

Annual implementation report for the Investment for growth and jobs goal
PART A

IDENTIFICATION OF THE ANNUAL IMPLEMENTATION REPORT

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2. OVERVIEW OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME (ARTICLE 50(2) AND 111(3)(A) OF REGULATION (EU) NO 1303/2013)

2.1. Key information on the implementation of the operational programme for the year concerned, including on financial instruments, with relation to the financial and indicator data.

Exchange rate in the AIR Narratives

Sterling values in the AIR tables were converted using a FOREX rate €1=£0.9033, except performance framework table 5 where the euro values are actuals.

Contracting Projects

As of December 2020, 1,007 projects including 11 financial instruments with ERDF expenditure of £2,687bn (€2,975bn) had been committed. This equates to 83% of the programme's total allocation. By Category of Regions (CoR) the figures are 96% for the Less Developed regions; 93% Transition regions and 98% for the More Developed regions. In 2020 7 calls were issued at a value of £32.3m (€35.6m)

As of December 2020 the pipeline comprised 250 project applications undergoing appraisal with an ERDF value of £413m (€457m). The contracting of the full value of this pipeline would represent an additional 13% thereby bringing the programme's total ERDF allocation to 96% committed

As the MA for ERDF MHCLG is committed to fully realise the value of the programme, recognising the scale of impact of the restrictions caused by Covid-19 on ERDF project delivery.

Overall Progress – N+3

The 2020 target of €1,359bn ERDF has been exceeded. The payment application submitted in December shows a cumulatively, €1,754bn achievement. As a result, not only has the 2020 target been achieved but also the 2021 target, of €1,732bn. The EC flexibility to claim at 100% has helped offset the reduction in claims submissions due to Covid-19

Overall Progress – Priority Axis (PA) and Performance Framework (PF)

Non-Financial outputs are making good progress towards their 2023 PF targets. Based on committed and pipeline projects the programme is expected to meet or exceed all its 2023 non-financial PF targets except for the more developed region priority axis 5 target. MHCLG will look to discuss the position with the European Commission as part of any future Programme modifications.

PF Expenditure

MDR - all except PA6 (at 62%) have contracted above the minimum 65% expenditure target; the processing of the pipeline will resolve this as it will reach the 85% threshold

Transition and Less Developed Regions are all above the 65% threshold

22/23 targets have contracted above the minimum expenditure threshold

PF Outputs

All regions have contracted sufficient projects to achieve the minimum 65% output threshold except PA5 MDR and PA7 LDR. PA7 targets will be addressed once the major project is contracted in 2021.

8/23 targets have actual achievement above 65% of the minimum threshold

Operational Programme Modification

The MA submitted two Operational Programme modifications to the EC in 2020. The first to add a new Priority Axis (PA) to the OP – PA 10: ‘Supporting the implementation of Local Economic Covid-19 Recovery Action Plans’ and reflect the £51m Reopening High Streets Safely Fund (RHSSF) launched on 24th May 2020 by the MA as part of its Covid-19 response. In addition the take up of the 100% co-financing rate CRII+ offer was reflected on the Operational Programme. This modification was approved on 10th August 2020.

The second submitted in December 2020 was to adapt the programme to accommodate the A30 Major Project and ensure that the Operational Programme correctly reflects the envisaged activity.

The main reasons for slower progress towards 2023 PF targets were:

PA2 - Non-PF outputs C5 and P4 2023 targets will not be achieved. The assumption that 70% of enterprises supported would be new enterprises is not reflected in demand across all Regions. The MA will continue to work with operations to maximise returns.

PA4 - Several projects in the pipeline have not progressed resulting in the 2023 PF targets not being met. Many low carbon projects such as housing retrofit deliver long term carbon savings, not high-level annual outputs as required under C34. There continue to be challenges in contractors understanding how to work with new and higher construction standards

PA5 - The number SME's benefiting from this enhanced protection is limited, as a result it is unlikely the output target will be delivered for MD.

PA6 - As the 2023 Financial PF targets may not be met consideration is being given to moving unallocated funds to other PAs.

PA8 - Achievement of the 2023 financial / non-financial PF targets in the MD COR may not be met. Consideration is being given to moving unallocated funds to other PAs.

Financial Instruments

The programme has 11 financial instruments which as of the end of 2020 have commitments worth £527m (€583m) ERDF

These comprise of 6 access to finance fund of funds:

Northern Powerhouse Investment Fund, a £402m (€445m) project, £140m (€155m) ERDF;

Midlands Engine Investment Fund, a £266m (€294.5m) project, £78.5m (€87m) ERDF;

North East Fund, a £140m (€155m) project, £58.5m (€65m) ERDF;

Cornwall & Isles of Scilly Fund a £40m (€44m) project, £32m (€35m) ERDF;

London SME Fund a £100m (€111m) project, £35m (€39m) ERDF;

Low Carbon Innovation Fund II, a £22.56m (€24.9m) project, £11.2m (€12.4m) ERDF;

and 5 urban development funds:

Greater Manchester Fund of Funds, a £120m (€133m) project, £60m (€66m) ERDF;

Mayor of London Energy Efficiency Fund a £102.4m (€113.4m) project, £51.2m (€56.7m) ERDF;

Liverpool UDF, a £43.2m (€48m) project, £25m (€28m) ERDF;

Cheshire & Warrington UDF, a £40m (€44m) project, £20m (€22m) ERDF;

Lancashire UDF, a £25m (€28m) project, £15m (€17m) ERDF.

Overall, by the end of December 2020, £348m (€385m) ERDF had been drawn down by financial instruments.

European Claim and Information Management System (E-CLAIMS)

The E-CLAIMS system has been used internally by the MA since 2017, with the build formally ending in May 2018. The MA, CA and GIAA regularly use the system to support their individual business activities with circa 700 external applicant organisations using the system for submitting claims for payment and progress reports.

Overall Payment Applications

The CA submitted 5 payment applications in 2020. Overall, since 2015 the total eligible expenditure submitted to the EC for reimbursement cumulative up to 31st December 2020 is 41% (€2,681m) and the ERDF drawn down cumulative is 48% (€1,754m) of the ERDF programme value

3. IMPLEMENTATION OF THE PRIORITY AXIS (ARTICLE 50(2) OF REGULATION (EU) NO 1303/2013)

3.1. Overview of the implementation

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
1	Promoting Research and Innovation	At the end of 2020, 264 projects had been legally committed, with a total eligible expenditure of £1,120.16m (€1,240.07m). This equates to 95.46% of the Less Developed, 90.57% of the Transition, and 81.01% of the More Developed Regions' total allocations. In the Less Developed region, paid expenditure was £53.29m (€59m) in 15 projects. Cumulatively this equates to 51.81% of the 2023 financial PF target, and 35.32% of the 2023 non-financial PF target. In the Transition regions, paid expenditure was £200.97m (€222.48m) in 121 projects. Cumulatively this equates to 57.29% of the 2023 financial PF target, and 50.01% of the 2023 non-financial PF target. In More Developed areas the paid expenditure was £365.68m (€404.82m) in 195 projects. Cumulatively this equates to 42.06% of the 2023 financial PF target, and 50.73% of the 2023 non-financial PF target. There were no new calls in 2020. Given the maturity of the Programme as expected the total number of calls in 2020 are lower compared to previous years. At the start of the year there were 149 applications in appraisal and at the end of the year there were 57 in appraisal which include performing projects requesting additional funds. When accounting for the full value of applications in appraisal, overall the programme is expected to result in 106.3% of the Less Developed, 105% of the Transition and 93.22% of the More Developed Regions' notional allocations being committed (Total Eligible). These commitments will support the achievement of the vast majority of the 2023 non-financial PF targets (output targets) subject to areas of concern detailed in Section 6a.
10	Supporting the implementation of Local Economic CV-19 Recovery Action Plans	At the end of 2020, 1 project had been legally committed, with a total eligible expenditure of £51m (€56.46m). As per the Operational Programme including match funding as per the SFC this equates to 78.47% of the Less Developed, 58.73% of the Transition, and 49.07% of the More Developed Regions' total allocations. Excluding match funding this equates to 98.09% of the Less Developed, 97.88% of the Transition, and 98.13% of the More Developed Regions' ERDF only allocations. No claims have been submitted to date as at the end Dec 2020.

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
2	Enhancing access to, and use and quality of, ICT	At the end of 2020, 33 projects had been legally committed, with a total eligible expenditure of £143.24m (€158.57m). This equates to 105.63% of the Less Developed, 79.43% of the Transition, and 74.57% of the More Developed Regions' total allocations. It is also noted that changes in process to the 2 key infrastructure project will result in moderate reductions in commitment levels in the Less Developed Region to reduce the commitment levels broadly in line with the allocation. In the Less Developed region, paid expenditure was £17.04m (€18.86m) in 4 projects. Cumulatively this equates to 79.25% of the 2023 financial PF target, and 121.60% of the 2023 non-financial PF target. In the Transition regions, paid expenditure was £9.12m (€10.10m) in 11 projects. Cumulatively this equates to 28.33% of the 2023 financial PF target, and 24.42% of the 2023 non-financial PF target. In More Developed areas the paid expenditure was £49.77m (€55.10m) in 21 projects. Cumulatively this equates to 39.09% of the 2023 financial PF target, and 44.89% of the 2023 non-financial PF target. There was 1 SUD new call in 2020 for £0.89m (€0.98m). Given the maturity of the Programme as expected the total number of calls in 2020 are lower compared to previous years. At the start of the year there were 11 applications in appraisal and at the end of the year there were 13 in appraisal which include performing projects requesting additional funds. When accounting for the full value of applications in appraisal, overall the programme is expected to result in 105.63% of the Less Developed, 85.85% of the Transition and 93.42% of the More Developed Regions' notional allocations being committed (Total Eligible).
3	Enhancing the Competitiveness of SMEs	At the end of 2020, 400 projects had been legally committed, with a total eligible expenditure of £2,647.66m (€2,931.1m). This equates to 92.68% of the Less Developed, 106.43% of the Transition, and 109.93% of the More Developed Regions' total allocations. In the Less Developed region, paid expenditure was £112.66m (€124.72m) in 43 projects. Cumulatively this equates to 57.90% of the 2023 financial PF target, and 40.73 % of the 2023 non-financial PF target. In the Transition regions, paid expenditure was £485.91m (€537.92m) in 173 projects. Cumulatively this equates to 62.06% of the 2023 financial PF target, and 41.63% of the 2023 non-financial PF target.

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		In More Developed areas the paid expenditure was £968.71m (€1,072.41m) in 269 projects. Cumulatively this equates to 65.17% of the 2023 financial PF target, and 50.46% of the 2023 non-financial PF target. There were 2 SUD new calls in 2020 for £8.6m (€9.5m) (one of which was joint with PA6). Given the maturity of the Programme as expected the total number of calls in 2020 are lower compared to previous years. At the start of the year there were 231 applications in appraisal and at the end of the year there were 110 in appraisal which include performing projects requesting additional funds. When accounting for the full value of applications in appraisal, overall the programme is expected to result in 109.29% of the Less Developed, 122.34% of the Transition and 120.79% of the More Developed Regions' notional allocations being committed (Total Eligible). These commitments will support the achievement of the vast majority of the 2023 non-financial PF targets (output targets) subject to areas of concern detailed in Section 6a.
4	Supporting the Shift Towards a Low Carbon Economy in all Sectors	At the end of 2020, 141 projects had been legally committed, with a total eligible expenditure of £1004.23m (€1,111.74m). This equates to 99.37% of the Less Developed, 86.3% of the Transition, and 76.44% of the More Developed Regions' total allocations. In the Less Developed region, paid expenditure was £60.49m (€66.97m) in 8 projects. Cumulatively this equates to 70.49% of the 2023 financial PF target, and 1% of the 2023 non-financial PF target. In the Transition regions, paid expenditure was £110.65m (€122.5m) in 54 projects. Cumulatively this equates to 38.8% of the 2023 financial PF target, and 27.85% of the 2023 non-financial PF target. In More Developed areas the paid expenditure was £315.87m (€349.69m) in 99 projects. Cumulatively this equates to 35.89% of the 2023 financial PF target, and 25.09% of the 2023 non-financial PF target. There were 3 SUD new calls in 2020 for £17.84m (€19.75m). Given the maturity of the Programme as expected the total number of calls in 2020 are lower compared to previous years. At the start of the year there were 139 applications in appraisal and at the end of the year there were 56 in appraisal which include performing projects requesting additional funds. When accounting for the full value of applications in appraisal, overall the programme is expected to result in

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		116.97% of the Less Developed, 100.76% of the Transition and 98.8% of the More Developed Regions' notional allocations being committed (Total Eligible). These commitments will support the achievement of the vast majority of the 2023 non-financial PF targets (output targets) subject to areas of concern detailed in Section 6a.
5	Promoting Climate Change Adaptation, Risk Prevention and Management	At the end of 2020, 24 projects had been legally committed, with a total eligible expenditure of £130.65m (€144.64m). This equates to 126.54% of the Less Developed, 108.6% of the Transition, and 90.57% of the More Developed Regions' total allocations. In the Less Developed region, paid expenditure was £3.48m (€3.85m) in 2 projects. Cumulatively this equates to 24.69% of the 2023 financial PF target, and 0% of the 2023 non-financial PF target. In the Transition regions, paid expenditure was £22.9m (€25.35m) in 13 projects. Cumulatively this equates to 34.06% of the 2023 financial PF target, and 4.01% of the 2023 non-financial PF target. In More Developed areas the paid expenditure was £18.17m (€20.12m) in 9 projects. Cumulatively this equates to 41.34% of the 2023 financial PF target, and 5.87% of the 2023 non-financial PF target. There were no new calls in 2020. At the start of the year there were 24 applications in appraisal and at the end of the year there were 7 in appraisal which include performing projects requesting additional funds. When accounting for the full value of applications in appraisal, overall the programme is expected to result in 153.55% of the Less Developed, 116.84% of the Transition and 124.52% of the More Developed Regions' notional allocations being committed (Total Eligible). These commitments will support the achievement of the vast majority of the 2023 non-financial PF targets (output targets) subject to areas of concern detailed in Section 6a.
6	Preserving and Protecting the Environment and Promoting Resource Efficiency	At the end of 2020, 47 projects had been legally committed, with a total eligible expenditure of £99.37m (€110m). This equates to 102% of the Less Developed, 79.88% of the Transition, and 61.66% of the More Developed Regions' total allocations. In the Less Developed region, paid expenditure was £6.86m (€7.59m) in 5 projects. Cumulatively this equates to 47.29% of the 2023 financial PF target, and 14% of the 2023 non-financial PF target. In the Transition regions, paid expenditure was £9.38m (€10.38m) in 18 projects. Cumulatively this equates to 29.17% of the 2023 financial PF target, and 9.93% of the 2023 non-financial PF target.

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		In More Developed areas the paid expenditure was £24.21m (€26.8m) in 28 projects. Cumulatively this equates to 25.35% of the 2023 financial PF target, and 17.97% of the 2023 non-financial PF target. There was 1 SUD new call in 2020 for £5m (€5.54m) (joint with PA3). Given the maturity of the Programme as expected the total number of calls in 2020 are lower compared to previous years. At the start of the year there were 41 applications in appraisal and at the end of the year there were 12 in appraisal which include performing projects requesting additional funds. When accounting for the full value of applications in appraisal, overall the programme is expected to result in 102% of the Less Developed, 90.28% of the Transition and 86.46% of the More Developed Regions' notional allocations being committed (Total Eligible). These commitments will support the achievement of the vast majority of the 2023 non-financial PF targets (output targets)
7	Sustainable Transport in Cornwall and the Isles of Scilly	At the end of 2020, 7 projects had been legally committed, with a total eligible expenditure of £46.46m (€51.44m). This equates to 71.04% of the Less Developed Regions' total allocation. In the Less Developed region, paid expenditure was £39.31m (€43.51m) in 7 projects. Cumulatively this equates to 60.1% of the 2023 financial PF target, and 100% of the 2023 non-financial PF target (Length of railway with new or enhanced signalling installation). There were no new calls in 2020. At the start of the year there was 1 application in appraisal and at the end of the year there were 3 in appraisal, all three projects are now approved. (from 2019 calls) When accounting for the full value of applications in approved, overall the programme is expected to result in 292.96% of the Less Developed Regions' notional allocations being committed (Total Eligible).
8	Promoting social inclusion and combating poverty and any discrimination	At the end of 2020, 43 projects had been legally committed, with a total eligible expenditure of £46m (€50.92m). This equates to 99.91% of the Less Developed, 93.47% of the Transition, and 97.63% of the More Developed Regions' total allocations. In the Less Developed region, paid expenditure was £1.89m (€2.09m) in 5 projects. Cumulatively this equates to 16.73% of the 2023 financial PF target, and 24% of the 2023 non-financial PF target. In the Transition regions, paid expenditure was £1.59m (€1.76m) in 11 projects. Cumulatively this equates to

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		18.91% of the 2023 financial PF target, and 0% of the 2023 non-financial PF target. In More Developed areas the paid expenditure was £5.33m (€5.9m) in 29 projects. Cumulatively this equates to 19.38% of the 2023 financial PF target, and 0.64% of the 2023 non-financial PF target. There were no new calls in 2020 and no applications in appraisal. As there are no new applications in appraisal the overall programme is as per the legally committed total eligible expenditure.
9	Technical Assistance	At the end of 2020, *47 projects had been legally committed, with a total eligible expenditure of £168.55m (€186.6m). This equates to 97.21% of the Less Developed, 72.78% of the Transition, and 66.9% of the More Developed Regions' total allocations. In the Less Developed region, paid expenditure was £21.57m (€23.87m) in 5 projects. In the Transition regions, paid expenditure was £30.16m (€33.39m) in 17 projects. In More Developed areas the paid expenditure was £55m (€60.89m) in 38 projects. *To note a project may claim expenditure across a combination of area/region. There were 0 new calls in 2020. At the start of the year there were 18 applications in appraisal and at the end of the year there was 1 in appraisal.

3.2. Common and programme specific indicators (Article 50(2) of Regulation (EU) No 1303/2013)

Priority axes other than technical assistance

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I excellence, and promoting centres of competence, in particular those of European interest

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 1 / 1a

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	Full time equivalents		876.00			187.00			
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	Full time equivalents		876.00			608.00			
F	P2	Public or commercial buildings built or renovated	Square metres		31,514.00			14,377.00			
S	P2	Public or commercial buildings built or renovated	Square metres		31,514.00			191,724.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	59.00			18.68	0.00	0.00	0.00		
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	525.00			490.00			346.00		
F	P2	Public or commercial buildings built or renovated	9,107.00			5,842.20			2,494.00		
S	P2	Public or commercial buildings built or renovated	48,572.00			76,192.00			65,933.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	0.00			0.00			0.00		
S	CO25	Research, Innovation: Number of researchers working in improved research infrastructure facilities	0.00			0.00			0.00		
F	P2	Public or commercial buildings built or renovated									
S	P2	Public or commercial buildings built or renovated									

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I excellence, and promoting centres of competence, in particular those of European interest
Specific objective	1.1 - increase investment in research and innovation infrastructure that catalyses collaboration with the research community especially in sectors identified through smart specialisation

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
1.1	Proportion of enterprises having cooperation agreements with research institutions	Percentage		22.9	2013	Increase			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
1.1	Proportion of enterprises having cooperation agreements with research institutions		28.3				40.7		

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
1.1	Proportion of enterprises having cooperation agreements with research institutions		23.1		

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 1 / 1b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		20,729.00			15,588.00			
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		20,729.00			31,100.00			
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		13,819.00			2,508.00			
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		13,819.00			6,058.00			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		706.00			30.00			
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		706.00			222.00			
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		6,204.00			13,442.00			
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		6,204.00			24,941.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,766.00			2,224.00			
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,766.00			3,899.00			
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		174,878,894.00			40,971,320.00			
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		174,878,894.00			107,691,731.00			
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		28,650,820.00			12,534,593.00			
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		28,650,820.00			77,996,452.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		12,313.00			2,508.00			
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		12,313.00			7,996.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		11,258.00			3,695.00			
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		11,258.00			9,185.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		3,243.00			2,500.00			
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		3,243.00			4,738.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		3,140.00			3,769.00			
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		3,140.00			8,052.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	P2	Public or commercial buildings built or renovated	Square metres		8,917.00			2,199.00			
S	P2	Public or commercial buildings built or renovated	Square metres		8,917.00			54,249.00			Reduction on 2018 achievement as a result of a data cleansing exercise

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	11,497.00			5,117.00			2,443.00		
S	CO01	Productive investment: Number of enterprises receiving support	21,163.00			21,697.00			17,526.00		
F	CO02	Productive investment: Number of enterprises receiving grants	1,907.00			2,973.00			2,059.00		
S	CO02	Productive investment: Number of enterprises receiving grants	3,976.00			4,210.00			4,000.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	120.00			75.00			141.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	8,964.00			4,376.00			2,241.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	16,260.00			16,104.00			12,533.00		
F	CO05	Productive investment: Number of new enterprises supported	1,182.00			1,041.00			328.00		
S	CO05	Productive investment: Number of new enterprises supported	2,535.00			5,644.00			3,088.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	25,864,637.44			10,298,500.00			3,310,473.00		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	54,254,676.00			64,934,086.00			69,490,252.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	238,017.27			44,282.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	22,112,128.00			134,154,362.00			131,784,582.00		
F	CO08	Productive investment: Employment increase in supported enterprises	1,394.00			938.53			133.00		
S	CO08	Productive investment: Employment increase in supported enterprises	4,607.50			9,869.00			4,353.00		
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	2,286.00			1,468.00			415.00		
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	5,908.00			10,699.00			4,420.00		
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	1,437.00			869.00			169.00		
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	3,139.00			3,243.00			2,656.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	2,183.00			1,411.00			286.00		

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	5,309.00			5,472.00			4,545.00		
F	P2	Public or commercial buildings built or renovated	2,034.00			933.00			0.00		
S	P2	Public or commercial buildings built or renovated	10,685.00			21,490.00			18,597.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	89.00								
S	CO01	Productive investment: Number of enterprises receiving support	13,918.00								
F	CO02	Productive investment: Number of enterprises receiving grants	58.00								
S	CO02	Productive investment: Number of enterprises receiving grants									
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	26.00								
S	CO04	Productive investment: Number of enterprises receiving non-financial support	4,175.00								
F	CO05	Productive investment: Number of new enterprises supported	3.00								
S	CO05	Productive investment: Number of new enterprises supported	1,113.00								
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)									
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)									
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises									
S	CO08	Productive investment: Employment increase in supported enterprises									
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions									
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	11.00								
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products									
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	13.00								
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products									
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	13.00								
F	P2	Public or commercial buildings built or renovated	0.00			0.00			0.00		
S	P2	Public or commercial buildings built or renovated	0.00			0.00			0.00		

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services
Specific objective	1.2 - Increase investment in research and innovation by small and medium enterprises in sectors and technologies identified through smart specialisation.

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
1.2	Proportion of small and medium sized enterprises that are innovation active	Percentage		48.1	2013	Increase			
1.3	Research and development tax credit by number of claims under the SME scheme	Enterprises		13,140	2013	Increase in UK			
1.4	Research and development tax credit by value of claims under the SME scheme	GPB		600,000,000	2013	Increase in UK		2,985,000	

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
1.2	Proportion of small and medium sized enterprises that are innovation active		28.3				53.3		
1.3	Research and development tax credit by number of claims under the SME scheme		52,160		54,005		54,005		45,440
1.4	Research and development tax credit by value of claims under the SME scheme		2,760,000		2,265,000,000		2,215,000,000		1,760,000,000

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
1.2	Proportion of small and medium sized enterprises that are innovation active		54.8		
1.3	Research and development tax credit by number of claims under the SME scheme		37,105		15,585
1.4	Research and development tax credit by value of claims under the SME scheme		1,315,000,000		705,000,000

Priority axis	1 - Promoting Research and Innovation
Investment Priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies, as well as fostering investment necessary for strengthening the crisis response capacities in health services
Specific objective	1.3 - Increase the number of small and medium sized enterprises engaged in knowledge exchange, collaborative and contract research and innovation with research institutions, public institutions or large enterprises in order to help them bring new products and processes to market.

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
1.5	Value of services provided to small and medium enterprises by higher education institutions	GBP		139,761,000	2013	Increase			
1.6	Number of contracts or interactions with small and medium enterprises	Number		50,728	2013	Increase in England			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
1.5	Value of services provided to small and medium enterprises by higher education institutions		262,193,000		232,772,000		207,513,000		193,480,000
1.6	Number of contracts or interactions with small and medium enterprises		71,758		63,082		52,891		67,127

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
1.5	Value of services provided to small and medium enterprises by higher education institutions		174,359,000		159,220,000
1.6	Number of contracts or interactions with small and medium enterprises		62,981		65,345

Priority axis	10 - Supporting the implementation of Local Economic CV-19 Recovery Action Plans
Investment Priority	8b - Supporting employment-friendly growth through the development of endogenous potential as part of a territorial strategy for specific areas, including the conversion of declining industrial regions and enhancement of accessibility to, and development of, specific natural and cultural resources

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 10 / 8b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number		250.00			0.00			
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number		250.00			250.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00			0.00		
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00			0.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00			0.00		
S	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	0.00			0.00			0.00		

Priority axis	10 - Supporting the implementation of Local Economic CV-19 Recovery Action Plans
Investment Priority	8b - Supporting employment-friendly growth through the development of endogenous potential as part of a territorial strategy for specific areas, including the conversion of declining industrial regions and enhancement of accessibility to, and development of, specific natural and cultural resources
Specific objective	10.1 - Minimise enterprise deaths through the implementation of local economic COVID-19 recovery action plans

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
11.1	Number of enterprises deaths per year	Number		27,765.00	2018	27,765.00			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
11.1	Number of enterprises deaths per year								

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
11.1	Number of enterprises deaths per year				

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 2 / 2a

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,798.00			151.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,798.00			1,980.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		604.00			0.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		604.00			82.00			
F	P3	Additional businesses with broadband access of at least 30mbps	Enterprises		11,326.00			5,207.00			N/A
S	P3	Additional businesses with broadband access of at least 30mbps	Enterprises		11,326.00			11,310.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	67.00			21.00			2.00		
S	CO01	Productive investment: Number of enterprises receiving support	1,353.00			2,761.00			1,245.00		
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	53.00			441.00			6.00		
F	P3	Additional businesses with broadband access of at least 30mbps	3,793.00			710.00			0.00		
S	P3	Additional businesses with broadband access of at least 30mbps	9,141.00			19,631.00			10,341.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support									
S	CO01	Productive investment: Number of enterprises receiving support									
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
F	P3	Additional businesses with broadband access of at least 30mbps	0.00			0.00			0.00		
S	P3	Additional businesses with broadband access of at least 30mbps	6,673.00			0.00			0.00		

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2a - Extending broadband deployment and the roll-out of high-speed networks and supporting the adoption of emerging technologies and networks for the digital economy
Specific objective	2.1 - Increase the coverage and take up of superfast Broadband in areas where the market is failing, particularly where this is creating a barrier to SME growth, in line with State Aid rules.

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
2.1	Coverage of superfast (>30Mbps) broadband across England	Percentage		90.00	2016	100.00	96.00		
2.2	Percentage of businesses which have taken up broadband with speeds of at least 30Mbps	Percentage		15.90	2012	50.00			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
2.1	Coverage of superfast (>30Mbps) broadband across England	95.00		94.00		92.00		90.00	
2.2	Percentage of businesses which have taken up broadband with speeds of at least 30Mbps	64.00		54.00		44.40		41.50	

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
2.1	Coverage of superfast (>30Mbps) broadband across England	90.00		90.00	
2.2	Percentage of businesses which have taken up broadband with speeds of at least 30Mbps	50.00		50.00	

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2b - Developing ICT products and services, e-commerce and enhancing demand for ICT

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 2 / 2b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,123.00			4,143.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,123.00			7,871.00			N/A
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		7,794.00			333.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		7,794.00			1,063.00			N/A
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		1,780.00			472.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		1,780.00			1,963.00			
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	enterprises		5,663.00			1,269.00			N/A
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	enterprises		5,663.00			3,322.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	3,108.00			1,860.00			479.00		
S	CO01	Productive investment: Number of enterprises receiving support	5,412.00			3,660.00			1,376.00		
F	CO05	Productive investment: Number of new enterprises supported	188.00			138.00			32.00		
S	CO05	Productive investment: Number of new enterprises supported	703.00			72.00			0.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	370.00			278.00			24.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	1,330.00			3,211.00			1,621.00		
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	797.00			599.00			514.00		
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps	2,445.00			6,459.00			3,622.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support									
S	CO01	Productive investment: Number of enterprises receiving support									
F	CO05	Productive investment: Number of new enterprises supported									
S	CO05	Productive investment: Number of new enterprises supported									
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products									
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products									
F	P4	Additional businesses taking up broadband with speeds of at least 30Mbps									
S	P4	Additional businesses taking up broadband with speeds of at least 30Mbps									

Priority axis	2 - Enhancing access to, and use and quality of, ICT
Investment Priority	2b - Developing ICT products and services, e-commerce and enhancing demand for ICT
Specific objective	2.2 - Increase the number of small and medium sized enterprises making productive use of digital technologies.

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
2.3	Ranking for selling online by SMes in EU Digital Scoreboard	Number		8	2015	Increase UK ranking by one place			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
2.3	Ranking for selling online by SMes in EU Digital Scoreboard		6		9		10		7

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
2.3	Ranking for selling online by SMes in EU Digital Scoreboard				

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3a - Promoting entrepreneurship, in particular by facilitating the economic exploitation of new ideas and fostering the creation of new firms, including through business incubators

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3a

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		18,886.00			15,708.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		18,886.00			27,020.00			
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		12,591.00			2,492.00			N/A
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		12,591.00			9,128.00			N/A
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		1,390.00			27.00			N/A
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		1,390.00			684.00			
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		4,906.00			12,444.00			N/A
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		4,906.00			16,908.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,109.00			8,574.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,109.00			10,577.00			N/A
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		54,260,475.00			24,241,429.00			N/A
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		54,260,475.00			112,506,730.00			N/A
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		55,624,111.00			5,467.00			
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		55,624,111.00			88,807,707.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		7,852.26			6,841.00			
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		7,852.26			17,790.00			
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		1,511.00			658.00			
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	Enterprises		1,511.00			1,986.00			
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		60,436.00			33,888.00			
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		60,436.00			58,683.00			
F	P2	Public or commercial buildings built or renovated	Square metres		2,336.00			2,513.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
S	P2	Public or commercial buildings built or renovated	Square metres		2,336.00			41,709.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	11,177.00			4,871.00			2,960.00		
S	CO01	Productive investment: Number of enterprises receiving support	16,771.00			28,333.00			14,026.00		
F	CO02	Productive investment: Number of enterprises receiving grants	1,791.00			1,256.00			537.00		
S	CO02	Productive investment: Number of enterprises receiving grants	4,924.00			4,314.00			3,962.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	336.00			1,434.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	9,005.00			6,999.00			2,086.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	11,504.00			14,258.00			11,605.00		
F	CO05	Productive investment: Number of new enterprises supported	6,089.00			5,010.00			2,007.00		
S	CO05	Productive investment: Number of new enterprises supported	7,116.00			6,451.00			2,831.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	16,518,938.34			14,583,405.00			4,337,863.00		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	58,272,738.00			51,361,561.00			41,732,956.29		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			145,005.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	42,176,229.00			186,270,861.00			176,104,490.00		
F	CO08	Productive investment: Employment increase in supported enterprises	4,672.00			4,676.00			893.00		
S	CO08	Productive investment: Employment increase in supported enterprises	11,413.00			11,985.00			10,650.00		
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	443.00			209.00			61.00		
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products	1,366.00			1,843.00			1,573.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	20,436.00			16,257.00			9,832.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	38,003.00			35,843.00			29,508.00		
F	P2	Public or commercial buildings built or renovated	0.00			638.00			213.00		
S	P2	Public or commercial buildings built or renovated	22,726.00			23,415.00			2,295.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	240.00						0.00		
S	CO01	Productive investment: Number of enterprises receiving support	12,522.00			0.00			0.00		
F	CO02	Productive investment: Number of enterprises receiving grants	158.00								
S	CO02	Productive investment: Number of enterprises receiving grants									
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support									
S	CO04	Productive investment: Number of enterprises receiving non-financial support	3,130.00								
F	CO05	Productive investment: Number of new enterprises supported	192.00								
S	CO05	Productive investment: Number of new enterprises supported	0.00								
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)									
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	89,120.00								
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00	0.00	0.00

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises									
S	CO08	Productive investment: Employment increase in supported enterprises	4.00								
F	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products									
S	CO28	Research, Innovation: Number of enterprises supported to introduce new to the market products									
F	P11	Number of potential entrepreneurs assisted to be enterprise ready									
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	1,187.00								
F	P2	Public or commercial buildings built or renovated									
S	P2	Public or commercial buildings built or renovated									

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3a - Promoting entrepreneurship, in particular by facilitating the economic exploitation of new ideas and fostering the creation of new firms, including through business incubators
Specific objective	3.1 - Increase entrepreneurship, particularly in areas with low levels of enterprise activity and amongst under-represented groups

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
3.1	Total early stage entrepreneurial activity, represented by proportion of adults age (18-64) in the process of starting a business or running a business less than 42 months old	Percentage		7.1	2013	Increase in the UK TEA rate to be higher than the average for innovation driven economies			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
3.1	Total early stage entrepreneurial activity, represented by proportion of adults age (18-64) in the process of starting a business or running a business less than 42 months old		9.34		8.2		8.4		8.8

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
3.1	Total early stage entrepreneurial activity, represented by proportion of adults age (18-64) in the process of starting a business or running a business less than 42 months old		6.9		10.7

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3c - Supporting the creation and the extension of advanced capacities for product and service development

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3c

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		47,216.00			22,492.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		47,216.00			67,550.00			N/A
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		31,477.00			6,826.00			N/A
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		31,477.00			22,820.00			
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		3,475.00			791.00			N/A
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		3,475.00			1,710.00			N/A
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		12,264.00			15,040.00			N/A
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		12,264.00			42,267.00			N/A
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,011.00			2,116.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		15,011.00			10,508.00			N/A
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		135,651,188.00			74,518,451.00			N/A
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		135,651,188.00			281,266,826.00			N/A
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		465,677,153.00			184,015,237.00			N/A
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		465,677,153.00			743,485,505.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		19,631.00			13,791.00			N/A
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		19,631.00			44,475.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		7,554.00			3,496.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		7,554.00			6,808.00			N/A
F	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		4,693.00			14,010.00			N/A
S	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		4,693.00			34,338.00			

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	P2	Public or commercial buildings built or renovated	Square metres		5,840.00			44,036.00			N/A
S	P2	Public or commercial buildings built or renovated	Square metres		5,840.00			104,273.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	14,003.00			7,850.00			4,512.00		
S	CO01	Productive investment: Number of enterprises receiving support	41,928.00			39,526.00			35,066.00		
F	CO02	Productive investment: Number of enterprises receiving grants	4,231.00			3,322.00			1,342.00		
S	CO02	Productive investment: Number of enterprises receiving grants	12,309.00			10,784.00			9,904.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	841.00			3,584.00			2,191.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	8,774.00			6,935.00			3,106.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	28,759.00			12,672.00			21,189.00		
F	CO05	Productive investment: Number of new enterprises supported	1,160.00			665.00			219.00		
S	CO05	Productive investment: Number of new enterprises supported	6,933.00			6,373.00			7,086.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	49,087,623.16			40,071,327.00			17,776,036.00		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	145,681,844.00			128,403,902.00			104,332,390.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	97,016.50			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	356,154,821.00			465,677,153.00			440,261,223.00		
F	CO08	Productive investment: Employment increase in supported enterprises	7,530.00			6,323.00	0.00	0.00	2,233.00	377.00	179.00
S	CO08	Productive investment: Employment increase in supported enterprises	28,532.00			29,962.00			26,167.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	1,993.00			1,228.00			318.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	4,617.00			3,842.00			3,533.00		
F	P13	Number of enterprises receiving information, diagnostic and brokerage	9,926.00			8,157.00			2,411.00		
S	P13	Number of enterprises receiving information, diagnostic and brokerage	22,383.00			24,412.00			21,934.00		
F	P2	Public or commercial buildings built or renovated	30,567.00			9,695.00			0.00		
S	P2	Public or commercial buildings built or renovated	56,816.00			64,274.00			57,378.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	407.00			0.00					
S	CO01	Productive investment: Number of enterprises receiving support	31,305.00								
F	CO02	Productive investment: Number of enterprises receiving grants	268.00								
S	CO02	Productive investment: Number of enterprises receiving grants									
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	2,066.00			0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	101.00								
S	CO04	Productive investment: Number of enterprises receiving non-financial support	7,826.00								
F	CO05	Productive investment: Number of new enterprises supported	65.00								
S	CO05	Productive investment: Number of new enterprises supported	4,849.00								

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)									
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	107,512.00								
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises									
S	CO08	Productive investment: Employment increase in supported enterprises	22.00								
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products									
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00								
F	P13	Number of enterprises receiving information, diagnostic and brokerage									
S	P13	Number of enterprises receiving information, diagnostic and brokerage									
F	P2	Public or commercial buildings built or renovated	0.00			0.00			0.00		
S	P2	Public or commercial buildings built or renovated	0.00			0.00			0.00		

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3c - Supporting the creation and the extension of advanced capacities for product and service development
Specific objective	3.2 - Increase growth capacity of SMEs

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
3.2	Number of jobs in small and medium sized enterprises	Full time equivalents		15,163,000	2014	Increase in line with job creation in the UK economy		5,246,500	
3.3	Gap in productivity between SMEs and large companies measured in terms of gross value added per employee	percentage		0.7	2014	Reduction in gap			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
3.2	Number of jobs in small and medium sized enterprises		5,180,700		4,998,200		5,001,200		4,820,700
3.3	Gap in productivity between SMEs and large companies measured in terms of gross value added per employee				19		18		23

