |
| <b>AETR - MISCELLANEOUS</b>                                             |                                                                                                                                            |                  |                          |                                  |                                                                                     |
| 4-44                                                                    | <b>DRIVE VEHICLE IN CONTRAVENTION OF A PROHIBITION ISSUED IN RESPECT OF HOURS / RECORDS (EC OR AETR)</b>                                   |                  | £300 FP                  | Go to offence 13-6               | Section 99C(a) Transport Act 1968, SO-5                                             |
| 4-44.1                                                                  | <b>CAUSE</b>                                                                                                                               |                  | FUE                      | N/A                              | Section 99C(b) Transport Act 1968, SO-5                                             |
| 4-44.2                                                                  | <b>PERMIT</b>                                                                                                                              |                  | FUE                      | N/A                              |                                                                                     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 4 – DRIVERS HOURS & TACHOGRAPHS – EC or AETR REGULATED JOURNEYS |                                                                                                                                                     |                                                                                                                              |                          |                                  |                                                                                                                                                                      |
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| PLS CODE                                                                | OFFENCE DESCRIPTION                                                                                                                                 | FURTHER GUIDANCE                                                                                                             | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                  |
| 4-45                                                                    | <b>REFUSES OR FAILS TO COMPLY, WITHIN A REASONABLE TIME WITH A DIRECTION NOTICE ISSUED IN RELATION TO A DRIVERS' HOURS PROHIBITION (EC OR AETR)</b> | This offence applies to direction notices issued in relation to EC, AETR and GB domestic drivers' hour's prohibition notices | £300 FP                  | Go to offence 13-29              | Section 99C(c) Transport Act 1968, SO-5                                                                                                                              |
|                                                                         | <b>CONSPIRING TO MAKE FALSE ENTRIES ON DRIVERS RECORD SHEETS (EC OR AETR)</b>                                                                       | Go to Section 13, offence 13-10 (EC) or 13-34 (AETR)                                                                         |                          |                                  |                                                                                                                                                                      |
| 4-132                                                                   | <b>SUPPLIED RECORDING EQUIPMENT WITH NO TYPE APPROVAL CERTIFICATE IN FORCE (EC OR AETR)</b>                                                         | Investigation purposes only.                                                                                                 | N/A                      | N/A                              | European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR), Transport Act 1968 Section 97ZB(1) SO - 4.               |
| 4-135                                                                   | <b>FAIL TO TAKE ALL REASONABLE STEPS TO COMPLY WITH REQUIREMENTS OF ARTICLE 11(5) OF THE AETR</b>                                                   | Investigation purposes only.                                                                                                 | N/A                      | N/A                              | Article 11(5), European Agreement Concerning The Work Of Crews Of Vehicles Engaged In International Road Transport (AETR), Transport Act 1968, Section 96(11C), SO-4 |

SECTION 5 – GB DOMESTIC DRIVERS HOURS AND RECORDS

SECTION 5 – GB DOMESTIC HOURS AND RECORDS

| PLS CODE                 | OFFENCE DESCRIPTION                                                                                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER                                                                                          | NON GB OPERATOR OR NON UK DRIVER                                                        | REG                                                   |
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| DRIVERS HOURS - DOMESTIC |                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                   |                                                                                         |                                                       |
| 5-3                      | <b>EXCEED DAILY DRIVING</b><br><br><b>- PASSENGER AND GOODS VEHICLES</b><br><br><b>- DOMESTIC REGS</b><br><br><b>- DRIVER</b> | <p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time has been exceeded more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, e.g. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Section 96(1) & 11(a) of the Transport Act 1968, SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                                                                    |                  |                          |                                  |                                                       |
|-------------------------------------------|--------------------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|-------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                                                                | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                   |
| 5-3.1                                     | <b>EXCEED DAILY DRIVING</b><br><b>- PASSENGER AND GOODS VEHICLES</b><br><b>- DOMESTIC REGS</b><br><br><b>CAUSE</b> |                  | FUE                      | N/A                              | Section 96(1) & 11(b) of the Transport Act 1968, SO-4 |
| 5-3.2                                     | <b>PERMIT</b><br><br><b>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</b>                         |                  | FUE                      | N/A                              |                                                       |
|                                           |                                                                                                                    |                  |                          |                                  |                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                   |                                                                                         |                                                       |
|-------------------------------------------|-------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|-------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER                                                                                          | NON GB OPERATOR OR NON UK DRIVER                                                        | REG                                                   |
| 5-4                                       | <b>EXCEED DAILY DUTY</b><br><b>- GOODS VEHICLE</b><br><b>- DOMESTIC REGS</b><br><b>- DRIVER</b> | <p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time has been exceeded more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, e.g. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Section 96(3) & 11(a) of the Transport Act 1968, SO-4 |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                                                  |                  |                          |                                  |                                                       |
|-------------------------------------------|--------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|-------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                                              | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                   |
| 5-4.1                                     | <b>EXCEED DAILY DUTY</b><br><b>- GOODS VEHICLE</b><br><b>- DOMESTIC REGS</b><br><br><b>CAUSE</b> |                  | FUE                      | N/A                              | Section 96(3) & 11(b) of the Transport Act 1968, SO-4 |
| 5-4.2                                     | <b>PERMIT</b><br><br><b>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</b>       |                  | FUE                      | N/A                              |                                                       |
|                                           |                                                                                                  |                  |                          |                                  |                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

### SECTION 5 – GB DOMESTIC HOURS AND RECORDS

| PLS CODE | OFFENCE DESCRIPTION                                                                                   | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | GB OPERATOR OR UK DRIVER                                                                                          | NON GB OPERATOR OR NON UK DRIVER                                                        | REG                                                                                                                                                                      |
|----------|-------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 5-10     | <b>INSUFFICIENT BREAK</b><br><b>- PASSENGER VEHICLES</b><br><b>- DOMESTIC REGS</b><br><b>- DRIVER</b> | <p>If the time has been exceeded by less than 15 minutes: -</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If time has been exceeded by more than 15 minutes and offence is <b>current</b> - unless valid justification is given, i.e. genuine traffic congestion or emergency</p> <p><b>Current / Historical offence FP</b></p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Section 96(2) &amp; (11)(a) of the Transport Act 1968 as modified by Art 4(2) of the Drivers Hours ((Passenger and Goods Vehicles) Modifications Order 1971, SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                                                        |                  |                          |                                  |                                                                                                                                                               |
|-------------------------------------------|--------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                                                    | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                           |
| 5-10.1                                    | <b>INSUFFICIENT BREAK</b><br><b>- PASSENGER VEHICLES</b><br><b>- DOMESTIC REGS</b><br><br><b>CAUSE</b> |                  | FUE                      | N/A                              | Section 96(2) & (11)(b) of the Transport Act 1968 as modified by Art 4(2) of the Drivers Hours ((Passenger and Goods Vehicles) Modifications Order 1971, SO-4 |
| 5-10.2                                    | <b>PERMIT</b><br><br><b>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</b>             |                  | FUE                      | N/A                              |                                                                                                                                                               |
|                                           |                                                                                                        |                  |                          |                                  |                                                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

### SECTION 5 – GB DOMESTIC HOURS AND RECORDS

| PLS CODE | OFFENCE DESCRIPTION                                                                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                                                                                          | NON GB OPERATOR OR NON UK DRIVER                                                        | REG                                                                                                                                                                    |
|----------|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 5-4A     | <b>EXCEED 16 HOURS DAILY DUTY</b><br><b>- PASSENGER VEHICLES</b><br><b>- DOMESTIC REGS</b><br><b>- DRIVER</b> | <p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time has been exceeded more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, e.g. finish of duty and is very close to base:-</p> <p><b>Current / Historical offence FP</b></p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Section 96(3)(c)&amp;(11) of the Transport Act 1968 as modified by Art 4(3) of the Drivers Hours ((Passenger and Goods Vehicles) Modifications Order 1971, SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                                                                |                  |                          |                                  |                                                                                                                                                             |
|-------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                                                            | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                         |
| 5-4A.1                                    | <b>EXCEED 16 HOURS DAILY DUTY</b><br><b>- PASSENGER VEHICLES</b><br><b>- DOMESTIC REGS</b><br><br><b>CAUSE</b> |                  | FUE                      | N/A                              | Section 96(3)(c)&(11) of the Transport Act 1968 as modified by Art 4(3) of the Drivers Hours ((Passenger and Goods Vehicles) Modifications Order 1971, SO-4 |
| 5-4A.2                                    | <b>PERMIT</b><br><br><b>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</b>                     |                  | FUE                      | N/A                              |                                                                                                                                                             |
|                                           |                                                                                                                |                  |                          |                                  |                                                                                                                                                             |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                   |                                                                                         |                                                                                                                                                              |
|-------------------------------------------|------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                                                        | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER                                                                                          | NON GB OPERATOR OR NON UK DRIVER                                                        | REG                                                                                                                                                          |
| 5-7                                       | <b>FAIL TO TAKE DAILY REST</b><br><b>- PASSENGER VEHICLES</b><br><b>- DOMESTIC REGS</b><br><b>- DRIVER</b> | <p>If driving time has been exceeded by up to 15 minutes:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If driving time has been exceeded more than 15 minutes and offence is <b>current</b> - unless a reasonable justification is given, e.g. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <p>Over 15 minutes - up to 1 hour</p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hours</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Section 96(4) of the Transport Act 1968 modified by Article 4(4) of the Drivers' Hours (Passengers and Goods Vehicles) Modifications Order 1971, SO-4</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                                                             |                  |                          |                                  |                                                                                                                                                       |
|-------------------------------------------|-------------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                                                         | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                   |
| 5-7.1                                     | <b>FAIL TO TAKE DAILY REST</b><br><b>- PASSENGER VEHICLES</b><br><b>- DOMESTIC REGS</b><br><br><b>CAUSE</b> |                  | FUE                      | N/A                              | Section 96(4) of the Transport Act 1968 modified by Article 4(4) of the Drivers' Hours (Passengers and Goods Vehicles) Modifications Order 1971, SO-4 |
| 5-7.2                                     | <b>PERMIT</b><br><br><b>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</b>                  |                  | FUE                      | N/A                              |                                                                                                                                                       |
|                                           |                                                                                                             |                  |                          |                                  |                                                                                                                                                       |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

## SECTION 5 – GB DOMESTIC HOURS AND RECORDS

| PLS CODE | OFFENCE DESCRIPTION                                                                                              | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER                                                                                          | NON GB OPERATOR OR NON UK DRIVER                                                        | REG                                                                                                                                                                        |
|----------|------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 5-9      | <b>FAIL TO TAKE FORTNIGHTLY REST</b><br><b>- PASSENGER VEHICLES</b><br><b>- DOMESTIC REGS</b><br><b>- DRIVER</b> | <p>If weekly rest insufficient by less than 1 hour:</p> <ul style="list-style-type: none"> <li>- in isolated cases</li> <li>- If apparent that the tolerance is being deliberately abused on regular basis</li> <li>- If a continued journey is suspected and offence is <b>current</b></li> </ul> <p>If weekly rest period insufficient by more than 1 hour and offence is <b>current</b> - unless a reasonable justification is given, e.g. finish of duty and is very close to base: -</p> <p><b>Current / Historical offence FP</b></p> <p>Over 1 hour - up to 2 hours</p> <p>Over 2 hour - up to 3 hours</p> <p>Over 3 hours</p> | <p>VW</p> <p>P</p> <p>TE160DH</p> <p>TE160DH</p> <p><b>&amp;</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Section 96(6) &amp; (11)(a) of the Transport Act 1968 modified by Article 4(4) of the Drivers' Hours (Passengers and Goods Vehicles) Modifications Order 1971, SO-4</p> |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                                            |                  |                          |                                  |                                                                                                                                                                 |
|-------------------------------------------|--------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                                        | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                             |
| 5-9.1                                     | <b>FAIL TO TAKE FORTNIGHTLY REST</b><br><b>- PASSENGER VEHICLES</b><br><br><b>CAUSE</b>    |                  | FUE                      | N/A                              | Section 96(6) & (11)(b) of the Transport Act 1968 modified by Article 4(6) of the Drivers' Hours (Passengers and Goods Vehicles) Modifications Order 1971, SO-4 |
| 5-9.2                                     | <b>PERMIT</b><br><br><b>- BY EMPLOYER OR PERSON TO WHOSE ORDERS THE DRIVER WAS SUBJECT</b> |                  | FUE                      | N/A                              |                                                                                                                                                                 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                      |                                                                                                                                          |                          |                                  |                                                                         |
|-------------------------------------------|----------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                  | FURTHER GUIDANCE                                                                                                                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                     |
| FALSIFIED RECORDS                         |                                                                      |                                                                                                                                          |                          |                                  |                                                                         |
| 5-2B                                      | <b>KNOWINGLY MAKES A FALSE ENTRY IN A BOOK, REGISTER OR DOCUMENT</b> | This offence relates to any book, register or document required to be kept or carried for the purposes of Section 98, Transport Act 1968 |                          |                                  | Transport Act 1968, Section 99(5) SO-Prescribed sum<br>IND-Prison 2 yrs |
|                                           | - DRIVER                                                             | If the offence is current                                                                                                                | TE160DH<br>P             | N/A                              |                                                                         |
|                                           |                                                                      | If the offence is not current                                                                                                            | P                        | N/A                              |                                                                         |
|                                           | - OPERATOR                                                           |                                                                                                                                          | FUE                      | N/A                              |                                                                         |
| 5-2B.1                                    | <b>CAUSE</b>                                                         |                                                                                                                                          | FUE                      | N/A                              |                                                                         |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                              |                                                                                                                                                                                                 |                          |                                  |                                                                                                                                    |
|-------------------------------------------|------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                          | FURTHER GUIDANCE                                                                                                                                                                                | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                |
| FAIL TO KEEP / PRODUCE RECORDS            |                                                                              |                                                                                                                                                                                                 |                          |                                  |                                                                                                                                    |
| 5-1                                       | <b>FAIL TO KEEP A CURRENT RECORD</b>                                         | In exceptional circumstances e.g. driver just started and / or valid reason given that can be supported.                                                                                        | VW                       | N/A                              | Regulation 7(4) Drivers' Hours (Goods Vehicles) (Keeping of Records) Regulations 1987 & Section 98 of the Transport Act 1968, SO-4 |
|                                           |                                                                              | Otherwise than above                                                                                                                                                                            | TE160DH<br>£300 FP       | N/A                              |                                                                                                                                    |
| 5-1.1                                     | <b>CAUSE</b>                                                                 |                                                                                                                                                                                                 | FUE                      | N/A                              |                                                                                                                                    |
| 5-2                                       | <b>FAIL TO PRODUCE RECORD BOOK OR TACHOGRAPH RECORD SHEETS IF BEING USED</b> |                                                                                                                                                                                                 |                          |                                  | Section 99(4)(a) & (b) of the Transport Act 1968, SO-3                                                                             |
|                                           | <b>- DRIVER</b>                                                              | Certain circumstances e.g. first day of driving due to absence / holiday / other duties                                                                                                         | VW                       | N/A                              |                                                                                                                                    |
|                                           |                                                                              | Otherwise than above                                                                                                                                                                            | TE160DH<br>£300 FP*      | N/A                              |                                                                                                                                    |
|                                           | <b>- OPERATOR</b>                                                            | Certain circumstances e.g. first day of driving due to absence / holiday / other duties                                                                                                         | AL                       | N/A                              |                                                                                                                                    |
|                                           |                                                                              | Otherwise than above                                                                                                                                                                            | FUE                      | N/A                              |                                                                                                                                    |
|                                           |                                                                              | <b>*If tachograph record sheets are being used than only 1 fixed penalty notice is to be issued no matter how many records are not produced and only if the current record is not produced.</b> |                          |                                  |                                                                                                                                    |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                             |                                                                                                                    |                          |                                  |                                                                                                                                     |
|-------------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                         | FURTHER GUIDANCE                                                                                                   | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                 |
| RECORDS – ISSUE / EXAMINE / SUBMIT        |                                                             |                                                                                                                    |                          |                                  |                                                                                                                                     |
| 5-1A                                      | FAIL TO ISSUE A DRIVER'S RECORD BOOK                        |                                                                                                                    | FUE                      | N/A                              | Regulation 6(1) Drivers' Hours (Goods Vehicles) (Keeping of Records) Regulations 1987 Section 98(4) of the Transport Act 1968, SO-4 |
| 5-2A                                      | FAIL TO EXAMINE AND SIGN DRIVERS WEEKLY RECORD SHEET        | In exceptional circumstances and / or valid reason given that can be supported and no drivers hours offences found | NFA                      | N/A                              | Regulation 8(2) Drivers Hours (Goods Vehicles) (Keeping of Records) Regulations 1987. Section 98(4) of the Transport Act 1968, SO-4 |
|                                           |                                                             | Otherwise than as above                                                                                            | FUE                      | N/A                              |                                                                                                                                     |
| 5-6                                       | FAIL TO SUBMIT WEEKLY RECORD SHEET FOR EXAMINATION (DRIVER) | In exceptional circumstances and / or valid reason given that can be supported and no drivers hours offences found | VW                       | N/A                              | Regulation 9(2) Drivers Hours (Goods Vehicles) (Keeping of Records) Regulations 1987. Section 98(4) of the Transport Act 1968, SO-4 |
|                                           |                                                             | Otherwise than above                                                                                               | £300 FP                  | N/A                              |                                                                                                                                     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 5 – GB DOMESTIC HOURS AND RECORDS |                                                                                                                                  |                  |                          |                                  |                                         |
|-------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|-----------------------------------------|
| PLS CODE                                  | OFFENCE DESCRIPTION                                                                                                              | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                     |
| MISCELLANEOUS                             |                                                                                                                                  |                  |                          |                                  |                                         |
| 4-44                                      | DRIVE VEHICLE IN CONTRAVENTION OF A PROHIBITION ISSUED IN RESPECT OF HOURS / RECORDS                                             |                  | £300 FP                  | Go to offence 13-6               | Section 99C(a) Transport Act 1968       |
| 4-44.1                                    | CAUSE                                                                                                                            |                  | FUE                      | N/A                              | Section 99C(b) Transport Act 1968       |
| 4-44.2                                    | PERMIT                                                                                                                           |                  | FUE                      | N/A                              |                                         |
| 4-45                                      | REFUSES OR FAILS TO COMPLY, WITHIN A REASONABLE TIME, WITH A DIRECTION NOTICE ISSUED IN RELATION TO A DRIVERS' HOURS PROHIBITION |                  | £300 FP                  | Go to offence 13-29              | Section 99C(c) Transport Act 1968, SO-5 |

