



Patents Form 21

Patents Act 1977 (*Rule 47*)

Application to record a change of ownership or give notice of rights acquired in a patent or patent application
(See the notes on the back of this form)

1. Your reference. (*Optional*)

2. Patent application or patent number(s).
(See notes (c) & (h))

3. Full name and address of the patent owner(s) or applicant(s) (currently recorded on the register).

Patents ADP number (*if you know it*):

4. Full name and address of the new patent owner(s), applicant(s), licensee or security holder or of each person who is acquiring the rights in the patent or application.
(See note (d))

Patents ADP number (*If you know it*).

5. Details of the transaction, instrument or event.

Assignment. Licence, please specify type.

Security agreement. (e.g. mortgage) Other, please specify (e.g. merger, cancellation of licence or security etc.).

6. Date of transfer of ownership, grant or cancelation of a security or licence or other rights.

This should be in the dd/mm/yyyy format and must not be in the future. (*See note (e)*)

<p>7. Name of your agent (if you have one)</p> <p>Address for Service” in the United Kingdom, Gibraltar or the Channel Islands to which all correspondence should be sent. (See note (f))</p>	
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FOR TRANSFERS OF OWNERSHIP ONLY

<p>8. Agent or address for service to be used by the new owner.</p> <p>New owners “Address for Service” in the United Kingdom, Gibraltar or the Channel Islands to which all correspondence should be sent. (See note (f)).</p> <p>If you do not know these details, tick the box below to confirm the address provided in box 4 will be used as the new address for service.</p> <p>Patents ADP number <i>(if you know it)</i>:</p> <p>Address for Service to be the new owners address given in box 4.</p>	<div style="border: 1px solid black; height: 140px; margin-bottom: 10px;"></div> <div style="border: 1px solid black; height: 25px; margin-bottom: 10px;"></div> <div style="border: 1px solid black; width: 40px; height: 30px; margin-bottom: 10px;"></div>
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FOR TRANSFERS OF OWNERSHIP ONLY

9. Stamp duty declaration

Please confirm that any necessary stamp duty has been paid, or that it is not payable with reference to the underlying documentation transferring the rights which relate to this application. We may ask you for further evidence to support your declaration before we record the change of ownership.
(Tick applicable box)

*“IP” means intellectual property and includes patents, trade marks, designs and copyright.

Note: If you need advice on stamp duty visit Her Majesty’s Revenue and Customs (HMRC) website at <http://www.hmrc.gov.uk/sd/index.htm> or call their helpline 0300 200 3510

No stamp duty is payable.

The most common reason for this will be that the transfer was made:

- i) In respect of IP* only, or IP and related goodwill only, and
- ii) on or after 28 March 2000.

Stamp duty has been paid in respect of the transfer documentation to HMRC.

Stamp duty may be payable to HMRC if the transfer was made in respect of:

- IP* only and prior to 28 March 2000
 - IP* and related goodwill only, and prior to 28 March 2000
 - IP* and unrelated goodwill only, prior to 23 April 2002
 - IP* and other transferable property, at any time
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10. Authorisation to change the register
(see note (g))

Signature(s):

Date:

Name(s)
(block capitals)

Interest in patent(s)
Please tick the applicable boxes

Transfers of ownership

Old owner

Old owner's recorded agent

New owner

New owner's agent

Licences or mortgages

Current owner

Current owner's recorded agent

Agent for the Licensee or Grantee
(security holder)

Licensee or Grantee (security holder)

Other (please specify)

11. Name, email address, telephone
and/or mobile number, if any, of a
contact point for the applicant:

Notes

- a) If you need help to fill in this form or you have any questions, please contact the Office on 0300 300 2000.
- b) If completing by hand please write in capitals, using a black pen.
- c) You may use this form for more than one application or patent if the same transaction, instrument or event is involved. If you need more space, please attach a schedule template which can be found at www.gov.uk/government/publications/application-to-register-or-give-notice-of-rights.
- d) If you are registering a security or licence with us, please provide the name and address of the Licensee or Security holder in Part 4.
- e) Section 33 (3) of the Patents Act 1977 specifies the relevant transactions, instruments and events (which include assignments, licences and mortgages). You can also use this form to request that the comptroller enters in the Register an equitable interest in a patent or application (e.g. an agreement to assign)

Any change of ownership and grant or cancellation of a security or license must have occurred prior to the filing of this form.

- f) Any agent you appoint must have an address for service in the United Kingdom (including the Isle of Man), Gibraltar or the Channel Islands.

They must also reside or have a place of business in the UK, Isle of Man or the European Economic Area. You do not need to provide this information on the form, but we may ask to confirm it if the agent's address for service is in Gibraltar or the Channel Islands.

You can appoint an agent or address for service by filing a Patents Form 51 or a letter giving the appropriate details.

- g) Part 9 must be signed and dated. Documentary evidence sufficient to establish the transaction should accompany this form if:
 - in the case of an assignment, it is not signed by or on behalf of the party assigning the rights, or
 - in the case of a mortgage or the grant of a licence or security, it is not signed by or on behalf of the mortgagor or grantor of the licence or security.

Original documents are not required – photocopies are sufficient. Any documents provided will be scanned and stored in our electronic file management systems, and we will destroy the paper copies.

- h) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write “see continuation sheet” in the relevant part(s) of the form. Any continuation sheets should be attached to this form.

Data Privacy: <https://www.gov.uk/government/organisations/intellectual-property-office/about/personal-information-charter>

Email your form to us:

Send your form, saved as a PDF to:

forms@ipo.gov.uk

For help saving your form as a PDF see:

<https://www.gov.uk/government/publications/how-to-file-documents-with-the-intellectual-property-office/how-to-file-documents-with-the-intellectual-property-office>

If you cannot email your form, post to:

Intellectual Property Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

Fees and payment

We will only process the form with this section completed (one form per payment).

To check the correct fee for this form, search on [GOV.UK for 'patent forms and fees'](https://www.gov.uk/search?q=patent+forms+and+fees)

Total Fee Paying (£).

Your own reference (Optional).

Your contact details should we have a query.

Name.

Email.

Phone.

How would you like to pay?

Tick one.

Using a debit or credit card – you will need the internet to pay by card.

- 1 Go to our secure website – <https://fees.ipo.gov.uk/pay>.
- 2 Enter your name, email address and total amount to pay from above.
- 3 As proof of payment, write below the 10-character reference code displayed from the online payment screen.
DO NOT write your debit/credit card number

Deduct from IPO deposit account.

IPO deposit account number.

Cheque – make payable to 'Intellectual Property Office.'

Bank transfer.

Reference – use your IPO deposit account number if you have one or an application number or your name if you don't.

Use the following bank account details.

Sort code	20-18-23
Account number	80531766
Account name	Intellectual Property Office
SWIFT code	BARCGB22
IBAN number	GB92 BARC 2018 2380 5317 66