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
3.2	Number of jobs in small and medium sized enterprises		4,724,000		4,582,700
3.3	Gap in productivity between SMEs and large companies measured in terms of gross value added per employee		14		

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 3 / 3d

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		28,329.00			25,534.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		28,329.00			40,529.00			N/A
F	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		18,886.00			7,766.00			N/A
S	CO02	Productive investment: Number of enterprises receiving grants	Enterprises		18,886.00			13,692.00			N/A
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		2,084.00			48.00			N/A
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	Enterprises		2,084.00			1,025.00			
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		7,359.00			16,612.00			N/A
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises		7,359.00			25,362.00			N/A
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		9,007.00			2,405.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		9,007.00			6,305.00			N/A
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		81,390,713.00			278,003,686.00			N/A
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	EUR		81,390,713.00			168,760,096.00			N/A
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		83,436,167.00			55,753,766.00			N/A
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	EUR		83,436,167.00			133,211,562.00			
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		11,777.00			13,242.00			N/A
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		11,777.00			26,681.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		4,533.00			1,129.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		4,533.00			4,805.00			N/A
F	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		2,816.00			14,038.00			
S	P13	Number of enterprises receiving information, diagnostic and brokerage	Enterprises		2,816.00			20,605.00			
F	P2	Public or commercial buildings built or renovated	Square metres		3,504.00			22,125.00			N/A
S	P2	Public or commercial buildings built or renovated	Square metres		3,504.00			62,564.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	17,924.00			8,784.00			4,882.00		
S	CO01	Productive investment: Number of enterprises receiving support	25,156.00			23,716.00			21,040.00		
F	CO02	Productive investment: Number of enterprises receiving grants	5,454.00			3,859.00			909.00		
S	CO02	Productive investment: Number of enterprises receiving grants	7,386.00			6,471.00			5,943.00		
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	10.00			11.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	505.00			2,151.00			1,321.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	12,062.00			8,091.00			986.00		
S	CO04	Productive investment: Number of enterprises receiving non-financial support	17,255.00			7,604.00			12,713.00		
F	CO05	Productive investment: Number of new enterprises supported	1,602.00			1,021.00			682.00		
S	CO05	Productive investment: Number of new enterprises supported	4,196.00			3,858.00			4,247.00		
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)	216,642,212.00			118,473,220.00			11,657,249.00		
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	87,409,106.00			77,042,341.00			62,599,434.00		
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	34,536,787.33			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	70,293,714.00			279,406,291.00			264,156,733.00		
F	CO08	Productive investment: Employment increase in supported enterprises	9,777.00			6,251.00	772.00	341.00	1,340.00	169.00	107.00
S	CO08	Productive investment: Employment increase in supported enterprises	17,118.00			17,977.00			15,700.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	700.00			647.00			247.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	2,829.00			2,257.00			2,073.00		
F	P13	Number of enterprises receiving information, diagnostic and brokerage	9,720.00			10,209.50			9,089.00		
S	P13	Number of enterprises receiving information, diagnostic and brokerage	13,719.00			14,337.00			12,882.00		
F	P2	Public or commercial buildings built or renovated	21,462.00			12,436.00			152.00		
S	P2	Public or commercial buildings built or renovated	34,089.00			38,565.00			34,227.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	299.00			0.00					
S	CO01	Productive investment: Number of enterprises receiving support	18,874.00								
F	CO02	Productive investment: Number of enterprises receiving grants	197.00								
S	CO02	Productive investment: Number of enterprises receiving grants									
F	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
S	CO03	Productive investment: Number of enterprises receiving financial support other than grants	0.00			0.00			0.00		
F	CO04	Productive investment: Number of enterprises receiving non-financial support	74.00								
S	CO04	Productive investment: Number of enterprises receiving non-financial support	5,284.00								
F	CO05	Productive investment: Number of new enterprises supported	47.00								
S	CO05	Productive investment: Number of new enterprises supported	3,020.00								
F	CO06	Productive investment: Private investment matching public support to enterprises (grants)									
S	CO06	Productive investment: Private investment matching public support to enterprises (grants)	719,374.00								
F	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		
S	CO07	Productive investment: Private investment matching public support to enterprises (non-grants)	0.00			0.00			0.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO08	Productive investment: Employment increase in supported enterprises									
S	CO08	Productive investment: Employment increase in supported enterprises	5.00								
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products									
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products									
F	P13	Number of enterprises receiving information, diagnostic and brokerage									
S	P13	Number of enterprises receiving information, diagnostic and brokerage									
F	P2	Public or commercial buildings built or renovated	0.00			0.00			0.00		
S	P2	Public or commercial buildings built or renovated	0.00			0.00			0.00		

Priority axis	3 - Enhancing the Competitiveness of SMEs
Investment Priority	3d - Supporting the capacity of SMEs to grow in regional, national and international markets, and to engage in innovation processes
Specific objective	3.3 - Increase growth capability of SMEs

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
3.4	Number of small and medium sized jobs created	Full time equivalents		713,000	2014	2000000 jobs created in uk over the period of the programme		65,800	
3.5	Gap in productivity between SMEs and large companies productivity measured in terms of gross value added per employee	GPB		475	2014	Reduction in gap			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
3.4	Number of small and medium sized jobs created		182,500				179,500		96,000
3.5	Gap in productivity between SMEs and large companies productivity measured in terms of gross value added per employee				13,200		12,800		15,825

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
3.4	Number of small and medium sized jobs created		136,900		303,100
3.5	Gap in productivity between SMEs and large companies productivity measured in terms of gross value added per employee		9,864		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4a - Promoting the production and distribution of energy derived from renewable sources

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4a

(1)	ID	Indicator	Measurement unit	Category region	of	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises			939.00			401.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises			939.00			665.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises			188.00			35.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises			188.00			226.00			N/A
F	CO30	Renewables: Additional capacity of renewable energy production	MW			121.00			12.00			N/A
S	CO30	Renewables: Additional capacity of renewable energy production	MW			121.00			73.00			N/A
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq			74,525.00			605.00			
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq			74,525.00			71,686.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	279.00			168.00			48.00		
S	CO01	Productive investment: Number of enterprises receiving support	427.00			429.00			390.00		
F	CO05	Productive investment: Number of new enterprises supported	24.00			15.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	104.00			147.00			127.00		
F	CO30	Renewables: Additional capacity of renewable energy production	0.00			329.00			25.00		
S	CO30	Renewables: Additional capacity of renewable energy production	0.00			86.00			13.76		
F	CO34	GHG reduction: Estimated annual decrease of GHG	264.00			362.30			8.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	35,574.00			168,823.00			83,824.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support									
S	CO01	Productive investment: Number of enterprises receiving support									
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
F	CO30	Renewables: Additional capacity of renewable energy production									
S	CO30	Renewables: Additional capacity of renewable energy production									
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	29,142.00			0.00			0.00		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4a - Promoting the production and distribution of energy derived from renewable sources
Specific objective	4.1 - Increase the number of small scale renewable energy schemes in England

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
4.1	Number of sites generating electricity from renewable sources (excluding PV)	Number		4,095	2013	20-40 additional sites in England		5,775	

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
4.1	Number of sites generating electricity from renewable sources (excluding PV)				5,706		5,643		5,490

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.1	Number of sites generating electricity from renewable sources (excluding PV)		5,244		4,742

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4b - Promoting energy efficiency and renewable energy use in enterprises

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,248.00			4,480.00			
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		11,248.00			7,965.00			N/A
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		60,975.00			29,497.00			
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		60,975.00			58,652.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	2,809.00			1,739.00			400.00		
S	CO01	Productive investment: Number of enterprises receiving support	5,015.00			5,042.00			4,585.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	21,462.00			132,596.73			1,352.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	29,106.00			59,088.00			33,846.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00								
S	CO01	Productive investment: Number of enterprises receiving support									
F	CO34	GHG reduction: Estimated annual decrease of GHG									
S	CO34	GHG reduction: Estimated annual decrease of GHG	23,844.00								

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4b - Promoting energy efficiency and renewable energy use in enterprises
Specific objective	4.2 - Increase energy efficiency in particular in SMEs, including through the implementation of low carbon technologies

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
4.2	SMEs that have no methods to measure energy efficiency	Percentage		23	2013	0			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
4.2	SMEs that have no methods to measure energy efficiency						0		

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.2	SMEs that have no methods to measure energy efficiency				

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4c - Supporting energy efficiency, smart energy management and renewable energy use in public infrastructure, including in public buildings, and in the housing sector

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4c

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	Households		5,340.00			1,376.00			N/A
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	Households		5,340.00			8,006.00			N/A
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	kWh/year		5,153,972.00			53,289,488.00			N/A
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	kWh/year		5,153,972.00			164,797,468.00			N/A
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			30,258.00			N/A
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			81,462.00			Reduction on 2018 achievement as a result of a data cleansing exercise

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	189.00			0.00			0.00		
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	4,868.00			4,844.00			2,401.00		
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	24,010,865.00			48,697.00			0.00		
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	84,309,080.00			82,027,325.00			72,517,812.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	10,103.00			40.59			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	40,425.00			80,191.00			95,255.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO31	Energy efficiency: Number of households with improved energy consumption classification	0.00			0.00			0.00		
S	CO31	Energy efficiency: Number of households with improved energy consumption classification	0.00			0.00			0.00		
F	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	0.00			0.00			0.00		
S	CO32	Energy efficiency: Decrease of annual primary energy consumption of public buildings	0.00			0.00			0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	33,116.00			0.00			0.00		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4c - Supporting energy efficiency, smart energy management and renewable energy use in public infrastructure, including in public buildings, and in the housing sector
Specific objective	4.3 - Increase energy efficiency in homes and public buildings, including through the implementation of low carbon technologies

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
4.3	Index of Domestic energy consumption per household (1990 =100)	Number		105.2	2012	Average reduction of 2 points each year in UK, taking account of service demand			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
4.3	Index of Domestic energy consumption per household (1990 =100)		77.3		78.6		76.2		79

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.3	Index of Domestic energy consumption per household (1990 =100)		77.6		75.3

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4e

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		9,219.00			3.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		9,219.00			6,528.00			
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			255.00			N/A
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		84,688.00			81,462.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	4,161.00			4,183.00			3,804.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	40,425.00			80,191.00			92,255.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00			0.00		
F	CO34	GHG reduction: Estimated annual decrease of GHG	0.00			0.00			0.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	33,116.00			0.00			0.00		

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4e - Promoting low-carbon strategies for all types of territories, in particular for urban areas, including the promotion of sustainable multimodal urban mobility and mitigation-relevant adaptation measures
Specific objective	4.4 - Increase implementation of whole place low carbon solutions and decentralised energy measures.

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
4.4	Reduction in carbon emissions in areas with low carbon strategies	Tonnes		To be established in each low carbon strategy	2012	Decrease			There has been no expenditure on the programme in the reporting period and therefore no impact on the result measures

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
4.4	Reduction in carbon emissions in areas with low carbon strategies						0		

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.4	Reduction in carbon emissions in areas with low carbon strategies				

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4f - Promoting research and innovation in, and adoption of, low-carbon technologies

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 4 / 4f

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,261.00			2,096.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		2,261.00			1,601.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		452.00			285.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		452.00			545.00			
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		219.00			631.00			N/A
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises		219.00			1,622.00			N/A
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		361.00			274.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		361.00			1,163.00			
F	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		33,875.00			19,559.00			N/A
S	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq		33,875.00			32,585.00			Reduction on 2018 achievement as a result of a data cleansing exercise

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	1,124.00			537.00			143.00		
S	CO01	Productive investment: Number of enterprises receiving support	1,067.00			1,073.00			975.00		
F	CO05	Productive investment: Number of new enterprises supported	156.00			116.00			18.00		
S	CO05	Productive investment: Number of new enterprises supported	254.00			342.00			296.00		
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	401.00			351.00			68.00		
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	920.00			4,433.00			919.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	144.00			98.00			0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	661.00			700.00			618.00	0.00	0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG	16,193.00			15,972.00			497.00		
S	CO34	GHG reduction: Estimated annual decrease of GHG	16,171.00			35,451.00			38,102.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00								
S	CO01	Productive investment: Number of enterprises receiving support									
F	CO05	Productive investment: Number of new enterprises supported									
S	CO05	Productive investment: Number of new enterprises supported									
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions									
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions									
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00	0.00	0.00	0.00	0.00	0.00	0.00		0.00
F	CO34	GHG reduction: Estimated annual decrease of GHG									
S	CO34	GHG reduction: Estimated annual decrease of GHG	13,247.00								

Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Investment Priority	4f - Promoting research and innovation in, and adoption of, low-carbon technologies
Specific objective	4.5 - Increase innovation in, and adoption of, low carbon technologies

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
4.5	Increase the percentage of firms in low carbon sectors who are innovation active.	Percentage		41.5	2013	Increase			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
4.5	Increase the percentage of firms in low carbon sectors who are innovation active.		31.7				42.8		

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
4.5	Increase the percentage of firms in low carbon sectors who are innovation active.		46.8		

Priority axis	5 - Promoting Climate Change Adaptation, Risk Prevention and Management
Investment Priority	5b - Promoting investment to address specific risks, ensuring disaster resilience and developing disaster management systems

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 5 / 5b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		23.00			10.00			N/A
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		23.00			283.00			N/A
F	P6	Businesses and properties with reduced flood risk	Number		7,766.00			376.00			N/A
S	P6	Businesses and properties with reduced flood risk	Number		7,766.00			8,878.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	10.00			0.00			0.00		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	73.00			40.00			10.00		
F	P6	Businesses and properties with reduced flood risk	351.00			0.00			0.00		
S	P6	Businesses and properties with reduced flood risk	6,706.00			5,623.00			4,325.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	0.00			0.00			0.00		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	0.00			0.00			0.00		
F	P6	Businesses and properties with reduced flood risk	0.00			0.00			0.00		
S	P6	Businesses and properties with reduced flood risk	2,065.00			0.00			0.00		

Priority axis	5 - Promoting Climate Change Adaptation, Risk Prevention and Management
Investment Priority	5b - Promoting investment to address specific risks, ensuring disaster resilience and developing disaster management systems
Specific objective	5.1 - Enabling and protecting economic development potential through investment in flood and coastal flooding management where there is demonstrable market failure

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
5.1	Number of non-residential properties better protected from flood and coastal risks	Number		0.00	2014	7,000.00			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
5.1	Number of non-residential properties better protected from flood and coastal risks					0.00		0.00	

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
5.1	Number of non-residential properties better protected from flood and coastal risks	0.00		0.00	

Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 6 / 6d

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	Hectares		24.00			28.00			N/A
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	Hectares		24.00			62.00			N/A
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		1,396.00			450.00			N/A
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares		1,396.00			2,938.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	23.00			8.40			0.00		
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	0.00			113.86			10.00		
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	308.00			202.06			20.00		
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	1,908.06			1,693.00			621.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO22	Land rehabilitation: Total surface area of rehabilitated land	0.00			0.00			0.00		
S	CO22	Land rehabilitation: Total surface area of rehabilitated land	0.00			0.00			0.00		
F	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status									
S	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	249.00								

Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6d - Protecting and restoring biodiversity and soil and promoting ecosystem services, including through Natura 2000, and green infrastructure
Specific objective	6.1 - Investments in Green and Blue infrastructure and actions that support the provision of ecosystem services on which businesses and communities depend to increase local natural capital and support sustainable economic growth

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
6.1	Increase of the area of green and blue infrastructure	Hectare		6,700 ha	2016	Increase of 1500			There has been no expenditure on the programme in the reporting period and therefore no impact on the result measures

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
6.1	Increase of the area of green and blue infrastructure						0		

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
6.1	Increase of the area of green and blue infrastructure				

Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6f - Promoting innovative technologies to improve environmental protection and resource efficiency in the waste sector, water sector and with regard to soil, or to reduce air pollution

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 6 / 6f

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,548.00			456.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,548.00			882.00			
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		310.00			56.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		310.00			85.00			
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		248.00			94.00			N/A
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	Enterprises		248.00			188.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	178.00			81.00			1.00		
S	CO01	Productive investment: Number of enterprises receiving support	379.00			494.00			494.00		
F	CO05	Productive investment: Number of new enterprises supported	14.00			9.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	53.00			66.00			66.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	19.00			12.00			0.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	57.00			312.00			72.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support									
S	CO01	Productive investment: Number of enterprises receiving support									
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
F	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00			0.00			0.00		
S	CO29	Research, Innovation: Number of enterprises supported to introduce new to the firm products	0.00			0.00			0.00		

Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Investment Priority	6f - Promoting innovative technologies to improve environmental protection and resource efficiency in the waste sector, water sector and with regard to soil, or to reduce air pollution
Specific objective	6.2 - Investment to promote the development and uptake of innovative technologies, in particular in resource efficiency, in order to increase the resilience and environmental and economic performance of businesses and communities.

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
6.2	Natural resource productivity of enterprises supported based on raw material consumption of construction and non-construction materials, using a GDP index	Number		150	2011	Increase of 81%			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
6.2	Natural resource productivity of enterprises supported based on raw material consumption of construction and non-construction materials, using a GDP index				188.1		179.2		175.7

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
6.2	Natural resource productivity of enterprises supported based on raw material consumption of construction and non-construction materials, using a GDP index		170.8		159.3

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7a - Supporting a multimodal Single European Transport Area by investing in the TEN-T

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7a

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	P7	Length of railway with new or enhanced signalling installation	kilometre	Less developed	43.00			43.00			N/A
S	P7	Length of railway with new or enhanced signalling installation	kilometre	Less developed	43.00			43.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	P7	Length of railway with new or enhanced signalling installation	43.00			43.00			0.00		
S	P7	Length of railway with new or enhanced signalling installation	43.00			43.00			43.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	P7	Length of railway with new or enhanced signalling installation	0.00			0.00			0.00		
S	P7	Length of railway with new or enhanced signalling installation	43.00			0.00			0.00		

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7a - Supporting a multimodal Single European Transport Area by investing in the TEN-T
Specific objective	7.1 - Improve the accessibility of Cornwall and the Isles of Scilly by enhancing integration with the TEN-T rail network

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
7.3	Improved service frequency	Number of services each day in each direction	Less developed	23.00	2014	32.00	22.00		

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
7.3	Improved service frequency	23.00		23.00		23.00		23.00	

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
7.3	Improved service frequency	23.00		23.00	

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7b - Enhancing regional mobility by connecting secondary and tertiary nodes to TEN-T infrastructure, including multimodal nodes

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7b

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO14	Roads: Total length of reconstructed or upgraded roads	km	Less developed	14.00			0.00			
S	CO14	Roads: Total length of reconstructed or upgraded roads	km	Less developed	14.00			0.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		
S	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		
S	CO14	Roads: Total length of reconstructed or upgraded roads	0.00			0.00			0.00		

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7b - Enhancing regional mobility by connecting secondary and tertiary nodes to TEN-T infrastructure, including multimodal nodes
Specific objective	7.3 - Improve the accessibility of Cornwall and the Isles of Scilly by enhancing integration with connection to the TEN-T network

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
7.1	All year average vehicle journey time (eastbound)	Minutes/seconds	Less developed	10 minutes 19 seconds	2014	15% reduction			
7.2	All year average vehicle journey time (westbound)	minutes/seconds	Less developed	11 minutes 12 seconds	2014	18% reduction			

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
7.1	All year average vehicle journey time (eastbound)		15		12				
7.2	All year average vehicle journey time (westbound)		17		12				

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
7.1	All year average vehicle journey time (eastbound)				
7.2	All year average vehicle journey time (westbound)				

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7c - Developing and improving environmentally-friendly (including low noise) and low-carbon transport systems, including inland waterways and maritime transport, ports, multimodal links and airport infrastructure, in order to promote sustainable regional and local mobility

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 7 / 7c

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	P10	Number of multi-modal transport hubs	number	Less developed	1.00			0.00			N/A
S	P10	Number of multi-modal transport hubs	number	Less developed	1.00			18.00			N/A
F	P8	Alternative fuel charging/re-fuelling points	number	Less developed	66.00			0.00			N/A
S	P8	Alternative fuel charging/re-fuelling points	number	Less developed	66.00			345.00			N/A
F	P9	improved multi-modal connection points	number	Less developed	2.00			0.00			N/A
S	P9	improved multi-modal connection points	number	Less developed	2.00			4.00			N/A

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	P10	Number of multi-modal transport hubs	0.00			0.00			0.00		
S	P10	Number of multi-modal transport hubs	2.00			0.00			1.00		
F	P8	Alternative fuel charging/re-fuelling points	2.00			0.00			0.00		
S	P8	Alternative fuel charging/re-fuelling points	41.00			0.00			0.00		
F	P9	improved multi-modal connection points	0.00			0.00			0.00		
S	P9	improved multi-modal connection points	4.00			0.00			0.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	P10	Number of multi-modal transport hubs	0.00			0.00			0.00		
S	P10	Number of multi-modal transport hubs	0.00			0.00			0.00		
F	P8	Alternative fuel charging/re-fuelling points	0.00			0.00			0.00		
S	P8	Alternative fuel charging/re-fuelling points	0.00			0.00			0.00		
F	P9	improved multi-modal connection points	0.00			0.00			0.00		
S	P9	improved multi-modal connection points	0.00			0.00			0.00		

Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Investment Priority	7c - Developing and improving environmentally-friendly (including low noise) and low-carbon transport systems, including inland waterways and maritime transport, ports, multimodal links and airport infrastructure, in order to promote sustainable regional and local mobility
Specific objective	7.2 - Improve accessibility and connectivity within Cornwall and the Isles of Scilly through developing sustainable means of transport

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
7.4	No. of low carbon vehicles registered in C&IoS	vehicles	Less developed	238.00	2013	3,000.00	1,723.00		

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
7.4	No. of low carbon vehicles registered in C&IoS	1,274.00		969.00		731.00		573.00	

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
7.4	No. of low carbon vehicles registered in C&IoS	440.00		302.00	

Priority axis	8 - Promoting social inclusion and combating poverty and any discrimination
Investment Priority	9d - Undertaking investment in the context of community-led local development strategies

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 8 / 9d

(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,681.00			75.00			N/A
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises		1,681.00			2,282.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,177.00			16.00			N/A
S	CO05	Productive investment: Number of new enterprises supported	Enterprises		1,177.00			1,305.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		1,261.00			11.00			N/A
S	CO08	Productive investment: Employment increase in supported enterprises	Full time equivalents		1,261.00			1,424.00			
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		3,530.00			107.00			N/A
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	Persons		3,530.00			3,653.00			N/A
F	P12	Square metres public or commercial building built or renovated in targeted areas	Square metres		1,513.00			146.00			N/A
S	P12	Square metres public or commercial building built or renovated in targeted areas	Square metres		1,513.00			4,293.00			

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	CO01	Productive investment: Number of enterprises receiving support	16.00			0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	1,745.00			2,352.00			1,552.00		
F	CO05	Productive investment: Number of new enterprises supported	2.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	1,004.00			1,148.00			770.00		
F	CO08	Productive investment: Employment increase in supported enterprises	1.00			0.00			0.00		
S	CO08	Productive investment: Employment increase in supported enterprises	1,097.00			1,475.00			918.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	14.00			0.00			0.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	2,807.00			2,466.00			2,677.00		
F	P12	Square metres public or commercial building built or renovated in targeted areas	0.00			0.00			0.00		
S	P12	Square metres public or commercial building built or renovated in targeted areas	2,348.00			3,508.00			3,508.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	CO01	Productive investment: Number of enterprises receiving support	0.00			0.00			0.00		
S	CO01	Productive investment: Number of enterprises receiving support	7.00			0.00			0.00		
F	CO05	Productive investment: Number of new enterprises supported	0.00			0.00			0.00		
S	CO05	Productive investment: Number of new enterprises supported	5.00			0.00			0.00		
F	CO08	Productive investment: Employment increase in supported enterprises	0.00			0.00			0.00		
S	CO08	Productive investment: Employment increase in supported enterprises	0.00			0.00			0.00		
F	P11	Number of potential entrepreneurs assisted to be enterprise ready	0.00			0.00			0.00		
S	P11	Number of potential entrepreneurs assisted to be enterprise ready	0.00			0.00			0.00		
F	P12	Square metres public or commercial building built or renovated in targeted areas	0.00			0.00			0.00		
S	P12	Square metres public or commercial building built or renovated in targeted areas	0.00			0.00			0.00		

Priority axis	8 - Promoting social inclusion and combating poverty and any discrimination
Investment Priority	9d - Undertaking investment in the context of community-led local development strategies
Specific objective	8.1 - To build capacity within communities as a foundation for economic growth in deprived areas

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
8.1	Number of new enterprises within targeted areas	Enterprises		0.00	2016	1,629.00			There has been minimal expenditure on the programme in the reporting period and therefore no impact on the result measures
8.2	Employment increase	Full time equivalents		0.00	2016	1,745.00			There has been minimal expenditure on the programme in the reporting period and therefore no impact on the result measures

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
8.1	Number of new enterprises within targeted areas					0.00		0.00	
8.2	Employment increase					0.00		0.00	

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
8.1	Number of new enterprises within targeted areas	0.00		0.00	
8.2	Employment increase	0.00		0.00	

Priority axes for technical assistance

Table 3A: Common and programme specific output indicators for the ERDF and the Cohesion Fund (by priority axis, investment priority, broken down by category of region for the ERDF) - 9

Priority axis				9 - Technical Assistance							
(1)	ID	Indicator	Measurement unit	Category of region	Target value (2023) total	Target value (2023) men	Target value (2023) women	2020 Total	2020 Men	2020 Women	Observations
F	P14	Training events held by the Managing Authority and partners	Number		200.00			28.00			N/A
S	P14	Training events held by the Managing Authority and partners	Number		200.00			264.00			N/A
F	P15	Dissemination events held	Number		70.00			65.00			Reduction on 2018 achievement as a result of a data cleansing exercise
S	P15	Dissemination events held	Number		70.00			624.00			N/A
F	P16	PMC and sub-committee meetings supported	Number		2,000.00			0.00			N/A
S	P16	PMC and sub-committee meetings supported	Number		2,000.00			33.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	P17	Evaluations carried out	Number		5.00			0.00			Reduction on 2018 achievement as a result of a data cleansing exercise
S	P17	Evaluations carried out	Number		5.00			101.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	Percentage					0.00			Reduction on 2018 achievement as a result of a data cleansing exercise
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	Percentage					25.00			Reduction on 2018 achievement as a result of a data cleansing exercise
F	P19	Employees whose salaries are co-financed by technical assistance	Full time equivalents					0.00			Reduction on 2018 achievement as a result of a data cleansing exercise
S	P19	Employees whose salaries are co-financed by technical assistance	Full time equivalents					293.00			Reduction on 2018 achievement as a result of a data cleansing exercise

(1) S=Cumulative value — outputs to be delivered by selected operations [forecast provided by beneficiaries], F=Cumulative value — outputs delivered by operations [actual achievement]

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
F	P14	Training events held by the Managing Authority and partners	577.00			162.50			146.00		
S	P14	Training events held by the Managing Authority and partners	260.00			212.00			223.00		
F	P15	Dissemination events held	298.00			408.00			263.00		
S	P15	Dissemination events held	450.00			447.00			431.00		
F	P16	PMC and sub-committee meetings supported	38.00			38.00			14.00		
S	P16	PMC and sub-committee meetings supported	28.00			40.00			22.00		
F	P17	Evaluations carried out	2.00			0.00			0.00		
S	P17	Evaluations carried out	0.00			93.00			1.00		
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			0.00			0.00		

(1)	ID	Indicator	2019 Total	2019 Men	2019 Women	2018 Total	2018 Men	2018 Women	2017 Total	2017 Men	2017 Women
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			30.00			1.00		
F	P19	Employees whose salaries are co-financed by technical assistance	0.00			29.00			0.00		
S	P19	Employees whose salaries are co-financed by technical assistance	6.00			20.00			0.00		

(1)	ID	Indicator	2016 Total	2016 Men	2016 Women	2015 Total	2015 Men	2015 Women	2014 Total	2014 Men	2014 Women
F	P14	Training events held by the Managing Authority and partners									
S	P14	Training events held by the Managing Authority and partners									
F	P15	Dissemination events held									
S	P15	Dissemination events held	103.00								
F	P16	PMC and sub-committee meetings supported									
S	P16	PMC and sub-committee meetings supported									
F	P17	Evaluations carried out	0.00			0.00			0.00		
S	P17	Evaluations carried out	0.00			0.00			0.00		
F	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			0.00			0.00		
S	P18	Expenditure checked by management controls and verifications, proportionate to the amount of public support to the operation in line with Article 125(5)	0.00			0.00			0.00		
F	P19	Employees whose salaries are co-financed by technical assistance	0.00			0.00			0.00		
S	P19	Employees whose salaries are co-financed by technical assistance	0.00			0.00			0.00		

Priority axis	9 - Technical Assistance
Specific objective	9.1 - To ensure that the activities which fall within the scope of the programme are delivered efficiently and effectively in line with applicable law.

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis - 9 / 9.1

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
9.1	Error rate	Percentage		2.414	2014	Below 2		1.19	

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
9.1	Error rate		1.95		0.93		0.070		0

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
9.1	Error rate		0		0

Priority axis	9 - Technical Assistance
Specific objective	9.2 - To facilitate access to the programme and communicate the impact that the European Structural and Investment Funds have, including by providing robust governance support to the PMC and sub-committees and high quality advice and information to partners involved in the design and delivery of projects.

Table 1: Result indicators for the ERDF and the Cohesion Fund (by priority axis and specific objective); applies also to Technical Assistance priority axis - 9 / 9.2

ID	Indicator	Measurement unit	Category of region	Baseline value	Baseline year	Target value 2023	2020 Total	2020 Qualitative	Observations
9.2	Partner engagement	Percentage		31.00	2015	41.00	37.10		2.11 we don't have the data as we don't need to record the information. 2.48 The figures are correct as of end of 2017 and this is what we've achieved

ID	Indicator	2019 Total	2019 Qualitative	2018 Total	2018 Qualitative	2017 Total	2017 Qualitative	2016 Total	2016 Qualitative
9.2	Partner engagement	45.60		39.20		27.00		29.00	

ID	Indicator	2015 Total	2015 Qualitative	2014 Total	2014 Qualitative
9.2	Partner engagement	36.00		36.00	

Table 3B: Number of enterprises supported by the operational programme net of multiple support to the same enterprises

Indicator	Number of enterprises supported by OP net of multiple support
CO01 - Productive investment: Number of enterprises receiving support	91,127
CO02 - Productive investment: Number of enterprises receiving grants	19,592
CO03 - Productive investment: Number of enterprises receiving financial support other than grants	896
CO04 - Productive investment: Number of enterprises receiving non-financial support	57,537
CO05 - Productive investment: Number of new enterprises supported	16,044

Table 5: Information on the milestones and targets defined in the performance framework

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2020 Cum total	2020 Cum men	2020 Cum women	2020 Annual total	2020 Annual total men	2020 Annual total women
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	308.00					
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	3,725.00					
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	11,555.00					
1	F	F1	Expenditure	Euros	ERDF	Less developed	46,248,578.15					
1	F	F1	Expenditure	Euros	ERDF	Transition	188,968,158.02					
1	F	F1	Expenditure	Euros	ERDF	More developed	328,903,127.96					
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	308.00					
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	3,725.00					
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	11,555.00					
10	F	F1	Expenditure	Euros	ERDF	Less developed	0.00					
10	F	F1	Expenditure	Euros	ERDF	Transition	0.00					
10	F	F1	Expenditure	Euros	ERDF	More developed	0.00					
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed	0.00					
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition	0.00					
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed	0.00					
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	403.00					
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	3,526.00					
2	F	F1	Expenditure	Euros	ERDF	Less developed	16,965,266.30					
2	F	F1	Expenditure	Euros	ERDF	Transition	8,564,525.32					
2	F	F1	Expenditure	Euros	ERDF	More developed	48,694,375.44					
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	1,824.00					
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	403.00					
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	3,526.00					
2	O	P3	Additional businesses with broadband access of at least 30Mbps	Enterprises	ERDF	Less developed	1,824.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	3,440.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	17,045.00					
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	43,249.00					
3	F	F1	Expenditure	Euros	ERDF	Less developed	109,312,292.35					
3	F	F1	Expenditure	Euros	ERDF	Transition	424,797,056.60					
3	F	F1	Expenditure	Euros	ERDF	More developed	844,431,493.66					
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	3,440.00					
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	17,045.00					
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	43,249.00					
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	160.00					
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	21,053.00					
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	58,960.00					
4	F	F1	Expenditure	Euros	ERDF	Less developed	63,956,916.17					
4	F	F1	Expenditure	Euros	ERDF	Transition	75,683,709.85					
4	F	F1	Expenditure	Euros	ERDF	More developed	319,869,281.32					
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	526.00					
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	1,851.00					
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	4,603.00					
5	F	F1	Expenditure	Euros	ERDF	Less developed	1,363,438.75					
5	F	F1	Expenditure	Euros	ERDF	Transition	10,249,234.68					
5	F	F1	Expenditure	Euros	ERDF	More developed	20,551,459.21					
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	100.00					
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	100.00					
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	100.00					
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0.00					
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	288.00					
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	88.00					
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	106.00					
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	61.00					
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	283.00					
6	F	F1	Expenditure	Euros	ERDF	Less developed	6,036,082.38					
6	F	F1	Expenditure	Euros	ERDF	Transition	7,945,674.18					
6	F	F1	Expenditure	Euros	ERDF	More developed	18,481,913.25					
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	106.00					
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	61.00					

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2020 Cum total	2020 Cum men	2020 Cum women	2020 Annual total	2020 Annual total men	2020 Annual total women
			completed operations									
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	283.00					
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0.00					
7	F	F1	Expenditure	Euros	ERDF	Less developed	42,211,788.83					
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	100.00					
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed	100.00					
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	43.00					
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	64.00					
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0.00					
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	11.00					
8	F	F1	Expenditure	Euros	ERDF	Less developed	1,266,547.33					
8	F	F1	Expenditure	Euros	ERDF	Transition	383,463.19					
8	F	F1	Expenditure	Euros	ERDF	More developed	2,033,910.55					
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	4.00					
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	4.50					
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	12.50					

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2019 Cum total	2018 Cum total	2017 Cum total	Observations
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	259.00	96.00	6.00	
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	2,783.00	1,116.00	429.00	
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	8,455.00	3,905.00	1,074.00	
1	F	F1	Expenditure	Euros	ERDF	Less developed	25,951,883.96	18,881,095.68	2,971,009.46	
1	F	F1	Expenditure	Euros	ERDF	Transition	148,738,517.59	96,696,066.19	31,643,356.86	
1	F	F1	Expenditure	Euros	ERDF	More developed	240,417,629.90	191,242,903.22	60,960,851.33	
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	259.00	96.00	6.00	
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	2,783.00	1,116.00	429.00	
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	8,455.00	3,905.00	1,074.00	
10	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00	
10	F	F1	Expenditure	Euros	ERDF	Transition	0.00	0.00	0.00	
10	F	F1	Expenditure	Euros	ERDF	More developed	0.00	0.00	0.00	
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed	0.00	0.00	0.00	
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition	0.00	0.00	0.00	
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed	0.00	0.00	0.00	
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	289.00	229.00	58.00	
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	2,521.00	1,652.00	280.00	
2	F	F1	Expenditure	Euros	ERDF	Less developed	8,006,912.45	7,536,486.34	1,186,579.94	
2	F	F1	Expenditure	Euros	ERDF	Transition	6,776,711.03	5,210,328.69	670,092.12	
2	F	F1	Expenditure	Euros	ERDF	More developed	37,497,218.28	27,047,865.66	1,924,458.82	
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	710.00	710.00	0.00	
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	289.00	229.00	58.00	
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	2,521.00	1,652.00	280.00	
2	O	P3	Additional businesses with broadband access of at least 30Mbps	Enterprises	ERDF	Less developed	710.00	710.00	0.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	2,661.00	563.00	755.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	11,195.00	5,407.00	2,133.00	
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	29,248.00	15,535.00	4,960.00	
3	F	F1	Expenditure	Euros	ERDF	Less developed	90,978,231.40	73,200,786.65	13,365,171.71	
3	F	F1	Expenditure	Euros	ERDF	Transition	313,802,284.65	240,790,253.35	111,700,588.61	
3	F	F1	Expenditure	Euros	ERDF	More developed	680,979,205.82	501,908,159.38	167,257,911.49	
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	2,661.00	563.00	755.00	
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	11,195.00	5,407.00	2,133.00	
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	29,248.00	15,535.00	4,960.00	
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	159.00	123.70	0.00	
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	13,206.00	11,155.79	0.00	
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	34,657.00	137,692.13	0.00	
4	F	F1	Expenditure	Euros	ERDF	Less developed	50,122,191.87	34,652,399.32	2,559,594.96	
4	F	F1	Expenditure	Euros	ERDF	Transition	47,794,029.25	36,548,173.28	7,786,104.52	
4	F	F1	Expenditure	Euros	ERDF	More developed	194,364,834.22	136,506,321.92	36,056,635.40	
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	389.00	240.00	471.00	
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	1,088.00	504.00	98.00	
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	2,735.00	1,700.00	7,025.00	
5	F	F1	Expenditure	Euros	ERDF	Less developed	125,742.80	334,686.24	0.00	