**SECTION 6 – GOODS VEHICLE OPERATOR LICENSING**

**SECTION 6 – GOODS VEHICLE OPERATOR LICENSING**

| PLS CODE                                                                                      | OFFENCE DESCRIPTION                                                 | FURTHER GUIDANCE                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER     | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                          |
|-----------------------------------------------------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>NO GOODS OPERATOR LICENCE / WRONG TYPE OF LICENCE FOR GOOD CARRIED / CABOTAGE OFFENCES</b> |                                                                     |                                                                                                                                                                                                                                                                                |                              |                                  |                                                                                                                                                              |
| 6-11                                                                                          | <b>NO OPERATORS LICENCE - OWN GOODS</b>                             | <p>If an offence is not likely to be repeated or operator has no prior knowledge of 'O' Licensing</p> <p>If a change of legal entity has taken place and an application has been submitted for new entity but unlicensed in the interim period</p> <p>Otherwise than above</p> | <p>AL</p> <p>AL</p> <p>P</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> | Sections 2(1)(b) & (5) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-5                                                                         |
| 6-11A                                                                                         | <b>AID, ABET, COUNSEL OR PROCURE UNAUTHORISED USE – OWN ACCOUNT</b> |                                                                                                                                                                                                                                                                                | FUE                          | N/A                              | Sections 2(1)(b) & (5) of the Goods Vehicles (Licensing of Operators) Act 1995 and Section 44 of the Magistrates' Courts Act 1980, IND - 10 years max Prison |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 6 – GOODS VEHICLE OPERATOR LICENSING |                                                                                                   |                                                                                                                                                                                                                                                                                |                              |                                  |                                                                                                                                         |
|----------------------------------------------|---------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                     | OFFENCE DESCRIPTION                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER     | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                     |
| 6-12                                         | <b>NO OPERATORS LICENCE - HIRE OR REWARD</b>                                                      | <p>If an offence is not likely to be repeated or operator has no prior knowledge of 'O' Licensing</p> <p>If a change of legal entity has taken place and an application has been submitted for new entity but unlicensed in the interim period</p> <p>Otherwise than above</p> | <p>AL</p> <p>AL</p> <p>P</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> | Sections 2(1)(b) & (5) of the Goods Vehicles (Licensing of Operators) Act 1995 and Section 44 of the Magistrates' Courts Act 1980, SO-5 |
| 6-12A                                        | <b>AID, ABET, COUNSEL OR PROCURE UNAUTHORISED USE - HIRE AND REWARD</b>                           |                                                                                                                                                                                                                                                                                | FUE                          | N/A                              | Sections 2(1)(a) & (5) of the Goods Vehicles (Licensing of Operators) Act 1995 and Section 44 of the Magistrates' Courts Act 1980, SO-5 |
| 6-14                                         | <b>USING A VEHICLE ON A RESTRICTED OPERATORS LICENCE WHILST CARRYING GOODS FOR HIRE OR REWARD</b> | Operator carrying goods for hire or reward when only the holder of a Restricted Operators Licence                                                                                                                                                                              | FUE                          | N/A                              | Section 3(6) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-a fine not exceeding £500                                      |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 6 – GOODS VEHICLE OPERATOR LICENSING                                                          |                                                                                                                                                |                                                                                                                                     |                          |                                  |                                                                                                    |
|-------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|----------------------------------------------------------------------------------------------------|
| PLS CODE                                                                                              | OFFENCE DESCRIPTION                                                                                                                            | FURTHER GUIDANCE                                                                                                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                |
| 6-15                                                                                                  | <b>USING A VEHICLE ON AN INTERNATIONAL JOURNEY CARRYING GOODS FOR HIRE OR REWARD WHILST ONLY HOLDING A STANDARD NATIONAL OPERATORS LICENCE</b> | Operator carrying goods for hire or reward on an international journey when only the holder of a Standard National Operator Licence | FUE                      | N/A                              | Section 3(7) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-a fine not exceeding £500 |
| <b>USE MORE VEHICLES THAN AUTHORISED / OUTSIDE OF ONE MONTH GRACE / UNAUTHORISED OPERATING CENTRE</b> |                                                                                                                                                |                                                                                                                                     |                          |                                  |                                                                                                    |
| 6-12.1                                                                                                | <b>USE MORE VEHICLES THAN THE MAXIMUM NUMBER SPECIFIED IN THE LICENCE</b>                                                                      |                                                                                                                                     | FUE                      | N/A                              | Sections 6(3) & (8) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4                  |
| 6-12.2                                                                                                | <b>USE OUTSIDE ONE MONTH GRACE</b>                                                                                                             |                                                                                                                                     | FUE                      | N/A                              | Section 5(6) and 2(1) & (5) of the Goods Vehicles (Licensing of Operators Act ) 1995, SO-4         |
| 6-18                                                                                                  | <b>USE OF AN UNAUTHORISED OPERATING CENTRE</b>                                                                                                 |                                                                                                                                     | FUE                      | N/A                              | Section 7(1) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4                         |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 6 – GOODS VEHICLE OPERATOR LICENSING              |                                                                                                     |                  |                          |                                  |                                                                                                                                                                        |
|-----------------------------------------------------------|-----------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                                  | OFFENCE DESCRIPTION                                                                                 | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                    |
| <b>FAIL TO DISPLAY DISC</b>                               |                                                                                                     |                  |                          |                                  |                                                                                                                                                                        |
| 6-13                                                      | <b>FAIL TO DISPLAY AN OPERATORS LICENCE</b>                                                         |                  | VW                       | N/A                              | Regulations 23(3) & 32 of the Goods Vehicles (Licensing of Operators) Regulations 1995 and Section 57(9) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-1 |
| <b>FAIL TO COMPLY WITH CONDITIONS ATTACHED TO LICENCE</b> |                                                                                                     |                  |                          |                                  |                                                                                                                                                                        |
| 6-16                                                      | <b>FAIL TO COMPLY WITH CONDITIONS FOR SECURING ROAD SAFETY THAT HAVE BEEN ATTACHED TO A LICENCE</b> |                  | FUE                      | N/A                              | Section 21(6) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4                                                                                            |
| 6-17                                                      | <b>FAIL TO COMPLY WITH ENVIRONMENTAL CONDITIONS THAT HAVE BEEN ATTACHED TO A LICENCE</b>            |                  | FUE                      | N/A                              | Section 23(6) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4                                                                                            |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 6 – GOODS VEHICLE OPERATOR LICENSING  |                                                                                                         |                                                                                                                                            |                          |                                  |                                                                                                                                                                     |
|-----------------------------------------------|---------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                      | OFFENCE DESCRIPTION                                                                                     | FURTHER GUIDANCE                                                                                                                           | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                 |
| <b>FAIL TO NOTIFY TC OF CHANGES</b>           |                                                                                                         |                                                                                                                                            |                          |                                  |                                                                                                                                                                     |
| 6-16A                                         | <b>FAIL TO NOTIFY THE TRAFFIC COMMISSIONER OF CHANGES IN BUSINESS CONDITIONS.</b>                       |                                                                                                                                            | FUE                      | N/A                              | Section 22(6) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4                                                                                         |
| 6-18A                                         | <b>FAIL TO NOTIFY TRAFFIC COMMISSIONER, WITHIN 28 DAYS, OF AN EFFECTIVE ADDRESS FOR CORRESPONDENCE.</b> |                                                                                                                                            | FUE                      | N/A                              | Regulations 25 & 32 of the Goods Vehicles (Licensing of Operators) Regulations 1995 and Section 57(9) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-1 |
| 6-20                                          | <b>FAIL TO REMOVE VEHICLE FROM AN OPERATOR'S LICENCE WITHIN 21 DAYS.</b>                                | Operator has failed to notify TC that he has ceased to use a specified vehicle and has failed to return the disc and licence for variation | FUE                      | N/A                              | Reg. 28(1) & 32 of the Goods Vehicles (Licensing of Operators) Regulations 1995 and Section 57(9) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-1     |
| <b>USING / LENDING WITH INTENT TO DECEIVE</b> |                                                                                                         |                                                                                                                                            |                          |                                  |                                                                                                                                                                     |
| 6-3                                           | <b>USE AN OPERATORS LICENCE WITH INTENT TO DECEIVE</b>                                                  |                                                                                                                                            | FUE                      | N/A                              | Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995<br>SO-Statutory Max, IND – 2 yrs prison, or fine, or both                            |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 6 – GOODS VEHICLE OPERATOR LICENSING |                                                                                                            |                  |                          |                                  |                                                                                                                                                               |
|----------------------------------------------|------------------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                     | OFFENCE DESCRIPTION                                                                                        | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                           |
| 6-4                                          | LENDS TO, OR ALLOWS AN OPERATORS LICENCE TO BE USED BY ANOTHER PERSON WITH INTENT TO DECEIVE               |                  | FUE                      | N/A                              | Section 38(1)(b) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995<br>SO-Statutory Max, IND – 2 yrs prison, or fine, or both                      |
| 6-8                                          | USED AN OPERATOR'S LICENCE IDENTITY DISC WITH INTENT TO DECEIVE                                            |                  | FUE                      | N/A                              | Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995<br>SO-Statutory Max,<br>IND – 2 yrs prison, or fine, or both                   |
| 6-8A                                         | AID, ABET, COUNSEL OR PROCURE USE OF AN OPERATOR'S LICENCE IDENTITY DISC WITH INTENT TO DECEIVE            |                  | FUE                      | N/A                              | Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995 and Section 44 of the Magistrates' Courts Act 1980, IND - 10 years Max. Prison |
| 6-9                                          | LENDS TO, OR ALLOWS AN OPERATORS LICENCE IDENTITY DISC TO BE USED BY ANOTHER PERSON WITH INTENT TO DECEIVE |                  | FUE                      | N/A                              | Section 38(1)(b) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995<br>SO-Statutory Max, IND – 2 yrs prison, or fine, or both                      |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 6 – GOODS VEHICLE OPERATOR LICENSING |                                                                                            |                  |                          |                                  |                                                                                                                                          |
|----------------------------------------------|--------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                     | OFFENCE DESCRIPTION                                                                        | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                      |
| FALSE DOCUMENTS / STATEMENTS                 |                                                                                            |                  |                          |                                  |                                                                                                                                          |
| 6-1                                          | <b>FORGED AN OPERATORS LICENCE WITH INTENT TO DECEIVE</b>                                  |                  | FUE                      | N/A                              | Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995<br>SO-Statutory Max, IND – 2 yrs prison, or fine, or both |
| 6-2                                          | <b>ALTERED AN OPERATORS LICENCE WITH INTENT TO DECEIVE</b>                                 |                  | FUE                      | N/A                              | Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995<br>SO-Statutory Max, IND - 2yrs prison, or fine, or both  |
| 6-5                                          | <b>MAKES, OR HAS IN HIS POSSESSION, A DOCUMENT CLOSELY RESEMBLING AN OPERATORS LICENCE</b> |                  | FUE                      | N/A                              | Section 38(1)(c) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995<br>SO-Statutory Max, IND – 2 yrs prison, or fine, or both |
| 6-6                                          | <b>FORGED AN OPERATORS LICENCE IDENTITY DISC WITH INTENT TO DECEIVE</b>                    |                  | FUE                      | N/A                              | Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995<br>SO-Statutory Max, IND – 2 yrs prison, or fine, or both |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 6 – GOODS VEHICLE OPERATOR LICENSING |                                                                                                   |                                                                                                                                                                                                         |                          |                                  |                                                                                                                                                                        |
|----------------------------------------------|---------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                     | OFFENCE DESCRIPTION                                                                               | FURTHER GUIDANCE                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                    |
| 6-7                                          | ALTERED AN OPERATORS LICENCE IDENTITY DISC WITH INTENT TO DECEIVE                                 |                                                                                                                                                                                                         | FUE                      | N/A                              | Section 38(1)(a) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995<br>SO-Statutory Max, IND – 2 yrs prison, or fine, or both                               |
| 6-10                                         | MAKES, OR HAS IN HIS POSSESSION, A DOCUMENT CLOSELY RESEMBLING AN OPERATORS LICENCE IDENTITY DISC |                                                                                                                                                                                                         | FUE                      | N/A                              | Section 38(1)(c) & (3) of the Goods Vehicles (Licensing of Operators) Act 1995<br>SO-Statutory Max, IND – 2 yrs prison, or fine, or both                               |
| 6-21                                         | ALTERING AN OPERATOR'S LICENCE IDENTITY DISC                                                      | Write on, or make any other alteration, to an Operator's Licence Identity Disc <b>without</b> intent to deceive<br><br>If alteration made in innocence and isolated offence<br><br>Otherwise than above | AL<br><br>FUE            | N/A<br><br>N/A                   | Regulations 23(4) & 32 of the Goods Vehicles (Licensing of Operators) Regulations 1995 and Section 57(9) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-1 |
| 6-19                                         | FALSE STATEMENT TO OBTAIN OR VARY AN OPERATORS LICENCE                                            |                                                                                                                                                                                                         | FUE                      | N/A                              | Sections 39(1) & (2) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-4                                                                                     |

SECTION 7 – PSV OPERATOR LICENSING

SECTION 7 – PSV OPERATOR LICENSING

| PLS CODE                           | OFFENCE DESCRIPTION                                                            | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER     | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                        |
|------------------------------------|--------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------|
| UNAUTHORISED USE & FAIL TO DISPLAY |                                                                                |                                                                                                                                                                                                                                                                                                                                                                                              |                              |                                  |                                                                                                                            |
| 7-1                                | <b>NO PSV OPERATOR'S LICENCE</b>                                               | <p>Eight passenger seats or less and charging separate fares <b>or</b> more than eight passenger seats: -</p> <p>If an offence is not likely to be repeated or operator has no prior knowledge of 'O' Licensing</p> <p>If a change of legal entity has taken place and an application has been submitted for new entity but unlicensed in the interim period</p> <p>Otherwise than above</p> | <p>AL</p> <p>AL</p> <p>P</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> | Part II of The Public Passenger Vehicles Act 1981 (Section 12(1)), SO-4                                                    |
| 7-1                                | <b>NO PSV OPERATOR'S LICENCE – NON-UK VEHICLE CONDUCTING DOMESTIC CARRIAGE</b> | Eight passenger seats or less and charging separate fares <b>or</b> more than eight passenger seats                                                                                                                                                                                                                                                                                          | N/A                          | CO                               | Part II of The Public Passenger Vehicles Act 1981 (Section 12(1)), SO-4                                                    |
| 7-1A                               | <b>AID, ABET, COUNSEL OR PROCURE UNAUTHORISED USE OF PSV</b>                   |                                                                                                                                                                                                                                                                                                                                                                                              | FUE                          | N/A                              | Part II of The Public Passenger Vehicles Act 1981 (Section 12(1)) and Section 44 of the Magistrates' Courts Act 1980, SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 7 – PSV OPERATOR LICENSING     |                                                                                               |                                                                                      |                          |                                  |                                                                                                 |
|----------------------------------------|-----------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------------------------------|
| PLS CODE                               | OFFENCE DESCRIPTION                                                                           | FURTHER GUIDANCE                                                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                             |
| 7-1B                                   | USE MORE PUBLIC SERVICE VEHICLES THAN AUTHORISED.                                             |                                                                                      | FUE                      | N/A                              | Section 16(1) & (7) of The Public Passenger Vehicles Act 1981, SO-3                             |
| 7-2                                    | FAIL TO DISPLAY OPERATOR’S LICENCE DISC                                                       | A deliberate act with suspicion of motive -e.g. using more vehicles than authorised. | FUE                      | N/A                              | Section 18 of The Public Passenger Vehicles Act 1981, SO-3                                      |
|                                        |                                                                                               | Otherwise than above                                                                 | AL                       | N/A                              |                                                                                                 |
| USING / LENDING WITH INTENT TO DECEIVE |                                                                                               |                                                                                      |                          |                                  |                                                                                                 |
| 7-5                                    | USED AN OPERATORS LICENCE WITH INTENT TO DECEIVE                                              |                                                                                      | FUE                      | N/A                              | Section 65(2) of The Public Passenger Vehicles Act 1981<br>SO-Statutory Max<br>IND-2 yrs prison |
| 7-6                                    | LENDS TO, OR ALLOWS, AN OPERATORS LICENCE TO BE USED BY ANOTHER PERSON WITH INTENT TO DECEIVE |                                                                                      | FUE                      | N/A                              | Section 65(2) of The Public Passenger Vehicles Act 1981<br>SO-Statutory Max<br>IND-2 yrs prison |
| 7-10                                   | USED AN OPERATORS DISC WITH INTENT TO DECEIVE                                                 |                                                                                      | FUE                      | N/A                              | Section 65(2) of The Public Passenger Vehicles Act 1981<br>SO-Statutory Max<br>IND-2 yrs prison |
| 7-11                                   | LENDS TO, OR ALLOWS, AN OPERATORS DISC TO BE USED BY ANOTHER PERSON WITH INTENT TO DECEIVE    |                                                                                      | FUE                      | N/A                              | Section 65(2) of The Public Passenger Vehicles Act 1981<br>SO-Statutory Max<br>IND-2 yrs prison |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 7 – PSV OPERATOR LICENSING |                                                                                            |                  |                          |                                  |                                                                                                 |
|------------------------------------|--------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------------------------------|
| PLS CODE                           | OFFENCE DESCRIPTION                                                                        | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                             |
| FALSE DOCUMENTS / STATEMENTS       |                                                                                            |                  |                          |                                  |                                                                                                 |
| 7-3                                | <b>FORGED AN OPERATORS LICENCE WITH INTENT TO DECEIVE</b>                                  |                  | FUE                      | N/A                              | Section 65(2) of The Public Passenger Vehicles Act 1981<br>SO-Statutory Max<br>IND-2yrs prison  |
| 7-4                                | <b>ALTERED AN OPERATORS LICENCE WITH INTENT TO DECEIVE</b>                                 |                  | FUE                      | N/A                              | Section 65(2) of The Public Passenger Vehicles Act 1981<br>SO-Statutory Max<br>IND-2 yrs prison |
| 7-7                                | <b>MAKES, OR HAS IN HIS POSSESSION, A DOCUMENT CLOSELY RESEMBLING AN OPERATORS LICENCE</b> |                  | FUE                      | N/A                              | Section 65(2) of The Public Passenger Vehicle Act 1981<br>SO-Statutory Max<br>IND-2 yrs prison  |
| 7-8                                | <b>FORGED AN OPERATORS DISC WITH INTENT TO DECEIVE</b>                                     |                  | FUE                      | N/A                              | Section 65(2) of The Public Passenger Vehicles Act 1981<br>SO-Statutory Max<br>IND-2 yrs prison |
| 7-9                                | <b>ALTERED AN OPERATORS DISC WITH INTENT TO DECEIVE</b>                                    |                  | FUE                      | N/A                              | Section 65(2) of The Public Passenger Vehicles Act 1981<br>SO-Statutory Max<br>IND-2 yrs prison |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 7 – PSV OPERATOR LICENSING |                                                                                         |                                                                                                                                                                                                                |                          |                                  |                                                                                                                                                       |
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| PLS CODE                           | OFFENCE DESCRIPTION                                                                     | FURTHER GUIDANCE                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                   |
| 7-12                               | <b>MAKES, OR HAS IN HIS POSSESSION, A DOCUMENT CLOSELY RESEMBLING AN OPERATORS DISC</b> |                                                                                                                                                                                                                | FUE                      | N/A                              | Section 65(2) of The Public Passenger Vehicles Act 1981<br>SO-Statutory Max<br>IND-2 yrs prison                                                       |
| 7-13                               | <b>FALSE STATEMENT TO OBTAIN AN OPERATORS LICENCE</b>                                   |                                                                                                                                                                                                                | FUE                      | N/A                              | Section 66(a) of The Public Passenger Vehicles Act 1981, SO-4                                                                                         |
| 7-14                               | <b>FALSE STATEMENT TO OBTAIN A CERTIFICATE OF INITIAL FITNESS</b>                       |                                                                                                                                                                                                                | FUE                      | N/A                              | Section 66(b) of The Public Passenger Vehicles Act 1981, SO-4                                                                                         |
| 7-15                               | <b>ALTERING AN OPERATOR LICENCE DISC</b>                                                | <p>Write on, or make any other alteration, to an Operator's Licence Identity Disc <b>without</b> intent to deceive</p> <p>If alteration made in innocence and isolated offence</p> <p>Otherwise than above</p> | <p>AL</p> <p>FUE</p>     | <p>N/A</p> <p>N/A</p>            | Regulation 13(3) of The Public Service Vehicles (Operators Licences) Regulations 1995, and Section 67 of The Public Passenger Vehicles Act 1981, SO-2 |

SECTION 8 – PLATING AND TESTING

SECTION 8 – PLATING AND TESTING

| PLS CODE       | OFFENCE DESCRIPTION                                | FURTHER GUIDANCE                                                                                                                                                                          | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                           |
|----------------|----------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------|
| <b>PLATING</b> |                                                    |                                                                                                                                                                                           |                          |                                  |                                                                                                                               |
| 8-1            | <b>NO MINISTRY PLATE DISPLAYED – GOODS VEHICLE</b> | A vehicle to which the European Community Whole Vehicle Type Approval (ECWVTA) regulations for goods vehicles applies.<br><br>If operator, then fails to comply with the ORN requirements | ORN<br><br>FUE           | <br><br>N/A<br>N/A               | Regulation 70(1) of the Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988, SO-4 |
| 8-1.1          | <b>CAUSE</b>                                       |                                                                                                                                                                                           | FUE                      | N/A                              |                                                                                                                               |
| 8-1.2          | <b>PERMIT</b>                                      |                                                                                                                                                                                           | FUE                      | N/A                              |                                                                                                                               |
|                |                                                    |                                                                                                                                                                                           |                          |                                  |                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 8 – PLATING AND TESTING |                                                    |                                                                                                                                                                                                    |                          |                                  |                                                                                                                                                     |
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| PLS CODE                        | OFFENCE DESCRIPTION                                | FURTHER GUIDANCE                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                 |
| 8-2                             | <b>NO MINISTRY PLATE DISPLAYED - TRAILER</b>       | If operator, then fails to comply with the ORN requirements                                                                                                                                        | ORN                      | N/A                              | Regulation 70(1) of the Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988, SO-4                       |
|                                 |                                                    |                                                                                                                                                                                                    | FUE                      | N/A                              |                                                                                                                                                     |
| 8-2.1                           | <b>CAUSE</b>                                       |                                                                                                                                                                                                    | FUE                      | N/A                              |                                                                                                                                                     |
| 8-2.2                           | <b>PERMIT</b>                                      |                                                                                                                                                                                                    | FUE                      | N/A                              |                                                                                                                                                     |
| 8-3                             | <b>NO MANUFACTURER'S PLATE DISPLAYED - VEHICLE</b> | Plate not required for a vehicle manufactured prior to January 1968<br><br>If Ministry plate fitted<br><br>Otherwise than above<br><br>If operator, then fails to comply with the ORN requirements |                          |                                  | Regulation 66(2) and Schedule 8 Part 1 of the Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988, SO-4 |
|                                 |                                                    |                                                                                                                                                                                                    | VW                       | N/A                              |                                                                                                                                                     |
|                                 |                                                    |                                                                                                                                                                                                    | ORN                      | N/A                              |                                                                                                                                                     |
|                                 |                                                    |                                                                                                                                                                                                    | FUE                      | N/A                              |                                                                                                                                                     |
| 8-3.1                           | <b>CAUSE</b>                                       |                                                                                                                                                                                                    | FUE                      | N/A                              |                                                                                                                                                     |
| 8-3.2                           | <b>PERMIT</b>                                      |                                                                                                                                                                                                    | FUE                      | N/A                              |                                                                                                                                                     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 8 – PLATING AND TESTING |                                                          |                                                             |                          |                                  |                                                                                                                                               |
|---------------------------------|----------------------------------------------------------|-------------------------------------------------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION                                      | FURTHER GUIDANCE                                            | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                           |
| 8-4                             | <b>NO MANUFACTURER'S PLATE - TRAILER</b>                 | If Ministry plate fitted                                    | VW                       | N/A                              | Regulation 66(2) and Schedule 8 Part 2 of the Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988 |
|                                 |                                                          | Other than above                                            | ORN                      | N/A                              |                                                                                                                                               |
|                                 |                                                          | If operator, then fails to comply with the ORN requirements | FUE                      | N/A                              |                                                                                                                                               |
| 8-4.1                           | <b>CAUSE</b>                                             |                                                             | FUE                      | N/A                              |                                                                                                                                               |
| 8-4.2                           | <b>PERMIT</b>                                            |                                                             | FUE                      | N/A                              |                                                                                                                                               |
| 8-9                             | <b>NO PLATING CERTIFICATE – GOODS VEHICLE</b>            |                                                             | FUE                      | N/A                              | The Goods Vehicles (Plating & Testing) Regulations 1988, Regulation 9, Road Traffic Act 1988, Section 53(1), SO-3                             |
| 8-9.1                           | <b>CAUSE</b>                                             |                                                             | FUE                      | N/A                              |                                                                                                                                               |
| 8-9.2                           | <b>PERMIT</b>                                            |                                                             | FUE                      | N/A                              |                                                                                                                                               |
| 8-14A                           | <b>FAIL TO PRODUCE GOODS VEHICLE PLATING CERTIFICATE</b> | Where such a certificate has been issued                    | FUE                      | N/A                              | Section 165(1), (2)(c) & (3) of the Road Traffic Act 1988 SO-3                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 8 – PLATING AND TESTING |                                                         |                                                                                                            |                          |                                  |                                                                                                                                                                                                               |
|---------------------------------|---------------------------------------------------------|------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION                                     | FURTHER GUIDANCE                                                                                           | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                           |
| 8-15                            | FAIL TO SUBMIT A GOODS VEHICLE FOR MOT PLATING          |                                                                                                            | FUE                      | N/A                              | Regulation 4 of The Goods Vehicles (Plating & Testing) Regulations 1988 and Section 53(1)(a) & (b) Road Traffic Act 1988, SO – 3<br><br>Cause or permit - Section 53(1)(b) of the Road Traffic Act 1988, SO-3 |
| 8-15.1                          | CAUSE                                                   |                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                               |
| 8-15.2                          | PERMIT                                                  |                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                               |
| 8-11                            | FAILURE TO NOTIFY ALTERATIONS TO A GOODS VEHICLE        | Minor changes, e.g. registration number (cherish transfer).<br><br>Otherwise than above, e.g. add on axle. | VW<br><br>FUE            | N/A<br><br>N/A                   | Regulation 30, The Goods Vehicles (Plating & Testing) Regulations 1988 and Section 53(3)(a) of the Road Traffic Act 1988, SO-3<br><br>Cause or permit - Section 53(3)(b) of the Road Traffic Act 1988, SO-3   |
| 8-11.1                          | CAUSE                                                   |                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                               |
| 8-11.2                          | PERMIT                                                  |                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                               |
| TESTING                         |                                                         |                                                                                                            |                          |                                  |                                                                                                                                                                                                               |
| 8-5                             | NO GOODS VEHICLE TEST CERTIFICATE IN FORCE (FIRST TEST) |                                                                                                            | FUE & MSI                | N/A                              | Regulation 9 of The Goods Vehicles (Plating & Testing) Regulations 1988, and Section 53(2) of the Road Traffic Act 1988, SO-4                                                                                 |
| 8-5.1                           | CAUSE                                                   |                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                               |
| 8-5.2                           | PERMIT                                                  |                                                                                                            | FUE                      | N/A                              |                                                                                                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 8 – PLATING AND TESTING |                                                                                                 |                  |                          |                                  |                                                                                                                                                                      |
|---------------------------------|-------------------------------------------------------------------------------------------------|------------------|--------------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION                                                                             | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                  |
| 8-6                             | <b>NO GOODS VEHICLE TEST CERTIFICATE IN FORCE - PERIODICAL TEST</b>                             |                  | FUE & MSI                | N/A                              | Regulation 10 of The Goods Vehicles (Plating & Testing) Regulations 1988, and Section 53(2) of the Road Traffic Act 1988, SO-4                                       |
| 8-6.1                           | <b>CAUSE</b>                                                                                    |                  | FUE                      | N/A                              |                                                                                                                                                                      |
| 8-6.2                           | <b>PERMIT</b>                                                                                   |                  | FUE                      | N/A                              |                                                                                                                                                                      |
| 8-6A                            | <b>AID, ABET, COUNSEL OR PROCURE USE OF A GOODS VEHICLE WITH NO PERIODICAL TEST CERTIFICATE</b> |                  | FUE                      | N/A                              | Reg 10 of The Goods Vehicles (Plating & Testing) Regulations 1988, Section 53(2) of the Road Traffic Act 1988 and Section 44 of the Magistrates Courts Act 1980 SO-4 |
| 8-7                             | <b>NO GOODS VEHICLE TEST CERTIFICATE IN FORCE (TRAILER) - FIRST TEST</b>                        |                  | FUE & MSI                | N/A                              | Regulation 9 of The Goods Vehicles (Plating & Testing) Regulations 1988, and Section 53(2) of the Road Traffic Act 1988, SO-4                                        |
| 8-7.1                           | <b>CAUSE</b>                                                                                    |                  | FUE                      | N/A                              |                                                                                                                                                                      |
| 8-7.2                           | <b>PERMIT</b>                                                                                   |                  | FUE                      | N/A                              |                                                                                                                                                                      |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 8 – PLATING AND TESTING |                                                                               |                                                                    |                          |                                  |                                                                                                                                |
|---------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------|--------------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION                                                           | FURTHER GUIDANCE                                                   | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                            |
| 8-8                             | <b>NO GOODS VEHICLE TEST CERTIFICATE IN FORCE (TRAILER) - PERIODICAL TEST</b> | Check database to establish validity of trailer test certificate   | FUE & MSI                | N/A                              | Regulation 10 of The Goods Vehicles (Plating & Testing) Regulations 1988, and Section 53(2) of the Road Traffic Act 1988, SO-4 |
| 8-8.1                           | <b>CAUSE</b>                                                                  |                                                                    | FUE                      | N/A                              |                                                                                                                                |
| 8-8.2                           | <b>PERMIT</b>                                                                 |                                                                    | FUE                      | N/A                              |                                                                                                                                |
| 8-5A                            | <b>NO LIGHT GOODS VEHICLE TEST CERTIFICATE IN FORCE - PERIODICAL TEST</b>     | Check database to establish validity of trailer test certificate   | FUE                      | N/A                              | Regulation 5(1) of The Motor Vehicles (Tests) Regulations 1981, and Section 47(1) of the Road Traffic Act 1988, SO-3           |
| 8-5A.1                          | <b>CAUSE</b>                                                                  |                                                                    | FUE                      | N/A                              |                                                                                                                                |
| 8-5A.2                          | <b>PERMIT</b>                                                                 |                                                                    | FUE                      | N/A                              |                                                                                                                                |
| 8-10                            | <b>FAIL TO DISPLAY TEST DATE DISC - TRAILER</b>                               | Database checked to establish validity of trailer test certificate | N/A                      | N/A                              | Road Vehicles (Construction & Use) Regulations 1986, Regulation 73(1) and Section 42 of the Road Traffic Act 1988, SO-4        |
| 8-10.1                          | <b>CAUSE</b>                                                                  |                                                                    | N/A                      | N/A                              |                                                                                                                                |
| 8-10.2                          | <b>PERMIT</b>                                                                 |                                                                    | N/A                      | N/A                              |                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 8 – PLATING AND TESTING |                                                               |                                          |                          |                                  |                                                                                        |
|---------------------------------|---------------------------------------------------------------|------------------------------------------|--------------------------|----------------------------------|----------------------------------------------------------------------------------------|
| PLS CODE                        | OFFENCE DESCRIPTION                                           | FURTHER GUIDANCE                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                    |
| 8-14                            | <b>FAIL TO PRODUCE GOODS VEHICLE TEST CERTIFICATE</b>         | Where such a certificate has been issued | FUE                      | N/A                              | Section 165(1), (2)(c) & (3) of the Road Traffic Act 1988<br>SO-3                      |
| 8-12                            | <b>DISPLAY MINISTRY TEST DATE DISC WITH INTENT TO DECEIVE</b> |                                          | FUE                      | N/A                              | Section 173(1) of the Road Traffic Act 1988<br>SO-Stat max<br>IND – 2 years            |
| 8-13                            | <b>TEST CERTIFICATE - FALSE INSTRUMENT</b>                    |                                          | FUE                      | N/A                              | Section 3 & 6 of the Forgery & Counterfeiting Act 1981<br>SO-Stat max<br>IND – 2 years |