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2019 Cum total	2018 Cum total	2017 Cum total	Observations
5	F	F1	Expenditure	Euros	ERDF	Transition	5,672,034.16	3,157,371.61	0.00	
5	F	F1	Expenditure	Euros	ERDF	More developed	5,179,397.10	2,953,219.22	0.00	
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	8.00	8.00	0.00	
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	11.00	11.00	0.00	
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	33.00	33.00	0.00	
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0.00	0.00	0.00	
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	263.00	0.00	0.00	
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	88.00	0.00	0.00	
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	61.00	37.76	20.00	
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	19.00	42.90	0.00	
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	228.00	121.40	10.00	
6	F	F1	Expenditure	Euros	ERDF	Less developed	4,178,277.21	2,418,388.91	142,748.33	
6	F	F1	Expenditure	Euros	ERDF	Transition	5,375,188.98	4,208,085.31	98,473.78	
6	F	F1	Expenditure	Euros	ERDF	More developed	11,308,338.09	5,767,345.75	109,671.72	
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	61.00	37.76	20.00	
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	19.00	42.90	0.00	
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	228.00	121.40	10.00	
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0.00	0.00	0.00	
7	F	F1	Expenditure	Euros	ERDF	Less developed	35,878,576.72	31,665,290.36	1,130,213.29	
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	100.00	100.00	0.00	
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed	100.00	100.00	0.00	
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	43.00	43.00	0.00	
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	14.00	0.00	0.00	
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0.00	0.00	0.00	
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	2.00	0.00	0.00	
8	F	F1	Expenditure	Euros	ERDF	Less developed	730,536.81	443,613.77	72,235.05	
8	F	F1	Expenditure	Euros	ERDF	Transition	234,306.86	234,306.86	49,333.84	
8	F	F1	Expenditure	Euros	ERDF	More developed	760,812.81	633,128.87	242,645.01	
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	4.00	4.00	0.00	
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	4.50	4.50	0.00	
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	12.50	12.50	0.00	

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2016 Cum total	2015 Cum total	2014 Cum total
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0.00	0.00	0.00
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	186.00	0.00	0.00
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	467.00	0.00	0.00
1	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00
1	F	F1	Expenditure	Euros	ERDF	Transition	13,422,011.91	0.00	0.00
1	F	F1	Expenditure	Euros	ERDF	More developed	7,584,632.72	0.00	0.00
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	0.00	0.00	0.00
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	186.00	0.00	0.00
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	467.00	0.00	0.00
10	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00
10	F	F1	Expenditure	Euros	ERDF	Transition	0.00	0.00	0.00
10	F	F1	Expenditure	Euros	ERDF	More developed	0.00	0.00	0.00
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed	0.00	0.00	0.00
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition	0.00	0.00	0.00
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed	0.00	0.00	0.00
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0.00	0.00	0.00
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0.00	0.00	0.00
2	F	F1	Expenditure	Euros	ERDF	Less developed	397,354.64	0.00	0.00
2	F	F1	Expenditure	Euros	ERDF	Transition	0.00	0.00	0.00
2	F	F1	Expenditure	Euros	ERDF	More developed	0.00	0.00	0.00
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	0.00	0.00	0.00
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	0.00	0.00	0.00
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	0.00	0.00	0.00
2	O	P3	Additional businesses with broadband access of at least 30mbps	Enterprises	ERDF	Less developed	0.00	0.00	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	423.00	0.00	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	1,125.00	0.00	0.00
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	2,637.00	0.00	0.00
3	F	F1	Expenditure	Euros	ERDF	Less developed	1,224,107.07	0.00	0.00
3	F	F1	Expenditure	Euros	ERDF	Transition	73,750,674.69	0.00	0.00
3	F	F1	Expenditure	Euros	ERDF	More developed	112,120,546.12	0.00	0.00

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	2016 Cum total	2015 Cum total	2014 Cum total
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	423.00	0.00	0.00
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	1,125.00	7.00	0.00
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	2,637.00	74.00	0.00
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	0.00	0.00	0.00
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	0.00	0.00	0.00
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	0.00	0.00	0.00
4	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00
4	F	F1	Expenditure	Euros	ERDF	Transition	6,199,463.02	0.00	0.00
4	F	F1	Expenditure	Euros	ERDF	More developed	10,107,965.09	0.00	0.00
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	1.00	0.00	0.00
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	46.00	0.00	0.00
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	89.00	0.00	0.00
5	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00
5	F	F1	Expenditure	Euros	ERDF	Transition	0.00	0.00	0.00
5	F	F1	Expenditure	Euros	ERDF	More developed	0.00	0.00	0.00
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	0.00	0.00	0.00
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	0.00	0.00	0.00
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	0.00	0.00	0.00
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0.00	0.00	0.00
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition			
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed			
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed			
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition			
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed			
6	F	F1	Expenditure	Euros	ERDF	Less developed			
6	F	F1	Expenditure	Euros	ERDF	Transition			
6	F	F1	Expenditure	Euros	ERDF	More developed			
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	0.00	0.00	0.00
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	0.00	0.00	0.00
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	0.00	0.00	0.00
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0.00	0.00	0.00
7	F	F1	Expenditure	Euros	ERDF	Less developed	0.00	0.00	0.00
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed			
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project)	Percentage	ERDF	Less developed			
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed			
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed			
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition			
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed			
8	F	F1	Expenditure	Euros	ERDF	Less developed			
8	F	F1	Expenditure	Euros	ERDF	Transition			
8	F	F1	Expenditure	Euros	ERDF	More developed			
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	0.00	0.00	0.00
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	0.00	0.00	0.00
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	0.00	0.00	0.00

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	Milestone for 2018 total	Milestone for 2018 men	Milestone for 2018 women	Final target (2023) total	Final target (2023) men	Final target (2023) women
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0			559.00		
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			5,941.00		
1	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			14,229.00		
1	F	F1	Expenditure	Euros	ERDF	Less developed	17,857,860			113,875,263.00		
1	F	F1	Expenditure	Euros	ERDF	Transition	58,331,594			388,358,977.00		
1	F	F1	Expenditure	Euros	ERDF	More developed	150,229,827			962,418,868.00		
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	61			61.00		
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	625			625.00		
1	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	1,554			1,554.00		
10	F	F1	Expenditure	Euros	ERDF	Less developed				620,756.00		
10	F	F1	Expenditure	Euros	ERDF	Transition				10,857,594.00		
10	F	F1	Expenditure	Euros	ERDF	More developed				46,082,698.00		
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Less developed				2.00		
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	Transition				47.00		
10	O	PCV33	Number of entities supported in combating or counteracting the effects of the COVID-19 pandemic	Number	ERDF	More developed				201.00		
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			1,909.00		
2	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			8,699.00		

Priority axis	Ind type	ID	Indicator	Measurement unit	Fund	Category of region	Milestone for 2018 total	Milestone for 2018 men	Milestone for 2018 women	Final target (2023) total	Final target (2023) men	Final target (2023) women
2	F	F1	Expenditure	Euros	ERDF	Less developed	3,731,835			23,797,010.00		
2	F	F1	Expenditure	Euros	ERDF	Transition	5,574,377			35,657,164.00		
2	F	F1	Expenditure	Euros	ERDF	More developed	22,104,167			140,952,940.00		
2	I	I7	Additional businesses with broadband access of at least 30Mbps that has been achieved by partially or fully completed operations	Enterprises	ERDF	Less developed	185			185.00		
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	209			209.00		
2	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	955			955.00		
2	O	P3	Additional businesses with broadband access of at least 30Mbps	Enterprises	ERDF	Less developed	0			2,102.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0			2,120.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			40,632.00		
3	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			51,679.00		
3	F	F1	Expenditure	Euros	ERDF	Less developed	33,779,094			215,401,132.00		
3	F	F1	Expenditure	Euros	ERDF	Transition	135,917,108			866,710,605.00		
3	F	F1	Expenditure	Euros	ERDF	More developed	258,073,380			1,645,671,678.00		
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	232			232.00		
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	4,461			4,461.00		
3	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	5,673			5,673.00		
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Less developed	0			23,235.00		
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	Transition	0			77,211.00		
4	O	CO34	GHG reduction: Estimated annual decrease of GHG	Tonnes of CO2eq	ERDF	More developed	0			238,306.00		
4	F	F1	Expenditure	Euros	ERDF	Less developed	14,758,103			95,007,897.00		
4	F	F1	Expenditure	Euros	ERDF	Transition	52,670,435			315,714,657.00		
4	F	F1	Expenditure	Euros	ERDF	More developed	152,603,502			974,432,508.00		
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Less developed	41			41.00		
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	Transition	556			556.00		
4	I	I1	Number of enterprises receiving support that has been achieved by partially or fully completed operations	Number	ERDF	More developed	1,592			1,592.00		
5	F	F1	Expenditure	Euros	ERDF	Less developed	2,600,433			15,587,392.00		
5	F	F1	Expenditure	Euros	ERDF	Transition	12,417,954			74,435,121.00		
5	F	F1	Expenditure	Euros	ERDF	More developed	8,118,091			48,661,082.00		
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Less developed	100			100.00		
5	I	I4	Percentage of schemes in place	Percentage	ERDF	Transition	100			100.00		
5	I	I4	Percentage of schemes in place	Percentage	ERDF	More developed	100			100.00		
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Less developed	0			174.00		
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	Transition	0			4,591.00		
5	O	P6	Businesses and properties with reduced flood risk	Number	ERDF	More developed	0			3,001.00		
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Less developed	0			126.00		
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	Transition	0			290.00		
6	O	CO23	Nature and biodiversity: Surface area of habitats supported to attain a better conservation status	Hectares	ERDF	More developed	0			980.00		
6	F	F1	Expenditure	Euros	ERDF	Less developed	2,518,416			16,059,333.00		
6	F	F1	Expenditure	Euros	ERDF	Transition	5,581,769			35,593,592.00		
6	F	F1	Expenditure	Euros	ERDF	More developed	17,638,686			105,728,986.00		
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Less developed	14			14.00		
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	Transition	32			32.00		
6	I	I3	Surface area of habitats supported in order to attain a better conservation status that has been achieved by partially or fully completed operations	hectare	ERDF	More developed	114			114.00		
7	O	CO14	Roads: Total length of reconstructed or upgraded roads	km	ERDF	Less developed	0			14.00		
7	F	F1	Expenditure	Euros	ERDF	Less developed	11,354,818			72,406,934.00		
7	I	I2	Completion of planning processes and necessary clearances, including SEA for road	percentage	ERDF	Less developed	100			100.00		
7	I	I5	Percentage of final target where signalling improved (although this will still be subject to final safety sign-off which cannot be done until end of whole project	Percentage	ERDF	Less developed	50			50.00		
7	O	P7	Length of railway with new or enhanced signalling installation	kilometre	ERDF	Less developed	0			43.00		
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Less developed	0			254.00		
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	Transition	0			334.00		
8	O	CO01	Productive investment: Number of enterprises receiving support	Enterprises	ERDF	More developed	0			1,093.00		
8	F	F1	Expenditure	Euros	ERDF	Less developed	2,088,693			12,519,944.00		
8	F	F1	Expenditure	Euros	ERDF	Transition	1,549,981			9,290,821.00		
8	F	F1	Expenditure	Euros	ERDF	More developed	5,080,383			30,452,589.00		
8	I	I6	Number of local development strategies agreed	Number	ERDF	Less developed	4			4.00		
8	I	I6	Number of local development strategies agreed	Number	ERDF	Transition	4.5			4.50		
8	I	I6	Number of local development strategies agreed	Number	ERDF	More developed	12.5			12.50		

3.4 Financial data (Article 50(2) of Regulation (EU) No 1303/2013)

Table 6: Financial information at priority axis and programme level

(as set out in Table 1 of Annex II to Commission Implementing Regulation (EU) No 1011/2014 (Model for the transmission of financial data))

Priority axis	Fund	Category of region	Calculation basis	Total fund	Co-financing rate	Total eligible cost of operations selected for support	Proportion of the total allocation covered with selected operations	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Proportion of the total allocation covered by eligible expenditure declared by beneficiaries	Number of operations selected
1	ERDF	Less developed	Total	113,875,263.00	80.00%	108,704,506.00	95.46%	99,636,145.00	64,389,387.00	56.54%	15
1	ERDF	Transition	Total	388,358,977.00	60.00%	351,722,661.00	90.57%	311,822,760.00	233,958,757.00	60.24%	121
1	ERDF	More developed	Total	962,418,868.00	50.00%	779,644,733.00	81.01%	596,725,588.00	431,619,552.00	44.85%	195
10	ERDF	Less developed	Total	775,945.00	80.00%	608,878.00	78.47%	608,878.00	0.00	0.00%	1
10	ERDF	Transition	Total	18,095,990.00	60.00%	10,627,698.00	58.73%	10,627,698.00	0.00	0.00%	1
10	ERDF	More developed	Total	92,165,396.00	50.00%	45,223,070.00	49.07%	45,223,070.00	0.00	0.00%	1
2	ERDF	Less developed	Total	23,797,010.00	80.00%	25,137,670.00	105.63%	23,459,818.00	18,950,658.00	79.63%	4
2	ERDF	Transition	Total	35,657,164.00	60.00%	28,322,210.00	79.43%	24,140,524.00	11,802,111.00	33.10%	11
2	ERDF	More developed	Total	140,952,940.00	50.00%	105,112,663.00	74.57%	81,113,428.00	59,670,642.00	42.33%	21
3	ERDF	Less developed	Total	215,401,132.00	80.00%	199,628,423.00	92.68%	157,207,333.00	127,749,922.00	59.31%	43
3	ERDF	Transition	Total	866,710,606.00	60.00%	922,449,282.00	106.43%	585,971,501.00	550,396,109.00	63.50%	173
3	ERDF	More developed	Total	1,645,671,678.00	50.00%	1,809,018,748.00	109.93%	1,114,987,609.00	1,109,452,201.00	67.42%	269
4	ERDF	Less developed	Total	95,007,897.00	80.00%	94,411,541.00	99.37%	64,643,252.00	74,253,360.00	78.15%	8
4	ERDF	Transition	Total	315,714,657.00	60.00%	272,460,238.00	86.30%	232,391,172.00	125,860,452.00	39.87%	54
4	ERDF	More developed	Total	974,432,508.00	50.00%	744,864,759.00	76.44%	525,193,131.00	359,948,565.00	36.94%	99
5	ERDF	Less developed	Total	15,587,392.00	80.00%	19,724,722.00	126.54%	19,472,314.00	4,674,848.00	29.99%	2
5	ERDF	Transition	Total	74,435,121.00	60.00%	80,839,763.00	108.60%	80,302,252.00	28,811,304.00	38.71%	13
5	ERDF	More developed	Total	48,661,082.00	50.00%	44,072,772.00	90.57%	44,072,772.00	20,116,968.00	41.34%	9
6	ERDF	Less developed	Total	16,059,333.00	80.00%	16,380,290.00	102.00%	15,851,653.00	8,591,989.00	53.50%	5
6	ERDF	Transition	Total	35,593,592.00	60.00%	28,433,399.00	79.88%	25,555,160.00	12,264,855.00	34.46%	18
6	ERDF	More developed	Total	105,728,986.00	50.00%	65,190,847.00	61.66%	61,401,646.00	31,434,425.00	29.73%	28
7	ERDF	Less developed	Total	72,406,934.00	80.00%	51,438,677.00	71.04%	48,666,740.00	45,201,865.00	62.43%	7
8	ERDF	Less developed	Total	12,519,945.00	90.00%	12,508,886.00	99.91%	10,373,383.00	2,390,003.00	19.09%	5
8	ERDF	Transition	Total	9,290,821.00	70.00%	8,684,582.00	93.47%	7,528,832.00	2,030,619.00	21.86%	11
8	ERDF	More developed	Total	30,452,589.00	60.00%	29,731,022.00	97.63%	23,930,003.00	6,589,316.00	21.64%	29
9	ERDF	Less	Total	22,785,038.00	80.00%	22,150,199.00	97.21%	22,150,199.00	19,480,122.00	85.50%	5

		developed									
9	ERDF	Transition	Total	71,323,782.00	60.00%	51,906,226.00	72.78%	51,793,054.00	27,244,779.00	38.20%	17
9	ERDF	More developed	Total	168,216,224.00	50.00%	112,541,254.00	66.90%	111,304,676.00	49,680,199.00	29.53%	38
Total	ERDF	Less developed		588,215,889.00	80.21%	550,693,792.00	93.62%	462,069,715.00	365,682,154.00	62.17%	95
Total	ERDF	Transition		1,815,180,710.00	60.05%	1,755,446,059.00	96.71%	1,330,132,953.00	992,368,986.00	54.67%	419
Total	ERDF	More developed		4,168,700,271.00	50.07%	3,735,399,868.00	89.61%	2,603,951,923.00	2,068,511,868.00	49.62%	689
Grand total				6,572,096,870.00	55.53%	6,041,539,719.00	91.93%	4,396,154,591.00	3,426,563,008.00	52.14%	1,203

Table 7: Breakdown of the cumulative financial data by category of intervention for the ERDF, the ESF and the Cohesion Fund (Article 112(1) and (2) of Regulation (EU) No 1303/2013 and Article 5 of Regulation (EU) No 1304/2013)

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	Less developed	056	04	07	07	01		24	UKK	22,141,038.00	20,480,460.00	11,070,519.00	1
1	ERDF	Less developed	058	01	07	03	01		08	UKK	23,134,644.00	23,134,644.00	14,843,425.00	1
1	ERDF	Less developed	062	01	07	03	01		24	UKK	2,686,036.00	2,597,871.00	935,156.00	1
1	ERDF	Less developed	064	01	07	03	01		01	UKK	13,114,035.00	12,553,213.00	10,608,970.00	1
1	ERDF	Less developed	064	01	07	03	01		24	UKK	9,970,631.00	8,787,440.00	9,916,037.00	2
1	ERDF	Less developed	064	01	07	07	01		20	UKK	8,135,394.00	7,898,232.00	4,191,324.00	2
1	ERDF	Less developed	064	01	07	07	01		24	UKK	15,478,314.00	12,778,014.00	9,316,860.00	2
1	ERDF	Less developed	064	07	07	03	01		24	UKK	5,818,312.00	4,295,409.00	358,358.00	1
1	ERDF	Less developed	065	01	07	07	01		20	UKK	6,045,419.00	5,465,342.00	1,808,832.00	2
1	ERDF	Less developed	065	01	07	07	01		22	UKK	1,352,507.00	1,082,004.00	511,730.00	1
1	ERDF	Less developed	065	01	07	07	01		24	UKK	828,176.00	563,516.00	828,176.00	1
1	ERDF	Transition	056	01	07	07	01		07	UKE	1,627,078.00	861,277.00	871,392.00	1
1	ERDF	Transition	056	01	07	07	01		20	UKD	15,608,462.00	15,608,462.00	14,919,444.00	1
1	ERDF	Transition	056	01	07	07	01		24	UKC	415,144.00	275,554.00	301,508.00	1
1	ERDF	Transition	056	01	07	07	01		24	UKE	11,779,090.00	10,536,959.00	9,861,648.00	2
1	ERDF	Transition	056	01	07	07	01		24	UKK	6,581,663.00	6,559,522.00	4,715,461.00	2
1	ERDF	Transition	058	01	07	07	01		20	UKG	2,408,419.00	2,408,419.00	1,073,155.00	1
1	ERDF	Transition	058	01	07	07	01		22	UKE	3,750,830.00	3,750,830.00	988,239.00	1
1	ERDF	Transition	058	01	07	07	01		24	UKC	17,354,926.00	17,354,926.00	17,365,990.00	3
1	ERDF	Transition	058	01	07	07	01		24	UKD	22,293,005.00	20,078,901.00	22,289,089.00	2
1	ERDF	Transition	058	01	07	07	01		24	UKE	27,949,247.00	27,949,247.00	24,905,249.00	5
1	ERDF	Transition	058	01	07	07	01		24	UKF	11,688,762.00	11,244,613.00	7,946,776.00	2
1	ERDF	Transition	058	01	07	07	01		24	UKK	1,897,725.00	1,897,725.00	1,608,009.00	1
1	ERDF	Transition	059	01	07	07	01		20	UKC	1,566,081.00	1,566,081.00	1,559,493.00	1
1	ERDF	Transition	059	01	07	07	01		24	UKG	16,660,249.00	16,660,249.00	13,348,212.00	1
1	ERDF	Transition	060	01	07	07	01		07	UKC	1,675,782.00	1,675,782.00	128,188.00	1
1	ERDF	Transition	060	01	07	07	01		07	UKF	6,928,984.00	6,257,185.00	0.00	1
1	ERDF	Transition	060	01	07	07	01		24	UKC	5,200,268.00	4,167,161.00	2,057,971.00	2
1	ERDF	Transition	060	01	07	07	01		24	UKE	3,021,633.00	3,021,633.00	2,659,347.00	3
1	ERDF	Transition	060	01	07	07	01		24	UKK	5,180,075.00	5,180,075.00	5,025,412.00	1
1	ERDF	Transition	061	01	07	07	01		07	UKC	3,557,002.00	3,557,002.00	3,290,520.00	1
1	ERDF	Transition	061	01	07	07	01		24	UKC	8,823,498.00	5,014,747.00	1,899,100.00	1
1	ERDF	Transition	062	01	07	01	01		12	UKG	84,826.00	84,826.00	8,314.00	1
1	ERDF	Transition	062	01	07	03	01		03	UKF	4,314,555.00	2,588,687.00	2,450,644.00	1
1	ERDF	Transition	062	01	07	07	01		03	UKG	1,769,370.00	1,769,370.00	1,349,458.00	1
1	ERDF	Transition	062	01	07	07	01		07	UKC	3,175,047.00	3,175,047.00	988,014.00	2
1	ERDF	Transition	062	01	07	07	01		07	UKD	6,272,813.00	5,705,302.00	2,928,087.00	1
1	ERDF	Transition	062	01	07	07	01		07	UKG	2,532,841.00	2,531,939.00	596,435.00	3
1	ERDF	Transition	062	01	07	07	01		10	UKG	1,215,645.00	1,215,645.00	295,476.00	1
1	ERDF	Transition	062	01	07	07	01		24	UKC	751,107.00	662,543.00	191,979.00	1
1	ERDF	Transition	062	01	07	07	01		24	UKD	5,446,817.00	4,636,068.00	709,440.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	Transition	062	01	07	07	01		24	UKE	1,797,369.00	1,797,369.00	0.00	1
1	ERDF	Transition	062	01	07	07	01		24	UKG	3,734,135.00	3,734,135.00	1,828,439.00	1
1	ERDF	Transition	062	07	07	07	01		07	UKG	2,972,923.00	1,486,461.00	386,937.00	1
1	ERDF	Transition	062	07	07	07	01		24	UKD	6,717,215.00	5,942,865.00	0.00	1
1	ERDF	Transition	062	07	07	07	01		24	UKG	2,383,658.00	2,383,658.00	34,634.00	2
1	ERDF	Transition	063	01	07	07	01		24	UKC	398,282.00	212,090.00	305,097.00	1
1	ERDF	Transition	064	01	07	07	01		06	UKG	938,219.00	938,219.00	510,460.00	1
1	ERDF	Transition	064	01	07	07	01		07	UKF	3,388,587.00	2,857,510.00	2,875,331.00	1
1	ERDF	Transition	064	01	07	07	01		07	UKG	841,295.00	697,746.00	634,521.00	4
1	ERDF	Transition	064	01	07	07	01		20	UKG	2,279,569.00	2,279,569.00	1,674,506.00	2
1	ERDF	Transition	064	01	07	07	01		20	UKK	1,213,539.00	865,959.00	1,006,457.00	1
1	ERDF	Transition	064	01	07	07	01		24	UKC	17,769,332.00	15,005,511.00	17,348,823.00	14
1	ERDF	Transition	064	01	07	07	01		24	UKD	3,471,991.00	3,471,991.00	1,217,414.00	2
1	ERDF	Transition	064	01	07	07	01		24	UKE	7,738,060.00	6,525,093.00	2,337,627.00	4
1	ERDF	Transition	064	01	07	07	01		24	UKG	3,858,726.00	3,619,182.00	3,548,447.00	6
1	ERDF	Transition	064	01	07	07	01		24	UKK	774,883.00	774,883.00	791,861.00	1
1	ERDF	Transition	064	07	07	07	01		07	UKG	188,506.00	188,506.00	81,348.00	1
1	ERDF	Transition	064	07	07	07	01		24	UKG	5,631,599.00	4,332,471.00	652,789.00	3
1	ERDF	Transition	065	01	07	01	01		13	UKG	2,380,728.00	2,380,728.00	252,570.00	1
1	ERDF	Transition	065	01	07	07	01		07	UKG	5,250,673.00	5,036,547.00	2,447,207.00	5
1	ERDF	Transition	065	01	07	07	01		24	UKC	286,967.00	262,239.00	286,967.00	1
1	ERDF	Transition	065	01	07	07	01		24	UKD	13,481,873.00	12,304,195.00	11,101,696.00	3
1	ERDF	Transition	065	01	07	07	01		24	UKE	2,331,639.00	1,165,819.00	0.00	1
1	ERDF	Transition	065	01	07	07	01		24	UKK	8,944,369.00	8,381,394.00	4,386,158.00	1
1	ERDF	Transition	065	07	07	07	01		07	UKG	827,157.00	794,569.00	135,329.00	1
1	ERDF	Transition	067	01	07	07	01		24	UKC	8,058,879.00	6,455,417.00	1,020,238.00	6
1	ERDF	Transition	067	01	07	07	01		24	UKD	13,662,100.00	13,475,390.00	13,041,925.00	4
1	ERDF	Transition	067	01	07	07	01		24	UKG	5,429,106.00	3,257,463.00	3,967,686.00	1
1	ERDF	Transition	067	01	07	07	01		24	UKK	1,337,305.00	543,203.00	408,786.00	1
1	ERDF	Transition	067	03	07	07	01		24	UKD	13,187,971.00	7,163,858.00	13,191,346.00	1
1	ERDF	Transition	067	04	07	07	01		24	UKD	8,799,734.00	5,377,615.00	2,152,108.00	1
1	ERDF	Transition	067	07	07	07	01		07	UKG	115,328.00	115,328.00	0.00	1
1	ERDF	More developed	056	01	07	07	01		07	UKE	16,072,119.00	8,490,140.00	5,657,555.00	2
1	ERDF	More developed	056	01	07	07	01		20	UKC	14,055,971.00	9,372,667.00	13,914,373.00	1
1	ERDF	More developed	056	01	07	07	01		24	UKC	6,351,654.00	5,828,193.00	6,322,931.00	2
1	ERDF	More developed	056	01	07	07	01		24	UKD	13,542,064.00	13,528,093.00	13,555,516.00	2
1	ERDF	More developed	056	01	07	07	01		24	UKE	3,272,156.00	1,785,925.00	757,841.00	1
1	ERDF	More developed	056	07	07	07	01		24	UKH	5,498,545.00	2,749,272.00	0.00	1
1	ERDF	More developed	058	01	07	01	01		24	UKI	6,493,966.00	6,493,966.00	0.00	1
1	ERDF	More developed	058	01	07	07	01		04	UKE	1,951,132.00	1,951,132.00	1,807,683.00	1
1	ERDF	More developed	058	01	07	07	01		07	UKC	9,088,018.00	9,088,018.00	9,088,018.00	1
1	ERDF	More developed	058	01	07	07	01		07	UKK	8,147,689.00	8,147,689.00	7,859,855.00	1
1	ERDF	More developed	058	01	07	07	01		12	UKE	1,955,304.00	1,955,304.00	0.00	1
1	ERDF	More developed	058	01	07	07	01		20	UKK	7,594,376.00	7,594,376.00	7,518,450.00	1
1	ERDF	More developed	058	01	07	07	01		23	UKE	7,892,951.00	4,167,885.00	962,663.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	More developed	058	01	07	07	01		24	UKC	484,302.00	484,302.00	481,923.00	1
1	ERDF	More developed	058	07	07	07	01		05	UKG	13,569,078.00	13,015,552.00	0.00	1
1	ERDF	More developed	058	07	07	07	01		23	UKE	1,434,883.00	1,434,883.00	78,845.00	1
1	ERDF	More developed	059	01	07	07	01		24	UKH	5,529,328.00	4,755,372.00	0.00	1
1	ERDF	More developed	060	01	07	07	01		07	UKC	2,618,588.00	2,618,588.00	226,700.00	1
1	ERDF	More developed	060	01	07	07	01		07	UKG	1,554,131.00	1,554,131.00	740,893.00	1
1	ERDF	More developed	060	01	07	07	01		08	UKG	3,520,664.00	3,520,664.00	3,044,385.00	1
1	ERDF	More developed	060	01	07	07	01		20	UKK	5,073,831.00	4,803,158.00	5,074,122.00	1
1	ERDF	More developed	060	01	07	07	01		24	UKC	9,679,121.00	6,393,217.00	3,095,174.00	2
1	ERDF	More developed	060	01	07	07	01		24	UKE	10,572,696.00	10,572,696.00	5,885,470.00	4
1	ERDF	More developed	060	01	07	07	01		24	UKK	89,106.00	89,106.00	17,733.00	1
1	ERDF	More developed	060	07	07	07	01		24	UKF	3,586,300.00	2,244,187.00	0.00	1
1	ERDF	More developed	061	01	07	07	01		07	UKC	1,347,910.00	1,347,910.00	1,169,230.00	1
1	ERDF	More developed	061	01	07	07	01		07	UKE	2,876,258.00	2,876,258.00	115,591.00	1
1	ERDF	More developed	061	01	07	07	01		24	UKD	2,232,542.00	1,116,271.00	828,940.00	1
1	ERDF	More developed	061	01	07	07	01		24	UKH	15,536,075.00	14,701,880.00	13,432,220.00	2
1	ERDF	More developed	061	07	07	07	01		24	UKH	1,093,863.00	546,929.00	143,359.00	1
1	ERDF	More developed	062	01	07	01	01		03	UKI	4,904,805.00	4,904,805.00	1,933,238.00	1
1	ERDF	More developed	062	01	07	01	01		12	UKG	4,574,285.00	4,574,285.00	2,132,173.00	1
1	ERDF	More developed	062	01	07	01	01		13	UKI	4,981,733.00	4,254,035.00	4,416,272.00	1
1	ERDF	More developed	062	01	07	01	01		20	UKI	18,096,072.00	14,656,453.00	8,923,037.00	3
1	ERDF	More developed	062	01	07	01	01		24	UKI	3,491,928.00	3,491,928.00	2,280,662.00	2
1	ERDF	More developed	062	01	07	07	01		03	UKG	589,790.00	589,790.00	449,819.00	1
1	ERDF	More developed	062	01	07	07	01		05	UKF	2,995,504.00	2,095,697.00	2,593,564.00	1
1	ERDF	More developed	062	01	07	07	01		07	UKC	5,564,817.00	5,564,817.00	1,524,708.00	1
1	ERDF	More developed	062	01	07	07	01		07	UKD	11,858,994.00	11,858,994.00	792,911.00	3
1	ERDF	More developed	062	01	07	07	01		07	UKG	10,802,188.00	10,337,404.00	10,807,603.00	3
1	ERDF	More developed	062	01	07	07	01		08	UKG	1,578,118.00	1,578,118.00	563,202.00	1
1	ERDF	More developed	062	01	07	07	01		10	UKG	2,550,523.00	2,550,523.00	2,184,546.00	1
1	ERDF	More developed	062	01	07	07	01		13	UKF	5,365,231.00	2,682,620.00	5,069,509.00	1
1	ERDF	More developed	062	01	07	07	01		20	UKF	12,869,786.00	7,988,497.00	9,434,733.00	4
1	ERDF	More developed	062	01	07	07	01		24	UKC	3,004,428.00	2,650,171.00	1,180,910.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF	More developed	062	01	07	07	01		24	UKD	10,261,504.00	9,000,672.00	3,418,248.00	4
1	ERDF	More developed	062	01	07	07	01		24	UKE	205,539.00	205,539.00	0.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKF	21,860,037.00	17,992,892.00	21,803,126.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKH	3,188,499.00	3,188,499.00	797,503.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKJ	1,687,971.00	1,687,971.00	1,083,417.00	1
1	ERDF	More developed	062	01	07	07	01		24	UKK	1,183,893.00	591,932.00	833,145.00	1
1	ERDF	More developed	062	07	07	07	01		07	UKG	4,868,601.00	2,434,300.00	894,912.00	1
1	ERDF	More developed	062	07	07	07	01		24	UKF	2,871,908.00	1,435,953.00	0.00	1
1	ERDF	More developed	062	07	07	07	01		24	UKG	1,045,555.00	1,045,555.00	61,736.00	1
1	ERDF	More developed	062	07	07	07	01		24	UKH	1,116,510.00	1,011,063.00	1,083,450.00	1
1	ERDF	More developed	062	07	07	07	01		24	UKJ	16,145,806.00	6,247,213.00	15,649,367.00	3
1	ERDF	More developed	063	01	07	01	01		24	UKI	4,414,738.00	3,106,497.00	3,143,401.00	1
1	ERDF	More developed	063	01	07	07	01		24	UKC	2,256,977.00	1,201,865.00	1,741,440.00	1
1	ERDF	More developed	064	01	07	01	01		24	UKC	1,909,323.00	1,744,469.00	0.00	1
1	ERDF	More developed	064	01	07	01	01		24	UKI	1,107,051.00	1,107,051.00	1,089,680.00	1
1	ERDF	More developed	064	01	07	07	01		06	UKG	4,691,102.00	4,691,102.00	2,790,054.00	1
1	ERDF	More developed	064	01	07	07	01		07	UKF	5,317,510.00	3,483,641.00	4,878,113.00	3
1	ERDF	More developed	064	01	07	07	01		07	UKG	40,040,348.00	28,110,880.00	26,259,319.00	10
1	ERDF	More developed	064	01	07	07	01		13	UKF	585,942.00	531,668.00	543,006.00	1
1	ERDF	More developed	064	01	07	07	01		20	UKK	2,552,361.00	1,829,705.00	1,594,628.00	2
1	ERDF	More developed	064	01	07	07	01		22	UKH	2,375,371.00	1,187,685.00	2,008,650.00	1
1	ERDF	More developed	064	01	07	07	01		24	UKC	10,440,918.00	8,320,917.00	8,665,244.00	10
1	ERDF	More developed	064	01	07	07	01		24	UKE	19,523,630.00	13,335,287.00	10,806,324.00	8
1	ERDF	More developed	064	01	07	07	01		24	UKF	10,060,951.00	8,063,004.00	4,332,938.00	3
1	ERDF	More developed	064	01	07	07	01		24	UKG	23,396,595.00	16,348,239.00	13,881,849.00	8
1	ERDF	More developed	064	01	07	07	01		24	UKH	13,395,568.00	7,538,532.00	9,890,407.00	2
1	ERDF	More developed	064	01	07	07	01		24	UKJ	9,222,665.00	6,431,587.00	5,431,528.00	2
1	ERDF	More developed	064	01	07	07	01		24	UKK	17,737,058.00	11,325,958.00	9,652,515.00	9
1	ERDF	More developed	064	07	07	07	01		07	UKG	2,953,260.00	2,953,260.00	1,201,774.00	1
1	ERDF	More developed	064	07	07	07	01		24	UKG	26,012,033.00	18,436,361.00	925,600.00	3
1	ERDF	More developed	064	07	07	07	01		24	UKJ	12,933,496.00	6,392,144.00	8,393,310.00	2
1	ERDF	More developed	064	07	07	07	01		24	UKK	3,571,657.00	1,800,374.00	0.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
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1	ERDF	More developed	065	01	07	01	01		20	UKI	2,545,145.00	2,545,145.00	1,079,429.00	1
1	ERDF	More developed	065	01	07	01	01		24	UKI	5,498,604.00	5,464,578.00	300,087.00	2
1	ERDF	More developed	065	01	07	07	01		06	UKG	3,185,064.00	1,899,495.00	102,892.00	1
1	ERDF	More developed	065	01	07	07	01		07	UKF	7,904,350.00	5,607,103.00	1,962,058.00	1
1	ERDF	More developed	065	01	07	07	01		07	UKG	18,590,505.00	17,228,983.00	9,219,075.00	4
1	ERDF	More developed	065	01	07	07	01		24	UKC	475,176.00	434,230.00	475,176.00	1
1	ERDF	More developed	065	01	07	07	01		24	UKD	2,315,178.00	2,273,302.00	2,475,784.00	2
1	ERDF	More developed	065	01	07	07	01		24	UKE	175,498.00	87,749.00	0.00	1
1	ERDF	More developed	065	01	07	07	01		24	UKH	1,324,423.00	812,261.00	653,614.00	1
1	ERDF	More developed	065	07	07	07	01		07	UKF	5,104,165.00	2,552,082.00	919,114.00	1
1	ERDF	More developed	065	07	07	07	01		07	UKG	2,126,977.00	2,023,397.00	269,953.00	1
1	ERDF	More developed	065	07	07	07	01		24	UKF	1,657,907.00	841,124.00	114,364.00	1
1	ERDF	More developed	065	07	07	07	01		24	UKH	6,778,848.00	5,511,226.00	0.00	1
1	ERDF	More developed	067	01	07	01	01		24	UKI	4,028,216.00	4,028,216.00	2,276,841.00	2
1	ERDF	More developed	067	01	07	07	01		24	UKC	7,804,854.00	6,915,617.00	3,216,731.00	3
1	ERDF	More developed	067	01	07	07	01		24	UKD	22,761,950.00	21,908,237.00	14,427,821.00	6
1	ERDF	More developed	067	01	07	07	01		24	UKG	4,214,960.00	4,214,960.00	2,178,497.00	2
1	ERDF	More developed	067	01	07	07	01		24	UKH	2,150,541.00	2,150,541.00	2,150,682.00	1
1	ERDF	More developed	067	01	07	07	01		24	UKI	1,597,672.00	1,597,672.00	525,321.00	1
1	ERDF	More developed	067	01	07	07	01		24	UKK	5,113,815.00	3,986,299.00	1,961,793.00	2
1	ERDF	More developed	067	03	07	07	01		24	UKD	16,928,789.00	9,195,913.00	16,932,741.00	1
1	ERDF	More developed	067	04	07	07	01		24	UKD	82,687,035.00	53,329,346.00	42,860,621.00	3
1	ERDF	More developed	067	04	07	07	01		24	UKF	6,751,818.00	3,644,181.00	5,060,473.00	1
1	ERDF	More developed	067	07	07	07	01		07	UKD	4,897,851.00	4,693,550.00	0.00	1
1	ERDF	More developed	067	07	07	07	01		07	UKG	3,728,947.00	3,728,947.00	149,486.00	1
1	ERDF	More developed	067	07	07	07	01		24	UKJ	3,293,422.00	3,137,895.00	3,113,721.00	2
1	ERDF	More developed	067	07	07	07	01		24	UKK	1,125,823.00	1,125,823.00	272,237.00	1
10	ERDF	Less developed	088	01	07	07	08		24	UK	608,878.00	608,878.00	0.00	1
10	ERDF	Transition	088	01	07	07	08		24	UK	10,627,698.00	10,627,698.00	0.00	1
10	ERDF	More developed	088	01	07	07	08		24	UK	45,223,070.00	45,223,070.00	0.00	1
2	ERDF	Less developed	046	01	07	03	02		13	UKK	15,112,108.00	14,276,964.00	14,737,118.00	2
2	ERDF	Less developed	046	01	07	07	02		13	UKK	5,812,022.00	5,812,022.00	0.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
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2	ERDF	Less developed	082	01	07	07	02		13	UKK	4,213,540.00	3,370,832.00	4,213,540.00	1
2	ERDF	Transition	046	01	07	07	02		13	UKE	3,641,750.00	2,380,818.00	3,488,211.00	2
2	ERDF	Transition	046	01	07	07	02		13	UKG	1,674,023.00	1,674,023.00	846,064.00	1
2	ERDF	Transition	046	01	07	07	02		24	UKF	5,203,146.00	5,203,146.00	0.00	1
2	ERDF	Transition	046	01	07	07	02		24	UKK	6,642,317.00	6,642,317.00	3,032,071.00	1
2	ERDF	Transition	082	01	07	07	02		13	UKD	924,388.00	924,388.00	151,853.00	1
2	ERDF	Transition	082	01	07	07	02		24	UKC	243,011.00	105,939.00	256,850.00	1
2	ERDF	Transition	082	01	07	07	02		24	UKD	2,220,652.00	2,220,652.00	630,302.00	1
2	ERDF	Transition	082	01	07	07	02		24	UKE	6,705,820.00	4,032,843.00	2,329,662.00	2
2	ERDF	Transition	082	01	07	07	02		24	UKF	1,067,103.00	956,398.00	1,067,098.00	1
2	ERDF	More developed	046	01	07	07	02		13	UKE	21,672,407.00	21,631,766.00	21,476,799.00	3
2	ERDF	More developed	046	01	07	07	02		13	UKG	2,667,982.00	2,667,982.00	1,424,574.00	1
2	ERDF	More developed	046	01	07	07	02		13	UKH	2,178,609.00	2,178,609.00	194,704.00	1
2	ERDF	More developed	046	01	07	07	02		24	UKG	2,496,217.00	2,496,217.00	1,923,095.00	1
2	ERDF	More developed	046	01	07	07	02		24	UKK	2,269,456.00	2,269,456.00	0.00	1
2	ERDF	More developed	046	07	07	01	02		13	UKH	1,282,510.00	1,282,510.00	1,259,638.00	1
2	ERDF	More developed	046	07	07	01	02		24	UKD	6,578,988.00	6,578,988.00	0.00	1
2	ERDF	More developed	046	07	07	07	02		13	UKG	6,576,220.00	5,383,900.00	962,544.00	1
2	ERDF	More developed	082	01	07	01	02		13	UKI	3,099,816.00	3,099,816.00	1,831,313.00	1
2	ERDF	More developed	082	01	07	01	02		24	UKI	1,267,794.00	1,267,794.00	0.00	1
2	ERDF	More developed	082	01	07	07	02		13	UKF	18,499,137.00	10,091,507.00	15,049,956.00	3
2	ERDF	More developed	082	01	07	07	02		24	UKD	1,218,864.00	1,218,864.00	1,193,561.00	1
2	ERDF	More developed	082	01	07	07	02		24	UKE	32,537,884.00	18,593,749.00	12,365,617.00	2
2	ERDF	More developed	082	01	07	07	02		24	UKF	1,594,520.00	1,344,408.00	1,321,926.00	2
2	ERDF	More developed	082	07	07	07	02		13	UKG	1,172,259.00	1,007,862.00	666,915.00	1
3	ERDF	Less developed	001	01	07	07	03		07	UKK	693,357.00	554,686.00	61,800.00	1
3	ERDF	Less developed	001	01	07	07	03		23	UKK	3,621,360.00	3,397,182.00	671,480.00	1
3	ERDF	Less developed	001	04	07	07	03		24	UKK	22,141,038.00	20,480,460.00	11,070,519.00	1
3	ERDF	Less developed	001	07	07	07	03		24	UKK	4,642,866.00	4,257,390.00	287,330.00	2
3	ERDF	Less developed	056	01	07	07	03		24	UKK	7,048,763.00	7,048,763.00	1,311,157.00	2
3	ERDF	Less developed	066	01	07	03	03		24	UKK	5,907,008.00	4,811,064.00	5,793,415.00	2
3	ERDF	Less developed	066	01	07	07	03		07	UKK	1,034,073.00	799,350.00	1,034,069.00	1
3	ERDF	Less developed	066	01	07	07	03		24	UKK	11,325,086.00	10,771,560.00	9,305,947.00	4
3	ERDF	Less developed	067	01	07	03	03		23	UKK	2,743,528.00	2,549,794.00	2,743,528.00	1
3	ERDF	Less developed	067	01	07	03	03		24	UKK	16,668,412.00	10,973,604.00	16,623,459.00	4
3	ERDF	Less	067	01	07	07	03		07	UKK	156,399.00	125,119.00	205,446.00	1

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		developed												
3	ERDF	Less developed	067	01	07	07	03		24	UKK	61,222,936.00	49,756,809.00	34,986,834.00	13
3	ERDF	Less developed	072	01	07	03	03		08	UKK	27,711,737.00	18,883,182.00	26,313,577.00	4
3	ERDF	Less developed	072	01	07	03	03		24	UKK	14,746,395.00	11,314,905.00	12,526,966.00	2
3	ERDF	Less developed	072	01	07	07	03		08	UKK	4,578,070.00	3,906,989.00	3,265,173.00	2
3	ERDF	Less developed	072	01	07	07	03		24	UKK	6,584,443.00	3,037,027.00	1,171,343.00	1
3	ERDF	Less developed	073	01	07	07	03		24	UKK	8,802,952.00	4,539,449.00	377,879.00	1
3	ERDF	Transition	001	01	07	07	03		07	UKE	14,645,374.00	9,123,610.00	0.00	1
3	ERDF	Transition	001	01	07	07	03		07	UKF	4,713,239.00	2,827,943.00	4,275,180.00	1
3	ERDF	Transition	001	01	07	07	03		07	UKG	25,435,273.00	12,802,315.00	5,357,220.00	3
3	ERDF	Transition	001	01	07	07	03		15	UKG	990,790.00	990,790.00	1,480,458.00	1
3	ERDF	Transition	001	01	07	07	03		24	UKC	10,467,610.00	9,078,047.00	1,865,986.00	6
3	ERDF	Transition	001	01	07	07	03		24	UKD	9,662,288.00	9,248,295.00	6,368,474.00	2
3	ERDF	Transition	001	01	07	07	03		24	UKE	7,646,972.00	5,602,089.00	2,307,744.00	3
3	ERDF	Transition	001	01	07	07	03		24	UKF	18,930,050.00	14,581,708.00	10,756,889.00	5
3	ERDF	Transition	001	01	07	07	03		24	UKG	47,926,456.00	22,692,086.00	32,273,888.00	10
3	ERDF	Transition	001	01	07	07	03		24	UKH	1,476,069.00	917,016.00	0.00	1
3	ERDF	Transition	001	01	07	07	03		24	UKK	1,415,677.00	1,415,677.00	0.00	3
3	ERDF	Transition	001	04	07	07	03		24	UKF	72,019,399.00	38,871,263.00	53,214,868.00	1
3	ERDF	Transition	001	07	07	07	03		22	UKG	5,829,735.00	5,829,735.00	0.00	1
3	ERDF	Transition	001	07	07	07	03		24	UKC	1,047,273.00	1,047,273.00	79,806.00	1
3	ERDF	Transition	001	07	07	07	03		24	UKF	860,004.00	860,004.00	0.00	1
3	ERDF	Transition	001	07	07	07	03		24	UKG	2,400,886.00	2,175,483.00	145,620.00	5
3	ERDF	Transition	056	01	07	07	03		24	UKD	2,894,160.00	850,782.00	2,807,335.00	1
3	ERDF	Transition	056	07	07	07	03		24	UKG	14,673,964.00	14,673,964.00	44,010.00	1
3	ERDF	Transition	063	07	07	07	03		24	UKE	554,118.00	554,118.00	5,120.00	1
3	ERDF	Transition	066	01	07	07	03		13	UKD	932,691.00	559,614.00	0.00	1
3	ERDF	Transition	066	01	07	07	03		24	UKC	13,792,920.00	9,660,584.00	6,456,317.00	4
3	ERDF	Transition	066	01	07	07	03		24	UKD	4,475,817.00	4,475,817.00	1,123,193.00	2
3	ERDF	Transition	066	01	07	07	03		24	UKE	7,509,186.00	6,208,109.00	6,651,925.00	2
3	ERDF	Transition	066	01	07	07	03		24	UKF	2,538,628.00	1,523,178.00	1,850,818.00	1
3	ERDF	Transition	066	01	07	07	03		24	UKG	365,975.00	365,975.00	325,496.00	2
3	ERDF	Transition	066	01	07	07	03		24	UKK	4,864,779.00	4,045,080.00	2,803,694.00	2
3	ERDF	Transition	067	01	07	01	03		07	UKG	121,160.00	112,857.00	12,071.00	1
3	ERDF	Transition	067	01	07	07	03		05	UKC	284,577.00	211,774.00	271,039.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKC	159,081.00	95,448.00	159,615.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKF	13,938,278.00	4,619,411.00	9,335,431.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKG	1,524,135.00	1,524,135.00	1,430,926.00	1
3	ERDF	Transition	067	01	07	07	03		07	UKK	1,950,025.00	1,170,015.00	1,157,768.00	2
3	ERDF	Transition	067	01	07	07	03		13	UKC	256,755.00	228,935.00	191,909.00	1
3	ERDF	Transition	067	01	07	07	03		20	UKD	2,011,219.00	2,011,219.00	0.00	1
3	ERDF	Transition	067	01	07	07	03		23	UKC	1,267,624.00	1,241,913.00	531,343.00	3
3	ERDF	Transition	067	01	07	07	03		24	UKC	102,426,136.00	53,447,148.00	53,844,202.00	20
3	ERDF	Transition	067	01	07	07	03		24	UKD	103,597,643.00	80,603,429.00	76,097,296.00	24
3	ERDF	Transition	067	01	07	07	03		24	UKE	41,995,074.00	33,375,757.00	13,484,167.00	10
3	ERDF	Transition	067	01	07	07	03		24	UKF	3,762,948.00	2,207,352.00	2,938,738.00	2
3	ERDF	Transition	067	01	07	07	03		24	UKG	6,977,645.00	6,091,080.00	5,031,602.00	4
3	ERDF	Transition	067	01	07	07	03		24	UKK	7,854,725.00	5,181,773.00	3,502,400.00	5
3	ERDF	Transition	067	03	07	07	03		24	UKC	31,182,910.00	17,818,806.00	15,591,455.00	1

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3	ERDF	Transition	067	03	07	07	03		24	UKD	166,379,184.00	90,379,090.00	166,362,108.00	1
3	ERDF	Transition	067	04	07	07	03		24	UKD	54,501,198.00	32,896,268.00	4,304,217.00	2
3	ERDF	Transition	067	07	07	07	03		24	UKC	1,361,481.00	1,361,481.00	63,220.00	1
3	ERDF	Transition	067	07	07	07	03		24	UKD	3,799,076.00	3,799,076.00	441,815.00	3
3	ERDF	Transition	067	07	07	07	03		24	UKE	1,238,766.00	1,238,766.00	0.00	1
3	ERDF	Transition	067	07	07	07	03		24	UKF	2,020,536.00	1,386,927.00	1,261,554.00	2
3	ERDF	Transition	072	01	07	07	03		08	UKE	9,572,726.00	6,247,984.00	0.00	2
3	ERDF	Transition	072	01	07	07	03		08	UKK	6,262,530.00	6,262,530.00	4,657,551.00	1
3	ERDF	Transition	072	01	07	07	03		17	UKE	4,815,675.00	4,815,675.00	0.00	1
3	ERDF	Transition	072	01	07	07	03		17	UKK	5,569,129.00	5,569,129.00	3,713,613.00	1
3	ERDF	Transition	072	01	07	07	03		18	UKK	1,712,628.00	1,690,487.00	1,675,022.00	1
3	ERDF	Transition	072	01	07	07	03		24	UKC	3,516,848.00	3,516,848.00	3,343,518.00	1
3	ERDF	Transition	072	01	07	07	03		24	UKD	16,373,557.00	9,657,138.00	13,881,333.00	4
3	ERDF	Transition	072	01	07	07	03		24	UKE	25,046,446.00	9,827,667.00	18,218,711.00	5
3	ERDF	Transition	072	01	07	07	03		24	UKF	3,303,301.00	1,651,649.00	0.00	1
3	ERDF	Transition	072	01	07	07	03		24	UKG	4,354,524.00	2,157,987.00	4,111,410.00	2
3	ERDF	Transition	072	01	07	07	03		24	UKK	5,013,975.00	4,836,847.00	75,075.00	1
3	ERDF	Transition	073	01	07	07	03		24	UKD	4,490,127.00		2,684,060.00	1
3	ERDF	Transition	073	01	07	07	03		24	UKE	5,440,360.00	3,264,218.00	1,828,899.00	2
3	ERDF	More developed	001	01	07	07	03		01	UKG	1,659,952.00	1,394,259.00	375,569.00	1
3	ERDF	More developed	001	01	07	07	03		03	UKF	9,425,561.00	6,292,780.00	7,865,875.00	2
3	ERDF	More developed	001	01	07	07	03		05	UKF	1,409,866.00	1,409,866.00	1,145,430.00	1
3	ERDF	More developed	001	01	07	07	03		07	UKE	21,263,146.00	11,242,058.00	0.00	1
3	ERDF	More developed	001	01	07	07	03		07	UKF	17,508,055.00	8,754,027.00	17,006,392.00	1
3	ERDF	More developed	001	01	07	07	03		07	UKG	53,057,435.00	27,016,372.00	20,309,653.00	4
3	ERDF	More developed	001	01	07	07	03		15	UKF	2,793,108.00	1,726,675.00	1,978,947.00	1
3	ERDF	More developed	001	01	07	07	03		18	UKG	9,533,118.00	5,034,149.00	3,348,826.00	1
3	ERDF	More developed	001	01	07	07	03		23	UKF	9,760,843.00	6,200,542.00	5,760,042.00	1
3	ERDF	More developed	001	01	07	07	03		24	UKC	48,631,308.00	18,383,821.00	2,360,823.00	4
3	ERDF	More developed	001	01	07	07	03		24	UKD	4,440,482.00	3,912,037.00	3,671,340.00	1
3	ERDF	More developed	001	01	07	07	03		24	UKE	6,900,441.00	6,666,183.00	2,468,743.00	4
3	ERDF	More developed	001	01	07	07	03		24	UKF	68,923,062.00	47,473,233.00	38,823,856.00	9
3	ERDF	More developed	001	01	07	07	03		24	UKG	59,186,889.00	32,450,159.00	55,449,742.00	15
3	ERDF	More developed	001	01	07	07	03		24	UKH	33,310,026.00	17,415,183.00	2,142,923.00	2
3	ERDF	More developed	001	01	07	07	03		24	UKK	4,676,201.00	3,768,196.00	178,966.00	8
3	ERDF	More developed	001	04	07	07	03		24	UKF	200,866,609.00	108,414,383.00	151,457,394.00	1
3	ERDF	More developed	001	07	07	07	03		18	UKG	1,222,295.00	1,222,295.00	17,708.00	2
3	ERDF	More developed	001	07	07	07	03		22	UKG	7,195,837.00	7,195,837.00	0.00	1
3	ERDF	More developed	001	07	07	07	03		24	UKC	1,620,618.00	1,620,618.00	169,047.00	1
3	ERDF	More	001	07	07	07	03		24	UKD	1,543,311.00	1,543,311.00	0.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
3	ERDF	More developed	001	07	07	07	03		24	UKE	1,996,793.00	1,996,793.00	0.00	1
3	ERDF	More developed	001	07	07	07	03		24	UKF	19,284,037.00	13,726,675.00	8,141,200.00	6
3	ERDF	More developed	001	07	07	07	03		24	UKG	6,239,566.00	5,368,844.00	855,189.00	5
3	ERDF	More developed	001	07	07	07	03		24	UKK	2,635,631.00	2,635,631.00	209,419.00	1
3	ERDF	More developed	056	01	07	07	03		07	UKF	2,407,337.00	1,131,038.00	2,302,780.00	1
3	ERDF	More developed	056	01	07	07	03		24	UKD	5,251,977.00	5,251,977.00	5,208,723.00	1
3	ERDF	More developed	056	01	07	07	03		24	UKE	3,153,329.00	2,063,490.00	3,128,262.00	1
3	ERDF	More developed	056	07	07	07	03		24	UKH	14,741,064.00	14,741,064.00	4,406,521.00	1
3	ERDF	More developed	056	07	07	07	03		24	UKJ	163,765.00	163,765.00	163,765.00	1
3	ERDF	More developed	063	01	07	07	03		24	UKK	1,607,222.00	1,607,222.00	296,245.00	1
3	ERDF	More developed	066	01	07	01	03		13	UKI	8,145,581.00	4,494,813.00	8,145,081.00	1
3	ERDF	More developed	066	01	07	01	03		23	UKI	36,875,052.00	23,668,873.00	28,097,881.00	7
3	ERDF	More developed	066	01	07	01	03		24	UKI	34,017,724.00	25,773,604.00	24,348,352.00	5
3	ERDF	More developed	066	01	07	07	03		07	UKE	5,778,981.00	4,571,187.00	889,160.00	1
3	ERDF	More developed	066	01	07	07	03		07	UKK	1,105,612.00	552,806.00	228,413.00	1
3	ERDF	More developed	066	01	07	07	03		18	UKG	7,818,444.00	7,818,444.00	6,856,249.00	1
3	ERDF	More developed	066	01	07	07	03		23	UKH	5,710,114.00	3,603,482.00	2,571,356.00	1
3	ERDF	More developed	066	01	07	07	03		24	UKD	2,882,675.00	2,218,444.00	180,221.00	2
3	ERDF	More developed	066	01	07	07	03		24	UKE	9,158,041.00	7,017,400.00	7,583,409.00	1
3	ERDF	More developed	066	01	07	07	03		24	UKF	3,324,676.00	1,973,805.00	1,585,229.00	2
3	ERDF	More developed	066	01	07	07	03		24	UKG	3,885,670.00	3,878,431.00	2,893,464.00	3
3	ERDF	More developed	066	01	07	07	03		24	UKH	40,327,049.00	26,484,748.00	20,647,720.00	4
3	ERDF	More developed	066	01	07	07	03		24	UKJ	6,588,289.00	3,294,144.00	6,547,047.00	2
3	ERDF	More developed	066	01	07	07	03		24	UKK	13,507,735.00	12,938,710.00	13,159,801.00	2
3	ERDF	More developed	066	07	07	07	03		24	UKD	680,962.00	680,962.00	0.00	1
3	ERDF	More developed	066	07	07	07	03		24	UKJ	4,400,443.00	2,701,229.00	2,512,603.00	2
3	ERDF	More developed	067	01	07	01	03		07	UKG	1,090,438.00	1,015,712.00	284,487.00	1
3	ERDF	More developed	067	01	07	01	03		24	UKI	17,844,304.00	11,111,619.00	10,896,577.00	7
3	ERDF	More developed	067	01	07	03	03		24	UKG	5,092,438.00	3,260,187.00	30,025.00	1
3	ERDF	More developed	067	01	07	07	03		05	UKC	1,000,939.00	680,852.00	973,300.00	1
3	ERDF	More developed	067	01	07	07	03		07	UKC	666,499.00	333,249.00	628,676.00	1
3	ERDF	More	067	01	07	07	03		07	UKE	2,809,903.00	2,013,933.00	0.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
3	ERDF	More developed	067	01	07	07	03		07	UKK	6,236,815.00	3,118,407.00	4,497,007.00	3
3	ERDF	More developed	067	01	07	07	03		13	UKC	2,310,820.00	2,043,041.00	2,264,508.00	1
3	ERDF	More developed	067	01	07	07	03		13	UKE	3,181,994.00	2,184,204.00	1,652,192.00	1
3	ERDF	More developed	067	01	07	07	03		18	UKK	1,899,738.00	1,484,593.00	156,791.00	1
3	ERDF	More developed	067	01	07	07	03		23	UKC	2,376,447.00	2,089,463.00	2,338,896.00	2
3	ERDF	More developed	067	01	07	07	03		23	UKK	1,107,051.00	1,107,051.00	1,111,664.00	1
3	ERDF	More developed	067	01	07	07	03		24	UKC	48,964,923.00	34,111,863.00	34,121,676.00	17
3	ERDF	More developed	067	01	07	07	03		24	UKD	77,423,918.00	67,905,609.00	55,655,915.00	20
3	ERDF	More developed	067	01	07	07	03		24	UKE	35,273,070.00	25,707,254.00	19,493,993.00	9
3	ERDF	More developed	067	01	07	07	03		24	UKF	18,968,006.00	10,248,820.00	13,521,204.00	4
3	ERDF	More developed	067	01	07	07	03		24	UKG	70,536,978.00	32,630,499.00	42,018,907.00	7
3	ERDF	More developed	067	01	07	07	03		24	UKH	84,812,275.00	52,047,745.00	46,659,091.00	15
3	ERDF	More developed	067	01	07	07	03		24	UKI	13,913,831.00	7,124,763.00	4,609,623.00	2
3	ERDF	More developed	067	01	07	07	03		24	UKJ	24,130,942.00	15,958,929.00	5,999,786.00	8
3	ERDF	More developed	067	01	07	07	03		24	UKK	54,129,586.00	41,716,738.00	36,706,027.00	18
3	ERDF	More developed	067	03	07	07	03		24	UKC	110,557,596.00	63,175,769.00	55,278,797.00	1
3	ERDF	More developed	067	03	07	07	03		24	UKD	202,503,588.00	110,002,282.00	202,511,948.00	1
3	ERDF	More developed	067	03	07	07	03		24	UKI	110,705,192.00	55,352,596.00	55,352,596.00	1
3	ERDF	More developed	067	04	07	07	03		24	UKD	12,600,907.00	6,470,496.00	3,245,875.00	2
3	ERDF	More developed	067	07	07	01	03		24	UKI	1,793,783.00	1,793,783.00	0.00	1
3	ERDF	More developed	067	07	07	07	03		24	UKD	89,055.00	89,055.00	1,377.00	1
3	ERDF	More developed	067	07	07	07	03		24	UKE	3,604,714.00	3,051,188.00	25,036.00	1
3	ERDF	More developed	067	07	07	07	03		24	UKF	5,266,002.00	2,756,814.00	2,899,701.00	2
3	ERDF	More developed	067	07	07	07	03		24	UKG	2,911,918.00	2,521,554.00	1,082,367.00	1
3	ERDF	More developed	067	07	07	07	03		24	UKH	18,840,477.00	13,079,407.00	9,799,760.00	4
3	ERDF	More developed	072	01	07	07	03		17	UKE	13,363,495.00	5,188,443.00	816,441.00	2
3	ERDF	More developed	072	01	07	07	03		23	UKF	12,542,898.00	9,218,271.00	7,450,345.00	1
3	ERDF	More developed	072	01	07	07	03		23	UKH	3,034,509.00	2,557,213.00	0.00	1
3	ERDF	More developed	072	01	07	07	03		24	UKD	4,344,979.00	1,549,735.00	4,083,995.00	1
3	ERDF	More developed	072	01	07	07	03		24	UKE	8,389,588.00	4,171,407.00	3,838,989.00	3
3	ERDF	More developed	072	01	07	07	03		24	UKF	8,168,751.00	8,168,751.00	8,168,750.00	1
3	ERDF	More	072	01	07	07	03		24	UKG	1,429,072.00	686,874.00	1,356,602.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
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		developed												
3	ERDF	More developed	072	07	07	07	03		07	UKD	1,549,372.00	1,549,372.00	0.00	1
3	ERDF	More developed	072	07	07	07	03		24	UKK	1,726,934.00	1,726,934.00	172,663.00	1
3	ERDF	More developed	073	01	07	07	03		24	UKE	666,864.00	333,429.00	353,761.00	2
3	ERDF	More developed	073	01	07	07	03		24	UKG	2,851,965.00	2,851,965.00	2,214,455.00	1
3	ERDF	More developed	073	01	07	07	03		24	UKK	1,690,242.00	1,304,175.00	1,689,032.00	1
4	ERDF	Less developed	012	01	07	03	04		08	UKK	5,029,319.00	3,855,845.00	5,029,319.00	1
4	ERDF	Less developed	012	01	07	03	04		10	UKK	20,690,799.00	14,449,240.00	5,829,375.00	2
4	ERDF	Less developed	012	01	07	07	04		24	UKK	34,761,430.00	20,923,281.00	31,564,966.00	1
4	ERDF	Less developed	013	01	07	03	04		24	UKK	12,485,233.00	10,124,558.00	12,427,527.00	2
4	ERDF	Less developed	015	01	07	03	04		22	UKK	18,487,767.00	12,876,777.00	16,445,180.00	1
4	ERDF	Less developed	068	01	07	03	04		24	UKK	2,956,993.00	2,413,551.00	2,956,993.00	1
4	ERDF	Transition	009	01	07	07	04		24	UKD	717,236.00	405,361.00	717,236.00	1
4	ERDF	Transition	010	01	07	01	04		24	UKD	2,605,644.00	2,605,644.00	0.00	1
4	ERDF	Transition	010	01	07	07	04		10	UKG	1,530,967.00	1,055,377.00	20,864.00	1
4	ERDF	Transition	010	01	07	07	04		18	UKC	2,695,395.00	2,695,395.00	0.00	1
4	ERDF	Transition	010	01	07	07	04		24	UKE	2,777,717.00	2,777,717.00	0.00	1
4	ERDF	Transition	010	07	07	07	04		24	UKC	2,039,430.00	1,223,656.00	1,061,288.00	1
4	ERDF	Transition	012	01	07	07	04		10	UKC	13,320.00	10,737.00	11,619.00	1
4	ERDF	Transition	012	01	07	07	04		24	UKC	1,943,335.00	1,943,335.00	628,451.00	1
4	ERDF	Transition	012	01	07	07	04		24	UKD	2,270,619.00	2,270,619.00	2,068,677.00	1
4	ERDF	Transition	012	01	07	07	04		24	UKE	27,224,881.00	27,092,034.00	6,279,343.00	2
4	ERDF	Transition	013	01	07	07	04		10	UKF	9,384,651.00	7,568,771.00	6,355,558.00	1
4	ERDF	Transition	013	01	07	07	04		22	UKG	3,712,084.00	3,660,949.00	2,254,395.00	2
4	ERDF	Transition	013	01	07	07	04		24	UKD	2,000,818.00	1,895,510.00	729,025.00	1
4	ERDF	Transition	013	01	07	07	04		24	UKK	3,935,569.00	3,935,569.00	219,652.00	1
4	ERDF	Transition	013	07	07	07	04		24	UKD	2,133,744.00	1,981,877.00	0.00	1
4	ERDF	Transition	014	01	07	07	04		18	UKC	2,779,480.00	2,779,480.00	2,779,480.00	1
4	ERDF	Transition	014	01	07	07	04		24	UKD	5,537,399.00	5,537,399.00	1,328,086.00	1
4	ERDF	Transition	014	01	07	07	04		24	UKK	2,716,242.00	2,226,090.00	2,038,585.00	1
4	ERDF	Transition	015	01	07	07	04		10	UKG	16,624,564.00	16,624,564.00	13,463,327.00	1
4	ERDF	Transition	043	01	07	01	04		12	UKD	4,821,253.00	4,821,253.00	3,736.00	1
4	ERDF	Transition	043	01	07	07	04		22	UKK	1,508,745.00	1,508,745.00	25,966.00	1
4	ERDF	Transition	065	01	07	07	04		07	UKE	13,336,628.00	13,336,628.00	7,406,788.00	2
4	ERDF	Transition	065	01	07	07	04		07	UKG	3,763,180.00	3,044,236.00	2,508,751.00	2
4	ERDF	Transition	065	01	07	07	04		10	UKG	1,467,270.00	1,467,270.00	135,465.00	1
4	ERDF	Transition	065	01	07	07	04		22	UKK	2,893,175.00	2,893,175.00	959,518.00	1
4	ERDF	Transition	065	01	07	07	04		24	UKD	13,835,346.00	13,835,346.00	8,452,657.00	2
4	ERDF	Transition	065	01	07	07	04		24	UKE	2,987,477.00	1,875,840.00	542,107.00	1
4	ERDF	Transition	065	03	07	07	04		24	UKD	35,277,226.00	19,162,996.00	35,277,914.00	1
4	ERDF	Transition	065	07	07	07	04		24	UKG	658,488.00	395,338.00	4,179.00	1
4	ERDF	Transition	068	01	07	07	04		10	UKC	109,310.00	109,310.00	100,951.00	1
4	ERDF	Transition	068	01	07	07	04		24	UKC	6,027,140.00	3,945,111.00	2,247,836.00	4
4	ERDF	Transition	068	01	07	07	04		24	UKD	12,285,159.00	9,526,954.00	8,448,929.00	2
4	ERDF	Transition	068	01	07	07	04		24	UKE	3,321,155.00	1,992,693.00	43,995.00	1
4	ERDF	Transition	068	01	07	07	04		24	UKG	3,153,516.00	1,693,257.00	1,652,546.00	4

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
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4	ERDF	Transition	068	07	07	07	04		22	UKG	2,221,994.00	888,798.00	132,369.00	1
4	ERDF	Transition	068	07	07	07	04		24	UKD	10,389,362.00	10,254,359.00	17,421.00	1
4	ERDF	Transition	069	01	07	07	04		24	UKD	3,342,161.00	2,959,575.00	1,032,185.00	1
4	ERDF	Transition	069	04	07	07	04		24	UKD	17,599,468.00	10,755,230.00	4,304,217.00	1
4	ERDF	Transition	085	01	07	01	04		24	UKD	16,243,658.00	15,605,429.00	2,163,734.00	1
4	ERDF	Transition	090	01	07	07	04		22	UKD	8,098,073.00	8,098,073.00	7,255,202.00	1
4	ERDF	Transition	090	01	07	07	04		24	UKE	3,656,280.00	3,656,280.00	3,188,400.00	1
4	ERDF	Transition	090	07	07	07	04		22	UKD	12,821,079.00	12,275,192.00	0.00	1
4	ERDF	More developed	009	01	07	07	04		24	UKD	435,463.00	246,111.00	435,463.00	1
4	ERDF	More developed	010	01	07	01	04		24	UKD	19,078,319.00	19,078,319.00	0.00	1
4	ERDF	More developed	010	01	07	07	04		10	UKG	1,005,885.00	615,291.00	351,751.00	1
4	ERDF	More developed	010	01	07	07	04		24	UKC	8,153,596.00	5,756,877.00	302,378.00	3
4	ERDF	More developed	010	01	07	07	04		24	UKD	1,534,247.00	1,534,247.00	1,462,550.00	1
4	ERDF	More developed	010	07	07	07	04		24	UKG	1,384,914.00	1,384,914.00	0.00	1
4	ERDF	More developed	012	01	07	07	04		10	UKC	35,685,359.00	20,284,596.00	25,438,782.00	3
4	ERDF	More developed	012	01	07	07	04		12	UKE	18,090,810.00	18,090,810.00	15,285,246.00	1
4	ERDF	More developed	012	01	07	07	04		18	UKC	8,868,672.00	8,327,793.00	108,192.00	1
4	ERDF	More developed	012	01	07	07	04		24	UKD	6,315,723.00	4,836,198.00	4,201,776.00	2
4	ERDF	More developed	012	01	07	07	04		24	UKE	8,406,374.00	7,089,913.00	0.00	2
4	ERDF	More developed	013	01	07	01	04		22	UKI	3,983,945.00	3,983,945.00	926,698.00	1
4	ERDF	More developed	013	01	07	01	04		24	UKI	8,781,075.00	8,781,075.00	4,969,953.00	1
4	ERDF	More developed	013	01	07	07	04		10	UKC	2,568,316.00	2,568,316.00	2,312,326.00	1
4	ERDF	More developed	013	01	07	07	04		18	UKC	3,325,307.00	3,325,307.00	30,002.00	1
4	ERDF	More developed	013	01	07	07	04		22	UKG	1,193,102.00	1,193,102.00	346,050.00	1
4	ERDF	More developed	013	01	07	07	04		24	UKC	10,086,336.00	9,936,884.00	1,648,340.00	2
4	ERDF	More developed	013	01	07	07	04		24	UKG	6,487,842.00	6,487,842.00	908,990.00	1
4	ERDF	More developed	013	04	07	01	04		16	UKI	113,362,116.00	56,681,058.00	95,206,465.00	1
4	ERDF	More developed	014	01	07	01	04		24	UKD	1,107,055.00	1,107,055.00	370,576.00	1
4	ERDF	More developed	014	01	07	07	04		08	UKF	3,294,021.00	3,294,021.00	2,862,629.00	1
4	ERDF	More developed	014	01	07	07	04		08	UKG	1,067,210.00	426,884.00	51,148.00	1
4	ERDF	More developed	014	01	07	07	04		10	UKC	11,661,382.00	11,218,561.00	11,659,569.00	1
4	ERDF	More developed	014	01	07	07	04		22	UKF	12,327,008.00	12,327,008.00	4,661,513.00	1
4	ERDF	More developed	014	01	07	07	04		24	UKD	11,586,405.00	11,032,879.00	2,408,420.00	1
4	ERDF	More developed	014	01	07	07	04		24	UKE	11,638,908.00	11,638,908.00	3,675.00	1
4	ERDF	More developed	014	01	07	07	04		24	UKG	5,543,101.00	5,543,101.00	1,226,731.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
4	ERDF	More developed	036	01	07	07	04		22	UKC	583,896.00	583,896.00	15,711.00	1
4	ERDF	More developed	043	01	07	07	04		12	UKF	13,955,188.00	12,044,888.00	5,146,672.00	1
4	ERDF	More developed	043	01	07	07	04		24	UKE	7,575,564.00	7,575,564.00	328,585.00	1
4	ERDF	More developed	043	01	07	07	04		24	UKH	1,681,151.00	1,110,545.00	31,423.00	1
4	ERDF	More developed	065	01	07	01	04		22	UKI	2,530,189.00	2,530,189.00	1,071,123.00	1
4	ERDF	More developed	065	01	07	01	04		24	UKI	4,716,517.00	3,306,026.00	2,010,944.00	1
4	ERDF	More developed	065	01	07	07	04		07	UKE	526,759.00	526,759.00	261,927.00	1
4	ERDF	More developed	065	01	07	07	04		07	UKG	18,436,886.00	9,916,346.00	15,005,306.00	3
4	ERDF	More developed	065	01	07	07	04		10	UKG	1,793,330.00	1,793,330.00	271,118.00	1
4	ERDF	More developed	065	01	07	07	04		24	UKD	23,721,644.00	23,721,643.00	7,380,089.00	3
4	ERDF	More developed	065	01	07	07	04		24	UKE	2,726,258.00	1,458,210.00	204,113.00	1
4	ERDF	More developed	065	01	07	07	04		24	UKF	1,931,433.00	1,532,894.00	0.00	1
4	ERDF	More developed	065	01	07	07	04		24	UKG	3,046,596.00	1,609,790.00	3,044,344.00	2
4	ERDF	More developed	065	01	07	07	04		24	UKK	1,558,312.00	1,553,419.00	1,187,110.00	1
4	ERDF	More developed	065	03	07	07	04		24	UKC	13,246,761.00	7,569,578.00	6,623,380.00	1
4	ERDF	More developed	065	03	07	07	04		24	UKD	10,687,898.00	5,805,790.00	10,686,815.00	1
4	ERDF	More developed	065	07	07	01	04		11	UKE	1,306,212.00	1,306,212.00	0.00	1
4	ERDF	More developed	065	07	07	07	04		24	UKG	2,806,244.00	1,415,073.00	22,666.00	1
4	ERDF	More developed	068	01	07	01	04		24	UKI	12,240,117.00	11,833,275.00	2,989,454.00	2
4	ERDF	More developed	068	01	07	07	04		07	UKF	4,412,860.00	2,621,307.00	1,683,074.00	1
4	ERDF	More developed	068	01	07	07	04		10	UKC	3,233,747.00	3,233,747.00	2,808,689.00	1
4	ERDF	More developed	068	01	07	07	04		22	UKH	35,163,756.00	17,897,204.00	31,093,888.00	3
4	ERDF	More developed	068	01	07	07	04		22	UKK	5,116,497.00	2,657,795.00	0.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKC	1,484,999.00	991,807.00	601,426.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKE	6,752,174.00	3,976,352.00	385,997.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKF	19,265,818.00	15,358,982.00	10,474,859.00	4
4	ERDF	More developed	068	01	07	07	04		24	UKG	10,699,762.00	6,657,217.00	6,102,974.00	4
4	ERDF	More developed	068	01	07	07	04		24	UKH	11,352,062.00	7,594,668.00	0.00	1
4	ERDF	More developed	068	01	07	07	04		24	UKJ	4,952,975.00	3,004,564.00	327,569.00	2
4	ERDF	More developed	068	01	07	07	04		24	UKK	26,005,344.00	16,400,703.00	6,723,621.00	4
4	ERDF	More developed	068	04	07	07	04		07	UKF	15,004,040.00	8,098,180.00	11,247,953.00	1
4	ERDF	More developed	068	04	07	07	04		24	UKD	66,423,115.00	45,112,365.00	27,676,298.00	1