SECTION 9 – PSV TESTING & CERTIFICATION

| SECTION 9 – CERTIFICATE OF INITIAL FITNESS |                                                        |                                                                 |                          |                                  |                                                                                                                      |
|--------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------------|--------------------------|----------------------------------|----------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                   | OFFENCE DESCRIPTION                                    | FURTHER GUIDANCE                                                | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                  |
| 9-1                                        | <b>NO CERTIFICATE OF INITIAL FITNESS OR EQUIVALENT</b> | The vehicle needs to be carrying passengers for hire and reward | FUE                      | N/A                              | Section 6 of The Public Passenger Vehicles Act 1981, SO-4                                                            |
| 9-2                                        | <b>NO CURRENT TEST CERTIFICATE</b>                     | Passenger vehicles with more than 8 seats excluding the driver  | FUE & MSI                | N/A                              | Regulation 5(1) of the Motor Vehicles (Tests) Regulations 1981, and Section 47(1) of the Road Traffic Act 1988, SO-4 |
| 9-2.1                                      | <b>CAUSE</b>                                           |                                                                 | FUE                      | N/A                              |                                                                                                                      |
| 9-2.2                                      | <b>PERMIT</b>                                          |                                                                 | FUE                      | N/A                              |                                                                                                                      |
| 9-3                                        | <b>FAIL TO PRODUCE A VEHICLE TEST CERTIFICATE</b>      |                                                                 | FUE                      | N/A                              | Section 165(3) of the said Act and Schedule 2 to the Road Traffic Offenders Act 1988.                                |

## SECTION 10 – OVERLOADING

### GUIDANCE NOTES

#### WEIGHING EQUIPMENT

Whatever type of weighing equipment is used, Examiners must ensure that all relevant requirements of the Consolidated Code of Practice for the Enforcement Weighing of Vehicles are complied with in full. Also, an overloading guidance leaflet should be handed to all drivers.

#### GRADUATION OF FIXED PENALTY NOTICES

£100 FP -- 5% to 10% or over 1 tonne on axle, gross or train if less than 5%

£200 FP -- Over 10% and up to 15%

£300 FP -- Over 15% and up to 30%

Prosecute over 30% or over 5 tonnes on axle, gross or train if under 30%

\*This may be a single axle or compensating axles where the individual axle weights are added together for the purposes of calculating an overload and which are therefore counted a single axle.

In situations where there are multiple overload offences only one overloading prohibition notice will be issued per vehicle or vehicle/trailer combination. Further detail may however be shown on a continuation page.

**For multiple overload offences only one fixed penalty notice will be issued in respect of the most serious overload offence.**

#### NON-COMMERCIAL DRIVERS

In genuine cases of ignorance e.g. moving house, and where the offence is unlikely to be repeated, a prohibition should be issued, and an overloading guidance leaflet handed to the driver. To take into account the Public Interest Test no Fixed Penalty Notice should be issued in these circumstances.

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                      |                                                                     |                          |                                  |                                                                                                                                    |
|--------------------------|----------------------------------------------------------------------|---------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                                  | FURTHER GUIDANCE                                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                |
| MANUFACTURERS PLATE      |                                                                      |                                                                     |                          |                                  |                                                                                                                                    |
| 10-1                     | <b>EXCEEDING MAXIMUM PERMITTED AXLE WEIGHT - MANUFACTURERS PLATE</b> |                                                                     |                          |                                  | Regulation 80(1)(a) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- DRIVER</b>                                                      | If 5% or less.                                                      | VW                       | N/A                              |                                                                                                                                    |
|                          |                                                                      | In all cases over 5% <b>and:</b> -                                  | TE160 <b>and:</b> -      | N/A                              |                                                                                                                                    |
|                          |                                                                      | Over 5% or over 1 tonne on axle, gross or train (if less than 5%)   | £100 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                                      | 10% or more but less than 15%                                       | £200 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                                      | 15% up to 30%                                                       | £300 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                                      | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | P                        | N/A                              |                                                                                                                                    |
|                          | <b>- OPERATOR</b>                                                    | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                      | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |
| 10-1.1                   | <b>CAUSE</b>                                                         | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                      | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |
| 10-1.2                   | <b>PERMIT</b>                                                        | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                      | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                       |                                                                     |                          |                                  |                                                                                                                                    |
|--------------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                                   | FURTHER GUIDANCE                                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                |
| 10-2                     | <b>EXCEEDING MAXIMUM PERMITTED TRAIN WEIGHT - MANUFACTURERS PLATE</b> |                                                                     |                          |                                  | Regulation 80(1)(a) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- DRIVER</b>                                                       | If 5% or less.                                                      | VW                       | N/A                              |                                                                                                                                    |
|                          |                                                                       | In all cases over 5% <b>and:</b> -                                  | TE160 <b>and:</b> -      | N/A                              |                                                                                                                                    |
|                          |                                                                       | Over 5% or over 1 tonne on axle, gross or train (if less than 5%)   | £100 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                                       | 10% or more but less than 15%                                       | £200 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                                       | 15% up to 30%                                                       | £300 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                                       | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | P                        | N/A                              |                                                                                                                                    |
|                          | <b>- OPERATOR</b>                                                     | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                       | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |
| 10-2.1                   | <b>CAUSE</b>                                                          | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                       | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |
| 10-2.2                   | <b>PERMIT</b>                                                         | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                       | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |

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| SECTION 10 – OVERLOADING |                                                                       |                                                                     |                          |                                  |                                                                                                                                    |
|--------------------------|-----------------------------------------------------------------------|---------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                                   | FURTHER GUIDANCE                                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                |
| 10-3                     | <b>EXCEEDING MAXIMUM PERMITTED GROSS WEIGHT - MANUFACTURERS PLATE</b> |                                                                     |                          |                                  | Regulation 80(1)(a) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- DRIVER</b>                                                       | If 5% or less.                                                      | VW                       | N/A                              |                                                                                                                                    |
|                          |                                                                       | In all cases over 5% <b>and</b> : -                                 | TE160 <b>and</b> : -     | N/A                              |                                                                                                                                    |
|                          |                                                                       | Over 5% or over 1 tonne on axle, gross or train (if less than 5%)   | £100 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                                       | 10% or more but less than 15%                                       | £200 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                                       | 15% up to 30%                                                       | £300 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                                       | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | P                        | N/A                              |                                                                                                                                    |
|                          | <b>- OPERATOR</b>                                                     | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                       | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |
| 10-3.1                   | <b>CAUSE</b>                                                          | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                       | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |
| 10-3.2                   | <b>PERMIT</b>                                                         | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                       | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                              |                                                                                                                                                                                                                                                                        |                                                                                     |                                                 |                                                                                                                                          |
|--------------------------|--------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                                                                          | FURTHER GUIDANCE                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                            | NON GB OPERATOR OR NON UK DRIVER                | REG                                                                                                                                      |
| 10-4                     | <b>EXCEEDING MAXIMUM PERMITTED COMPENSATING AXLE WEIGHT (VEHICLE) – MANUF’S PLATE</b><br><br><b>- DRIVER</b> | If 5% or less.<br><br>In all cases over 5% <b>and:</b> -<br><br>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)<br><br>10% or more but less than 15%<br><br>15% up to 30%<br><br>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | VW<br><br>TE160 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | N/A<br><br>N/A<br><br>N/A<br><br>N/A<br><br>N/A | Regulation 80(1)(a) & (2) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- OPERATOR</b>                                                                                            | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                  |                                                                                                                                          |
| 10-4.1                   | <b>CAUSE</b>                                                                                                 | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                  |                                                                                                                                          |
| 10-4.2                   | <b>PERMIT</b>                                                                                                | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                  |                                                                                                                                          |
|                          |                                                                                                              |                                                                                                                                                                                                                                                                        |                                                                                     |                                                 |                                                                                                                                          |
|                          |                                                                                                              |                                                                                                                                                                                                                                                                        |                                                                                     |                                                 |                                                                                                                                          |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                              |                                                                                                                                                                                                                                                                        |                                                                                     |                                                 |                                                                                                                                          |
|--------------------------|--------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                                                                          | FURTHER GUIDANCE                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                            | NON GB OPERATOR OR NON UK DRIVER                | REG                                                                                                                                      |
| 10-5                     | <b>EXCEEDING MAXIMUM PERMITTED COMPENSATING AXLE WEIGHT (TRAILER) – MANUF’S PLATE</b><br><br><b>- DRIVER</b> | If 5% or less.<br><br>In all cases over 5% <b>and:</b> -<br><br>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)<br><br>10% or more but less than 15%<br><br>15% up to 30%<br><br>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | VW<br><br>TE160 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | N/A<br><br>N/A<br><br>N/A<br><br>N/A<br><br>N/A | Regulation 80(1)(a) & (2) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- OPERATOR</b>                                                                                            | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                  |                                                                                                                                          |
| 10-5.1                   | <b>CAUSE</b>                                                                                                 | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                  |                                                                                                                                          |
| 10-5.2                   | <b>PERMIT</b>                                                                                                | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                  |                                                                                                                                          |
|                          |                                                                                                              |                                                                                                                                                                                                                                                                        |                                                                                     |                                                 |                                                                                                                                          |
|                          |                                                                                                              |                                                                                                                                                                                                                                                                        |                                                                                     |                                                 |                                                                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                            |                                                                     |                          |                                  |                                                                                                                                    |
|--------------------------|------------------------------------------------------------|---------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                        | FURTHER GUIDANCE                                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                |
| MINISTRY PLATE           |                                                            |                                                                     |                          |                                  |                                                                                                                                    |
| 10-6                     | <b>EXCEEDING MAXIMUM PERMITTED AXLE WEIGHT - MOT PLATE</b> |                                                                     |                          |                                  | Regulation 80(1)(b) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- DRIVER</b>                                            | If 5% or less.                                                      | VW                       | N/A                              |                                                                                                                                    |
|                          |                                                            | In all cases over 5% <b>and:</b> -                                  | TE160 <b>and:</b> -      | N/A                              |                                                                                                                                    |
|                          |                                                            | Over 5% or over 1 tonne on axle, gross or train (if less than 5%)   | £100 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                            | 10% or more but less than 15%                                       | £200 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                            | 15% up to 30%                                                       | £300 FP                  | N/A                              |                                                                                                                                    |
|                          |                                                            | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | P                        | N/A                              |                                                                                                                                    |
|                          | <b>- OPERATOR</b>                                          | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                            | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |
| 10-6.1                   | <b>CAUSE</b>                                               | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                            | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |
| 10-6.2                   | <b>PERMIT</b>                                              | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                            | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                    |



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| SECTION 10 – OVERLOADING |                                                                                                                                                |                                                                                                                                                                                                                                                                                                                     |                                                                                                                                        |                                                                              |                                                                                                                                               |
|--------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                                                                                                            | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                                                                                                               | NON GB OPERATOR OR NON UK DRIVER                                             | REG                                                                                                                                           |
| 10-7                     | <b>EXCEEDING MAXIMUM PERMITTED TRAIN WEIGHT ON MOT PLATE</b><br><br><b>- DRIVER</b><br><br><b>VEHICLES <u>UP TO</u> 12000 KGS TRAIN WEIGHT</b> | <p>If 5% or less.</p> <p>In all cases over 5% <b>and</b>: -</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>10% or more but less than 15%</p> <p>15% or more but less than 25%</p> <p>25% up to 30%</p> <p>Over 30%</p> <p>Over 5 tonnes on axle, gross or train (if under 30%)</p> | <p>VW</p> <p>TE160 <b>and</b>: -</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> <p>P &amp; MSI</p> <p>P</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Regulation 80(1)(b) of the Road Vehicles (Construction &amp; Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5</p> |

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| SECTION 10 – OVERLOADING |                                                                                                                                               |                                                                                                                                                                                                                                                                                                                            |                                                                                                                                               |                                                                              |                                                                                                                                               |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                                                                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                           | GB OPERATOR OR UK DRIVER                                                                                                                      | NON GB OPERATOR OR NON UK DRIVER                                             | REG                                                                                                                                           |
| 10-7                     | <b>EXCEEDING MAXIMUM PERMITTED TRAIN WEIGHT ON MOT PLATE</b><br><br><b>- DRIVER</b><br><br><b>VEHICLES <u>OVER</u> 12000 KGS TRAIN WEIGHT</b> | <p>If 5% or less.</p> <p>In all cases over 5% <b><u>and</u></b>: -</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>10% or more but less than 15%</p> <p>15% or more but less than 20%</p> <p>20% up to 30%</p> <p>Over 30%</p> <p>Over 5 tonnes on axle, gross or train (if under 30%)</p> | <p>VW</p> <p>TE160 <b><u>and</u></b>: -</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> <p>P &amp; MSI</p> <p>P</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Regulation 80(1)(b) of the Road Vehicles (Construction &amp; Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                         |                                                      |                          |                                  |                                                                                                                                    |
|--------------------------|-------------------------------------------------------------------------|------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                                     | FURTHER GUIDANCE                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                |
| 10.7                     | <b>EXCEEDING MAXIMUM PERMITTED TRAIN WEIGHT ON MOT PLATE - OPERATOR</b> | 25% or more                                          | FUE & MSI                | N/A                              | Regulation 80(1)(b) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>VEHICLES <u>UP TO</u> 12000 KGS TRAIN WEIGHT</b>                     | Over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                         | Otherwise than above                                 | NFA                      | N/A                              |                                                                                                                                    |
| 10-7.1<br>10-7.2         | <b>CAUSE OR PERMIT</b>                                                  | 25% or more                                          | FUE & MSI                | N/A                              |                                                                                                                                    |
|                          |                                                                         | Over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                         | Otherwise than above                                 | NFA                      | N/A                              |                                                                                                                                    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                                                   |                                                                                                     |                                 |                                  |                                                                                                                                    |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                                                                               | FURTHER GUIDANCE                                                                                    | GB OPERATOR OR UK DRIVER        | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                |
| 10.7                     | <b>EXCEEDING MAXIMUM PERMITTED TRAIN WEIGHT ON MOT PLATE - OPERATOR</b><br><br><b>VEHICLES <u>OVER</u> 12000 KGS TRAIN WEIGHT</b> | 20% or more<br><br>Over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above | FUE & MSI<br><br>FUE<br><br>NFA | N/A<br><br>N/A<br><br>N/A        | Regulation 80(1)(b) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
| 10-7.1<br>10-7.2         | <b>CAUSE OR PERMIT</b>                                                                                                            | 20% or more<br><br>Over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above | FUE & MSI<br><br>FUE<br><br>NFA | N/A<br><br>N/A<br><br>N/A        |                                                                                                                                    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                                                  |                                                                                                                                                                                                                                                                                                                     |                                                                                                                                        |                                                                              |                                                                                                                                    |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                                                                              | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                                                                                                               | NON GB OPERATOR OR NON UK DRIVER                                             | REG                                                                                                                                |
| 10-8                     | <b>EXCEEDING MAXIMUM PERMITTED GROSS WEIGHT ON MOT PLATE - DRIVER</b><br><br><b>VEHICLES <u>UP TO</u> 12000 KGS TRAIN WEIGHT</b> | <p>If 5% or less.</p> <p>In all cases over 5% <b>and:</b> -</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>10% or more but less than 15%</p> <p>15% or more but less than 25%</p> <p>25% up to 30%</p> <p>Over 30%</p> <p>Over 5 tonnes on axle, gross or train (if under 30%)</p> | <p>VW</p> <p>TE160 <b>and:</b> -</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> <p>P &amp; MSI</p> <p>P</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Regulation 80(1)(b) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                                                 |                                                                                                                                                                                                                                                                                                                     |                                                                                                                                        |                                                                              |                                                                                                                                    |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                                                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                    | GB OPERATOR OR UK DRIVER                                                                                                               | NON GB OPERATOR OR NON UK DRIVER                                             | REG                                                                                                                                |
| 10-8                     | <b>EXCEEDING MAXIMUM PERMITTED GROSS WEIGHT ON MOT PLATE - DRIVER</b><br><br><b>VEHICLES <u>OVER</u> 12000 KGS TRAIN WEIGHT</b> | <p>If 5% or less.</p> <p>In all cases over 5% <b>and</b>: -</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>10% or more but less than 15%</p> <p>15% or more but less than 20%</p> <p>20% up to 30%</p> <p>Over 30%</p> <p>Over 5 tonnes on axle, gross or train (if under 30%)</p> | <p>VW</p> <p>TE160 <b>and</b>: -</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>£300 FP &amp; MSI</p> <p>P &amp; MSI</p> <p>P</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> | Regulation 80(1)(b) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                         |                                                      |                          |                                  |                                                                                                                                    |
|--------------------------|-------------------------------------------------------------------------|------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                                     | FURTHER GUIDANCE                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                |
| 10.8                     | <b>EXCEEDING MAXIMUM PERMITTED GROSS WEIGHT ON MOT PLATE - OPERATOR</b> | 25% or more                                          | FUE & MSI                | N/A                              | Regulation 80(1)(b) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>VEHICLES <u>UP TO</u> 12000 KGS TRAIN WEIGHT</b>                     | Over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                         | Otherwise than above                                 | NFA                      | N/A                              |                                                                                                                                    |
| 10-8.1                   | <b>CAUSE OR PERMIT</b>                                                  | 25% or more                                          | FUE & MSI                | N/A                              |                                                                                                                                    |
| 10-8.2                   |                                                                         | Over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                         | Otherwise than above                                 | NFA                      | N/A                              |                                                                                                                                    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                         |                                                      |                          |                                  |                                                                                                                                    |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                     | FURTHER GUIDANCE                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                |
| 10.8                     | <b>EXCEEDING MAXIMUM PERMITTED GROSS WEIGHT ON MOT PLATE - OPERATOR</b> | 20% or more                                          | FUE & MSI                | N/A                              | Regulation 80(1)(b) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>VEHICLES <u>OVER</u> 12000 KGS TRAIN WEIGHT</b>                      | Over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                         | Otherwise than above                                 | NFA                      | N/A                              |                                                                                                                                    |
| 10-8.1                   | <b>CAUSE OR PERMIT</b>                                                  | 20% or more                                          | FUE & MSI                | N/A                              |                                                                                                                                    |
| 10-8.2                   |                                                                         | Over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                    |
|                          |                                                                         | Otherwise than above                                 | NFA                      | N/A                              |                                                                                                                                    |



# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                   |                                                                     |                          |                                  |                                                                                                                                          |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                               | FURTHER GUIDANCE                                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                      |
| 10-9                     | <b>EXCEEDING MAXIMUM PERMITTED COMPENSATING AXLE WEIGHT (VEHICLE) - MOT PLATE</b> |                                                                     |                          |                                  | Regulation 80(1)(b) & (2) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          |                                                                                   | <b>- DRIVER</b>                                                     |                          |                                  |                                                                                                                                          |
|                          |                                                                                   | If 5% or less.                                                      | VW                       | N/A                              |                                                                                                                                          |
|                          |                                                                                   | In all cases over 5% <b>and:</b> -                                  | TE160 <b>and:</b> -      | N/A                              |                                                                                                                                          |
|                          |                                                                                   | Over 5% or over 1 tonne on axle, gross or train (if less than 5%)   | £100 FP                  | N/A                              |                                                                                                                                          |
|                          |                                                                                   | 10% or more but less than 15%                                       | £200 FP                  | N/A                              |                                                                                                                                          |
|                          |                                                                                   | 15% up to 30%                                                       | £300 FP                  | N/A                              |                                                                                                                                          |
|                          |                                                                                   | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | P                        | N/A                              |                                                                                                                                          |
|                          |                                                                                   | <b>- OPERATOR</b>                                                   |                          |                                  |                                                                                                                                          |
|                          |                                                                                   | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                          |
| 10-9.1                   | <b>CAUSE</b>                                                                      | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                          |
|                          |                                                                                   | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                          |
| 10-9.2                   | <b>PERMIT</b>                                                                     | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                          |
|                          |                                                                                   | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                          |
|                          |                                                                                   | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                          |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                          |                                                                     |                          |                                  |                                                                                                                                          |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                                                      | FURTHER GUIDANCE                                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                      |
| 10-10                    | <b>EXCEEDING MAXIMUM PERMITTED COMPENSATING AXLE WEIGHT (TRAILER) - MOT PLATE</b><br><br><b>- DRIVER</b> | If 5% or less.                                                      | VW                       | N/A                              | Regulation 80(1)(b) & (2) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          |                                                                                                          | In all cases over 5% <b>and</b> : -                                 | TE160 <b>and</b> : -     | N/A                              |                                                                                                                                          |
|                          |                                                                                                          | Over 5% or over 1 tonne on axle, gross or train (if less than 5%)   | £100 FP                  | N/A                              |                                                                                                                                          |
|                          |                                                                                                          | 10% or more but less than 15%                                       | £200 FP                  | N/A                              |                                                                                                                                          |
|                          |                                                                                                          | 15% up to 30%                                                       | £300 FP                  | N/A                              |                                                                                                                                          |
|                          |                                                                                                          | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | P                        | N/A                              |                                                                                                                                          |
|                          |                                                                                                          |                                                                     |                          |                                  |                                                                                                                                          |
|                          |                                                                                                          |                                                                     |                          |                                  |                                                                                                                                          |
|                          |                                                                                                          |                                                                     |                          |                                  |                                                                                                                                          |
|                          |                                                                                                          |                                                                     |                          |                                  |                                                                                                                                          |
| 10-10.1                  | <b>CAUSE</b>                                                                                             | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                          |
|                          |                                                                                                          | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                          |
|                          |                                                                                                          |                                                                     |                          |                                  |                                                                                                                                          |
| 10-10.2                  | <b>PERMIT</b>                                                                                            | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                          |
|                          |                                                                                                          | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                          |
|                          |                                                                                                          |                                                                     |                          |                                  |                                                                                                                                          |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING             |                                                                                                                     |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                     |
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| PLS CODE                             | OFFENCE DESCRIPTION                                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                            | NON GB OPERATOR OR NON UK DRIVER                                                    | REG                                                                                                                                                 |
| NO PLATE OR MIS MATCHED COMBINATIONS |                                                                                                                     |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                     |
| 10-11                                | <b>EXCEEDING MAXIMUM PERMITTED LADEN WEIGHT OF AN ARTICULATED VEHICLE (SPACING OF AXLES)</b><br><br><b>- DRIVER</b> | If 5% or less.<br><br>In all cases over 5% <b>and:</b> -<br><br>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)<br><br>10% or more but less than 15%<br><br>15% up to 30%<br><br>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | VW<br><br>TE160 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | VW<br><br>GV170 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | Regulation 4(1)(b) Table 4, Schedule 2 of the Road Vehicles (Authorised Weight) Regulations 1998 and Section 41B of the Road Traffic Act 1988, SO-5 |
|                                      | <b>- OPERATOR</b>                                                                                                   | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                     |
| 10-11.1                              | <b>CAUSE</b>                                                                                                        | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                     |
| 10-11.2                              | <b>PERMIT</b>                                                                                                       | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                     |
|                                      |                                                                                                                     |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                     |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                   |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                       |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                            | NON GB OPERATOR OR NON UK DRIVER                                                    | REG                                                                                                                                                   |
| 10-11A                   | <b>EXCEED MAXIMUM PERMITTED WEIGHT OF RIGID VEHICLE (SPACING OF AXLES)</b><br><br><b>- DRIVER</b> | If 5% or less.<br><br>In all cases over 5% <b>and:</b> -<br><br>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)<br><br>10% or more but less than 15%<br><br>15% up to 30%<br><br>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | VW<br><br>TE160 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | VW<br><br>GV170 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | Regulation 4(1)(a) Table 2<br>Schedule 1 of the Road Vehicles (Authorised Weight) Regulations 1998 and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- OPERATOR</b>                                                                                 | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                       |
| 10-11A.1                 | <b>CAUSE</b>                                                                                      | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                       |
| 10-11A.2                 | <b>PERMIT</b>                                                                                     | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                       |
|                          |                                                                                                   |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                      |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                       |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                                                  | FURTHER GUIDANCE                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                            | NON GB OPERATOR OR NON UK DRIVER                                                    | REG                                                                                                                                                   |
| 10-11B                   | <b>EXCEED MAXIMUM PERMITTED WEIGHT OF DRAW-BAR TRAILER (SPACING OF AXLES)</b><br><br><b>- DRIVER</b> | If 5% or less.<br><br>In all cases over 5% <b>and:</b> -<br><br>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)<br><br>10% or more but less than 15%<br><br>15% up to 30%<br><br>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | VW<br><br>TE160 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | VW<br><br>GV170 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | Regulation 4(1)(a) Table 2<br>Schedule 1 of the Road Vehicles (Authorised Weight) Regulations 1998 and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- OPERATOR</b>                                                                                    | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                       |
| 10-11B.1                 | <b>CAUSE</b>                                                                                         | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                       |
| 10-11B.2                 | <b>PERMIT</b>                                                                                        | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                       |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                                     |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                     |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                                                                 | FURTHER GUIDANCE                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                            | NON GB OPERATOR OR NON UK DRIVER                                                    | REG                                                                                                                                                 |
| 10-12                    | <b>EXCEEDING MAXIMUM PERMITTED LADEN WEIGHT OF AN ARTICULATED VEHICLE (NUMBER OF AXLES).</b><br><br><b>- DRIVER</b> | If 5% or less.<br><br>In all cases over 5% <b>and:</b> -<br><br>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)<br><br>10% or more but less than 15%<br><br>15% up to 30%<br><br>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | VW<br><br>TE160 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | VW<br><br>GV170 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | Regulation 4(1)(b) Table 3, Schedule 2 of the Road Vehicles (Authorised Weight) Regulations 1998 and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- OPERATOR</b>                                                                                                   | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                     |
| 10-12.1                  | <b>CAUSE</b>                                                                                                        | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | P<br><br>NFA                                                                        | N/A<br><br>N/A                                                                      |                                                                                                                                                     |
| 10-12.2                  | <b>PERMIT</b>                                                                                                       | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | P<br><br>NFA                                                                        | N/A<br><br>N/A                                                                      |                                                                                                                                                     |
|                          |                                                                                                                     |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                                                               |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                     |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                                                                                           | FURTHER GUIDANCE                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                            | NON GB OPERATOR OR NON UK DRIVER                                                    | REG                                                                                                                                                 |
| 10-12A                   | <b>EXCEEDING MAXIMUM PERMITTED LADEN WEIGHT OF ARTICULATED VEHICLE (NOT FITTED WITH ROAD FRIENDLY SUSPENSION, ETC)</b><br><br><b>- DRIVER</b> | If 5% or less.<br><br>In all cases over 5% <b>and:</b> -<br><br>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)<br><br>10% or more but less than 15%<br><br>15% up to 30%<br><br>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | VW<br><br>TE160 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | VW<br><br>GV170 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | Regulation 4(1)(b) Table 3, Schedule 2 of the Road Vehicles (Authorised Weight) Regulations 1998 and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- OPERATOR</b>                                                                                                                             | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                     |
| 10-12A.1                 | <b>CAUSE</b>                                                                                                                                  | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                     |
| 10-12A.2                 | <b>PERMIT</b>                                                                                                                                 | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                     |
|                          |                                                                                                                                               |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                        |                                                                                                                                                                                                                                                                               |                                                                                            |                                                                                            |                                                                                                                                                               |
|--------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                                                                   | NON GB OPERATOR OR NON UK DRIVER                                                           | REG                                                                                                                                                           |
| 10-13                    | <b>EXCEEDING MAXIMUM PERMITTED WEIGHT OF A VEHICLE</b> |                                                                                                                                                                                                                                                                               |                                                                                            |                                                                                            | Regulation 75 & Part 1, 1A & II of Schedule 11 of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- DRIVER</b>                                        | <p>If 5% or less.</p> <p>In all cases over 5% <b>and:</b> -</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>10% or more but less than 15%</p> <p>15% up to 30%</p> <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> | <p>VW</p> <p>TE160 <b>and:</b> -</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>P</p> | <p>VW</p> <p>GV170 <b>and:</b> -</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>P</p> |                                                                                                                                                               |
|                          | <b>- OPERATOR</b>                                      | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                        | <p>FUE</p> <p>NFA</p>                                                                      | <p>N/A</p> <p>N/A</p>                                                                      |                                                                                                                                                               |
| 10-13.1                  | <b>CAUSE</b>                                           | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                        | <p>FUE</p> <p>NFA</p>                                                                      | <p>N/A</p> <p>N/A</p>                                                                      |                                                                                                                                                               |
| 10-13.2                  | <b>PERMIT</b>                                          | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                        | <p>FUE</p> <p>NFA</p>                                                                      | <p>N/A</p> <p>N/A</p>                                                                      |                                                                                                                                                               |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                   |                                                                                                                                                                                                                                                                               |                                                                                            |                                                                                            |                                                                                                                                                           |
|--------------------------|-------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                               | FURTHER GUIDANCE                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                                                                   | NON GB OPERATOR OR NON UK DRIVER                                                           | REG                                                                                                                                                       |
| 10-13A                   | <b>EXCEEDING MAXIMUM PERMITTED WEIGHT OF A VEHICLE OR TRAILER</b> |                                                                                                                                                                                                                                                                               |                                                                                            |                                                                                            | Regulation 4(1)(a) Table 1<br>Schedule 1 of the Road Vehicles (Authorised Weight) Regulations 1998, and<br>Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- DRIVER</b>                                                   | <p>If 5% or less.</p> <p>In all cases over 5% <b>and:</b> -</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>10% or more but less than 15%</p> <p>15% up to 30%</p> <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> | <p>VW</p> <p>TE160 <b>and:</b> -</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>P</p> | <p>VW</p> <p>GV170 <b>and:</b> -</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>P</p> |                                                                                                                                                           |
|                          | <b>- OPERATOR</b>                                                 | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                        | <p>FUE</p> <p>NFA</p>                                                                      | <p>N/A</p> <p>N/A</p>                                                                      |                                                                                                                                                           |
| 10-13A.1                 | <b>CAUSE</b>                                                      | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                        | <p>FUE</p> <p>NFA</p>                                                                      | <p>N/A</p> <p>N/A</p>                                                                      |                                                                                                                                                           |
| 10-13A.2                 | <b>PERMIT</b>                                                     | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                        | <p>FUE</p> <p>NFA</p>                                                                      | <p>N/A</p> <p>N/A</p>                                                                      |                                                                                                                                                           |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                           |                                                                     |                          |                                  |                                                                                                                                                      |
|--------------------------|-----------------------------------------------------------|---------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                       | FURTHER GUIDANCE                                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                  |
| 10-14                    | <b>EXCEEDING MAXIMUM PERMITTED WHEEL AND AXLE WEIGHTS</b> |                                                                     |                          |                                  | Regulation 4(1)(c) Table 5, Schedule 3 of the Road Vehicles (Authorised Weight) Regulations 1998, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- DRIVER</b>                                           | If 5% or less.                                                      | VW                       | VW                               |                                                                                                                                                      |
|                          |                                                           | In all cases over 5% <b>and:</b> -                                  | TE160 <b>and:</b> -      | GV170 <b>and:</b> -              |                                                                                                                                                      |
|                          |                                                           | Over 5% or over 1 tonne on axle, gross or train (if less than 5%)   | £100 FP                  | £100 FP                          |                                                                                                                                                      |
|                          |                                                           | 10% or more but less than 15%                                       | £200 FP                  | £200 FP                          |                                                                                                                                                      |
|                          |                                                           | 15% up to 30%                                                       | £300 FP                  | £300 FP                          |                                                                                                                                                      |
|                          |                                                           | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | P                        | P                                |                                                                                                                                                      |
|                          | <b>- OPERATOR</b>                                         | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                                      |
|                          |                                                           | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                                      |
| 10-14.1                  | <b>CAUSE</b>                                              | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                                      |
|                          |                                                           | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                                      |
| 10-14.2                  | <b>PERMIT</b>                                             | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | FUE                      | N/A                              |                                                                                                                                                      |
|                          |                                                           | Otherwise than above                                                | NFA                      | N/A                              |                                                                                                                                                      |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                            |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                      |
|--------------------------|--------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                                                        | FURTHER GUIDANCE                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                            | NON GB OPERATOR OR NON UK DRIVER                                                    | REG                                                                                                                                                  |
| 10-15                    | <b>EXCEEDING MAXIMUM PERMITTED WEIGHTS FOR CLOSELY SPACED AXLES</b><br><br><b>- DRIVER</b> | If 5% or less.<br><br>In all cases over 5% <b>and:</b> -<br><br>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)<br><br>10% or more but less than 15%<br><br>15% up to 30%<br><br>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | VW<br><br>TE160 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | VW<br><br>GV170 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | Regulation 4(1)(c) Table 6, Schedule 3 of the Road Vehicles (Authorised Weight) Regulations 1998, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- OPERATOR</b>                                                                          | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                      |
| 10-15.1                  | <b>CAUSE</b>                                                                               | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                      |
| 10-15.2                  | <b>PERMIT</b>                                                                              | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                      |
|                          |                                                                                            |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                      |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                                           |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                 |
|--------------------------|---------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                 | OFFENCE DESCRIPTION                                                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                            | NON GB OPERATOR OR NON UK DRIVER                                                    | REG                                                                                                                             |
| 10-16                    | <b>EXCEEDING MAXIMUM PERMITTED WEIGHT OF VEHICLE AND TRAILER OTHER THAN AN ARTICULATED VEHICLE</b><br><br><b>- DRIVER</b> | If 5% or less.<br><br>In all cases over 5% <b>and:</b> -<br><br>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)<br><br>10% or more but less than 15%<br><br>15% up to 30%<br><br>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | VW<br><br>TE160 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | VW<br><br>GV170 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | Regulation 4(1)(b) Table 3, Schedule 2 (Authorised Weight) Regulations 1998, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- OPERATOR</b>                                                                                                         | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                 |
| 10-16.1                  | <b>CAUSE</b>                                                                                                              | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                 |
| 10-16.2                  | <b>PERMIT</b>                                                                                                             | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                 |
|                          |                                                                                                                           |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING      |                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                                                                                             |
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| PLS CODE                      | OFFENCE DESCRIPTION                                                                                                                                                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER                                                            | NON GB OPERATOR OR NON UK DRIVER                                                    | REG                                                                                                                                                                                                                         |
| COMBINED TRANSPORT OPERATIONS |                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                        |                                                                                     |                                                                                     |                                                                                                                                                                                                                             |
| 10-18                         | <b>COMBINED TRANSPORT OPERATIONS USE A VEHICLE IN ACCORDANCE WITH SCHEDULE 11A WHEN THE MAXIMUM MINISTRY PLATED WEIGHT OR THE DESIGN WEIGHT SHOWN ON THE PLATING CERTIFICATE HAS BEEN EXCEEDED</b><br><br><b>- DRIVER</b> | If 5% or less.<br><br>In all cases over 5% <b>and:</b> -<br><br>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)<br><br>10% or more but less than 15%<br><br>15% up to 30%<br><br>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%) | VW<br><br>TE160 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | VW<br><br>GV170 <b>and:</b> -<br><br>£100 FP<br><br>£200 FP<br><br>£300 FP<br><br>P | Regulation 80(1)(a) or (b) (see *) and Schedule 11A of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5<br><br>* (a) manufacturers plate<br>(b) - ministry plate |
| 10-18.1                       | <b>CAUSE</b>                                                                                                                                                                                                              | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                                                                                             |
| 10-18.2                       | <b>PERMIT</b>                                                                                                                                                                                                             | Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)<br><br>Otherwise than above                                                                                                                                                                        | FUE<br><br>NFA                                                                      | N/A<br><br>N/A                                                                      |                                                                                                                                                                                                                             |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING         |                                                             |                                                                                                                                                                                                                                                                              |                                                                                           |                                                                                           |                                                                                                                                 |
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| PLS CODE                         | OFFENCE DESCRIPTION                                         | FURTHER GUIDANCE                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER                                                                  | NON GB OPERATOR OR NON UK DRIVER                                                          | REG                                                                                                                             |
| AGRICULTURAL VEHICLES / TRAILERS |                                                             |                                                                                                                                                                                                                                                                              |                                                                                           |                                                                                           |                                                                                                                                 |
| 10-20                            | <b>EXCEED GROSS WEIGHT OF 2-AXLED AGRICULTURAL TRAILER.</b> |                                                                                                                                                                                                                                                                              |                                                                                           |                                                                                           | Regulation 75(1) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                                  | <b>- DRIVER</b>                                             | <p>If 5% or less.</p> <p>In all cases over 5% <b>and:-</b></p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>10% or more but less than 15%</p> <p>15% up to 30%</p> <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> | <p>VW</p> <p>TE160 <b>and:-</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>P</p> | <p>VW</p> <p>GV170 <b>and:-</b></p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>P</p> |                                                                                                                                 |
|                                  | <b>- OPERATOR</b>                                           | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                       | <p>FUE</p> <p>NFA</p>                                                                     | <p>N/A</p> <p>N/A</p>                                                                     |                                                                                                                                 |
| 10-20.1                          | <b>CAUSE</b>                                                | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                       | <p>FUE</p> <p>NFA</p>                                                                     | <p>N/A</p> <p>N/A</p>                                                                     |                                                                                                                                 |
| 10-20.2                          | <b>PERMIT</b>                                               | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                       | <p>FUE</p> <p>NFA</p>                                                                     | <p>N/A</p> <p>N/A</p>                                                                     |                                                                                                                                 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                 |                                                                                                                                                                                                                                                                               |                                                                                            |                                                                                            |                                                                                                                                 |
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| PLS CODE                 | OFFENCE DESCRIPTION                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                                                                   | NON GB OPERATOR OR NON UK DRIVER                                                           | REG                                                                                                                             |
| 10-21                    | <b>EXCEED TOTAL WEIGHT OF AGRICULTURAL VEHICLE AND TRAILER.</b> |                                                                                                                                                                                                                                                                               |                                                                                            |                                                                                            | Regulation 76(1) of the Road Vehicles (Construction & Use) Regulations 1986, and Section 41B of the Road Traffic Act 1988, SO-5 |
|                          | <b>- DRIVER</b>                                                 | <p>If 5% or less.</p> <p>In all cases over 5% <b>and:</b> -</p> <p>Over 5% or over 1 tonne on axle, gross or train (if less than 5%)</p> <p>10% or more but less than 15%</p> <p>15% up to 30%</p> <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> | <p>VW</p> <p>TE160 <b>and:</b> -</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>P</p> | <p>VW</p> <p>GV170 <b>and:</b> -</p> <p>£100 FP</p> <p>£200 FP</p> <p>£300 FP</p> <p>P</p> |                                                                                                                                 |
|                          | <b>- OPERATOR</b>                                               | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                        | <p>FUE</p> <p>NFA</p>                                                                      | <p>N/A</p> <p>N/A</p>                                                                      |                                                                                                                                 |
| 10-21.1                  | <b>CAUSE</b>                                                    | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                        | <p>FUE</p> <p>NFA</p>                                                                      | <p>N/A</p> <p>N/A</p>                                                                      |                                                                                                                                 |
| 10-21.2                  | <b>PERMIT</b>                                                   | <p>Over 30% or if over 5 tonnes on axle, gross or train (if under 30%)</p> <p>Otherwise than above</p>                                                                                                                                                                        | <p>FUE</p> <p>NFA</p>                                                                      | <p>N/A</p> <p>N/A</p>                                                                      |                                                                                                                                 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 10 – OVERLOADING |                                                                                                |                  |                          |                                  |                                                  |
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| PLS CODE                 | OFFENCE DESCRIPTION                                                                            | FURTHER GUIDANCE | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                              |
| MISCELLANEOUS            |                                                                                                |                  |                          |                                  |                                                  |
| 10-19                    | <b>DRIVES A VEHICLE IN CONTRAVENTION OF A PROHIBITION IMPOSED FOR OVERLOADING (SECTION 70)</b> |                  | £300 FP                  | Go to offence 13-6               | Section 71 of the Road Traffic Act 1988, SO-5    |
| 10-19.1                  | <b>CAUSE</b>                                                                                   |                  | FUE                      | N/A                              |                                                  |
| 10-19.2                  | <b>PERMIT</b>                                                                                  |                  | FUE                      | N/A                              |                                                  |
| 10-17                    | <b>FAIL TO PROCEED TO WEIGHBRIDGE WHEN INSTRUCTED TO DO SO BY AUTHORISED PERSON (EXAMINER)</b> |                  | FUE                      | NFA                              | Section 78(3) of the Road Traffic Act 1988, SO-5 |



### SECTION 11 – PSV MISCELLANEOUS OFFENCES

#### NOTES FOR OFFENCES 11.21 – 11.39

The Public service Vehicle Accessibility Regulations 2000 define the standards or equipment required of public service vehicles in relation to disabled passengers. The regulations apply to buses and coaches, **which have a capacity exceeding 22 passengers and used to provide local or scheduled services only.**

#### Vehicles are certified in accordance with Schedules 1, 2 & 3

All single and double deck buses - must comply with Schedules 1 & 2.

All single and double deck coaches - must comply with Schedules 1 & 3

**SCHEDULE 1** – Wheelchair accessibility requirements.

**SCHEDULE 2** – General accessibility requirements for single deck and double deck buses.

**SCHEDULE 3** – General accessibility requirements for single deck and double deck coaches.

**EXEMPTIONS** – Off-road vehicles, vehicle used under Section 19 of Transport Act 1985, secure transport of persons (e.g. prisoners), carriage of sick or injured persons, Crown vehicles, visiting forces vehicles or a vehicle registered more than 20 years ago and which is not used for more than 20 days in any year on a local/scheduled service. – Regulation 4 of PSV Accessibility Regulations 2000 for more detail.

**OFFENCES** – Where an operator has made arrangements that either only the driver or only the conductor is responsible for fulfilling a particular duty then only that person is deemed responsible.

**PSVAR** - Unless a Special Authorisation has been issued it is an offence under Section 175, paragraph (1) of the Equality Act 2010 for an operator to use a 'Regulated Public Service Vehicle' for a Scheduled Service or a Local Service if the vehicle does not comply with the Public Service Vehicle Accessibility Regulations 2000. The sanction for using a 'Regulated Public Service Vehicle' in contravention of these regulations is under Section 175, paragraph (1) of the Equality Act 2010, the operator of the vehicle is guilty of an offence and liable on summary conviction to a fine not exceeding level 4 on the standard scale (at this time £2500)