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
4	ERDF	More developed	068	07	07	07	04		22	UKG	7,001,287.00	6,581,428.00	980,813.00	2
4	ERDF	More developed	068	07	07	07	04		22	UKH	788,913.00	394,455.00	771,190.00	1
4	ERDF	More developed	068	07	07	07	04		22	UKJ	16,107,174.00	8,680,329.00	10,150,219.00	3
4	ERDF	More developed	068	07	07	07	04		24	UKD	4,945,725.00	4,881,459.00	10,075.00	1
4	ERDF	More developed	068	07	07	07	04		24	UKJ	1,660,577.00	830,288.00	79,422.00	1
4	ERDF	More developed	069	01	07	01	04		18	UKG	3,291,580.00	3,291,580.00	26,403.00	1
4	ERDF	More developed	069	01	07	07	04		24	UKG	11,859,156.00	7,287,860.00	3,607,966.00	1
4	ERDF	More developed	069	03	07	07	04		24	UKH	24,987,831.00	12,909,097.00	6,246,951.00	1
4	ERDF	More developed	069	04	07	07	04		24	UKD	19,243,218.00	9,791,652.00	4,906,453.00	2
4	ERDF	More developed	069	07	07	07	04		08	UKG	1,587,699.00	1,532,837.00	0.00	1
4	ERDF	More developed	085	01	07	01	04		24	UKD	1,481,004.00	1,422,813.00	582,722.00	1
5	ERDF	Less developed	087	01	07	07	05		22	UKK	19,724,722.00	19,472,314.00	4,674,848.00	2
5	ERDF	Transition	087	01	07	07	05		22	UKC	5,176,340.00	5,176,340.00	3,086,514.00	1
5	ERDF	Transition	087	01	07	07	05		22	UKD	45,646,591.00	45,347,112.00	9,400,097.00	4
5	ERDF	Transition	087	01	07	07	05		22	UKE	13,053,439.00	13,053,439.00	8,497,939.00	2
5	ERDF	Transition	087	01	07	07	05		24	UKE	10,476,400.00	10,476,400.00	6,335,663.00	3
5	ERDF	Transition	087	07	07	07	05		11	UKF	924,388.00	686,356.00	0.00	1
5	ERDF	Transition	087	07	07	07	05		22	UKD	4,059,229.00	4,059,229.00	0.00	1
5	ERDF	Transition	087	07	07	07	05		22	UKF	1,503,376.00	1,503,376.00	1,491,091.00	1
5	ERDF	More developed	087	01	07	07	05		22	UKC	1,708,807.00	1,708,807.00	12,729.00	1
5	ERDF	More developed	087	01	07	07	05		22	UKE	23,199,258.00	23,199,258.00	13,616,242.00	5
5	ERDF	More developed	087	01	07	07	05		22	UKF	10,208,390.00	10,208,390.00	4,361,045.00	1
5	ERDF	More developed	087	01	07	07	05		24	UKE	513,397.00	513,397.00	0.00	1
5	ERDF	More developed	087	07	07	07	05		11	UKE	8,442,920.00	8,442,920.00	2,126,952.00	1
6	ERDF	Less developed	069	01	07	07	06		22	UKK	4,834,944.00	4,636,280.00	2,460,885.00	1
6	ERDF	Less developed	085	01	07	03	06		22	UKK	7,667,750.00	7,337,777.00	2,449,801.00	3
6	ERDF	Less developed	085	01	07	07	06		22	UKK	3,877,596.00	3,877,596.00	3,681,303.00	1
6	ERDF	Transition	069	01	07	07	06		24	UKG	512,062.00	359,589.00	184,644.00	1
6	ERDF	Transition	069	07	07	07	06		24	UKG	1,008,532.00	1,008,532.00	0.00	1
6	ERDF	Transition	085	01	07	01	06		22	UKD	3,476,517.00	3,413,620.00	1,380,372.00	2
6	ERDF	Transition	085	01	07	01	06		24	UKD	1,305,013.00	1,253,738.00	8,853.00	1
6	ERDF	Transition	085	01	07	07	06		22	UKD	2,136,268.00	1,673,878.00	436,673.00	2
6	ERDF	Transition	085	01	07	07	06		22	UKE	8,767,805.00	8,767,805.00	6,312,719.00	4
6	ERDF	Transition	085	01	07	07	06		22	UKF	1,371,934.00	1,353,683.00	63,253.00	1
6	ERDF	Transition	085	01	07	07	06		22	UKG	6,926,912.00	5,508,501.00	3,592,423.00	3
6	ERDF	Transition	085	07	07	01	06		22	UKD	1,051,094.00	559,977.00	48,379.00	1
6	ERDF	Transition	085	07	07	07	06		22	UKG	825,775.00	604,350.00	237,539.00	1
6	ERDF	Transition	089	01	07	07	06		24	UKD	1,051,487.00	1,051,487.00	0.00	1
6	ERDF	More developed	069	01	07	07	06		24	UKG	4,257,258.00	3,171,079.00	2,763,585.00	2

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
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6	ERDF	More developed	069	07	07	07	06		24	UKG	1,008,532.00	1,008,532.00	0.00	1
6	ERDF	More developed	085	01	07	01	06		22	UKF	2,326,141.00	2,326,141.00	33,189.00	1
6	ERDF	More developed	085	01	07	01	06		24	UKD	118,983.00	114,308.00	27,718.00	1
6	ERDF	More developed	085	01	07	07	06		01	UKK	789,239.00	789,239.00	0.00	1
6	ERDF	More developed	085	01	07	07	06		15	UKF	1,294,652.00	1,294,652.00	1,248,725.00	1
6	ERDF	More developed	085	01	07	07	06		18	UKG	2,292,280.00	2,292,280.00	1,588,646.00	1
6	ERDF	More developed	085	01	07	07	06		22	UKE	5,928,672.00	5,928,672.00	0.00	3
6	ERDF	More developed	085	01	07	07	06		22	UKG	22,260,449.00	21,795,419.00	10,997,839.00	4
6	ERDF	More developed	085	01	07	07	06		22	UKK	3,105,265.00	2,254,986.00	942,527.00	2
6	ERDF	More developed	085	01	07	07	06		24	UKE	3,693,204.00	3,315,456.00	0.00	3
6	ERDF	More developed	085	01	07	07	06		24	UKG	1,469,887.00	749,613.00	1,431,306.00	1
6	ERDF	More developed	085	01	07	07	06		24	UKJ	553,525.00	553,525.00	0.00	1
6	ERDF	More developed	085	01	07	07	06		24	UKK	1,614,668.00	1,391,646.00	649,518.00	1
6	ERDF	More developed	085	07	07	07	06		22	UKF	8,624,150.00	8,624,150.00	7,445,460.00	1
6	ERDF	More developed	089	01	07	07	06		22	UKE	3,046,067.00	2,984,073.00	1,660,893.00	2
6	ERDF	More developed	089	01	07	07	06		22	UKG	1,287,353.00	1,287,353.00	1,257,414.00	1
6	ERDF	More developed	089	07	07	07	06		22	UKG	1,520,522.00	1,520,522.00	1,387,605.00	1
7	ERDF	Less developed	025	01	07	03	07		24	UKK	16,716,484.00	16,716,484.00	16,716,484.00	1
7	ERDF	Less developed	033	01	07	03	07		24	UKK	11,070,519.00	11,070,519.00	11,070,519.00	1
7	ERDF	Less developed	036	01	07	03	07		12	UKK	4,770,849.00	2,065,778.00	4,770,846.00	1
7	ERDF	Less developed	036	01	07	03	07		24	UKK	11,175,689.00	11,175,689.00	11,175,689.00	1
7	ERDF	Less developed	043	01	07	03	07		12	UKK	4,347,393.00	4,280,527.00	334,330.00	2
7	ERDF	Less developed	043	01	07	03	07		22	UKK	3,357,743.00	3,357,743.00	1,133,997.00	1
8	ERDF	Less developed	097	01	07	06	09		21	UKK	17,609.00	17,609.00	17,609.00	1
8	ERDF	Less developed	097	01	07	06	09		24	UKK	12,438,281.00	10,302,778.00	2,319,398.00	1
8	ERDF	Less developed	097	06	07	06	09		21	UKK	52,996.00	52,996.00	52,996.00	3
8	ERDF	Transition	097	01	07	06	09		24	UKC	2,760,578.00	2,686,721.00	58,070.00	4
8	ERDF	Transition	097	01	07	06	09		24	UKE	5,924,004.00	4,842,111.00	1,972,549.00	7
8	ERDF	More developed	097	01	07	06	09		24	UKC	4,905,250.00	4,603,024.00	1,027,106.00	8
8	ERDF	More developed	097	01	07	06	09		24	UKE	15,871,995.00	13,166,294.00	3,982,786.00	15
8	ERDF	More developed	097	01	07	06	09		24	UKH	8,933,115.00	6,140,023.00	1,558,765.00	5
8	ERDF	More developed	097	07	07	06	09		24	UKH	20,662.00	20,662.00	20,659.00	1
9	ERDF	Less	123	01	07	07			24	UK	20,420,822.00	20,420,822.00	17,898,607.00	2

Priority axis	Characteristics of expenditure		Categorisation dimensions								Financial data			
	Fund	Category of region	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
		developed												
9	ERDF	Less developed	123	01	07	07			24	UKK	1,729,377.00	1,729,377.00	1,581,515.00	3
9	ERDF	Transition	121	01	07	07			24	UKC	114,784.00	114,784.00	17,459.00	1
9	ERDF	Transition	121	01	07	07			24	UKD	137,526.00	137,526.00	97,437.00	1
9	ERDF	Transition	123	01	07	07			24	UK	45,687,177.00	45,687,177.00	22,760,798.00	2
9	ERDF	Transition	123	01	07	07			24	UKC	408,039.00	408,039.00	344,879.00	2
9	ERDF	Transition	123	01	07	07			24	UKD	1,039,696.00	938,647.00	683,993.00	3
9	ERDF	Transition	123	01	07	07			24	UKE	2,372,332.00	2,362,439.00	1,632,807.00	3
9	ERDF	Transition	123	01	07	07			24	UKF	552,712.00	552,712.00	462,094.00	1
9	ERDF	Transition	123	01	07	07			24	UKG	1,556,702.00	1,554,472.00	1,222,241.00	3
9	ERDF	Transition	123	01	07	07			24	UKK	37,258.00	37,258.00	23,071.00	1
9	ERDF	More developed	121	01	07	07			24	UKC	135,364.00	135,364.00	138,382.00	1
9	ERDF	More developed	123	01	07	07			18	UKH	1,082,468.00	1,082,468.00	869,173.00	2
9	ERDF	More developed	123	01	07	07			18	UKJ	294,810.00	294,810.00	282,444.00	1
9	ERDF	More developed	123	01	07	07			24	UK	91,066,373.00	91,066,373.00	36,178,495.00	2
9	ERDF	More developed	123	01	07	07			24	UKC	1,070,329.00	1,070,329.00	955,542.00	1
9	ERDF	More developed	123	01	07	07			24	UKD	1,365,440.00	1,308,255.00	979,961.00	4
9	ERDF	More developed	123	01	07	07			24	UKE	771,798.00	756,828.00	342,942.00	4
9	ERDF	More developed	123	01	07	07			24	UKF	1,759,345.00	1,539,033.00	1,487,140.00	4
9	ERDF	More developed	123	01	07	07			24	UKG	3,373,519.00	3,152,136.00	2,927,439.00	5
9	ERDF	More developed	123	01	07	07			24	UKI	8,470,497.00	8,470,497.00	3,556,198.00	1
9	ERDF	More developed	123	01	07	07			24	UKK	1,041,937.00	832,652.00	839,810.00	6
9	ERDF	More developed	123	07	07	07			18	UKH	1,063,713.00	650,031.00	454,352.00	2
9	ERDF	More developed	123	07	07	07			18	UKJ	702,772.00	702,772.00	524,953.00	3
9	ERDF	More developed	123	07	07	07			24	UKF	342,889.00	243,128.00	143,368.00	2

Table 8: The use made of cross-financing

1	2	3	4	5	6
Use of cross-financing	Priority axis	The amount of EU support envisaged to be used for cross financing based on selected operations (EUR)	As a share of the EU support to the priority axis (%) (3/EU support to priority axis*100)	The amount of EU support used under cross financing based on eligible expenditure declared by the beneficiary to the managing authority (EUR)	As a share of the EU support to the priority axis (%) (5/EU support to priority axis*100)
Costs eligible for support under the ESF, but supported from the ERDF	1	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	10	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	2	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	3	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	4	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	5	0.00		0.00	
Costs eligible for support under the ESF, but supported	6	0.00		0.00	

from the ERDF					
Costs eligible for support under the ESF, but supported from the ERDF	7	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	8	0.00		0.00	
Costs eligible for support under the ESF, but supported from the ERDF	9	0.00		0.00	

Table 9: Cost of operations implemented outside the programme area (the ERDF and the Cohesion Fund under the Investment for growth and jobs goal)

1	2	3	4	5
Priority axis	The amount of EU support envisaged to be used for operations implemented outside the programme area based on selected operations (EUR)	As a share of the EU support to the priority axis at the time of adoption of the programme (%) (2/EU support to priority axis at the time of adoption of the programme*100)	The amount of EU support in operations implemented outside the programme area based on eligible expenditure declared by the beneficiary to the managing authority (EUR)	As a share of the EU support to the priority axis at the time of adoption of the programme (%) (4/EU support to priority axis at the time of adoption of the programme*100)
1	0.00		0.00	
10	0.00		0.00	
2	0.00		0.00	
3	0.00		0.00	
4	0.00		0.00	
5	0.00		0.00	
6	0.00		0.00	
7	0.00		0.00	
8	0.00		0.00	
9	0.00		0.00	

Table 10: Expenditure incurred outside the Union (ESF)

The amount of expenditure envisaged to be incurred outside the Union under thematic objectives 8 and 10 based on selected operations (EUR)	Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (1/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100)	Eligible expenditure incurred outside the Union declared by the beneficiary to the managing authority (EUR)	Share of the total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme (%) (3/total financial allocation (Union and national contribution) to the ESF programme or the ESF part of a multi-fund programme*100)

4. SYNTHESIS OF THE EVALUATIONS

Synthesis of the findings of all evaluations of the programme that have become available during the previous financial year, with reference of name and reference period of the evaluation reports used

No evaluations of the Programme have been published in the period covered by this report as yet. In 2020 the national evaluators have been undertaking the interim impact evaluation of the programme initial findings are:

1. The phase 2 counterfactual impact analysis has provided the initial assessment of the additionality of the ERDF funded support with a focus on business support delivered mainly through Priority Axes 1-4.
1. The average employment of the ERDF supported businesses is 38 jobs prior to receiving ERDF support. Across the cohorts, the ONS's Business Structure Database provides turnover measures for the supported businesses. Real turnover, deflated using 2019 prices, is £7.5m on average per business, with real turnover per employee an average of £197,400.
1. There was an increase in the employment amongst these businesses of 34,000 gross jobs post-support (+3%) by the end of 2018, equivalent to 71,400 years of employment over the 2015-2018 period. The CIE analysis suggests an estimated 16%-38% of the employment was additional, with the central estimate of 9,180 jobs (+1%) or 19,300 years of employment in the period of the analysis.
1. The estimate of 34,000 gross new jobs in the 30,600 supported businesses (a job creation rate per business of 1.1) included in the CIE is higher than the 11,200 new jobs captured in the ERDF claims data by Q1 2020 (and it should be borne in mind that this analysis does not include start-ups which will contribute to this monitoring total). However, this average job creation rate from the CIE analysis of +1.1 is broadly comparable to the beneficiary survey findings (+1.1 gross FTEs on average, consisting of +1.1 for SME Competitiveness support, +1.3 for Innovation support and -0.6 for resource and energy efficiency support).
1. A second CIE approach tested in phase 2 has focused on area-based interventions, including investment in research facilities, incubator and workspace schemes, broadband infrastructure and flood defence schemes (in total, 100 projects with an ERDF grant value of £247m). This a more innovative CIE technique which will be refined during Phase 3. The analysis has provided initial evidence that the areas with ERDF area-based investments are associated with a higher level of businesses relocating into the area and additional employment creation compared to neighbouring Lower Layer Super Output Areas (LSOAs). Using spatial and time-differencing methods (i.e. comparing differences between areas over time), the analysis suggests that an upper bound estimate of 15,500 jobs can be attributed to the investments in the time period covered (allowing for deadweight and displacement effects). This potential additional source of employment creation is not captured in the programme monitoring of the C8 job creation target as these are treated as indirect outcomes and hence not monitored by the programme. The results, although positive, need to be further tested before being used more extensively in phase 3.

We expect the Process Evaluation and Interim Impact Evaluation to be published in 2021. The full Impact and Economic Evaluations, phase 3 of the national evaluation, will begin in 2021 too.

Name	Fund	From month	From year	To month	To year	Type of evaluation	Thematic objective	Topic	Findings

6 ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN (Article 50(2) of Regulation (EU) No 1303/2013)

(a) Issues which affect the performance of the programme and the measures taken

PA1

The MA continue to adhere to the guiding principles for the selection of projects, and where applications failed to show sufficient regard to Smart Specialisation, or to the criteria for investment in incubators, further support and guidance was provided.

Covid-19 has had an adverse impact on several projects, particularly delegated grant schemes where potential SME beneficiaries were more focused on survival than embarking on Research and Development activity. Some projects have found it difficult to attract SMEs for innovation grants or to work with SMEs and so are unable to adhere to their spend profiles. Hope is that this activity will recover during 2021.

MA is confident that most of the 2023 PF targets will be met, though at the end of the year there were insufficient contracted and pipeline projects to allow the More Developed financial PF target to be met. This will be considered in the MA's future funding plans .

PA2

Remedial actions taken in 2018-2019 have meant projects have taken steps with their procured infrastructure providers to increase the deployment speed to catch up to the contractual milestones.

The MA is confident that 2023 PF targets will be met but notes that the P3 Less Developed Target continues to be a risk that will continue to be closely monitored by the MA.

PA3

Due to COVID 19, a dip in project performance in 2020, in particular, projects which are co-financed by private sector the take up of which has dropped. It was noted that manufacturing projects appear to be buoyant as manufacturers adapt to the COVID crisis.

Capital projects briefly suspended activity due to national lockdown restrictions imposed in March but since mid-July, work has resumed.

Revenue projects have adopted a business as usual approach by adapting how they deliver business support on a virtual basis. Projects have supported a significant number of SMEs since March 20,

although not all enterprises achieved the minimum threshold to count towards the non-financial PF target (C1 enterprises receiving support), although it's possible they may return for further support.

Due to COVID 19, the MA anticipates a dip in both the number of contracted and the performance of some non-PF outputs, in particular: C02, C05 and C08 . It is too early to tell if these targets will be achieved as SMEs focus on survival and safeguarding measures especially given the current recession and potential further lockdown restrictions.

Despite the impact of COVID 19 and at this stage of the programme, the MA remains confident that all 2023 PF targets will be met.

PA4

The focus of projects in Cornwall remains low carbon energy, delivering innovative approaches to energy management and research into alternative energy sources. The Local Energy Market project has tested a market-based solution on energy flexibility trading. As part of the trial, over 7.5 megawatts of low carbon technology were installed, 100 businesses and a 100 homes received a combination of solar panels and wall mounted battery storage.

Low carbon housing retrofit, and SME support continues to be an area of high demand for project delivery. Lincoln Green in Leeds and the City Council have undertaken the refurbishment of the heating system in several blocks of flats. The project has created a link to an existing heat network, delivering secure low carbon heat to this deprived community, reducing fuel poverty in the area.

In the South East a number of large low carbon SME support projects are delivering activity across East Anglia and the home counties. Despite Covid-19, many businesses are still focusing on opportunities to decarbonise their activity which also helps drive down costs.

A number of projects in the pipeline have not progressed resulting in the 2023 PF targets not being met. Many of the low carbon projects such as housing retrofit deliver long term carbon savings, not high-level annual outputs as required under C34. There continue to be challenges in contractors understanding how to work with new and higher construction standards, but this is improving.

PA5

ERDF has been instrumental in unlocking a number of flooding prevention and amelioration projects that are not considered viable when considered by the standard business case process operated by the Environment Agency, which is more focused on housing supply and protection.

In Kendal, Cumbria, the flood defences will reduce the impact of the Rive Kent flooding, while phase two, not funded by ERDF will deliver environmental solutions upstream and will provide significant resilience to water management in this area.

Within More Developed activity has occurred along the River Calder and Aire in Leeds City Region. These river valleys are highly prone to extreme flooding and ERDF has been instrumental in delivering flood protection measures.

Within Cornwall the allocation has been maximised with the final project on the Isles of Scilly undertaking dune defence work to help protect coastal surges which are an increasing threat on the islands. The scheme will also safeguard the island's increasingly vulnerable fresh water supplies.

PA6

PA6d is providing a key funding stream for biodiversity projects, enabling many urban schemes to be delivered which without ERDF would not progress.

Prior to Covid-19, the final call for PA6 in 2020 was aiming to deliver river catchment and landscape scale activity; however, several projects are delivering similar activity within an urban setting. In Cheltenham, Wild Towns is delivering a strategic biodiversity programme of work linking sites together.

Within Less Developed, the C23 Performance Framework output is being significantly overachieved due to the Growing Goss project. Natural England are improving the nature conservation value of this important 577-hectare estate, which is also a Site of Special Scientific Interest

PA7

The MA is confident that the actions taken will help meet all the 2023 PF targets.

PA8

CLLD momentum in developing community capacity and plans, issuing calls, committing funding to local projects and delivery momentum is slower than anticipated due to factors such as lack of match funding, poor responses to calls, and weak grant recipient ESI Funds experience and capability.

Annual Reviews with grant recipients early 2020 indicated significant forecast upturn in delivery (However due to Covid this did not materialise). Local Action Groups delayed/stopped issuing calls and one CLLD project (Leeds West) was withdrawn. CLLD grant recipients reported at the end of 2020 that they had contracted around £26m (62%) of their grants allocations.

Some local projects were able to quickly adapt to the Covid-19 moving to on-line provision and repurposing business support provision to support Covid resilience & recovery for which there is strong demand.

Due to Covid the MA offered to extend CLLD projects into 2023 and most CLLDs have taken up the offer.

(b) An assessment of whether progress made towards targets is sufficient to ensure their fulfilment, indicating any remedial actions taken or planned, where appropriate.

The programme is already on track to deliver most PF targets by the end of 2023 and actions are in place to address those where progress has been slower than expected.

PA2

- Non-PF outputs C5 (new enterprises supported) and P4 (additional businesses taking up superfast broadband) 2023 targets will not be achieved. The assumption that 70% of enterprises supported would be new enterprises is not reflected in demand across all Regions. The MA will continue to work with operations to maximise returns.

PA4

- Several projects in the pipeline have not progressed several low carbon projects such as housing retrofit deliver long term carbon savings, not high-level annual outputs as required under C34. There continue to be challenges in contractors understanding how to work with new and higher construction standards, but this is improving and we continue to be on track to meet PF targets.

PA5

- The number SME's benefiting from this enhanced protection is limited, as a result it is unlikely the output target will be delivered for the More Developed Region. The MA is considering how best to manage this shortfall.

PA8

- Achievement of the 2023 financial / non-financial PF targets in the More Developed COR may not be met. Consideration is being given to moving unallocated funds to other PAs.

7. CITIZEN'S SUMMARY

A citizen's summary of the contents of the annual and the final implementation reports shall be made public and uploaded as a separate file in the form of annex to the annual and the final implementation report

You can upload/find the Citizen's summary under General > Documents in the SFC2014 application

8. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	7,749,363.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	19-Mar-2019
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington Urban Development Fund PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial	Fiduciary account

instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	15,498,726.00
14.1. out of which ESI Funds contributions (in EUR)	7,749,363.00
14.1.1. out of which ERDF (in EUR) (optional)	7,749,363.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,913,140.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,913,140.00
15.1.1. out of which ERDF (in EUR)	3,913,140.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	

15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	2,146.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington UDF PA1
6. Official address/place of business of the financial instrument (name of the country and city)	
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cheshire & Warrington Urban Development Fund PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European	Body governed by public or private law

Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2020
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	15,498,726.00
14.1. out of which ESI Funds contributions (in EUR)	7,749,363.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,913,140.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,913,140.00
15.1.1. out of which ERDF (in EUR)	3,913,140.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	

19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Cheshire & Warrington UDF PA1 Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	2,146.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	

38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated
41.1. Target value of the output indicator	11,666.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	5,535,259.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	19-Mar-2019
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington Urban

	Development Fund PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other	Interadministrative cooperation

procedure	
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	11,070,519.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,259.00
14.1.1. out of which ERDF (in EUR) (optional)	5,535,259.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,795,100.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,795,100.00
15.1.1. out of which ERDF (in EUR)	2,795,100.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument,	

programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	1,533.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington UDF PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire & Warrington UDF PA3
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cheshire & Warrington Urban Development Fund

	PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body	10-Apr-2019

implementing the financial instrument	
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	11,070,519.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,259.00
14.1.1. out of which ERDF (in EUR) (optional)	5,535,259.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,795,100.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,795,100.00
15.1.1. out of which ERDF (in EUR)	2,795,100.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Cheshire & Warrington UDF PA3 Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,653,271.00

24.1. out of which total amount of ESI Funds contributions (in EUR)	1,826,636.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,826,636.00
38.3.1. out of which public contributions (EUR)	1,826,636.00
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	

32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	1,533.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated

41.1. Target value of the output indicator	8,333.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	8,856,415.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	19-Mar-2019
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington Urban Development Fund PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	

8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire & Warrington Urban Development Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,712,830.00
14.1. out of which ESI Funds contributions (in EUR)	8,856,415.00
14.1.1. out of which ERDF (in EUR) (optional)	8,856,415.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,472,160.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,472,160.00
15.1.1. out of which ERDF (in EUR)	4,472,160.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	

15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	2,453.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	

38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cheshire & Warrington UDF PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cheshire & Warrington Urban Development Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cheshire and Warrington Development Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Cheshire East Borough Council, Middlewich Road, Sandbach, Cheshire, CW11 1HZ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,712,830.00
14.1. out of which ESI Funds contributions (in EUR)	8,856,415.00
14.1.1. out of which ERDF (in EUR) (optional)	8,856,415.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,472,160.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,472,160.00
15.1.1. out of which ERDF (in EUR)	4,472,160.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00

17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Cheshire & Warrington UDF PA4 Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount	

committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	2,453.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the	

bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	6,045.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	17,712,830.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	22-Dec-2017
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cornwall & Isles of Scilly - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial	Cornwall & the Isles of Scilly Investment Fund

instrument	
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	22,141,038.00
14.1. out of which ESI Funds contributions (in EUR)	17,712,830.00
14.1.1. out of which ERDF (in EUR) (optional)	17,712,830.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	5,609,450.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,487,560.00
15.1.1. out of which ERDF (in EUR)	4,487,560.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,121,890.00
15.2.1. out of which total amount of national public funding (in EUR)	701,181.00
15.2.2. out of which total amount of national private funding (in EUR)	420,709.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	

17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,856,416.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body	

implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,121,890.00
38.2.1. out of which public contributions (EUR)	701,181.00
38.2.2. out of which private contributions (EUR)	420,709.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cornwall & Isles of Scilly Fund - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cornwall & Isles of Scilly - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	

11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FSE Group
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	22,141,038.00
14.1. out of which ESI Funds contributions (in EUR)	17,712,830.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	5,609,450.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,487,560.00
15.1.1. out of which ERDF (in EUR)	4,487,560.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	

15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,121,890.00
15.2.1. out of which total amount of national public funding (in EUR)	701,181.00
15.2.2. out of which total amount of national private funding (in EUR)	420,709.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>CIoS PA1 - Equity Fund</i>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,328,462.30
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,228,827.63
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,328,462.30
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,228,827.63

25.1.1. out of which ERDF (in EUR)	1,228,827.63
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	99,634.67
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	415,310.53
22. Name of financial product offered by the financial instrument	CIoS PA1 - Loan Fund

22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in	

EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the	

financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,428,208.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,121,890.00
38.2.1. out of which public contributions (EUR)	701,181.00
38.2.2. out of which private contributions (EUR)	420,709.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	157.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	17,941,616.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	960,000.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	97.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	17,712,830.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	22-Dec-2017
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Cornwall & Isles of Scilly - PA3
6. Official address/place of business of the financial	Steel City House, West Street, Sheffield, S1 2GQ

instrument (name of the country and city)	
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Cornwall & the Isles of Scilly Investment Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the	Steel City House, West Street, Sheffield, S1 2GQ

financial instrument	
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	22,141,038.00
14.1. out of which ESI Funds contributions (in EUR)	17,712,830.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	5,609,450.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,487,560.00
15.1.1. out of which ERDF (in EUR)	4,487,560.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,121,890.00
15.2.1. out of which total amount of national public funding (in EUR)	701,181.00
15.2.2. out of which total amount of national private funding (in EUR)	420,709.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the	

financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,428,208.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside	1,121,890.00

the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	701,181.00
38.2.2. out of which private contributions (EUR)	420,709.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	Cornwall & Isles of Scilly Fund - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Cornwall & Isles of Scilly - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013

9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No

9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No	Body governed by public or private law

1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	FSE Group
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lowena House Glenthorne Court Truro Business Park Threemilestone Truro, TR4 9NY
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Feb-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	22,141,038.00
14.1. out of which ESI Funds contributions (in EUR)	17,712,830.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	5,609,450.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,487,560.00
15.1.1. out of which ERDF (in EUR)	4,487,560.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing	1,121,890.00

(in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	701,181.00
15.2.2. out of which total amount of national private funding (in EUR)	420,709.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	CIoS PA3 - Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,087,938.67
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,470,350.94
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,087,938.67
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,470,350.94
25.1.1. out of which ERDF (in EUR)	2,470,350.94
25.1.2. out of which Cohesion Fund (in EUR)	

25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	385,992.33
25.3. out of which total amount of national private co-financing (in EUR)	231,595.40
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	5
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	5
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	
29.2. out of which SMEs	5
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	231,595.40
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	231,595.40
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.09
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	3,838,542.01
22. Name of financial product offered by the financial instrument	CIoS PA3 - Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan

24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,597,379.61
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,402,576.14
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,597,379.61
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,402,576.14
25.1.1. out of which ERDF (in EUR)	2,402,576.14
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	194,803.47
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	17
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	17
29. Number of financial recipients supported by the financial product	17
29.1. out of which large enterprises	
29.2. out of which SMEs	17
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	194,803.47
38.3.1. out of which public contributions (EUR)	

38.3.2. out of which private contributions (EUR)	194,803.47
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.25
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.08
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in	

EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,428,208.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,121,890.00
38.2.1. out of which public contributions (EUR)	701,181.00
38.2.2. out of which private contributions (EUR)	420,709.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	97.00
41.2. Value achieved by the financial instrument in	25.00

relation to the target value of the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	17,941,616.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	426,398.87
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	25.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	38,746,820.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	04-Oct-2017
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater London Investment Fund - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Fourth Floor Aldwych House 71-91 Aldwych London WC2B 4HN
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No

7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Funding London
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	First Floor Aldwych House 71-91 Aldwych London WC2B 4HN
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	In house contract award
13. Date of signature of the funding agreement with the body implementing the financial instrument	31-Jul-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	110,705,200.00
14.1. out of which ESI Funds contributions (in EUR)	38,746,820.00
14.1.1. out of which ERDF (in EUR) (optional)	38,746,820.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	

14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	27,693,750.00
15.1. out of which amount of ESI Funds contributions (in EUR)	18,543,121.00
15.1.1. out of which ERDF (in EUR)	18,543,121.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	9,150,629.00
15.2.1. out of which total amount of national public funding (in EUR)	2,287,657.00
15.2.2. out of which total amount of national private funding (in EUR)	6,862,972.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	

37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	71,958,380.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	9,150,629.00
38.2.1. out of which public contributions (EUR)	2,287,657.00
38.2.2. out of which private contributions (EUR)	6,862,972.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater London Investment Fund PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Fourth Floor Aldwych House 71-91 Aldwych London WC2B 4HN
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater London Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No

9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Existing or newly created legal entity
11.1.1. Name of the body implementing the financial instrument	Funding London
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	First Floor Aldwych House 71-91 Aldwych London WC2B 4HN
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	In house award
13. Date of signature of the funding agreement with the body implementing the financial instrument	31-Jul-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	110,705,200.00
14.1. out of which ESI Funds contributions (in EUR)	38,746,820.00
14.1.1. out of which ERDF (in EUR) (optional)	38,746,820.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	27,693,750.00
15.1. out of which amount of ESI Funds contributions (in EUR)	18,543,121.00

15.1.1. out of which ERDF (in EUR)	18,543,121.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	9,150,629.00
15.2.1. out of which total amount of national public funding (in EUR)	2,287,657.00
15.2.2. out of which total amount of national private funding (in EUR)	6,862,972.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Mezzanine Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	29,912,545.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	29,912,545.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	13,176,941.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	13,176,941.00
25.1.1. out of which ERDF (in EUR)	13,176,941.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	20
28. Number of loan/guarantee/equity or quasi-equity/other financial	

product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	20
29.1. out of which large enterprises	
29.2. out of which SMEs	20
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	1.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
22. Name of financial product offered by the financial instrument	Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial	

product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
22. Name of financial product offered by the financial instrument	<i>Venture Fund</i>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	

25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance	

with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	71,958,380.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	0.00
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	9,150,629.00
38.2.1. out of which public contributions (EUR)	2,287,657.00
38.2.2. out of which private contributions (EUR)	6,862,972.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	0.00
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	210.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	40.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	2,775.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	228.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support

41.1. Target value of the output indicator	210.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	40.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	188,863,058.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	70,195,540.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	30.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	33,211,558.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	18-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater Manchester UDF - North West Evergreen Fund 2 - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Greater Manchester Combined Authority, Churchgate House, Oxford Street, Manchester, M1 6EU
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or	No

indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Greater Manchester FoF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Churchgate House, Oxford Street, Manchester, M1 6EU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	66,423,116.00
14.1. out of which ESI Funds contributions (in EUR)	33,211,558.00

14.1.1. out of which ERDF (in EUR) (optional)	33,211,558.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	39,640,650.00
15.1. out of which amount of ESI Funds contributions (in EUR)	16,605,778.00
15.1.1. out of which ERDF (in EUR)	16,605,778.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	23,034,872.00
15.2.1. out of which total amount of national public funding (in EUR)	23,034,872.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	87,684.00
17.1. out of which base remuneration (in EUR)	87,684.00
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	55,432.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	

37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,211,558.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	23,034,872.00
38.2.1. out of which public contributions (EUR)	23,034,872.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater Manchester UDF - North West Evergreen Fund 2 - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	CBRE Indirect Investment Services Limited, St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater Manchester UDF - North West Evergreen Fund 2 - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No

9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	CBRE Indirect Investment Services Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	12-Jul-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	66,423,115.00
14.1. out of which ESI Funds contributions (in EUR)	33,211,558.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	10,034,001.00
15.1. out of which amount of ESI Funds contributions (in EUR)	9,936,186.00

15.1.1. out of which ERDF (in EUR)	9,936,186.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	97,815.00
15.2.1. out of which total amount of national public funding (in EUR)	97,815.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	195,632.00
17.1. out of which base remuneration (in EUR)	195,632.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	North West Evergreen Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	41,215,543.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	20,607,771.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	9,838,370.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	9,838,370.00
25.1.1. out of which ERDF (in EUR)	9,838,370.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	

25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	3
29.2. out of which SMEs	0
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	9,838,370.00
38.3.1. out of which public contributions (EUR)	1,605,225.00
38.3.2. out of which private contributions (EUR)	8,233,145.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.98
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles	

43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	477,609.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	1,702,211.00
36.1. out of which capital repayments (in EUR)	1,605,255.00
36.2. out of which gains, other earnings and yields (in EUR)	96,956.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,211,558.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	97,815.00
38.2.1. out of which public contributions (EUR)	97,815.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated
41.1. Target value of the output indicator	55,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10,376.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	33,211,558.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater Manchester UDF - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Churchgate House, Manchester, M1 6EU
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of	

Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Greater Manchester FoF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Churchgate House, Manchester, M1 6EU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	66,423,116.00
14.1. out of which ESI Funds contributions (in EUR)	33,211,558.00
14.1.1. out of which ERDF (in EUR) (optional)	33,211,558.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	28,314,750.00
15.1. out of which amount of ESI Funds contributions (in EUR)	16,605,778.00
15.1.1. out of which ERDF (in EUR)	16,605,778.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	11,708,972.00
15.2.1. out of which total amount of national public funding (in EUR)	11,708,972.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	87,684.00
17.1. out of which base remuneration (in EUR)	87,684.00
17.2. out of which performance-based remuneration (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	68,273.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,211,558.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	11,708,972.00
38.2.1. out of which public contributions (EUR)	11,708,972.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of

Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater Manchester UDF - Low Carbon Fund - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	GVA Grimley Limited, 3 Brindley Place, Birmingham, B1 2JB
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater Manchester UDF - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	CBRE Indirect Investment Services Limited
11.1.2. Official address/place of business (country and town name) of the	St Martin's Court, 10

body implementing the financial instrument	Paternoster Row, London, EC4M 7HP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	12-Jul-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	33,211,558.00
14.1. out of which ESI Funds contributions (in EUR)	16,605,778.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	495,846.00
15.1. out of which amount of ESI Funds contributions (in EUR)	247,923.00
15.1.1. out of which ERDF (in EUR)	247,923.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	247,923.00
15.2.1. out of which total amount of national public funding (in EUR)	247,923.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	495,846.00
17.1. out of which base remuneration (in EUR)	495,846.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	0.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25.1.1. out of which ERDF (in EUR)	0.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	0.00
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	0
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	0
29. Number of financial recipients supported by the financial product	0
29.1. out of which large enterprises	
29.2. out of which SMEs	0
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	0.00
38.3.1. out of which public contributions (EUR)	0.00
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.66
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by	

product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	16,605,780.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	247,923.00
38.2.1. out of which public contributions (EUR)	247,923.00
38.2.2. out of which private contributions (EUR)	

38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	5,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Greater Manchester UDF - North West Evergreen Fund 2 - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	CBRE Indirect Investment Services Limited, St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Greater Manchester UDF - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	CBRE Indirect Services Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	St Martin's Court, 10 Paternoster Row, London, EC4M 7HP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	12-Jun-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	33,211,558.00
14.1. out of which ESI Funds contributions (in EUR)	16,605,778.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,385,576.00
15.1. out of which amount of ESI Funds contributions (in EUR)	6,333,998.00
15.1.1. out of which ERDF (in EUR)	6,333,998.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	51,578.00
15.2.1. out of which total amount of national public funding (in EUR)	51,578.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	103,157.00

17.1. out of which base remuneration (in EUR)	103,157.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	30,277,870.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	15,138,935.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	6,282,519.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	6,282,519.00
25.1.1. out of which ERDF (in EUR)	6,282,519.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	

29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	6,282,519.00
38.3.1. out of which public contributions (EUR)	6,282,519.00
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.66
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.98
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	372,466.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	6,654,985.00
36.1. out of which capital repayments (in EUR)	6,282,519.00
36.2. out of which gains, other earnings and yields (in EUR)	372,466.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to	

the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	16,605,780.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	138,381.00
38.2.1. out of which public contributions (EUR)	138,381.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	5,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	400.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)

3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	16,605,778.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	16-Jan-2019
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Lancashire Urban Development Fund
6. Official address/place of business of the financial instrument (name of the country and city)	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a	Body governed by public or private law

publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Lancashire UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Oct-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	27,676,298.00
14.1. out of which ESI Funds contributions (in EUR)	16,605,778.00
14.1.1. out of which ERDF (in EUR) (optional)	16,605,778.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Lancashire Urban Development Fund PA3
6. Official address/place of business of the financial instrument (name of the country and city)	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Lancashire Urban Development Fund
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Lancashire Urban Development Fund

11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	County Hall, Lancashire County Council, Preston, Lancashire, PR1 0LD
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Oct-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	27,676,298.00
14.1. out of which ESI Funds contributions (in EUR)	16,605,778.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or	

committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	

39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the	

instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated
41.1. Target value of the output indicator	6,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	5,535,259.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	23-Mar-2018
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region Urban Development Fund PA1
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article	

38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,564,928.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,259.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,472,011.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,394,518.00
15.1.1. out of which ERDF (in EUR)	2,394,518.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	

15.2. out of which total amount of national co-financing (in EUR)	77,493.00
15.2.1. out of which total amount of national public funding (in EUR)	77,493.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	71,137.00
17.1. out of which base remuneration (in EUR)	62,834.00
17.2. out of which performance-based remuneration (in EUR)	8,303.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	5,535.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,029,669.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	77,493.00
38.2.1. out of which public contributions (EUR)	77,493.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region UDF PA1
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Liverpool City Region Urban Development Fund PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment	Body governed by public or private law

Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,564,928.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,259.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,472,011.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,394,518.00
15.1.1. out of which ERDF (in EUR)	2,394,518.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	77,493.00
15.2.1. out of which total amount of national public funding (in EUR)	77,493.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	71,137.00
17.1. out of which base remuneration (in EUR)	62,834.00
17.2. out of which performance-based remuneration (in EUR)	8,303.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final	

recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Liverpool City Region UDF PA1 Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	954,493.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	553,256.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	402,966.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	402,966.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	

39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	5,535.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,029,669.00
38.1A. Contributions under EIB financial product committed in the funding	

agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	77,493.00
38.2.1. out of which public contributions (EUR)	77,493.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated
41.1. Target value of the output indicator	3,574.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	11,070,519.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	23-Mar-2018
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region Urban Development Fund PA3
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed	No

directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of	

Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,129,857.00
14.1. out of which ESI Funds contributions (in EUR)	11,070,519.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,944,023.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,789,036.00
15.1.1. out of which ERDF (in EUR)	4,789,036.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	154,987.00
15.2.1. out of which total amount of national public funding (in EUR)	154,987.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	142,275.00
17.1. out of which base remuneration (in EUR)	125,669.00
17.2. out of which performance-based remuneration (in EUR)	16,606.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	11,070.00
37. Amounts of resources attributable to ESI Funds used in	

accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,059,338.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	154,987.00
38.2.1. out of which public contributions (EUR)	154,987.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region UDF PA3
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Liverpool City Region Urban Development Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,129,857.00
14.1. out of which ESI Funds contributions (in EUR)	11,070,519.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	

14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,944,023.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,789,036.00
15.1.1. out of which ERDF (in EUR)	4,789,036.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	154,987.00
15.2.1. out of which total amount of national public funding (in EUR)	154,987.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	142,275.00
17.1. out of which base remuneration (in EUR)	125,669.00
17.2. out of which performance-based remuneration (in EUR)	16,606.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Liverpool City Region UDF PA3 Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,912,985.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,107,052.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	

25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	805,933.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	805,933.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	11,070.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	

36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,059,338.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	154,987.00
38.2.1. out of which public contributions (EUR)	154,987.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	P2 - Public or commercial buildings built or renovated
41.1. Target value of the output indicator	7,148.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors

2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	11,070,519.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	23-Mar-2018
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region Urban Development Fund PA4
6. Official address/place of business of the financial instrument (name of the country and city)	No 1 Mann Island, Liverpool, L3 1BP
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund;	Body governed by public or private law

international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,219,857.00
14.1. out of which ESI Funds contributions (in EUR)	11,070,519.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,944,023.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,789,036.00
15.1.1. out of which ERDF (in EUR)	4,789,036.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	154,987.00
15.2.1. out of which total amount of national public funding (in EUR)	154,987.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	142,275.00
17.1. out of which base remuneration (in EUR)	125,669.00
17.2. out of which performance-based remuneration (in EUR)	16,606.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at	Yes

the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,149,338.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	154,987.00
38.2.1. out of which public contributions (EUR)	154,987.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Liverpool City Region UDF PA4
6. Official address/place of business of the financial instrument (name of the country and city)	
8. Type of the financial instrument	Fund of funds specific fund

8.2. Related Fund of Funds	Liverpool City Region Urban Development Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	LCR UDF Limited Partnership
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	No 1 Mann Island, Liverpool, L3 1BP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	27-Mar-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial	

instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	19,129,857.00
14.1. out of which ESI Funds contributions (in EUR)	11,070,519.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,944,023.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,789,036.00
15.1.1. out of which ERDF (in EUR)	4,789,036.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	154,987.00
15.2.1. out of which total amount of national public funding (in EUR)	154,987.00
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	142,275.00
17.1. out of which base remuneration (in EUR)	125,669.00
17.2. out of which performance-based remuneration (in EUR)	16,606.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Liverpool City Region UDF PA4 Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,912,985.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,107,052.00
25. Total amount of programme contributions paid to final recipients through	

this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	805,933.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	805,933.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	

VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	11,070.00
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	8,059,338.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	154,987.00
38.2.1. out of which public contributions (EUR)	154,987.00
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	15,792.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	56,681,062.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	31-Oct-2017
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	London Mayoral Energy Efficiency Fund - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	3 More London Riverside London SE1 2AQ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of	

Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Amber Infrastructure Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	3 More London Riverside London SE1 2AQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Award of a public contract
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Jun-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	113,362,125.00
14.1. out of which ESI Funds contributions (in EUR)	56,681,062.00
14.1.1. out of which ERDF (in EUR) (optional)	56,681,062.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	95,770,890.00
15.1. out of which amount of ESI Funds contributions (in EUR)	47,603,236.00
15.1.1. out of which ERDF (in EUR)	47,603,236.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	48,167,654.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	48,167,654.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	720,140.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	56,681,063.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	48,167,654.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	48,167,654.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of

Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	London Mayoral Energy Efficiency Fund PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Amber Infrastructure Limited
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	London Mayoral Energy Efficiency Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Existing or newly created legal entity
11.1.1. Name of the body implementing the financial instrument	Amber Infrastructure Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	3 More London Riverside London SE1 2AQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others

12.1. Description of the other procedure of selecting the body implementing the financial instrument	Award of a public contract
13. Date of signature of the funding agreement with the body implementing the financial instrument	29-Jun-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	113,362,125.00
14.1. out of which ESI Funds contributions (in EUR)	56,681,062.00
14.1.1. out of which ERDF (in EUR) (optional)	56,681,062.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	95,770,890.00
15.1. out of which amount of ESI Funds contributions (in EUR)	47,603,236.00
15.1.1. out of which ERDF (in EUR)	47,603,236.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	48,167,654.00
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	48,167,654.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,244,902.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	London Mayoral Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan,	95,206,472.00

guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	47,603,236.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	95,206,472.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	47,603,236.00
25.1.1. out of which ERDF (in EUR)	47,603,236.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	47,603,236.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	5
29.1. out of which large enterprises	5
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	47,603,236.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	47,603,236.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.96
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of	

bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	95,206,472.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	48,167,654.00
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	48,167,654.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	

41. Output indicator (code number and name) to which the financial instrument contributes	CO30 - Renewables: Additional capacity of renewable energy production
41.1. Target value of the output indicator	17.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	28.20
41. Output indicator (code number and name) to which the financial instrument contributes	CO31 - Energy efficiency: Number of households with improved energy consumption classification
41.1. Target value of the output indicator	922.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2,175.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO32 - Energy efficiency: Decrease of annual primary energy consumption of public buildings
41.1. Target value of the output indicator	13,754,871.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	31,158,944.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	43,753.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12,639.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	12,493,916.00
4. other ESI Fund programmes providing contribution to the financial instrument	

4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	26-Mar-2019
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Low Carbon Innovation Fund 2
6. Official address/place of business of the financial instrument (name of the country and city)	Norfolk County Council, County Hall, Martineau Lane, Norwich, Norfolk, NR1 2DH
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	No
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks to another body governed by public or private law
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Norfolk County Council
11.1.2. Official address/place of business (country and town name) of	County Hall, Martineau Lane,

the body implementing the financial instrument	Norwich, Norfolk, NR1 2DH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Interadministrative cooperation
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	24,987,832.00
14.1. out of which ESI Funds contributions (in EUR)	12,493,916.00
14.1.1. out of which ERDF (in EUR) (optional)	12,493,916.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	0.00
14.1.4. out of which EAFRD (in EUR) (optional)	0.00
14.1.5. out of which EMFF (in EUR) (optional)	0.00
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,307,156.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,944,180.00
15.1.1. out of which ERDF (in EUR)	2,944,180.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,362,976.00
15.2.1. out of which total amount of national public funding (in EUR)	207,407.00
15.2.2. out of which total amount of national private funding (in EUR)	3,155,569.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	207,407.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No	

1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	12,493,916.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,362,976.00
38.2.1. out of which public contributions (EUR)	207,407.00
38.2.2. out of which private contributions (EUR)	3,155,569.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Low Carbon Innovation Loan Fund 2
6. Official address/place of business of the financial instrument (name of the country and city)	County Hall, Martineau Lane, Norwich, Norfolk, NR1 2DH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Low Carbon Innovation Fund 2
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial	

instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Turquoise International Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	2 Lambeth Hill London EC4V 4GG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2019
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	24,987,832.00
14.1. out of which ESI Funds contributions (in EUR)	12,493,916.00

14.1.1. out of which ERDF (in EUR) (optional)	12,493,916.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	0.00
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,120,587.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,456,590.00
15.1.1. out of which ERDF (in EUR)	1,456,590.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,663,997.00
15.2.1. out of which total amount of national public funding (in EUR)	207,407.00
15.2.2. out of which total amount of national private funding (in EUR)	1,456,590.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	207,407.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,119,678.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	559,839.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,119,678.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	559,839.00
25.1.1. out of which ERDF (in EUR)	559,839.00
25.1.2. out of which Cohesion Fund (in EUR)	

25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	559,839.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	4
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	4
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	559,839.00
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	559,839.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.82
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	2,913,181.00
22. Name of financial product offered by the financial instrument	Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,000,909.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	896,751.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,000,909.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	896,751.00
25.1.1. out of which ERDF (in EUR)	896,751.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	

25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	207,407.00
25.3. out of which total amount of national private co-financing (in EUR)	896,751.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,104,158.00
38.3.1. out of which public contributions (EUR)	207,407.00
38.3.2. out of which private contributions (EUR)	896,751.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.01
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	896,751.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the	

financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	12,493,916.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,663,997.00
38.2.1. out of which public contributions (EUR)	207,407.00
38.2.2. out of which private contributions (EUR)	1,456,590.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	20.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO26 - Research, Innovation: Number of enterprises cooperating with research institutions

41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	48.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO34 - GHG reduction: Estimated annual decrease of GHG
41.1. Target value of the output indicator	10,000.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	1,992,462.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	11-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Investment Fund - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Foundry House, 3 Millsands, Sheffield, S3 8NH

7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Foundry House, 3 Millsands, Sheffield, S3 8NH
12. Procedure of selecting the body implementing	Others

the financial instrument: award of a public contract; other procedure	
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	6,751,819.00
14.1. out of which ESI Funds contributions (in EUR)	1,992,462.00
14.1.1. out of which ERDF (in EUR) (optional)	1,992,462.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,593,133.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,489,684.00
15.1.1. out of which ERDF (in EUR)	1,489,684.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,103,449.00
15.2.1. out of which total amount of national public funding (in EUR)	729,897.00
15.2.2. out of which total amount of national private funding (in EUR)	1,373,552.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	300,179.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final	

report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,759,357.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,103,449.00
38.2.1. out of which public contributions (EUR)	729,897.00

38.2.2. out of which private contributions (EUR)	1,373,552.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Debt Fund - West Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial	Body governed by public or private law

activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	940,994.00
14.1. out of which ESI Funds contributions (in EUR)	277,686.00
14.1.1. out of which ERDF (in EUR) (optional)	277,686.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	575,667.00
15.1. out of which amount of ESI Funds contributions (in EUR)	169,879.00
15.1.1. out of which ERDF (in EUR)	169,879.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	405,788.00
15.2.1. out of which total amount of national public funding (in EUR)	140,808.00
15.2.2. out of which total amount of national private funding (in EUR)	264,980.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	18,792.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - West Midlands - PA1
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	575,667.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	169,879.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	575,667.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	169,879.00
25.1.1. out of which ERDF (in EUR)	169,879.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	140,808.00
25.3. out of which total amount of national private co-financing (in EUR)	264,980.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	

39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	3.28
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	0.92
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	663,308.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument	

(only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	405,788.00
38.2.1. out of which public contributions (EUR)	140,808.00
38.2.2. out of which private contributions (EUR)	264,980.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)

41.1. Target value of the output indicator	746,105.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Proof of Concept - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Forward House, 17 High Street, Henley in Arden, B95 5AA
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Forward House, 17 High Street, Henley in Arden, B95 5AA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,767,630.00
14.1. out of which ESI Funds contributions (in EUR)	816,727.00
14.1.1. out of which ERDF (in EUR) (optional)	816,727.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,300,359.00
15.1. out of which amount of ESI Funds contributions (in EUR)	678,836.00
15.1.1. out of which ERDF (in EUR)	678,836.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,621,523.00
15.2.1. out of which total amount of national public funding (in EUR)	562,668.00
15.2.2. out of which total amount of national private funding (in EUR)	1,058,855.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	164,138.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	

18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Proof of Concept
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,300,359.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	678,836.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,300,359.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	678,836.00
25.1.1. out of which ERDF (in EUR)	678,836.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	562,668.00
25.3. out of which total amount of national private co-financing (in EUR)	1,058,855.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,621,523.00
38.3.1. out of which public contributions (EUR)	562,668.00
38.3.2. out of which private contributions (EUR)	1,058,855.00

38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.29
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.00
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	553,525.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds	1,950,903.00

committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,621,523.00
38.2.1. out of which public contributions (EUR)	562,668.00
38.2.2. out of which private contributions (EUR)	1,058,855.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	341,965.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8,299,896.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - East Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Sherwood Rise, Nottingham, NG7 6JF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial	Fiduciary account

institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	ELEM
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Sherwood Rise, Nottingham, NG7 6JF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	1.00
14.1.1. out of which ERDF (in EUR) (optional)	1.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme	0.00

contributions (in EUR)	
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Small Business Loans - East Midlands
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	

34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the	

bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	186,526.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target	0.00

value of the output indicator	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - West Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Wolverhampton Science Park, Technology Centre, Glaisher Drive, Wolverhampton, WV10 9RU
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body	Body governed by public or private law

governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	BCRS
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Wolverhampton Science Park, Technology Centre, Glaisher Drive, Wolverhampton, WV10 9RU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	1.00
14.1.1. out of which ERDF (in EUR) (optional)	1.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No	

1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Small Business Loans - West Midlands</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	

38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	

38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	248,702.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Debt Fund - East Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS

12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	1.00
14.1.1. out of which ERDF (in EUR) (optional)	1.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	

22. Name of financial product offered by the financial instrument	Debt Fund - East Midlands - PA1
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	

39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the	

instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	590,667.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of

Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Equity Fund - East Midlands - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	14 Park Row, Nottingham, NG1 6GR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Foresight
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	14 Park Row, Nottingham, NG1 6GR
12. Procedure of selecting the body implementing the financial instrument:	Selection in accordance with

award of a public contract; other procedure	the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	1.00
14.1.1. out of which ERDF (in EUR) (optional)	1.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - East

	Midlands - PA1
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds)	

(Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial	CO01 - Productive

<i>instrument contributes</i>	<i>investment: Number of enterprises receiving support</i>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO05 - Productive investment: Number of new enterprises supported</i>
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO04 - Productive investment: Number of enterprises receiving non-financial support</i>
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO08 - Productive investment: Employment increase in supported enterprises</i>
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</i>
41.1. Target value of the output indicator	497,403.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</i>
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Equity Fund - West Midlands - PA1

6. Official address/place of business of the financial instrument (name of the country and city)	41 Waterloo Street, Birmingham, B2 5PP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Midven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	41 Waterloo Street, Birmingham, B2 5PP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	

13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,214,104.00
14.1. out of which ESI Funds contributions (in EUR)	653,381.00
14.1.1. out of which ERDF (in EUR) (optional)	653,381.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,784,571.00
15.1. out of which amount of ESI Funds contributions (in EUR)	526,627.00
15.1.1. out of which ERDF (in EUR)	526,627.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,257,944.00
15.2.1. out of which total amount of national public funding (in EUR)	436,506.00
15.2.2. out of which total amount of national private funding (in EUR)	821,438.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	117,249.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - WM - PA1
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,784,571.00

24.1. out of which total amount of ESI Funds contributions (in EUR)	526,627.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,784,571.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	526,627.00
25.1.1. out of which ERDF (in EUR)	526,627.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	436,506.00
25.3. out of which total amount of national private co-financing (in EUR)	821,438.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	8
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	8
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,257,944.00
38.3.1. out of which public contributions (EUR)	436,506.00
38.3.2. out of which private contributions (EUR)	821,438.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.01
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	276,763.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	

VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,560,772.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,257,944.00
38.2.1. out of which public contributions (EUR)	436,506.00
38.2.2. out of which private contributions (EUR)	821,438.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target	4.00

value of the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	497,403.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2,425,667.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine – Debt Fund – East Midlands (FSE) – PA1
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA1

8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FSE Fund Managers Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Lewis Building , Bull Street, Birmingham, B4 6EQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Jan-2020
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	

14. Total amount of programme contributions committed in the funding agreement (in EUR)	829,091.00
14.1. out of which ESI Funds contributions (in EUR)	244,664.00
14.1.1. out of which ERDF (in EUR) (optional)	244,664.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	387,468.00
15.1. out of which amount of ESI Funds contributions (in EUR)	114,342.00
15.1.1. out of which ERDF (in EUR)	114,342.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	273,126.00
15.2.1. out of which total amount of national public funding (in EUR)	94,774.00
15.2.2. out of which total amount of national private funding (in EUR)	178,352.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund East Midlands - FSE
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	387,468.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	114,342.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in	387,468.00

EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	114,342.00
25.1.1. out of which ERDF (in EUR)	114,342.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	94,774.00
25.3. out of which total amount of national private co-financing (in EUR)	178,352.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	273,126.00
38.3.1. out of which public contributions (EUR)	94,774.00
38.3.2. out of which private contributions (EUR)	178,352.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.13
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	

VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	584,427.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	273,126.00
38.2.1. out of which public contributions (EUR)	94,774.00
38.2.2. out of which private contributions (EUR)	178,352.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target	0.00

value of the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market

	<i>products</i>
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	80,528,661.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	11-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Investment Fund - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Foundry House, 3 Millsands, Sheffield, S3 8NH
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products	

under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Midlands Engine Investments Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Foundry House, 3 Millsands, Sheffield, S3 8NH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions	272,886,009.00

committed in the funding agreement (in EUR)	
14.1. out of which ESI Funds contributions (in EUR)	80,528,661.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	145,325,787.00
15.1. out of which amount of ESI Funds contributions (in EUR)	42,885,640.00
15.1.1. out of which ERDF (in EUR)	42,885,640.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	102,440,147.00
15.2.1. out of which total amount of national public funding (in EUR)	35,546,687.00
15.2.2. out of which total amount of national private funding (in EUR)	66,893,460.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	10,922,623.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments	

from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	192,357,348.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	102,440,147.00
38.2.1. out of which public contributions (EUR)	35,546,687.00
38.2.2. out of which private contributions (EUR)	66,893,460.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Debt Fund - East Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment

	Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of	

Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	41,424,200.00
14.1. out of which ESI Funds contributions (in EUR)	12,224,281.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	12,515,222.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,693,242.00
15.1.1. out of which ERDF (in EUR)	3,693,242.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	8,821,980.00
15.2.1. out of which total amount of national public funding (in EUR)	3,061,223.00
15.2.2. out of which total amount of national private funding (in EUR)	5,760,757.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,642,498.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - East Midlands - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	12,515,222.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,693,242.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of	12,515,222.00

guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,693,242.00
25.1.1. out of which ERDF (in EUR)	3,693,242.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	3,061,223.00
25.3. out of which total amount of national private co-financing (in EUR)	5,760,757.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	48
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	48
29. Number of financial recipients supported by the financial product	27
29.1. out of which large enterprises	
29.2. out of which SMEs	27
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	8,821,980.00
38.3.1. out of which public contributions (EUR)	3,061,223.00
38.3.2. out of which private contributions (EUR)	5,760,757.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.54
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the	

reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	29,199,919.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	8,821,980.00
38.2.1. out of which public contributions (EUR)	3,061,223.00
38.2.2. out of which private contributions (EUR)	5,760,757.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	142.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	21.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	46,470,797.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4,418,780.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	109.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	711.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	91.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	21.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	402.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	48.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than

	grants
41.1. Target value of the output indicator	260.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	27.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Debt Fund - West Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial	Body governed by public or private law

activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate, East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	51,790,504.00
14.1. out of which ESI Funds contributions (in EUR)	15,283,378.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	24,336,322.00
15.1. out of which amount of ESI Funds contributions (in EUR)	7,181,649.00
15.1.1. out of which ERDF (in EUR)	7,181,649.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	17,154,673.00
15.2.1. out of which total amount of national public funding (in EUR)	5,952,664.00
15.2.2. out of which total amount of national private funding (in EUR)	11,202,009.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,730,844.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - West Midlands - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	24,336,322.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	7,181,649.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	24,336,322.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	7,181,649.00
25.1.1. out of which ERDF (in EUR)	7,181,649.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	5,952,664.00
25.3. out of which total amount of national private co-financing (in EUR)	11,202,009.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	41
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	41
29. Number of financial recipients supported by the financial product	32
29.1. out of which large enterprises	
29.2. out of which SMEs	32
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	17,154,673.00
38.3.1. out of which public contributions (EUR)	5,952,664.00
38.3.2. out of which private contributions (EUR)	11,202,009.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	

39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.74
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	36,507,126.00
38.1A. Contributions under EIB financial product committed in the	

funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	17,154,673.00
38.2.1. out of which public contributions (EUR)	5,952,664.00
38.2.2. out of which private contributions (EUR)	11,202,009.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	898.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	87.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	180.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	329.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	32.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	508.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	41.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public

	support to enterprises (non-grants)
41.1. Target value of the output indicator	58,699,954.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	19,900,190.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	138.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	26.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Equity Fund - East Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	14 Park Row, Nottingham, NG1 6GR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial	Fiduciary account

instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Foresight
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	14 Park Row, Nottingham, NG1 6GR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	38,091,808.00
14.1. out of which ESI Funds contributions (in EUR)	11,240,892.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	12,781,136.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,771,713.00
15.1.1. out of which ERDF (in EUR)	3,771,713.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	9,009,423.00
15.2.1. out of which total amount of national public funding (in EUR)	3,126,266.00
15.2.2. out of which total amount of national private funding (in EUR)	5,883,157.00

16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,469,724.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Equity Fund - East Midlands - PA3</i>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	12,781,136.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,771,713.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	12,781,136.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,771,713.00
25.1.1. out of which ERDF (in EUR)	3,771,713.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	3,126,266.00
25.3. out of which total amount of national private co-financing (in EUR)	5,883,157.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	51
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	51
29. Number of financial recipients supported by the financial product	14
29.1. out of which large enterprises	
29.2. out of which SMEs	14
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	

29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	9,009,423.00
38.3.1. out of which public contributions (EUR)	3,126,266.00
38.3.2. out of which private contributions (EUR)	5,883,157.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.74
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	10,040,961.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the	

bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	26,850,916.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	9,009,423.00
38.2.1. out of which public contributions (EUR)	3,126,266.00
38.2.2. out of which private contributions (EUR)	5,883,157.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	39,176,503.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3,292,991.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	219.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products

41.1. Target value of the output indicator	17.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	598.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	111.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	92.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	37.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	339.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	51.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Equity Fund - West Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	41 Waterloo Street, Birmingham, B2 5PP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No

9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Midven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	41 Waterloo Street, Birmingham, B2 5PP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	38,091,808.00
14.1. out of which ESI Funds contributions (in EUR)	11,240,892.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	

15. Total amount of programme contributions paid to the financial instrument (in EUR)	11,384,317.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,359,512.00
15.1.1. out of which ERDF (in EUR)	3,359,512.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	8,024,805.00
15.2.1. out of which total amount of national public funding (in EUR)	2,784,604.00
15.2.2. out of which total amount of national private funding (in EUR)	5,240,201.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,247,232.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - West Midlands - PA3
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	11,384,317.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,359,512.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	11,384,317.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,359,512.00
25.1.1. out of which ERDF (in EUR)	3,359,512.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,784,604.00

25.3. out of which total amount of national private co-financing (in EUR)	5,240,201.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	63
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	63
29. Number of financial recipients supported by the financial product	18
29.1. out of which large enterprises	
29.2. out of which SMEs	18
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	8,024,805.00
38.3.1. out of which public contributions (EUR)	2,784,604.00
38.3.2. out of which private contributions (EUR)	5,240,201.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.75
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	7,313,105.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance	

with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	26,850,916.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	8,024,805.00
38.2.1. out of which public contributions (EUR)	2,784,604.00
38.2.2. out of which private contributions (EUR)	5,240,201.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	339.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	63.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	39,176,503.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8,245,856.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	92.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	17.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	45.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	219.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	18.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	598.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	129.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Proof of Concept - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Forward House, 17 High Street, Henly in Arden, B95 5AA
8. Type of the financial instrument	Fund of funds specific fund

8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Forward House, 17 High Street, Henly in Arden, B95 5AA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017

IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	29,119,982.00
14.1. out of which ESI Funds contributions (in EUR)	8,593,307.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	10,240,277.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,021,906.00
15.1.1. out of which ERDF (in EUR)	3,021,906.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	7,218,371.00
15.2.1. out of which total amount of national public funding (in EUR)	2,504,772.00
15.2.2. out of which total amount of national private funding (in EUR)	4,713,599.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	858,443.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Proof of Concept
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	10,240,277.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,021,906.00
25. Total amount of programme contributions paid to final recipients	10,240,277.00

through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,021,906.00
25.1.1. out of which ERDF (in EUR)	3,021,906.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,504,772.00
25.3. out of which total amount of national private co-financing (in EUR)	4,713,599.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	59
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	59
29. Number of financial recipients supported by the financial product	19
29.1. out of which large enterprises	
29.2. out of which SMEs	19
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	7,218,371.00
38.3.1. out of which public contributions (EUR)	2,504,772.00
38.3.2. out of which private contributions (EUR)	4,713,599.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.81
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	3,945,256.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles	

43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	20,526,675.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	7,218,371.00
38.2.1. out of which public contributions (EUR)	2,504,772.00
38.2.2. out of which private contributions (EUR)	4,713,599.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	15.00

41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	15.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	233.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	59.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	63.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	26,904,146.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	19,862,031.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	40.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	151.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	19.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	411.00
41.2. Value achieved by the financial instrument in relation to the target	116.00

value of the output indicator	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - East Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Sherwood Rise, Nottingham, NG7 6JF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law

11.1.1. Name of the body implementing the financial instrument	ELEM
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Sherwood Rise, Nottingham, NG7 6JF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	13,871,969.00
14.1. out of which ESI Funds contributions (in EUR)	4,093,618.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,530,665.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,927,199.00
15.1.1. out of which ERDF (in EUR)	1,927,199.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	4,603,466.00
15.2.1. out of which total amount of national public funding (in EUR)	1,597,401.00
15.2.2. out of which total amount of national private funding (in EUR)	3,006,065.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	641,021.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Small Business loans - East Midlands - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	6,530,665.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,927,199.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	6,530,665.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,927,199.00
25.1.1. out of which ERDF (in EUR)	1,927,199.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,597,401.00
25.3. out of which total amount of national private co-financing (in EUR)	3,006,065.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	76
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	76
29. Number of financial recipients supported by the financial product	68
29.1. out of which large enterprises	
29.2. out of which SMEs	68
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	4,603,466.00
38.3.1. out of which public contributions (EUR)	1,597,401.00
38.3.2. out of which private contributions (EUR)	3,006,065.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding	6.55

agreement, by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.78
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,783,351.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to	4,603,466.00

the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	1,597,401.00
38.2.2. out of which private contributions (EUR)	3,006,065.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	14,674,989.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1,169,990.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	224.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	116.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	127.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	76.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	45.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	8.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	6.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	68.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	34.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - West Midlands - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Wolverhampton Science Park, Technology Centre, Glaisher Dr, Wolverhampton WV10 9RU
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial	Fiduciary account

instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BCRS
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Wolverhampton Science Park, Technology Centre, Glaisher Dr, Wolverhampton WV10 9RU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	17,430,975.00
14.1. out of which ESI Funds contributions (in EUR)	5,143,881.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,629,694.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,841,723.00
15.1.1. out of which ERDF (in EUR)	2,841,723.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	6,787,971.00

15.2.1. out of which total amount of national public funding (in EUR)	2,355,423.00
15.2.2. out of which total amount of national private funding (in EUR)	4,432,548.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	452,354.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	<i>Small Business Loans - West Midlands - PA3</i>
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	9,629,694.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,841,723.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	9,629,694.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,841,723.00
25.1.1. out of which ERDF (in EUR)	2,841,723.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,355,423.00
25.3. out of which total amount of national private co-financing (in EUR)	4,432,548.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	142
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	142
29. Number of financial recipients supported by the financial product	141
29.1. out of which large enterprises	
29.2. out of which SMEs	141

29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	6,787,971.00
38.3.1. out of which public contributions (EUR)	2,355,423.00
38.3.2. out of which private contributions (EUR)	4,432,548.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.90
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors	

operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	12,287,094.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	6,787,971.00
38.2.1. out of which public contributions (EUR)	2,355,423.00
38.2.2. out of which private contributions (EUR)	4,432,548.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	110.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	141.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	60.00
41.2. Value achieved by the financial instrument in relation to the target	1.00

value of the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	46.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	20.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	142.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	299.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	88.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	19,566,651.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2,020,374.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine – Debt Fund – East Midlands (FSE) – PA3
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FSE Fund Managers Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Lewis Building , Bull Street, Birmingham, B4 6EQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Jan-2020
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	43,064,763.00

14.1. out of which ESI Funds contributions (in EUR)	12,708,411.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,555,408.00
15.1. out of which amount of ESI Funds contributions (in EUR)	459,001.00
15.1.1. out of which ERDF (in EUR)	459,001.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,096,407.00
15.2.1. out of which total amount of national public funding (in EUR)	380,453.00
15.2.2. out of which total amount of national private funding (in EUR)	715,954.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	880,507.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund East Midlands - FSE PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,555,408.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	459,001.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,555,408.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	459,001.00

25.1.1. out of which ERDF (in EUR)	459,001.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	380,453.00
25.3. out of which total amount of national private co-financing (in EUR)	715,954.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	6
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	6
29. Number of financial recipients supported by the financial product	6
29.1. out of which large enterprises	
29.2. out of which SMEs	6
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,096,407.00
38.3.1. out of which public contributions (EUR)	380,453.00
38.3.2. out of which private contributions (EUR)	715,954.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.06
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles	

43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	30,356,352.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	1,096,407.00
38.2.1. out of which public contributions (EUR)	380,453.00
38.2.2. out of which private contributions (EUR)	715,954.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of

	enterprises receiving financial support other than grants
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	166,058.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	166,058.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target	0.00

value of the output indicator	

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	4,427,692.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	11-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine Investment Fund - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Foundry House, 3 Millsands, Sheffield, S3 8NH
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds

10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	The Midlands Engine Investment Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Foundry House, 3 Millsands, Sheffield, Sheffield, S3 8NH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	15,004,041.00
14.1. out of which ESI Funds contributions (in EUR)	4,427,692.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	

14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	7,949,401.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,828,166.00
15.1.1. out of which ERDF (in EUR)	3,828,166.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	4,121,235.00
15.2.1. out of which total amount of national public funding (in EUR)	1,442,432.00
15.2.2. out of which total amount of national private funding (in EUR)	2,678,803.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	748,644.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market	

economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	10,576,349.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	4,121,235.00
38.2.1. out of which public contributions (EUR)	1,442,432.00
38.2.2. out of which private contributions (EUR)	2,678,803.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Debt Fund - East Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial	

instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,107,052.00
14.1. out of which ESI Funds contributions (in EUR)	326,691.00
14.1.1. out of which ERDF (in EUR) (optional)	

14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	885,642.00
15.1. out of which amount of ESI Funds contributions (in EUR)	261,353.00
15.1.1. out of which ERDF (in EUR)	261,353.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	624,289.00
15.2.1. out of which total amount of national public funding (in EUR)	216,628.00
15.2.2. out of which total amount of national private funding (in EUR)	407,661.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	39,879.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - East Midlands - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	885,642.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	261,353.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	885,642.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	261,353.00
25.1.1. out of which ERDF (in EUR)	261,353.00
25.1.2. out of which Cohesion Fund (in EUR)	

25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	216,628.00
25.3. out of which total amount of national private co-financing (in EUR)	407,661.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	2
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	624,289.00
38.3.1. out of which public contributions (EUR)	216,628.00
38.3.2. out of which private contributions (EUR)	407,661.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.07
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	

35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	780,361.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	624,289.00
38.2.1. out of which public contributions (EUR)	216,628.00
38.2.2. out of which private contributions (EUR)	407,661.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving

	support
41.1. Target value of the output indicator	22.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Debt Fund - West Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	

11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	City Gate East Toll House Hill, Nottingham, NG1 5FS
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	7,749,363.00
14.1. out of which ESI Funds contributions (in EUR)	2,286,837.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	7,334,219.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,164,328.00
15.1.1. out of which ERDF (in EUR)	2,164,328.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,169,891.00
15.2.1. out of which total amount of national public funding (in EUR)	1,793,950.00
15.2.2. out of which total amount of national private funding (in EUR)	3,375,941.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	

19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - West Midlands - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	7,334,219.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,164,328.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	7,334,219.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,164,328.00
25.1.1. out of which ERDF (in EUR)	2,164,328.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,793,950.00
25.3. out of which total amount of national private co-financing (in EUR)	3,375,941.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	7
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	7
29. Number of financial recipients supported by the financial product	7
29.1. out of which large enterprises	
29.2. out of which SMEs	7
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised	5,169,891.00

at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	1,793,950.00
38.3.2. out of which private contributions (EUR)	3,375,941.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.20
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument	

(EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	5,462,526.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,169,891.00
38.2.1. out of which public contributions (EUR)	1,793,950.00
38.2.2. out of which private contributions (EUR)	3,375,941.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	28.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	8.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Equity - East Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	14 Park Row Nottingham NG1 6GR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Foresight
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	14 Park Row Nottingham NG1 6GR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	4,556,894.00

14.1. out of which ESI Funds contributions (in EUR)	1,344,739.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,309,753.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,271,808.00
15.1.1. out of which ERDF (in EUR)	1,271,808.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,037,945.00
15.2.1. out of which total amount of national public funding (in EUR)	1,054,166.00
15.2.2. out of which total amount of national private funding (in EUR)	1,983,779.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	424,860.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - East Midlands - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	4,309,753.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,271,808.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,309,753.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,271,808.00