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                |                          |                                  |                                                                                                                   |
|-----------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                               |
| SEAT BELTS                              |                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                |                          |                                  |                                                                                                                   |
| 11-16                                   | <b>INSUFFICIENT OR NO SEAT BELTS FITTED TO CATER FOR THE NUMBER OF CHILDREN CARRIED ON MINIBUS OR COACH</b><br><br><b>- DRIVER</b> | Seat belts are required to be fitted to the appropriate number of forward-facing seats (not including wheelchairs for the disabled) of a coach or minibus that is used wholly or mainly for the purpose of carrying 3 or more children in the following circumstances:<br><br>The group of children are on an organised trip; and<br><br>The journey is being made for the purpose of the trip | £50 FP                   | £50 FP                           | Regulation 48A of Road Vehicles (Construction & Use) Regulations 1986 and Section 42 of the Road Traffic Act 1988 |
|                                         | <b>- OPERATOR</b>                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                | FUE                      | N/A                              |                                                                                                                   |
| 11-16.1                                 | <b>CAUSE</b>                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                | FUE                      | N/A                              |                                                                                                                   |
| 11-16.2                                 | <b>PERMIT</b>                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                | FUE                      | N/A                              |                                                                                                                   |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES                          |                                                                                  |                                                                                                                                                                                                                                                                                                        |                          |                                  |                                                                                                                                                     |
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| PLS CODE                                                         | OFFENCE DESCRIPTION                                                              | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                 |
| 11-16A                                                           | <b>OPERATOR FAILS TO NOTIFY PASSENGERS OF THE REQUIREMENT TO WEAR SEAT BELTS</b> | No official announcement or audio/visual presentation made when the passenger joins the bus or within a reasonable time of doing so <u>or</u> a sign prominently displayed at each passenger seat equipped with a seat belt                                                                            | AL                       | N/A                              | Section 15B of the Road Traffic Act 1988, SO-4                                                                                                      |
| <b>EXCEED CAPACITY, CAPACITY MARKINGS &amp; STANDING FORWARD</b> |                                                                                  |                                                                                                                                                                                                                                                                                                        |                          |                                  |                                                                                                                                                     |
| 11-6                                                             | <b>EXCEEDING THE MAXIMUM SEATING CAPACITY</b>                                    | A child under 5 who is not occupying a seat does not count as a passenger. Three seated children, none of whom are occupying a seat provided with a seat belt, shall count as two passengers. A child shall be deemed to be aged under 14 until the end of August following their fourteenth birthday. | FUE                      | GV170                            | Regulation 5(1) of The Public Service Vehicles (Carrying Capacity) Regulations 1984, and Section 26 of The Public Passenger Vehicles Act 1981, SO-2 |
| 11-7                                                             | <b>EXCEEDING THE MAXIMUM STANDING CAPACITY</b>                                   |                                                                                                                                                                                                                                                                                                        | FUE                      | GV170                            | Regulation 7(1) of The Public Service Vehicles (Carrying Capacity) Regulations 1984, and Section 26 of The Public Passenger Vehicles Act 1981, SO-2 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES                 |                                                                          |                                                                                                                                         |                          |                                  |                                                                                                                                                                                            |
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| PLS CODE                                                | OFFENCE DESCRIPTION                                                      | FURTHER GUIDANCE                                                                                                                        | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                        |
| 11-20                                                   | <b>MAXIMUM SEATING OR STANDING CAPACITY NOT MARKED - OPERATOR</b>        | If either the seating or standing capacity is vehicle is not marked.<br><br>If operator, then fails to comply with the ORN requirements | ORN<br><br>FUE           | N/A<br><br>N/A                   | Regulation 8(a) & (b) of the Public Service Vehicle (Carrying Capacity) Regulations 1981 and Section 26 of the Public Passenger Vehicles Act 1981, SO-2                                    |
| 11-7A                                                   | <b>STANDING IN GANGWAY FORWARD OF REARMOST PART OF THE DRIVER'S SEAT</b> |                                                                                                                                         | VW                       | N/A                              | Regulation 7(1) & (2) of The Public Service Vehicles (Carrying Capacity) Regulations 1984, and Section 26 of The Public Passenger Vehicles Act 1981, SO-2                                  |
| <b>EMERGENCY EXITS &amp; OBSTUCTIONS IN GANGWAY ETC</b> |                                                                          |                                                                                                                                         |                          |                                  |                                                                                                                                                                                            |
| 11-10                                                   | <b>EMERGENCY DOOR LOCKED (EXTERNALLY)</b>                                |                                                                                                                                         | PG9(I)                   | GV171                            | Regulation 5 of The Public Service Vehicles (Conduct of drivers, Inspectors, Conductors and Passengers) Regulations 1990 and Section 24(2) of The Public Passenger Vehicles Act 1981, SO-2 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                    |                                                                                                                                                                                           |                                    |                                     |                                                                                                                                                                                            |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                | FURTHER GUIDANCE                                                                                                                                                                          | GB OPERATOR OR UK DRIVER           | NON GB OPERATOR OR NON UK DRIVER    | REG                                                                                                                                                                                        |
| 11-19                                   | <b>NO MEANS READILY AVAILABLE TO BREAK GLASS TO EMERGENCY EXIT</b>                                                 |                                                                                                                                                                                           | AL                                 | N/A                                 | Regulation 21(12) of The Public Service Vehicles (Condition of Fitness, Equipment, Use and Certification) Regulations 1981, and Section 67 of The Public Passenger Vehicles Act 1981, SO-2 |
| 11-9                                    | <b>CAUSE ANY UNNECESSARY OBSTRUCTION TO ANY ENTRANCE, EXIT OR GANGWAY OF THE VEHICLE - INCLUDING JAMMED DOORS</b>  | <p>Jammed door, defective component, etc</p> <p>Entrance, exit or gangway obstructed/blocked by luggage etc:</p> <p>- minor nature eg partially blocked</p> <p>- Otherwise than above</p> | <p>PG9(I)</p> <p>AL</p> <p>FUE</p> | <p>GV171</p> <p>VW</p> <p>GV171</p> | Regulation 37 of The Public Service Vehicles (Condition of Fitness, Equipment, Use and Certification) Regulations 1981, and Section 67 of The Public Passenger Vehicles Act 1981, SO-2     |
| 11-9.1                                  | <b>PERMIT ANY UNNECESSARY OBSTRUCTION TO ANY ENTRANCE, EXIT OR GANGWAY OF THE VEHICLE - INCLUDING JAMMED DOORS</b> | <p>Jammed door, defective component, etc</p> <p>Entrance, exit or gangway obstructed/blocked by luggage etc:</p> <p>- minor nature eg partially blocked</p> <p>- Otherwise than above</p> | <p>PG9(I)</p> <p>AL</p> <p>FUE</p> | <p>GV171</p> <p>VW</p> <p>GV171</p> | Reg 37 of The Public Service Vehicles (Condition of Fitness, Equipment, Use and Certification) Regs 1981, and Section 67 of The Public Passenger Vehicles Act 1981, SO-2                   |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                                                            |                                                                      |                          |                                  |                                                                                        |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                                                        | FURTHER GUIDANCE                                                     | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                    |
| DOCUMENTATION                           |                                                                                                                                                                            |                                                                      |                          |                                  |                                                                                        |
| 11-11                                   | <b>USE A VEHICLE FOR THE CARRIAGE OF PASSENGERS ON AN INTERNATIONAL REGULAR SERVICE WITHOUT BEING THE HOLDER OF A REGULAR SERVICE AUTHORISATION</b><br><br><b>- DRIVER</b> | If operator holds authorisation but driver was not carrying document | VW                       | GV170<br>£50 FP #                | Regulation 16 Road Transport (International Passenger Services) Regulations 2018. SO-3 |
|                                         |                                                                                                                                                                            | If operator not the holder of a Regular Service Authorisation        | £50 FP #                 | GV170<br>£50 FP #                |                                                                                        |
|                                         | <b>- OPERATOR</b>                                                                                                                                                          | If operator holds authorisation but driver was not carrying document | AL                       | N/A                              |                                                                                        |
|                                         |                                                                                                                                                                            | If not the holder of a Regular Service Authorisation                 | FUE                      | N/A                              |                                                                                        |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                                         |                                                                          |                          |                                  |                                                                                        |
|-----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|--------------------------|----------------------------------|----------------------------------------------------------------------------------------|
| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                                     | FURTHER GUIDANCE                                                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                    |
| 11-11A                                  | <b>USE A VEHICLE FOR INTERNATIONAL CARRIAGE OF PASSENGERS FOR HIRE OR REWARD WHILST NOT IN POSSESSION OF A COMMUNITY LICENCE</b><br><br><b>- DRIVER</b> | Driver not carrying document but claims operator has a community licence | VW & MSI                 | GV170                            | Regulation 16 Road Transport (International Passenger Services) Regulations 2018, SO-4 |
|                                         |                                                                                                                                                         | If operator not the holder of a community licence                        | FUE & MSI                | GV170                            |                                                                                        |
|                                         | <b>- OPERATOR</b>                                                                                                                                       | Driver not carrying document but claims operator has a community licence | FUE & MSI                | N/A                              |                                                                                        |
|                                         |                                                                                                                                                         | If operator not the holder of a community licence                        | FUE & MSI                | N/A                              |                                                                                        |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

### SECTION 11 – PSV MISCELLANEOUS OFFENCES

| PLS CODE | OFFENCE DESCRIPTION                                                                                                                                                                                                      | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER                       | NON GB OPERATOR OR NON UK DRIVER                          | REG                                                                                    |
|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|-----------------------------------------------------------|----------------------------------------------------------------------------------------|
| 11-13    | <p><b>USE A VEHICLE FOR THE CARRIAGE OF PASSENGERS ON AN INTERNATIONAL OCCASIONAL SERVICE WITHOUT A CORRECTLY COMPLETED CONTROL DOCUMENT (WAYBILL) BEING CARRIED</b></p> <p><b>- DRIVER</b></p> <p><b>- OPERATOR</b></p> | <p>For minor breaches with regard to completion of the document</p> <p>Documents not being carried or not completed at all or not completed before the commencement of the journey</p> <p>For minor breaches with regard to completion of the document</p> <p>Documents not being carried or not completed at all or not completed before the commencement of the journey</p> | <p>VW</p> <p>£50 FP #</p> <p>AL</p> <p>FUE</p> | <p>VW</p> <p>GV170<br/>£50 FP #</p> <p>N/A</p> <p>N/A</p> | Regulation 16 Road Transport (International Passenger Services) Regulations 2018, SO-3 |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                                                          |                                                     |                          |                                  |                                                                                                                                     |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                                                      | FURTHER GUIDANCE                                    | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                 |
| 11-39                                   | USE A REGULATED PUBLIC SERVICE VEHICLE WITHOUT AN ACCESSIBILITY CERTIFICATE ISSUED BY A VEHICLE EXAMINER OR AN APPROVAL CERTIFICATE                                      |                                                     | FUE                      | N/A                              | Section 176(1) and (3) of the Equality Act 2010, SO-4                                                                               |
| 11-40                                   | FAIL TO NOTIFY THE SECRETARY OF STATE FORTHWITH IF AN ACCESSIBILITY CERTIFICATE OR A CONFORMITY CERTIFICATE HAS BEEN LOST OR DESTROYED                                   | If genuine reason given<br><br>Otherwise than above | AL<br><br>FUE            | N/A<br><br>N/A                   | Regulation 18(1) of The Public Service Vehicle Accessibility Regulations 2000 and Section 175 (1)(a) of the Equality Act 2010, SO-4 |
| 11-41                                   | FAIL TO RETURN AN ACCESSIBILITY CERTIFICATE OR A CONFORMITY CERTIFICATE TO THE SECRETARY OF STATE FORTHWITH, IF SUCH A CERTIFICATE WAS PREVIOUSLY LOST AND IS THEN FOUND | If genuine reason given<br><br>Otherwise than above | AL<br><br>FUE            | N/A<br><br>N/A                   | Regulation 18(2) of The Public Service Vehicle Accessibility Regulations 2000 and Section 175 (1)(a) of the Equality Act 2010, SO-4 |
| 11-42                                   | FAIL TO RETURN A DEFACED OR ILLEGIBLE ACCESSIBILITY CERTIFICATE OR A CONFORMITY CERTIFICATE TO THE SECRETARY OF STATE                                                    | If genuine reason given<br><br>Otherwise than above | AL<br><br>FUE            | N/A<br><br>N/A                   | Regulation 18(3) of The Public Service Vehicle Accessibility Regulations 2000 and Section 175 (1)(a) of the Equality Act 2010, SO-4 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                            |                                                                                                                                                                                                                                                                                                                                                                                       |                          |                                  |                                                                                                            |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                        | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                        |
| 11-43                                   | <b>FORGES, ALTERS OR USES, WITH INTENT TO DECEIVE, A RELEVANT DOCUMENT</b> | <p>A relevant document is: -</p> <ul style="list-style-type: none"> <li>-a certificate of exemption issued under Section 166, 169 or 171 of the Equality Act 2010</li> <li>-a notice of the kind mentioned in 166(3)(b), 169(4)(b) or 171(4)(b) of the Equality Act 2010</li> <li>-an accessibility certificate (section176)</li> <li>-a approval certificate (Section177)</li> </ul> | FUE                      | N/A                              | Section 188 (1) and (2)(a) of the Equality Act 2010, SO-stat max fine, IND – 2 years prison and / or fine. |
| 11-44                                   | <b>LENDS, WITH INTENT TO DECEIVE, A RELEVANT DOCUMENT</b>                  | <p>A relevant document is: -</p> <ul style="list-style-type: none"> <li>-a certificate of exemption issued under Section 166, 169 or 171 of the Equality Act 2010</li> <li>-a notice of the kind mentioned in 166(3)(b), 169(4)(b) or 171(4)(b) of the Equality Act 2010</li> <li>-an accessibility certificate (section176)</li> <li>-a approval certificate (Section177)</li> </ul> | FUE                      | N/A                              | Section 188 (1) and (2)(b) of the Equality Act 2010, SO-stat max fine, IND – 2 years prison and / or fine. |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                       |                          |                                  |                                                                                                            |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                     | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                        |
| 11-45                                   | <b>ALLOWS, WITH INTENT TO DECEIVE, A RELEVANT DOCUMENT TO BE USED BY ANOTHER PERSON</b>                                 | <p>A relevant document is: -</p> <ul style="list-style-type: none"> <li>-a certificate of exemption issued under Section 166, 169 or 171 of the Equality Act 2010</li> <li>-a notice of the kind mentioned in 166(3)(b), 169(4)(b) or 171(4)(b) of the Equality Act 2010</li> <li>-an accessibility certificate (section176)</li> <li>-a approval certificate (Section177)</li> </ul> | FUE                      | N/A                              | Section 188 (1) and (2)(c) of the Equality Act 2010, SO-stat max fine, IND – 2 years prison and / or fine. |
| 11-46                                   | <b>MAKES OR HAS IN HIS POSSESSION, WITH INTENT TO DECEIVE, ANY DOCUMENT WHICH CLOSELY RESEMBLES A RELEVANT DOCUMENT</b> | <p>A relevant document is: -</p> <ul style="list-style-type: none"> <li>-a certificate of exemption issued under Section 166, 169 or 171 of the Equality Act 2010</li> <li>-a notice of the kind mentioned in 166(3)(b), 169(4)(b) or 171(4)(b) of the Equality Act 2010</li> <li>-an accessibility certificate (section176)</li> <li>-a approval certificate (Section177)</li> </ul> | FUE                      | N/A                              | Section 188 (1) and (2)(d) of the Equality Act 2010, SO-stat max fine, IND – 2 years prison and / or fine. |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                        |                                                                                                                                                                                                                                                |                          |                                  |                                                                                                                                                                                                                                |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                            |
| 11-47                                   | KNOWINGLY MAKES A FALSE STATEMENT FOR THE PURPOSE OF OBTAINING AN ACCESSIBILITY CERTIFICATE OR AN APPROVAL CERTIFICATE |                                                                                                                                                                                                                                                | FUE                      | N/A                              | Section 188 (4) of the Equality Act 2010, SO-4                                                                                                                                                                                 |
| LEGAL LETTERING & SIGNAGE               |                                                                                                                        |                                                                                                                                                                                                                                                |                          |                                  |                                                                                                                                                                                                                                |
| 11-8                                    | FAIL TO DISPLAY LEGAL LETTERING                                                                                        | <p>If no legal lettering displayed or if the lettering is not sufficient for communication to be received by the owner of the vehicle.</p> <p>If operator, then fails to comply with the ORN requirements</p>                                  | <p>ORN</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | Regulation 45 of The Public Service Vehicles (Condition of Fitness, Equipment, Use and Certification) Regulations 1981 and Section 67 of The Public Passenger Vehicles Act 1981, SO-2                                          |
| 11-36                                   | FAIL TO ENSURE THAT A ROUTE NUMBER (IF ANY) AND A DESTINATION ARE DISPLAYED<br><br>- DRIVER                            | Route numbers and destinations shall be displayed on the front of the vehicle as close as possible to the part of the windscreen that is with the driver's field of vision and on the nearside, adjacent to the entrance closest to the front. | FUE                      | N/A                              | Regulation 16(1)(a) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                  |                                                                               |                          |                                  |                                                                                                                                                                                                                                |
|-----------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|--------------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                              | FURTHER GUIDANCE                                                              | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                            |
| 11-37                                   | <b>FAIL TO ENSURE THAT A ROUTE NUMBER AND DESTINATION ARE KEPT ILLUMINATED BETWEEN SUNSET AND SUNRISE</b><br><br><b>- DRIVER</b> |                                                                               | FUE                      | N/A                              | Regulation 16(1)(b) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable |
| 11-38                                   | <b>FAIL TO ENSURE THAT THE VEHICLE DISPLAYS THE CORRECT ROUTE NUMBER AND DESTINATION AT ALL TIMES</b><br><br><b>- DRIVER</b>     | Use this offence where incorrect information is displayed otherwise use 11-36 | FUE                      | N/A                              | Regulation 16(1)(c) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable |
| 11-18                                   | <b>ROUTE AND DESTINATION OF SERVICE NOT DISPLAYED.</b>                                                                           |                                                                               | FUE                      | N/A                              | Regulation 13(1)(b) of the Public Service Vehicles (Registration of Local Services) Regulations 1986, and Section 60(1)(f) & 67 of the Public Passenger Vehicles Act 1981, SO-2                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                                                                                                             |                                                                                           |                          |                                  |                                                                                                                                                                                                                                |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                                                                                                         | FURTHER GUIDANCE                                                                          | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                            |
| 11-15                                   | <b>NO PRESCRIBED SIGNS (INDICATING THAT CHILDREN ARE ON BOARD) FITTED TO THE FRONT AND REAR OF A BUS CARRYING CHILDREN TO OR FROM SCHOOL</b>                                                                                | Offence rectified on site                                                                 | VW                       | N/A                              | Regulation 17A & Schedule and Section 42 of the Road Traffic Act 1988, SO-4                                                                                                                                                    |
|                                         |                                                                                                                                                                                                                             | Otherwise than above                                                                      | ORN                      | N/A                              |                                                                                                                                                                                                                                |
|                                         |                                                                                                                                                                                                                             | If operator, then fails to comply with the ORN requirements                               | FUE                      | N/A                              |                                                                                                                                                                                                                                |
| 11-15.1                                 |                                                                                                                                                                                                                             |                                                                                           | FUE                      | N/A                              |                                                                                                                                                                                                                                |
| 11-15.2                                 | <b>PERMIT</b>                                                                                                                                                                                                               |                                                                                           | FUE                      | N/A                              |                                                                                                                                                                                                                                |
| USE OF WHEELCHAIR SPACES                |                                                                                                                                                                                                                             |                                                                                           |                          |                                  |                                                                                                                                                                                                                                |
| 11-25                                   | <b>FAIL TO ENSURE THAT, BEFORE VEHICLE IS DRIVEN, ANY WHEELCHAIR USER IS CORRECTLY AND SAFELY POSITIONED IN A WHEELCHAIR SPACE AND ANY RETRACTABLE RAIL OR SIMILAR DEVICE IS PROPERLY POSITIONED</b><br><br><b>- DRIVER</b> | Retractable rail or similar device must be able to prevent lateral movement of wheelchair | FUE                      | N/A                              | Regulation 12(4)(e) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                                                                                             |                                                                                                                                                                                                                                                               |                          |                                  |                                                                                                                                                                                                                                |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                                                                                         | FURTHER GUIDANCE                                                                                                                                                                                                                                              | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                            |
| 11-24                                   | <b>FAIL TO ENSURE THAT WHEELCHAIR USERS CAN GAIN ACCESS INTO AND CAN GET OUT OF A WHEELCHAIR SPACE</b><br><br>- DRIVER                                                                                      |                                                                                                                                                                                                                                                               | FUE                      | N/A                              | Reg 12(4)(d) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable               |
| 11-26                                   | <b>FAIL TO ENSURE THAT, WHERE A WHEELCHAIR USER USING A SPACE FACING THE FRONT OF THE VEHICLE, THE WHEELCHAIR RESTRAINT SYSTEM IS ATTACHED IN ACCORDANCE WITH THE RELEVANT INSTRUCTIONS</b><br><br>- DRIVER | Instructions must be situated in a position readily visible to any person using the system.                                                                                                                                                                   | FUE                      | N/A                              | Regulation 12(4)(f) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable |
| 11-28                                   | <b>FAIL TO OFFER SUCH ASSISTANCE AS MAY BE REQUIRED TO ENABLE WHEELCHAIR USER TO WEAR A RESTRAINT</b><br><br>- DRIVER                                                                                       | Where a wheelchair user wishes to occupy a wheelchair space and it is fitted with a wheelchair restraint. Restraint must be applied in accordance with user instructions which must be situated in a position readily visible to any person using the system. | FUE                      | N/A                              | Regulation 14(4) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES                    |                                                                                                                                 |                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                                             |
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| PLS CODE                                                   | OFFENCE DESCRIPTION                                                                                                             | FURTHER GUIDANCE                                                                                                                                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                         |
| <b>BOARDING OF DISABLED PASSENGERS OR WHEELCHAIR USERS</b> |                                                                                                                                 |                                                                                                                                                                       |                          |                                  |                                                                                                                                                                                                                             |
| 11-49                                                      | <b>USE A REGULATED PUBLIC SERVICE VEHICLE WHICH DOES NOT CONFORM WITH A PROVISION OF THE PSV ACCESSIBILITY REGULATIONS 2000</b> |                                                                                                                                                                       |                          |                                  | Section 175(1)(b) of the Equality Act 2010.<br>Cause/permit: Section 175(1)(c) SO-4                                                                                                                                         |
|                                                            | <b>OPERATOR</b>                                                                                                                 |                                                                                                                                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                             |
| 11-49.1                                                    | <b>CAUSE</b>                                                                                                                    |                                                                                                                                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                             |
| 11-49.2                                                    | <b>PERMIT</b>                                                                                                                   |                                                                                                                                                                       | FUE                      | N/A                              |                                                                                                                                                                                                                             |
| 11-48                                                      | <b>PREVENTS A DISABLED PERSON, ACCOMPANIED BY AN ASSISTANCE DOG, TO BOARD AND TRAVEL WITH THE DOG</b><br><br><b>- DRIVER</b>    | No suitable space available<br><br>Suitable space available                                                                                                           | NFA<br><br>FUE           | N/A<br><br>N/A                   | Regulation 5(7) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable  |
| 11-21                                                      | <b>FAIL TO ASSIST A DISABLED NON-WHEELCHAIR USER TO BOARD OR ALIGHT</b><br><br><b>- DRIVER</b>                                  | When requested to do so and only if there is no risk to the person or any other passenger's health, safety or security or a risk to the vehicle's safety and security | FUE                      | N/A                              | Regulation 14(3) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                |                          |                                  |                                                                                                                                                                                                                                        |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                                       | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                    |
| 11-22                                   | <b>FAIL TO ENSURE THAT A DISABLED PERSON WHO IS NOT A WHEELCHAIR USER, WHEN BOARDING OR ALIGHTING, CAN USE AN ENTRANCE OR EXIT</b><br><br><b>- DRIVER</b> | <p>If entrance / exit used solely for emergency purposes or if it would constitute a health and safety or vehicle security risk to allow use of entrance or exit</p> <p>Use of entrance or exit poses no risk to any passenger's health, safety or security or a risk to the vehicle's safety and security</p> | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Regulation 13(4) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) &amp; (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable</p> |
| 11-23                                   | <b>PREVENTS A WHEELCHAIR USER FROM BOARDING THE VEHICLE</b><br><br><b>- DRIVER</b>                                                                        | <p>No unoccupied wheelchair space available</p> <p>Unoccupied wheelchair space available and wheelchair of a size / type that can be safely located in wheelchair space and maximum seating capacity not exceeded.</p>                                                                                         | <p>NFA</p> <p>FUE</p>    | <p>N/A</p> <p>N/A</p>            | <p>Regulation 12(2) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) &amp; (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable</p> |
| 11-27                                   | <b>FAIL TO PROVIDE REQUESTED ASSISTANCE TO A WHEELCHAIR USER TO BOARD OR ALIGHT</b><br><br><b>- DRIVER</b>                                                | <p>Applies when a wheelchair user wishes to board or alight and requires assistance to do so and only if there is no risk to the person or any other passenger's health, safety or security or a risk to the vehicle's safety and security</p>                                                                 | <p>FUE</p>               | <p>N/A</p>                       | <p>Regulation 14(2) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) &amp; (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                  |                                  |                                                                                                                                                                                                                                               |
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| PLS CODE                                | OFFENCE DESCRIPTION                         | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | GB OPERATOR OR UK DRIVER         | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                           |
| <b>EQUIPMENT TO BE CARRIED</b>          |                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                  |                                  |                                                                                                                                                                                                                                               |
| 11-2                                    | <b>PSV - NO FIRE EXTINGUISHER CARRIED</b>   | <p>Fire extinguisher is missing/not present</p> <p>The fire extinguisher present should be “maintained in good and efficient working order”. The absence of a fire extinguisher service date alone should not justify an ORN. Where the service date cannot be confirmed, the extinguisher should be assessed for accessibility, correct pressure (if gauged), intact safety seal and pin, absence of damage or corrosion, and correct BS marking (BS 5423, BS EN3 or EN3).</p> <p>If operator then fails to comply with the ORN requirements</p> | <p>ORN</p> <p>ORN</p> <p>FUE</p> | <p>VW</p> <p>VW</p> <p>N/A</p>   | Regulation 35 of The Public Service Vehicles (Conditions of Fitness, Equipment, Use and Certification) Regulations 1981 and Section 67 of The Public Passenger Act 1981, Section 67, SO-2                                                     |
| 11-3                                    | <b>PSV - NO FIRST AID EQUIPMENT CARRIED</b> | No requirement on local services except minibuses detailed in C&U Regulations - Schedule 6                                                                                                                                                                                                                                                                                                                                                                                                                                                        | VW                               | VW                               | Regulation 36 of The Public Service Vehicles (Conditions of Fitness, Equipment, Use and Certification) Regulations 1981, Paragraph 16 of Schedule I of the transport Act 1985 and Section 67 of the Public Passengers Vehicles Act 1981, SO-2 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES                                   |                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                              |                          |                                  |                                                                                                                                                                                                                  |
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| PLS CODE                                                                  | OFFENCE DESCRIPTION                                                                                                                                                | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                             | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                              |
| EQUIPMENT - RELATING TO ASSISTING DISABLED PASSENGERS OR WHEELCHAIR USERS |                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                              |                          |                                  |                                                                                                                                                                                                                  |
| 11-29                                                                     | <b>FAIL TO CARRY A PORTABLE RAMP ON A VEHICLE ENGAGED ON A LOCAL OR SCHEDULED SERVICE</b><br><br><b>- DRIVER</b>                                                   | - Portable ramp not carried<br><br>- If driver was unable to demonstrate operation of a ramp due to a lack of training on or inability to remember: <ul style="list-style-type: none"> <li>• how to operate it</li> <li>• the location of the T key</li> <li>• the location of the secondary ramp</li> </ul> | FUE                      | N/A                              | Reg 12(4)(a) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable |
|                                                                           |                                                                                                                                                                    | - If operator fails to comply with the ORN requirements                                                                                                                                                                                                                                                      | ORN                      | N/A                              |                                                                                                                                                                                                                  |
| 11-30                                                                     | <b>FAIL TO SECURELY STOW ANY BOARDING LIFT, RAMP OR PORTABLE RAMP IS IN ITS NORMAL POSITION FOR VEHICLE TRAVEL BEFORE VEHICLE IS DRIVEN</b><br><br><b>- DRIVER</b> | - If driver unable to stow equipment because of a fault with equipment                                                                                                                                                                                                                                       | NFA                      | N/A                              | Reg 12(4)(b) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable |
|                                                                           |                                                                                                                                                                    | - If no danger to passengers or other road users exists.                                                                                                                                                                                                                                                     | VW                       | N/A                              |                                                                                                                                                                                                                  |
|                                                                           |                                                                                                                                                                    | - If danger to passengers or other road users exists or injury has occurred.                                                                                                                                                                                                                                 | FUE                      | N/A                              |                                                                                                                                                                                                                  |
|                                                                           |                                                                                                                                                                    | - If driver was unable to demonstrate operation of a ramp due to a lack of training on or                                                                                                                                                                                                                    | ORN                      | N/A                              |                                                                                                                                                                                                                  |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                         |                           |                                  |                                                                                                                                                                                                                      |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                                                                                      | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER  | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                  |
|                                         |                                                                                                                                                                                                          | inability to remember: <ul style="list-style-type: none"> <li>• how to operate it</li> <li>• the location of the T key</li> <li>• the location of the secondary ramp</li> </ul> - If operator fails to comply with the ORN requirements                                                                                                                                                 | FUE                       | N/A                              |                                                                                                                                                                                                                      |
| 11-32                                   | <b>FAIL TO ENSURE THAT A SEAT IN A WHEELCHAIR SPACE SAFELY STOWED WHEN NOT IN USE OR IS SECURED WHEN IN USE</b><br><br>- DRIVER                                                                          | For seats that can be removed or dismantled.<br><br>- If no danger to passengers exists.<br><br>- If danger to passengers or injury has occurred.                                                                                                                                                                                                                                       | VW<br><br>FUE             | N/A<br><br>N/A                   | Reg 12(5)(a)&(b) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable |
| 11-31                                   | <b>FAIL TO ENSURE THAT A SEPARATE MEANS OF MANUAL CONTROL IS CARRIED ON THE VEHICLE FOR THE MANUAL OPERATION OF A BOARDING LIFT OR RAMP WHEN ENGAGED ON A LOCAL OR SCHEDULED SERVICE</b><br><br>- DRIVER | Separate means of manual control not available<br><br>- If driver was unable to demonstrate operation of a ramp due to a lack of training on or inability to remember: <ul style="list-style-type: none"> <li>• how to operate it</li> <li>• the location of the T key</li> <li>• the location of the secondary ramp</li> </ul> - If operator fails to comply with the ORN requirements | FUE<br><br>ORN<br><br>FUE | N/A<br><br>N/A<br><br>N/A        | Reg 12(4)(c) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regs 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable     |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                                  |                                                                                                                                                                                                                                                                                                                                                                                                                             |                                 |                                  |                                                                                                                                                                                                                                                     |
|-----------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                              | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                            | GB OPERATOR OR UK DRIVER        | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                                 |
| 11-33                                   | <b>FAIL TO OPERATE A KNEELING SYSTEM OR A FOLDING / RETRACTABLE STEP</b><br><br><b>- DRIVER</b>                                                  | <p>The driver or conductor must consider it necessary to operate the equipment, or have been requested to do so, to enable the person to board or alight. Must be done in a manner that the distance between the vehicle and ground / kerb is kept to a reasonably practical minimum</p> <p>-If there is a fault or failure in the equipment preventing its proper operation</p> <p>-Equipment can be properly operated</p> | <p>NFA</p> <p>FUE</p>           | <p>N/A</p> <p>N/A</p>            | <p>Regulation 13(2)(b) &amp; (3) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) &amp; (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable</p> |
| 11-34                                   | <b>FAIL TO ENSURE THAT ANY BOARDING LIFT / RAMP OR PORTABLE RAMP IS SAFELY DEPLOYED IN ITS CORRECT OPERATING POSITION</b><br><br><b>- DRIVER</b> | <p>Applies when a wheelchair user wishes to board or alight</p> <p>-If there is a fault or failure in the equipment preventing its operation</p> <p>Equipment can be properly operated: -</p> <p>- If no danger to passengers exists.</p> <p>- If danger to passengers or injury has occurred.</p>                                                                                                                          | <p>NFA</p> <p>VW</p> <p>FUE</p> | <p>N/A</p> <p>N/A</p> <p>N/A</p> | <p>Regulation 14(1) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) &amp; (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable</p>              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                                                                                                                        |                                                                          |                          |                                  |                                                                                                                                                                                                                             |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                                                                                                                    | FURTHER GUIDANCE                                                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                         |
| 11-35                                   | <b>PERMITS THE BOARDING OR ALIGHTING OF A VEHICLE WHERE HE/SHE IS NOT SATISFIED IT CAN BE DONE SAFELY BECAUSE THE KNEELING SYSTEM, FOLDING OR RETRACTABLE STEP IS FAULTY OR THERE IS A FAILURE IN OPERATION</b><br><br><b>- DRIVER</b> | Applies to wheelchair user, other disabled person or any other passenger | FUE                      | N/A                              | Regulation 15(1) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 as amended and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2, endorsable |
| <b>MISCELLANEOUS</b>                    |                                                                                                                                                                                                                                        |                                                                          |                          |                                  |                                                                                                                                                                                                                             |
| 11-1                                    | <b>DRIVER SMOKING ON PSV (WITHOUT PASSENGERS PERMISSION WHERE RELEVANT)</b>                                                                                                                                                            |                                                                          | VW                       | VW                               | The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990, Regulation 5(3)(b) & (4), Public Passenger Vehicles Act 1981, Section 24, SO-4                                    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                                                              |                                                             |                          |                                  |                                                                                                                                                                                                      |
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| PLS CODE                                | OFFENCE DESCRIPTION                                                                                                                          | FURTHER GUIDANCE                                            | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                  |
| 11-15                                   | <b>NO PRESCRIBED SIGNS (INDICATING THAT CHILDREN ARE ON BOARD) FITTED TO THE FRONT AND REAR OF A BUS CARRYING CHILDREN TO OR FROM SCHOOL</b> | Offence rectified on site                                   | VW                       | N/A                              | Regulation 17A and Section 42 of the Road Traffic Act 1988, SO-4                                                                                                                                     |
|                                         |                                                                                                                                              | Otherwise than above                                        | ORN                      | N/A                              |                                                                                                                                                                                                      |
|                                         |                                                                                                                                              | If operator, then fails to comply with the ORN requirements | FUE                      | N/A                              |                                                                                                                                                                                                      |
|                                         |                                                                                                                                              |                                                             | FUE                      | N/A                              |                                                                                                                                                                                                      |
| 11-15.1                                 | <b>CAUSE</b>                                                                                                                                 |                                                             | FUE                      | N/A                              |                                                                                                                                                                                                      |
| 11-15.2                                 | <b>PERMIT</b>                                                                                                                                |                                                             | FUE                      | N/A                              |                                                                                                                                                                                                      |
| 11-5                                    | <b>FAIL TO NOTIFY ALTERATION</b>                                                                                                             | Failure to inform DVSA of a notifiable alteration           | FUE                      | N/A                              | Section 20(2) & (4) of The Public Passenger Vehicles Act 1981, SO-3                                                                                                                                  |
| 11-17                                   | <b>DRIVER USING A MICROPHONE</b>                                                                                                             | Driver is using a handheld microphone                       | VW                       | VW                               | Regulation 4(1) of the Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990, and Section 24(1) & (2) of the Public Passenger Vehicles Act 1981, SO-2 |
|                                         |                                                                                                                                              | Use of any hands-free microphone                            | NFA                      | NFA                              |                                                                                                                                                                                                      |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 11 – PSV MISCELLANEOUS OFFENCES |                                                                                                   |                                                                                                                                          |                                |                                           |                                                                                                                                                                                                                 |
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| PLS<br>CODE                             | OFFENCE DESCRIPTION                                                                               | FURTHER GUIDANCE                                                                                                                         | GB OPERATOR<br>OR<br>UK DRIVER | NON<br>GB OPERATOR<br>OR<br>NON UK DRIVER | REG                                                                                                                                                                                                             |
| 11-4                                    | <b>FAIL TO ENSURE SAFETY OF<br/>PASSENGERS ON THE VEHICLE</b><br><br><b>- DRIVER OR CONDUCTOR</b> | Driver/conductor owes duty of care to passengers when in the vehicle and when alighting or disembarking the vehicle at recognised stops. | FUE                            | VW                                        | Regulation 5(1) of The Public Service Vehicles (Conduct of Drivers, Inspectors, Conductors and Passengers) Regulations 1990 (1020) (SI), Section 24 of The Public Passenger Vehicles Act 1981, Section 24, SO-3 |