25.1.1. out of which ERDF (in EUR)	1,271,808.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,054,166.00
25.3. out of which total amount of national private co-financing (in EUR)	1,983,779.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	3,037,945.00
38.3.1. out of which public contributions (EUR)	1,054,166.00
38.3.2. out of which private contributions (EUR)	1,983,779.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	4.85
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.93
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	3,396,765.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the	

financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	3,212,155.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,037,945.00
38.2.1. out of which public contributions (EUR)	1,054,166.00
38.2.2. out of which private contributions (EUR)	1,983,779.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	19.00
41.2. Value achieved by the financial instrument in relation to the target	3.00

value of the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Equity Fund - West Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	41 Waterloo St, Birmingham B2 5PP
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article	Body governed by public or

39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	private law
11.1.1. Name of the body implementing the financial instrument	Midven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	41 Waterloo St, Birmingham B2 5PP
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1.00
14.1. out of which ESI Funds contributions (in EUR)	1.00
14.1.1. out of which ERDF (in EUR) (optional)	1.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to	

Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - West Midlands - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	

39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00

38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	19.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Proof of Concept - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Forward House, 17 High Street, Henley in Arden B95 5AA
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Forward House, 17 High Street, Henley in Arden B95 5AA
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	553,526.00
14.1. out of which ESI Funds contributions (in EUR)	163,346.00
14.1.1. out of which ERDF (in EUR) (optional)	

14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	276,763.00
15.1. out of which amount of ESI Funds contributions (in EUR)	81,673.00
15.1.1. out of which ERDF (in EUR)	81,673.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	195,090.00
15.2.1. out of which total amount of national public funding (in EUR)	67,696.00
15.2.2. out of which total amount of national private funding (in EUR)	127,394.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	34,615.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Proof of Concept
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	276,763.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	81,673.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	276,763.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	81,673.00
25.1.1. out of which ERDF (in EUR)	81,673.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	

25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	67,696.00
25.3. out of which total amount of national private co-financing (in EUR)	127,394.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	2
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	195,090.00
38.3.1. out of which public contributions (EUR)	67,696.00
38.3.2. out of which private contributions (EUR)	127,394.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.87
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	166,058.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	

36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	390,180.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	195,090.00
38.2.1. out of which public contributions (EUR)	67,696.00
38.2.2. out of which private contributions (EUR)	127,394.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - East Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Sherwood Rise, Nottingham, NG7 6JF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial	Body governed by public or private law

institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	ELEM
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Sherwood Rise, Nottingham, NG7 6JF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	221,410.00
14.1. out of which ESI Funds contributions (in EUR)	65,338.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	166,058.00
15.1. out of which amount of ESI Funds contributions (in EUR)	49,004.00
15.1.1. out of which ERDF (in EUR)	49,004.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	117,054.00
15.2.1. out of which total amount of national public funding (in EUR)	40,618.00
15.2.2. out of which total amount of national private funding (in EUR)	76,436.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	20,798.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final	

recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Small Business Loans - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	166,058.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	49,004.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	166,058.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	49,004.00
25.1.1. out of which ERDF (in EUR)	49,004.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	40,618.00
25.3. out of which total amount of national private co-financing (in EUR)	76,436.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	117,054.00
38.3.1. out of which public contributions (EUR)	40,618.00
38.3.2. out of which private contributions (EUR)	76,436.00
38.3A. Contribution under EIB financial product mobilised at the level of	

final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	6.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	156,072.00

38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	117,054.00
38.2.1. out of which public contributions (EUR)	40,618.00
38.2.2. out of which private contributions (EUR)	76,436.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine - Small Business Loans - West Midlands - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Wolverhampton Science Park, Technology Centre, Glaisher Dr, Wolverhampton WV10 9RU
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or	

quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BCRS
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Wolverhampton Science Park, Technology Centre, Glaisher Dr, Wolverhampton WV10 9RU
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Aug-2017
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding	1.00

agreement (in EUR)	
14.1. out of which ESI Funds contributions (in EUR)	1.00
14.1.1. out of which ERDF (in EUR) (optional)	1.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Small Loans - West Midlands - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	

25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	

32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products

41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	Midlands Engine – Debt Fund – East Midlands (FSE) – PA4
6. Official address/place of business of the financial instrument (name of the country and city)	City Gate, East Toll House Hill, Nottingham, NG1 5FS
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	Midlands Engine Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial	Fiduciary account

institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FSE Fund Managers Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Lewis Building , Bull Street, Birmingham, B4 6EQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	22-Jan-2020
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	553,526.00
14.1. out of which ESI Funds contributions (in EUR)	163,346.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme	0.00

contributions (in EUR)	
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund East Midlands - FSE PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	

34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the	

bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	390,180.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	0.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	3 - Enhancing the Competitiveness of SMEs

2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	59,224,500.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	14-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The North East Fund PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Fifth Floor, West One, Forth Banks, Newcastle Upon Tyne, NE1 3PA,
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing	

fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	The North East Fund
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Fifth Floor, West One, Forth Banks, Newcastle Upon Tyne, NE1 3PA,
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and local authorities in the North East Local Enterprise Partnership area, in accordance with Article 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	15-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	141,733,846.00
14.1. out of which ESI Funds contributions (in EUR)	59,224,500.00
14.1.1. out of which ERDF (in EUR) (optional)	59,224,500.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	71,017,369.00
15.1. out of which amount of ESI Funds contributions (in EUR)	30,026,144.00
15.1.1. out of which ERDF (in EUR)	30,026,144.00
15.1.2. out of which Cohesion Fund (in EUR)	

15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	40,991,225.00
15.2.1. out of which total amount of national public funding (in EUR)	15,353,955.00
15.2.2. out of which total amount of national private funding (in EUR)	25,637,270.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	8,543,311.00
17.1. out of which base remuneration (in EUR)	8,435,095.00
17.2. out of which performance-based remuneration (in EUR)	108,216.00
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	164,773.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the	

financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	82,509,346.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	40,991,225.00
38.2.1. out of which public contributions (EUR)	15,353,955.00
38.2.2. out of which private contributions (EUR)	25,637,270.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Development Fund
6. Official address/place of business of the financial instrument (name of the country and city)	Mavern Capital Partners, 5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No

9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven Capital Partners
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	13-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	34,156,154.00
14.1. out of which ESI Funds contributions (in EUR)	14,272,393.00
14.1.1. out of which ERDF (in EUR) (optional)	14,272,393.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,426,518.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,938,938.00
15.1.1. out of which ERDF (in EUR)	3,938,938.00

15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,487,580.00
15.2.1. out of which total amount of national public funding (in EUR)	1,597,630.00
15.2.2. out of which total amount of national private funding (in EUR)	3,889,950.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,646,618.00
17.1. out of which base remuneration (in EUR)	1,646,618.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Development Quasi Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial	

product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Development Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	20,928,923.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	8,745,300.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,448,002.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,858,630.00
25.1.1. out of which ERDF (in EUR)	1,858,630.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	797,164.00
25.3. out of which total amount of national private co-financing (in EUR)	1,792,208.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	16
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	16
29. Number of financial recipients supported by the financial product	11

29.1. out of which large enterprises	
29.2. out of which SMEs	11
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,589,372.00
38.3.1. out of which public contributions (EUR)	797,164.00
38.3.2. out of which private contributions (EUR)	1,792,208.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.85
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	19,995,217.00
22. Name of financial product offered by the financial instrument	<i>Development Equity Fund</i>
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	8,969,538.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,747,986.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,413,069.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	590,461.00
25.1.1. out of which ERDF (in EUR)	590,461.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	229,801.00
25.3. out of which total amount of national private co-financing (in EUR)	592,807.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	4
28. Number of loan/guarantee/equity or quasi-equity/other financial	4

product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	822,608.00
38.3.1. out of which public contributions (EUR)	229,801.00
38.3.2. out of which private contributions (EUR)	592,807.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.85
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	6,971,889.00
40. Value of investments and participations in equity (in EUR)	2,126,253.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or	

who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	19,883,761.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,487,580.00
38.2.1. out of which public contributions (EUR)	1,597,630.00
38.2.2. out of which private contributions (EUR)	3,889,950.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	40.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12.00
41. Output indicator (code number and name) to which the financial instrument contributes	P13 - Number of enterprises receiving information, diagnostic and brokerage
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	83.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	65.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	52.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive

<i>instrument contributes</i>	<i>investment: Employment increase in supported enterprises</i>
41.1. Target value of the output indicator	650.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO04 - Productive investment: Number of enterprises receiving non-financial support</i>
41.1. Target value of the output indicator	50.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	40.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Growth Fund
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	24,284,615.00
14.1. out of which ESI Funds contributions (in EUR)	10,147,500.00
14.1.1. out of which ERDF (in EUR) (optional)	10,147,500.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,426,518.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,938,938.00
15.1.1. out of which ERDF (in EUR)	3,938,938.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,487,580.00
15.2.1. out of which total amount of national public funding (in EUR)	1,563,340.00
15.2.2. out of which total amount of national private funding (in EUR)	3,924,240.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,721,880.00
17.1. out of which base remuneration (in EUR)	1,689,244.00
17.2. out of which performance-based remuneration (in EUR)	32,636.00

18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Growth Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	16,942,462.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	7,079,529.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,240,640.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,771,982.00
25.1.1. out of which ERDF (in EUR)	1,771,982.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	962,900.00
25.3. out of which total amount of national private co-financing (in EUR)	1,505,758.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	30
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	30
29. Number of financial recipients supported by the financial product	27
29.1. out of which large enterprises	
29.2. out of which SMEs	27
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument	

(EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,468,658.00
38.3.1. out of which public contributions (EUR)	962,900.00
38.3.2. out of which private contributions (EUR)	1,505,758.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.84
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	6,829,083.00
22. Name of financial product offered by the financial instrument	Growth Quasi Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	

38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Growth Equity Fund
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	

38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds	14,137,115.00

committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,487,580.00
38.2.1. out of which public contributions (EUR)	1,563,340.00
38.2.2. out of which private contributions (EUR)	3,924,240.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	60.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	23.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	70.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	35.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	125.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	58.00
41. Output indicator (code number and name) to which the financial instrument contributes	P13 - Number of enterprises receiving information, diagnostic and brokerage
41.1. Target value of the output indicator	210.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	104.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	790.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Innovation Fund
6. Official address/place of business of the financial instrument (name of the country and city)	North Star Ventures, 5th Floor Maybrook House, 27-35 Grainger Street, Newcastle, NE1 5JE
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body undertaking implementation task directly
11.1.1. Name of the body implementing the financial instrument	North Star Ventures
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor Maybrook House, 27-35 Grainger Street, Newcastle, NE1 5JE

12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	09-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	35,859,231.00
14.1. out of which ESI Funds contributions (in EUR)	14,984,036.00
14.1.1. out of which ERDF (in EUR) (optional)	14,984,036.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	20,167,476.00
15.1. out of which amount of ESI Funds contributions (in EUR)	8,427,124.00
15.1.1. out of which ERDF (in EUR)	8,427,124.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	11,740,352.00
15.2.1. out of which total amount of national public funding (in EUR)	2,832,756.00
15.2.2. out of which total amount of national private funding (in EUR)	8,907,596.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,352,284.00
17.1. out of which base remuneration (in EUR)	2,315,530.00
17.2. out of which performance-based remuneration (in EUR)	36,754.00
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	

22. Name of financial product offered by the financial instrument	Innovation Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,637,656.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,520,021.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,601,314.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,086,978.00
25.1.1. out of which ERDF (in EUR)	1,086,978.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	213,679.00
25.3. out of which total amount of national private co-financing (in EUR)	1,300,657.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	22
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	22
29. Number of financial recipients supported by the financial product	10
29.1. out of which large enterprises	
29.2. out of which SMEs	10
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,514,336.00
38.3.1. out of which public contributions (EUR)	213,679.00
38.3.2. out of which private contributions (EUR)	1,300,657.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for	1.93

loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	27,120,176.00
22. Name of financial product offered by the financial instrument	Innovation Equity
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	26,260,805.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	10,973,265.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	13,733,692.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	6,866,846.00
25.1.1. out of which ERDF (in EUR)	6,866,846.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,936,451.00
25.3. out of which total amount of national private co-financing (in EUR)	4,930,395.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	122
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	122
29. Number of financial recipients supported by the financial product	103
29.1. out of which large enterprises	
29.2. out of which SMEs	103
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	6,866,846.00
38.3.1. out of which public contributions (EUR)	1,936,451.00
38.3.2. out of which private contributions (EUR)	4,930,395.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for	1.67

loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	30,654,550.00
40. Value of investments and participations in equity (in EUR)	8,657,232.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	20,875,195.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to	11,740,352.00

the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	2,832,756.00
38.2.2. out of which private contributions (EUR)	8,907,596.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	145.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	118.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	80.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	30.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	P13 - Number of enterprises receiving information, diagnostic and brokerage
41.1. Target value of the output indicator	510.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	73.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	8.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	61.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	600.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	44.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive

<i>instrument contributes</i>	<i>investment: Number of enterprises receiving non-financial support</i>
41.1. Target value of the output indicator	150.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	32.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	295.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	150.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Micro Loan Fund
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	Yes
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	12,331,538.00
14.1. out of which ESI Funds contributions (in EUR)	5,152,821.00
14.1.1. out of which ERDF (in EUR) (optional)	5,152,821.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	5,399,929.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,256,399.00
15.1.1. out of which ERDF (in EUR)	2,256,399.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,143,530.00
15.2.1. out of which total amount of national public funding (in EUR)	917,353.00
15.2.2. out of which total amount of national private funding (in EUR)	2,226,177.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	947,575.00
17.1. out of which base remuneration (in EUR)	919,208.00
17.2. out of which performance-based remuneration (in EUR)	28,367.00

18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	North East Micro Loan Fund - Micro Loans
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	26,973.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	9,251.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	26,973.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	9,251.00
25.1.1. out of which ERDF (in EUR)	9,251.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,501.00
25.3. out of which total amount of national private co-financing (in EUR)	15,221.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	

38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	0.76
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	30,443.00
22. Name of financial product offered by the financial instrument	North East Micro Loan Fund
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	9,966,154.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,164,829.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,421,991.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	973,584.00
25.1.1. out of which ERDF (in EUR)	973,584.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	619,553.00
25.3. out of which total amount of national private co-financing (in EUR)	2,828,854.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	104
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	104
29. Number of financial recipients supported by the financial product	101
29.1. out of which large enterprises	
29.2. out of which SMEs	101
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	

34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	3,448,407.00
38.3.1. out of which public contributions (EUR)	619,553.00
38.3.2. out of which private contributions (EUR)	2,828,854.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.91
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	4,425,381.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative	

interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	7,178,717.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,143,530.00
38.2.1. out of which public contributions (EUR)	917,353.00
38.2.2. out of which private contributions (EUR)	2,226,177.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	1,200.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	49.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	320.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	97.00
41. Output indicator (code number and name) to which the financial instrument contributes	P13 - Number of enterprises receiving information, diagnostic and brokerage
41.1. Target value of the output indicator	640.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	226.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	545.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	225.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research,

<i>instrument contributes</i>	<i>Innovation: Number of enterprises supported to introduce new to the firm products</i>
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	250.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	128.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Venture Fund
6. Official address/place of business of the financial instrument (name of the country and city)	Enterprise Ventures, Preston Technology Management Centre, Marsh Lane, Preston, PR1 8UQ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing	

fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Enterprises Ventures
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Preston Technology Management, Centre Marsh Lane, Preston, Lancashire, PR1 8UQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	17-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	35,102,308.00
14.1. out of which ESI Funds contributions (in EUR)	14,667,750.00
14.1.1. out of which ERDF (in EUR) (optional)	14,667,750.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	12,846,878.00
15.1. out of which amount of ESI Funds contributions (in EUR)	5,368,160.00
15.1.1. out of which ERDF (in EUR)	5,368,160.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	7,478,718.00
15.2.1. out of which total amount of national public funding (in EUR)	1,242,494.00
15.2.2. out of which total amount of national private funding (in EUR)	6,236,224.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme	1,992,756.00

contributions (in EUR)	
17.1. out of which base remuneration (in EUR)	1,992,756.00
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Venture Quasi-Equity
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds	

mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Venture Equity
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	23,918,769.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	9,994,629.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,649,608.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,942,872.00
25.1.1. out of which ERDF (in EUR)	1,942,872.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,100,218.00
25.3. out of which total amount of national private co-financing (in EUR)	1,606,518.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	24
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	24
29. Number of financial recipients supported by the financial product	19
29.1. out of which large enterprises	
29.2. out of which SMEs	19
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,706,736.00
38.3.1. out of which public contributions (EUR)	1,100,218.00

38.3.2. out of which private contributions (EUR)	1,606,518.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.88
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	9,211,279.00
40. Value of investments and participations in equity (in EUR)	7,858,700.00
22. Name of financial product offered by the financial instrument	Venture Loans
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	5,979,692.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,498,657.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,096,065.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	875,856.00
25.1.1. out of which ERDF (in EUR)	875,856.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	435,851.00
25.3. out of which total amount of national private co-financing (in EUR)	784,358.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	10
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	10
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,220,209.00
38.3.1. out of which public contributions (EUR)	435,851.00
38.3.2. out of which private contributions (EUR)	784,358.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	1.88
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	7,056,335.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	

38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	20,434,588.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	7,478,718.00
38.2.1. out of which public contributions (EUR)	1,242,494.00
38.2.2. out of which private contributions (EUR)	6,236,224.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO28 - Research, Innovation: Number of enterprises supported to introduce new to the market products
41.1. Target value of the output indicator	20.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	450.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	28.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
41. Output indicator (code number and name) to which the financial instrument contributes	P13 - Number of enterprises receiving information, diagnostic and brokerage
41.1. Target value of the output indicator	220.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	60.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	60.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	20.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	70.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	28.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	105.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	48.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	30.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	5,535,000.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The North East Fund PA4

6. Official address/place of business of the financial instrument (name of the country and city)	West One, Forth Banks, Newcastle upon Tyne, NE1 3PA
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	The North East Fund Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	West One, Forth Banks, Newcastle upon Tyne, NE1 3PA

12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and local authorities in the North East Local Enterprise Partnership area, in accordance with Article 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	15-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	13,246,154.00
14.1. out of which ESI Funds contributions (in EUR)	5,535,000.00
14.1.1. out of which ERDF (in EUR) (optional)	5,535,000.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	6,637,130.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,803,524.00
15.1.1. out of which ERDF (in EUR)	2,803,524.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,833,606.00
15.2.1. out of which total amount of national public funding (in EUR)	1,437,602.00
15.2.2. out of which total amount of national private funding (in EUR)	2,396,004.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final	

report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	14,914.00
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	7,711,154.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,833,606.00
38.2.1. out of which public contributions (EUR)	1,437,602.00
38.2.2. out of which private contributions (EUR)	2,396,004.00

38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Development Fund
6. Official address/place of business of the financial instrument (name of the country and city)	Maven Capital Partners, 5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law;	Body governed by public or private law

managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Maven Capital Partners
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor, 1-2 Royal Exchange Buildings, London, England, EC3V 3LF
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	13-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	3,311,538.00
14.1. out of which ESI Funds contributions (in EUR)	1,383,750.00
14.1.1. out of which ERDF (in EUR) (optional)	1,383,750.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	2,192,080.00
15.1. out of which amount of ESI Funds contributions (in EUR)	915,976.00
15.1.1. out of which ERDF (in EUR)	915,976.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	1,276,104.00
15.2.1. out of which total amount of national public funding (in EUR)	180,064.00
15.2.2. out of which total amount of national private funding (in EUR)	1,096,040.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final	

recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Development Loan - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,318,077.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	968,625.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	2,192,080.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	915,976.00
25.1.1. out of which ERDF (in EUR)	915,976.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	180,064.00
25.3. out of which total amount of national private co-financing (in EUR)	1,096,040.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	1,276,104.00
38.3.1. out of which public contributions (EUR)	180,064.00
38.3.2. out of which private contributions (EUR)	1,096,040.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	

39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.39
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	7,112,475.00
22. Name of financial product offered by the financial instrument	Development Quasi Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity	0.00

investment/other financial product, by reference to the funding agreement, by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Development Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00

39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,927,788.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the	1,276,104.00

financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	180,064.00
38.2.2. out of which private contributions (EUR)	1,096,040.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Growth Fund
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or	Fiduciary account

separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,207,692.00
14.1. out of which ESI Funds contributions (in EUR)	922,500.00
14.1.1. out of which ERDF (in EUR) (optional)	922,500.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	936,246.00
15.1. out of which amount of ESI Funds contributions (in EUR)	391,217.00
15.1.1. out of which ERDF (in EUR)	391,217.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	545,029.00
15.2.1. out of which total amount of national public funding (in EUR)	76,906.00
15.2.2. out of which total amount of national private funding (in EUR)	468,123.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	

17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Growth Quasi Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Growth Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	

38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	
22. Name of financial product offered by the financial instrument	Growth Loan - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	1,876,538.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	784,125.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	936,246.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	391,217.00
25.1.1. out of which ERDF (in EUR)	391,217.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	76,906.00
25.3. out of which total amount of national private co-financing (in EUR)	468,123.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	2
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	545,029.00

38.3.1. out of which public contributions (EUR)	76,906.00
38.3.2. out of which private contributions (EUR)	468,123.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.39
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	4,261,950.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	

38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,285,192.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	545,029.00
38.2.1. out of which public contributions (EUR)	76,906.00
38.2.2. out of which private contributions (EUR)	468,123.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Innovation Fund
6. Official address/place of business of the financial instrument (name of the country and city)	North Star Ventures,
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	North Star Ventures Limited
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	5th Floor Maybrook House, 27-35 Grainger Street, Newcastle upon Tyne, NE1 5JE
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	09-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	3,311,538.00
14.1. out of which ESI Funds contributions (in EUR)	1,383,750.00
14.1.1. out of which ERDF (in EUR) (optional)	1,383,750.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	1,197,653.00
15.1. out of which amount of ESI Funds contributions (in EUR)	500,448.00
15.1.1. out of which ERDF (in EUR)	500,448.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	

15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	697,205.00
15.2.1. out of which total amount of national public funding (in EUR)	98,379.00
15.2.2. out of which total amount of national private funding (in EUR)	598,826.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Innovation Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	2,908,368.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,215,393.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	1,197,653.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	500,448.00
25.1.1. out of which ERDF (in EUR)	500,448.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	98,379.00
25.3. out of which total amount of national private co-financing (in EUR)	598,826.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	9
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	9
29. Number of financial recipients supported by the financial product	7
29.1. out of which large enterprises	
29.2. out of which SMEs	7
29.2.1. out of which microenterprises	

29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	697,205.00
38.3.1. out of which public contributions (EUR)	98,379.00
38.3.2. out of which private contributions (EUR)	598,826.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.39
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.39
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	8,410,783.00
40. Value of investments and participations in equity (in EUR)	1,442,667.00
22. Name of financial product offered by the financial instrument	Innovation Loans - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	402,905.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	168,357.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	

33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI	

Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,927,788.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	697,205.00
38.2.1. out of which public contributions (EUR)	98,379.00
38.2.2. out of which private contributions (EUR)	598,826.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	25.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	9.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Micro Loan
6. Official address/place of business of the financial instrument (name of the country and city)	NEL Fund Managers, 4 Enterprise House, Kingsway, Team Valley, NE11 0SR
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4

8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	Yes
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	NEL Fund Managers
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	4 Enterprise House, Kingsway, Team Valley, NE11 0SR
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	10-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	

14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,103,846.00
14.1. out of which ESI Funds contributions (in EUR)	461,250.00
14.1.1. out of which ERDF (in EUR) (optional)	461,250.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	110,700.00
15.1. out of which amount of ESI Funds contributions (in EUR)	46,256.00
15.1.1. out of which ERDF (in EUR)	46,256.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	64,444.00
15.2.1. out of which total amount of national public funding (in EUR)	9,094.00
15.2.2. out of which total amount of national private funding (in EUR)	55,350.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loans - Loans PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	

25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
22. Name of financial product offered by the financial instrument	Micro Loans - Micro Loans - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	55,350.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	23,128.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee,	55,350.00

committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	23,128.00
25.1.1. out of which ERDF (in EUR)	23,128.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	4,547.00
25.3. out of which total amount of national private co-financing (in EUR)	27,675.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	64,444.00
38.3.1. out of which public contributions (EUR)	9,094.00
38.3.2. out of which private contributions (EUR)	55,350.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	2.40
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	3.79
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument,	

programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	642,596.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	64,444.00
38.2.1. out of which public contributions (EUR)	9,094.00
38.2.2. out of which private contributions (EUR)	55,350.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	47.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	North East Venture Fund
6. Official address/place of business of the financial instrument (name of the country and city)	Enterprise Ventures, Preston Technology Management, Centre Marsh Lane, Preston, Lancashire, PR1 8UQ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The North East Fund PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Enterprise Ventures
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Preston Technology Management, Centre Marsh

	Lane, Preston, Lancashire, PR1 8UQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	17-Apr-2018
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	3,311,538.00
14.1. out of which ESI Funds contributions (in EUR)	1,383,750.00
14.1.1. out of which ERDF (in EUR) (optional)	1,383,750.00
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or	

committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Venture Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	

22. Name of financial product offered by the financial instrument	Venture Loan - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	

39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
22. Name of financial product offered by the financial instrument	Venture Quasi Equity - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	0.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	0.00
40. Value of investments and participations in equity (in EUR)	

VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,927,788.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or	

measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	1 - Promoting Research and Innovation
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	01 - Strengthening research, technological development and innovation
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	10,516,773.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse Investment Fund - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	

7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Northern Powerhouse Investments Limited (NPIL)
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii)

	of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	30,116,762.00
14.1. out of which ESI Funds contributions (in EUR)	10,516,773.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	23,212,606.00
15.1. out of which amount of ESI Funds contributions (in EUR)	8,105,842.00
15.1.1. out of which ERDF (in EUR)	8,105,842.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	15,106,764.00
15.2.1. out of which total amount of national public funding (in EUR)	4,503,246.00
15.2.2. out of which total amount of national private funding (in EUR)	10,603,518.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	973,072.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational	

at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	19,599,989.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	15,106,764.00
38.2.1. out of which public contributions (EUR)	4,503,246.00
38.2.2. out of which private contributions (EUR)	10,603,518.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of

Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Tees Valley & Cumbria - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L1 9QJ
12. Procedure of selecting the body implementing the financial instrument:	Selection in accordance with

award of a public contract; other procedure	the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,767,630.00
14.1. out of which ESI Funds contributions (in EUR)	966,456.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt

22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.51
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	

VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,801,174.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or	

measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	8,369,816.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	29.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	16.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	108.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported

41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - The North West Excluding Cumbria - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial	Body governed by public or private law

activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,622,132.00
14.1. out of which ESI Funds contributions (in EUR)	915,649.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA1
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity	5.57

investment/other financial product, by reference to the funding agreement, by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,706,483.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	

38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	8,369,816.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	108.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	29.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	13.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Yorks & Humber - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building, 73/79, King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Bulding, 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	2,767,630.00
14.1. out of which ESI Funds contributions (in EUR)	966,456.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	276,763.00
15.1. out of which amount of ESI Funds contributions (in EUR)	96,646.00
15.1.1. out of which ERDF (in EUR)	96,646.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	180,117.00
15.2.1. out of which total amount of national public funding (in EUR)	53,692.00
15.2.2. out of which total amount of national private funding (in EUR)	126,425.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	14,458.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	

18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	276,763.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	96,646.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	276,763.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	96,646.00
25.1.1. out of which ERDF (in EUR)	96,646.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	53,692.00
25.3. out of which total amount of national private co-financing (in EUR)	126,425.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	

38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	180,117.00
38.3.1. out of which public contributions (EUR)	53,692.00
38.3.2. out of which private contributions (EUR)	126,425.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	

38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	1,801,174.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	180,117.00
38.2.1. out of which public contributions (EUR)	53,692.00
38.2.2. out of which private contributions (EUR)	126,425.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	108.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	13.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	29.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than

	grants
41.1. Target value of the output indicator	16.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	8,369,816.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Equity Fund - YH & TV - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and	Fiduciary account

Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	14,391,675.00
14.1. out of which ESI Funds contributions (in EUR)	5,025,573.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	13,407,530.00
15.1. out of which amount of ESI Funds contributions (in EUR)	4,681,910.00
15.1.1. out of which ERDF (in EUR)	4,681,910.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	8,725,620.00

15.2.1. out of which total amount of national public funding (in EUR)	2,601,061.00
15.2.2. out of which total amount of national private funding (in EUR)	6,124,559.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	700,411.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity - PA1
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	13,407,530.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	4,681,910.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	13,407,530.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	4,681,910.00
25.1.1. out of which ERDF (in EUR)	4,681,910.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	2,601,061.00
25.3. out of which total amount of national private co-financing (in EUR)	6,124,559.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	13
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	13
29. Number of financial recipients supported by the financial product	13
29.1. out of which large enterprises	
29.2. out of which SMEs	13
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	

29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	8,725,620.00
38.3.1. out of which public contributions (EUR)	2,601,061.00
38.3.2. out of which private contributions (EUR)	6,124,559.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	4.00
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	6,084,246.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative	

interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	9,366,102.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	8,725,620.00
38.2.1. out of which public contributions (EUR)	2,601,061.00
38.2.2. out of which private contributions (EUR)	6,124,559.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	45.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	14.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	3.00

41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	33.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	13.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	9,299,794.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	12,189,396.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Equity Fund North West - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	

9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Clarence House, Clarence Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	6,084,246.00
14.1. out of which ESI Funds contributions (in EUR)	2,124,619.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	4,650,644.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,624,005.00
15.1.1. out of which ERDF (in EUR)	1,624,005.00
15.1.2. out of which Cohesion Fund (in EUR)	

15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	3,026,639.00
15.2.1. out of which total amount of national public funding (in EUR)	902,225.00
15.2.2. out of which total amount of national private funding (in EUR)	2,124,414.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	242,950.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - PA1
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	4,650,644.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,624,005.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	4,650,644.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,624,005.00
25.1.1. out of which ERDF (in EUR)	1,624,005.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	902,225.00
25.3. out of which total amount of national private co-financing (in EUR)	2,124,414.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	21
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	21
29. Number of financial recipients supported by the financial product	7

29.1. out of which large enterprises	
29.2. out of which SMEs	7
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	3,026,639.00
38.3.1. out of which public contributions (EUR)	902,225.00
38.3.2. out of which private contributions (EUR)	2,124,414.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	4,572,492.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management	

costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	3,959,627.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	3,026,639.00
38.2.1. out of which public contributions (EUR)	902,225.00
38.2.2. out of which private contributions (EUR)	2,124,414.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	3.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	6.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	9,299,796.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3,585,079.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of

	enterprises receiving financial support other than grants
41.1. Target value of the output indicator	20.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	7.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	120.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	77.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	14.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	14.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	33.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	21.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro Loan Fund Yorks & Humber & Tees Valley - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Devere House, Vicar Lane, Bradford, BD1 5AH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No

9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BEF/FFE
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Devere House, Vicar Lane, Bradford, BD1 5AH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	741,725.00
14.1. out of which ESI Funds contributions (in EUR)	259,010.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial	125,916.00

instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	43,970.00
15.1.1. out of which ERDF (in EUR)	43,970.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	81,946.00
15.2.1. out of which total amount of national public funding (in EUR)	24,428.00
15.2.2. out of which total amount of national private funding (in EUR)	57,518.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	6,578.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	125,916.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	43,970.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	125,916.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	43,970.00
25.1.1. out of which ERDF (in EUR)	43,970.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	24,428.00
25.3. out of which total amount of national private co-financing (in EUR)	57,518.00
27. Number of loan/guarantee/equity or quasi-equity/other financial	3

product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	3
29.1. out of which large enterprises	
29.2. out of which SMEs	3
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	81,946.00
38.3.1. out of which public contributions (EUR)	24,428.00
38.3.2. out of which private contributions (EUR)	57,518.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	4.92
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	

37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	482,715.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	81,946.00
38.2.1. out of which public contributions (EUR)	24,428.00
38.2.2. out of which private contributions (EUR)	57,518.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of

	enterprises receiving non-financial support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	1,394,969.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	96,867.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro loan Fund North West - PA1
6. Official address/place of business of the financial instrument (name of the country and city)	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA1
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BFS/MSIF
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding	741,725.00

agreement (in EUR)	
14.1. out of which ESI Funds contributions (in EUR)	259,010.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	166,057.00
15.1. out of which amount of ESI Funds contributions (in EUR)	57,987.00
15.1.1. out of which ERDF (in EUR)	57,987.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	108,070.00
15.2.1. out of which total amount of national public funding (in EUR)	32,215.00
15.2.2. out of which total amount of national private funding (in EUR)	75,855.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	8,675.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	166,057.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	57,987.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	166,057.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	57,987.00

25.1.1. out of which ERDF (in EUR)	57,987.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	32,215.00
25.3. out of which total amount of national private co-financing (in EUR)	75,855.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	2
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	2
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	108,070.00
38.3.1. out of which public contributions (EUR)	32,215.00
38.3.2. out of which private contributions (EUR)	75,855.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	3.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of	

equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	482,715.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	108,070.00
38.2.1. out of which public contributions (EUR)	32,215.00
38.2.2. out of which private contributions (EUR)	75,855.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving

	support
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	18.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	1,394,969.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	49,817.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument	3 - Enhancing the Competitiveness of SMEs

under the ESI Fund programme	
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	03 - Enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF)
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	128,813,865.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse Investment Fund - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
7. Implementation arrangements	
7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing	Fiduciary account

authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Northern Powerhouse Investments Limited (NPIL)
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Others
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	368,882,776.00
14.1. out of which ESI Funds contributions (in EUR)	128,813,865.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	284,311,397.00

15.1. out of which amount of ESI Funds contributions (in EUR)	99,281,540.00
15.1.1. out of which ERDF (in EUR)	99,281,540.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	185,029,857.00
15.2.1. out of which total amount of national public funding (in EUR)	55,156,411.00
15.2.2. out of which total amount of national private funding (in EUR)	129,873,446.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	12,173,021.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the	

reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	240,068,911.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	185,029,857.00
38.2.1. out of which public contributions (EUR)	55,156,411.00
38.2.2. out of which private contributions (EUR)	129,873,446.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - North West Excluding Cumbria - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No

9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	74,889,512.00
14.1. out of which ESI Funds contributions (in EUR)	26,151,418.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	

15. Total amount of programme contributions paid to the financial instrument (in EUR)	59,452,223.00
15.1. out of which amount of ESI Funds contributions (in EUR)	20,760,716.00
15.1.1. out of which ERDF (in EUR)	20,760,716.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	38,691,507.00
15.2.1. out of which total amount of national public funding (in EUR)	11,533,731.00
15.2.2. out of which total amount of national private funding (in EUR)	27,157,776.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	2,122,702.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	59,452,223.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	20,760,716.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	59,452,223.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	20,760,716.00
25.1.1. out of which ERDF (in EUR)	20,760,716.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	11,533,731.00
25.3. out of which total amount of national private co-financing (in EUR)	27,157,776.00

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	214
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	214
29. Number of financial recipients supported by the financial product	173
29.1. out of which large enterprises	
29.2. out of which SMEs	173
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	38,691,507.00
38.3.1. out of which public contributions (EUR)	11,533,731.00
38.3.2. out of which private contributions (EUR)	27,157,776.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.63
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	

36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	48,738,094.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	38,691,507.00
38.2.1. out of which public contributions (EUR)	11,533,731.00
38.2.2. out of which private contributions (EUR)	27,157,776.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	74.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	6.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	41.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of

	enterprises receiving support
41.1. Target value of the output indicator	378.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	214.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	208.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	173.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	825.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	553.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	63,900,133.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	70,104,295.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	32.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	23.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Tees Valley & Cumbria - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	51,704,017.00

14.1. out of which ESI Funds contributions (in EUR)	18,055,043.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	38,959,925.00
15.1. out of which amount of ESI Funds contributions (in EUR)	13,604,806.00
15.1.1. out of which ERDF (in EUR)	13,604,806.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	25,355,119.00
15.2.1. out of which total amount of national public funding (in EUR)	7,558,225.00
15.2.2. out of which total amount of national private funding (in EUR)	17,796,894.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,391,038.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	38,959,925.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	13,604,806.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	38,959,925.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	13,604,806.00
25.1.1. out of which ERDF (in EUR)	13,604,806.00

25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	7,558,225.00
25.3. out of which total amount of national private co-financing (in EUR)	17,796,894.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	131
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	92
29. Number of financial recipients supported by the financial product	92
29.1. out of which large enterprises	
29.2. out of which SMEs	92
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	25,355,119.00
38.3.1. out of which public contributions (EUR)	7,558,225.00
38.3.2. out of which private contributions (EUR)	17,796,894.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.50
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of	

equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	33,648,974.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	25,355,119.00
38.2.1. out of which public contributions (EUR)	7,558,225.00
38.2.2. out of which private contributions (EUR)	17,796,894.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	208.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	92.00
41. Output indicator (code number and name) to which the financial	CO07 - Productive