SECTION 12 – GOODS VEHICLE MISCELLANEOUS OFFENCES

SECTION 12 – GOODS VEHICLE MISCELLANEOUS OFFENCES

| PLS CODE                 | OFFENCE DESCRIPTION                                                                                                                | FURTHER GUIDANCE                                                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                          |
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| COMMUNITY AUTHORISATIONS |                                                                                                                                    |                                                                          |                          |                                  |                                                                                                                                              |
| 12-1                     | USE A GOODS VEHICLE FOR HIRE OR REWARD ON AN INTERNATIONAL JOURNEY WHILST NOT IN POSSESSION OF A COMMUNITY LICENCE<br><br>- DRIVER | Driver not carrying document but claims operator has a community licence | VW & MSI                 | GV170                            | Article 3, EEC 1072/2009<br>Reg 4, Goods Vehicles (Community Licences) Regulations 2011,<br>Section 2(2) European Communities Act 1972, SO-4 |
|                          |                                                                                                                                    | If operator not the holder of a community licence                        | FUE & MSI                | GV170                            |                                                                                                                                              |
|                          | - OPERATOR                                                                                                                         | Driver not carrying document but claims operator has a community licence | FUE & MSI                | N/A                              |                                                                                                                                              |
|                          |                                                                                                                                    | If operator not the holder of a community licence                        | FUE & MSI                | N/A                              |                                                                                                                                              |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 12 – GOODS VEHICLE MISCELLANEOUS OFFENCES |                                                                                                            |                                                                                                                                                                                                                                                                                                                                                         |                          |                                                                                                                           |                                                                                                                                        |
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| PLS CODE                                          | OFFENCE DESCRIPTION                                                                                        | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                        | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER                                                                                          | REG                                                                                                                                    |
| <b>CABOTAGE</b>                                   |                                                                                                            |                                                                                                                                                                                                                                                                                                                                                         |                          |                                                                                                                           |                                                                                                                                        |
| 12-4                                              | <b>CABOTAGE OPERATIONS NOT IN ACCORDANCE WITH THE CONDITIONS SPECIFIED IN ARTICLE 8(2) OF EC 1072/2009</b> | <ul style="list-style-type: none"> <li>- More than 2 cabotage operations carried out within 7 days following the delivery of the goods from the incoming international carriage</li> <li>- Cabotage operations carried out after the expiry of the 7-day period following the delivery of the goods from the incoming international carriage</li> </ul> | <p>N/A</p> <p>N/A</p>    | <p>GV 170<br/>Conditional offer will be sent to operator</p> <p>GV 170<br/>Conditional offer will be sent to operator</p> | Article 8(2), Council Regulation EC 1072/2009 and Sections 2(1)(a) & (5) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-5 |
| 12-5                                              | <b>CABOTAGE OPERATIONS NOT IN ACCORDANCE WITH THE CONDITIONS SPECIFIED IN ARTICLE 8(3) OF EC 1072/2009</b> | - Failure to provide clear evidence of the incoming international carriage and of each consecutive cabotage operation carried out                                                                                                                                                                                                                       | N/A                      | GV 170<br>Conditional offer will be sent to operator                                                                      | Article 8(3), Council Regulation EC 1072/2009 and Sections 2(1)(a) & (5) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-5 |
| 12-18                                             | <b>FAIL TO PRODUCE POSTING DECLARATION</b>                                                                 | On an international journey fail to provide evidence of posting in electronic or paper form                                                                                                                                                                                                                                                             | N/A                      | GV 170<br>Conditional offer will be sent to operator                                                                      | Schedule 3, Part 3. 67(4) of the Goods Vehicles (Licensing of Operators) Regulations 1995, SO-5                                        |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 12 – GOODS VEHICLE MISCELLANEOUS OFFENCES |                                                                                         |                                                                                                                                                                                                                                                  |                          |                                                      |                                                                                                                                                                                |
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| PLS CODE                                          | OFFENCE DESCRIPTION                                                                     | FURTHER GUIDANCE                                                                                                                                                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER                     | REG                                                                                                                                                                            |
| 12-7                                              | <b>DOMESTIC HAULAGE CARRIED OUT IN GREAT BRITAIN BY A VEHICLE FROM A NON-EC COUNTRY</b> |                                                                                                                                                                                                                                                  | N/A                      | GV 170<br>Conditional offer will be sent to operator | Sections 2(1)(a) & (5) of the Goods Vehicles (Licensing of Operators) Act 1995, SO-5                                                                                           |
| <b>LONDON TRAFFIC ORDER</b>                       |                                                                                         |                                                                                                                                                                                                                                                  |                          |                                                      |                                                                                                                                                                                |
| 12-10                                             | <b>FAIL TO COMPLY WITH A LONDON TRAFFIC ORDER</b>                                       | Traffic orders apply to roads and streets within the area of London delineated by London Safer Lorry Scheme. They do not apply to motorways<br><br>In scope vehicles are goods vehicles over 3.5t GVW and trailers over 3.5t max permitted mass. |                          |                                                      | The GLA Roads and GLA Side Roads (London Safer Lorry Scheme) (Restriction of Goods Vehicles) Traffic Order 2015 and Section 8(1) of the Road Traffic Regulation Act 1984, SO-3 |
|                                                   | - DRIVER                                                                                |                                                                                                                                                                                                                                                  | £50 FP                   | £50 FP                                               |                                                                                                                                                                                |
|                                                   | - OPERATOR                                                                              |                                                                                                                                                                                                                                                  | NFA                      | N/A                                                  |                                                                                                                                                                                |
| 12-10.1                                           | - CAUSE                                                                                 |                                                                                                                                                                                                                                                  | FUE                      | N/A                                                  |                                                                                                                                                                                |
| 12-10.2                                           | - PERMIT                                                                                |                                                                                                                                                                                                                                                  | FUE                      | N/A                                                  |                                                                                                                                                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 12 – GOODS VEHICLE MISCELLANEOUS OFFENCES |                                                                                                                                                                                                                                                                                                           |                                                                                                        |                          |                                  |                                                                                                                                               |
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| PLS CODE                                          | OFFENCE DESCRIPTION                                                                                                                                                                                                                                                                                       | FURTHER GUIDANCE                                                                                       | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                           |
| <b>KENT TRAFFIC ORDER</b>                         |                                                                                                                                                                                                                                                                                                           |                                                                                                        |                          |                                  |                                                                                                                                               |
| 12-11                                             | <b>FAIL TO COMPLY WITH KENT TRAFFIC ORDER No.1</b><br>Fail to produce documents carried by the driver or on the vehicle relating to the vehicle's journey specifying origin, destination or goods or relating to goods being exported from the UK to any other country (Kent only)<br><br><b>- DRIVER</b> | "Heavy commercial vehicle" means any goods vehicle which has an operating weight exceeding 7.5 tonnes. | £300 FP                  | £300 FP                          | Article 2(2) of the Heavy Commercial Vehicles in Kent (No. 1) Order 2019, Article 2(6)(a) of the Heavy Commercial Vehicles Order 2019, SO-3   |
| 12-12                                             | <b>FAIL TO COMPLY WITH KENT TRAFFIC ORDER No.1</b><br>Fail to comply with a direction issued to a relevant vehicle in the county of Kent<br><br><b>- DRIVER</b>                                                                                                                                           | "Heavy commercial vehicle" means any goods vehicle which has an operating weight exceeding 7.5 tonnes. | £300 FP                  | £300 FP                          | Article 2(3) of the Heavy Commercial Vehicles in Kent (No. 1) Order 2019, Article 2(6)(b) of the Heavy Commercial Vehicles Order 2019, SO-3   |
| 12-13                                             | <b>FAIL TO COMPLY WITH KENT TRAFFIC ORDER No.2</b><br>Contravened the restricted access to specified local roads or dual carriageways in Kent<br><br><b>- DRIVER</b>                                                                                                                                      | "Heavy commercial vehicle" means any goods vehicle which has an operating weight exceeding 7.5 tonnes. | £300 FP                  | £300 FP                          | Article 3(1) or 4(1) of the Heavy Commercial Vehicles in Kent (No. 2) Order 2019, Section 20(5) of the Road Traffic Regulation Act 1984, SO-3 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 12 – GOODS VEHICLE MISCELLANEOUS OFFENCES |                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                          |                                  |                                                                                                                                                     |
|---------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                          | OFFENCE DESCRIPTION                                                                                                                                                                                | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                                               | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                 |
| 12-14                                             | <b>FAIL TO COMPLY WITH KENT TRAFFIC ORDER No.3</b><br>Contravened the conditional or restricted access to the coastbound M20 motorway between junctions 8 and 9 or 9 and 13<br><br><b>- DRIVER</b> | “Heavy commercial vehicle” means any goods vehicle which has an operating weight exceeding 7.5 tonnes.                                                                                                                                                                                                                                                                                                                                                                         | £300 FP                  | £300 FP                          | Article 2(1), 3(2) or 3(4) of the Heavy Commercial Vehicles in Kent (No. 3) Order 2019, Section 17(4) of the Road Traffic Regulation Act 1984, SO-4 |
| ECMT PERMITS                                      |                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                          |                                  |                                                                                                                                                     |
| 12-19                                             | <b>FAIL WITHOUT REASONABLE EXCUSE TO PRODUCE A PERMIT DRIVER</b>                                                                                                                                   | Goods Vehicles: Motor vehicles registered under the Vehicle Excise and Registration Act 1994, constructed or adapted to carry or haul goods or any burden<br><br>Trailers: Commercial trailers over 750 kg gross weight and all trailers over 3,500 kg gross weight used internationally to or through Vienna Convention countries.<br><br>Domestic journeys within the UK are not affected by permit requirements, but trailer registration applies for international travel. | £300 FP                  | £300 FP                          | Section 6(2)(a) of the Haulage Permits and Trailer Registration Act 2018.                                                                           |

| SECTION 13 – GENERAL MISCELLANEOUS OFFENCES |                     |                  |                                |                                           |     |
|---------------------------------------------|---------------------|------------------|--------------------------------|-------------------------------------------|-----|
| PLS<br>CODE                                 | OFFENCE DESCRIPTION | FURTHER GUIDANCE | GB OPERATOR<br>OR<br>UK DRIVER | NON<br>GB OPERATOR<br>OR<br>NON UK DRIVER | REG |

| DRIVING IN CONTRAVENTION OF A PROHIBITION |                                                                                                                       |                                                                                                                                                                                                                      |                    |                                         |                                                                    |
|-------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----------------------------------------|--------------------------------------------------------------------|
| 13-6                                      | <b>DRIVES A VEHICLE IN CONTRAVENTION OF A PROHIBITION (GV170 OR GV171)</b><br><br><b>- ROADWORTHINESS PROHIBITION</b> | Vehicle is subject to an uncleared GV171 and:<br><br>- all defects listed on the uncleared prohibition are rectified.                                                                                                | Go to offence 1-76 | £300 FP<br>PG35EC<br>Remove prohibition | Section 3(1) of the Road Traffic (Foreign Vehicles) Act 1972, SO-5 |
|                                           |                                                                                                                       | - one or more of the previously identified defects is still present or new defects are identified warranting a prohibition.<br><br><b>In addition:</b><br><br>- previously unidentified defects have also been found | Go to offence 1-76 | £300 FP<br>PG35EC<br>GV 171             |                                                                    |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 13 – GENERAL MISCELLANEOUS OFFENCES  |                                                                                                                                                                                                         |                                                                                                                                                                      |                                                                                |                                       |                                                                                                    |
|----------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------|
| PLS CODE                                     | OFFENCE DESCRIPTION                                                                                                                                                                                     | FURTHER GUIDANCE                                                                                                                                                     | GB OPERATOR OR UK DRIVER                                                       | NON GB OPERATOR OR NON UK DRIVER      | REG                                                                                                |
| 13-6                                         | <b>DRIVES A VEHICLE IN CONTRAVENTION OF A PROHIBITION (GV170 OR GV171)</b><br><br><b>- DRIVERS HOURS / TACHO EQUIPMENT</b><br><br><b>- OVERLOADING PROHIBITION</b><br><br><b>- CABOTAGE PROHIBITION</b> |                                                                                                                                                                      | Go to offence 4-44<br><br>Go to offence 10-19<br><br>Go to offence 12-4 / 12-5 | £300 FP<br><br>£300 FP<br><br>£300 FP | Section 3(1) of the Road Traffic (Foreign Vehicles) Act 1972, SO-5                                 |
| 13-29                                        | <b>REFUSES OR FAILS TO COMPLY, WITHIN A REASONABLE TIME, WITH A DIRECTION NOTICE ISSUED IN RELATION TO A DRIVERS' HOURS PROHIBITION</b>                                                                 |                                                                                                                                                                      | Go to offence 4-45                                                             | £300 FP                               | Section 3(1)(c) of the Road Traffic (Foreign Vehicles) Act 1972, SO-5                              |
| <b>FAILING TO COMPLY WITH A TRAFFIC SIGN</b> |                                                                                                                                                                                                         |                                                                                                                                                                      |                                                                                |                                       |                                                                                                    |
| 13-33                                        | <b>FAILING TO COMPLY WITH A TRAFFIC SIGN</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b>                                                                                                            | Where the traffic sign is of the prescribed type and has been lawfully placed i.e. the sign is an indication of a statutory prohibition, restriction or requirement. | £100 FP<br><br>N/A                                                             | £100 FP<br><br>N/A                    | Road Traffic Act 1988, Section 36(1) and the Road Traffic Offenders Act 1998, Sch 3<br>SO-3, 3 pts |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 13 – GENERAL MISCELLANEOUS OFFENCES |                                                                                                                                                             |                                                                                                                                                                                                  |                          |                                  |                                                          |
|---------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|----------------------------------------------------------|
| PLS CODE                                    | OFFENCE DESCRIPTION                                                                                                                                         | FURTHER GUIDANCE                                                                                                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                      |
| <b>OBSTRUCTION</b>                          |                                                                                                                                                             |                                                                                                                                                                                                  |                          |                                  |                                                          |
| 13-1                                        | <b>OBSTRUCTS EXAMINER RE SECTION 99(2)(a) OR (3) OF THE TA 1968</b>                                                                                         |                                                                                                                                                                                                  | £300 FP                  | GV170<br>£300                    | Section 99(4)(b) of the Transport Act 1968, SO-3         |
| 13-2                                        | <b>OBSTRUCTION OF EXAMINER IN THE INSPECTION OF A PUBLIC SERVICE VEHICLE OR A GOODS VEHICLE</b>                                                             | Obstructs an Examiner in the exercise of his powers to detain and inspect such a vehicle. Includes entering any premises, at any reasonable time, on which it is believed such a vehicle is kept | P                        | GV170<br>P                       | Section 68(3) of the Road Traffic Act 1988, SO-3         |
| 13-24                                       | <b>OBSTRUCTION OF EXAMINER IN THE TEST OF A VEHICLE OR TRAILER DRAWN BY IT</b>                                                                              | Obstructs an Examiner in the exercise of his powers to test a motor vehicle for compliance with construction and use requirements and that the vehicle's use does not involve danger of injury   | P                        | GV170<br>P                       | Section 67(9) of the Road Traffic Act 1988, SO-3         |
| 13-14                                       | <b>FAILS WITHOUT REASONABLE EXCUSE TO COMPLY WITH A REQUIREMENT UNDER SECTION 99ZA OR OBSTRUCTS EXAMINER RE SECTION 99ZB</b><br><br><b>(EC REGULATIONS)</b> |                                                                                                                                                                                                  | £300 FP                  | GV170<br>£300 FP                 | Section 99ZD(1)(b) & (2) of the Transport Act 1968, SO-5 |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 13 – GENERAL MISCELLANEOUS OFFENCES |                                                                              |                                                                                                                                                                                                                       |                          |                                  |                                                                                                     |
|---------------------------------------------|------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------|
| PLS CODE                                    | OFFENCE DESCRIPTION                                                          | FURTHER GUIDANCE                                                                                                                                                                                                      | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                 |
| FAIL TO DISCLOSE DETAILS                    |                                                                              |                                                                                                                                                                                                                       |                          |                                  |                                                                                                     |
| 13-5                                        | <b>FAIL TO DISCLOSE NAME / ADDRESS</b>                                       | Driver fails to give Examiner their name and/or address or the name and/or address of the owner of the vehicle                                                                                                        | FUE                      | VW                               | Section 165(3) of the Road Traffic Act 1988<br>SO-3                                                 |
| 13-5.1                                      | <b>AID, ABET, COUNSEL OR PROCURE A DRIVER TO FAIL TO GIVE NAME / ADDRESS</b> | When driver fails to give Examiner his/her name and/or address or the name and/or address of the owner of the vehicle                                                                                                 | FUE                      | N/A                              | Section 165(3) of the Road Traffic Act 1988 and Section 44 of the Magistrates Courts Act 1980, SO-3 |
| 13-5A                                       | <b>FAILURE TO DISCLOSE DATE OF BIRTH</b>                                     | The requirement to give a date of birth relates only in circumstances where an offence has been committed in relation to the use of a motor vehicle on a road and the driver's licence has been revoked or suspended. | FUE                      | N/A                              | Section 164(2) & (6) of the Road Traffic Act 1988<br>SO-3                                           |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 13 – GENERAL MISCELLANEOUS OFFENCES |                                                                                                                                                                                          |                                                                                                                  |                          |                                  |                                                                                                           |
|---------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------|
| PLS CODE                                    | OFFENCE DESCRIPTION                                                                                                                                                                      | FURTHER GUIDANCE                                                                                                 | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                       |
| IMMOBILISATION OFFENCES                     |                                                                                                                                                                                          |                                                                                                                  |                          |                                  |                                                                                                           |
| 13-15                                       | <b>FAIL TO MOVE A VEHICLE FOR THE PURPOSE OF ENABLING AN IMMOBILISATION DEVICE TO BE FITTED TO THE VEHICLE</b><br><br><b>- DRIVER OR PERSON APPEARING TO BE IN CHARGE OF THE VEHICLE</b> | Failing to comply with a direction under Regulation 4(1)(b) within a reasonable timescale                        | FUE                      | VW                               | Regulation 5 of The Road Safety (Immobilisation, Removal and Disposal of Vehicles) Regulations 2009, SO-5 |
| 13-16                                       | <b>REMOVING OR INTERFERING WITH AN IMMOBILISATION NOTICE</b>                                                                                                                             |                                                                                                                  | P                        | P                                | Regulation 6 of The Road Safety (Immobilisation, Removal and Disposal of Vehicles) Regulations 2009, SO-2 |
| 13-17                                       | <b>REMOVING OR ATTEMPTING TO REMOVE AN IMMOBILISATION DEVICE</b>                                                                                                                         | By any person other than an authorised person or a person acting under the authorisation of an authorised person | P                        | P                                | Regulation 7 of The Road Safety (Immobilisation, Removal and Disposal of Vehicles) Regulations 2009, SO-3 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