<i>instrument contributes</i>	<i>investment: Private investment matching public support to enterprises (non-grants)</i>
41.1. Target value of the output indicator	63,900,133.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	46,699,203.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO05 - Productive investment: Number of new enterprises supported</i>
41.1. Target value of the output indicator	74.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO04 - Productive investment: Number of enterprises receiving non-financial support</i>
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	39.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</i>
41.1. Target value of the output indicator	32.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	24.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO01 - Productive investment: Number of enterprises receiving support</i>
41.1. Target value of the output indicator	378.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	131.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO08 - Productive investment: Employment increase in supported enterprises</i>
41.1. Target value of the output indicator	825.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	431.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Yorks & Humber - PA3
6. Official address/place of business of the financial instrument (name of	The Pinnacle Vault, 73/79

the country and city)	King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building, 73/79King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body	

implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	74,889,512.00
14.1. out of which ESI Funds contributions (in EUR)	26,151,418.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	35,734,531.00
15.1. out of which amount of ESI Funds contributions (in EUR)	12,478,498.00
15.1.1. out of which ERDF (in EUR)	12,478,498.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	23,256,033.00
15.2.1. out of which total amount of national public funding (in EUR)	6,932,500.00
15.2.2. out of which total amount of national private funding (in EUR)	16,323,533.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	1,275,877.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with	35,734,531.00

final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	12,478,498.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	35,734,531.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	12,478,498.00
25.1.1. out of which ERDF (in EUR)	12,478,498.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	6,932,500.00
25.3. out of which total amount of national private co-financing (in EUR)	16,323,533.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	139
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	139
29. Number of financial recipients supported by the financial product	129
29.1. out of which large enterprises	
29.2. out of which SMEs	129
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	23,256,033.00
38.3.1. out of which public contributions (EUR)	6,932,500.00
38.3.2. out of which private contributions (EUR)	16,323,533.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.66
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds)	

(Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	48,738,094.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	23,256,033.00
38.2.1. out of which public contributions (EUR)	6,932,500.00
38.2.2. out of which private contributions (EUR)	16,323,533.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial	CO01 - Productive

<i>instrument contributes</i>	<i>investment: Number of enterprises receiving support</i>
41.1. Target value of the output indicator	378.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	139.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO05 - Productive investment: Number of new enterprises supported</i>
41.1. Target value of the output indicator	74.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO04 - Productive investment: Number of enterprises receiving non-financial support</i>
41.1. Target value of the output indicator	169.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO03 - Productive investment: Number of enterprises receiving financial support other than grants</i>
41.1. Target value of the output indicator	208.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	129.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products</i>
41.1. Target value of the output indicator	32.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	32.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)</i>
41.1. Target value of the output indicator	63,900,133.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	2,231,894.00
<i>41. Output indicator (code number and name) to which the financial instrument contributes</i>	<i>CO08 - Productive investment: Employment increase in supported enterprises</i>
41.1. Target value of the output indicator	825.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	571.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Equity Fund North West - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the	Clarence House, Clarence

body implementing the financial instrument	Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	74,552,407.00
14.1. out of which ESI Funds contributions (in EUR)	26,023,224.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	35,217,024.00
15.1. out of which amount of ESI Funds contributions (in EUR)	12,297,785.00
15.1.1. out of which ERDF (in EUR)	12,297,785.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	22,919,239.00
15.2.1. out of which total amount of national public funding (in EUR)	6,832,102.00
15.2.2. out of which total amount of national private funding (in EUR)	16,087,137.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,307,784.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by	

ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund PA3
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	35,217,024.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	12,297,785.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	35,217,024.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	12,297,785.00
25.1.1. out of which ERDF (in EUR)	12,297,785.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	6,832,102.00
25.3. out of which total amount of national private co-financing (in EUR)	16,087,137.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	121
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	121
29. Number of financial recipients supported by the financial product	44
29.1. out of which large enterprises	
29.2. out of which SMEs	44
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	22,919,239.00
38.3.1. out of which public contributions (EUR)	6,832,102.00
38.3.2. out of which private contributions (EUR)	16,087,137.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.52
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	26,701,356.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of	

bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	48,529,183.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	22,919,239.00
38.2.1. out of which public contributions (EUR)	6,832,102.00
38.2.2. out of which private contributions (EUR)	16,087,137.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	

41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	5.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	188.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	77.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	916.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	418.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	71,000,148.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	68,328,414.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	419.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	121.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	231.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	44.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	36.00
41.2. Value achieved by the financial instrument in relation to the target	24.00

value of the output indicator	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro Loan Fund - North West - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for	Body governed by public or private law

loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	BFS/MSIF
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,177,460.00
14.1. out of which ESI Funds contributions (in EUR)	3,204,769.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	9,176,354.00
15.1. out of which amount of ESI Funds contributions (in EUR)	3,204,383.00
15.1.1. out of which ERDF (in EUR)	3,204,383.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,971,971.00
15.2.1. out of which total amount of national public funding (in EUR)	1,780,213.00
15.2.2. out of which total amount of national private funding (in EUR)	4,191,758.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	327,636.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument	

pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan Fund - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	9,176,354.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	3,204,383.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	9,176,354.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	3,204,383.00
25.1.1. out of which ERDF (in EUR)	3,204,383.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,780,213.00
25.3. out of which total amount of national private co-financing (in EUR)	4,191,758.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	266
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	266
29. Number of financial recipients supported by the financial product	131
29.1. out of which large enterprises	
29.2. out of which SMEs	131
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	5,971,971.00
38.3.1. out of which public contributions (EUR)	1,780,213.00
38.3.2. out of which private contributions (EUR)	4,191,758.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity	5.48

investment/other financial product, by reference to the funding agreement, by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	5,972,691.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	

38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,971,971.00
38.2.1. out of which public contributions (EUR)	1,780,213.00
38.2.2. out of which private contributions (EUR)	4,191,758.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	63.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	266.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	10,650,022.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	15,916,372.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	34.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	131.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	28.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	135.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	18.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises

41.1. Target value of the output indicator	137.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	138.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	21.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro Loan Fund - York & Humber & Tees Valley
6. Official address/place of business of the financial instrument (name of the country and city)	Devere House, Vicar Lane, Bradford, BD1 5AH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article	Body governed by public or

39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	private law
11.1.1. Name of the body implementing the financial instrument	BEF/FFE
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Devere House, Vicar Lane, Bradford, BD1 5AH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,177,460.00
14.1. out of which ESI Funds contributions (in EUR)	3,204,769.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	7,989,047.00
15.1. out of which amount of ESI Funds contributions (in EUR)	2,789,775.00
15.1.1. out of which ERDF (in EUR)	2,789,775.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	5,199,272.00
15.2.1. out of which total amount of national public funding (in EUR)	1,549,875.00
15.2.2. out of which total amount of national private funding (in EUR)	3,649,397.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	285,244.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant	

to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan Fund - PA3
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	7,989,047.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	2,789,775.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	7,989,047.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	2,789,775.00
25.1.1. out of which ERDF (in EUR)	2,789,775.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	1,549,875.00
25.3. out of which total amount of national private co-financing (in EUR)	3,649,397.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	249
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	249
29. Number of financial recipients supported by the financial product	157
29.1. out of which large enterprises	
29.2. out of which SMEs	157
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	5,199,272.00

38.3.1. out of which public contributions (EUR)	1,549,875.00
38.3.2. out of which private contributions (EUR)	3,649,397.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.66
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	

38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	5,972,691.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	5,199,272.00
38.2.1. out of which public contributions (EUR)	1,549,875.00
38.2.2. out of which private contributions (EUR)	3,649,397.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	63.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	249.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	34.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	157.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	137.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	252.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	28.00
41.2. Value achieved by the financial instrument in relation to the target	92.00

value of the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	10,650,022.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	226,384,473.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	12.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	11.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Nothern Powerhouse - Equity Fund Yorks & Tees Valley - PA3
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building, 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA3
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of	Fiduciary account

the managing authority or separate block of finance within a financial institution	
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building, 73/79 King Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	74,522,407.00
14.1. out of which ESI Funds contributions (in EUR)	26,023,224.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	39,557,079.00
15.1. out of which amount of ESI Funds contributions (in EUR)	13,813,332.00
15.1.1. out of which ERDF (in EUR)	13,813,332.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	25,743,747.00
15.2.1. out of which total amount of national public funding (in EUR)	7,674,074.00
15.2.2. out of which total amount of national private funding (in EUR)	18,069,673.00
16. Total amount of programme contributions paid to the financial	

instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	3,462,741.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - PA3
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	39,557,079.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	13,813,332.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	39,557,079.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	13,813,332.00
25.1.1. out of which ERDF (in EUR)	13,813,332.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	7,674,074.00
25.3. out of which total amount of national private co-financing (in EUR)	18,069,673.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	62
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	62
29. Number of financial recipients supported by the financial product	39
29.1. out of which large enterprises	
29.2. out of which SMEs	39
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument	

(EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	25,743,747.00
38.3.1. out of which public contributions (EUR)	7,674,074.00
38.3.2. out of which private contributions (EUR)	18,069,673.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	15,970,744.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial	

instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	48,499,183.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	25,743,747.00
38.2.1. out of which public contributions (EUR)	7,674,074.00
38.2.2. out of which private contributions (EUR)	18,069,673.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	36.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	21.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO04 - Productive investment: Number of enterprises receiving non-financial support
41.1. Target value of the output indicator	188.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	23.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO07 - Productive investment: Private investment matching public support to enterprises (non-grants)
41.1. Target value of the output indicator	71,000,148.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	226,384,473.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	82.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	10.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of

	enterprises receiving support
41.1. Target value of the output indicator	419.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	62.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO08 - Productive investment: Employment increase in supported enterprises
41.1. Target value of the output indicator	916.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	373.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO03 - Productive investment: Number of enterprises receiving financial support other than grants
41.1. Target value of the output indicator	231.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	39.00

I. Identification of the programme and priority or measure from which support from the ESI Funds is provided (Article 46(2)(a) of Regulation (EU) No 1303/2013)	
1. Priority axes or measures supporting the financial instrument, including fund of funds, under the ESI Fund programme.	
1.1. Priority axis supporting the financial instrument under the ESI Fund programme	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
2. Name of ESI Fund(s) supporting the financial instrument under the priority axis or measure	ERDF
3. Thematic objective(s) referred to in the first paragraph of Article 9 of Regulation (EU) No 1303/2013 supported by the financial instrument	04 - Supporting the shift towards a low-carbon economy in all sectors
3.1. Amount of ESI Funds committed in funding agreements from the individual thematic objective chosen in field 3 (optional)	16,051,023.00
4. other ESI Fund programmes providing contribution to the financial instrument	
4.1. CCI number of each other ESI Fund programme providing contributions to the financial instrument	
30. Date of completion of the ex ante assessment	15-Nov-2016
31. Selection of bodies implementing financial instrument	
31.1. Has selection or designation process already been launched	Yes
II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse Investment Fund - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Steel City House, West Street, Sheffield, S1 2GQ
7. Implementation arrangements	

7.1. Financial instruments set up at Union level, managed directly or indirectly by the Commission referred to in Article 38(1)(a) of Regulation (EU) No 1303/2013, supported from ESI Fund programme contributions	
7.1.1. Name of the Union-level financial instrument	
7.2. Financial instrument set up at national, regional, transnational or cross-border level, managed by or under the responsibility of the managing authority referred to in Article 38(1)(b), supported from ESI Fund programme contributions under point (a), (b), (c) and d) of Article 38(4) of Regulation (EU) No 1303/2013	Entrustment of implementation tasks, through the direct award of a contract
7.3. Financial instrument combining financial contribution from MA with EIB financial products under European Fund for Strategic Investment in accordance with Article 39a, referred to in Article 38(1)(c)	
8. Type of the financial instrument	Fund of funds
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Northern Powerhouse Investments Limited (NPIL)
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Steel City House, West Street, Sheffield, S1 2GQ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract;	Others

other procedure	
12.1. Description of the other procedure of selecting the body implementing the financial instrument	Entrustment of a publicly owned company, via inter-administrative co-operation between the managing authority and The Secretary of State for Business, Energy and Industrial Strategy (BEIS) in accordance with Article 38 (1)(b) & 38 4(b) (iii) of the CPR, and s3.6.2 of Commission Notice 2016/C 276/01
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	45,965,128.00
14.1. out of which ESI Funds contributions (in EUR)	16,051,023.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	35,290,810.00
15.1. out of which amount of ESI Funds contributions (in EUR)	12,323,551.00
15.1.1. out of which ERDF (in EUR)	12,323,551.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	22,967,259.00
15.2.1. out of which total amount of national public funding (in EUR)	6,846,417.00
15.2.2. out of which total amount of national private funding (in EUR)	16,120,842.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	533,005.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	29,914,105.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	22,967,259.00
38.2.1. out of which public contributions (EUR)	6,846,417.00
38.2.2. out of which private contributions (EUR)	16,120,842.00

38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - North West Excluding Cumbria
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial	Body governed by public or private law

activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,331,707.00
14.1. out of which ESI Funds contributions (in EUR)	3,258,632.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	0.00
15.1. out of which amount of ESI Funds contributions (in EUR)	0.00
15.1.1. out of which ERDF (in EUR)	0.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	0.00
15.2.1. out of which total amount of national public funding (in EUR)	0.00
15.2.2. out of which total amount of national private funding (in EUR)	0.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	

21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	0.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	0.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	0.00
25.1.1. out of which ERDF (in EUR)	0.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	0.00
25.3. out of which total amount of national private co-financing (in EUR)	0.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	0
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	0
29. Number of financial recipients supported by the financial product	0
29.1. out of which large enterprises	
29.2. out of which SMEs	0
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity	5.57

investment/other financial product, by reference to the funding agreement, by product	
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,073,075.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	

38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	0.00
38.2.1. out of which public contributions (EUR)	0.00
38.2.2. out of which private contributions (EUR)	0.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	46.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Tees Valley & Cumbria
6. Official address/place of business of the financial instrument (name of the country and city)	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No

9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	FW Capital
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Plaza, 100 Old Hall Street, Liverpool, L3 9QJ
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	6,427,119.00
14.1. out of which ESI Funds contributions (in EUR)	2,244,350.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	

15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debit Fund - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	

27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.50
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	

36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	4,182,769.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of

	enterprises receiving support
41.1. Target value of the output indicator	46.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Debt Fund - Yorks & Humber - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle House, 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial	Body governed by public or private law

institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle House, 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,331,707.00
14.1. out of which ESI Funds contributions (in EUR)	3,258,632.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	

20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Debt Fund - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of	

final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.57
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,073,075.00

38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	9.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	46.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	4.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Equity Fund - North West - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Clarence House, Clarence Street, Manchester, M2 4DW
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial	

instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	Maven
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Clarence House, Clarence Street, Manchester, M2 4DW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,285,963.00
14.1. out of which ESI Funds contributions (in EUR)	3,242,658.00

14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,182,476.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,111,320.00
15.1.1. out of which ERDF (in EUR)	1,111,320.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,071,156.00
15.2.1. out of which total amount of national public funding (in EUR)	617,401.00
15.2.2. out of which total amount of national private funding (in EUR)	1,453,755.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	249,659.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,182,476.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,111,320.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,182,476.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,111,320.00
25.1.1. out of which ERDF (in EUR)	1,111,320.00
25.1.2. out of which Cohesion Fund (in EUR)	

25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	617,401.00
25.3. out of which total amount of national private co-financing (in EUR)	1,453,755.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	3
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	3
29. Number of financial recipients supported by the financial product	2
29.1. out of which large enterprises	
29.2. out of which SMEs	2
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,071,156.00
38.3.1. out of which public contributions (EUR)	617,401.00
38.3.2. out of which private contributions (EUR)	1,453,755.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.62
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	591,955.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from	

ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,043,305.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,071,156.00
38.2.1. out of which public contributions (EUR)	617,401.00
38.2.2. out of which private contributions (EUR)	1,453,755.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	51.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research,

<i>instrument contributes</i>	<i>Innovation: Number of enterprises supported to introduce new to the firm products</i>
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)

5. Name of the financial instrument	The Northern Powerhouse - Equity Fund - Yorks & Humber & Tees Valley
6. Official address/place of business of the financial instrument (name of the country and city)	The Pinnacle Building, 73/79 King Street, Manchester, M2 4NG
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made

9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013

9.0.1. Loans ($\geq$ EUR 25,000)	No
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	Yes
9.0.5. Quasi-equity	Yes
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account

III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)

11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal	Body governed by public or private law

entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	
11.1.1. Name of the body implementing the financial instrument	Mercia
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	The Pinnacle Building, 73/79 King Street, Manchester, M2 4NG
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	9,285,963.00
14.1. out of which ESI Funds contributions (in EUR)	3,242,658.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	3,556,547.00
15.1. out of which amount of ESI Funds contributions (in EUR)	1,241,946.00
15.1.1. out of which ERDF (in EUR)	1,241,946.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	2,314,601.00
15.2.1. out of which total amount of national public funding (in EUR)	689,970.00
15.2.2. out of which total amount of national private funding (in EUR)	1,624,631.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	279,004.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to	

Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Equity Fund - Yorks - PA4
22.1. Type of financial product offered by the financial instrument	Equity
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	3,556,547.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	1,241,946.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	3,556,547.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	1,241,946.00
25.1.1. out of which ERDF (in EUR)	1,241,946.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	689,970.00
25.3. out of which total amount of national private co-financing (in EUR)	1,624,631.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	4
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	4
29. Number of financial recipients supported by the financial product	4
29.1. out of which large enterprises	
29.2. out of which SMEs	4
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	2,314,601.00
38.3.1. out of which public contributions (EUR)	689,970.00
38.3.2. out of which private contributions (EUR)	1,624,631.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	

39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.55
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.62
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
40. Value of investments and participations in equity (in EUR)	2,605,880.00
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	6,043,305.00
38.1A. Contributions under EIB financial product committed in the	

funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	2,314,601.00
38.2.1. out of which public contributions (EUR)	689,970.00
38.2.2. out of which private contributions (EUR)	1,624,631.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	51.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	4.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	10.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	5.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	3.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro Loan Fund - North West - PA4
6. Official address/place of business of the financial instrument (name of the country and city)	Lee House, 90 Great Bridgewater Street, Manchester, M
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	

9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article 39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	Body governed by public or private law
11.1.1. Name of the body implementing the financial instrument	BFS/MSIF
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Lee House, 90 Great Bridgewater Street, Manchester, M1 5JW
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,151,334.00
14.1. out of which ESI Funds contributions (in EUR)	402,046.00
14.1.1. out of which ERDF (in EUR) (optional)	

14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	
15.1. out of which amount of ESI Funds contributions (in EUR)	
15.1.1. out of which ERDF (in EUR)	
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	
15.2.1. out of which total amount of national public funding (in EUR)	
15.2.2. out of which total amount of national private funding (in EUR)	
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	0.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan Fund - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	
24.1. out of which total amount of ESI Funds contributions (in EUR)	
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	
25.1. out of which total amount of ESI Funds contributions (in EUR)	
25.1.1. out of which ERDF (in EUR)	
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	

25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	
25.3. out of which total amount of national private co-financing (in EUR)	
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	
29. Number of financial recipients supported by the financial product	
29.1. out of which large enterprises	
29.2. out of which SMEs	
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	
38.3.1. out of which public contributions (EUR)	
38.3.2. out of which private contributions (EUR)	
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the	

financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds committed in the funding agreement with the body implementing the financial instrument (in EUR)	749,288.00
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	
38.2.1. out of which public contributions (EUR)	
38.2.2. out of which private contributions (EUR)	
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target	1.00

value of the output indicator	
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

II. Description of the financial instrument and implementation arrangements (Article 46(2)(b) of Regulation (EU) No 1303/2013)	
5. Name of the financial instrument	The Northern Powerhouse - Micro Loan Fund - Yorks & Humber & Tees Valley
6. Official address/place of business of the financial instrument (name of the country and city)	Devere House, Vicar Lane, Bradford, BD1 5AH
8. Type of the financial instrument	Fund of funds specific fund
8.2. Related Fund of Funds	The Northern Powerhouse Investment Fund - PA4
8.1. Tailor-made or financial instruments complying with standard terms and conditions i.e. "off-the-shelf instruments"	Tailor-made
9. Type of products provided by financial instrument: loans, micro-loans, guarantees, equity, or quasi-equity investments, other financial product or other support combined within the financial instrument pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
9.0.1. Loans ($\geq$ EUR 25,000)	Yes
9.0.2. Micro-loans ($<$ EUR 25,000 and provided to micro-enterprises) according to SEC/2011/1134 final	No
9.0.3. Guarantees	No
9.0.4. Equity	No
9.0.5. Quasi-equity	No
9.0.6. Other financial products	No
9.0.7. Other support combined with a financial instrument	No
9.1. Description of the other financial product	
9.2. Other support combined within the financial instrument: grant, interest rate subsidy, guarantee fee subsidy pursuant to Article 37(7) of Regulation (EU) No 1303/2013	
10. Legal status of the financial instrument, pursuant to Article 38(6) and Article 39a(5)(b) of Regulation (EU) No 1303/2013 (for financial instruments referred to in Article 38(1)(b) and (c) only): fiduciary account opened in the name of the implementing body and on behalf of the managing authority or separate block of finance within a financial institution	Fiduciary account
III. Identification of the body implementing the financial instrument, and the body implementing fund of funds where applicable, as referred to under point (a), (b) and (c) of Article 38(1) of Regulation (EU) No 1303/2013 (Article 46(2)(c) of Regulation (EU) No 1303/2013)	
11. Body implementing the financial instrument	
11.1. Type of implementing body pursuant to Article 38(4) and Article	Body governed by public or

39a(5) of Regulation (EU) No 1303/2013: existing or newly created legal entity dedicated to implementing financial instruments; the European Investment Bank; the European Investment Fund; international financial institution in which a Member State is a shareholder; a publicly-owned bank or institution, established as a legal entity carrying out financial activities on a professional basis; a body governed by public or private law; managing authority undertaking implementation tasks directly (for loans or guarantees only)	private law
11.1.1. Name of the body implementing the financial instrument	BEF/FFE
11.1.2. Official address/place of business (country and town name) of the body implementing the financial instrument	Devere House, Vicar Lane, Bradford, BD1 5AH
12. Procedure of selecting the body implementing the financial instrument: award of a public contract; other procedure	Selection in accordance with the provisions of the public procurement directive
12.1. Description of the other procedure of selecting the body implementing the financial instrument	
13. Date of signature of the funding agreement with the body implementing the financial instrument	21-Nov-2016
IV. Total amount of programme contributions, by priority or measure, paid to the financial instrument and management costs incurred or management fees paid (Article 46(2)(d) and (e) of Regulation (EU) No 1303/2013)	
14. Total amount of programme contributions committed in the funding agreement (in EUR)	1,151,334.00
14.1. out of which ESI Funds contributions (in EUR)	402,046.00
14.1.1. out of which ERDF (in EUR) (optional)	
14.1.2. out of which Cohesion Fund (in EUR) (optional)	
14.1.3. out of which ESF (in EUR) (optional)	
14.1.4. out of which EAFRD (in EUR) (optional)	
14.1.5. out of which EMFF (in EUR) (optional)	
15. Total amount of programme contributions paid to the financial instrument (in EUR)	55,352.00
15.1. out of which amount of ESI Funds contributions (in EUR)	19,329.00
15.1.1. out of which ERDF (in EUR)	19,329.00
15.1.2. out of which Cohesion Fund (in EUR)	
15.1.3. out of which ESF (in EUR)	
15.1.4. out of which EAFRD (in EUR)	
15.1.5. out of which EMFF (in EUR)	
15.2. out of which total amount of national co-financing (in EUR)	36,023.00
15.2.1. out of which total amount of national public funding (in EUR)	10,738.00
15.2.2. out of which total amount of national private funding (in EUR)	25,285.00
16. Total amount of programme contributions paid to the financial instrument under Youth Employment Initiative (YEI) (in EUR)	
17. Total amount of management costs and fees paid out of programme contributions (in EUR)	4,342.00
17.1. out of which base remuneration (in EUR)	
17.2. out of which performance-based remuneration (in EUR)	
18. Capitalised management costs or fees pursuant to Article 42(2) of Regulation (EU) No 1303/2013 (relevant only for final report) (in EUR)	
19. Capitalised interest rate subsidies or guarantee fee subsidies pursuant to	

Article 42(1)(c) of Regulation (EU) No 1303/2013 (relevant to final report only) (in EUR)	
20. Amount of programme contributions for follow-on investments in final recipients pursuant to Article 42(3) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
21. Contributions of land and/or real estate in the financial instrument pursuant to Article 37(10) of Regulation (EU) No 1303/2013 (relevant for final report only) (in EUR)	
V. Total amount of support paid to final recipients, or to the benefit of final recipients, or committed in guarantee contracts by the financial instrument for investments in final recipients, by ESI Funds programme and priority or measure (Article 46(2)(e) of Regulation (EU) No 1303/2013)	
22. Name of financial product offered by the financial instrument	Micro Loan Fund - PA4
22.1. Type of financial product offered by the financial instrument	Loan
24. Total amount of programme contributions committed in this loan, guarantee, equity, quasi-equity or other financial product contracts with final recipients (in EUR)	55,352.00
24.1. out of which total amount of ESI Funds contributions (in EUR)	19,329.00
25. Total amount of programme contributions paid to final recipients through this loan, micro-loans, equity or other products, or, in the case of guarantee, committed for loans paid to final recipients, by product (in EUR)	55,352.00
25.1. out of which total amount of ESI Funds contributions (in EUR)	19,329.00
25.1.1. out of which ERDF (in EUR)	19,329.00
25.1.2. out of which Cohesion Fund (in EUR)	
25.1.3. out of which ESF (in EUR)	
25.1.4. out of which EAFRD (in EUR)	
25.1.5. out of which EMFF (in EUR)	
25.2. out of which total amount of national public co-financing (in EUR)	10,738.00
25.3. out of which total amount of national private co-financing (in EUR)	25,285.00
27. Number of loan/guarantee/equity or quasi-equity/other financial product contracts signed with final recipients, by product	1
28. Number of loan/guarantee/equity or quasi-equity/other financial product investments made in final recipients, by product	1
29. Number of financial recipients supported by the financial product	1
29.1. out of which large enterprises	
29.2. out of which SMEs	1
29.2.1. out of which microenterprises	
29.3. out of which individuals/natural persons	
29.4. out of which other type of final recipients supported	
29.4.1. description of other type of final recipients supported	
33. Total number of disbursed loans defaulted or total number of guarantees provided and called due to the loan default	
34. Total amount of disbursed loans defaulted (in EUR) or total amount committed for guarantees provided and called due to loan default (in EUR)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.3. Total amount of other contributions, outside the ESI Funds mobilised at the level of final recipients (in EUR)	36,023.00
38.3.1. out of which public contributions (EUR)	10,738.00

38.3.2. out of which private contributions (EUR)	25,285.00
38.3A. Contribution under EIB financial product mobilised at the level of final recipient (only for the instruments under Article 38(1)(c)) (in EUR)	
39. Expected and achieved leverage effect, by reference to the funding agreement	
39.1. Expected leverage effect for loan/guarantee/equity or quasi-equity investment/other financial product, by reference to the funding agreement, by product	5.48
39.2. Achieved leverage effect at the end of reporting year for loan/guarantee/equity or quasi-equity investment/other financial product, by product	2.86
39.3. Investment mobilised through ESIF financial instruments for loan/guarantee/equity and quasi-equity investment, by product (Optional)	
VI. The performance of the financial instrument, including progress in its set-up and in selection of bodies implementing the financial instrument (including the body implementing a fund of funds) (Article 46(2)(f) of Regulation (EU) No 1303/2013)	
32. Information whether the financial instrument was still operational at the end of the reporting year	Yes
32.1. If the financial instrument was not operational at the end of the reporting year, date of the winding-up	
VII. Interest and other gains generated by support from the ESI Funds to the financial instrument, programme resources paid back to financial instrument from investments as referred to in Articles 43 and 44, amounts used for differentiated treatment as referred to in Article 43a, and the value of equity investments with respect to previous years (Article 46(2)(g) and (i) of Regulation (EU) No 1303/2013)	
35. Interest and other gains generated by payments from ESI Funds to the financial instrument (in EUR)	
36. Amounts repaid to the financial instrument attributable to support from ESI Funds by the end of the reporting year (in EUR)	
36.1. out of which capital repayments (in EUR)	
36.2. out of which gains, other earnings and yields (in EUR)	
37. Amounts of resources attributable to ESI Funds used in accordance with Article 44 and 43a	
37.1. out of which amounts paid for differentiated treatment of investors operating under the market economy principle, who provide counterpart resources to the support from the ESI Funds to the financial instrument or who co-invest at the level of final recipient (in EUR)	
37.2. out of which amounts paid for the reimbursement of management costs incurred and payment of management fees of the financial instrument (in EUR)	
37.3. out of which amounts to cover losses in the nominal amount of the ESI Funds contribution to the financial instrument resulting from negative interest, if such losses occur despite active treasury management by the bodies implementing financial instruments (in EUR)	
VIII. Progress in achieving the expected leverage effect of investments made by the financial instrument and value of investments and participations (Article 46(2)(h) of Regulation (EU) No 1303/2013)	
38. Total amount of other contributions, outside ESI Funds, raised by the financial instrument (EUR)	
38.1. Total amount of other contributions, outside the ESI Funds	749,288.00

committed in the funding agreement with the body implementing the financial instrument (in EUR)	
38.1A. Contributions under EIB financial product committed in the funding agreement with the body implementing the financial instrument (only for the instruments under Article 38(1)(c)) (in EUR)	
38.2. Total amount of other contributions, outside the ESI Funds paid to the financial instrument (in EUR)	36,023.00
38.2.1. out of which public contributions (EUR)	10,738.00
38.2.2. out of which private contributions (EUR)	25,285.00
38.2A. Contribution under EIB financial product paid to FI (only for the instruments under Article 38(1)(c)) (in EUR)	
IX. Contribution of the financial instrument to the achievement of the indicators of the priority or measure concerned (Article 46(2)(j) of Regulation (EU) No 1303/2013)	
41. Output indicator (code number and name) to which the financial instrument contributes	CO05 - Productive investment: Number of new enterprises supported
41.1. Target value of the output indicator	2.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO01 - Productive investment: Number of enterprises receiving support
41.1. Target value of the output indicator	7.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	1.00
41. Output indicator (code number and name) to which the financial instrument contributes	CO29 - Research, Innovation: Number of enterprises supported to introduce new to the firm products
41.1. Target value of the output indicator	1.00
41.2. Value achieved by the financial instrument in relation to the target value of the output indicator	0.00

9. OPTIONAL FOR THE REPORT TO BE SUBMITTED IN 2016, NOT APPLICABLE TO OTHER LIGHT REPORTS: ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES

Table 14: Actions taken to fulfil applicable general ex-ante conditionalities

General ex-ante conditionality	Criteria not fulfilled	Actions taken	Deadline	Bodies responsible	Action completed by the deadline	Criteria fulfilled	Expected date for full implementation of remaining actions	Commentary

Table 15: Actions taken to fulfil applicable thematic ex-ante conditionalities

General ex-ante conditionality	Criteria not fulfilled	Actions taken	Deadline	Bodies responsible	Action completed by the deadline	Criteria fulfilled	Expected date for full implementation of remaining actions	Commentary

10. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS (ARTICLE 101(H) AND 111(3) OF REGULATION (EU) No 1303/2013)

10.1. Major projects

Table 12: Major projects

Project	CCI	Status of MP	Total investments	Total eligible costs	Planned notification / submission date	Date of tacit approval by Commission	Planned start of implementation (year, quarter)	Planned completion date	Priority Axis / Investment priorities	Current state of realisation – financial progress (% of expenditure certified to Commission compared to total eligible cost)	Current state of realisation – physical progress Main implementation stage of the project	Main outputs	Date of signature of first works contract	Observations
A30	2020UK16RFMP001	Approved	1.00	407,541,908.00	2017, Q4	18-Dec-2020	2019, Q1	2023, Q4	7 - 7b	0.00	Construction	14 KM Total length of reconstructed or upgraded roads.	01-Jun-2020	During 2020, Highways England refined the finalised design, study and work on the A30 project and prepared the ERDF Major project application . A preferred route has been designed and selected for the alignment of the 14 Km of road infrastructure and announced through consultation and liaison events. The finalised scheme design received the Development Consent Order decision from the Planning Inspectorate on the 6th February 2020. The submission date of the major project application was made on the 7th May 2020. Further dialogue took place and additional information was submitted on the 3rd November 2020 with the Commission Major projects team. Approval from the Commission was received and the decision adopted on the 18th December 2020. Construction commenced to achieve completion of the upgraded road infrastructure at the end of Q4 2023.No expenditure certified

Significant problems encountered in implementing major projects and measures taken to overcome them

Any change planned in the list of major projects in the operational programme

10.2. Joint action plans

Progress in the implementation of different stages of joint action plans

Table 13: Joint action plans (JAP)

Title of the JAP	CCI	Stage of implementation of JAP	Total eligible costs	Total public support	OP contribution to JAP	Priority axis	Type of JAP	[Planned] submission to the Commission	[Planned] start of implementation	[Planned] completion	Main outputs and results	Total eligible expenditure certified to the Commission	Observations

Significant problems encountered and measures taken to overcome them

PART B
REPORTING SUBMITTED IN YEARS 2017, 2019 AND FINAL IMPLEMENTATION REPORT
(Article 50(4), 111(3) and (4) of regulation (EU) No 1303/2013)
11. ASSESSMENT OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME
(ARTICLES 50(4) AND 111(4) OF REGULATION (EU) No 1303/2013)

11.1. Information in Part A and achieving objectives of the programme (Article 50(4) of Regulation (EU) No 1303/2013)

Priority axis	1 - Promoting Research and Innovation
Priority axis	10 - Supporting the implementation of Local Economic CV-19 Recovery Action Plans
Priority axis	2 - Enhancing access to, and use and quality of, ICT
Priority axis	3 - Enhancing the Competitiveness of SMEs
Priority axis	4 - Supporting the Shift Towards a Low Carbon Economy in all Sectors
Priority axis	5 - Promoting Climate Change Adaptation, Risk Prevention and Management
Priority axis	6 - Preserving and Protecting the Environment and Promoting Resource Efficiency
Priority axis	7 - Sustainable Transport in Cornwall and the Isles of Scilly
Priority axis	8 - Promoting social inclusion and combating poverty and any discrimination
Priority axis	9 - Technical Assistance

11.2. Specific actions taken to promote equality between men and women and to prevent discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations (Articles 50(4) and 111(4), second subparagraph, (e) of Regulation (EU) No 1303/2013)

11.3. Sustainable development (Articles 50(4) and 111(4), second subparagraph, (f) of Regulation (EU) No 1303/2013)

11.4. Reporting on support used for climate change objectives (Article 50(4) of Regulation (EU) No 1303/2013)

Priority axis	Amount of support to be used for climate change objectives (EUR)	Proportion of total allocation to the operational programme (%)
1	55,523,845.15	6.89%
4	569,898,365.65	75.72%
5	86,320,019.99	105.96%
6	23,680,732.46	27.20%
7	12,917,810.52	22.30%
Total	748,340,773.76	20.51%

11.5. Role of partners in the implementation of the programme

12. OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO ARTICLE 111(4), FIRST SUBPARAGRAPH, (A) AND (B), OF REGULATION (EU) No 1303/2013

12.1. Progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations

Status	Name	Fund	Year of finalizing evaluation	Type of evaluation	Thematic objective	Topic	Findings (in case of executed)	Follow up (in case of executed)

12.2. The results of the information and publicity measures of the Funds carried out under the communication strategy

13. ACTIONS TAKEN TO FULFILL EX-ANTE CONDITIONALITIES (ARTICLE 50(4) OF REGULATION (EU) No 1303/2013) (MAY BE INCLUDED IN REPORT TO BE SUBMITTED IN 2016 (SEE POINT 9 ABOVE). REQUIRED IN REPORT SUBMITTED IN 2017) OPTION: PROGRESS REPORT

14. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE OPERATIONAL PROGRAMME (ARTICLE 111(4), SECOND SUBPARAGRAPH, (A), (B), (C), (D), (G) AND (H), OF REGULATION (EU) No 1303/2013)

14.1. Progress in the implementation of the integrated approach to territorial development, including development of regions facing demographic challenges and permanent or natural handicaps, integrated territorial investments, sustainable urban development, and community led local development under the operational programme

14.2. Progress in the implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds

14.3. Progress in the implementation of any interregional and transnational actions

14.4. Where appropriate, the contribution to macro-regional and sea basin strategies

As stipulated by the Regulation (EU) No 1303/2013, article 27(3) on the "content of programmes", article 96(3)(e) on the "content, adoption and amendment of operational programmes under the Investment for growth and jobs goal", article 111(3), article 111(4)(d) on "implementation reports for the Investment for growth and jobs goal", and Annex 1, section 7.3 on "contribution of mainstream programmes to macro-regional and sea-basin strategies, this programme contributes to MRS(s) and/or SBS:

- ☐ EU Strategy for the Baltic Sea Region (EUSBSR)
- ☐ EU Strategy for the Danube Region (EUSDR)
- ☐ EU Strategy for the Adriatic and Ionian Region (EUSAIR)
- ☐ EU Strategy for the Alpine Region (EUSALP)
- ☐ Atlantic Sea Basin Strategy (ATLSBS)

14.5. Progress in the implementation of actions in the field of social innovation, where appropriate

14.6. Progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of poverty discrimination or social exclusion, with special regard to marginalised communities and persons with disabilities, long term unemployment and young people not in employment including, where appropriate, the financial resources used

**PART C REPORTING SUBMITTED IN YEAR 2019 AND FINAL IMPLEMENTATION
REPORT (Article 50(5) of Regulation (EU) No 1303/2013)**

**15. FINANCIAL INFORMATION AT PRIORITY AXIS AND PROGRAMME LEVEL
(ARTICLES 21(2) AND 22(7) OF REGULATION (EU) No 1303/2013)**

16. SMART, SUSTAINABLE AND INCLUSIVE GROWTH (OPTION PROGRESS REPORT)

Information on and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.

17. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN — PERFORMANCE FRAMEWORK (ARTICLE 50(2) OF REGULATION (EU) No 1303/2013)

Where the assessment of progress made with regard to the milestones and targets set out in the performance framework demonstrates that certain milestones and targets have not been achieved, Member States should outline the underlying reasons for failure to achieve these milestones in the report of 2019 (for milestones) and in the final implementation report (for targets).

DOCUMENTS

Document title	Document type	Document date	Local reference	Commission reference	Files	Sent date	Sent By