### SECTION 13 – GENERAL MISCELLANEOUS OFFENCES

| PLS CODE | OFFENCE DESCRIPTION                                                                                                                                                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                        |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 13-18    | <b>KNOWINGLY MAKES A FALSE OR MISLEADING DECLARATION THAT A PROHIBITION HAS BEEN REMOVED IN ORDER TO SECURE THE RELEASE OF A VEHICLE FROM AN IMMOBILISATION DEVICE</b> |                                                                                                                                                                                                                                                                                                                                                                                                                                                    | P                        | P                                | Regulation 8 of The Road Safety (Immobilisation, Removal and Disposal of Vehicles) Regulations 2009,<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both |
| 13-19    | <b>FAILING TO REMOVE A VEHICLE<br/>- DRIVER OR PERSON APPEARING TO BE IN CHARGE OF THE VEHICLE</b>                                                                     | Failing to comply with a direction under Regulation 9(1) within a reasonable timescale<br><br>Reason for removal must be one of the following: -<br><br>(a) for the safety of traffic, the vehicle, its occupants or its load;<br>(b) because there is insufficient space for the vehicle to remain at the location at which the vehicle was inspected; or<br>(c) because it appears to the authorised person that the vehicle has been abandoned. | FUE                      | P                                | Regulation 16 of The Road Safety (Immobilisation, Removal and Disposal of Vehicles) Regulations 2009, SO-5                                                                                 |
| 13-21    | <b>FAILING TO DELIVER A VEHICLE TO AN IDENTIFIED PERSON<br/>- DRIVER OR PERSON APPEARING TO BE IN CHARGE OF THE VEHICLE</b>                                            | Failing to comply with a direction under Regulation 10(1) within a reasonable timescale                                                                                                                                                                                                                                                                                                                                                            | FUE                      | P                                | Regulation 16 of The Road Safety (Immobilisation, Removal and Disposal of Vehicles) Regulations 2009, SO-5                                                                                 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 13 – GENERAL MISCELLANEOUS OFFENCES |                                                                                                                                                                                                            |                                                                                                                                                                                                |                                                           |                                                   |                                                                                                                                                                                             |
|---------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                    | OFFENCE DESCRIPTION                                                                                                                                                                                        | FURTHER GUIDANCE                                                                                                                                                                               | GB OPERATOR OR UK DRIVER                                  | NON GB OPERATOR OR NON UK DRIVER                  | REG                                                                                                                                                                                         |
| 13-22                                       | KNOWINGLY MAKES A FALSE OR MISLEADING DECLARATION THAT A PROHIBITION HAS BEEN REMOVED IN ORDER TO SECURE POSSESSION OF A VEHICLE PURPORTED TO HAVE BEEN DELIVERED INTO THE CUSTODY OF AN IDENTIFIED PERSON |                                                                                                                                                                                                | P                                                         | P                                                 | Regulation 17 of The Road Safety (Immobilisation, Removal and Disposal of Vehicles) Regulations 2009,<br>a) SO-a sum not exceeding the statutory max.<br>b) IND-Prison 2yrs or fine or both |
| ROAD USER LEVY OFFENCES                     |                                                                                                                                                                                                            |                                                                                                                                                                                                |                                                           |                                                   |                                                                                                                                                                                             |
| 13-31                                       | <b>USING OR KEEPING A HEAVY GOODS VEHICLE WHEN HGV LEVY NOT PAID –</b><br><br><b>NO LEVY</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><br><br><br><b>- OPERATOR</b>                               | Where a goods vehicle of revenue weight 12,000kgs or more is used or kept on a public road when no levy payment is in force.<br><br>- UK registered vehicle<br><br>- Non-UK registered vehicle | NFA<br><br>£300 FP<br><br><br><br><br><br><br>CLE2/7 or P | NFA<br><br>£300 FP<br><br><br><br><br><br><br>NFA | HGV Road User Levy Act 2013, Section 11, SO-5                                                                                                                                               |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 13 – GENERAL MISCELLANEOUS OFFENCES |                                                                                                                                                                         |                                                                                                                                                                                                |                                                                         |                                                    |                                                                  |
|---------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|----------------------------------------------------|------------------------------------------------------------------|
| PLS CODE                                    | OFFENCE DESCRIPTION                                                                                                                                                     | FURTHER GUIDANCE                                                                                                                                                                               | GB OPERATOR OR UK DRIVER                                                | NON GB OPERATOR OR NON UK DRIVER                   | REG                                                              |
| 13-32                                       | <b>USING OR KEEPING A HEAVY GOODS VEHICLE WHEN HGV LEVY NOT PAID –</b><br><br><b>WRONG LEVY</b><br><br><b>- DRIVER</b><br><br><br><br><br><br><br><br><b>- OPERATOR</b> | Where a goods vehicle of revenue weight 12,000kgs or more is used or kept on a public road when no levy payment is in force.<br><br>- UK registered vehicle<br><br>- Non-UK registered vehicle | NFA<br><br>£300 FP <sup>1</sup><br><br><br><br>CLE2/7 or P <sup>2</sup> | NFA<br><br>£300 FP <sup>1</sup><br><br><br><br>NFA | HGV Road User Levy Act 2013, Section 11, SO-5                    |
| STOPPING OFFICER OFFENCES                   |                                                                                                                                                                         |                                                                                                                                                                                                |                                                                         |                                                    |                                                                  |
| 13-25                                       | <b>RESISTS OR WILFULLY OBSTRUCTS A STOPPING OFFICER WHO IS EXERCISING THE POWERS OF A STOPPING OFFICER</b>                                                              |                                                                                                                                                                                                | P                                                                       | P                                                  | Road Traffic Act 1988, Section 66C(1), One month or SO-3 or both |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 13 – GENERAL MISCELLANEOUS OFFENCES |                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                    |                          |                                  |                                                                                                                              |
|---------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                    | OFFENCE DESCRIPTION                                                                                                                                             | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                                                                                                                                                   | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                          |
| 13-26                                       | WITH INTENT TO DECEIVE IMPERSONATES A STOPPING OFFICER, MAKES ANY STATEMENT OR DOES ANY ACT CALCULATED FALSELY TO SUGGEST THAT THE PERSON IS A STOPPING OFFICER |                                                                                                                                                                                                                                                                                                                                                                                                                                    | P                        | P                                | Road Traffic Act 1988, Section 66C(2), SO-5                                                                                  |
| FORGERY, MISUSE OF DOCUMENTS                |                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                    |                          |                                  |                                                                                                                              |
| 13-3                                        | FORGERY AND MISUSE OF DOCUMENTS                                                                                                                                 | For type of documents referred to in Section 173(2) of The Road Traffic Act 1988.                                                                                                                                                                                                                                                                                                                                                  | P                        | X                                | Road Traffic Act 1988, Section 173                                                                                           |
| 13-3A                                       | AID, ABET, COUNSEL OR PROCURE THE FORGERY OR MISUSE OF DOCUMENTS                                                                                                | <p>In relation to another person who with intent to deceive:</p> <ul style="list-style-type: none"> <li>- forges, alters, uses, lends, or allows to be used by any other person a document or other thing to which Section 173 applies;</li> </ul> <p>or</p> <ul style="list-style-type: none"> <li>- makes any document or other thing so closely resembling a document or other thing as to be calculated to deceive.</li> </ul> | FUE                      | N/A                              | Road Traffic Act 1988, Section 173(a)(b) or (c) and Section 44 of the Magistrates Courts Act 1980, SO-Stat max IND – 2 years |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 13 – GENERAL MISCELLANEOUS OFFENCES      |                                                                                 |                                                                                                                                                                          |                          |                                  |                                                                                                |
|--------------------------------------------------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|------------------------------------------------------------------------------------------------|
| PLS CODE                                         | OFFENCE DESCRIPTION                                                             | FURTHER GUIDANCE                                                                                                                                                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                            |
| 13-23                                            | <b>MISUSE OF A DISABLED PERSON'S BADGE OR CONCESSION</b>                        | Where a disabled badge or concession was misused to avoid vehicle not being immobilised when it otherwise would have been immobilised.                                   | FUE                      | N/A                              | Paragraph 3(4) Schedule 4 of the Road Safety Act 2006, SO 3                                    |
| <b>FALSE STATEMENT / INSTRUMENT / CONSPIRACY</b> |                                                                                 |                                                                                                                                                                          |                          |                                  |                                                                                                |
| 13-9B                                            | <b>FALSE INSTRUMENT – DRIVERS DEFECT SHEET</b>                                  |                                                                                                                                                                          | P                        | N/A                              | Section 3 & 6 of the Forgery and Counterfeiting Act 1981<br>SO- Stat max<br>IND – 6 months     |
| 13-9C                                            | <b>FALSE INSTRUMENT – OTHER</b><br><br><b>- DRIVER</b><br><br><b>- OPERATOR</b> |                                                                                                                                                                          | P<br><br>FUE             | X<br><br>N/A                     | Section 3, 6 & 9 of the Forgery and Counterfeiting Act 1981.<br>SO- Stat max<br>IND – 6 months |
| 13-10                                            | <b>CONSPIRACY TO MAKE FALSE ENTRIES ON RECORD SHEETS</b>                        | Relates to entries record sheets required to be kept for the purposes of EC Regulations 561/2006 and 165/2014 and Sections 97,97A, 97B and 99 of the Transport Act 1968. | P                        | N/A                              | Section 1 of the Criminal Law Act 1977<br>IND – Stat max                                       |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 13 – GENERAL MISCELLANEOUS OFFENCES |                                                                 |                                                                                                                                                          |                          |                                  |                                                                                                                                                                                                                                           |
|---------------------------------------------|-----------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| PLS CODE                                    | OFFENCE DESCRIPTION                                             | FURTHER GUIDANCE                                                                                                                                         | GB OPERATOR OR UK DRIVER | NON GB OPERATOR OR NON UK DRIVER | REG                                                                                                                                                                                                                                       |
| 13-34                                       | <b>CONSPIRACY TO MAKE FALSE ENTRIES ON RECORD SHEETS - AETR</b> | Relates to entries record sheets required to be kept for the purposes of Regulations EC 561/2006, EU 165/2014 and Sections 97 of the Transport Act 1968. | P                        | N/A                              | European Agreement Concerning the Work of Crews of Vehicles Engaged in International Road Transport (AETR) and Sections 97, 97A, 97B and 99 of the Transport Act 1968, contrary to Section 1 of the Criminal Law Act 1977, IND – Stat max |



SECTION 14 – CARRIAGE OF DANGEROUS GOODS

GUIDANCE NOTES

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <p>The following guidance indicates how DVSA Policy can be applied under the <b>CARRIAGE OF DANGEROUS GOODS AND USE OF TRANSPORTABLE PRESSURE EQUIPMENT REGULATIONS 2009</b></p> <p>Category 1 = highest - in most (but not all) cases level 1 should result in a PN (immediate prohibition).</p> <p>Category 2 = medium - a deferred prohibition</p> <p>Category 3 = lowest - verbal/written warning.</p> <p>The appropriate risk category must be recorded on DG01 checklist &amp; where applicable on prohibition issued.</p> |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                                          |                                                                                                                 |                            |                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                    |
|------------------------------------------|------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------|----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|
| PLS CODE                                 | OFFENCE DESCRIPTION                                                                      | FURTHER GUIDANCE                                                                                                | RISK CATEGORY              | ACTION                                                   | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | REG                                |
| PROVISION OF INFORMATION                 |                                                                                          |                                                                                                                 |                            |                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                    |
| 14-4                                     | <b>NO OR INAPPROPRIATE DETAILS OF THE NATURE AND QUANTITY OF DANGEROUS GOODS CARRIED</b> | The information specified in ADR 5.4 & 5.5 where relevant should form part of the transportation document       | 1                          | PN                                                       | <p><b>MSI OFFENCE</b><br/>The word inappropriate is open to interpretation. A PN is appropriate if the information is so lacking that the Examiner is unable to identify what is being carried.</p> <p><b>Risk:</b> Emergency services and others would not be able to act correctly in the event of an emergency.</p> <p><b>Because:</b> The transport documentation on the vehicle does not include relevant information about the dangerous goods being carried</p>                                                                                                                                                                                     | Regulation 5<br><br>5.4.1<br>5.5   |
| 14-3                                     | <b>INSTRUCTIONS IN WRITING</b>                                                           | <p>None</p> <p>Inadequate: -</p> <p>- not in ADR format</p> <p>- in language the driver does not understand</p> | <p>2</p> <p>2</p> <p>2</p> | <p>Deferred PN</p> <p>Deferred PN</p> <p>Deferred PN</p> | <p><b>Risk:</b> The driver &amp; others could not take appropriate action in the event of emergency</p> <p><b>Because:</b> The Transport documentation on the vehicle does not include information on measures to be taken by the driver in the event of an accident or emergency concerning the goods beings carried</p> <p>The purpose of “Instructions in Writing” is to give information to the driver. So long as required text and symbols are legible all four pages may be reproduced onto two sides of A4 as a minimum. Similarly, a company or other logo is acceptable. If not in colour treat as Risk Category 3 (advice/written warning).</p> | Regulation 5<br><br>5.4.3<br>8.1.2 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                                                              |                                                                      |               |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                    |
|------------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|---------------|-------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|
| PLS CODE                                 | OFFENCE DESCRIPTION                                                                                          | FURTHER GUIDANCE                                                     | RISK CATEGORY | ACTION      | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | REG                                |
| 14-2                                     | <b>NO INDICATION OF PRESENCE OF DANGEROUS GOODS</b>                                                          | Carriage of DG without any indication in documents of their presence | 1             | PN          | <b>MSI OFFENCE</b><br><b>Risk:</b> Emergency services and others would not be able to act correctly in the event of an emergency.<br><br><b>Because:</b> The transport documentation on the vehicle does not include relevant information about the dangerous goods being carried                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Regulation 5<br><br>5.4.1<br>8.1.2 |
| 14-22                                    | <b>ADR (9.1.3) APPROVAL CERTIFICATE NOT CARRIED</b><br><br><b>- FOR TANK VEHICLES OR PACKAGED EXPLOSIVES</b> |                                                                      | 2             | Deferred PN | <b>Risk:</b> Driver and others are at risk of personal injury because the vehicle is inadequate to ensure that dangerous goods are carried properly and in accordance with the law.<br><br><b>Because:</b> The vehicle is not complying with the requirement of regulations.<br><br>Note 1 - Care needed to distinguish between the failure to carry the certificate and the possible unsuitability of the vehicle. If the vehicle is clearly unsuitable treat as Risk Cat 1.<br><br>Photocopies acceptable for GB vehicles on GB journeys. Check certificate for type appropriate to UN number of load. A reduction in size is acceptable so long as document is legible.<br><br><b>FOR TANK VEHICLES ONLY -</b> where either the tank vehicle <u>or</u> the trailer was manufactured before 10/5/04 and on a domestic journey there is no need for such certificates. | Regulation 5<br><br>8.1.2          |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                        |                                                                                                                                                                                                                                                                                                               |                            |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                         |
|------------------------------------------|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|-------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| PLS CODE                                 | OFFENCE DESCRIPTION                    | FURTHER GUIDANCE                                                                                                                                                                                                                                                                                              | RISK CATEGORY              | ACTION                                          | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | REG                     |
| VEHICLE MARKINGS                         |                                        |                                                                                                                                                                                                                                                                                                               |                            |                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                         |
| 14-5                                     | <b>NO ORANGE PANELS &amp; IN SCOPE</b> | For tanks/bulks only, CDG 2009 regulation 6 requires GB registered vehicles on GB national journeys to display the Emergency Action code in place of the HIN. For tanks only, an emergency telephone number also has to be displayed. Usually combined as the “Hazard warning panel” though that is optional. | 1                          | PN                                              | <b>MSI OFFENCE</b><br><br><b>Risk:</b> There is no indication to road users and emergency services of the presence of dangerous goods.<br><br><b>Because:</b> the vehicle is not placarded and/or orange plated as required.                                                                                                                                                                                                                                                                                                                                                                                                                           | Regulation 5<br><br>5.3 |
| 14-6                                     | <b>TANKER PLACARDS</b>                 | <p>Panels on one side only but not on the rear.</p> <p>Panels provided but not fully compliant</p> <p>Information is inconsistent with load / contradictory / misleading</p>                                                                                                                                  | <p>1</p> <p>2</p> <p>2</p> | <p>PN</p> <p>Deferred PN</p> <p>Deferred PN</p> | <b>MSI OFFENCE</b><br>PN should only be considered when inadequacy is such to prevent the emergency services from identifying the risks and taking appropriate action.<br><br>ADR 1.6.1.8 allows the use of stick on warning panels on vehicles constructed before 2005<br><br>*For vehicles in switch loading service (petrol/kerosene/diesel) no objection need be taken to displaying 1203/3YE hazard warning panels.<br><br><b>Risk:</b> Tanker placarding is inadequate<br><b>Because:</b> Inadequate tanker placarding could prevent the emergency services from identifying the risks and taking appropriate action in the event of an incident | Regulation 5<br><br>5.3 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                          |                                                                                            |               |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |              |
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| PLS CODE                                 | OFFENCE DESCRIPTION                      | FURTHER GUIDANCE                                                                           | RISK CATEGORY | ACTION      | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | REG          |
| 14-6.1                                   | <b>TANKER PLACARDS</b>                   | Use of HIN (Kemler) instead of EAC when engaged on GB domestic journey                     | 2             | Deferred PN | <p><b>SI OFFENCE</b></p> <p>PN should only be considered when inadequacy is such to prevent the emergency services from identifying the risks and taking appropriate action.</p> <p>ADR 1.6.1.8 allows the use of stick on warning panels on vehicles constructed before 2005</p> <p>*For vehicles in switch loading service (petrol/diesel) no objection need be taken to displaying 1203/3YE hazard warning panels.</p>                                                                                                    | Regulation 6 |
| 14-7                                     | <b>TANKERS – EMERGENCY PHONE NUMBERS</b> | No response or seriously inadequate response to number – Applies to GB tank transport only | N/A           | PN          | <p><b>MSI OFFENCE</b></p> <p>Initial response with basic advice within 10 minutes, more detailed advice within 30 minutes, 1 transfer allowed</p> <p>‘Consult local depot’ can be displayed subject to approval from Chief Fire Officer in area of transport.</p> <p><b>Risk:</b> Specialist advice concerning the goods could not be obtained.</p> <p><b>Because:</b> The telephone number at which specialist advice concerning the goods was not displayed on the vehicle or seriously inadequate response to number.</p> | Regulation 6 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                                                        |                                                                                                                                    |               |                           |                                                                                                                                                                                                                         |                                            |
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| PLS CODE                                 | OFFENCE DESCRIPTION                                                                                    | FURTHER GUIDANCE                                                                                                                   | RISK CATEGORY | ACTION                    | NOTES                                                                                                                                                                                                                   | REG                                        |
| 14-8                                     | <b>DIRTY OR DAMAGED PLACARDS/PLATES</b>                                                                | Panels meet requirements but are dirty, not vertical, not correctly positioned, partly obscured or too small or not properly fixed | 3             | Verbal/ written warning   |                                                                                                                                                                                                                         | Regulation 5                               |
| 14-9                                     | <b>FREIGHT CONTAINERS – NO MARKINGS DISPLAYED OR DANGER SIGNS DISPLAYED DO NOT MATCH GOODS CARRIED</b> |                                                                                                                                    | 1             | PN                        | <b>MSI OFFENCE</b><br><br><b>Risk:</b> Markings so inadequate as to prevent emergency services identifying contents & taking appropriate action<br><br><b>Because:</b> Markings so inadequate risk cannot be identified | Regulation 5<br><br>5.3                    |
| 14-10                                    | <b>EMPTY &amp; CLEANED BUT ORANGE PLATED / PLACARDED VEHICLES</b>                                      | Tanker, container, bulk EMPTY & CLEANED but displaying labels                                                                      | 3             | Verbal /written warning   | Remedy by having markings removed or covered<br><br>Tankers & bulk should display their placards/plates until cleaned                                                                                                   | Regulation 5<br><br>5.3.1.1.5<br>5.3.2.1.8 |
| 14-25                                    | <b>EHS MARK NOT DISPLAYED OR INCORRECTLY DISPLAYED</b>                                                 |                                                                                                                                    | 3             | Verbal or written warning | The environmentally hazardous substances (EHS) marking is an additional marking required above and beyond normal placarding                                                                                             | Regulation 5<br><br>5.3.6                  |
| 14-26                                    | <b>LIMITED QUANTITY MARKINGS NOT DISPLAYED/INCORRECTLY DISPLAYED WHEN REQUIRED</b>                     |                                                                                                                                    | 2             | Deferred PN               | Only required if vehicle unladen weight is >12 tonnes and >8 tonnes LQs carried. Can display new LQ label or 'LTD QTY' to front and rear of vehicle.                                                                    | Regulation 5<br><br>3.4.10                 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                                      |                                                        |               |                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                           |
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| PLS CODE                                 | OFFENCE DESCRIPTION                                                                  | FURTHER GUIDANCE                                       | RISK CATEGORY | ACTION               | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | REG                                       |
| LOADING / STOWING                        |                                                                                      |                                                        |               |                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                           |
| 14-11                                    | <b>RULES GOVERNING THE SECURING AND STOWING OF LOADS HAVE NOT BEEN COMPLIED WITH</b> | Presents danger & CANNOT be easily remedied            | 1             | PN                   | <b>VSI OFFENCE</b><br><b>Risk:</b> Damage to packages or loss from the vehicle                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Regulation 5<br><br>7.5<br>7.2.1<br>7.3.3 |
|                                          |                                                                                      | Presents danger & CAN be remedied safely by the driver | 2             | PN or<br>Deferred PN | <b>Because:</b> The load is not properly stowed and secured on the vehicle to prevent them from being significantly displaced, thus increasing risks of loss or damage<br><br>When a prohibition notice is issued please take photographs.<br><br>Note 1 - If appropriate a deferred PN could be issued. In this case “defer until leakage remedied” and this could be supervised at the time. The vehicle might have to be moved to a safe place to achieve this and be re-examined before being allowed to continue. Consideration must be given to the risk involved if the vehicle is allowed to continue its journey without any remedial action and whether this creates a greater risk for other road users and the public. |                                           |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                     |                                                                  |               |                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |              |
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| PLS CODE                                 | OFFENCE DESCRIPTION | FURTHER GUIDANCE                                                 | RISK CATEGORY | ACTION               | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | REG          |
| 14-12                                    | LEAKAGES            | Serious leakage which cannot be immediately dealt with by driver | 1             | PN                   | <b>VSI OFFENCE</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Regulation 5 |
|                                          |                     | Minor leakage - can be dealt with safely & immediately by driver | 2             | PN or<br>Deferred PN | <p><b>Risk:</b> Dangerous goods are leaking from packages or from the vehicle</p> <p><b>Because:</b> The package(s) (or tank as the case may be) is/are not in a condition fit for carriage</p> <p><b>Note:</b> Prosecution may be considered if leak is serious enough to warrant calling out the emergency services).</p> <p>When a prohibition notice is issued please take photographs.</p> <p>Note 1 - If appropriate a deferred PN could be issued. In this case “defer until leakage remedied” and this could be supervised at the time. The vehicle might have to be moved to a safe place to achieve this and be re-examined before being allowed to continue. Consideration must be given to the risk involved if the vehicle is allowed to continue its journey without any remedial action and whether this creates a greater risk for other road users and the public.</p> | 7.5          |



| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                      |                                                                                    |               |                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |
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| PLS CODE                                 | OFFENCE DESCRIPTION                                                  | FURTHER GUIDANCE                                                                   | RISK CATEGORY | ACTION                                      | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | REG                       |
| 14-13                                    | <b>INADEQUATE SEPARATION OF INCOMPATIBLE LOADS</b>                   | Limited requirements relating to ADR 7.5.2 & 7.5.4                                 | 2             | Deferred PN only when ADR 7.5.2 is breached | <b>VSI OFFENCE</b><br><b>Risk:</b> Uncontrolled events by mixing of incompatible dangerous goods<br><br><b>Because:</b> incompatible dangerous goods are not properly segregated.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Regulation 5<br><br>7.5   |
| <b>EQUIPMENT</b>                         |                                                                      |                                                                                    |               |                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |
| 14-14                                    | <b>PERSONAL PROTECTIVE EQUIPMENT</b><br><br><b>- MANDATORY ITEMS</b> | No mandatory items carried<br><br>Mandatory items missing (other than wheel chock) | 1<br><br>2    | PN<br><br>Deferred PN                       | <b>SI OFFENCE</b><br><b>Mandatory items are:</b> - Wheel chock, warning signs, hand lamps, hi-visibility jacket, gloves, eye protection. It is not for an Examiner to judge the suitability of equipment.<br><br>* Examiners must exercise judgement i.e. only one item missing may justify verbal/written warning (Risk Category 3). Several types of gloves or eye protection may be seen. Doubt regarding suitability should be recorded without reference to risk and referred to DG Operations with details.<br><br><b>Risk:</b> The driver cannot be properly protected in the event that he has to deal with an emergency involving the dangerous goods.<br><br><b>Because:</b> The vehicle is not equipped so that the driver can safely take those measures detailed in the emergency information and in the case of carriage of toxic gases, to enable the crew to escape. | Regulation 5<br><br>8.1.5 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                                                                 |                                      |               |                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                           |
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| PLS CODE                                 | OFFENCE DESCRIPTION                                                                                             | FURTHER GUIDANCE                     | RISK CATEGORY | ACTION                                                             | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | REG                       |
| 14 -15                                   | <b>WHEEL CHOCKS</b>                                                                                             | None                                 | 3             | Verbal/<br>written<br>warning                                      | <b>SI OFFENCE</b><br><br>Considered low risk - UK journey<br>Authorisation 24 applies allowing use of a single chock on domestic journeys no longer applies                                                                                                                                                                                                                                                                                                                                                     | Regulation 5<br><br>8.1.5 |
| 14-23.1                                  | <b>EQUIPMENT TO BE CARRIED (DEPENDANT ON LOAD)</b><br><br><b>EYE RINSING LIQUID</b><br><br><b>- CLASSES 3-9</b> | None<br><br>If dated and out of date | 3<br><br>3    | Verbal/<br>written<br>warning<br><br>Verbal/<br>written<br>warning | <b>SI OFFENCE</b><br><br>The suggested action is the most severe to be considered. It is not the role of Examiners to decide suitability of equipment. <b>On no account is a vehicle to be held up solely because of doubts about the suitability of any of this equipment.</b> Any such doubts should be referred to DG Operations with details and, if possible, photographs.<br><br>Examiners should use their judgement. For some dangerous goods i.e. some class 7 and class 9 goods may add little value. | Regulation 5<br><br>8.1.5 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                    |                                                                            |               |                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                           |
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| PLS CODE                                 | OFFENCE DESCRIPTION                                                | FURTHER GUIDANCE                                                           | RISK CATEGORY | ACTION                                | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | REG                       |
| 14-23.2                                  | <b>EQUIPMENT TO BE CARRIED (DEPENDANT ON LOAD)</b>                 | Class 2.3 (toxic gas) being carried and no mask being carried              | 2             | Deferred PN                           | <p><b>SI OFFENCE</b></p> <p>In all cases the suggested action is the most severe to be considered. Many cases may be treated as Risk Category 3 (verbal/written warning). It is not the role of Examiners to decide suitability of equipment. <b>On no account is a vehicle to be held up solely because of doubts about the suitability of any of this equipment.</b> Any such doubts should be referred to DG Operations with details and, if possible, photographs.</p> <p>For Class 6.1 Examiners should use their judgement as in some cases this may not add great value.</p> <p>Equipment in sealed bags should not be opened for routine checks.</p> <p><b>Risk:</b> The driver cannot be properly protected in the event that he has to deal with an emergency involving the dangerous goods.</p> <p><b>Because:</b> The vehicle is not equipped so that the driver can safely take those measures detailed in the emergency information and in the case of carriage of toxic gases, to enable the crew to escape.</p> | Regulation 5<br><br>8.1.5 |
|                                          | <b>EMERGENCY ESCAPE MASK</b><br><br><b>- CLASSES 2.3 &amp; 6.1</b> | Class 6.1 (other toxic substances) being carried and no mask being carried | 3             | Deferred PN or Verbal/written warning |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                           |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                                                                            |                  |               |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                           |
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| PLS CODE                                 | OFFENCE DESCRIPTION                                                                                                        | FURTHER GUIDANCE | RISK CATEGORY | ACTION      | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | REG                       |
| 14-23.3                                  | <b>EQUIPMENT TO BE CARRIED (DEPENDANT ON LOAD)</b><br><br><b>DRAIN SEAL</b><br><br><b>- CLASSES 3, 4.1, 4.3, 8 &amp; 9</b> | None             | 2             | Deferred PN | <p><b>SI OFFENCE</b></p> <p>In all cases the suggested action is the most severe to be considered. Many cases may be treated as Risk Category 3 (verbal/written warning). It is not the role of Examiners to decide suitability of equipment. <b>On no account is a vehicle to be held up solely because of doubts about the suitability of any of this equipment.</b> Any such doubts should be referred to DG Operations with details and, if possible, photographs.</p> <p>Examiners should use judgement for solid goods (some class 9 for example) may add little value.</p> <p>Drains vary in design and type. Some kinds of absorbent “sock” could function as a drain seal.</p> <p><b>Risk:</b> The driver cannot be properly protected in the event that he has to deal with an emergency involving the dangerous goods.</p> <p><b>Because:</b> The vehicle is not equipped so that the driver can safely take those measures detailed in the emergency information and in the case of carriage of toxic gases, to enable the crew to escape.</p> | Regulation 5<br><br>8.1.5 |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                                                                               |                  |               |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                  |
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| PLS CODE                                 | OFFENCE DESCRIPTION                                                                                                           | FURTHER GUIDANCE | RISK CATEGORY | ACTION      | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | REG                              |
| 14-23.3                                  | <p><b>EQUIPMENT TO BE CARRIED (DEPENDANT ON LOAD)</b></p> <p><b>SHOVEL</b></p> <p><b>- CLASSES 3, 4.1, 4.3, 8 &amp; 9</b></p> | None             | 2             | Deferred PN | <p><b>SI OFFENCE</b></p> <p>In all cases the suggested action is the most severe to be considered. Many cases may be treated as Risk Category 3 (verbal/written warning). It is not the role of Examiners to decide suitability of equipment. <b>On no account is a vehicle to be held up solely because of doubts about the suitability of any of this equipment.</b> Any such doubts should be referred to DG Operations with details and, if possible, photographs.</p> <p>A shovel has upturned sides and may be short or long handled.</p> <p>Examiners should use judgement for some dangerous goods (i.e. some class 9) add little value. Treat as Risk Category 3 verbal/written warning.</p> <p>If spade is carried treat as Risk Category 3 verbal/written warning.</p> <p><b>Risk:</b> The driver cannot be properly protected in the event that he has to deal with an emergency involving the dangerous goods.</p> <p><b>Because:</b> The vehicle is not equipped so that the driver can safely take those measures detailed in the emergency information and in the case of carriage of toxic gases, to enable the crew to escape.</p> | <p>Regulation 5</p> <p>8.1.5</p> |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                                                                                             |                  |               |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                  |
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| PLS CODE                                 | OFFENCE DESCRIPTION                                                                                                                         | FURTHER GUIDANCE | RISK CATEGORY | ACTION      | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | REG                              |
| 14-23.3                                  | <p><b>EQUIPMENT TO BE CARRIED (DEPENDANT ON LOAD)</b></p> <p><b>COLLECTING CONTAINER</b></p> <p><b>- CLASSES 3, 4.1, 4.3, 8 &amp; 9</b></p> | None             | 2             | Deferred PN | <p><b>SI OFFENCE</b></p> <p>In all cases the suggested action is the most severe to be considered. Many cases may be treated as Risk Category 3 (verbal/written report). It is not the role of Examiners to decide suitability of equipment. <b>On no account is a vehicle to be held up solely because of doubts about the suitability of any of this equipment.</b> Any such doubts should be referred to DG Operations with details and, if possible, photographs.</p> <p>Examiners should use judgement as a wide interpretation of this requirement is justified. Equipment could be a bucket or other container (plastic sack) for absorbent materials or spill kit. No size is specified.</p> <p><b>Risk:</b> The driver cannot be properly protected in the event that he has to deal with an emergency involving the dangerous goods.</p> <p><b>Because:</b> The vehicle is not equipped so that the driver can safely take those measures detailed in the emergency information and in the case of carriage of toxic gases, to enable the crew to escape.</p> | <p>Regulation 5</p> <p>8.1.5</p> |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                |                  |               |        |                                                                                                                                                                                                                                                                                                                                                                                                                      |                                             |
|------------------------------------------|------------------------------------------------|------------------|---------------|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|
| PLS CODE                                 | OFFENCE DESCRIPTION                            | FURTHER GUIDANCE | RISK CATEGORY | ACTION | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                | REG                                         |
| 14-27                                    | <b>FIRE OR AN UNPROTECTED LIGHT BEING USED</b> |                  | 1             | PN     | <p><b>VSI OFFENCE</b></p> <p><b>Risk:</b> Uncontrolled fire</p> <p><b>Because:</b> Uncontrolled fire used, or non-ADR approved torch or appliance used.</p> <p>8.3.4 relating to unprotected lights applies to all classes of goods.<br/>The prohibition on the use of fire or naked flames relates to Class 1 goods. The use of combustion heaters is prohibited during loading and unloading FL type vehicles.</p> | <p>Regulation 5</p> <p>8.3.4</p> <p>8.5</p> |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS                                                                |                     |                                                                                       |               |                         |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |
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| PLS CODE                                                                                                | OFFENCE DESCRIPTION | FURTHER GUIDANCE                                                                      | RISK CATEGORY | ACTION                  | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                | REG                       |  |
| 14-16                                                                                                   | FIRE EXTINGUISHERS  | None, discharged or “under pressure”                                                  | 1             | PN                      | <b>SI OFFENCE</b><br><br><b>Risk:</b> A fire could not be controlled leading to potential total loss of vehicle and dangerous goods<br><br><b>Because:</b> The vehicle was not carrying any/sufficient fire extinguishers / (or the extinguisher was fully or partially discharged as the case may be).<br><br>Note 1- If 2nd extinguisher is in good order issue a deferred PN (a second FE is not always required) | Regulation 5<br><br>8.1.4 |  |
|                                                                                                         |                     | Less fire extinguishers than required                                                 | 2             | Deferred PN             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |
|                                                                                                         |                     | Less fire extinguishers than required but larger extinguisher is fully functional     | 3             | Verbal/ written warning |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |
|                                                                                                         |                     | Fire Extinguishers – not in full compliance with ADR 8.1.4.4 with a gauge reading of: | 1 or 2        | PN or Deferred PN       |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |
|                                                                                                         |                     | - Low red                                                                             |               |                         |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |
|                                                                                                         |                     | - High red or green <b>and:</b>                                                       | 1 or 2        | PN or Deferred PN       |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |
|                                                                                                         |                     | Overdue by more than 3 months                                                         |               |                         |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |
|                                                                                                         |                     | Overdue by 1 – 3 months                                                               | 2             | Deferred PN             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |
|                                                                                                         |                     | Overdue by up to 1 month                                                              | 3             | Verbal/ written warning |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |
|                                                                                                         |                     | Anti-tamper pin" secured with cable tie or otherwise not easily removed               | 2             | Deferred PN             |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |
| Firefighting equipment secured or stored in such a way as to be not readily available for immediate use | 2                   | Deferred PN                                                                           |               |                         |                                                                                                                                                                                                                                                                                                                                                                                                                      |                           |  |



## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                |                  |               |        |                                                                                                                                                                                                                                                                                                                                    |                                                 |
|------------------------------------------|----------------------------------------------------------------|------------------|---------------|--------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|
| PLS CODE                                 | OFFENCE DESCRIPTION                                            | FURTHER GUIDANCE | RISK CATEGORY | ACTION | NOTES                                                                                                                                                                                                                                                                                                                              | REG                                             |
| MODE OF CARRIAGE                         |                                                                |                  |               |        |                                                                                                                                                                                                                                                                                                                                    |                                                 |
| 14-17                                    | DANGEROUS GOODS BEING CARRIED A NON-APPROVED OF CONTAINER      |                  | 1             | PN     | <b>MSI OFFENCE</b><br><br><b>Risk:</b> Dangerous Goods being carried in bulk or tank when prohibited<br><br><b>Because:</b> There is an immediate risk to the public<br><br>To allow movement to nearest safe place for unloading.<br><br>This includes dangerous goods being carried in BULK or TANKS when prohibited by ADR 3.2. | Regulation 5<br><br>7.1.1                       |
| 14-24                                    | TRANSPORTING DANGEROUS GOODS THAT ARE PROHIBITED FOR TRANSPORT |                  | 1             | PN     | <b>MSI OFFENCE</b><br><br><b>Risk:</b> Unknown and untested materials - Emergency services and others would not be able to act correctly in the event of an emergency<br><br><b>Because:</b> Transport of these materials banned by UN convention                                                                                  | Regulation 5<br><br>1.1.2.1<br>2.1.2.4<br>3.2.1 |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                        |                                                                                       |               |                         |                                                                                                                                                                                                                                                                                                                                                                            |                           |
|------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------|---------------|-------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|
| PLS CODE                                 | OFFENCE DESCRIPTION                                    | FURTHER GUIDANCE                                                                      | RISK CATEGORY | ACTION                  | NOTES                                                                                                                                                                                                                                                                                                                                                                      | REG                       |
| VEHICLE & TANK REQUIREMENTS              |                                                        |                                                                                       |               |                         |                                                                                                                                                                                                                                                                                                                                                                            |                           |
| 14-28                                    | <b>VEHICLE DOES NOT COMPLY WITH APPROVAL STANDARDS</b> | Presents an immediate danger                                                          | 1             | PN or Deferred PN       | <b>VSI OFFENCE</b> , if vehicle no longer complies with the approval standards and presents an immediate danger                                                                                                                                                                                                                                                            | Regulation 5              |
|                                          |                                                        | Does not present an immediate danger                                                  | 2             | Deferred PN             | <b>SI OFFENCE</b> , if vehicle no longer complies but does not present an immediate danger<br><b>Risk:</b> Driver and others are at risk of personal injury because the vehicle is inadequate to ensure that dangerous goods are carried properly and in accordance with the law.<br><br><b>Because:</b> The Vehicle is not complying with the requirement of regulations. | 3.2<br><br>8.1.2          |
| 14-18                                    | <b>TANK FITTINGS</b>                                   | Unprotected at chassis level or tank fittings extend behind rear protection           | 1 or 2        | Verbal/ written warning | DVSA / Police not empowered to prohibit. All reports to be forwarded to the HSE                                                                                                                                                                                                                                                                                            | Regulation 5<br><br>9.7.6 |
|                                          |                                                        | Clearance between rear end protection and tank/fittings substantially less than 100mm | 1 or 2        | Verbal/ written warning |                                                                                                                                                                                                                                                                                                                                                                            |                           |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                           |                                                           |               |                               |                                                                                 |                                                           |
|------------------------------------------|---------------------------|-----------------------------------------------------------|---------------|-------------------------------|---------------------------------------------------------------------------------|-----------------------------------------------------------|
| PLS CODE                                 | OFFENCE DESCRIPTION       | FURTHER GUIDANCE                                          | RISK CATEGORY | ACTION                        | NOTES                                                                           | REG                                                       |
| 14-19                                    | <b>EXAMINATION PLATES</b> | No plate to show last examination date or plate illegible | 3             | Verbal/<br>written<br>warning | DVSA / Police not empowered to prohibit. All reports to be forwarded to the HSE | Regulation 5<br><br>6.7 (MEGC)<br>6.8/6.9/6.10<br>(tanks) |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                             |                                                                                                                         |               |                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                     |
|------------------------------------------|-----------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|
| PLS CODE                                 | OFFENCE DESCRIPTION         | FURTHER GUIDANCE                                                                                                        | RISK CATEGORY | ACTION               | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | REG                 |
| DRIVER TRAINING                          |                             |                                                                                                                         |               |                      |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                     |
| 14-20                                    | NO ADR TRAINING CERTIFICATE | Driver has none or is not carrying it irrespective of his knowledge of the nature of the risks and actions to be taken* | 1             | PN                   | <b>VSI OFFENCE</b><br><b>Risk:</b> Driver and others are at risk of personal injury because the driver has inadequate training to ensure that dangerous goods are carried properly and in accordance with the law.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Regulation 5<br>8.2 |
|                                          |                             | No awareness, function specific or safety training – applicable to small loads only**                                   | 1             | PN or<br>Deferred PN | <b>Because:</b> The driver of the vehicle has not received adequate instruction and training to comply with the law and/or would not be able to take proper action to in an emergency<br><br>*Small vehicle exemption no longer exists. If load is in scope, then a valid ADR training certificate must be held<br><br>**Level of driver knowledge in such cases is bound to be subjective. Except in the grossest cases a deferred PN id appropriate. Refer any concerns to DG Operations.<br><br>If it has been possible to establish that the driver has been issued with a training certificate and the operator has been informed of the failure to carry the certificate, then this can be dealt with by verbal or written warning. If the issue of a certificate cannot be verified, then a PN is appropriate until it can be produced<br>All relevant trade associations have been advised of this policy. (For Waste Batteries carried in Bulk see relevant entry in HSE CG Manual). | 1.3<br>8.2.3        |

# ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                                                                            |                  |               |                 |                                                                                                                                                                                                           |                                                                        |
|------------------------------------------|--------------------------------------------------------------------------------------------|------------------|---------------|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|
| PLS CODE                                 | OFFENCE DESCRIPTION                                                                        | FURTHER GUIDANCE | RISK CATEGORY | ACTION          | NOTES                                                                                                                                                                                                     | REG                                                                    |
| MISCELLANEOUS                            |                                                                                            |                  |               |                 |                                                                                                                                                                                                           |                                                                        |
| 14-21a                                   | FAIL TO COMPLY WITH A PROHIBITION NOTICE ISSUED UNDER THE HEALTH & SAFETY AT WORK ACT 1974 |                  | N/A           | P               | Evidence should be obtained to prove that the operator had not in the intervening period rectified the infringement and therefore discharged the prohibition – see notes below                            | Section 33(1)(g) and section 33(2)(a) Health & Safety at work Act 1974 |
| 14-29                                    | THE BAN ON SMOKING IS NOT BEING OBSERVED                                                   |                  | 1             | PN              | <b>VSI OFFENCE</b><br><br><b>Risk:</b> Potential for fire<br><br><b>Because:</b> Naked flame and/or none intrinsically safe devise.<br><br>The use of electronic cigarettes is also prohibited.           | Regulation 5<br><br>7.5.9<br>8.3.5                                     |
| 14-30                                    | THE VEHICLE IS NOT PROPERLY PARKED OR SUPERVISED                                           |                  | 3             | Written warning | <b>SI OFFENCE</b><br><br><b>Risk:</b> Load or vehicle could be tampered with or goods stolen<br><br><b>Because:</b> Nothing/no one to prevent unsolicited access to vehicle or goods<br><br>Report to DfT | Regulation 5<br><br>8.4                                                |

## ENFORCEMENT SANCTIONS POLICY – MAY 2026

| SECTION 14 – CARRIAGE OF DANGEROUS GOODS |                                              |                  |               |             |                                                                                                                                                                                                                                                                                                                                                                                                                                                |                       |
|------------------------------------------|----------------------------------------------|------------------|---------------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|
| PLS CODE                                 | OFFENCE DESCRIPTION                          | FURTHER GUIDANCE | RISK CATEGORY | ACTION      | NOTES                                                                                                                                                                                                                                                                                                                                                                                                                                          | REG                   |
| 14-31                                    | NON-CREW MEMBER BEING CARRIED ON THE VEHICLE |                  | 2             | Deferred PN | <p><b>Risk:</b> Presents a danger to the non-crew member</p> <p><b>Because:</b> They are not trained to deal with emergency situations</p> <p>ADR does not allow unauthorised passengers to be carried on vehicles carrying dangerous goods. Consideration must be given to the safety of the passenger.</p> <p>A crew member would usually be a second driver or required to help load and off load the vehicle and would be ADR trained.</p> | Regulation 5<br>8.3.1 |

**SECTION 15 – MOT**

| <b>SECTION 15 – MOT</b> |                                                                          |                                                                                                                                                        |               |                                                                                                                |
|-------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------------------------------------------------------------------------------------------|
| <b>PLS CODE</b>         | <b>OFFENCE DESCRIPTION</b>                                               | <b>FURTHER GUIDANCE</b>                                                                                                                                | <b>ACTION</b> | <b>REG</b>                                                                                                     |
| <b>MOT SCHEME</b>       |                                                                          |                                                                                                                                                        |               |                                                                                                                |
| 13-3B                   | <b>FRAUD BY FALSE REPRESENTATION (MOT TEST)</b>                          | Issuing an MOT certificate to a vehicle without performing the MOT test as prescribed by the Motor Vehicles (Tests) Regulations 1981.                  | P             | Motor Vehicles (Tests) Regulations 1981, Sections 1 and 2 of the Fraud Act 2006, SO – Stat max, IND – 10 years |
| 15-1                    | <b>ISSUE FALSE CAR TEST CERTIFICATE</b>                                  | Issuing an MOT certificate to a vehicle which was known to be false in a material particular, contrary to section 175(b) of the Road Traffic Act 1988. | P             | Section 175(b) of the Road Traffic Act 1988, SO-4                                                              |
| 15-11                   | <b>MAKE A FALSE ENTRY IN THE MOT COMPUTERISED SYSTEM</b>                 | False entry in MTS to the prejudice of Officers of the Driver and Vehicle Standards Agency.                                                            | P             | Section 1 and 6 of the Forgery and Counterfeiting Act 1981, SO – Stat max, IND – 2 years                       |
| 15-12                   | <b>MAKE A FALSE, DUPLICATE OR COUNTERFOIL OF AN MOT TEST CERTIFICATE</b> | Creation of a document which is known to be false                                                                                                      | P             | Section 1 and 6 of the Forgery and Counterfeiting Act 1981, SO – Stat max, IND – 2 years                       |

END OF DOCUMENT